

1 **Dust emission and dispersion from mineral storage piles**

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6

7 **ABSTRACT**

8 Dust pollution is a complex problem of growing interest because of its environmental, health, economic
9 and political impact. Environmental impact assessment methods for dust pollution management are often
10 based on the simulation of dust dispersion, which requires a precise characterization of the source term
11 and of the source parameters. The source term model should be as simple and as accurate as possible and
12 require low time consumption in order to be easily connected to a more complex algorithm for the
13 dispersion calculations. This work focuses on dust emissions from mineral storage piles, which are usually
14 modelled as source terms by means of the algorithm proposed in the AP-42 US EPA standard.
15 Unfortunately, this algorithm tends to overestimate emissions, and when coupled with a Gaussian
16 dispersion model it leads to inaccurate results in terms of estimation of both of concentrations and spatial
17 distribution. This paper proposes a new methodology drawn from the original standard US EPA AP-42
18 scheme with the purpose to account for the actual dynamics of erosion, and to enhance the accuracy of the
19 concentration and the pollutant spatial distribution assessment, thereby considering the effects of the wind
20 interactions. The standard EPA methodology and the new one have been compared by means of the
21 AERMOD and CALPUFF dispersion models. Results are superimposable in terms of concentration values,
22 leading to a quantification of the same order of magnitude, although with a different and more variable
23 spatial distribution.

24

25 Keywords: particulate matter (PM) emission; dispersion modeling; AP-42; emission factors; AERMOD;
26 CALPUFF

27

28 **1. INTRODUCTION**

29 The particulate matter (PM) or, equivalently, the total suspended particulate (TSP) is the ensemble of solid
30 and liquid particles dispersed in the atmosphere with a diameter in a range from few nanometers to
31 hundreds of micrometers. It is characterized by a very complex chemical composition, with components
32 such as O₃, CO, SO₂, NO₂. The PM neither constitutes a specific chemical entity, nor possesses unique
33 composition (US EPA, 2004a, 2004b; WHO, 2004). Given the wide range of features, PM is characterized by
34 multimodal dimensional distribution and complex composition. The emitted dust can spread over long
35 distances because of the action of wind and atmospheric turbulence or settle down because of scavenging
36 agents such as rain and snow (US EPA, 2004a, 2004b; Kelly and Russel, 2012). The properties of PM affect
37 the atmospheric behaviour of the particles, the interaction with citizens and the environment; the
38 detrimental action mechanism of the PM is still not completely understood (Kelly and Russel, 2012),
39 although the relation between exposure to dusts and several health effects has been proven (US EPA,
40 2004a, 2004b). Humans' exposure to dust pollution can occur by three main ways: through respiration,
41 ingestion and wounded skin. Exposure to pollutant agents can lead to both chronic and acute effects,
42 strictly related to composition and particles dimensions. Considering the exposure through the airways, the
43 typical short-term effects may include inflammatory reactions, respiratory symptoms, and cardiovascular
44 diseases. Among chronic effects of exposure it is worth to mention reduction of lung activity, COPD
45 (Chronic obstruction pulmonary diseases), cardiopulmonary disease and lung cancer. The susceptibility to
46 PM pollution is closely related to genetic causes, gender, behavioural, social or environmental factors
47 (Mage and Donner, 1995; WHO, 2004; Curtis et al., 2006; Brunekreef and Forsberg, 2005; Yang and Omaye,
48 2009).

49 Among the others, PM have an environmental impact by means of effects such as hindering of
50 photosynthesis, alterations of the aquatic environment, solid surface corrosion and fouling. Furthermore,
51 suspended dust particles can impair the visibility over long distances (US EPA, 2004a, 2004b; Prajapati,
52 2012). Dust pollution can also produce economic impacts due to the costs related to prevention and
53 remediation technologies required to satisfy mandatory requirements. Actually, other kinds of costs should
54 be taken into account, such as hospitalization and care expenses, damage costs resulting from deposition
55 and chemical interaction with the surfaces, communications and corporate social responsibility costs (El
56 Fadel and Massoud, 2000; Rohr and Wyzga, 2012).

57 Based on these considerations, the importance that modelling activities may have in order to prevent or
58 reduce the impact of particulates emission can be easily understood. As already mentioned, PM may be
59 emitted from many sources. This work focuses on mineral storage piles that are mainly present in the
60 mining activities or heavy industry, and which can have a huge impact on health and environmental quality.
61 The aim of this study is to propose a new and simple instrument to be used for assessing the impact of PM
62 emission from piles when performing dispersion calculations. The accurate definition of the source term is
63 crucial order to provide the emission data as input to a dispersion model. The estimation of dust emissions
64 from mineral storage piles is commonly obtained by means of the methodology suggested in the section
65 13.2.5 of the AP - 42 U.S. EPA. This paper compares AERMOD and CALPUFF model applied to the dispersion
66 simulation of dust emissions from storage piles estimated based on the AP-42 US EPA model as well as on a
67 new improved scheme proposed in this work.

