1	MULTI-FACTOR ANALYSIS OF DIFFERENT GREEN ROOF PACKAGES USING
2	INDEXES BASED ON SURFACE TEMPERATURES IN MEDITERRANEAN
3	CLIMATE
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13	Highlights
14	• Use of realistic values to characterize green roof vegetation and substrate
15	• Surface temperature indexes were used to evaluate the energy performance
16	• Six plant species and five types of substrate were examined
17	• Succulent plants provided the best performance in Mediterranean climate
18	
19	Abstract
20	Green roofing is a sustainable solution for building energy saving, urban heat island mitigation,
21	rainwater management and pollutant absorption. The objective of this research is to define the
22	effectiveness of green roofs in Mediterranean climate. To this end, six vegetation species,
23	different in height, leaf area index (LAI), leaf reflectivity, leaf emissivity and stomatal
24	resistance, and five types of substrate, characterized by various thermal conductivity, density
25	and specific heat, were considered according to the technologies currently adopted for green
26	roofs. A matrix of possible combinations (30 plant-substrate configurations) was defined and a
27	multi-criteria analysis was carried out, using indexes based on the surface temperatures of green
28	roofs, to identify which green roof combination offers the highest performance. Therefore, a

29	comprehensive ranking was defined based on the score that each green roof achieved in the
30	performance indexes. The results show that high values of vegetation height (i.e. Salvia) LAI
31	(>4 m ² /m ²), leaf reflectivity (>0.2) and stomatal resistance (>0.2 mmol/m ² s) improve green roof
32	energy performance in the summer period. However, if the analysis is carried out for the winter
33	period, succulent plants (i.e. Sedum) offer better performance. Finally, Heuchera yellow
34	provides more balanced performance during both heating and cooling period. The substrate and
35	vegetation selection are strictly correlated, thus the same plant species combined with different
36	substrate types attained heterogeneous performance.

38 Keywords: Green Roof; Thermal performance; Surface temperature; Building Energy 39 Simulation; UHI mitigation; Multi-criteria analysis

40

41 **1. INTRODUCTION**

42 In recent years, the growing phenomenon of global warming and the increasing urban 43 development, characterized by large waterproof surfaces, have led to increased environmental 44 and energy problems in many cities [1,2]. Consequently, researchers and designers are 45 committed to developing sustainable solutions to reduce both the energy consumption and the 46 pollutant emission of buildings using environment-friendly and innovative technological 47 solutions [3,4]. One of the most widely used technological solutions in the field of bioclimatic 48 architecture is to replace the traditional materials of flat roofs, accounting for about 25% of the 49 horizontal surfaces in urban areas, with green roofs [5]. From the energy point of view, when 50 the heating of external roof surfaces is greater due to the intense solar radiation, the use of green 51 roofs reduces the surface temperature of the roof [6,7], improves the thermal insulation of the 52 building envelope [8–10] and mitigates the incoming and outgoing heat flux through non-53 insulated roof [11]. In addition, green roofs result in energy savings for cooling the indoor spaces [12–14], especially when green roofs are used for the energy retrofitting of existing 54 55 buildings with a low level of thermal insulation [15,16]. From the hydrological point of view, 56 green roofs optimize stormwater management [17], contribute to the improvement of runoff water quality [18], provide natural filtration that reduces the risks of urban flooding and improves the hydrological balance of the urban areas by reducing rainwater runoff [19]. Many benefits can be highlighted from an environmental point of view. Green roofs absorb the polluting gases in the atmosphere, such as greenhouse, contributing to improve the air quality of cities [20] and recreating the natural habitats by optimizing biodiversity in urban areas [21]. These benefits deriving from the use of green roofs make it possible to mitigate the phenomenon of the urban heat island [22–25].

64 Many previous studies have evaluated the reduction in energy consumption for building 65 conditioning due to the installation of green roofs by using the EnergyPlus simulation software 66 that integrates a green roof model. Vera et. al [26] performed a parametric analysis to evaluate 67 the influence of the main green roof design parameters on the cooling and heating loads of a 68 stand-alone retail building in different climatic conditions. Four different Leaf Area Index (LAI) levels (0.1, 1.0, 3.0, 5.0) were studied while substrate thermal properties were selected based on 69 the ranges for dry (0.15-0.3 W/mK) and wet substrates (0.5-1.2 W/mK). The main result 70 71 obtained by this study is that the greater the LAI the greater the reduction in cooling loads due 72 to the evapotranspiration of the vegetation-substrate system and canopy's shading effect. 73 Furthermore, the effect of the substrate thermal properties on the heating loads is directly related 74 to the substrate thermal conductivity. However, substrate influence on the cooling loads 75 depends on its thermal diffusivity. Zeng et al. [27] used a simulation to determine the optimal 76 parameter settings for green roofs in different climate zones in China. Foliage height in green 77 roofs ranged from 0.01 to 1.0 m, while the LAI varied between 0.001 and 5.0. With regard to energy savings, the optimum soil thickness and LAI were 0.3 m and 0.5, respectively, and the 78 79 plant height was 0.3 m. The authors found that LAI is the most significant factor that influence 80 the energy consumption. However, the parameters used in the energy simulations to characterize the vegetation and substrate layers were not obtained experimentally and do not correspond to 81 the real vegetation and substrates used in green roofs. Peri et al. [28] highlighted the importance 82 83 of a precise knowledge of vegetation and soil parameters, despite the availability of their values 84 is still limited, to assess the green roof effects on the building thermal and energy performance.

