# ISOGEOMETRIC MORTAR COUPLING FOR ELECTROMAGNETIC PROBLEMS* 

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#### Abstract

This paper discusses and analyzes two domain decomposition approaches for electromagnetic problems that allow the combination of domains discretized by either Nédélec-type polynomial finite elements or spline-based isogeometric analysis. The first approach is a new isogeometric mortar method and the second one is based on a modal basis for the Lagrange multiplier space, called state-space concatenation in the engineering literature. Spectral correctness and in particular inf-sup stability of both approaches are investigated numerically, and analytical results are obtained for the isogeometric mortar method. The new mortar method is shown to be unconditionally stable. Its construction of the discrete Lagrange multiplier space takes advantage of the high continuity of splines and does not have an analogue for Nédélec finite elements. On the other hand, the approach with modal basis is easier to implement but relies on application knowledge to ensure stability and correctness.


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1. Introduction. In electrical engineering numerical modeling and simulations have become more and more invaluable in the design process of new devices and components. In particular, we are often interested in electromagnetic devices where the geometry plays an important role in their performance. Given this requirement, we choose to investigate the applicability of isogeometric analysis (IGA) to the simulation of complex electromagnetic structures such as, e.g., radio frequency (RF) cavities (see Figure 1) as used in particle accelerators. The main building block of IGA for electromagnetic problems are the B-spline spaces with nonuniform rational B-splines (NURBS) mappings as introduced by Buffa and co-authors in [11, 10]. They allow us to parametrize our domain of interest exactly in terms of computer aided design (CAD), thus avoiding geometrical errors, and grant us a straightforward way to deal with deformations or shape optimization processes, e.g., [5].

However, it is known that, when dealing with complicated structures, one might not always be able to easily construct a volumetric parametrization as required by IGA, and no robust automatic tools exist to alleviate the burden of this task. It is

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Fig. 1. A superconducting TESLA cavity. (Copyright 2006 DESY)
then of interest to investigate the possibility of substructuring and coupling domains, possibly using different type of discretization with each other. A particular example of electromagnetic devices with problematic parametrization are electrical machines where the rotor part of the domain is rotating with respect to the stator [6].

To address those issues, we investigate the domain decomposition method (DDM) for electromagnetic problems solved with isogeometric methods. In particular, we are interested in methods that allow for the coupling of nonconforming meshes and of different discretization schemes such as IGA and the classical Nédélec-type finite element method (FEM). Two methods are considered: a new mortar method, which allows for the coupling of different grids and exploits the inherent properties of the isogeometric basis to naturally define the approximation space for the Lagrange multipliers, and the state space concatenation (SSC) method recently introduced by Flisgen, Glock, and van Rienen [21], which exploits a modal basis on the connecting interfaces instead. SSC can also be interpreted as a problem-specific port reduction method [19, 26]. In the following, we discuss the proper mathematical construction of such a basis, its stability, and correctness and show numerical simulations of a real world application example.
1.1. Application to radio frequency cavities. The motivational application example of this paper is the simulation of RF cavities which are used to give energy to the beam in particle accelerators. A resonating electromagnetic field is induced inside these structures in such a way that the field oscillation is synchronous with the passing of the charges and that they experience only an accelerating field [32].

More specifically, we consider the TeV-Energy Superconducting Linear Accelerator (TESLA) cavity [2, 18], a 9-cell cavity built in superconducting niobium for the International Linear Collider (ILC), currently in operation at the Deutsches ElektronenSynchrotron (DESY) facility in Hamburg (see Figure 1). The TESLA cavity operates with a transverse magnetic (TM) standing wave mode at $f_{0}=1.3 \mathrm{GHz}$. Very stringent tolerancies are required in production in order for the accelerating frequency to be as close as possible to this value. This high precision is also required from the numerical simulation.

However, many cavity simulation codes still rely on two-dimensional axysimmetric FEM, thus disregarding the three-dimensional effects of the Higher Order Mode Coupler (HOMC) present at the ends of the cavity (see Figures 1-2). Three-dimensional FEM needs a very high number of elements in order to achieve a sufficient accuracy. The use of IGA in cavity simulation has been proven to be beneficial both in terms of accuracy and of overall reduction of the computational cost [15, 14]. Moreover, IGA allows for a better treatment of geometry deformations, e.g., due to Lorentz detuning [15].