68

69 **2. MATERIALS AND METHODS**

70 **2.1 The AP-42 emission scheme**

71 The U.S. Environment Protection Agency (US EPA) algorithm has been developed by means of field tests
72 with both portable and fixed wind tunnels. The main goals of that research has been to obtain a simple
73 methodology characterized by a good degree in accuracy. However, the methodology has some inherent

74 limitations: it tends to overestimate emissions, has empirical origin (thus being limited in application to
75 specific situations) and analyses only the conical and the flat-topped circular base geometries, thus
76 neglecting some other different shapes that are commonly applied in workplaces to optimise spaces. In this
77 sense it should not be extended to different conditions although the scientific community has nonetheless
78 extended its application to other contexts, thereby accepting the inaccuracies that can be compensated by
79 means of environmental sampling (Axetell & Cowherd Jr., 2004; US Environment Protection Agency [US
80 EPA], 2006).

81 The AP-42 emission factor can be calculated based on the following assumptions and concepts:

- 82 • Logarithmic wind profiles.
- 83 • Fastest mile: u_{10}^+ [m/s]. It is the maximum value of wind speed between two disturbs of the surface
84 corresponding to the entire mile of wind movement as detected by a reference anemometer at 10
85 m from the ground. It is the representative parameter of wind gusts, obtained by converting the
86 measured values into a distance: all data greater than a mile will be effective bursts, while others
87 will be discarded. Among all, the greater one (in terms of length) will be selected (in terms of
88 speed).
- 89 • Threshold friction velocity: u_t^* [m/s]. It is the erosion limit which can be determined by means of a
90 sieving procedure as stated by the ASTM-C-136 standard; above the erosion limit the detachment
91 of the particles from the pile surface and the consequent removal by the wind action will occur.
- 92 • Normal surface velocity distribution: $u_s^+ = \frac{u_s}{u_r} u_{10}^+$ [g/m^2]. It represents the fastest mile distribution
93 above the surface, normalized with respect to the fraction of the speed detected in wind tunnel
94 tests at 15 cm above the surface (u_s) with the speed detected by a 10 m reference anemometer (u_r)
95 (Stunder & Arya, 1988).
- 96 • Friction velocity: u^* [m/s]. It is a representative parameter of the shear stress and is related to the
97 mechanical wind action at surface level; for flat piles ($H/B < 0.2$) it can be determined as
98 $u^* = 0.053 u_{10}^+$; for elevated piles ($H/B > 0.2$) as $u^* = 0.10 u_s^+$.

- 99 • Emission potential: $P = 58(u^* - u_t^*)^2 + 25(u^* - u_t^*)$ [g/m²]. It is the maximum specific emission
100 per surface unit. It is assumed to be zero as a consequence of a total instantaneous release when
101 the friction velocity related to the fastest mile is above the threshold friction velocity. It is assumed
102 to be restored whenever the surface is affected by mechanical actions (e.g., handling, supply of
103 new material, etc.).
- 104 • Emission factor: $EF = k \sum_{i=1}^N P_i$ [g/m²]. It is obtained from the erosion potential for the *i*-th period;
105 it accounts for the particles size distribution by means of the multiplicative constant *k* obtained
106 from experimental investigations.

107 By means of the emission factor it is thus possible to calculate the emission rate related to the surface
108 under study for the simulation period (Stunder & Arya, 1988; US EPA, 2006), that is, all the dust that can be
109 eroded from the surface is emitted in one shot in the hour of the fastest mile.

110 The friction velocity depends on the distribution of the wind velocity on the surface and thus it is affected
111 by the flow conditions in proximity of the surface. Based on these premises, applications of the AP-42
112 standard have been developed to enhance the quantitative prediction and to extend its use to different
113 geometries and conditions. One of the most interesting ones involves coupling the EPA algorithm with the
114 computational fluid dynamics software (as ANSYS CFX or FLUENT). This method led an enhanced prevision
115 for wind distribution above the surface to be used in the source term calculation for particulate dispersion
116 modelling (Badr & Harion, 2005; Cong et al., 2012; Diego et al., 2009; Toraño et al., 2007; Turpin & Harion
117 2009, 2010). Particles detachment modelling would require the use of complex mathematical means to
118 accurately describe the physics of the problem. Unfortunately, CFD application to long-term simulation is
119 still problematic because of the computational time. Furthermore, air stability description creates issues on
120 long term modelling, thus leading to turn toward less sophisticated instruments. Because of these
121 considerations, the preferred available approach is the coupling of the emission factor obtained by means
122 of the AP-42 algorithm with a simpler dispersion model. In this sense, Gaussian models (either plume or
123 puff ones like AERMOD or CALPUFF) are a good compromises between accuracy and computing
124 requirements and are thus the most used instruments. Based on this considerations, this study proposes an

125 approach that uses an improved estimate of the source term and then compares the modelling results
126 obtained both with AERMOD and CALPUFF.

127 **2.2 Instruments used for dispersion modelling**

128 The alternative procedure was developed for the application of the EPA AP-42 standard, drawn from the
129 original scheme, with the aim to take into account for the actual dynamics of erosion. The last one is
130 strongly influenced by wind intensity, directionality and randomness of the wind action. Furthermore, the
131 emission dispersion depends on the same variables.