85 Other studies analyzed the thermos-physical characteristics of the green roof materials. Coma et 86 al. [29] determined experimentally the physical properties of five different substrates of 87 extensive green roofs commonly used in Mediterranean climates. This study revealed that 88 thermal conductivity of substrates is strongly related with their masses. Furthermore, substrates with lower organic content showed the highest rates of volumetric heat storage capacity and also 89 provided higher time lags. The authors concluded that, when the aim is to evaluate the energy 90 91 performance of green roofs, it is not accurate to assume equal properties for different type of 92 substrates and considered them as a generic layer. Vaz Monteiro et al. [30] canopies of two 93 succulent and four broad-leaved plant genotypes, with contrasting plant traits, were monitored alongside bare substrate, over two summers. The results suggested that succulent plants were 94 not best suited to provide significant summertime environmental cooling and substrate 95 96 insulation and that others are preferable where the delivery of these benefits is a priority. The present research use the set of experimental data collected by these previous studies on 97 substrates and vegetation to investigate the energy performance of green roofs in Mediterranean 98 99 climate and to characterize the green roof materials in the model available in EnergyPlus. 100 In recent years, some studies have used indexes of performance and have defined scoring 101 system to evaluate the thermal performance of different types of green roof according to the 102 surface temperatures [31–33]. In addition, several previous studies have performed sensitivity

104 cases these sensitivity analysis were carried out without correlating the variation of the green105 roof features with a specific substrate and plant species.

analyzes of the parameters affecting the performance of green roofs [34,35]. However, in many

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Differently from previous research, this study investigated the performance combination of six plant species and five types of commercial substrates, whose feature data coming from experimental surveys. So this study, differently from many literature studies, did not characterize the vegetation and substrate by varying their features, such as LAI, density and so on, between a range of continue theoretical values, but using only realistic data. Therefore, the energy performance evaluated through the simulations are referred to an effective vegetationsubstrate configuration.

investigated a merit ranking with reference to different indexes of performance based on the 114 115 external surface temperature, carrying out a multi-factor analysis. Three indexes, defined by Bevilacqua et al. [32] to assess the thermal performances of the different green roofs, were used 116 to identify which green roof packages offered the highest energy performance related to the 117 urban heat island phenomenon, energy saving and temperature fluctuations on the waterproof 118 119 membrane. Thus a comprehensive merit ranking has been defined starting from the score that 120 each green roof package achieved in any of the three performance indexes. Globally, the proposed study made it possible to identify among the 30 plant-substrate configurations which 121 one that optimized the energy performance of the green roof in Mediterranean climate. 122 123 This study, based on a multi-factor analysis, will allow researchers and designers evaluating the

Furthermore, the novelty of this study is to provide for each plant-substrate configuration

energy performance of green roof in different climatic conditions and identifying which greenroof packages offered the highest performance. In fact, this analysis can be used during the

- 126 preliminary design stage of the green roof.
- 127

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128 2. MATERIALS AND METHODS

- 129 *2.1 The test cell*
- To evaluate the thermal and energy performance of different types of green roofs, a test cellused in previous literature studies was modelled in EnergyPlus [36,37].
- 132 The test cell is $1.35 \text{ m} \times 1.35 \text{ m} \times 1.35 \text{ m}$, with one window on the south facing wall (610×610
- mm and U-value 1.960 W/m²K). The walls and roof of the test cell are described in Table 1.
- 134 The floor of the cell was made of OSB boards and XPS insulation. The U-value of the test cell
- 135 envelope are reported in Table 2.
- 136 As regard the test cell, it has to be pointed out that, although the U-value of the components of
- the building envelope is comparable with that one of a standard buildings, due to its little
- 138 volume (1.35×1.35×1.35 m) the indoor temperatures may reach values significantly different
- 139 respect to a real environment. Nevertheless, the test cell allows for a comparison, in absolute
- values, of the results of different envelope solutions and a generalization of the results obtained.

141	On the other hand, when choosing a real building as case study, energy performance depends
142	largely on the constructive characteristics of the building envelope, building occupancy, the
143	endogenous charges, the type of equipment, etc. The results of this study have to be assumed
144	only for the relative comparison of the performance among the different green roof packages.
145	Therefore, the performance of each green roof packages need to be evaluated in each specific
146	application since they are affected by the features of the building as well as the climatic zone
147	where the green roofs are installed.
148	
149	2.2 The plant species
150	The six plant species used as vegetation layer in the green roofs were modeled in EnergyPlus
151	defining the height of plants, Leaf Area Index (LAI), leaf reflectivity, leaf emissivity and
152	minimum stomatal resistance. Table 3 shows the data used, which were obtained from a
153	previous experimental study [30].
154	The plants used (with key leaf characteristics in parenthesis) are the following:
155	- Heuchera 'Obsidian' (non-pubescent, purple)
156	- Heuchera 'Electra' (non-pubescent, yellow)
157	- Salvia officinalis 'Berggarten' (pubescent with grey-green hue)
158	- Stachys byzantina (nubescent with nale grey hue)
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159	- Sempervivum 'Reinhard' (non-pubescent, succulent, light to darkgreen hue)
159 160	 Sempervivum 'Reinhard' (non-pubescent, succulent, light to darkgreen hue) Sedum mix (non- pubescent, succulent leaves, light-green hue).
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168 Table 4, were carried over from a previous literature study [29].

The composition of the substrates analyzed is also reported in Table 4. These commercial substrates are characterized by different material compositions. In particular, Substrate 1 is made up of compost, pozzolana and sand. Substrate 5 mainly consists of coco peat with a lower percentage of compost, crushed building wastes and sand. Substrate 2 and 4 are characterized by homogeneous percentages of different materials. Due to the different composition, the substrates analyzed offer different thermal performance. Finally, the composition of the Substrate 3 is characterized by a low percentage of compost.

