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Small Bodies Non-Uniform Gravity Field On-Board Learning Through Hopfield Neural Networks

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Abstract

Small bodies environment is usually difficult to be modelled for a number of reasons. Among the others, the uncertainty associated to the non-uniform gravitational field requires in-situ observations for its refinement, or its identification. This operation becomes even more challenging in case the orbiting platform is a CubeSat or, in general, a platform with reduced computational power as well as a high autonomy requirement. In this paper, a new approach to reconstruct on-board the gravity field of either unknown or partially known bodies is presented. In particular, the use of a Hopfield Neural Network (HNN) to reconstruct the coefficients of a Spherical Harmonics Expansion (SHE), that is assumed to approximate the gravity field of the body, is described. A comparison with an Extended Kalman Filter (EKF) used for parameter estimation is presented and the differences of the two methods are critically discussed: due to the structure of the HNN, the former results to be computationally faster and lighter than a stand-alone EKF used for parameter estimation.

Keywords: Hopfield Neural Network (HNN), asteroid proximity operations, gravity field identification, online learning, parameter estimation

1 1. Introduction

2 Asteroid and comets have become of great importance during the last decade, due to
3 the enormous scientific return they can provide to understand the origins of our Solar Sys-
4 tem. Their exploration, however, poses enormous challenges from an engineering point
5 of view. Generally, poor knowledge of physical properties of these objects, such as mass
6 and density, and of their shape, translates into a rough estimation of the gravitational
7 environment. In missions design, risks coming from this lack of knowledge are generally
8 mitigated by a safe trajectory design, limiting the spacecraft proximity to the targeted
9 body, at the cost of lower quality in observations and measurements. To reduce distance,
10 rapidity in the operations becomes of the utmost importance, and only autonomous sys-
11 tems for the guidance, navigation and control of the spacecraft can be adopted. To do
12 so, the spacecraft must be able to reconstruct the dynamical environment and counteract
13 the gravitational perturbations coming from the uneven shape of the asteroid and other
14 sources, such as the solar radiation pressure. At the current time, there have been a
15 certain number of missions that use radio-science to estimate the higher-order terms of
16 the gravitational potential of those kind of objects [1, 2, 3]. This technique, however,
17 works well for large bodies but its accuracy decreases drastically for smaller bodies due
18 to the uncertainties arising from the Solar Radiation Pressure (SRP).

19 Past studies dealt with the problem of reconstructing the unknown acceleration terms
20 of the dynamics, for example exploiting an augmented Kalman filter for the estimation of

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21 such unmodelled inputs [4, 5, 6]. While this approach can be advantageous from a pure
22 guidance and navigation perspective, it lacks insight for what concerns the direct knowl-
23 edge of the target’s shape and gravitational properties, being them blended to the other
24 perturbative effects in the overall disturbance acceleration. In such sense, it is desirable
25 to exploit a technique dedicated to the reconstruction of the small body’s shape and
26 gravity field, to aid the navigation of the spacecraft, while enriching the science output
27 of the mission. There have been a number of studies that propose different applications
28 of machine learning to this problem: in [7] a single layer forward network, designed and
29 trained by means of Extreme Learning Machines, is shown to be capable to learn the rela-
30 tionship between the spacecraft position and the gravitational acceleration. In [8], neural
31 reinforcement learning is used to control a spacecraft around a celestial body whose grav-
32 ity field is unknown. In [9], finally, an efficient gravity field modeling method based on
33 Gaussian process regression is presented, that uses a kind of (supervised) Bayesian re-
34 gression to reconstruct the relationship between the gravitational acceleration and check
35 point. However, those methods have to be trained before use. This is possible if the
36 target body shape is already available and so need a detailed a-priori knowledge of the
37 target body is available.

38 Other examples can be the use of Back Propagation Artificial Neural Networks
39 (BPANN) for the Earth gravity field approximation is presented in [10] and the use
40 of Artificial Neural Networks (ANN) in [11] for a body gravity field interpretation. It is
41 of interest from this point of view, the use of Radial Basis Function (RBF)-based net-
42 works that are an alternative to the popular Multi-Layer Perceptron (MLP) (e.g. the
43 Single Layer Forward Network (SLFN) and the ANN discussed before) [12]. Moreover,
44 in [13] and [12] it has been shown that a RBF-based networks can be used for the *online*

45 identification of non-linear system.

46 More recently, in [14] the use of a neural network based on a Modified State Observer
47 (MSO) is presented. It uses the MSO for estimating the uncertainties that a satellite
48 may experience while in orbit, with the primary advantage that the neural network
49 is trained *online*. This method appears to be one among the most promising but it
50 reconstructs the gravity field in an indirect way: in fact, the accelerations time history
51 along three axes as (a_x, a_y, a_z) is reconstructed, without giving any other information. A
52 forward least-square optimization method must be then used in order to have a global,
53 time independent representation of the gravity field in the form of a spherical harmonics
54 expansion.

55 In this paper we explore the possibility to use a specifically tailored Hopfield Neural
56 Network (HNN) to overcome this problem and to estimate the attractor's gravity field
57 directly online, with no a-priori knowledge of the attractor and recovering a global repre-
58 sentation of the field. Through a numerical simulation campaign, HNN is demonstrated
59 to be a valid solution to the problem, due to its flexibility, adaptation to new inputs and
60 the reduced computational burden. The HNN results then the perfect candidate for fast
61 autonomous correction in the implemented dynamics and target reconstruction. In brief,
62 the contributions of the paper are:

- 63 • to propose an HNN to be used to reconstruct the global gravitational field of
64 unknown or poorly known bodies;
- 65 • to highlight the dependence of the HNN hyper-parameters to the physical properties
66 of the target body as well as to the orbit used for the identification;
- 67 • to extend the gravitational field identification problem to multi-body dynamical

68 environments (binary systems in this case);

- 69 • to compare performances and computational cost of a EKF-HNN combination,
70 for state & parameter identification respectively, with a EKF used for both tasks
71 together.

72 The paper is organized as follows. Section 2 is dedicated to the review of the dynamics
73 background, as well as the theory behind the neural network. Section 3 describes the
74 procedure to reconstruct the gravity field through the adopted network. Section 5 in-
75 troduces and tests a Kalman filter with extended state for the estimation of coefficients,
76 and compares its results to the proposed network approach, in terms of performance
77 and computational time. Finally, conclusions on the proposed method are discussed in
78 Section 6.

79 **2. Background & Tools**

80 Some assumptions are made both on the dynamical environment as well as the output
81 of the reconstruction in order to solve the problem of the reconstruction of the gravi-
82 tational field of an unknown, arbitrary shaped body directly on-board of a spacecraft
83 orbiting it.

84 *2.1. Dynamical Environment*

85 The orbital environments about small bodies are among the highly perturbed environ-
86 ments found in the solar system [15]. In this work two simplified environmental models
87 are considered: the one associated with a single body, based on the so called Perturbed
88 Two-Body Problem (P2BP), and the one associated to a binary system of bodies, based

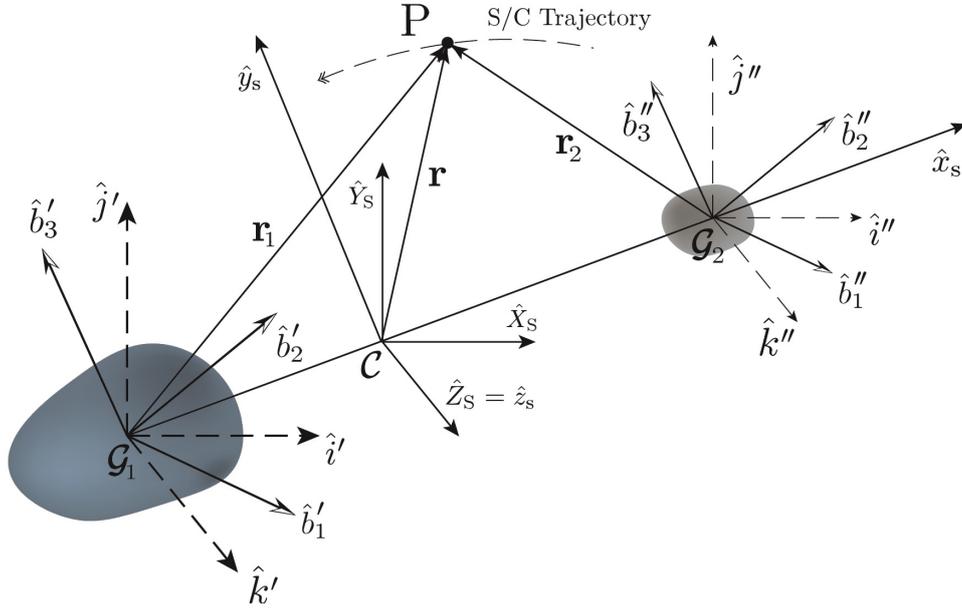


Figure 1: Geometry of the MCR3BP

89 on the Modified Circular Restricted Three-Body Problem (MCR3BP). This, in order to
 90 test the scalability of the network to different dynamical environments, both from the
 91 formulation as well as from the identification performance point of view. These models
 92 are extensively discussed in [16],[17].