132 From these observations, the EPA AP-42 scheme was applied but assuming that also miles of lower entities
133 are able to erode part of the mineral on the surface, according to the below explained sequential release
134 path. The goal of the new approach is to obtain a values distribution for the source term as accurate and
135 conservative as possible, maintaining the same general features as for the traditional application when
136 compared to experimental data but allowing to follow in a more realistic way iso-concentration and iso-
137 deposition profiles resulting from the dispersion calculation.

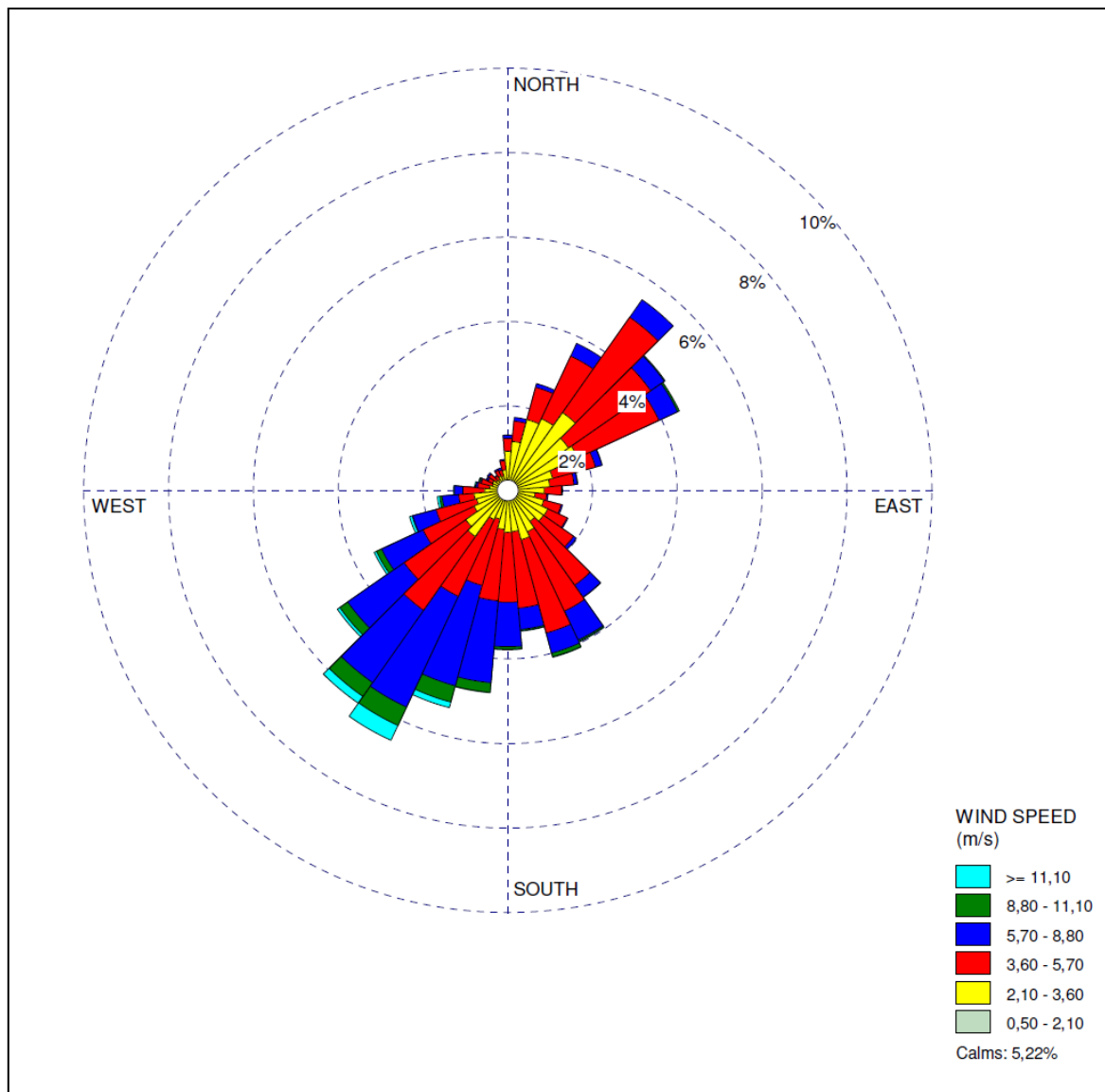
138 In the following chapters, the outcomes of the two source term estimation methods are compared by
139 means of AERMOD and CALPUFF dispersion models.

