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# Mars Orbit Insertion via Ballistic Capture and Aerobraking 

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#### Abstract

A novel Mars orbit insertion strategy that combines ballistic capture and aerobraking is presented. Mars ballistic capture orbits that neglect aerodynamics are first generated, which are distilled from properly computed stable and unstable sets by using an already-established method. A small periapsis maneuver is implemented at first close encounter to better submit the post-capture orbit to the aerobraking process. An ad-hoc patching point marks the transition from ballistic capture to aerobraking, from which an exponential model simulating Mars atmosphere and a box-wing satellite configuration is considered. A series of apoapsis trim maneuvers are then computed by targeting a prescribed pericenter dynamic pressure. The aerobraking duration is estimated by using a simplified two-body model. A yaw angle tuning cancels inclination deflections due to out-of-plane perturbation from the Sun. A philosophy combining in-plane and out-of-plane dynamics is proposed to achieve the required semi-major axis and inclination simultaneously. Numerical simulations indicate that the developed method is more efficient in terms of fuel consumption, insertion safety, and flexibility than state-of-the-art insertion strategies.


Keywords: Ballistic capture, Aerobraking, Mars orbit insertion

## 1. Introduction

Patched conics approximation is widely used in astrodynamics as it decomposes an involute route into a succession of simpler Keplerian orbit pieces. Common EarthMars transfers are constructed by application of the patched conics method; the goal is to minimize the launch mass, or equivalently, to maximize the mass of the

[^0]payload delivered. In these cases, a chemical burn is used to inject the spacecraft into a low-altitude, near-circular orbit around Mars. This process, or Mars orbit insertion (MOI), involves maneuvering at the periapsis of the incoming hyperbola [1, 2]. Overall, this approach facilitates the preliminary trajectory designs, yet it has drawbacks: 1) A considerable amount of propellant is used to cancel the hyperbolic excess velocity upon arrival; 2) The periapsis insertion maneuver exposes the mission to a high risk of failure if the retro-engine is off-nominal [3]; 3) The launch window are mainly dictated by the relative geometry between the Earth and Mars, and this engenders a fixed two-year launch period from the Earth [1].

Another option consists of using aerobraking. Following the incoming hyperbola, a moderate periapsis burn is used to deploy the spacecraft into an elongated elliptic orbit, so exposing it to multiple atmospheric passages across the periapsis. The orbit is gradually circularized because of the energy dissipation in the atmospheric phases $[4,5]$. This mechanism reduces significantly the $\Delta v$ to acquire the final orbit, so making it attractive for Mars missions [6]. In the Mars Global Surveyor (MGS) mission, at least $1 \mathrm{~km} / \mathrm{s}$ was saved using aerobraking [2, 7]. Moreover, the data gathered by on-board sensors may be used to estimate aerodynamic and atmospheric parameters [8, 9]. Aerobraking was demonstrated by Hiten [10], and was applied in Venusian missions Magellan [11] and Venus Express [12], and Martian missions MGS [7], Odysssey [13] and Mars Reconnaissance Orbiter (MRO) [14]. It has also been proposed in ExoMars [15].

Mars ballistic capture has recently emerged as a valid alternative to patched conics [3]. A proper use of attractions from the Sun and Mars allows a spacecraft to approach Mars and enter a temporarily captured orbit without requiring maneuvers in between. Ballistic capture may reduce fuel expenditure at the price of a longer Mars approach time [16, 17]. It also provides multiple MOI opportunities, so mitigating the risks associated to a single-point burn [18, 19, 20]. From an operational point of view, this benign process is safer than performing a high or moderate capture maneuver, as required by a Hohmann transfer or a conventional aerobraking. Stabilization maneuvers can even be totally canceled if temporary, irregular capture orbits are tolerated [21, 22, 23, 24, 25]. Moreover, ballistic capture can provide more flexible launch opportunities because the paradigm is no longer to target a physical point in space, but rather reaching a manifold that supports capture [3, 26]. Ballistic capture has been successfully employed in lunar transfers [27, 28, 29, 30] and baselined for the exploration of Mercury [31, 32].

Although both aerobraking and ballistic capture economize on fuel consumption over patched conics, they are not immune by deficiencies. A moderate $\Delta v$ is still required before delivering the spacecraft into a low Mars orbit for both alternatives:

1) Ballistic capture offers, at most, $25 \%$ fuel savings over a Hohmann transfer to Mars [3]; 2) A $\sim 1 \mathrm{~km} / \mathrm{s}$ maneuver was needed to insert MGS into a $54,025.9 \times 262.9$ km elliptical orbit [2]. Moreover, aerobraking is subject to single-point failures and similar launch windows constraints as patched conics. In addition, both methods extend considerably the time to acquire the final orbit.

This paper revisits ballistic capture and aerobraking to find a compromise for their use in Mars missions. A judicious integration involving multi-body dynamics, aerodynamics, and aerothermodynamics is devised. Mars approaching orbits that support ballistic capture are first generated using the stable sets method [20, 33]. A small periapsis maneuver is performed at the first close passage. A patching point is used to switch to a simulation of the aerobraking process. The atmospheric phase features periapsis trim maneuvers and yaw angle tuning to target a prescribed dynamic pressure and inclination, respectively. Simulations provide quantitative results.

The remainder of the manuscript is organized as follows. Section 2 summarizes background information. Section 3 presents the method developed to merge ballistic capture and aerobraking. Numerical results are reported in Section 4. Some underling conclusions are drawn in Section 5. Supplementary material is provided in the Appendix.

## 2. Background

We study the motion of an unmanned spacecraft approaching Mars, subject to the gravitational attractions of the Sun and Mars, as well as to the aerodynamic force exerted by the atmospheric drag. The Sun and Mars are assumed to be point masses, and Mars is assumed to revolve around the Sun in an elliptic orbit. The physical constants of the model are given in Table 1, where $G m_{s}$ and $G m_{p}$ are the gravitational parameters of the Sun and Mars, respectively. For the latter: $R$ is the mean equatorial radius, $\Omega_{p}$ is the spin angular velocity, and $R_{\text {SOI }}$ is the radius of the sphere of influence (SOI). In our simulation $R_{\text {SOI }}=a_{s}\left(m_{p} / m_{s}\right)^{2 / 5}$, where $a_{s}$ is the semi-major axis of Mars orbiting the Sun, while $m_{p}$ and $m_{s}$ are their respective masses [1].

Martian atmospheric density is computed by an exponential model

$$
\begin{equation*}
\rho(h)=\rho_{0} \exp \left[-\frac{h-h_{0}}{H}\right], \tag{1}
\end{equation*}
$$

[^1]Table 1: Physical parameters of the Sun and Mars ${ }^{1}$.

| $G m_{s}$ <br> $\left(\mathrm{~km}^{3} / \mathrm{s}^{2}\right)$ | $G m_{p}$ <br> $\left(\mathrm{~km}^{3} / \mathrm{s}^{2}\right)$ | $R$ <br> $(\mathrm{~km})$ | $\Omega_{p}$ <br> $(\mathrm{rad} / \mathrm{s})$ | $R_{\text {SOI }}$ <br> $(\times R)$ | $\rho_{0}$ <br> $\left(\mathrm{~kg} / \mathrm{m}^{3}\right)$ | $h_{0}$ <br> $(\mathrm{~km})$ | $H$ <br> $(\mathrm{~km})$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $1.3271 \times 10^{11}$ | $4.2828 \times 10^{4}$ | $3,394.2$ | $7.0882 \times 10^{-5}$ | 170.0 | $2.0 \times 10^{-8}$ | 110.0 | 10.0 |

where $\rho_{0}$ is the density at the reference height $h_{0}$ and $H$ is the scale height; see Table $1[2,9]$.