93 2.1.1. The P2BP

94 The detailed derivation of the dynamical environment model associated to a single
 95 body relies on the P2BP model. Here the equations of motion for a reduced order model
 96 are briefly recalled. Under the assumption that the body rotates about its principal
 97 inertia axis with uniform angular velocity Ω , the equations of motion written in the

98 body-fixed frame are:

$$\begin{cases} \ddot{x} - 2\Omega\dot{y} = \Omega^2x + a_{T,x} \\ \ddot{y} + 2\Omega\dot{x} = \Omega^2y + a_{T,y} \\ \ddot{z} = a_{T,z} \end{cases} \quad (1)$$

99 wherein the acceleration model adopted can be expressed as:

$$\mathbf{a}_T(\mathbf{r}, \mathbf{s}, \mathbf{d}_{k-a}) = \mathbf{a}_G(\mathbf{r}) + \mathbf{a}_{\text{SRP}}(\mathbf{r}, \mathbf{s}) + \sum_{k=1}^N \mathbf{a}_{3\text{rd}k}(\mathbf{r}, \mathbf{d}_{k-a}) \quad (2)$$

100 being \mathbf{a}_G the gravitational acceleration due to the gravity field of the body, \mathbf{a}_{SRP} the
 101 acceleration contribution due to the SRP and $\mathbf{a}_{3\text{rd}k}$ the acceleration contribution due to
 102 the k -th third-body. In this work, since the aim is to focus the attention on the gravity
 103 field reconstruction, the other perturbations are neglected and the gravitational model
 104 used as ground truth is the constant density polyhedron [18]. Then, the model is a
 105 subclass of the P2BP, called in this work Shape-Based Two-Body Problem (S2BP).

106 2.1.2. The MCR3BP

107 The geometry and the formulation of the MCR3BP starts from the one of the Circular
 108 Restricted Three-Body Problem (CR3BP). The only difference is that the two bodies are
 109 assumed to have a certain shape and not to be point masses. In particular, the MCR3BP
 110 may be formulated as follows.

111 First of all, the angular velocity associated to the two-body motion of the primaries

112 is computed:

$$\Omega_S = \sqrt{\frac{G(m_1 + m_2)}{d_{12}^2}} \quad (3)$$

113 with m_1 and m_2 are the mass of the primary and the secondary, d_{12} the distance
 114 between them and G the gravitational constant. Then, with reference to Fig. 1, the
 115 following reference frames are defined:

- 116 • $\mathcal{T}_S = (\mathcal{C}; \hat{X}_s, \hat{Y}_s, \hat{Z}_s)$, a quasi-inertial frame, fixed at the center of mass of the two
 117 primaries;
- 118 • $\mathcal{T}_s = (\mathcal{C}; \hat{x}_s, \hat{y}_s, \hat{z}_s)$, a synodic frame, fixed at the center of mass of the two primaries
 119 and rotating with Ω_S which respect to \mathcal{T}_S ;
- 120 • $\mathcal{T}_n^k = (\mathcal{G}_k; \hat{i}^k, \hat{j}^k, \hat{k}^k)$, a quasi-inertial frame, centred in the k -th body and parallel
 121 to \mathcal{T}_S ;
- 122 • $\mathcal{T}_b^k = (\mathcal{G}_k; \hat{b}_1^k, \hat{b}_2^k, \hat{b}_3^k)$, a body frame, centred in the k -th body and rotating with Ω_k
 123 with respect to \mathcal{T}_n^k ;

124 Then the spacecraft position vector \mathbf{r} , in the \mathcal{T}_s frame can be expressed in the k -th
 125 body fixed frame, \mathcal{T}_b^k according to:

$$\mathbf{r}^{(k)} = \mathbf{T}_n^k \cdot \mathbf{T}_{\Omega_S}^T (\mathbf{r} - \mathbf{l}_k) \quad (4)$$

126 where here \mathbf{l}_k is the distance of the primary to the centre of mass of the system in
 127 the \mathcal{T}_s reference. Note that the product $\mathbf{T}_n^k \cdot \mathbf{T}_{\Omega_S}^T$ can be re-arranged, having defined the

128 differential rotation as $\Delta\Omega_k = \Omega_S - \Omega_k$, since:

$$\mathbf{T}_{\Omega_S} \cdot \mathbf{T}_n^{kT} = \begin{bmatrix} \cos(\Delta\Omega_k t) & \sin(\Delta\Omega_k t) & 0 \\ -\sin(\Delta\Omega_k t) & \cos(\Delta\Omega_k t) & 0 \\ 0 & 0 & 1 \end{bmatrix} = \mathbf{T}_{\Delta}^k(t) \quad (5)$$

129 The equation of motion in the \mathcal{T}_s frame results, according to [16]:

$$\ddot{\mathbf{r}} + \boldsymbol{\Omega}_S \times (\boldsymbol{\Omega}_S \times \mathbf{r}) + 2\boldsymbol{\Omega}_S \times \mathbf{r} = \mathbf{T}_{\Delta}^1(t)\nabla\mathcal{U}_1(\mathbf{r}^{(1)}) + \mathbf{T}_{\Delta}^2(t)\nabla\mathcal{U}_2(\mathbf{r}^{(2)}) \quad (6)$$

130 Here \mathcal{U}_1 is the gravitational potential of the primary and \mathcal{U}_2 the one of the secondary.

131 This work implement a simpler version of Eq. 6. In particular, the bodies are assumed

132 to be locked with the respect to the synodic frame resulting in a Shape-Based CR3BP

133 (SCR3BP):

$$\ddot{\mathbf{r}} + \boldsymbol{\Omega}_S \times (\boldsymbol{\Omega}_S \times \mathbf{r}) + 2\boldsymbol{\Omega}_S \times \mathbf{r} = \nabla\mathcal{U}_1(\mathbf{r}_1) + \nabla\mathcal{U}_2(\mathbf{r}_2) \quad (7)$$

134 where here $\mathcal{U}_i(\cdot)$ is the gravitational potential associated to the i -th body.

135 2.2. The Parametric Identification Problem

136 As a global approximation technique of the true gravitational field, the Spherical

137 Harmonics Expansion (SHE) has been largely studied and applied for mission analysis

138 purposes in the past years [19],[20],[21]. Being an analytical model, it results to be

139 computationally efficient and light to be evaluated, if compared with constant density

140 polyhedron or mascons models, which makes it suitable for various applications. In a

141 SHE, the gravity field of the body is assumed to be represented through a potential of

142 the form:

$$\mathcal{U} = \frac{\mu}{r} - \frac{\mu}{r} \sum_{n=2}^N \left(\frac{R_0}{r} \right)^n \left[J_n \mathcal{P}_n^0(\cos \theta) - \sum_{m=1}^n (C_{nm} \cos(m\lambda) + S_{nm} \sin(m\lambda)) \mathcal{P}_n^m(\cos \theta) \right] \quad (8)$$

143 Here θ is the colatitude, λ the longitude, r the radial distance to the center of mass
 144 of the body, R_0 a reference radius, $\mathcal{P}_n^m(x)$ Associated Legendre Polynomials (ALP) of
 145 degree n and order m and μ the body gravitational parameter. For the peculiar properties
 146 of the model, the SHE is assumed to be reconstructed in this work. Hence, the objective
 147 becomes to estimate the coefficients J_n, C_{nm} and S_{nm} of the expansion, while μ is assumed
 148 to be known. In particular, the model to be reconstructed, in the case of the S2BP, is
 149 the following:

$$\ddot{\mathbf{r}} + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r}) + 2\boldsymbol{\Omega} \times \dot{\mathbf{r}} = \nabla \mathcal{U}(\mathbf{r}) \quad (9)$$

150 Writing the SHE in a matrix form, then the model can be written as:

$$\ddot{\mathbf{r}} + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r}) + 2\boldsymbol{\Omega} \times \dot{\mathbf{r}} = -\frac{\mu}{r^3} \mathbf{r} + \mathbf{A}(\mathbf{r}) \cdot \mathbf{C} \quad (10)$$

151 Where here, the vector \mathbf{C} contains all the coefficients of the expansion to be estimated.

152 Now, defining:

$$\mathbf{y} = \ddot{\mathbf{r}} + 2\boldsymbol{\Omega} \times \dot{\mathbf{r}} + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r}) + \frac{GM}{r^3} \mathbf{r} \quad (11)$$

153 The model can be written in the so called Linear-in-parameters (LIP) form:

$$\mathbf{y} = \mathbf{A}(\mathbf{r}) \cdot \mathbf{C} \quad (12)$$

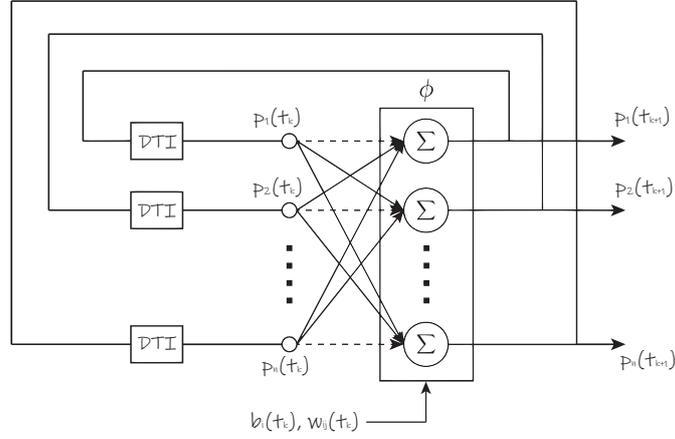


Figure 2: The Hopfield Neural Network structure.