The overall goal is to be able to discretize the central part of the cavity with an isogeometric scheme (as this is the region where the geometry description plays a paramount role in the definition of the eigenfrequencies and where the wall deforma-


Fig. 2. HOMCs of the TESLA cavity. On the left the downstream coupler, on the right the upstream one. Images are created with CST Microwave Studio [16].
tions occur) and finite element (FE) in the end beampipes, where the fine details of the HOMCs (see Figure 2) make it difficult to build the structured mesh required by IGA, while they can be captured with a fine mesh of (possibly curved) tetrahedra. Furthermore, given the modularity of the geometry, we consider the application of DDM also for cell-to-cell coupling.

The paper is organized as follows. Maxwell's eigenvalue problem in the cavity is recalled in section 2, along with the IGA framework used. Two DDMs, the mortar method and the SSC method, are presented in section 3, followed by a complete analysis of the mortar method in section 4, and numerical tests in section 5. Section 6 draws some conclusions and final remarks.
2. Isogeometric analysis for Maxwell's equations. This section first introduces notation for Sobolev spaces and trace operators. The Maxwell eigenvalue problem is formulated in its weak form in the second subsection. Although focusing on the eigenvalue problem, all methods can be applied to source problems as well.
2.1. Sobolev spaces and trace operators. We distinguish between two-dimensional and three-dimensional domains by using the notation $\Gamma$ and $\Omega$, respectively. We denote by $H^{s}(\Gamma)$ and $H^{s}(\Omega)$ the Sobolev spaces of regularity $s \in \mathbb{R}$, and by $\|\cdot\|_{s, \Gamma}$ and $\|\cdot\|_{s, \Omega}$ the corresponding norms. The domain subindices will be removed from the norms when there is no ambiguity. Moreover, assuming that $\Gamma \subset \partial \Omega$, we follow the notation introduced in [28] and make use of the space $H_{00}^{1 / 2}(\Gamma)$ of functions such that their extension by zero is in $H^{1 / 2}(\partial \Omega)$, and denote its dual by $H_{00}^{-1 / 2}(\Gamma)$. For vector fields, the spaces will be denoted with bold letters, for example, $\mathbf{H}^{s}(\Omega)$.

We will also make use of the spaces of vector fields

$$
\begin{aligned}
\mathbf{H}(\mathbf{c u r l} ; \Omega) & =\left\{\mathbf{v} \in \mathbf{L}^{2}(\Omega): \mathbf{c u r l} \mathbf{v} \in \mathbf{L}^{2}(\Omega)\right\} \\
\mathbf{H}(\operatorname{div} ; \Omega) & =\left\{\mathbf{v} \in \mathbf{L}^{2}(\Omega): \operatorname{div} \mathbf{v} \in L^{2}(\Omega)\right\}
\end{aligned}
$$

Denoting by $\mathbf{n}$ the unit normal vector exterior to $\Omega$, for scalar fields we introduce the standard trace operator $\gamma: H^{1}(\Omega) \longrightarrow H^{1 / 2}(\partial \Omega)$, while for vector fields in $\mathbf{H}(\mathbf{c u r l} ; \Omega)$ we introduce the two trace operators

$$
\begin{aligned}
\gamma(\mathbf{u}) & =\mathbf{u}-(\mathbf{u} \cdot \mathbf{n}) \mathbf{n} \equiv(\mathbf{n} \times \mathbf{u}) \times \mathbf{n}, \\
\gamma_{\perp}(\mathbf{u}) & =\mathbf{u} \times \mathbf{n}
\end{aligned}
$$