140 The US EPA AERMOD is considered to be the most advanced among steady-state Gaussian plume models
141 (Carrera-Chapela et al., 2014). In the stable boundary layer, it assumes the concentration distribution to be
142 Gaussian in both the direction perpendicular to the plume axis. In the convective boundary layer, the
143 horizontal distribution is also assumed to be Gaussian, but the vertical distribution is described with a bi-
144 Gaussian probability density function. The plume is modelled as either impacting and/or following the
145 terrain features. Stability conditions are described by means of the Monin-Obhukov model (US EPA 2004c,
146 2004d, 2004e). AERMOD also incorporates current concepts about flow and dispersion in complex terrain
147 (Busini et al., 2012). The US EPA CALPUFF has been developed for far field (>50 km from the source)
148 dispersion calculations (US EPA, 2008). CALPUFF is a multilayer, multispecies, non-steady-state, Lagrangian,
149 Gaussian, puff dispersion model. It is able to account for the effects of time and space variations,

150 meteorological conditions (3D met model), on pollutants transport, transformation, and removal. The total
151 concentration at a receptor is obtained as the sum of the contributions of all nearby puffs averaged.
152 CALPUFF is able to describe a 3D wind field (Scire et al., 2000a, 2000b). In this work CALPUFF was ran using
153 a single-station meteorological dataset (AERMOD-type data) in order to make the outcomes comparable
154 with the AERMOD ones. The choice of these two models was based on the consideration of the specificities
155 of the case study, as well as the US EPA (2008) indications for air modelling regulatory application. The
156 release from mineral storage piles is likely to be described as a puff release, while typically its effects are
157 considered to be very impacting in the near field. By these considerations, AERMOD was chosen because it
158 is the EPA recommended model for near field applications while, on the other hand, CALPUFF was selected
159 because its puff formulation is likely to be the best suitable choice to describe the dynamics of the
160 dispersion of a non-continuous release.

161 **2.3 Data gathering, model set up, and basic assumptions**

162 For the meteorological data, a realistic case was considered (data recorder at Spokane Airport, Washington,
163 USA). Figure 1 shows the wind rose (blowing from) relevant to the meteorological data set. A preferential
164 wind direction blowing from SW can be observed, and 5.22% of wind calms. This observation is important
165 as CALPUFF is able to deal with very low wind conditions, whereas AERMOD neglects dispersion contributes
166 associated with a wind velocity below 0.28 m/s. Another difference that is expected to influence results is
167 related to the ability of CALPUFF to account for the previous hours outcomes, which is not achievable with
168 AERMOD (Barclay & Borissova, 2013).



169

170 *Figure 1. Wind rose relevant to the meteorological data used for the case study*

171

172 DEM1-Deg model was selected to account for orographic conditions whereas a mineral storage piles yard

173 consisting of 6 piles parallel (50m x 5m x 5m) to the prevailing wind direction was investigated. The

174 simulation domain has an extent of 5000m x 5000m and a receptor grid spacing of 25 m was selected after

175 a grid sensitivity analysis.

176 The simplest case reported in the AP-42 document, the flat-type pile ($H/B < 0.2$, threshold friction velocity =

177 0.55 m/s), was selected in order to avoid some unnecessary complications in the calculations that are

178 related to geometrical features.

179 AERMOD and CALPUFF require dust particle size distribution to perform dust dispersion simulations. PM10
180 distribution was used in order to simplify calculations. Moreover, a gusts distribution is required to produce
181 the emission rates distribution. Given the unavailability of real field data for wind gust distribution, because
182 the wind rose represent hourly averaged wind speed without giving any information about the gusts, an
183 hypothesis about the velocity of the gusts was necessary. In this perspective, the behavior of a real
184 distribution reported in the AP-42 document was taken as a reference: in this distribution, differences
185 between the mean wind speed and wind gusts values is characterized by a 3 m/s mode; so, 3 m/s were
186 added to the hourly averaged recorded wind speed values of the case study.

187 Once all the required data were gathered the source terms were calculated both by means of the above
188 explained traditional AP-42 method and the alternative method. For the last one, the concept of daily
189 fastest mile needs to be introduced, which is calculated considering the highest speed that occurs in a
190 single day, and then the following procedure is adopted:

- 191 • the overall emission potential referred to the overall fastest mile (i.e., the fastest mile of the
192 traditional method) between two disturbs is calculated by means of the traditional AP-42 approach;
- 193 • in day one: the daily fastest mile is used to calculate the daily emission potential with the same
194 formulation of the AP-42 standard;
- 195 • the emission rate is obtained from the daily emission potential with a similar approach to the one
196 described in the traditional method;
- 197 • in day two, and consecutives in the period, the procedure is repeated until the sum of the daily
198 emission potential is equal to the maximum emission potential, that is the one of the traditional
199 method;
- 200 • if residual material is still available above the emitting surface, then the updated overall emission
201 potential is used to calculate the emission rate related to the day in which the overall fastest mile
202 occurs.

203 Of course if the overall fastest mile occurs in the first day after the refreshment of the emission potential,
204 all the material on the emitting surface is carried away by the wind gust related to this fastest mile, thus

205 leading to the impairment of the surface emission capability unless new fresh material is still available.
206 Otherwise, part of the available material is emitted day by day reproducing a more realistic scenario.
207 Once the required data have been gathered, dispersion simulations were performed under the hypothesis
208 of bi-daily, weekly and bi-weekly disturbs of the surface. For each surface disturb, the source term was
209 calculated using the pseudo-gusts obtained by means of the two procedures discussed above.