The substrate thickness used in the simulations is 15 cm, so it could be classified as an extensive
green roof.

178 Generally, the layers making up the green roof, from top to bottom, consist of vegetation, soil

179 substrate, filter, drainage layer, waterproof and anti-root membrane [38]. As regard the drainage

180 layer the filter and water storage felts, they were not included in the energy balance of the green

181 roofs. This choice is due on the EnergyPlus limitations in considering the drainage and filter

182 layer role and the reduced influence of these layers on the surface temperatures analyzed in this

183 study.

184

185 *2.4 The simulation settings*

Thermal building simulations are performed in EnergyPlus using the "Ecoroof" module that makes it possible to define as green roof the outer layer of a building roof, specifying various features of the green roof including height of plants, LAI, leaf reflectivity, thickness/density/thermal conductivity and specific heat of soil.

The simulations were developed using the features of the test cell previously described considering that it is located in the city of Catania (Lat. 37°30.3'N, Long. 15°05.2'E), in southern Italy. During summer, the air temperature reaches high values, with peaks of over 35 °C, and air temperature fluctuations between the maximum and minimum daily reaches values of over 15 °C. All the simulations were performed for a period of one typical year, from 1st January to 31st December. Moreover, for the climatic conditions typical of the Mediterranean

196 area, it is necessary to guarantee a minimum period of daily irrigation of the green roof, to allow

197 the survival and proper growth of the vegetation. In this study just one-hour irrigation period

198 between 8 and 9 p.m. was set. Therefore, the volumetric water content in each substrate and the

199 related effects on the plant species were not investigated in this study. Values of maximum

saturation moisture content of 0.50, minimum residual moisture content of 0.01 and of the initial
moisture content of 0.15, remained unvaried among the different types of substrate used.

The simulations were thus conducted in free running conditions in order to allow the air temperature inside the test cell to oscillate freely. The internal (below all the roof layers) and external (on the substrate, below the vegetation) surface temperatures of the test cell were obtained as results for each selected scenario.

The heating and cooling system was subsequently inserted into the test cell when the purpose was to assess the energy demand used for conditioning. The temperature set point values were set at 20 °C for the heating period, from 1st December to 31st March, and at 26 °C for the cooling period, from 1st June to 30th September. The type of heating/cooling system used was maintained constant, in order to analyze the energy performance only in relation to the type of green roof used.

212

213 2.5 Indexes of performance

Indexes of performances as a function of the external surface temperature were used based on the relevant work of Bevilacqua et al. [32] and Teemusk and Mander [39]. These indexes may be used to characterize the behavior of the green roof in relation to the urban heat island phenomenon and energy saving. Moreover, these indexes have the advantage of being validated by high-precision experimental measurements of the surface temperatures of green roofs, allowing a direct comparison of the different green roof packages.

The first index, called Surface Temperature Reduction, STR, evaluates the reduction of surface temperatures of the green roof compared to the bare roof, in terms of average daily temperatures. It is defined by the ratio of the external surface temperature of the green roof to the external surface temperature of the bare roof. STR is evaluated in terms of average values (Eq. 1):

$$STR_{av} = \frac{T_{av}}{T_{av,bare}}$$
(1)

This index is representative of the sensible heat flow through the green roof and, therefore, ofthe consumption of energy for heating and cooling.

The second index, called External Temperature Ratio, ETR, is defined by the ratio of the maximum external surface temperature of the green roof to the average temperature of the outer air (Eq. 2):

$$ETR_{max} = \frac{T_{max}}{T_{av,air}}$$
(2)

This index represents the mitigation of the effect of the urban heat island due to the installation
of the green roof. Consequently, reduced ETR values correspond to greater reductions in the
effect of the urban heat island.
The third index, Temperature Excursion Reduction, TER, is representative of the fluctuation of

the daily external surface temperature. It is defined by the ratio of the temperature fluctuation of
the green roof external surface to the temperature fluctuation of the bare roof external surface
(Eq. 3):

239
$$TER = \frac{T_{max} - T_{min}}{T_{max,bare} - T_{min,bare}}$$
(3)

The fluctuation of surface temperatures (thermal stress) influences the durability of roof materials, in particular of the watertight membrane. In fact, reductions in surface temperature fluctuations decrease the dilatation and contraction of materials and increase their useful life.

243 In order to compare the energy performance of the different plant-substrate green roof 244 configurations, a ranking was developed summing the scores obtained for each of the above-245 mentioned indexes, during both the heating and the cooling period. Specifically, the score of 246 each of 30 plant-substrate configuration is attributed based on the values achieved in each 247 indexes. Thus, the package with the lowest performance is given a score equal to 0, while the 248 configuration with the highest performance is assigned a score equal to 30. The scores of the 249 intermediate packages linearly vary between the maximum and the minimum values, using the 250 following equation 4 and 5 for summer and winter condition respectively:

251
$$SCORE_{cooling,i} = 30 \times (1 - \frac{x_{max} - x_i}{x_{max} - x_{min}})$$
(4)

252
$$SCORE_{heatingi} = 30 \times \frac{x_{max} - x_i}{x_{max} - x_{min}}$$
(5)

where x_{max} e x_{min} are the maximum and minimum values of index considered (STR, ETR, TER), while x_i is the value obtained from the i-th green roof package in the specific index considered. Moreover, considering that, among the benefits examined of green roofs, the least important is the reduction in temperature fluctuations of the waterproof membrane, compared to the energy saving and the mitigation of the urban heat island, a maximum score of 6 points is assigned to value of the index TER for each green roof package analyzed. This means that TER index was characterized by a weight of 0.20 in comparison with the other two indexes.