154 According to [22, 23], being the model linear in the parameters, the identification
 155 problem can be reformulated as an optimization problem. In particular, defining the
 156 *prediction error* $\mathbf{e} = \mathbf{y} - \mathbf{A} \cdot \mathbf{C}^*$, where \mathbf{C}^* is the estimation of \mathbf{C} , the resulting combina-
 157 torial optimization problem is [17]:

$$\min_{\mathbf{C}} \left\{ \sup_t \left(\frac{1}{2} \mathbf{e}^T \cdot \mathbf{e} \right) \right\} \quad (13)$$

158 With a similar procedure, the formulation can be extended to the MCR3BP.

159 2.3. Hopfield Neural Networks

160 HNNs are a kind of ANNs formulated by Hopfield in its paper [24]. The model as well
 161 as its stability has been extensively studied in the last decades. In the original Hopfield's
 162 formulation of the network, the dynamics of the neuron i is governed by the ODE, [22]:

$$\frac{dp_i}{dt} = -p_i(t) + \sum_{j=1}^N w_{ij} \phi_j(p_j(t)) - b_i(t) \quad (14)$$

163 where $p_i(t)$ is the total input to the neuron i , ϕ_j is a continuous non-linear, bounded
 164 and strictly increasing function called *activation function*, and w_{ij} and b_i are parameters
 165 corresponding respectively to the synaptic efficiency associated with the connection from
 166 neuron j to neuron i , and the bias of the neuron i . The neuron state is then obtained
 167 through the activation function, $\phi(z) = \tanh z$:

$$s_i(t) = \tanh\left(\frac{p_i(t)}{\beta}\right) = \phi(p_i, \beta) \quad (15)$$

168 where $\beta > 0$ is a coefficient to eventually regulate the slope of the activation function.
 169 According to [22, 24], in order to prove that the neural system defined in Eq. 14 is stable,
 170 Lyapunov stability theory is exploited. In this paper, Abe [25] modified formulation of
 171 the network is used, being the most suited for combinatorial optimization problems. In
 172 this case, the Lyapunov function is defined as:

$$V(\mathbf{s}) = -\frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n w_{ij} s_i s_j + \sum_{i=1}^n b_i s_i \quad (16)$$

173 The key concept associated to the theory of HNN is the fact that:

$$\frac{\partial V}{\partial s_i} = -\frac{dp_i}{dt} \quad (17)$$

174 so that the network defines a gradient system and thus *the network states evolve in the*
 175 *direction that minimized the Lyapunov function*. So the application of Hopfield networks
 176 to the solution of optimization problems is a direct consequence of the dynamical prop-
 177 erties of the network and, in particular, of the existence of the Lyapunov function. Then,
 178 the HNN is formulated as an ODE, that can be represented as a recurrent dynamics, as

179 in Fig.2:

$$\frac{dp_i}{dt} = \sum_{j=1}^N w_{ij} \phi_j(p_j) - b_i = net_i(t) \quad (18)$$

180 Applying the chain rule, the recurrent neuron dynamics can be reduced to:

$$\frac{d\mathbf{s}}{dt} = \frac{1}{\beta} \mathbf{D} \left(\mathbf{W}\mathbf{s} + \mathbf{b} \right) \quad (19)$$

181 where $\mathbf{s}(t)$ is neuron states vector, β an hyper-parameter of the network, $\mathbf{D} = \text{diag}(1 -$
 182 $s_i^2)$, $\mathbf{W} = -\mathbf{A}^T \mathbf{A}$ is called *weight matrix* and $\mathbf{b} = \mathbf{W}\mathbf{s}_0 + \mathbf{A}^T \mathbf{y}$ is called *bias vector*. Note
 183 that both the weight matrix and the bias vector are associated to the SHE model and
 184 can be recovered matching the Lyapunov function of the network with the cost function
 185 of the optimization problem [26, 23]. Here $\mathbf{s}_0 = \mathbf{s}(0)$. The proof of the stability of the
 186 method is presented in [23, 16], thus is not reported here.

187 2.4. Discrete-time Hopfield Neural Network

188 Usual discrete versions of HNN include Backward Euler methods. However, according
 189 to [27] a better discrete version of the network is:

$$(s_i)_{k+1} = \frac{(s_i)_k + \tanh\left(\frac{h}{\beta}(net_i)_k\right)}{1 + (s_i)_k \tanh\left(\frac{h}{\beta}(net_i)_k\right)} \quad (20)$$

190 where h is the time-step, $(s_i)_k$ is the state of the i -th neuron at the k -th step and

$$(net_i)_k = \sum_j (w_{ij})_k (s_j)_k - (b_i)_k \quad (21)$$

191 Note that this version is bounded but is not continuous whenever the denominator is zero.
 192 In principle, this condition cannot be achieved since $|s_i| < 1$, but, due to numerical round-
 193 off errors, it has to be taken into account in a computer implementation of the discrete

194 method. In this study, the choice is to set $(s_i)_{k+1} = (s_i)_k$ whenever the singularity is
195 encountered. This discrete version of the network, however, still suffers from the time
196 step choice [16].

197 **3. Gravity Field Identification of Small Solar System Objects with HNN**

198 The gravitational field reconstruction of a group of test objects through the use of
199 a HNN is analysed in this section with the aim to highlight dependencies with respect
200 to initial orbital conditions as well as the network tuning parameters. Since the aim
201 is to test the HNN capability of computing correctly the SHE's Stokes coefficients, the
202 following assumptions are considered:

- 203 • The minor body is considered to be non rotating;
- 204 • A perfect determination is assumed for the state of the orbiting object: the state
205 vector is, in fact, assumed to be known and expressed with respect to the exact
206 centre of mass of the body; this assumed only for this section, where the method
207 is developed and validated from the conceptual point of view.
- 208 • Sun third body gravitational perturbation and SRP are neglected.
- 209 • The mass of the body is considered to be known. This is a major assumption,
210 since it is a parameter to be estimated too, because the Stokes coefficients and M
211 are strongly correlated. Indeed, a simultaneous estimation of the mass and the
212 coefficients is not possible here, since in that case the LIP form in Eq. 12 cannot
213 be recovered. However, as shown in [16], it is possible to estimate the mass of
214 the body prior to the one of the coefficients. Furthermore, the correlation of the

215 coefficients on the gravitational parameter $\mu = GM$ can be removed from the
 216 estimation introducing a normalization to the equation of motion. In particular,
 217 defining a reference two-body acceleration:

$$a_{\text{ref}} = \frac{\mu}{R_{\text{ref}}^2} \quad (22)$$

218 and considering \tilde{q} the normalized version of a quantity q , Eq. 1 becomes:

$$\begin{cases} \tilde{x}'' - 2\tilde{y}' &= \tilde{x} - \left(\frac{R_{\text{ref}}}{r}\right)^2 \frac{\tilde{x}}{\tilde{r}} + \frac{R_{\text{ref}}^2}{\mu} \frac{\partial \mathcal{U}_p}{\partial x} \\ \tilde{y}'' + 2\tilde{x}' &= \tilde{y} - \left(\frac{R_{\text{ref}}}{r}\right)^2 \frac{\tilde{y}}{\tilde{r}} + \frac{R_{\text{ref}}^2}{\mu} \frac{\partial \mathcal{U}_p}{\partial y} \\ \tilde{z}'' &= -\left(\frac{R_{\text{ref}}}{r}\right)^2 \frac{\tilde{z}}{\tilde{r}} + \frac{R_{\text{ref}}^2}{\mu} \frac{\partial \mathcal{U}_p}{\partial z} \end{cases} \quad (23)$$

219 This version of Eq. 1 is particularly useful to be used in the neural network since the
 220 weight and bias matrix results to be normalized. This process is here presented for
 221 the S2BP but can be extended to the SCR3BP. The choice of R_{ref} is also important:
 222 to decouple the problem at the most with respect to both the body and the orbit,
 223 R_{ref} is taken to be equal to $r(t_k)$, in such a way:

$$\left| \frac{r^2(t)}{\mu} \nabla \mathcal{U}_{n,m}(r) \right| \leq 1$$

224 where here $\mathcal{U}_{n,m}$ is the n -th degree, m -th order SHE term of the expansion.

225 As highlighted in the previous section, the HNN and its convergence are fully de-
 226 termined once $\beta, \mathbb{W}, \mathbf{b}$ and \mathbf{s}_0 are given. Thus, once the initial conditions on the orbit
 227 i.e. $\mathbf{r}(t_0)$ and $\mathbf{v}(t_0)$ are given and the newtork is initialized with a given $\mathbf{s}(t_0)$, then the

228 performances would depends on the value of the hyper-parameter, β . Then, in general,
 229 the i -th coefficient converge is a function of:

$$C_i(t_k) = s_i(\text{body}, \mathbf{x}(t_k), \beta, \mathbf{s}(t_k)) \quad (24)$$

230 i.e. the i -th coefficient is coincident with the neuron state, $s_i(t_k)$, which is determined
 231 as $s_i(t_k) = \phi(\mathbf{s}(t_{k-1}), \beta)$ where here $\phi(\cdot)$ is the activation function. In particular, the
 232 neurons state dynamics is fully determined by:

- 233 • the **body**, in terms of its shape (S) and mass (M);
- 234 • the **orbital state**, $\mathbf{x}(t_k)$: note that the dependence on the state can be written in
 235 terms of the current osculating elements associated to the trajectory $(a, e, i, \Omega, \omega, \nu)$.
 236 Moreover, since $\mathbf{x}(t_k)$ depends on $\mathbf{x}(t_0)$ and t_k , the latter can be considered as
 237 independent variables.
- 238 • the **network hyper-parameter**, β : with reference to Fig. 15 small values of β are
 239 associated with a steeper activation function and so to an activation that is more
 240 sensitive to the inputs. On the other hand, values of $\beta \geq 1$ make the activation
 241 less sensitive.
- 242 • the **network neuron states**, $s_j(t_k)$: since the i -th neuron dynamics is also asso-
 243 ciated to all the other j -th neurons, as seen in Eq. 18. This means that the neuron
 244 state behaviour is associated to the number of coefficients (N_C) that are estimated.