and define $\mathbf{H}_{0}(\mathbf{c u r l} ; \Omega)$ as the subspace of functions in $\mathbf{H}(\mathbf{c u r l} ; \Omega)$ with vanishing trace
$\gamma$ on the boundary $\partial \Omega$. We recall that the trace operators and differential operators commute, and in particular $\operatorname{grad}_{\Gamma}(\gamma \phi)=\gamma(\operatorname{grad} \phi)$ (see, for instance, [9]). Finally, for $\Gamma \subset \partial \Omega$ we denote by $\gamma_{\Gamma}, \gamma_{\Gamma}$ and $\gamma_{\perp, \Gamma}$ the restriction of the trace operators to $\Gamma$, and denote by $\mathbf{H}_{\Gamma}(\mathbf{c u r l} ; \Omega)$ the functions of $\mathbf{H}(\mathbf{c u r l} ; \Omega)$ with vanishing trace on $\Gamma$. In particular, the trace operators $\gamma_{\Gamma}$ and $\gamma_{\perp, \Gamma}$ map the functions in $\mathbf{H}(\mathbf{c u r l} ; \Omega)$ into the Sobolev spaces

$$
\begin{aligned}
\mathbf{H}^{-1 / 2}(\mathbf{c u r l} ; \Gamma) & =\left\{\mathbf{v} \in \mathbf{H}^{-1 / 2}(\Gamma): \operatorname{curl}_{\Gamma} \mathbf{v} \in H^{-1 / 2}(\Gamma)\right\} \\
\mathbf{H}^{-1 / 2}(\operatorname{div} ; \Gamma) & =\left\{\mathbf{v} \in \mathbf{H}_{00}^{-1 / 2}(\Gamma): \operatorname{div}_{\Gamma} \mathbf{v} \in H_{00}^{-1 / 2}(\Gamma)\right\}
\end{aligned}
$$

respectively. We will endow these spaces with the usual graph norms, which we, respectively, denote by $\|\cdot\|_{-1 / 2 \text {, curl }}$ and, for simplicity, $\|\cdot\|_{-1 / 2 \text {, div }}$.
2.2. Formulation of Maxwell eigenvalue problem. Under the assumption of time-harmonicity, the electric field in an RF cavity is governed by a second order partial differential equation (PDE) in terms of the electric field phasor $\mathbf{E}$ only

$$
\begin{equation*}
\operatorname{curl} \operatorname{curl} \mathbf{E}=\varepsilon_{0} \mu_{0} \omega^{2} \mathbf{E} \tag{1}
\end{equation*}
$$

where $\varepsilon_{0}$ and $\mu_{0}$ are the vacuum electric permittivity and magnetic permeability, respectively, [27]. Equation (1) is an eigenvalue problem, whose solutions are a sequence of eigenmodes $\left(\omega_{n}^{2}, \mathbf{E}_{n}\right)$ which represent the excitable modes in the cavity. In the lossy case, the conductivity can be considered by introducing a complex-valued permittivity and the modal analysis will consequently lead to solutions in the complex plane. In this work we focus on the lossless approximation where also the cavity walls are considered to be perfect conductors, which is a reasonable assumption for superconducting resonators such as the TESLA cavity. While the treatment of lossy materials should be straightforward, the introduction of more complex boundary conditions, e.g., impedance boundary conditions [24], is more involved since it affects the definition of all function spaces. Although we do not expect problems, only the real-valued case with boundary conditions for perfect electrical conductors is considered in the following.

Following [4], we introduce the weak formulation of Maxwell's eigenproblem (1) as follows: Find $\omega \in \mathbb{R}$ and $\mathbf{E} \in \mathbf{H}_{0}(\operatorname{curl} ; \Omega)$ with $\mathbf{E} \neq 0$ such that

$$
\begin{equation*}
(\operatorname{curl} \mathbf{E}, \operatorname{curl} \mathbf{v})=\varepsilon_{0} \mu_{0} \omega^{2}(\mathbf{E}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{H}_{0}(\operatorname{curl} ; \Omega) \tag{2}
\end{equation*}
$$

In the context of classical FE analysis, the numerical approximation of problem (2) requires either some form of stabilization of the divergence part or the use of the socalled edge elements introduced by Nédélec [30], which have the property of directly satisfying the commuting de Rham diagram [29].