210 **3. RESULTS AND DISCUSSION**

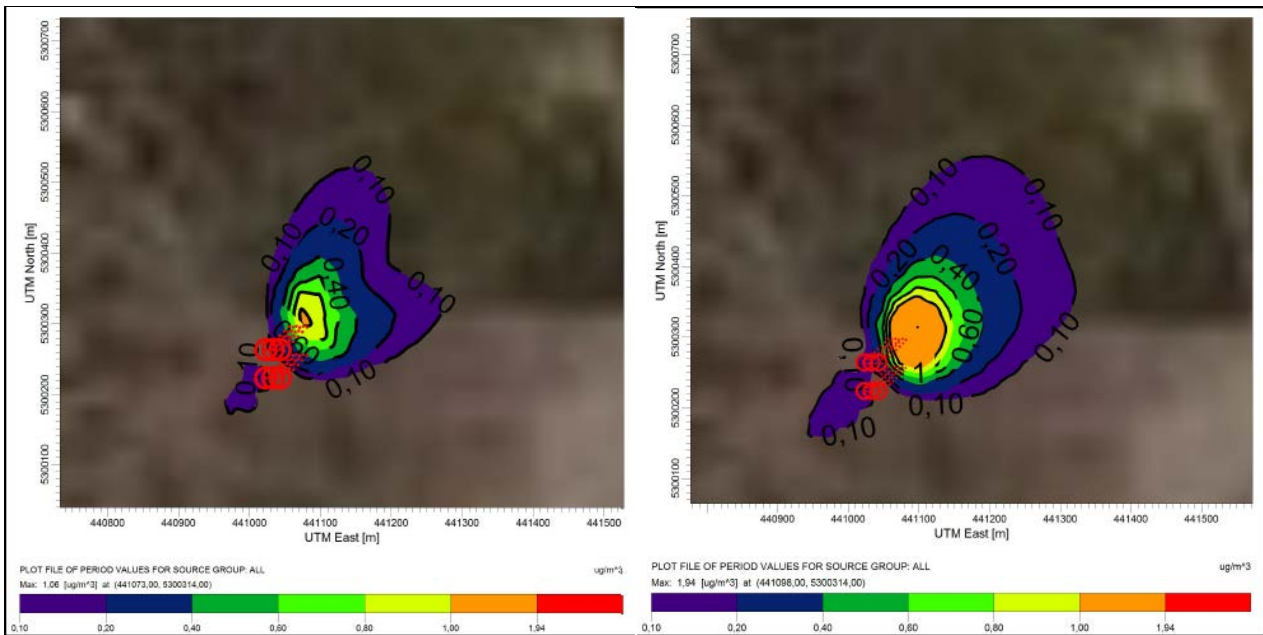
211 **3.1 Assessment of the mean specific flux of the emitted LFG**

212 The simulations results are represented by means of iso-concentration maps, which are useful in order to
213 compare the results obtained with the different models. In general, as expected, the extension of the
214 averaging time led to results of the same order of magnitude for both of the dispersion models and for
215 both of the emission schemes. Slightly higher results can be observed for CALPUFF simulations, confirming
216 what above stated about the capability to treat wind calms and to memorize the contributes of the
217 previous hours.

218 The two different emission schemes (traditional AP-42 vs. alternative/improved) for each of the three
219 typology of disturbs (bi-daily, weekly and bi-weekly) being studied were compared. Although several
220 considerations could be done for very short averaging period, results will be presented only for the long-
221 term averaging period since the last one is the most interesting outcome for regulatory modelling
222 purposes.

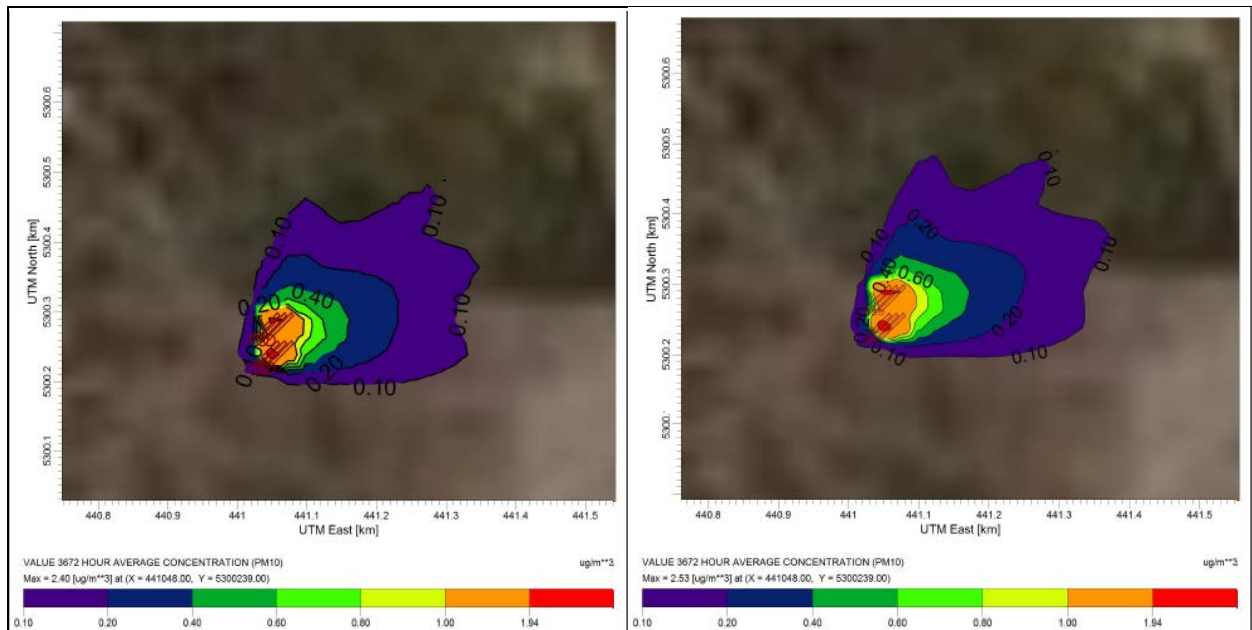
223 Figure 2 and Figure 3 show the long-term concentration isopleth for the case of bi-daily disturbs, obtained
224 by means of AERMOD (Figure 2) and CALPUFF (Figure 3), respectively. Greater differences between
225 traditional and alternative emission scheme can be observed for the AERMOD model. The concentration
226 isopleth change significantly in shape with the introduction of new different plumes. This is an effect of the
227 partial emission scheme that, for the combined application of the alternative procedure with AERMOD, led
228 to the obtainment of an improved description of the pollutant spatial distribution. CALPUFF outcomes
229 produce less significant differences in terms both of shapes and isopleth values. It could be an effect of the