A spacecraft similar to MGS [7], with a "box-wing" configuration comprising a box-like main body and two solar panels is considered, as shown in Fig. 1. Two solar panels are assumed to maintain a symmetric aerodynamic configuration with a 90 degree sweep angle to their normal vector $\boldsymbol{n}$ (see Fig. 1(b)).


Figure 1: Spacecraft aerobraking configuration.

### 2.1. Reference Frames

To ease the orbital analysis, a body mean equator frame at epoch $t_{0},\left(x_{b}, y_{b}, z_{b}\right)$, BME@ $t_{0}$ for brevity, is defined (see Fig. 2). This frame is centred at Mars; its $z_{b}$-axis is aligned with the spin axis at $t_{0}$, the $x_{b}$-axis points to the ascending node of Mars mean equator at $t_{0}$ with respect to the Earth mean equator and equinox at J2000.0, and the $y_{b}$-axis completes the dextral orthonormal triad; refer to Archinal and et al. [34] for details.

A velocity-co-normal-normal frame $\left(x_{v}, y_{v}, z_{v}\right)$, VCN for brevity, centred at the spacecraft, is used to decompose the aerodynamic forces. The $z_{v}$-axis is perpendicular to the orbital plane, the $x_{v}$-axis follows the spacecraft inertial velocity $\boldsymbol{v}$, and the $y_{v}$-axis completes the right-hand rule (see Fig. 2). The transformation from VCN to $\mathrm{BME} @ t_{0}$ at time $t$ is denoted by $\mathcal{Q}_{v \rightarrow b}(t)$; it is obtained by projecting the unit vectors along velocity, orbit normal, and their cross product [1].


Figure 2: Geometry of reference frames.

### 2.2. Equations of Motion

The rotation of Martian atmosphere is not considered since the difference between the inertial velocity and airspeed of the spacecraft is small enough to be ignored. This paper only considers the case in which $\theta$ represents a rotation of $n$ around the $y_{v}$-axis; a counter-clockwise turning corresponds to a positive $\theta$ and vice versa (see Fig. 2). Thus, $\theta$ can be deemed as a yaw angle relative to the orbit plane. The aerodynamic acceleration $a_{d}$ follows the normal vector $n$ of solar panels when ignoring the aerodynamic force experienced by the box component. Therefore

$$
\begin{equation*}
a_{d}=\left\|a_{d}\right\|=\frac{C_{d} q S_{r}}{m} \tag{2}
\end{equation*}
$$

where $C_{d}$ is the drag coefficient, $q=\rho v_{r}{ }^{2} / 2$ is the dynamic pressure, $\rho=\rho(h)$ is the atmospheric density at a height $h$ (refer to Eq. (1)), $S_{r}$ is the vehicle reference area, and $m$ is its mass. We use Newton's sine-squared law to derive the drag coefficient [35]

$$
\begin{equation*}
C_{d}=C_{d_{0}}(\cos \theta)^{2}, \tag{3}
\end{equation*}
$$

where $C_{d_{0}}$ is the drag coefficient for $\theta=0$.
The governing equations of the spacecraft motion in the BME@ $t_{0}$ frame are

$$
\begin{equation*}
\ddot{\boldsymbol{r}}+\frac{G m_{p}}{r^{3}} r+G m_{s}\left(\frac{r_{s}}{r_{s}^{3}}+\frac{r-r_{s}}{\left\|r-r_{s}\right\|^{3}}\right)=-\mathcal{Q}_{v \rightarrow b}(t) \mathcal{R}_{y}(-\theta) \frac{C_{d_{0}} q S_{r}(\cos \theta)^{2}}{m} i_{n} \tag{4}
\end{equation*}
$$

where $r$ and $r_{s}$ are the position vectors of the spacecraft and the Sun, respectively, whereas $r$ and $r_{s}$ are their magnitudes; $\mathcal{R}_{y}$ is the direction cosine matrix about the $y_{v}$-axis; $\boldsymbol{i}_{n}=[1,0,0]^{\top}$. The orbital parameters of the Sun with respect to Mars are read at initial epoch $t_{0}$ via the DE430 ephemeris model [36] and kept invariable during the flight, except for the true anomaly, which is calculated by solving Kepler's
equation at each time step [22]. Eq. (4) embeds both multi-body gravity ( $2^{\text {nd }}$ and $3^{\text {rd }}$ terms on the left-hand side) and aerodynamic forces (right-hand side). By swapping the right-hand term on and off one can obtain the equations for the ballistic capture as special case.

## 3. Methodology

The dynamical model described by Eq. (4) involves both orbital and aerodynamics. In order to construct orbits that exploit both components, an ad hoc method is proposed. Figure 3 outlines the whole insertion process. Firstly, the spacecraft approaches Mars from a far distance with attractions from the Sun and Mars (precapture, $t<t_{0}$ ). At $t_{0}$, the spacecraft experiences the first close encounter, where it exerts a small periapsis maneuver $\Delta v_{0}$, which yields a relatively stable orbit. The subsequent phase (aerobraking phase, $t>t_{0}$ ) is characterized by three-body orbits alternating a series of atmospheric passages at periapsis and trim maneuvers at apoapsis $\left(\Delta v_{j}\right.$ at $\left.t=t_{j}\right)$. At $t=t_{f}$, a periapsis raising maneuver $\left(\Delta v_{f}\right)$ is performed to target the science orbit (mission phase, $t>t_{f}$ ).


Figure 3: Layout of the whole insertion process ( $t$ : time, $r$ : distance to Mars).

### 3.1. Pre-capture Phase

A method preliminary described in Hyeraci and Topputo [33] and later generalized in Luo et al. [20] is employed to generate ballistic capture orbits. It is briefly outlined as follows.

### 3.1.1. Initial Conditions and Orbit Classification

Initial conditions (i.c.) at $t_{0}$ are defined by specifying initial periapsis radius $r_{0}$, eccentricity $e_{0}$, inclination $i_{0}$, right ascension of the ascending node (RAAN) $\Omega_{0}$, argument of periapsis $\omega_{0}$, and true anomaly $f_{0}$ in the BME@ $t_{0}$. Without loss of
generality, we assume the spacecraft initially located at the periapsis of an osculating ellipse around Mars, i.e., $f_{0}=0$; the eccentricity of this ellipse is such that $e_{0} \in$ $[0.9,1)[33,37]$. Orbital inclination $i_{0}$ is chosen arbitrary, and a fixed $\omega_{0} \in[0,2 \pi)$ is taken. Thus, two orbital elements, namely the periapsis radius and RAAN, are left free. We discretize them with $r_{0} \in\left[r_{0 l}, r_{0 h}\right]$ and $\Omega_{0} \in[0,2 \pi)$ into $N_{r_{0}}$ and $N_{\Omega_{0}}$ points, respectively, where $r_{0 l}$ corresponds to an altitude outside of Martian atmosphere and $r_{0 h}$ is an upper bound.

Before orbital integrations, we introduce an escape criterion. The spacecraft escapes from Mars at time $t_{e}$ if the following two conditions are simultaneously satisfied [20],

$$
\begin{equation*}
H\left(t_{e}\right)>0, \quad r\left(t_{e}\right)>R_{\mathrm{SOI}}, \tag{5}
\end{equation*}
$$

where $R_{\mathrm{SOI}}$ is in Table 1 and $H$ is the Kepler energy of the spacecraft with respect to Mars,

$$
\begin{equation*}
H(t)=\frac{v^{2}(t)}{2}-\frac{G m_{p}}{r(t)} \tag{6}
\end{equation*}
$$

The function $H(t)$ is not constant due to the perturbation from the Sun.
The motion of the spacecraft is obtained by forward and backward integration of the i.c. under Eq. (4) (with right-hand side set to zero). According to their orbital behaviors, the i.c. are classified into different sets [20, 22]. The focus is on two of them, i.e.,

1) Weakly Stable Set, $\mathcal{W}_{n}(n \geq 1)$ : contains i.c. whose orbits perform $n$ complete revolutions about Mars without impacting with or escaping from it when integrating forward in time;
2) Unstable Set, $\mathcal{X}_{-1}$ : contains i.c. whose orbits escape from Mars without completing any revolution around or impacting with it when integrating backward in time.