In $[11,10]$, Buffa and co-authors introduce a sequence of B-spline spaces that also satisfies the de Rham diagram, opening up the possibiliy of applying IGA to electromagnetic problems. In the remainder of this section, we introduce some notation regarding B-spline spaces and introduce the discretization scheme used.
2.3. B-spline basis functions. Given a degree $p$, B-splines are defined from a so-called knot vector

$$
\begin{equation*}
\Xi=\left[\xi_{1}, \ldots, \xi_{n+p+1}\right] \quad 0 \leq \xi_{i} \leq \xi_{i+1} \leq 1 \tag{3}
\end{equation*}
$$

using the Cox-De Boor recursion formula [31], where $n$ is the number of functions (see Figure 3). We assume that the knot vector is open, which means that the first


Fig. 3. Example of B-spline basis functions of degree 1 (on the left) and degree 2 (on the right).
and last knots are repeated $p+1$ times and that all the internal knots are repeated at most $p$ times. We denote by $\widehat{B}_{i}^{p}$ the $i$ th basis function of degree $p$ on the reference domain $(0,1)$ and define the space of B-spline as

$$
S_{p}(\Xi)=\operatorname{span}\left\{\widehat{B}_{i}^{p}, i=1, \ldots, n\right\}
$$

We will denote by $h$ the maximum size of the nonempty elements $\left(\xi_{i}, \xi_{i+1}\right)$, and we assume that the mesh is locally quasi-uniform, that is, there exists $\theta \geq 1$ such that the size ratio for two adjacent elements satisfies $\theta^{-1} \leq h_{i} / h_{i+1} \leq \theta$.

Multivariate spaces in the reference domain are defined following a tensor product approach, e.g, in three dimensions, we define the degrees $p_{j}$, the knot vectors $\Xi_{j}$, and the integers $n_{j}$ for $j=1,2,3$ to get the basis functions

$$
\widehat{B}_{\mathbf{i}}^{\mathbf{p}}(\boldsymbol{\xi})=\widehat{B}_{i_{1}}^{p_{1}}\left(\xi_{1}\right) \widehat{B}_{i_{2}}^{p_{2}}\left(\xi_{2}\right) \widehat{B}_{i_{3}}^{p_{3}}\left(\xi_{3}\right),
$$

on $(0,1)^{3}$. Here we have defined the degree vector $\mathbf{p}=\left[p_{1}, p_{2}, p_{3}\right]$ and the multi-index $\mathbf{i} \in \mathcal{I}=\left\{\left[i_{1}, i_{2}, i_{3}\right]: 1 \leq i_{j} \leq n_{j}\right\}$. The space spanned by the multivariate B-splines is denoted by $S_{p_{1}, p_{2}, p_{3}}\left(\Xi_{1}, \Xi_{2}, \Xi_{3}\right)$ and its dimension by $N=\prod_{j} n_{j}$.

NURBS basis functions are defined as rational B-splines as

$$
\widehat{N}_{\mathbf{i}}^{\mathbf{p}}=\frac{w_{\mathbf{i}} \widehat{B}_{\mathbf{i}}^{\mathbf{p}}}{\sum_{j=1}^{N} w_{\mathbf{j}} \widehat{B}_{\mathbf{j}}^{\mathbf{p}}}
$$

with $w_{\mathbf{i}}$ weights associated to each basis function. In the case $w_{\mathbf{i}}=1$ for all $\mathbf{i}$ we revert to the B-spline case. NURBS geometries are built as a map from the reference domain $\widehat{D}=(0,1)^{d}$ to the physical space by defining a control polyhedron in the physical space. Each control point $\mathbf{P}_{\mathbf{i}} \in \mathbb{R}^{k}$ with $k \geq d$ in the polyhedron is associated to a basis function, defining the map

$$
\mathbf{F}(\boldsymbol{\xi})=\sum_{i=1}^{N} \widehat{N}_{\mathbf{i}}^{\mathbf{p}} \mathbf{P}_{\mathbf{i}}
$$

from $\widehat{D}$ to $D \subset \mathbb{R}^{k}$. As already mentioned, the domain will be denoted by $\Gamma$ when $d=2$, and by $\Omega$ when $d=3$.
2.4. Isogeometric discretization. We assume that our geometry is defined through a NURBS mapping $\mathbf{F}: \widehat{\Omega}=(0,1)^{3} \rightarrow \Omega \subset \mathbb{R}^{3}$, and to prevent singularities in the mapping we assume that the mapping is a bi-Lipschitz homeomorphism. Moreover, let us assume for simplicity that the degree is the same in all directions, i.e.,
$p=p_{1}=p_{2}=p_{3}$. Following [10], we first define the spline spaces in the reference domain as