245 Then, the neuron state can be expressed as:

$$C_i(t_k) = s_i(S, M, a_0, e_0, i_0, \Omega_0, \omega_0, \beta, N_C, t_k, \mathbf{s}_0) \quad (25)$$

246 Finally, an integral measure of the error of the i -th reconstructed coefficient, $C_i(t)$,
 247 with respect to its the real value, \bar{C}_i , is then introduced:

$$\text{iMSE}_i = \frac{1}{2N} \sum_k^N \frac{\sqrt{(C_i(t_k) - \bar{C}_i)^2}}{\bar{C}_i} \quad (26)$$

248 This parameter of merit is an integral measure that weights both the accuracy and
 249 the velocity of the network. In the following analysis the neuron convergence dependency
 250 on the different parameters is analysed by means of the **iMSE**. The flowchart that brings
 251 from the initial condition to the Stokes coefficients identification is then the following:

- 252 1. The initial orbit is propagated for a certain period, T , with a time-step h using the
 253 P2BP model;
- 254 2. The network is initialized with $\mathbf{s}_0 = \mathbf{0}$;
- 255 3. Positions and velocities at each instant t_k are retrieved;
- 256 4. \mathbf{y}_k in Eq. 11 is computed, approximating by finite differences the acceleration and
 257 assuming the body to uniformly rotating about its principal inertia axis;
- 258 5. The weight matrix $\mathbf{W}(t_k)$ and the bias vector $\mathbf{b}(t_k)$ are computed;
- 259 6. The neuron state (discrete) dynamics is retrieved by means of Eq. 20, providing
 260 the estimates for C_i s at each instant.

261 3.1. Dependency on body mass

262 The normalization introduced *cancel* the direct dependency on the body mass. Then:

$$C_i(t_k) = s_i(S, \dots, a_0, e_0, i_0, \Omega_0, \omega_0, \beta, N_C, t_k, \mathbf{s}_0) \quad (27)$$

263 However the body mass re-enter the problem in the optimal choice of β :

$$\beta^* = \beta^*(M, \dots) \quad (28)$$

264 Being the dependency on mass and body shape strictly connected, the optimal β is
265 not trivial. However, as shown in the following paragraph, the actual dependence on the
266 body shape is negligible. Therefore, as shown in [16]:

- 267 • In general, the optimal β choice is case dependant.
- 268 • A choice of a small β , say 1e-6, can eliminate the dependence on the mass but can
269 lead to instability of the network.
- 270 • As a rule of thumb, a log-linear dependence of the optimal β on the body radius
271 could be considered. This radius is here considered to be R_0 . Being the mass
272 proportional to the cubic power of the body radius, then an (indirect) dependence
273 on the mass is recovered.

274 In general, since it is possible to preliminary have information about the mass of the
275 body that has to be visited (e.g. from inverse light curves or other methods), a tuning
276 process of β is performed to recover the optimal β . Note that also in case this is not an
277 option, the HNN could be robustly used to identify the mass of the body. This can be,
278 then, used within the tuning process.

279 *3.2. Dependency on body shape*

280 In order to show the effect of the shape on β^* , the following analysis is performed:

- 281 • A tri-axial ellipsoid is defined by $(\alpha, 1, \gamma)$;

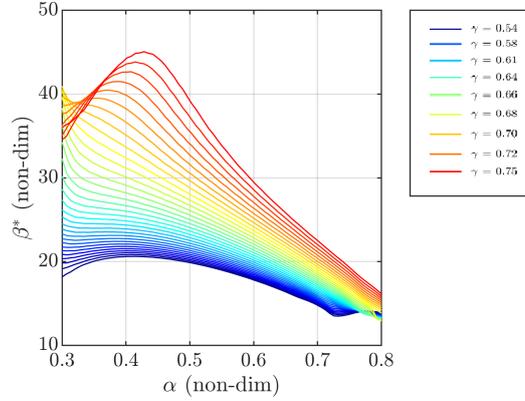


Figure 3: β^* dependency on (α, γ) .

- 282 • a body with $R_0 = 1\text{km}$ and an homogeneous density $\rho = 2200 \text{ kg/m}^3$ is assumed;⁶
- 283 • $(3R_0, 0, 45^\circ, 0, 0)$ is selected as orbital initial condition and the orbit is discretized
- 284 in time with Δt of 30 seconds;
- 285 • the body's J_2 is estimated and the $iMSE$ is computed.

286 β^* , is extracted minimizing the $iMSE$. The results are presented in Fig. 3 where a slight
 287 dependence of β^* on the degree of body's irregularity is shown (here as irregularity is
 288 intended the non-roundness of the body). In particular, it can be seen that the more the
 289 body is regular the more the β^* s converge to a single value, while the more the irregular
 290 the body is, the more the β^* values are spread. This suggests that a fine tuning of the
 291 method could be beneficial in case of highly irregular bodies [16], however since the order
 292 of magnitude of β^* remains the same, the shape is considered an higher order parameter

⁶here R_0 is the Brillouin sphere.

293 of the problem, thus:

$$C_i(t_k) \approx s_i(-, -, a_0, e_0, i_0, \Omega_0, \omega_0, \beta, N_C, t_k, \mathbf{s}_0) \quad (29)$$

294 3.3. Dependency on the orbit

295 To highlight the dependency on the spacecraft orbit, the following analysis is per-
296 formed:

- 297 • An oblate spheroid with flattening $f=0.5$ is defined, resulting in a tri-axial ellipsoid
298 defined by $(1, 1, 0.5)$;
- 299 • a body with $R_0 = 1\text{km}$ and $\rho = 2200 \text{ kg/m}^3$ is assumed;
- 300 • the orbit is initialized as a function of a_0 and i_0 : circular orbits are considered and
301 the RAAN influence is not relevant since an oblate spheroid is considered.
- 302 • the orbital path discretized with $\Delta t = 30$ seconds and 10 revolutions about the
303 body are considered.
- 304 • the body's J_2 is estimated and the $iMSE$ is computed.

305 The results for the $iMSE$ are presented in Fig. 4.

- 306 1. From Fig. 4a it seems that the dependence on the inclination of the orbit is *not* a
307 dominant parameter. However, this is something not expected since zonal contri-
308 butions to the potential is:

$$\mathcal{U}_{n,0} = -\frac{GM}{r} \left(\frac{R_0}{r}\right)^n J_n P_n(\cos \theta) \quad (30)$$

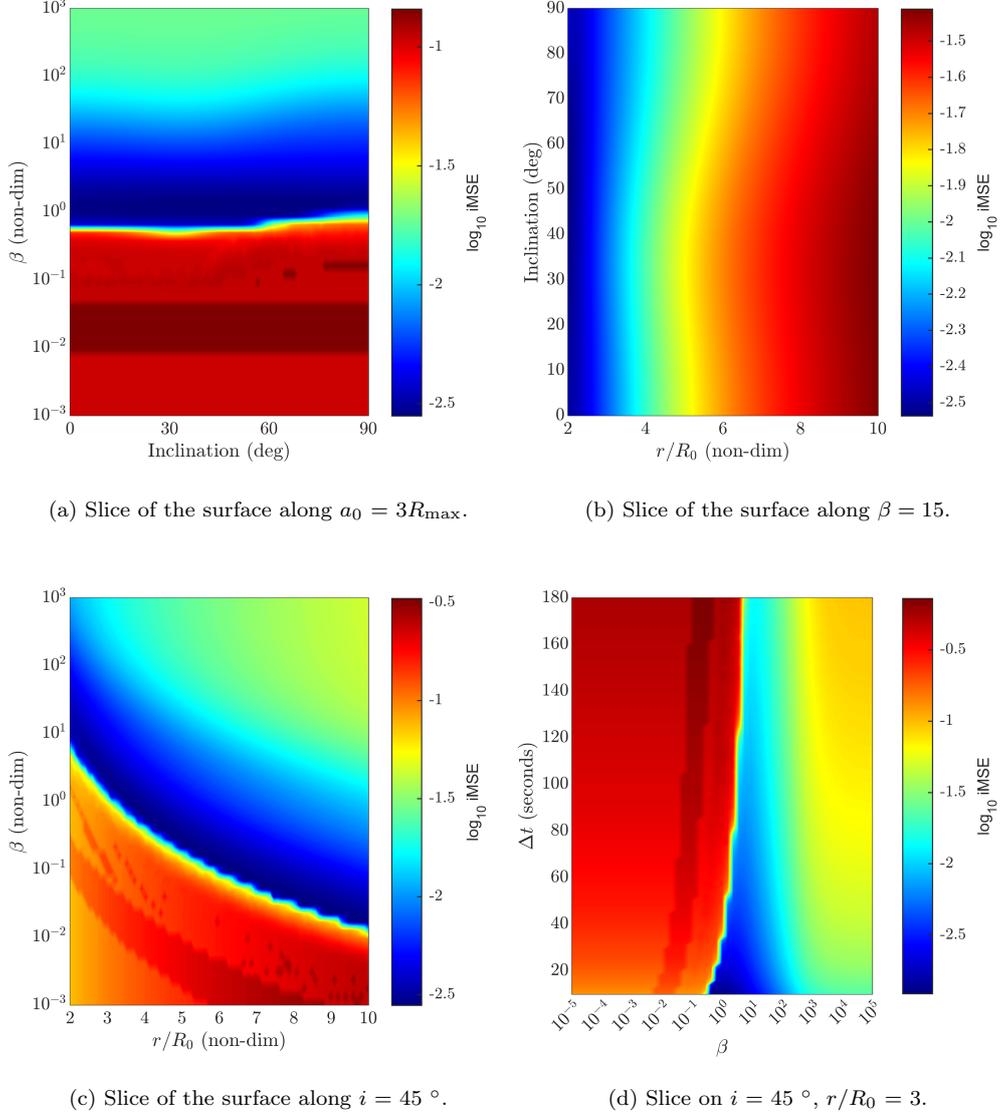


Figure 4: Results for the orbit dependency test case. Different slices of the hyper-surface defined by Eq. 29 are presented.