### 3.1.2. Construction and Ranking of Ballistic Capture Orbits

The capture set, a set containing i.c. associated to ballistic capture orbits, is derived through

$$
\begin{equation*}
\mathcal{C}_{-1}^{n}=\mathcal{X}_{-1} \cap \mathcal{W}_{n} . \tag{7}
\end{equation*}
$$

Starting from the i.c. in $\mathcal{C}_{-1}^{n}$, a spacecraft can: 1) escape Mars in backward time $\left(\mathcal{X}_{-1}\right)$, or equivalently approach it in forward time, and 2 ) perform at least $n$ natural revolutions about Mars $\left(\mathcal{W}_{n}\right)$; refer to Hyeraci and Topputo [33] and Luo et al. [20] for details.

In general, the capture set $\mathcal{C}_{-1}^{n}$ embodies a number of points. Solutions with regular post-capture behaviors are favorable in applied scenarios as they can offer multiple repetitive insertion conditions (ideal orbits). A stability index $\mathcal{S}$ is introduced in Luo et al. [20], that is

$$
\begin{equation*}
\mathcal{S}=\frac{t_{n}-t_{0}}{n} \tag{8}
\end{equation*}
$$

where $t_{n}$ is the time at which the $n$-th revolution is accomplished. Physically, the value of $\mathcal{S}$ depicts the mean orbital period in $n$ revolutions [20, 22, 38].

### 3.2. Aerobraking Phase

### 3.2.1. Periapsis Maneuver and Aerobraking Trim Maneuvers

A small periapsis braking maneuver is employed at the first close encounter, $r_{0}=r\left(t_{0}\right)$. This is twofold: a) It shortens the total aerobraking duration; and b) It provides a geometry that favors the convergence of the subsequent aerobraking targeting algorithm. While Eq. (7) yields low-energy Mars-approaching orbits, the $n$ revolutions past $t_{0}$ guaranteed by $\mathcal{C}_{-1}^{n}$ are not actually performed, yet kept as backup option in case of a single-point propulsion failure.

Let $v_{0}$ be the spacecraft velocity at $t_{0}$, and let $r_{a_{0}}$ be a target apoapsis distance after performing $\Delta v_{0}$. The cost of the periapsis tangential maneuver is then

$$
\begin{equation*}
\Delta v_{0}=\left|\sqrt{2 G m_{p}\left(\frac{1}{r_{0}}-\frac{1}{r_{0}+r_{a_{0}}}\right)}-v_{0}\right| \tag{9}
\end{equation*}
$$

which is found with a standard two-body dynamics.
Aerobraking trim maneuvers (ABMs) at apoapses, if necessary, are used to ensure atmospheric pass conditions. The dynamic pressure at periapsis (denoted by $q_{p}$ ) is selected as the targeting parameter of each ABM, as done in the MGS, Magellan, and Venus Express missions $[7,11,12,39]$. Algorithm 1 presents the procedure to compute the ABMs $\Delta v_{j}$, where $r_{p_{0}}$ is a given value $(j=1)$ or it is retrieved from previous iteration $(j \geq 2), \bar{q}_{p}$ is the required periapsis dynamic pressure, and $\delta \bar{q}_{p}$ is its tolerance.

In summary, following the periapsis maneuver $\Delta v_{0}$, a number of atmospheric passages are used to gradually reduce the orbit altitude, with ABMs $\Delta v_{j}$ interposed at apoapses, if needed (see Fig. 3). A semi-analytical method is used to estimate the aerobraking duration; see the Appendix.

### 3.2.2. Orbital Inclination Correction

A yawed attitude during aerobraking is proposed to correct the orbital inclination perturbed by the Sun gravity. It is then natural to question whether the inclination

```
Algorithm 1 Algorithm to target a required periapsis dynamic pressure
    function TARGETDELTAV \(\left(r_{p_{0}}, \bar{q}_{p}, \delta \bar{q}_{p}\right)\)
        Set \(r_{p}=r_{p_{0}}\)
        for \(k=1 \rightarrow 10\) do
            Target \(r_{p}\) by adjusting apoapsis velocity \(v_{a_{j}}\) with \(\Delta v_{j}\)
            Calculate periapsis dynamic pressure \(q_{p}\)
            if \(\left|q_{p}-\bar{q}_{p}\right|<\delta \bar{q}_{p}\) then
                Break
            else
                \(r_{p} \leftarrow r_{p}-H \ln \left(\bar{q}_{p} / q_{p}\right)\)
            end if
        end for
        \(r_{p_{0}} \leftarrow r_{p}\)
        return \(\Delta v_{j}\) and \(r_{p 0}\)
    end function
```

should be corrected as early as possible, when the orbit is large and elongated. Answering this question drives the following arguments.

A yaw angle $\theta$ decomposes the aerodynamic acceleration $a_{d}$. The inclination rate of change is derived by using Gauss's equations [1]

$$
\begin{equation*}
\frac{\mathrm{d} i}{\mathrm{~d} t}=\frac{\sqrt{1-e^{2}} \cos u}{n a(1+e \cos f)} a_{n} \tag{10}
\end{equation*}
$$

where $u=\omega+f$ is the argument of latitude, $a_{n}$ is the normal component of the aerodynamic acceleration; see Eq. (A1) for definitions of remaining parameters. We assume $\theta \neq 0$. From Eqs. (3), (4), and (A2),

$$
\begin{equation*}
a_{n}=a_{d}(\cos \theta)^{2} \sin \theta=\frac{c G m_{p} \rho A\left(1+2 e \cos f+e^{2}\right)}{2 a\left(1-e^{2}\right)}, \tag{11}
\end{equation*}
$$

where $c=(\cos \theta)^{2} \sin \theta$. Following the derivation in the Appendix, the derivative of $i$ with respect to the eccentric anomaly $E$ reads

$$
\begin{equation*}
\frac{\mathrm{d} i}{\mathrm{~d} E}=\frac{c \rho a A \cos u\left(1-e^{2} \cos ^{2} E\right)}{2 \sqrt{1-e^{2}}} \tag{12}
\end{equation*}
$$

Using Eqs. (A4), (A8) and $\cos u=\cos \omega \cos f-\sin \omega \sin f$, Eq. (12) becomes

$$
\begin{align*}
\frac{\mathrm{d} i}{\mathrm{~d} E} & =\frac{c \rho_{0} a A \cos \omega(1+e \cos E)(\cos E-e) \exp [-\kappa(1-\cos E)]}{2 \sqrt{1-e^{2}}} \\
& -\frac{c \rho_{0} a A \sin \omega \sin E(1+e \cos E) \exp [-\kappa(1-\cos E)]}{2}, \tag{13}
\end{align*}
$$

where $\omega$ is the argument of periapsis. Note that the integral over a revolution of the second term in the right-side of Eq. (13) is zero due to the odd function $\sin E$. Referring to Eq. (A10), the inclination change after one revolution is [40]

$$
\begin{align*}
\Delta i & =\int_{-\pi}^{\pi} \frac{\mathrm{d} i}{\mathrm{~d} E} \mathrm{~d} E \approx c \rho_{0} a A \cos \omega \int_{-\pi}^{\pi} \frac{(1+e \cos E)(\cos E-e)}{2 \sqrt{1-e^{2}}} \exp \left(-\kappa \frac{E^{2}}{2}\right) \mathrm{d} E  \tag{14}\\
& \approx c \rho_{0} a A \cos \omega \sqrt{1-e^{2}} \int_{-\infty}^{\infty} \exp \left(-\kappa \frac{E^{2}}{2}\right) \mathrm{d} E=c \rho_{0} a A \cos \omega \sqrt{1-e^{2}} \sqrt{\frac{\pi}{2 \kappa}}
\end{align*}
$$

Thus, the average rate of change of inclination over a revolution takes the form

$$
\begin{equation*}
\frac{\overline{\mathrm{d} i}}{\mathrm{~d} t}=\frac{\rho_{0} C_{d_{0}} S_{r} \cos \omega(\cos \theta)^{2} \sin \theta}{m a} \sqrt{\frac{G m_{p} H\left(1-e^{2}\right)}{\pi e}} . \tag{15}
\end{equation*}
$$

From Eq. (15), $\overline{\mathrm{d} i} / \mathrm{d} t$ depends on values of $\rho_{0}, \omega, e$, and $a$, the latter playing a dominant role. That is, the smaller $a$, the higher the average rate $\overline{\mathrm{d} i} / \mathrm{d} t$. This indicates that a late inclination correction via yaw maneuver is desirable.