This methodology allowed not only to identify the green roof packages with the highest energy performances, but also to take into account the real value obtained by each package in the used indexes. On the contrary, distributing the scores only on the basis of the position of each green roof package in the various indexes used would not have taken into account the real difference in the value of the indexes. Each plant-substrate configuration reaches a total score that is calculated summing the scores of the indexes, during both the heating and the cooling period.

Overall, each green roof "package" (substrate+plants) will be characterized by different performances in terms of energy saving, urban heat island mitigation and durability of roof materials. Therefore, a comparison among different green roof packages can be performed using a combination of the above performance indexes. Moreover, the analysis of each index provide information about specific energy performance. For example, it is possible to identify the green

271 roof package that optimize the urban heat island mitigation considering the value obtained by

272 each green roof configuration in the ETR index, in fact, the lower the surface temperatures the

273 lower the overheating of the air in cities due to the surfaces of the building roofs.

To assess the influence of the different types of green roof on the daily trend of surface temperatures, representative days of the most severe climatic conditions were chosen, the summer day with the maximum air temperature of about 34 °C, 12th August, and the winter day with the minimum air temperature of about -2 °C, 29th January. While it is advisable during summer to install plant species that reduce external surface temperatures in order to optimize energy performance, during winter it is preferable to adopt plant species that reach higher surface temperatures, in order to maximize the heat gain generated by direct solar radiation.

In the light of these considerations, during the summer period the maximum score was attributed to the green roof package with the lowest index values, while the minimum score was given to the green roof package characterized by the highest index values. The opposite criterion was used for the indexes assessing energy performance during the heating period Therefore, for each index, the score assigned to each green roof package depends on its rank within the thirty configurations tested. This methodology made it possible to identify the plantsubstrate configurations that optimized the energy performance of the green roof.

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290 3. RESULTS AND DISCUSSION

291 *3.1 Green roofs with different plant species*

292 A first group of simulations was conducted maintaining constant the thermo-physical properties

293 of the substrate and varying the plant species used.

294

295 *3.1.1 Internal and external surface temperature*

In particular, Figure 1 shows the results of the internal and external surface temperatures of the test cell during the summer reference day. These results show that, with regard to external surface temperatures, Sedum and Sempervivum reach the highest temperatures, over 40°C, while Salvia reduces external surface temperatures to about 36°C. Heuchera Purple, Stachys and Heuchera yellow exhibit intermediate behavior.

The internal surface temperatures, on the other hand, do not depend greatly on the different plant species that constitute the green roofs, in fact in Figure 1 they are overlapped. This is due to the particular technical and constructive features of the envelope, characterized by high thermal insulation level and low thermal inertia (Table 1), and to the reduced size of the indoor environment. Indeed, internal surface temperatures are more affected by the thermo-physical 306 properties of the test cell envelope, and especially by the thickness of the thermal insulation.
307 The maximum surface internal temperatures, about 36°C, are reached at 4.00 p.m., with a delay
308 of about three hours compared to the external surface temperature peak. This time delay,
309 generally termed "thermal lag", does not vary for the different plant species analyzed.
310 Moreover, no significant difference among the external surface temperatures of the different
311 plant species was observed at night.

Figure 2 shows the results of the surface temperatures for the selected reference day during the heating period (29th January). Compared to the results obtained on the summer day, the differences in the external surface temperature among the different plant species are less evident. Salvia is still the species with the lowest external surface temperatures, maximum 9.5° C, while Sempervivum and Sedum reach the highest temperatures, around 11° C.

As result, it is possible to point out that salvia is the plant with the lowest external surface temperatures while Sedum and Sempervivum are the plants with the highest external surface temperatures. This results is in agreement with the experimental tests performed in [30], where the authors investigated whether some plants can offer more potential summertime environmental cooling than others during the day. In particular, the authors found that Salvia or Stachys had the lowest external surface temperature, whereas Sempervivum had the highest differences between mean values during the monitoring period.

324 These results show that the major differences in terms of surface temperatures of the test cell are 325 between Salvia and Sedum/Sempervivum. These differences are due to the specific features of the various plant species, set out in Table 3. In particular, Salvia is the plant species with the 326 highest values for height, (0,475 m), LAI (5,00 m²/m²), leaf reflectivity (0,220) and minimum 327 328 stomatal resistance (300 mmol/m²s). Vice versa, Sedum and Sempervivum are the plants with 329 the lowest values of these parameters, in particular being 0,125 and 0,050 m (height), 2,80 and 3,25 m²/m² (LAI), 0,180 and 0,155 (leaf reflectivity), 105,0 mmol/m²s (minimum stomatal 330 331 resistance), respectively.

All the green roof configurations permit a reduction in the external surface temperatures of over40% compared to the bare roof, and all the minimum surface temperatures reached by the green

roof types are over 30% higher than the bare roof temperatures. In particular, Salvia reduces the
maximum and minimum surface temperatures compared to the bare roof by 46.22% and 31.79%
respectively, and Sedum by 38.76% and 32.56% respectively.

Table 5 shows the variation between the maximum and minimum external surface temperatures (maximum daily temperature minus minimum daily temperature) reached during the summer reference day for the different types of green roof. The reduction in the percentage of the temperature fluctuations in comparison with the bare roof is also calculated. All the plant species were found to reduce temperature fluctuations between the minimum and maximum values by over 60%.