230 combination of a very short emission interval with the memory capability that characterize the puff model.
231 Indeed, CALPUFF is able to redistribute the pollutant over the entire domain also accounting for the
232 previously emitted pollutant. In the case of bi-daily disturbs the concentrations cannot be diluted very fast
233 to negligible amounts – which would not be recorded by the model – and, as a consequence, CALPUFF is
234 able to consider also the previous contributes. As a general consideration, the overall prediction order is
235 conserved for both the models while both the old and the new procedure were applied.



237 *Figure 2. AERMOD long term concentrations for bi-daily disturbs: traditional scheme (left) vs. alternative scheme (right)*

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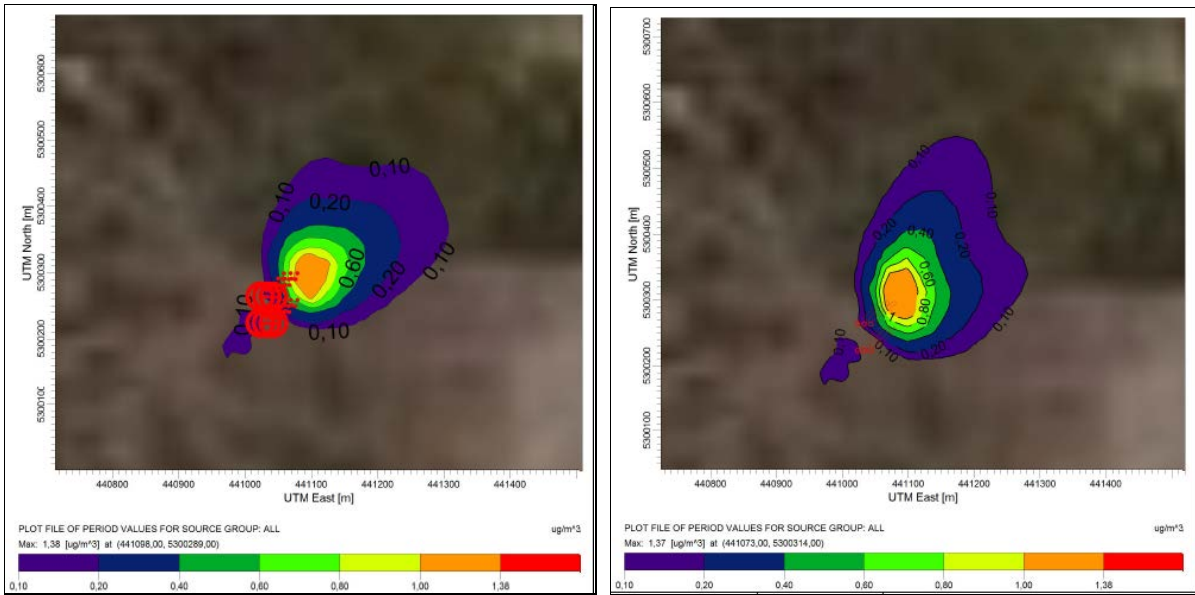


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240 *Figure 3. CALPUFF long term concentrations for bi-daily disturbs: traditional scheme (left) vs. alternative scheme (right)*

241

242 Figure 4 and Figure 5 show the long-term outcomes for the traditional and alternative schemes obtained
 243 for the case of weekly disturbs, by means of AERMOD (Figure 4) and CALPUFF (Figure 5), respectively.
 244 Coherently with the prevision of the AP-42 traditional scheme, the reduction of the concentration values
 245 was obtained because of the lowering in the number of restorations of the surface emission potential. Also
 246 in this case, it can be noticed that the quantitative estimate for both AERMOD and CALPUFF maintain the
 247 same order of magnitude when applying the alternative and traditional methods. Contrarily to the case of
 248 bi-daily disturbs the CALPUFF isopleths appear more modified than in the AERMOD case, which may be
 249 explained by making a hypothesis about the CALPUFF sensitivity to the interaction between wind gusts and
 250 emissions.