$$
\begin{align*}
& S_{p}^{0}(\widehat{\Omega})=S_{p, p, p}\left(\Xi_{1}, \Xi_{2}, \Xi_{3}\right)  \tag{4}\\
& S_{p}^{1}(\widehat{\Omega})=S_{p-1, p, p}\left(\Xi_{1}^{\prime}, \Xi_{2}, \Xi_{3}\right) \times S_{p, p-1, p}\left(\Xi_{1}, \Xi_{2}^{\prime}, \Xi_{3}\right) \times S_{p, p, p-1}\left(\Xi_{1}, \Xi_{2}, \Xi_{3}^{\prime}\right) \tag{5}
\end{align*}
$$

where $\Xi_{j}^{\prime}=\left[\xi_{2}^{j}, \ldots, \xi_{n_{j}+p}^{j}\right]$ for $j=1,2,3$ is a modified knot vector with the first and last knot removed. This corresponds to lowering by one the degree and the regularity of the basis functions in the $j$ th direction. Then, considering the mapping $\mathbf{F}$ and its Jacobian $D \mathbf{F}$, the spline spaces in the physical domain $\Omega$ are defined by push-forward as

$$
\begin{align*}
& S_{p}^{0}(\Omega)=\left\{u \in H^{1}(\Omega): u \circ \mathbf{F}=\hat{u} \in S_{p}^{0}(\widehat{\Omega})\right\}  \tag{6}\\
& S_{p}^{1}(\Omega)=\left\{\mathbf{u} \in \mathbf{H}(\mathbf{c u r l} ; \Omega): \mathbf{u} \circ \mathbf{F}=\left(D \mathbf{F}^{\top}\right)^{-1} \hat{\mathbf{u}}, \hat{\mathbf{u}} \in S_{p}^{1}(\widehat{\Omega})\right\} \tag{7}
\end{align*}
$$

To deal with boundary conditions, let $\Sigma \subseteq \partial \Omega$, and for simplicity we assume that $\Sigma=\mathbf{F}(\widehat{\Sigma})$, where $\widehat{\Sigma} \subseteq \partial \widehat{\Omega}$ is the union of some boundary sides of the reference domain. We introduce the discrete spaces with vanishing boundary conditions on $\Sigma \subseteq \partial \Omega$ as

$$
\begin{aligned}
S_{p}^{0}(\Omega ; \Sigma) & =\left\{u \in S_{p}^{0}(\Omega): \gamma(u)=0 \text { on } \Sigma\right\} \\
S_{p}^{1}(\Omega ; \Sigma) & =\left\{\mathbf{u} \in S_{p}^{1}(\Omega): \gamma(\mathbf{u})=\mathbf{0} \text { on } \Sigma\right\}
\end{aligned}
$$

Analogous to Lagrangian and Nédélec's edge elements, the spline spaces defined above are part of a more general family of isogeometric spaces that form a discrete exact sequence. There also exists a set of commutative projectors such that they conform a commutative de Rham diagram, and it can be proved that the discretization of the eigenvalue problem (2) with the space $S_{p}^{1}(\Omega ; \partial \Omega)$ is spurious free. For more details we refer to [10] and [3, Chapter 5].
2.5. Isogeometric spaces on a surface. For substructuring methods, and in order to deal with discrete spaces defined on the interface between two subdomains, we have to make use of isogeometric spaces defined on a surface. Let $\Gamma \subset \partial \Omega$, and we assume that $\Gamma$ is the image through the parametrization $\mathbf{F}$ of one boundary side of the reference domain $\widehat{\Omega}$. Thus, it can be parametrized as $\mathbf{F}_{\Gamma}: \widehat{\Gamma} \rightarrow \Gamma \subset \mathbb{R}^{3}$. Applying the trace operators defined in section 2.1, we obtain