309 i.e. it is experienced in case the colatitude $\theta \neq 0$. However, in this simplified case
 310 in which the only J_2 coefficient is estimated, the HNN is still capable to correctly

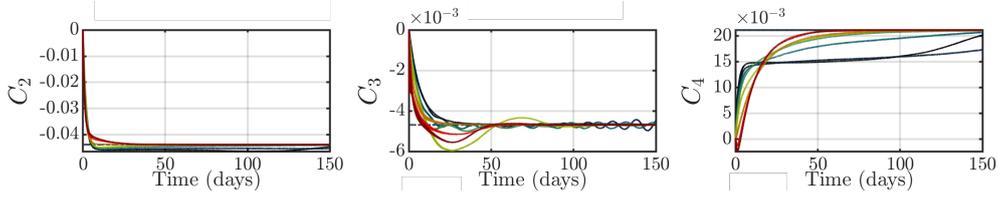


Figure 5: Network neural dynamics for $a_0 = 3R_0$, as a function of the orbit inclination (color scale - from black, 0° to red, 90°)

311 estimate it. This is not the case for higher order harmonics, as can be seen from

312 Fig. 5. Then, dependence on the orbit inclination is to be taken into account.

313 2. Fig. 4b shows instead that there is a stronger dependence on the distance to the
314 body $r(t)$.

315 3. A cross-dependence between β and $r(t)$ is instead highlighted in Fig. 4c where it is
316 evident that β^* has a decreasing monotonic behaviour with respect to $r(t)$. Note
317 that on the top right of the surface the i MSE gets larger. This means that the
318 network convergence velocity gets smaller (in all cases presented in this analysis
319 the network do converge in the given time window).

320 4. Fig. 4d highlight a slight dependence on the time discretization Δt , coupled to the
321 choice of β .

322 Thus, assuming to initialize the network with $\mathbf{s}_0 = \mathbf{0}$ (i.e. worst case, in which no
323 prior information about the harmonics are available):

$$C_i(t_k) \approx s_i(r(t_k)/R_0, i_0, \beta, N_C, t_k, \mathbf{s}_0) = s_i(d(t_k), i_0, \beta, N_C) \quad (31)$$

324 Here $d(t_k) = r(t_k)/R_0$. Note that, as far as the orbit is non-equatorial, the depen-

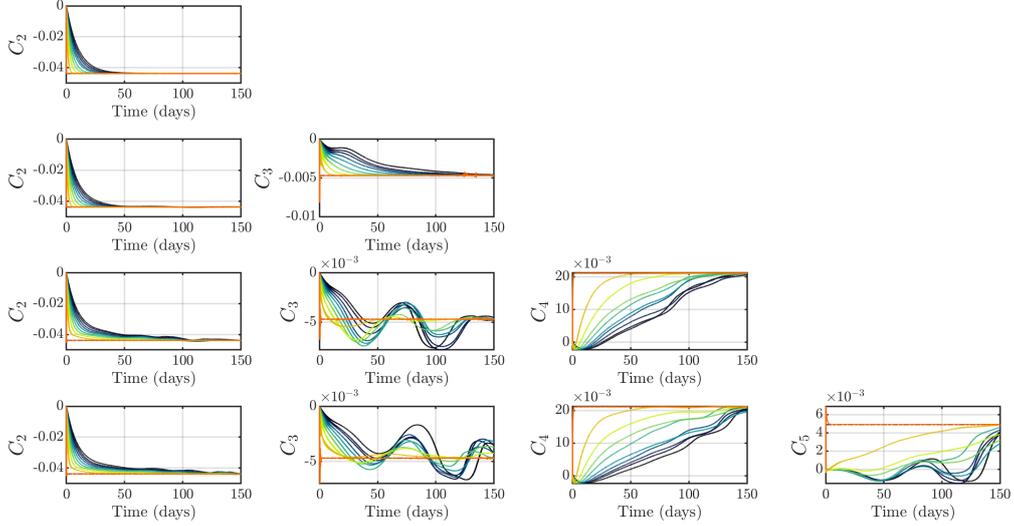


Figure 6: Neuron states dynamics as a function of the time, the number of the estimated coefficients and β (color scale, from black $\beta = 1$ to red $\beta = 10^{-6}$).

325 dency on the inclination can be considered to be an higher order one [16], thus:

$$C_i(t_k) \approx s_i(d(t_k), \beta, N_C) \quad (32)$$

326 3.4. Dependency on N_C

327 To highlight the dependency of the network state dynamics on the number of coeffi-
 328 cients that are estimated a dedicated analysis is performed. In particular:

- 329 • An oblate spheroid with flattening $f=0.5$ is considered;
- 330 • a body with $R_0 = 1\text{km}$ and $\rho = 2200 \text{ kg/m}^3$ is assumed;
- 331 • the orbit is initialized with $a_0 = 2R_0$ and $i_0=90^\circ$;

332 In Fig. 6 are presented the results: it can be noticed that there is a dependence on N_C ,
 333 due to the cross correlated weights of the network, w_{ij} . However, this does not influence

334 the ability of the network to correctly reconstruct the value of the coefficients, as far as
 335 a good choice of β is performed. Therefore, the neural state dynamics dependencies are
 336 reduced to:

$$C_i(t_k) = s_i(d(t_k), \beta^*) + \mathcal{O}(S, N_C) \quad (33)$$

337 3.5. Dependency on β

338 As a result of the previous discussion, the optimal tuning of the network hyperpa-
 339 rameter β is function of:

- 340 • The body mass, M ;
- 341 • The body degree of *irregularity*, S ;
- 342 • The distance with respect to the body, $r(t)/R_0$;

343 i.e. Eq. 28 becomes:

$$\beta^* = \beta^*(M, S, d(t_k)) \quad (34)$$

344 Thus, there is no general rule for the optimal choice of β , however, according to [16]
 345 and [17] a reasonable initial guess to be used in a grid search can be extracted as a
 346 function of the body mass M as well as the orbit altitude $d(t_k)$. Then the initial guess
 347 can be refined for different body shapes with a grid search or multiple parallel executions
 348 of the network could be used in the estimation. Note that, according to [16], a choice
 349 of $\beta^* \approx 10^{-12}$ could in principle eliminate the dependence on the mass but such a small
 350 value would lead to network instability of higher order harmonics in real applications,
 351 especially for highly irregular bodies.

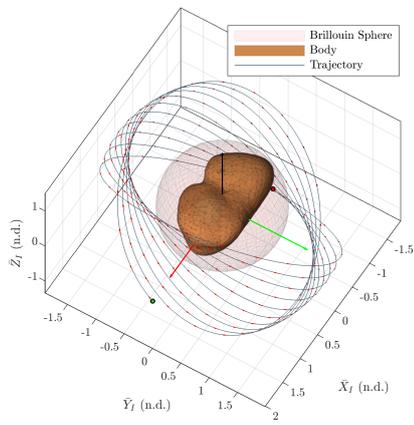
352 4. Applications to realistic gravitational environments

353 In this section a set of trajectories are generated considering the dynamical environ-
354 ments represented through the P2BP and the MCR3BP, in order to address the perfor-
355 mances of the neural network in reconstructing the gravitational field of realistic objects
356 in their gravitational environment. In order to simplify the approach to the problem,
357 some assumptions are made:

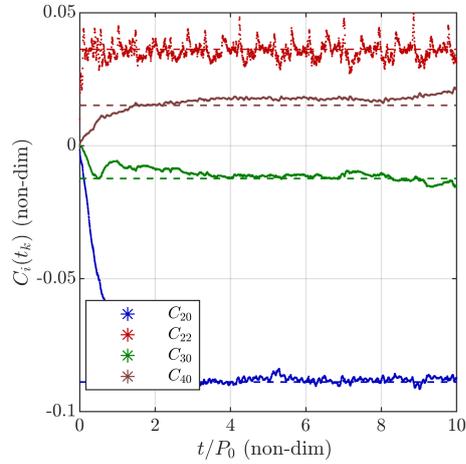
- 358 1. The environment does not include SRP nor Sun third-body perturbation.
- 359 2. The orbital states are assumed to be reconstructed through a navigation filter. The
360 state estimates are assumed to be the real ones perturbed with a zero-mean white
361 Gaussian noise. In particular, position and velocity are perturbed by $\sigma_r = 10^2 m$
362 and $\sigma_v = 10^{-2} \frac{m}{s}$, which are typical state's navigation uncertainties [28, 29].
- 363 3. Orbits with a retrograde acceleration component ($90^\circ < i < 270^\circ$) are preferred,
364 for their inherent stability properties .
- 365 4. Orbit discretised with a time step of 30 seconds.