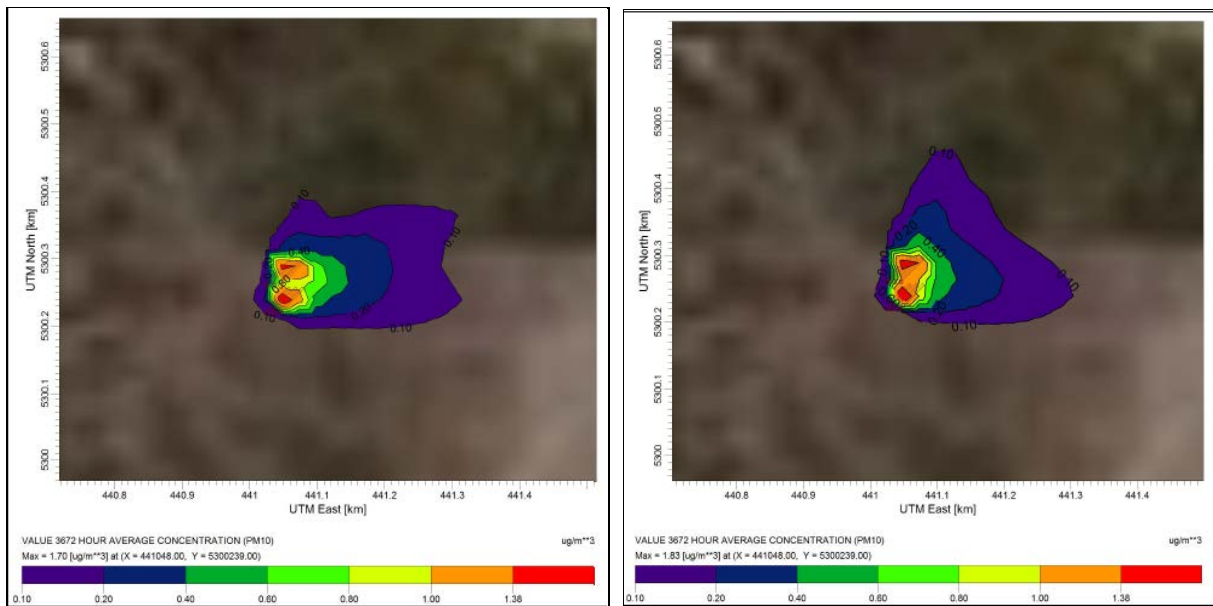


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Figure 4. AERMOD long term concentrations for weekly disturbs: traditional scheme (left) vs. alternative scheme (right)

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Figure 5. CALPUFF long term concentrations for weekly disturbs: traditional scheme (left) vs. alternative scheme (right)

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Figure 6 and Figure 7 related to bi-weekly disturbs, obtained by means of AERMOD (Figure 6) and CALPUFF

258

(Figure 7), respectively, maintain the general overall behaviour described above. It is worth to mention that

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for the CALPUFF simulations, differences between traditional and alternative scheme are more

260

pronounced. However, the alternative scheme produces a reduction of the extent of the main plume with

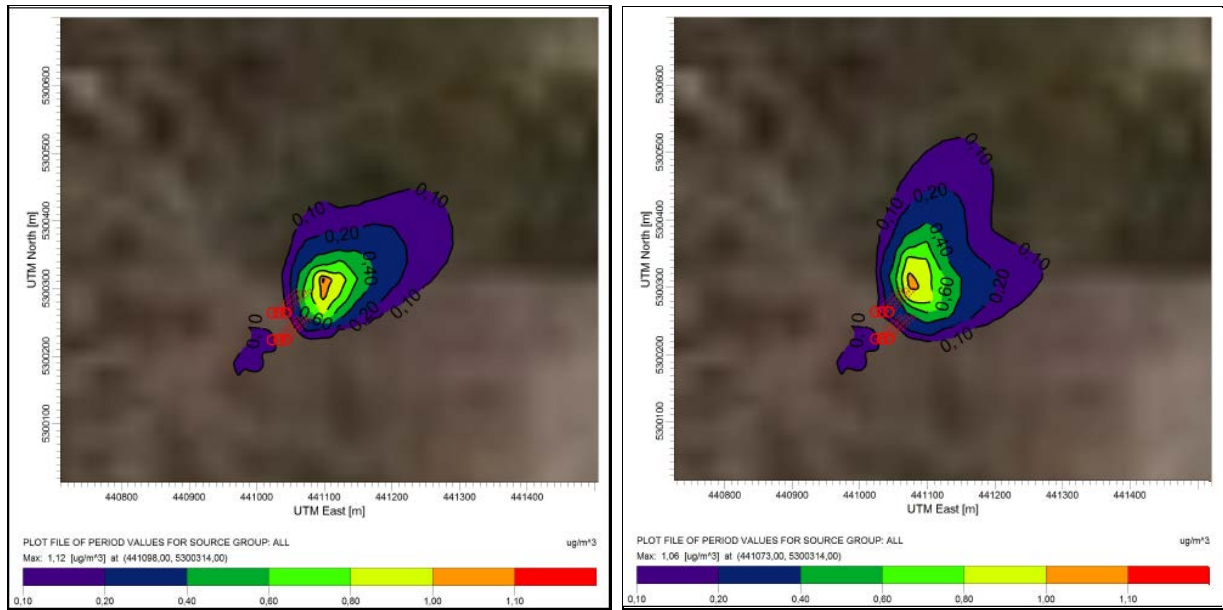
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respect to the result obtained with the traditional scheme, while a new preferential dispersion direction