$$
\begin{align*}
& \gamma_{\Gamma}: S_{p}^{1}(\Omega) \longrightarrow S_{p}^{1}(\Gamma)  \tag{8}\\
& \gamma_{\perp, \Gamma}: S_{p}^{1}(\Omega) \longrightarrow S_{p}^{1^{*}}(\Gamma) \tag{9}
\end{align*}
$$

where, similarly to (5), we first define the spaces in the parametric domain

$$
\begin{align*}
& S_{p}^{1}(\widehat{\Gamma})=S_{p-1, p}\left(\Xi_{k}^{\prime}, \Xi_{l}\right) \times S_{p, p-1}\left(\Xi_{k}, \Xi_{l}^{\prime}\right),  \tag{10}\\
& S_{p}^{1^{*}}(\widehat{\Gamma})=S_{p, p-1}\left(\Xi_{k}, \Xi_{l}^{\prime}\right) \times S_{p-1, p}\left(\Xi_{k}^{\prime}, \Xi_{l}\right), \tag{11}
\end{align*}
$$

and the indices of the knot vectors $1 \leq k<l \leq 3$ depend on the chosen boundary side. The trace spaces are then defined analogously to (7) as

$$
\begin{align*}
& S_{p}^{1}(\Gamma)=\left\{\mathbf{v}: D \mathbf{F}_{\Gamma}^{\top}\left(\mathbf{v} \circ \mathbf{F}_{\Gamma}\right) \in S_{p}^{1}(\widehat{\Gamma})\right\}  \tag{12}\\
& S_{p}^{1^{*}}(\Gamma)=\left\{\mathbf{v}:\left|D \mathbf{F}_{\Gamma}\right| D \mathbf{F}_{\Gamma}^{+}\left(\mathbf{v} \circ \mathbf{F}_{\Gamma}\right) \in S_{p}^{1^{*}}(\widehat{\Gamma})\right\} \tag{13}
\end{align*}
$$

where $D \mathbf{F}_{\Gamma}$ is the Jacobian matrix of $\mathbf{F}_{\Gamma}$ with size $2 \times 3$, for which we also define the measure $\left|D \mathbf{F}_{\Gamma}\right|=\sqrt{\operatorname{det}\left(D \mathbf{F}_{\Gamma}^{\top} D \mathbf{F}_{\Gamma}\right)}$, and the Moore-Penrose pseudoinverse $D \mathbf{F}_{\Gamma}^{+}=$ $\left(D \mathbf{F}_{\Gamma}^{\top} D \mathbf{F}_{\Gamma}\right)^{-1} D \mathbf{F}_{\Gamma}^{\top}$.

Analogous to the three-dimensional case, these trace spaces are part of a de Rham diagram. In fact, if we introduce the spaces

$$
S_{p}^{0}(\widehat{\Gamma})=S_{p, p}\left(\Xi_{k}, \Xi_{l}\right), \quad S_{p}^{2}(\widehat{\Gamma})=S_{p-1, p-1}\left(\Xi_{k}^{\prime}, \Xi_{l}^{\prime}\right)
$$

and then apply a push-forward with the usual maps for differential forms, we obtain (see [9] for further details)

$$
\begin{equation*}
\mathbb{R} \longrightarrow S_{p}^{0}(\Gamma) \xrightarrow{\operatorname{curl}_{\Gamma}} S_{p}^{1^{*}}(\Gamma) \xrightarrow{\operatorname{div}_{\Gamma}} S_{p}^{2}(\Gamma) \longrightarrow 0, \tag{14}
\end{equation*}
$$

where the subscript $\Gamma$ is used to denote the surface differential operators. Moreover, we can define spaces with vanishing boundary conditions, and in particular functions in $S_{p}^{0}(\Gamma ; \partial \Gamma)$ vanish on $\partial \Gamma$, while for $S_{p}^{1}(\Gamma ; \partial \Gamma)$ the tangential component vanishes. Introducing the notation $S_{p}^{2}(\Gamma ; \partial \Gamma)=S_{p}^{2}(\Gamma)$, we also have the exact sequence

$$
\begin{equation*}
0 \longrightarrow S_{p}^{0}(\Gamma ; \partial \Gamma) \xrightarrow{\operatorname{grad}_{\Gamma}} S_{p}^{1}(\Gamma ; \partial \Gamma) \xrightarrow{\operatorname{curl}_{\Gamma}} S_{p}^{2}(\Gamma ; \partial \Gamma) \longrightarrow \mathbb{R} \tag{15}
\end{equation*}
$$