After the aerobraking phase, an apoapsis maneuver $\Delta v_{f}$ is employed to raise the periapsis above the atmosphere and thus to deploy the spacecraft into the final operative orbit (see Fig. 3).

### 3.3. Optimizing the Yaw Angle

Using Eqs. (3), (4) and (A12) yields

$$
\begin{equation*}
\frac{\overline{\mathrm{d} a}}{\mathrm{~d} t}=-\frac{\rho_{0} C_{d_{0}} S_{r}(\cos \theta)^{3}}{m}\left[\frac{G m_{p} H(1+e)^{3}}{2 \pi e(1-e)}\right]^{\frac{1}{2}} . \tag{16}
\end{equation*}
$$

From Eq. (16), it can be inferred that $\overline{\mathrm{d} a} / \mathrm{d} t$ is domniated by $\theta$, and varies continuously with it. Following analogous derivation of Eq. (15), it is possible to obtain

$$
\begin{equation*}
\frac{\overline{\mathrm{d} \Omega}}{\mathrm{~d} t}=\frac{\rho_{0} C_{d 0} S_{r} \sin \omega(\cos \theta)^{2} \sin \theta}{m a \sin i} \sqrt{\frac{G m_{p} H\left(1-e^{2}\right)}{\pi e}} \tag{17}
\end{equation*}
$$

and

$$
\begin{equation*}
\frac{\overline{\mathrm{d} \omega}}{\mathrm{~d} t}=-\cos i \frac{\overline{\mathrm{~d} \Omega}}{\mathrm{~d} t} \tag{18}
\end{equation*}
$$

Through Eqs. (17) and (18) it is possible to infer that the condition $\overline{\mathrm{d} \omega} / \mathrm{d} t=0$ can be achieved either by using polar orbits $(i=\pi / 2)$ or by choosing $\omega=0$ or $\pi$. In all these cases, $\omega$ is preserved, consistently with the subsequent derivation of orbital inclination.

The focus is now on selecting the value of yaw angle $\theta$ and semi-major axis $a_{\theta}$ where the $i$-correction begins. Observing that the in-plane and out-of-plane orbital variations, or equivalently $\overline{\mathrm{d} a} / \mathrm{d} t$ and $\overline{\mathrm{d} i} / \mathrm{d} t$ are both affected by $\theta$ (Eqs. (15) and (16)), a natural choice would be to select an initial $a_{\theta}$ that makes it possible to attain both targets simultaneously. Following this approach, dividing Eq. (15) by Eq. (16) produces

$$
\begin{equation*}
\overline{\mathrm{d} i}=-\frac{\tan \theta \cos \omega(1-e)}{2 a(1+e)} \overline{\mathrm{d} a} \tag{19}
\end{equation*}
$$

It is possible to integrate both sides of Eq. (19), i.e.,

$$
\begin{equation*}
\int_{i_{\theta}}^{i_{f}} \overline{\mathrm{~d} i}=-\int_{a_{\theta}}^{a_{f}} \frac{\tan \theta \cos \omega(1-e)}{2 a(1+e)} \overline{\mathrm{d} a} \tag{20}
\end{equation*}
$$

where $i_{\theta}, a_{\theta}$ are the initial inclination, semi-major axis, while $i_{f}, a_{f}$ are their required targets. Then, substituting $r_{p}=a(1-e)$ in Eq. (20) and considering that the aim is finding a policy involving constant $\theta$, one gets

$$
\begin{equation*}
\int_{i_{\theta}}^{i_{f}} \overline{\mathrm{~d} i}=-\frac{r_{p} \tan \theta \cos \omega}{2} \int_{a_{\theta}}^{a_{f}} \frac{1}{2 a^{2}-a r_{p}} \overline{\mathrm{~d} a} \tag{21}
\end{equation*}
$$

where $\omega$ is assumed constant by setting $i \approx \pi / 2$ and/or $\omega=0$ or $\pi$. Assuming constant $r_{p}$ allows integrating Eq. (21):

$$
\begin{equation*}
i_{f}-i_{\theta}=\tan \theta \cos \omega\left[\tanh ^{-1}\left(\frac{4 a_{f}}{r_{p}}-1\right)-\tanh ^{-1}\left(\frac{4 a_{\theta}}{r_{p}}-1\right)\right] . \tag{22}
\end{equation*}
$$

In Eq. (22), $a_{\theta}$ is the unknown, which is function of $\theta$, while $i_{\theta}, i_{f}$, and $a_{f}$ are treated as input values, and $\omega$ and $r_{p}$ are known. That is, there are infinite pairs of $a_{\theta}$ and $\theta$ that allow reaching $a_{f}$ and $i_{f}$ simultaneously.

### 3.3.1. Minimum yawing duration

The rationale is choosing a yaw angle that involves the minimum yawed duration. This leads to an optimized yaw angle $\bar{\theta}$ and its corresponding semi-major axis $a_{\bar{\theta}}$. To ease the notation, we define

$$
\begin{equation*}
\Xi=-\sqrt{\frac{2 H}{\pi G m_{p}}} \frac{\bar{q}_{p} C_{d 0} S_{r}}{m} \tag{23}
\end{equation*}
$$

and

$$
\begin{equation*}
\mathcal{F}\left(a_{\theta}\right)=\tanh ^{-1}\left(\frac{4 a_{f}}{r_{p}}-1\right)-\tanh ^{-1}\left(\frac{4 a_{\theta}}{r_{p}}-1\right) \tag{24}
\end{equation*}
$$

From Eqs. (16), (A12) and (A16), it is possible to obtain the estimated yawing duration when $\theta \neq 0$, i.e.,

$$
\begin{equation*}
\Delta t_{\theta}=\frac{\int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{\Xi(\cos \theta)^{3}} \tag{25}
\end{equation*}
$$