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344 *3.1.2 Annual energy consumption*

345 Finally, Table 5 also shows the annual energy consumption of the bare roof and of the different 346 types of green roof, and the annual energy saving of the various green roof types compared to 347 the bare roof. The greater energy saving correspond to the lowest temperature fluctuations 348 between maximum and minimum, as shown in Figure 1. Furthermore, by summing the cooling 349 and heating energy saving in Table 5, is demonstrated that in Mediterranean climate, the highest energy savings are reached by choosing the vegetation with the highest energy performance 350 351 during the summer, such as Salvia and not the Succulent plants (i.e. Sedum and Sempervivum), 352 enhancing the energy performance during the heating period.

In particular, in accordance with the findings for surface temperatures, Sedum reduces energy consumption during winter by 8.41% compared to the bare roof, while Salvia maximizes energy saving during summer by 23.53% compared to the bare roof.

The results found, both for surface temperatures and for energy saving, show that, with reference to the specific climatic conditions of the Mediterranean area, Salvia is the plant species with the highest energy performance while Sedum and Sempervivum, widely used in northern European regions, are characterized by the lowest energy performance.

362 In this section of the study, the performances of 30 configurations of green roofs deriving from

the combination of the different plant species and substrates are evaluated.

364 *3.2.1 STR, ETR and TER indexes*

Figure 3 shows the STR_{av} index, while Figure 4 depicts ETR_{max} index for the different plant species and substrates analyzed during the summer and winter reference day.

367 Since these indexes are a function of the external surface temperature, during the summer 368 season, the lower their value, the higher the energy performance of the plant-substrate 369 configuration used.

370 During summer, all the types of green roof achieve STR_{av} values lower than 1.0, signifying that 371 both maximum and average external surface temperatures are lower than those of the bare roof. 372 The values of STR_{av} range from 0.854 to 0.928. The values of ETR_{max} , on the other hand, are 373 constantly higher than 1.0, from 1.175 to 1.455; this denotes that all the plant-substrate 374 configurations reach surface temperatures higher than the outside air temperature. Furthermore, 375 the greater variability of the ETR_{max} shows that the proper chose of the green roof package can 376 affect mainly this index.

377 Regarding these two indexes, the green roofs packages that involve Salvia achieve the best performances during summer (lowest index values), regardless of the type of substrate coupled 378 379 with them. Stachys and Heuchera purple attain a slightly lower energy performance than Salvia. 380 Among the different soil layers inspected, the green roofs that include Substrate 3 allow to 381 attain the best performances (lowest index values).Furthermore, the combination of Heuchera 382 yellow with the Substrate 5 present high performance compared to Heuchera yellow with the 383 other substrate, during both winter and summer. During winter, Sedum and Sempervivum 384 present the best energy performance when they are combined with Substrate 1, 2, 4 and 5 and 385 not with Substrate 3 that enhance the cooling energy performance of all the green roof packages. 386 These considerations highlight the importance to choose the proper substrate during the design 387 stage of the green roof.

388 ETR_{max} depends more on the substrate type than STR_{av} . Heuchera purple and Stachys are 389 characterized by a lower energy performance than Salvia during summer; however, when joined with Substrate 3, they achieve values of 1.208 and 1.219 respectively for ETR_{max}, that are lower than some configurations using Salvia as plant species. Similarly, Sempervivum, which is generally characterized by a lower performance during winter compared to Sedum, has a higher energy performance than Sedum when combined with substrate 5, except when Sedum is used with Substrate 5.

395 Unlike the summer period, during winter the higher the index values, the better the energy 396 performance of the different plant-substrate configurations. Even during the winter period, 397 STR_{av} attains values lower than 1.0, signifying external surface temperatures lower than those of 398 the bare roof. The plant-substrate configurations perform in a similar way for the winter season 399 as for the summer one. Salvia confirms to be the plant with the lowest index values while 400 Sedum is the plant that attains the highest index values, therefore Sedum allows to achieve the 401 better energy performance during the heating period. The different configurations show 402 significant variations on the index values. In this way, the plant-substrate configuration that optimizes the energy performance of the green roof during the winter season is pointed out, i.e. 403 404 Sedum and Sempervivum, regardless of the substrate type used.

Finally, in Figure 5, the TER index is shown for the summer and winter days respectively. All
the analyzed plant-substrate configurations allow the reduction in temperature fluctuations
compared to the bare roof. In particular, during the summer cooling period, TER varies between
0.316 and 0.507, while during the winter period it is between 0.304 and 0.571.

The considerations drawn for the previous indexes apply also to the TER indexes. In particular,
the TER values are affected by the substrate and vary in a fairly continuous way in the cooling
period.

- 413 *3.2.2 Comparison of indexes results with previous research*
- 414 Bevilacqua et al. [32] used the previously defined indexes for a very concise description of the
- 415 surface thermal behavior of the investigated green and traditional roof and for an immediate
- 416 comparison between them. Therefore, a comparison between the results obtained is carried out.

- 417 In [32], STR_{av} varied between 0.72 and 0.92 and between 0.8 and 1.10 during summer and
- 418 winter period, respectively. In this study it varied from 0.85 and 0.93 during the summer and
- 419 between 0.70 and 1.0 during winter period.
- 420 Concerning ETR_{max}, in [32] it was found varying between 1.08 and 1.17 and between 1.0 and
- 421 2.40 during summer and winter period, respectively. In the present research, this index varied
- 422 from 1.17 to 1.45 during the summer period and from 0.95 and 1.70.
- 423 Finally, TER index varied between 0.46 and 0.53 during summer and between 0.43 and 0.61
- during winter, in the previous study [32] while in this research it varied from 0.33 to 0.51 and
 from 0.31 to 0.57.
- 426 As this comparison shown, the values of the different index are close to that one obtained by the
- 427 previous study. However, the aforementioned indexes were evaluated at a monthly level in [32]
- 428 while, in this study, the indexes are shown daily for the extreme climatic conditions during both
- 429 summer and winter period, thus, the climatic conditions are different.
- 430

431 *3.2.3 Ranking results*

To compare the energy performance of the various plant-substrate configurations, a ranking was developed summing the scores obtained for each of the above-mentioned indexes, during both the heating and the cooling period. The results are reported in Table 6. In particular, the plantsubstrate configurations with the best energy performance are Sempervivum with Substrate 5 (69.62 points), Salvia with Substrate 3 (68.67 points) and Heuchera yellow with Substrate 5 (66.66 points).