366 Moreover, since the parametric analysis shown an intrinsic dependency of the network
367 convergence depending on the choice of the hyperparameter β , multiple networks are run
368 together on the estimation of J_2 , with different values of β for a given period of time and
369 the *optimal* β^* is extracted. Then, the estimation is run again for all the coefficients to
370 be identified, with the obtained β^* value.

371 To show the flexibility of the method, applications in different dynamical environ-
372 ments are presented. First of all, the HNN-based identification method is applied to
373 some representative minor bodies, namely Castalia, Kleopatra and Phobos. Then, a
374 binary system case is shown.



(a) Inertial body-centred frame orbit.



(b) HNN results.

Figure 7: Asteroid Castalia results: $a_0 = 2R_{max}$, $i_0 = 135^\circ$ circular orbit. Dashed lines represent the true values of the coefficients.

375 *4.1. Minor bodies representative cases: Castalia, Kleopatra and Phobos*

376 In Figs. 7, 8, 9 are presented the results cases of Castalia, Kleopatra and Phobos,
 377 which are the minor bodies selected for this analysis. Here, the state vector is normalized
 378 using the maximum body radius R_{max} and the orbital time using the initial "Keplerian"
 379 orbital period P_0 , in such a way the figures represent non-dimensional quantities. In
 380 Table 1 are presented the cumulative results for the identification of the Stokes coefficient
 381 of these bodies, which convergence is hereafter commented.

- 382 • **Castalia:** in this case, the optimal choice for β results to be 10^{-3} and the neuron
 383 dynamics is shown in Fig. 7b. Note that in this case the HNN is capable to
 384 reconstruct all the coefficients with a relatively small number of revolutions around
 385 the body.

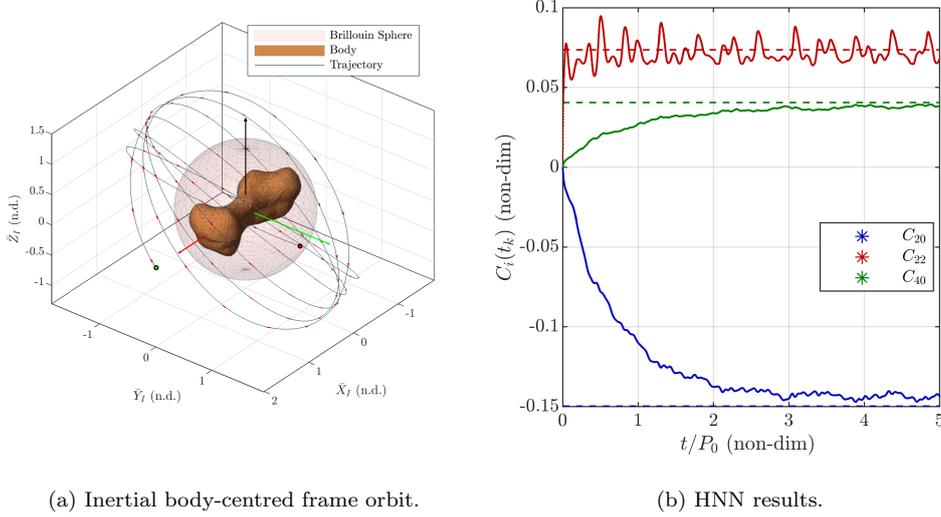


Figure 8: Asteroid Kleopatra results: $a_0 = 2R_{max}$, $i_0 = 135^\circ$ circular orbit. Dashed lines represent the true values of the coefficients.

- 386 • **Kleopatra:** also in this case, the optimal choice for β^* results to be 10^{-3} . However,
387 to avoid network instabilities (see [16] for details of this phenomenon), a value of
388 $1.5\beta^*$ is selected. As for the Castalia case, good convergence of all the coefficients
389 is achieved.
- 390 • **Phobos:** in this case, due to the highly irregularity and the fast rotation of the
391 body, a circular orbit is placed at higher altitude from the body surface, to avoid the
392 possibility to crash on or escape from the body. Differently for the other cases, in
393 this case the convergence exhibit *large* oscillations and an estimation offset. This
394 can be due to the extremely chaotic environment generated by the fast-rotating
395 body.

396 Note those results could be easily extended if other higher order gravitational pertur-

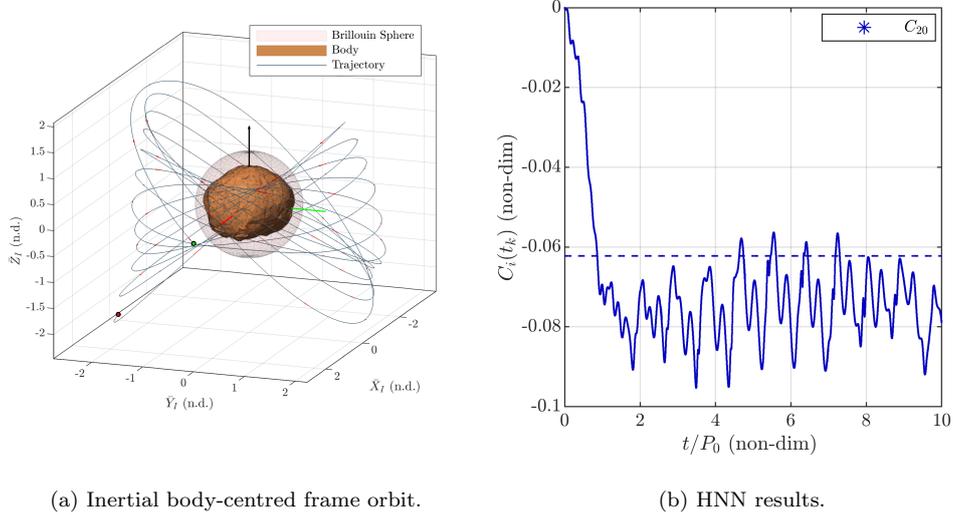


Figure 9: Phobos case results: $a_0 = 3R_{max}$, $i_0 = 135^\circ$ circular orbit. Dashed lines represent the true values of the coefficient.

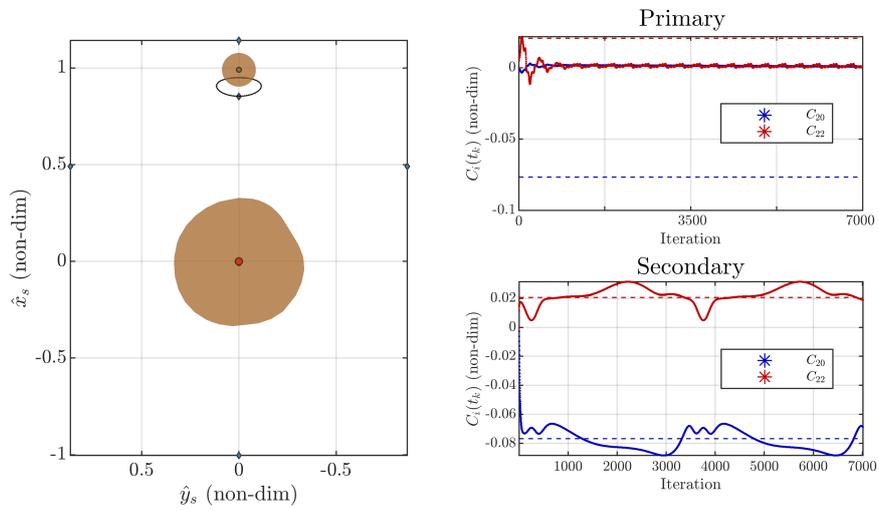


Figure 10: Didymos system, Southern Halo.

397 bations or non gravitational ones are taken into account. This can be seen in the example
 398 shown in Fig. 11, where the effect of the introduction of third-body perturbation (Sun)

or SRP on the network neuron dynamics is presented. In particular, the third body

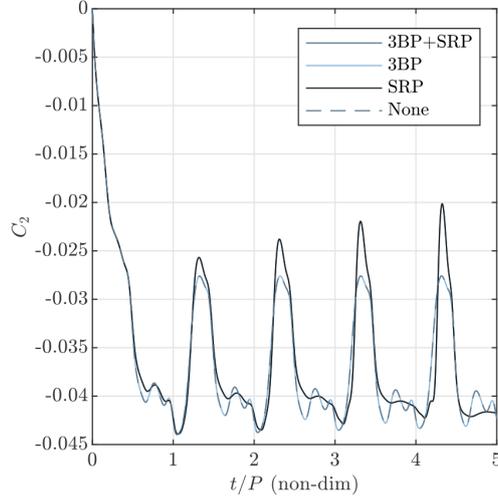


Figure 11: SRP and 3rd body perturbation effects on Castalia C_{20} convergence.

399

400 perturbation is shown to be negligible, while the SRP introduce a constant perturbation
 401 to the network (i.e. a linearly increasing term in time), which could be easily removed
 402 adding a simplified SRP model into the dynamical model used in the HNN.