Using Eq. (25) and the chain rule, we calculate

$$
\begin{equation*}
\frac{\mathrm{d} \Delta t_{\theta}}{\mathrm{d} a_{\theta}}=\frac{3 \sin \theta \int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{\Xi(\cos \theta)^{4}} \frac{\mathrm{~d} \theta}{\mathrm{~d} a_{\theta}}-\frac{\mathcal{G}\left(a_{\theta}\right)}{\Xi(\cos \theta)^{3}}=\frac{1}{\Xi(\cos \theta)^{3}} \underbrace{\left[3 \tan \theta \int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a} \frac{\mathrm{~d} \theta}{\mathrm{~d} a_{\theta}}-\mathcal{G}\left(a_{\theta}\right)\right]}_{\mathcal{L}\left(a_{\theta}\right)}, \tag{26}
\end{equation*}
$$

where $\mathrm{d} \theta / \mathrm{d} a_{\theta}$ can be derived from Eq. (22),

$$
\begin{equation*}
\frac{\mathrm{d} \theta}{\mathrm{~d} a_{\theta}}=-\frac{4 \Delta i \cos \omega}{r_{p}\left\{\Delta i^{2}+\left[\mathcal{F}\left(a_{\theta}\right)\right]^{2}(\cos \omega)^{2}\right\}\left[\left(\frac{4 a_{\theta}}{r_{p}}-1\right)^{2}-1\right]} \tag{27}
\end{equation*}
$$

and $\Delta i=i_{f}-i_{\theta}$.
Considering that $|\theta|<\pi / 2$ and $\Xi \neq 0$, the yawed duration $\Delta t_{\theta}$ arrives an extremum when $\mathrm{d} \Delta t_{\theta} / \mathrm{d} a_{\theta}=0$, or equivalently, when $\mathcal{L}\left(a_{\bar{\theta}}\right)$ in Eq. (26) is zero. Enforcing $\mathcal{L}\left(a_{\bar{\theta}}\right)=0$ and using Eq. (27) yields

$$
\begin{equation*}
-\frac{12 \Delta i^{2} \int_{a_{\bar{\theta}}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{r_{p} \mathcal{F}\left(a_{\bar{\theta}}\right)\left\{\Delta i^{2}+\left[\mathcal{F}\left(a_{\bar{\theta}}\right)\right]^{2}(\cos \omega)^{2}\right\}\left[\left(\frac{4 a_{\bar{\theta}}}{r_{p}}-1\right)^{2}-1\right]}-\mathcal{G}\left(a_{\bar{\theta}}\right)=0 \tag{28}
\end{equation*}
$$

Newton's method is introduced to solve Eq. (28), while the current apoapsis distance is used as an initial guess of $a_{\theta}$ in Eq. (24). An optimal $a_{\bar{\theta}}$ with respect to minimum yawing duration is obtained by verifying an additional condition: the second-order derivative $\mathrm{d}^{2} \Delta t_{\theta} / \mathrm{d} a_{\theta}^{2}>0$. The corresponding yaw angle $\bar{\theta}$ is then computed by Eq. (22).

### 3.3.2. Minimum aerobraking duration

While $\bar{\theta}$ ensures the shortest yawing phase duration, it would be also desirable to find another yaw angle $\hat{\theta}$ that guarantees an overall minimum aerobraking duration. By using Eqs. (A16) and (25), the aerobraking duration can be written as

$$
\begin{equation*}
\Delta t=\underbrace{\frac{\int_{a_{0}}^{a_{\theta}} \mathcal{G}(a) \overline{\mathrm{d} a}}{\Xi}}_{\theta=0}+\underbrace{\frac{\int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{\Xi(\cos \theta)^{3}}}_{\theta \neq 0} \tag{29}
\end{equation*}
$$

where $a_{0}$ is the semi-major axis after $\Delta v_{0}$. Similarly to Eq. (26), we compute the derivative of $\Delta t$ with respect to $a_{\theta}$

$$
\begin{align*}
\frac{\mathrm{d} \Delta t}{\mathrm{~d} a_{\theta}} & =\frac{\mathcal{G}\left(a_{\theta}\right)}{\Xi}+\frac{3 \sin \theta \int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{\Xi(\cos \theta)^{4}} \frac{\mathrm{~d} \theta}{\mathrm{~d} a_{\theta}}-\frac{\mathcal{G}\left(a_{\theta}\right)}{\Xi(\cos \theta)^{3}} \\
& \left.=\frac{1}{\Xi(\cos \theta}\right)^{3} \underbrace{\left\{3 \tan \theta \int_{a_{\theta}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a} \frac{\mathrm{~d} \theta}{\mathrm{~d} a_{\theta}}-\mathcal{G}\left(a_{\theta}\right)\left[1-(\cos \theta)^{3}\right]\right\}}_{\mathcal{M}\left(a_{\theta}\right)} \tag{30}
\end{align*}
$$

Using Eq. (27) and imposing $\mathcal{M}\left(a_{\theta}\right)=0$, the following is obtained

$$
\begin{equation*}
-\frac{12 \Delta i^{2} \int_{a_{\hat{\theta}}}^{a_{f}} \mathcal{G}(a) \overline{\mathrm{d} a}}{r_{p} \mathcal{F}\left(a_{\hat{\theta}}\right)\left\{\Delta i^{2}+\left[\mathcal{F}\left(a_{\hat{\theta}}\right)\right]^{2}(\cos \omega)^{2}\right\}\left[\left(\frac{4 a_{\hat{\theta}}}{r_{p}}-1\right)^{2}-1\right]}-\left[1-(\cos \hat{\theta})^{3}\right] \mathcal{G}\left(a_{\hat{\theta}}\right)=0 \tag{31}
\end{equation*}
$$

and

$$
\begin{equation*}
\hat{\theta}=\tan ^{-1} \frac{\Delta i}{\mathcal{F}\left(a_{\hat{\theta}}\right) \cos \omega} . \tag{32}
\end{equation*}
$$

By solving Eqs. (31), (32) and $\mathrm{d}^{2} \Delta t_{\theta} / \mathrm{d} a_{\theta}^{2}$ numerically it is possible to obtain the critical semi-major axis $a_{\hat{\theta}}$ that corresponds to a minimum aerobraking duration, and hence an optimal yaw angle $\hat{\theta}$.

### 3.3.3. Summary of the approach

The full procedure developed to constructing ballistically captured and atmospheric braked Mars orbits is summarized in Algorithm 2. The computation of $a_{\theta}$ is only activated when $r_{a_{j}}<5 R$ and $a_{j} \geq a_{\bar{\theta}}$ (or $a_{\hat{\theta}}$ ); that is to say, $\theta$ is updated by $\bar{\theta}$ (or $\hat{\theta}$ ) and fixed once $a_{j}<a_{\bar{\theta}}$ (or $a_{\hat{\theta}}$ ). This strategy speeds up the numerical simulations. The $5 R$ criterion has been chosen through numerical experiments.

```
Algorithm 2 Outline of Mars orbit insertion via ballistic capture and aerobraking
    Initialize spacecraft's parameters \(m_{0}, C_{d_{0}}, S_{r}\), and specific impulse \(I_{s p}\)
    Initialize Martian density model with \(\rho_{0}, h_{0}\), and \(H\)
    Set aerobraking targeting variables \(r_{p_{0}}, \bar{q}_{p}\), and \(\delta \bar{q}_{p}\)
    Set a zero-yawed attitude with \(\theta=0\) and an inclination mode with \(i_{\text {flag }}=1\)
    Choose periapsis epoch \(t_{0}, r_{f}\) and \(i_{f}\) according to mission requirements
    Give \(e_{0}\) and \(\omega_{0}\); set \(i_{0}=i_{f}, f_{0}=0\); discretize \(r_{0}\) and \(\Omega_{0}\) into \(N_{r_{0}}\) and \(N_{\Omega_{0}}\) points
    Integrate (4) forward and backward with a zero right-hand side
    Obtain sets \(\mathcal{X}_{-1}\) and \(\mathcal{W}_{n}\); generate capture set \(\mathcal{C}_{-1}^{n}=\mathcal{X}_{-1} \cap \mathcal{W}_{n}\)
    Extract candidates from \(\mathcal{C}_{-1}^{n}\) having low values of stability index \(\mathcal{S}\)
    Calculate \(\Delta v_{0}\) with (9) and a predefined \(r_{a_{0}}\); update \(m\) with rocket equation
    Integrate (4) until next apoapsis with a distance \(r_{a_{1}}\) (see Fig. 3)
    \(j=1\)
    while \(r_{a_{j}}>r_{f}\) do
        if \(i_{\text {flag }}=1\) and \(r_{a_{j}}<5 R\) then
            Compute \(a_{\bar{\theta}}\) (or \(a_{\hat{\theta}}\) ) and \(\bar{\theta}\) (or \(\hat{\theta}\) ) by solving (28) (or (31)) and (22)
                \(a_{j}=0.5\left(r_{p 0}+r_{a_{j}}\right)\)
                if \(a_{a_{j}}<a_{\bar{\theta}}\left(\right.\) or \(\left.a_{\hat{\theta}}\right)\) then
                    \(\theta \leftarrow \bar{\theta}(\) or \(\hat{\theta})\)
                        Set \(i_{\text {flag }}=0\)
                end if
        end if
        \(\left[\Delta v_{j}, r_{p 0}\right]=\) TargetDeltaV \(\left(r_{p 0}, \bar{q}_{p}, \delta \bar{q}_{p}\right)\) (see Algorithm 1)
        Update \(m\) with the rocket equation
        \(j=j+1\)
        Integrate (4) until next apoapsis with \(r_{a_{j}}\) and \(v_{a_{j}}\)
    end while
    Compute \(\Delta v_{f}\) with \(r_{a_{j}}, v_{a_{j}}\) and \(e_{f}=0\)
    Compute \(\Delta v=\Delta v_{0}+\sum \Delta v_{j}+\Delta v_{f}\)
29: Compare obtained \(r_{f}\) and \(i_{f}\) with required values
```