In addition, the data in Table 6 offer further useful information related to the ability of each package to perform better during the winter or the summer period. With this aim, the cells in Table 6 are highlighted with different colors. Specifically, the packages with the better performances during the summer period are highlighted in blue, while the packages with the better performance during the winter period are colored in red. Packages with medium performances both in winter and summer are highlighted in green, range 24-20. The packages with acceptable performances are highlighted in orange, range 19-15. As an example, when the 445 performance during the cooling period would be emphasized, the plant species with the highest energy performance proves to be Salvia, which does not have adequate thermo-physical 446 447 properties during the heating period. Furthermore, Heuchera purple and Stachys are 448 characterized by medium-high performance during the cooling period. Otherwise, Sempervivum 449 and Sedum guarantee the highest performance during the winter period. Finally, Heuchera 450 yellow provide more balanced performances during both the heating and the cooling period.

451 It is interesting to highlight the role of the characteristics of the substrate on the energy 452 performance of the green roof. The prominence of substrate is confirmed observing that the best 453 configurations adopt Substrate 5 when used with Sedum and Sempervivum and Substrate 3 454 when used with Salvia and Heuchera yellow. As a result, the substrate and vegetation selection 455 are strictly correlated. In addition, the same plant species combined with different substrate 456 types attain heterogeneous performances.

- 457

4. CONCLUSION AND FUTURE WORK 458

459 The present study assessed the effect of the plant-substrate combination on the energy 460 performance of the green roof using realistic values to characterize the vegetation and substrate. 461 The methodology defined consists in the comparison among 30 different green roof types by 462 means of indexes that made it possible to identify the green roof packages with the highest 463 energy performance and a ranking was developed summing the scores obtained for each of the 464 indexes. These indexes are used to characterize the behavior of the green roof in relation to the urban heat island phenomenon, energy saving and temperature fluctuations on the waterproof 465 466 membrane.

467 The analysis developed highlights Salvia as the plant species with the highest ranking during the 468 summer period in Mediterranean climate, due to the highest values for height, (0,475 m), LAI $(5,00 \text{ m}^2/\text{m}^2)$, leaf reflectivity (0,220) and minimum stomatal resistance (300 mmol/m²s). 469

470 However, in Mediterranean, succulent plants such as Sedum and Sempervivum, widely used in 471 green roofs, provide the best ranking when an all year around performance are taken into account. Heuchera purple, Heuchera vellow and Stachys exhibit a lower energy performance 472

than the other plant species analyzed. Finally, it was found that the performance of green roofs
depends largely on the thermo-physical properties of the substrate used. In fact, the same plant
species combined with different substrate types attain heterogeneous performances.

The proposed study made it possible to identify among the 30 plant-substrate configurationswhich one that optimized the energy performance of the green roof in Mediterranean climate.

478 Researchers and designers could apply the same methodology to evaluate the energy479 performance of green roof on different climatic conditions and identifying which green roof

480 packages offer the highest performance. In fact, the climatic conditions affect the energy

481 performance of green roof, thus other substrate-plants combinations could enhance green roof

482 performance in other climatic conditions, whether are dominant heating or cooling periods. The

- 483 indexes and methodology proposed for comparing the performance of different green roof
- 484 packages have a general validity, therefore, it can be applied to different climates.

485 Further analysis may be carried out for investigated the performance of additional substrate

486 types and plant species for which thermal and physical parameters determined through

487 experimental set-up have to be used. Furthermore, a field for future experimental and simulation

488 could be the insertion of "innovative" materials in the green roof package, e.g. products derived

- 489 from waste or recycling processes. In addition, future research need to include the drainage and
- 490 filter layers in the simulations.
- 491
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Figure 1 Internal and external surface temperatures of the test cell during the reference day in August





Figure 2 Internal and external surface temperatures of the test cell during the reference day in January





Figure 3. STR_{av} index in the summer and winter reference day for different green roof packages









Figure 5. TER index in the summer and winter reference day for the different green roof packages