Obviously, the construction of the spaces on the surface can be generalized to arbitrary degree.
3. Substructuring. In this section we present two instances of DDMs. The aim is twofold. Given the typical structure of RF cavities, it is desirable to obtain a substructuring method able to exploit the modularity of the design in order to speed up matrix assembly and eventually reduce memory consumption. On the other hand, we are interested in the flexibility of coupling different discretizations across different domains. A classical Galerkin approximation cannot be straightforwardly applied, since the discrete space consists of discontinuous functions across the connecting interface, and hence it is not a subset of $\mathbf{H}(\mathbf{c u r l} ; \Omega)$ anymore. The approach is to give a weak formulation of (1) compatible with the independent definition of the finite-dimensional spaces on each subdomain, with the addition of a weak coupling condition for the tangential fields across the interfaces. We will refer in the following only to the eigenvalue problem introduced and its weak formulation (2), although the methods proposed can be applied to source problems as well.
3.1. The general setting. Let us assume that the domain is decomposed in $N_{\text {dom }}$ nonoverlapping subdomains, such that $\bar{\Omega}=\bigcup_{i=1}^{N_{\text {dom }}} \bar{\Omega}_{i}$. Let us denote $\Gamma_{i j}=$ $\bar{\Omega}_{i} \cap \bar{\Omega}_{j}$ for $i<j$, such that $\Omega_{i}$ will play the role of the slave subdomain, and by $\mathbf{n}_{\Gamma_{i j}}$, or $\mathbf{n}_{\Gamma}$ to simplify notation, the unit normal vector to $\Gamma_{i j}$ pointing outward $\Omega_{i}$ (see Figure 4). We also introduce $\boldsymbol{\mathcal { I }}$ the collection of couples $(i, j)$ with $i<j$ such that $\Gamma_{i j}$ is not empty. Moreover, for each $\Omega_{s}$ we set $\Sigma_{s}=\partial \Omega \cap \partial \Omega_{s}$. On each subdomain we introduce the space $V_{s}=\mathbf{H}_{\Sigma_{s}}\left(\operatorname{curl} ; \Omega_{s}\right)$ and define $V=\prod_{s=1}^{N_{\text {dom }}} V_{s}$. Moreover, for each interface $\Gamma_{i j}$ we introduce the space $M_{i j}=\left\{\operatorname{curl} \mathbf{v} \times \mathbf{n}_{\Gamma_{i j}}: \mathbf{v} \in V_{i}\right\}$ and define $M=\prod_{(i, j) \in \mathcal{I}} M_{i j}$. With this notation, the tangential continuity of the electromagnetic field across the interfaces can be enforced weakly by means of a Lagrange multiplier, and the Maxwell eigenvalue problem (2) is equivalent to the


Fig. 4. Schematics of substructuring into two subdomains (blue and yellow). The two subdomains consist of several patches $\Omega_{i}$ with $i=1, \ldots, 5$ and $\Omega_{j}$ with $j=6, \ldots, 10$, respectively. These patches are glued to each other by the multipatch approach. Lagrange multipliers are used across the subdomain interface, e.g., on $\Gamma_{16}$.
mixed variational problem: Find $\mathbf{E} \in V, \boldsymbol{\lambda} \in M$, and $\omega \in \mathbb{R}^{+}$such that

$$
\begin{align*}
a(\mathbf{E}, \mathbf{v})+b(\mathbf{v}, \boldsymbol{\lambda}) & =\epsilon_{0} \mu_{0} \omega^{2} \sum_{s=1}^{N_{\mathrm{dom}}}(\mathbf{E}, \mathbf{v})_{\Omega_{s}} & & \forall \mathbf{v} \in V  \tag{16}\\
b(\mathbf{E}, \boldsymbol{\mu}) & =0 & & \forall \boldsymbol{\mu} \in M
\end{align*}
$$