## 4. Simulations

### 4.1. Mars ballistic capture approach

A MGS-like vehicle with $m_{0}=760 \mathrm{~kg}, S_{r}=17.04 \mathrm{~m}^{2}, C_{d 0}=1.95$, and $I_{s p}=300$ s , is considered $[2,7]$. The periapsis dynamic pressure $\bar{q}_{p}$ is $0.6 \mathrm{~N} / \mathrm{m}^{2}$, following the original plan of the MGS mission [2, 7]. The tolerance $\delta \bar{q}_{p}$ is $0.02 \mathrm{~N} / \mathrm{m}^{2}$ and can be reached in few iterations. An initial reference periapsis distance is $r_{p 0}=R+100 \mathrm{~km}$. The final operative orbit is a circular orbit with an altitude of $300 \mathrm{~km}\left(r_{f}=R+300\right.$ km ) and an inclination of 90 degree ( $i_{f}$ ). A polar orbit is chosen to support the hypothesis of constant $\omega$ (see Sec. 3.3).

The initial condition is: 1) $t_{0}=2459137$ JD (or 14 Oct 2020), when Mars has a true anomaly of $\pi / 4$ about the Sun; this favours the chances of gravitational capture [22, 37, 41]; 2) $e_{0}=0.99$; this is larger than 0.95 used in Hyeraci and Topputo [41] and Luo et al. [20] because it lowers $r_{0}$ in the capture set $\mathcal{C}_{-1}^{n}$ and thus reduces the apoapsis trim maneuver $\Delta v_{1}$ (see Fig. 3); 3) $i_{0}=i_{f}=90$ deg; 4) $\omega_{0}=330$ deg; 5) $r_{0} \in[130 \mathrm{~km}+R, 2 R]$ (a minimum altitude of 130 km guarantees that the periapsis maneuver $\Delta v_{0}$ occurs outside of the Martian atmosphere) and $\Omega_{0} \in[0,2 \pi)$ are uniformly discretized into $N_{r_{0}}=653$ and $N_{\Omega_{0}}=720$ points, respectively. This is different from fixing $\Omega_{0}$ in Luo et al. [20] and Luo and Topputo [38], because a large $\cos \omega_{0}$ is beneficial to inclination corrections (see Eqs. (15) and (22)). A value of $n=6$ is taken; that is, backup ballistic capture orbits in $\mathcal{C}_{-1}^{6}$ will perform at least 6 free revolutions about Mars (after $t_{0}$ ).

The computation of the capture set takes 1.8 hours with 6-core Intel i7 CPU (2.60 GHz ) architecture and Matlab environment. Figure 4(a) shows solutions belonging to $\mathcal{C}_{-1}^{6}$ projected onto the plane of the pre-capture duration $\left(t_{0}-t_{e} \leq 350\right.$ days $)$ and the post-capture stability index ( $\mathcal{S} \leq 160$ days). As for $t_{0}-t_{e}$, the shorter, the better. Low values of $\mathcal{S}$ are associated to regular post-capture orbits [20, 22, 38]. Desirable solutions are thus orbits in $\mathcal{C}_{-1}^{6}$ having short $t_{0}-t_{e}$ and low $\mathcal{S}$; however, these two conditions are clearly in antithesis. Looking closely at Fig. 4(a), a sample (indicated by the arrow) is taken from the island at $t_{0}-t_{e} \in[200,250]$ day and $\mathcal{S} \leq 120$ day, although we have found that the subsequent aerobraking is not sensitive to which sample we choose. In practice, orbits of interest can be extracted from $\mathcal{C}_{-1}^{6}$ by following multiple criteria depending on mission requirements. Figure 4(b) presents the sample ballistic capture orbit in the $\mathrm{BME} @ t_{0}$ frame. The distance and inclination profiles are illustrated in Fig. 5. It can be seen that the post-capture altitude is regular and repetitive (Fig. 5(a)). The inclination has instead large variations owing to out-of-plane perturbations from the Sun (Fig. 5(b)). This involves inclination corrections described in Section 3.2.2.


Figure 4: Pre-capture duration vs stability index for solutions in $\mathcal{C}_{-1}^{6}$ and sample orbit.


Figure 5: Distance and inclination profiles of the sample solution in Fig. 4.

### 4.2. Post-capture trim maneuvers and aerobraking

Let us focus on the periapsis maneuver at $t_{0}$. To allow independent reproduction of the results, the state at $t_{0}$ (and at other four subsequent epochs) is reported in Table 2. A moderate $r_{a_{0}}$ balancing perturbation effects and fuel consumption is adopted: $r_{a_{0}}=160 R$, slightly less than the SOI of 170 radii (see Table 1 ). Therefore $\Delta v_{0}=7.2 \mathrm{~m} / \mathrm{s}$ by Eq. (9); see Fig. 6(a). The apoapsis distance at $t_{1}$ (the first apoapsis of the aerobraking phase; see Fig. 3) is $r_{a_{1}}=159.5 R$ (see Fig. 6(b)), quite close to the desired value of $r_{a_{0}}$. After $\Delta v_{0}$, the $\operatorname{ABMs} \Delta v_{j}(j=1,2, \ldots)$ are calculated by Algorithm 1, as noted in Fig. 6(a). The largest impulse is $\Delta v_{1}=7.8 \mathrm{~m} / \mathrm{s}$; from then on, the ABMs are less than $2 \mathrm{~m} / \mathrm{s}$. Figure 6(c) shows the inclination variations. It can be seen that the inclination becomes stable when Mars plays a major role on the orbit.