Drywall Glas Woo		Glass Wool	OSB	Vapor Barrier	XPS	Air Space	Plywood		
S [mm]	10.0	89.0	11.0	0.50	51.0	13.0	5.0		
$\begin{array}{c c} \lambda & 0.180 & 0.04 \\ [W/mK] & 950.0 & 12. \\ [kg/m^3] & Cp & 840.0 & 840 \\ [J/kgK] & \end{array}$		0.044	0.130	-	0.043	0.079	0.130		
		12.0	650.0	-	35.0	1.23	560.0		
		840.0	1700.0	-	1400.0	1000.0	2500.0		
			Bare Roof						
Metal Wo Sheet Mem		Water Membrane	OSB Air Space XPS		e XPS	Drywall	-		
S [mm]	1.0	1.0	11.0	38.0	140.0	11.0	-		
λ [W/mK]	44.000	0.210	0.130	0.233	0.0430	0.1800	-		
ρ [kg/m ³]	7824.0	1300.0	650.0	1.23	35.0	950.0	-		
Cp 500.0		1800.0	1700.0	1000.0	1400.0	840.0	-		
		Wall	Wall Window		are roof	Floor			
	U-value					11001			
	[W/m ² K] 0.308	1.90	60	0.306	0.299			
	[W/m ² K] 0.308 Table	1.90 3. Plant param	50 neters utilize	0.306 ed [30]	0.299	Storest		
Pl	[W/m ² K]] 0.308 <i>Table</i> Heig	1.90 3. Plant param ht of plants	50 neters utilize LAI [m ² /m ²]	0.306 ed [30] Leaf reflectivity	0.299 Leaf emissivity	Stomata resistanc		
Pl. Se	[W/m ² K] ant species] 0.308 <i>Table</i> Heig	1.90 3. Plant paran ht of plants [m] 0.125	60 neters utilize LAI [m ² /m ²] 2.80	0.306 ed [30] Leaf reflectivity - 0.180	0.299 Leaf emissivity - 0.97	Stomata resistanc [mmol/m ² 105.0		
Pl Se Heuchera	[W/m ² K ant species edum mix*] 0.308 <i>Table</i> Heigi Purple	1.90 <i>3. Plant paran</i> ht of plants [m] 0.125 0.250	50 neters utilize LAI [m²/m²] 2.80 5.00	0.306 ed [30] Leaf reflectivity - 0.180 0.200	0.299 Leaf emissivity - 0.97 0.97	Stomata resistanc [mmol/m ² 105.0 170.0		
Pl Se Heuchera Heuchera	[W/m ² K ant species edum mix [*] "Obsidian" H] 0.308 <i>Table</i> Heigh Purple	1.90 3. Plant paran ht of plants [m] 0.125 0.250 0.150	50 meters utilize LAI [m ² /m ²] 2.80 5.00 4.50	0.306 ad [30] Leaf reflectivity - 0.180 0.200 0.205	0.299 Leaf emissivity - 0.97 0.97 0.97	Stomata resistanc [mmol/m ² 105.0 170.0 195.0		
Pl Se Heuchera Heuchera Stacl	[W/m ² K ant species edum mix* "Obsidian" F "Electra" Ye] 0.308 <i>Table</i> Heigh Purple	1.90 <i>3. Plant paran</i> ht of plants [m] 0.125 0.250 0.150 0.375	50 meters utilize LAI [m ² /m ²] 2.80 5.00 4.50 4.25	0.306 ed [30] Leaf reflectivity - 0.180 0.200 0.205 0.195	0.299 Leaf emissivity - 0.97 0.97 0.97 0.97	Stomata resistanc [mmol/m 105.0 170.0 195.0 255.0		
Pl Se Heuchera Heuchera Stacl Semperv	[W/m ² K ant species edum mix [*] "Obsidian" F "Electra" Ye hys byzantina ivum "Reinha	0.308 <i>Table</i> Heigh Purple How ard"	1.90 <i>3. Plant paran</i> ht of plants [m] 0.125 0.250 0.150 0.375 0.050	50 meters utilize LAI [m ² /m ²] 2.80 5.00 4.50 4.25 3.25	0.306 ed [30] Leaf reflectivity - 0.180 0.200 0.205 0.195 0.155	0.299 Leaf emissivity - 0.97 0.97 0.97 0.97 0.97	Stomata resistanc [mmol/m 105.0 170.0 195.0 255.0 105.0		
Pl Se Heuchera Heuchera Stacl Semperv Salv "B	[W/m ² K ant species edum mix [*] "Obsidian" H "Electra" Ye hys byzantina ivum "Reinha ria officinalis Berggarten"	0.308 <i>Table</i> Heigh Purple Purple ard"	1.90 <i>3. Plant paran</i> ht of plants [m] 0.125 0.250 0.150 0.375 0.050 0.475	50 neters utilize LAI [m ² /m ²] 2.80 5.00 4.50 4.25 3.25 5.00	0.306 ed [30] Leaf reflectivity - 0.180 0.200 0.205 0.195 0.155 0.220	0.299 Leaf emissivity - 0.97 0.97 0.97 0.97 0.97 0.97	Stomata resistanc [mmol/m ² 105.0 170.0 195.0 255.0 105.0 300.0		

6	3	8

 Table 4. Substrate parameters utilized and composition
 [29]

Sample identifier	Coco peat	<mark>Compost</mark>	Crushed wastes	Sand	<mark>Pozzolana</mark>	Conductivity	Density	Specific heat
1	<mark>%</mark>	<mark>%</mark>	<mark>%</mark>	<mark>%</mark>	<mark>%</mark>	[W/mK]	[Kg/m ³]	[J/kgK]
Substrate 1	<mark>0</mark>	<mark>40</mark>	<mark>0</mark>	<mark>20</mark>	<mark>40</mark>	0.2	<mark>873.2</mark>	<mark>788</mark>
Substrate 2	<mark>25</mark>	<mark>25</mark>	<mark>40</mark>	<mark>10</mark>	<mark>0</mark>	<mark>0.21</mark>	<mark>759.6</mark>	<mark>923</mark>
Substrate 3	<mark>N/A</mark>	<mark>6</mark>	<mark>N/A</mark>	<mark>N/A</mark>	<mark>N/A</mark>	<mark>0.284</mark>	<mark>772.7</mark>	<mark>1360</mark>
Substrate 4	<mark>25</mark>	<mark>40</mark>	<mark>30</mark>	<mark>5</mark>	<mark>0</mark>	<mark>0.288</mark>	<mark>748.4</mark>	<mark>546</mark>
Substrate 5	<mark>60</mark>	<mark>15</mark>	<mark>20</mark>	<mark>5</mark>	<mark>0</mark>	<mark>0.229</mark>	<mark>724</mark>	<mark>375</mark>