403 4.2. Binary asteroids case: Didymos

404 The case of Didymos binary system is analysed in order to assess the scalability of
 405 the network to a different dynamical environment. According to [30], the dynamical
 406 environment can be represented through a polyhedron model for the primary body and
 407 a ellipsoid model for the secondary [31]. The network is implemented here in the un-
 408 normalized form associated to the SCR3BP. In this case, Ω_S as well as the mass of the
 409 two bodies is assumed to be known so that from Eq. 7:

$$\mathbf{y} = \ddot{\mathbf{r}} + \boldsymbol{\Omega}_S \times (\boldsymbol{\Omega}_S \times \mathbf{r}) + 2\boldsymbol{\Omega}_S \times \mathbf{r} + \frac{\mu_1}{r_1^3} \mathbf{r}_1 + \frac{\mu_2}{r_2^3} \mathbf{r}_2 \quad (35)$$

$$\mathbf{A} = [\mathbf{A}_{\text{main}}(\mathbf{r}_1), \mathbf{A}_{\text{moon}}(\mathbf{r}_2)] \quad (36)$$

410 Where the LIP form of Eq. 12 is $\mathbf{y} = \mathbf{A} \cdot \mathbf{C}_{\text{ag}}$ where $\mathbf{C}_{\text{ag}} = [\mathbf{C}_{\text{main}}; \mathbf{C}_{\text{moon}}]$. Some
 411 orbital families were analysed in order to assess the capability of the network to work in
 412 such a perturbed environment.

413 In this paper, the results for the case of a L1 Halo orbit are presented in Fig. 10.
 414 This trajectory is chosen for the presence of out-of-plane components, fundamentals in
 415 the identification of zonal harmonics, as well as, being in L1, give the possibility to test
 416 the network sensitivity to both the primary and the secondary gravity harmonics. In this
 417 case an optimal β value is selected to be 10^{-7} , to boost the sensitivity of the network.
 418 However, it can be seen that, while the Dimorphos harmonics are well reconstructed
 419 (in mean), the method is not capable to properly capture Didymos harmonics. This
 420 is apparently in contrast with radio science simulations [32], but is due to the network
 421 tuning, which in this case was optimised for Dimorphos' harmonics reconstruction.

422 5. Comparison with EKF-based Parameter Identification

423 The parameter identification problem has been studied in different technical disci-
 424 plines. One common technique to estimate internal parameters of nonlinear systems is
 425 to use an augmentation of the traditional Extended Kalman Filter, under certain ob-
 426 servability conditions [33]. The comparison presented hereby focuses on evaluating two
 427 approaches both relying on Extended Kaman Filter techniques, in particular:

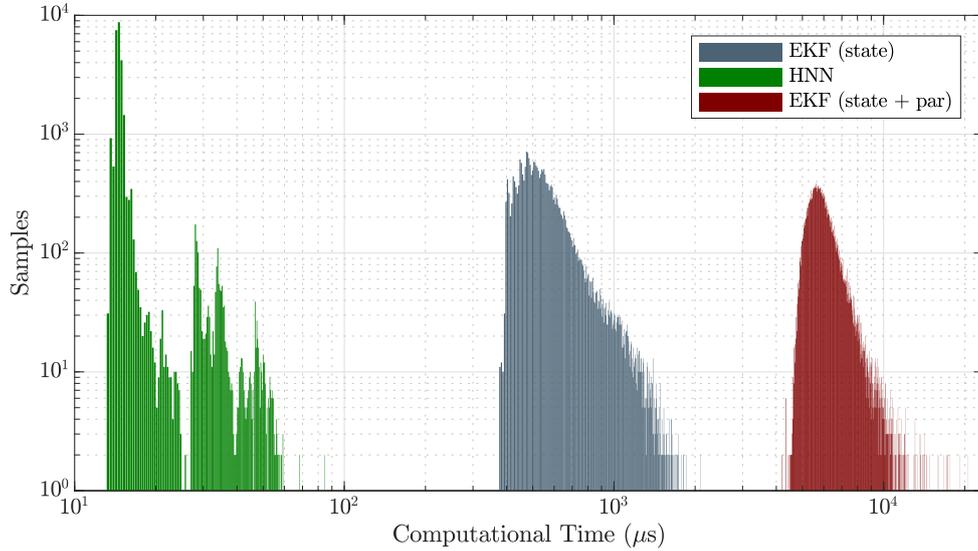


Figure 12: Computational time comparison. Note that the HNN step-time is negligible with respect to the EKF for state estimation.

428 1. **Coupled EKF-HNN**: the EKF is coupled with the presented HNN. The filter is
 429 dedicated to reconstruct the state vector of the system, whereas the HNN approx-
 430 imates the unknown spherical harmonics coefficients. The coupling between the
 431 EKF and HNN could be performed by using the reconstructed HNN coefficients in
 432 the prediction step of the EKF, i.e. adopting the Spherical Harmonics Expansion
 433 as gravitational model in the filter process model. Nevertheless, for coefficient re-
 434 construction purposes, the performance results to be equivalent if the point-mass
 435 gravity is adopted instead of the SHE, as shown in Fig. 13. In particular, the figure
 436 presents the difference in the convergence in the case in which the network receive
 437 the state vector from the true dynamics propagation (red), the EKF estimation
 438 with a point mass gravity model (blue) and the EKF estimation with SHE model
 439 (coupled case, grey). It can be noticed that not major differences are present in

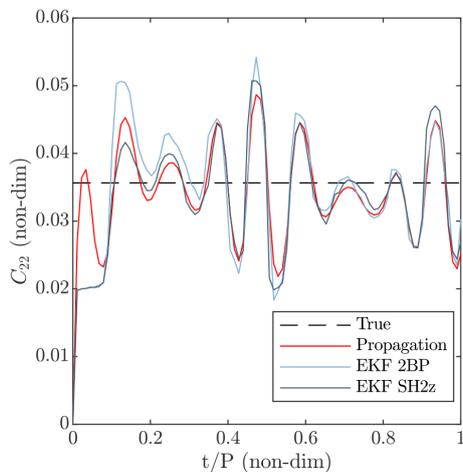


Figure 13: HNN convergence comparison of the effect of different input sources to the network.

440 case the point-mass of the SHE model are considered within the EKF.

441 2. **Augmented EKF**: the EKF is used both for estimating the state and the un-
 442 known coefficients. Thus, the augmented state of the filter comprises the set of
 443 coefficients to be identified.

444 In order to compare the performance of the HNN approach for estimating spherical
 445 harmonics coefficients, an EKF-based estimation algorithm has been developed as in [34]
 446 for both cases and are specified in the following sections.

447 5.1. Augmented filter formulation

448 In this section the augmented filter formulation is presented. In particular, the state
 449 vector of the EKF is augmented as follows:

$$\mathbf{X} = \begin{bmatrix} \mathbf{r} \\ \mathbf{v} \\ C_{nm} \end{bmatrix} \quad (37)$$

450 where \mathbf{r} and \mathbf{v} are the position and velocity vectors respectively; $\{C_{nm}\}$ is a stacked vector
 451 containing the SHE coefficient, whose length depends on the application scenario being
 452 N_C the number of coefficient. The dynamics of the augmented state space resembles the
 453 one presented for the HNN-based algorithm, namely:

$$\dot{\mathbf{X}} = \begin{bmatrix} \mathbf{v} \\ \nabla\mathcal{U}(\mathbf{r}, C_{nm}) + 2\boldsymbol{\Omega} \times \dot{\mathbf{r}} + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{r}) \\ 0_{nm} \end{bmatrix} \quad (38)$$

454 where it is important to note that the gradient of the potential is dependent on the
 455 estimated SHE coefficients. This guarantees system observability for estimating the
 456 aforementioned internal parameters. The state transition matrix is approximated using
 457 the first order Taylor expansion [28], so that the Jacobian of the dynamics, which is
 458 calculated analytically, can be constructed as follows:

$$\mathbf{J} = \begin{bmatrix} \mathbf{0}_{3 \times 3} & \mathbf{I}_{3 \times 3} & \mathbf{0}_{3 \times p} \\ \nabla^2\mathcal{U} & \mathcal{M}_\Omega & \mathbf{A}(\mathbf{r}) \\ \mathbf{0}_{p \times 3} & \mathbf{0}_{p \times 3} & \mathbf{0}_{p \times p} \end{bmatrix} \quad (39)$$

459 where $\mathcal{M}_\Omega = \frac{\partial \nabla\mathcal{U}}{\partial \mathbf{v}} = 2[\boldsymbol{\Omega} \times]$.

460 For the sake of simplicity, in this paper the EKF measurement equation is assumed
 461 to be linear, with the measurement matrix reading:

$$\mathbf{H} = [\mathbf{I}_{6 \times 6} \quad \mathbf{0}_{nm}] \quad (40)$$

462 Therefore the complete algorithm, including the update step, is reported in Algo-
 463 rithm 1.

Algorithm 1 EKF

- 1: $\hat{\mathbf{X}}_k^- = \int_{t_{k-1}}^{t_k} f(\mathbf{X}(\tau))d\tau$, $\mathbf{X}_{k-1} = \hat{\mathbf{X}}_{k-1}$, $\hat{\mathbf{X}}_0^+ = \mathbf{X}_0$
 - 2: $\mathbf{J}_k = \left. \frac{\partial f}{\partial \mathbf{X}} \right|_{\hat{\mathbf{X}}_{k-1}}$, $\mathbf{H}_k = \mathbf{H}$
 - 3: $\mathbf{P}_k^- = \Phi(t_k, t_{k-1})\mathbf{P}_{k-1}^+ \Phi^T(t_k, t_{k-1}) + \mathbf{Q}$, $\mathbf{P}_0^+ = \mathbf{P}_0$
 - 4: $\mathbf{K}_k = \mathbf{P}_k^- \mathbf{H}_k^T (\mathbf{H}_k \mathbf{P}_k^- \mathbf{H}_k^T + \mathbf{R}_k)^{-1}$
 - 5: $\hat{\mathbf{X}}_k^+ = \hat{\mathbf{X}}_k^- + \mathbf{K}_k (\mathbf{Y}_k - \mathbf{H} \hat{\mathbf{X}}_k^-)$
 - 6: $\mathbf{P}_k^+ = (\mathbf{I} - \mathbf{K}_k \mathbf{H}_k) \mathbf{P}_k^- (\mathbf{I} - \mathbf{K}_k \mathbf{H}_k)^T + \mathbf{K}_k \mathbf{R}_k \mathbf{K}_k^T$
-