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appears.

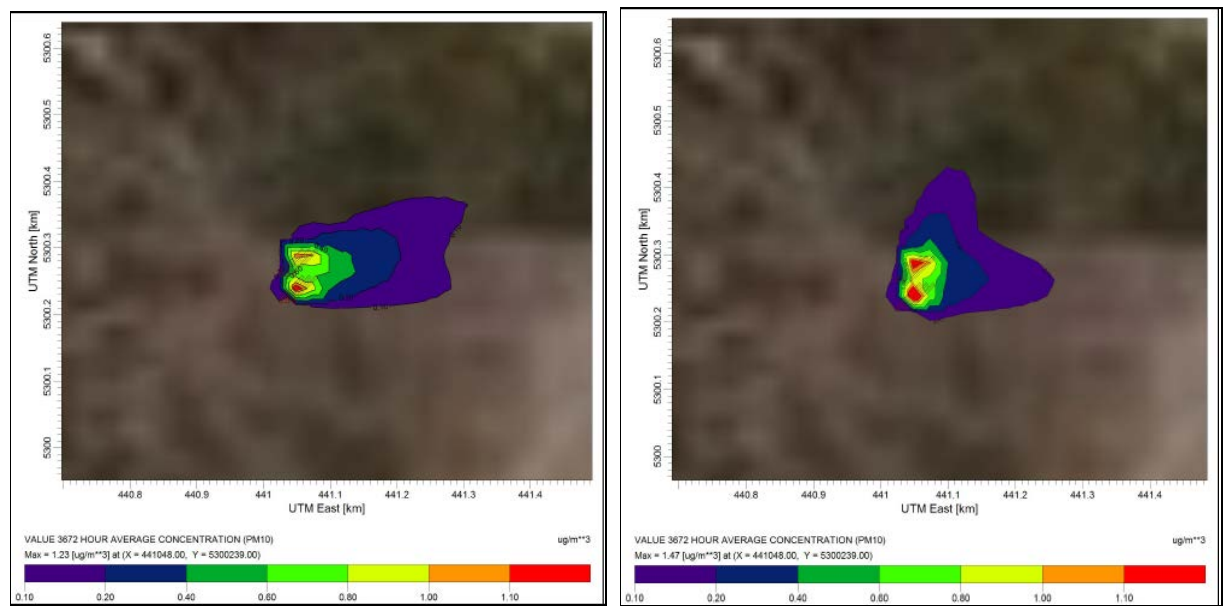
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265 *Figure 6. AERMOD long term concentrations for bi-weekly disturbs: traditional scheme (left) vs. alternative scheme (right)*

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267

268 *Figure 7. AERMOD long term concentrations for bi-weekly disturbs: traditional scheme (left) vs. alternative scheme (right)*

269

270 4. CONCLUSIONS

271 Dust emissions from mineral storage piles are usually modelled by means of the AP-42 standard method.

272 This work has discussed an alternative procedure that rely on a sequential release path and has been drawn

273 from the original application scheme in order to get a more realistic and reliable instruments to describe

274 emission phenomena. Both procedures have been applied in order to obtain specific emission rate data to
275 be used as input for AERMOD and CALPUFF models. A comparison between the behaviour of the two
276 emission schemes has been performed by means of the two dispersion models.

277 The results obtained with both AERMOD and CALPUFF are characterized by the same order of magnitude
278 for the two release schemes, although with some differences in the isopleth shape. Anyway, the traditional
279 AP-42 method is not conservative producing always shorter distance because of the higher dilution
280 obtained with the overall fastest mile. The general results obtained from the study of the case here
281 discussed are coherent with expectations, although CALPUFF seems to be more sensitive than AERMOD to
282 the interaction between wind gusts and the emission scheme. A future step of this work could be a
283 sensitivity analysis in order to investigate this aspect.

284 The most interesting point that emerges from this study is the achievement of significant changes in the
285 long-term description of the spatial distribution of dusts, although only on a theoretical and modelling
286 basis. In this sense, this work has to be considered as a first step of a process that will be completed with
287 the application of the methodology to real case studies and possibly with the following validation of results
288 by means of field data.

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