Table 2: State parameters at $t_{0}, t_{j}$ and $t_{f}$ (before performing maneuvers); $\bar{\theta}$ : minimum yawing duration; $\hat{\theta}$ : minimum aerobraking duration.

| Epoch (JD) | Position (km) |  |  | Velocity (km/s) |  |  | $\begin{aligned} & \text { Loop } \\ & \text { No. }(j) \end{aligned}$ | $\begin{gathered} \hline h \\ \mathrm{~km} \end{gathered}$ | $\begin{gathered} i \\ \text { degree } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $x_{b}$ | $y_{b}$ | $z_{b}$ | $v_{x b}$ | $v_{y b}$ | $v_{z b}$ |  |  |  |
| 2459137.000, $t_{0}$ | -886.2 | 3838.7 | -2274.6 | -0.487 | 2.109 | 3.748 | 0 | 1155.0 | 90.00 |
| 2459548.181, $t_{j}(\bar{\theta})$ | 1498.6 | -6190.3 | 3628.5 | 0.591 | -0.827 | -1.654 | 204 | 3936.0 | 80.22 |
| 2459589.250, $t_{f}(\bar{\theta})$ | 756.0 | -3124.1 | 1817.7 | 0.393 | -1.605 | -2.922 | 589 | 298.5 | 89.93 |
| 2459543.342, $t_{j}(\hat{\theta})$ | 1957.5 | -8084.7 | 4737.6 | 0.470 | -0.658 | -1.318 | 174 | 6178.6 | 80.22 |
| 2459586.766, $t_{f}(\hat{\theta})$ | 750.9 | -3100.9 | 1830.8 | 0.392 | -1.629 | -2.920 | 523 | 284.3 | 90.02 |

When the condition $r_{a_{j}}<5 R$ is achieved, the optimized $a_{\bar{\theta}}$ and corresponding $\bar{\theta}$ (or $a_{\hat{\theta}}$ and corresponding $\hat{\theta}$ ) is solved and updated at each apoapsis (see Algorithm 2). The spacecraft holds a zero-yawed angle until $a_{a j}<a_{\bar{\theta}}$ (or $a_{a j}<a_{\hat{\theta}}$ ); subsequently, a yawed attitude with $\bar{\theta}$ (or $\hat{\theta}$ ) is maintained during atmospheric passages. For convenience the apoapsis epoch activating a yawed attitude is denoted by $t_{j}(\bar{\theta})$ (or $\left.t_{j}(\hat{\theta})\right)$. The states at $t_{j}$, as well as the altitude and inclination, are given in Table 2. In this simulation, $\bar{\theta}=55.0$ degree and $\hat{\theta}=48.1$ degree; see Fig. 6(d). The inclination after $t_{j}$ is gradually adjusted from 80.22 degree to the target $i_{f}$ for both optimization options (see Table 2 and Fig. 6(c)). The zoomed-up view in Fig. 6(b) clearly shows the reduction of $\mathrm{d} a / \mathrm{d} t$ when $\theta \neq 0$.

The aerobraking ends when $r_{a_{j}}<r_{f}$; the corresponding state is also given in Table 2. The results show that: 1) both in-plane (altitude) and out-of-plane (inclination) dynamics can simultaneously attain their targets within an acceptable


Figure 6: Parameter histories of the insertion orbit.
tolerance; 2) the two optimization approaches are verified, i.e., $t_{f}(\bar{\theta})-t_{j}(\bar{\theta})=41.1$ day and $t_{f}(\hat{\theta})-t_{j}(\hat{\theta})=43.4$ day; $t_{f}(\bar{\theta})-t_{0}=452.3$ day and $t_{f}(\hat{\theta})-t_{0}=449.8$ day. Figure $6(\mathrm{e})$ shows the pericenter height during the aerobraking phase. The variation is so negligible that $r_{p}$ can be treated as constant, as already done in Eq. (A13). In practice, an altitude less than 100 km may be dangerous. This risk can be avoided or reduced by: 1) lowering the targeting $\bar{q}_{p} ; 2$ ) increasing the reference area $S_{r}$; or 3) estimating and updating atmospheric and spacecraft parameters during previous aerobraking phase. Figure 6(f) shows the cosine value of argument of periapsis. The assumption of a constant $\omega$ is verified. Figure 7 plots the capture orbit with the optimization condition of $\min \left(\Delta t_{\theta}\right)$ together with the ballistic capture orbit. The close-up view in Fig. 7(b) shows the transition from $\theta=0$ to $\bar{\theta}$.

### 4.3. Comparison with other injection options

Table 3 reports the comparisons of multiple MOI methods. The full impulsive option results are taken from the MGS mission $[2,7]$, where $\Delta v_{0}=973 \mathrm{~m} / \mathrm{s}$ deploys the spacecraft into a highly elliptical orbit around Mars and $\Delta v_{f}=1270 \mathrm{~m} / \mathrm{s}$ is the circularization cost at periapsis. The duration $t_{f}-t_{0}$ is the period of the elliptical orbit. Using ballistic capture and chemical propulsion (B.C.+Chem.) is another option. For convenience, the ballistic capture orbit resulting from the sample in Fig. 4 is used. A periapsis maneuver $\Delta v_{0}=1423 \mathrm{~m} / \mathrm{s}$ at $t_{0}$ is used to inject into an orbit with apoapsis and periapsis altitudes of 1155 and 300 km , respectively, where 1155 km is the altitude at $t_{0}$ (see Table 2). Similar to the fully impulsive case, $\Delta v_{f}=172 \mathrm{~m} / \mathrm{s}$ is applied to circularize the orbit. The data for the conventional aerobraking method (Chem. + Aero.) in Table 3 are taken form MGS mission. The maneuvers $\sum \Delta v_{j}$ and $\Delta v_{f}$ are not listed because the mission was redesigned due to solar panel issues [2, 7]. The planned aerobraking duration is 140 day. Eventually, Table 3 reports the results of this work for both optimization options. The discrepancy of $\Delta v_{f}$ derives from their different altitudes at $t_{f}$. Comparison among different MOI options indicates that the one based on combination of ballistic capture and aerobraking proposed in this paper offers a good fuel consumption, at the expense of a much longer flight time. Moreover, the reduction of hyperbolic excess velocity upon Mars approach is achieved at the cost of targeting a point in the deep space (e.g., the point at $t_{e}$ in Fig. 4(b)). This comes at a cost [3], and needs to be considered in the overall mission assessment, yet is out of the scopes of the present work.

## 5. Conclusions

A novel Mars orbit insertion strategy that combines ballistic capture and aerobraking is presented in this work. Mars approaching orbits that support ballistic

(a) orbit in the $\mathrm{BME@} t_{0}$ frame (original ballistic capture orbit is superimposed for comparison)

(b) Close-up view

Figure 7: Overall Mars insertion orbit with minimum yawing flight time.

Table 3: Comparison with other MOI types ( $\bar{\theta}$ : minimum yawing duration; $\hat{\theta}$ : minimum aerobraking duration).

| MOI type | Total $\Delta v$ <br> $(\mathrm{~m} / \mathrm{s})$ | $\Delta v_{0}$ <br> $(\mathrm{~m} / \mathrm{s})$ | $\sum \Delta v_{j}$ <br> $(\mathrm{~m} / \mathrm{s})$ | $\Delta v_{f}$ <br> $(\mathrm{~m} / \mathrm{s})$ | $t_{f}-t_{0}$ <br> $($ day $)$ | $\frac{m_{f}}{m_{0}}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| Chemical | 2173 | 973 | - | 1270 | 1.9 | 0.467 |
| B.C.+Chem. | 1595 | 1423 | - | 172 | $<1$ | 0.581 |
| Chem.+Aer. | $\sim 973$ | 973 |  |  | 140 | $\sim 0.718$ |
| This work $(\bar{\theta})$ | $72.2^{\dagger}$ | 7.2 | 16.2 | 48.8 | 452.3 | 0.976 |
| This work $(\hat{\theta})$ | $69.0^{\dagger}$ | 7.2 | 16.2 | 45.6 | 449.8 | 0.977 |

$\dagger$ Total $\Delta v$ of this work excludes the maneuver to enter the pre-capture trajectory and potential expense of station keeping, momentum dumping or other required maneuvers during the aerobraking phase.
capture are first generated using a construction method developed in previous works. A small periapsis maneuver is implemented at the first close passage. A patching point is used to switch to aerobraking. The atmospheric phase features periapsis trim maneuvers and yaw angle tuning to target a prescribed dynamics pressure and inclination, respectively. Comparison with other injection alternatives yields a good compromise of desirable features, i.e., lower insertion fuel consumption, lower failure risks and more flexible launch windows, albeit involving a longer flight time (Mars gravity and atmosphere measurements can be scheduled as by-product of long-time insertion) and more aerobraking trim maneuvers.