642 Table 5. Surface temperature comparison and annual energy consumption and saving of bare roof and green roof

compared during summer and winter period											
Roof type	T _{ext max} - T _{ext_min}	Δ	T _{ext_max} - T _{ext_min}	Δ	Cooling energy consumption	Cooling energy saving	Heating energy consumption	Heating energy saving			
	[°C]	<mark>[%]</mark>	[°C]	<mark>[%]</mark>	[Wh/m ²]	<mark>[%]</mark>	[Wh/m ²]	<mark>[%]</mark>			
Bare roof	<mark>53.42</mark>	-	<mark>35.87</mark>	-	<mark>43606</mark>	-	<mark>49860</mark>	-			
Sedum	<mark>20.28</mark>	<mark>62.05</mark>	<mark>11.34</mark>	<mark>68.38</mark>	<mark>34603</mark>	<mark>20.65</mark>	<mark>45668</mark>	<mark>8.41</mark>			
Heuchera purple	<mark>15.81</mark>	<mark>70.4</mark>	<u>10.04</u>	<mark>72.02</mark>	<mark>33761</mark>	<mark>22.58</mark>	<mark>46214</mark>	7.31			
Heuchera yellow	<mark>16.69</mark>	<mark>68.75</mark>	<mark>10.3</mark>	<mark>71.27</mark>	<mark>34126</mark>	<mark>21.74</mark>	<mark>45991</mark>	<mark>7.76</mark>			
Stachys	<mark>16.54</mark>	<mark>69.04</mark>	10.75	<mark>70.03</mark>	<mark>33621</mark>	<mark>22.9</mark>	<mark>46363</mark>	<mark>7.01</mark>			
Sempervi vum	<mark>19.16</mark>	<mark>64.14</mark>	11.23	<mark>68.7</mark>	<mark>34583</mark>	<mark>20.69</mark>	<mark>45674</mark>	<mark>8.4</mark>			
Salvia	15.33	71.31	10.18	71.61	33345	23.53	<mark>46529</mark>	<mark>6.68</mark>			

Legend	25-30 High Cooling		25-30 High Heating		20-25 Medium-high		15-20 Medium	
Green roof Package	STR _{av} Cooling	STR _{av} Heating	ETR _{max} Cooling	ETR _{max} Heating	TER Cooling	TER Heating	Score	Rank
Salvia + Substrate 1	28.23	1.19	25.91	0.70	4.97	0.41	61.41	13
Salvia + Substrate 2	28.40	1.27	26.32	0.64	5.07	0.38	62.08	12
Salvia + Substrate 3	30.00	2.67	30.00	0.00	6.00	0.00	68.67	2
Salvia + Substrate 4	27.65	0.61	25.11	0.72	4.72	0.57	59.37	15
Salvia + Substrate 5	26.29	0.00	22.31	1.15	4.08	0.77	54.60	20
Stachys + Substrate 1	21.40	3.12	20.46	2.85	4.00	0.85	52.68	23
Stachys + Substrate 2	21.55	3.22	20.91	2.78	4.10	0.82	53.38	22
Stachys + Substrate 3	23.12	4.78	25.23	2.06	5.19	0.40	60.78	14
Stachys + Substrate 4	20.68	2.52	19.82	2.88	3.76	1.02	50.67	25
Stachys + Substrate 5	19.34	1.85	16.45	3.39	3.00	1.24	45.26	30
Heuchera purple + Substrate 1	21.24	4.29	21.53	2.54	4.31	0.69	54.60	21
Heuchera purple + Substrate 2	21.35	4.42	21.99	2.45	4.42	0.65	55.29	19
Heuchera purple + Substrate 3	22.65	6.23	26.40	1.71	5.57	0.19	62.75	11
Heuchera purple + Substrate 4	20.34	3.51	20.72	2.59	4.02	0.89	52.07	24
Heuchera purple + Substrate 5	19.34	2.78	17.28	3.19	3.20	1.15	46.94	28
Heuchera yellow + Substrate 1	14.76	6.98	16.95	4.30	3.54	0.98	47.51	27
Heuchera yellow + Substrate 2	14.86	7.19	17.46	4.23	3.66	0.93	48.33	26
Heuchera yellow + Substrate 3	16.10	10.06	22.44	3.39	4.95	0.38	57.32	18
Heuchera yellow + Substrate 4	13.77	6.45	16.20	4.42	3.24	1.19	45.27	29
Heuchera yellow + Substrate 5	12.92	17.96	12.27	17.54	2.29	3.68	66.66	3
Sempervivum + Substrate 1	4.85	24.93	8.15	21.94	1.88	4.13	65.89	6
Sempervivum + Substrate 2	4.96	24.35	8.67	21.19	2.01	3.98	65.16	7
Sempervivum + Substrate 3	6.31	21.20	14.20	11.55	3.40	1.88	58.53	17
Sempervivum + Substrate 4	3.84	26.83	7.38	22.41	1.57	4.46	66.49	4
Sempervivum + Substrate 5	2.83	29.53	3.21	27.85	0.61	5.59	69.62	1
Sedum + Substrate 1	2.15	26.25	4.92	24.47	1.23	4.66	63.69	8
Sedum + Substrate 2	2.28	25.70	5.44	23.75	1.35	4.51	63.04	10
Sedum + Substrate 3	3.76	22.61	10.99	16.43	2.73	2.82	59.34	16
Sedum + Substrate 4	1.18	27.12	4.10	24.90	0.92	4.96	63.19	9
Sedum + Substrate 5	0.00	30.00	0.00	30.00	0.00	6.00	66.00	5

645 Table 6. Results of the effect of the different plant-substrate configurations on the energy performance of green roofs