Here the process covariance matrix \mathbf{Q} is assumed to be fixed in time and after a brute force tuning process, is considered to be equal to:

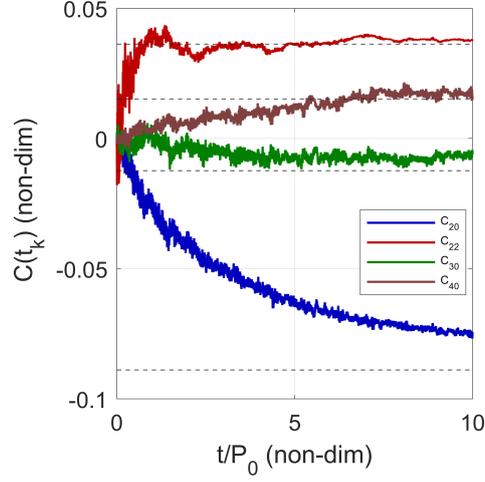
$$\mathbf{Q} = \text{diag}\left([s_r^2 \cdot \mathbf{ones}(3), s_v^2 \cdot \mathbf{ones}(3), s_C^2 \cdot \mathbf{ones}(N_p)]\right) \quad (41)$$

464 where N_p is the number of coefficients to be estimated, $\mathbf{ones}(x)$ is an operator that
465 provide a vector of ones of length x , $s_r = 1\text{e-}1$, $s_v = 1\text{e-}3$ and $s_C = 0$. Real application
466 scenarios of an asteroid mission will require more sophisticated measurement function
467 and behavioural model relying on low-observability measurements, as described in [28].

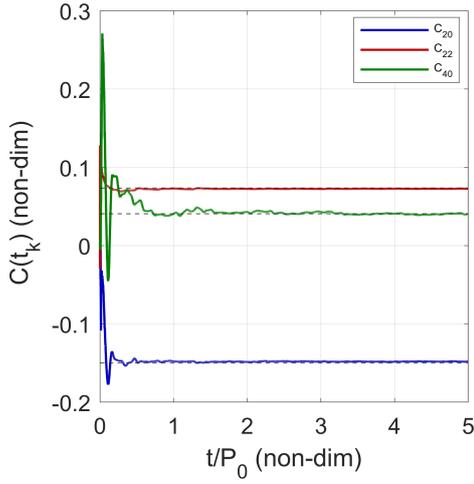
468 5.2. Numerical results and comparison

469 The dynamical environment described in Section 2.1 is used for numerical simulations.
470 The measurements are generated through propagation of the aforementioned dynamical
471 models. Furthermore, the state measurements are assumed to be perturbed with zero-
472 mean white Gaussian noise. In particular, position and velocity are perturbed using
473 $\sigma_r = 10^2 \text{ m}$ and $\sigma_v = 10^{-2} \frac{\text{m}}{\text{s}}$. For the sake of comparison, three test cases have been
474 assessed, namely asteroids Castalia, Kleopatra and the moon Phobos.

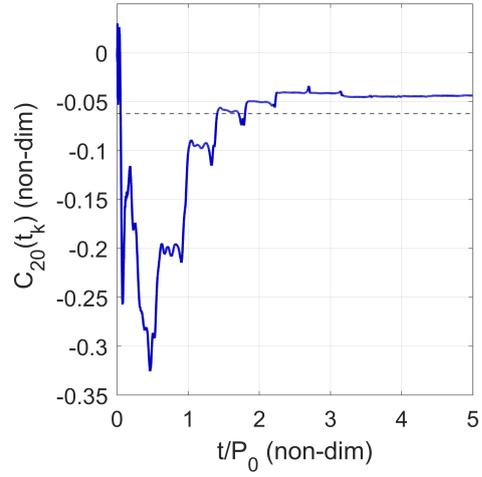
475 For the parametric identification of gravitational field coefficients, being the number



(a) Castalia



(b) Case Kleopatra



(c) Phobos

Figure 14: SHE coefficients estimation using EKF.

476 of parameters always >1 and usually $\gg 1$, the use of a method other than the EKF can
 477 be beneficial from a computational point of view. In fact the computational cost of a
 478 filter step do increase at least linearly with the number of elements of the augmented

479 vector \mathbf{X} . In Fig. 12 a comparison between the two methods is presented considering the
480 case of asteroid Castalia (4 parameters):

- 481 • In green, the computational time for a single step of the HNN is reported. The
482 mean $\mu_{\text{hnn}} \sim 15 \mu\text{s}$ while the standard deviation $\sigma_{\text{hnn}} \sim 12 \mu\text{s}$.
- 483 • In grey, the computational time for a single step of a EKF used for the state-only
484 estimation is reported. In this case, the mean $\mu_{\text{hnn}} \sim 600 \mu\text{s}$ while the standard
485 deviation $\sigma_{\text{hnn}} \sim 386 \mu\text{s}$. The gravitational model used in the EKF in this case is
486 the pure Two-Body Problem.
- 487 • In red, the computational time for a single step of a EKF used for both the state
488 and the parameters estimation. In this case, the mean $\mu_{\text{hnn}} \sim 6.25 \text{ ms}$ while the
489 standard deviation $\sigma_{\text{hnn}} \sim 2.15 \text{ ms}$.

490 The previous results are computed on a machine with a quad-core, i7-7700, 3 GHz
491 CPU and highlight that the computational time associated to a EKF+HNN in the state
492 & parameters estimation is one order of magnitude smaller than the one associated to
493 an augmented EKF, being beneficial also from a volatile memory point of view.

494 From the parameters estimation point of view, instead, both the methods are capable
495 to reconstruct the selected Stokes coefficients, as reported in Tab. 1. In particular, for
496 asteroid Castalia, the HNN estimation results are presented in Fig. 7 while the one
497 associated to the EKF in Fig. 14a: in this case the HNN exhibit better convergence
498 properties with respect to the filter that converges slower. It is the opposite for the case
499 of asteroid Kleopatra, Fig. 8, Fig. 14b. Finally, in the case of Phobos, that is critical
500 for the highly perturbed environment associated to the large centrifugal forces, both

501 methods have troubles in the estimation, giving an offset on the final estimate. Note
502 that, in general, the coefficients estimated by the EKF results to be more *stable* than the
503 one computed by the HNN. This issue can be easily solved choosing a more conservative
504 value for β and allowing the network to run longer in time.

505 As a drawback, the HNN is not capable to quantify the uncertainty of the recon-
506 structed coefficients. However, the temporal evolution of the reconstructed term may
507 be used to derive the variance of the signal and therefore recover an indication on the
508 uncertainty. Moreover, running more than one HNN in parallel, could be used as an
509 unscented approach to estimate coefficients uncertainty.

510 6. Conclusions

511 In this paper, the exploitation of an HNN for spherical harmonics coefficients identi-
512 fication and the comparison between EKF and an HNN for the parameter estimation of
513 the gravitational field of small bodies were analysed. The criticalities of the HNN for this
514 task have been highlighted and consist in the tuning of the activation function through
515 a parameter β . This parameter β results to be dependant on the distance to the body
516 mainly and to have a dependence on the degree of irregularity of the visited body. In
517 particular, for high irregular cases, a conservative choice of β should be made. These
518 results are then validated in the real gravitational environment of some selected bodies,
519 namely Castalia, Kleopatra and Phobos. The case of a binary system (Didymos) is pre-
520 sented too: the re-formulation of the network' associated dynamics appears to be simple
521 as well as all the consideration valid for a single body can be used for the tuning of the
522 network. From the other hand, the same tests are performed with an augmented-EKF.
523 The performance of the EKF, as expected, results to be good also in this task. However,

Table 1: HNN/EKF results compared for Castalia, Kleopatra and Phobos. The root mean square error and the standard deviation are computed on the last 5 periods of a 10 periods simulation.

	True	HNN (RMSe)	HNN (std)	EKF (RMSe)	EKF (std)
Castalia					
C_{20}	-0,089	2,0e-3	6,5e-4	1,93e-2	3,5e-3
C_{22}	0,0362	7,0e-4	2.78e-3	8,0e-4	1,2e-3
C_{30}	-0,0124	2,6e-3	7.35e-4	7,0e-3	1,3e-3
C_{40}	0,0152	1,64e-3	5.46e-4	3,7e-3	1,2e-3
Kleopatra					
C_{20}	-0,149	1,1e-2	1,77e-3	0,0	1e-7
C_{22}	0,0734	4,6e-3	4,52e-3	0,0	1e-7
C_{40}	0,0405	7,0e-4	2,42e-4	0,0	4e-7
Phobos					
C_{20}	-0,0622	1,6e-2	2,67e-2	1,3e-2	4,8e-4

524 from the computational point of view, the augmented-EKF result to be heavier than the
525 couple EKF+HNN. Finally, from the previous results we can conclude that the use of a
526 HNN online gravity field estimation is a good alternative to an EKF as well as can be
527 use to validate the results of the filter itself.

528 Future works include application of the presented methodology to autonomous guid-
529 ance algorithms.

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