Although numerical simulations are carried out in a low-fidelity model, e.g., a point mass assumption for Martian gravity, an exponential model for Martian atmosphere and a simplified "box-wing" configuration for the spacecraft, the developed insertion strategy can be extended to simulating environments modeled with high levels of fidelity and provide an alternative for missions to Mars or other planets/satellites with atmosphere.

## Appendix: Aerobraking Duration Prediction

Three assumptions are made: 1) a two-body dynamics is considered; 2) $\theta=0 ; 3$ ) $\bar{q}_{p}$ is a constant. According to Gauss's equations [1], we obtain

$$
\begin{equation*}
\frac{\mathrm{d} a}{\mathrm{~d} t}=-\frac{2 \sqrt{1+2 e \cos f+e^{2}}}{n \sqrt{1-e^{2}}} a_{d} \tag{A1}
\end{equation*}
$$

where $a, e, f, n$ are the semi-major axis, the eccentricity, the true anomaly, and the mean motion, respectively. The term $a_{d}$ is the tangential acceleration here; see Eq. (2). Substituting the vis viva equation and $r=\frac{a\left(1-e^{2}\right)}{1+e \cos f}$ into Eq. (2), we have

$$
\begin{equation*}
a_{d}=\frac{G m_{p} \rho A\left(1+2 e \cos f+e^{2}\right)}{2 a\left(1-e^{2}\right)} \tag{A2}
\end{equation*}
$$

where $A=C_{d 0} S_{r} / m$. Using $G m_{p}=n^{2} a^{3}$, we find that

$$
\begin{equation*}
\frac{\mathrm{d} a}{\mathrm{~d} t}=-\rho A n a^{2}\left[\frac{1+2 e \cos f+e^{2}}{1-e^{2}}\right]^{\frac{3}{2}} . \tag{A3}
\end{equation*}
$$

To calculate the derivatives of $a$ with respect to the eccentric anomaly $E$, note that

$$
\left\{\begin{array}{l}
r=a(1-e \cos E)  \tag{A4}\\
r \sin f=a \sqrt{1-e^{2}} \sin E . \\
r \cos f=a(\cos E-e)
\end{array}\right.
$$

Hence, we have

$$
\begin{equation*}
\frac{\mathrm{d} E}{\mathrm{~d} t}=\frac{n}{1-e \cos E} \tag{A5}
\end{equation*}
$$

and

$$
\begin{equation*}
1+2 e \cos f+e^{2}=\frac{\left(1-e^{2}\right)(1+e \cos E)}{1-e \cos E} \tag{A6}
\end{equation*}
$$

There obtains

$$
\begin{equation*}
\frac{\mathrm{d} a}{\mathrm{~d} E}=-\rho A a^{2}\left[\frac{(1+e \cos E)^{3}}{1-e \cos E}\right]^{\frac{1}{2}} \tag{A7}
\end{equation*}
$$

Now assume $\rho_{0}$ in Eq. (1) is exactly the density at the pericenter and $h_{0}$ is the pericenter altitude. Substituting $r=a(1-e \cos E)$ into Eq. (1), we can obtain

$$
\begin{equation*}
\rho=\rho_{0} \exp [-\kappa(1-\cos E)] \tag{A8}
\end{equation*}
$$

with $\kappa=\frac{a e}{H}$. Thus,

$$
\begin{equation*}
\frac{\mathrm{d} a}{\mathrm{~d} E}=-\rho_{0} A a^{2} \exp [-\kappa(1-\cos E)]\left[\frac{(1+e \cos E)^{3}}{1-e \cos E}\right]^{\frac{1}{2}} \tag{A9}
\end{equation*}
$$

Since aerobraking occurs in close proximity to the pericenter, we have $1-\cos E=$ $2\left(\sin \frac{E}{2}\right)^{2} \approx \frac{E^{2}}{2}$. Then, we evaluate decremental semi-major axis from one apoapsis to next one

$$
\begin{align*}
\Delta a=\int_{-\pi}^{\pi} \frac{\mathrm{d} a}{\mathrm{~d} E} \mathrm{~d} E & \approx-\rho_{0} A a^{2} \int_{-\pi}^{\pi} \exp \left(-\kappa \frac{E^{2}}{2}\right)\left[\frac{(1+e \cos E)^{3}}{1-e \cos E}\right]^{\frac{1}{2}} \mathrm{~d} E  \tag{A10}\\
& \approx-\rho_{0} A a^{2}\left[\frac{(1+e)^{3}}{1-e}\right]^{\frac{1}{2}} \int_{-\infty}^{\infty} \exp \left(-\kappa \frac{E^{2}}{2}\right) \mathrm{d} E
\end{align*}
$$

Using the Gaussian integral $\int_{-\infty}^{\infty} \exp \left(-x^{2}\right) \mathrm{d} x=\sqrt{\pi}$, Eq. (A10) becomes

$$
\begin{equation*}
\Delta a \approx-\rho_{0} A a^{2}\left[\frac{(1+e)^{3}}{1-e}\right]^{\frac{1}{2}} \sqrt{\frac{2 \pi}{\kappa}} \tag{A11}
\end{equation*}
$$

Similar conclusions can also be found in Esposito et al. [2], Roy [40] and Zhou and Liu [42]. Dividing Eq. (A11) with $T=2 \pi \sqrt{a^{3} / G m_{p}}$, we obtain the average rate

$$
\begin{equation*}
\frac{\overline{\mathrm{d} a}}{\mathrm{~d} t}=\frac{\Delta a}{2 \pi \sqrt{a^{3} / G m_{p}}}=-\frac{\rho_{0} C_{d 0} S_{r}}{m}\left[\frac{G m_{p} H(1+e)^{3}}{2 \pi e(1-e)}\right]^{\frac{1}{2}} \tag{A12}
\end{equation*}
$$

and the density at pericenter

$$
\begin{equation*}
\rho_{0}=\frac{2 \bar{q}_{p}}{v_{p}^{2}}=\frac{2 \bar{q}_{p}}{G m_{p}\left(\frac{2}{r_{p}}-\frac{1}{a}\right)}, \tag{A13}
\end{equation*}
$$

where $r_{p}$ and $v_{p}$ are the distance and velocity at pericenter, respectively. The variable $r_{p}$ is supposed to be constant. Using $r_{p}=a(1-e)$, we find that $e$ is a single variable function of $a$. Substituting Eq. (A13) into Eq. (A12) and removing the variable $e$, we have

$$
\begin{equation*}
\frac{\overline{\mathrm{d} a}}{\mathrm{~d} t}=-\sqrt{\frac{2 H}{\pi G m_{p}}} \frac{C_{d 0} S_{r} \bar{q}_{p}}{m}[\mathcal{G}(a)]^{-1} \tag{A14}
\end{equation*}
$$

and $\mathcal{G}(a)$ is a function of $a$ in the form

$$
\begin{equation*}
\mathcal{G}(a)=\sqrt{\frac{a-r_{p}}{a r_{p}\left(2 a-r_{p}\right)}} . \tag{A15}
\end{equation*}
$$

Now integrating Eq. (A14) from $a_{j}$ to $a_{j+1}$, we find that

$$
\begin{equation*}
\Delta t=-\frac{m}{C_{d 0} S_{r} \bar{q}_{p}} \sqrt{\frac{\pi G m_{p}}{2 H}} \int_{a_{j}}^{a_{j+1}} \mathcal{G}(a) \overline{\mathrm{d} a} . \tag{A16}
\end{equation*}
$$

Numerical integrators are used to solve Eq. (A16) for the aerobraking duration $\Delta t$ as no explicit integral for $\mathcal{G}(a)$ is found.

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[^1]:    ${ }^{1}$ https://nssdc.gsfc.nasa.gov/planetary/factsheet/marsfact.html