where we define the bilinear forms

$$
\begin{aligned}
& a(\mathbf{E}, \mathbf{v}):=\sum_{s=1}^{N_{\text {dom }}}(\operatorname{curl} \mathbf{E}, \operatorname{curl} \mathbf{v})_{\Omega_{s}}, \\
& b(\mathbf{v}, \boldsymbol{\mu}):=\sum_{(i, j) \in \mathcal{I}}\left(\left[\gamma_{\Gamma_{i j}}(\mathbf{v})\right], \boldsymbol{\mu}\right)_{\Gamma_{i j}}=\sum_{(i, j) \in \mathcal{I}}\left(\left[\left(\mathbf{n}_{\Gamma_{i j}} \times \mathbf{v}\right) \times \mathbf{n}_{\Gamma_{i j}}\right], \boldsymbol{\mu}\right)_{\Gamma_{i j}}
\end{aligned}
$$

and the brackets [.] denote the jump across the interface $\Gamma_{i j}$. We note that in the continuous setting $\boldsymbol{\lambda}=\operatorname{curl} \mathbf{E} \times \mathbf{n}_{\Gamma}$, and the tangential components of $\mathbf{E}$ are continuous.

For the discretization of (16), let us assume that $N_{\text {dom }}=N_{\text {IGA }}+N_{\text {FEM }}$ and that the first $N_{\text {IGA }}$ of subdomains are defined through a NURBS parametrization and carry an IGA discretization, while the last $N_{\text {FEM }}$ ones carry a FEM discretization. More precisely, for the IGA subdomains, i.e., for $s=1, \ldots, N_{\mathrm{IGA}}$, we define the spaces $V_{s, h}=S_{p}^{1}\left(\Omega_{s} ; \Sigma_{s}\right)$, and with some abuse of notation we let $S_{p}^{1}\left(\Omega_{s} ; \Sigma_{s}\right)=S_{p}^{1}\left(\Omega_{s}\right)$ when $\Sigma_{s}$ is empty. Similarly, for the FEM subdomains, i.e., for $s=N_{\text {IGA }}+1, \ldots, N_{\text {dom }}$, we denote by $V_{s, h}=X_{h}\left(\Omega_{s} ; \Sigma_{s}\right)$ the corresponding spaces of Nédélec finite elements with vanishing tangential component on $\Sigma_{s}$. Then, we define the discrete space as $V_{h}=$ $\prod_{s=1}^{N_{\text {dom }}} V_{s, h}$. Moreover, for every nonempty interface $\Gamma_{i j}$ we assume for simplicity that the slave subdomain $\Omega_{i}$ carries an IGA discretization, that is, for every couple $(i, j) \in$ $\mathcal{I}$, it holds that $1 \leq i \leq N_{\text {IGA }}$. Then, the Lagrange multiplier is approximated in the discrete space $M_{h}=\prod_{(i, j) \in \mathcal{I}} M_{i j, h}$, where the discrete space on each interface $M_{i j, h}$ will depend on the chosen method, and will be detailed in the next subsections. After we have defined the approximation spaces, we write the discrete weak formulation of

$$
\begin{align*}
a\left(\mathbf{E}_{h}, \mathbf{v}_{h}\right)+b\left(\mathbf{v}_{h}, \boldsymbol{\lambda}_{h}\right) & =\epsilon_{0} \mu_{0} \omega_{h}^{2} \sum_{s=1}^{N_{\mathrm{dom}}}\left(\mathbf{E}_{h}, \mathbf{v}_{h}\right)_{\Omega_{s}} & & \forall \mathbf{v}_{h} \in V_{h}  \tag{17}\\
b\left(\mathbf{E}_{h}, \boldsymbol{\mu}_{h}\right) & =0 & & \forall \boldsymbol{\mu} \in M_{h}
\end{align*}
$$

We will say that problem (17) is a spectrally correct approximation of (16) if all the nonzero eigenvalues are approximated by a correct number of discrete eigenvalues with the right multiplicity, and the corresponding eigenspaces are also well approximated. Moreover, there are no eigenvalues different from zero (in exact arithmetic) that converge to zero. This avoids the pollution of the spectrum by nonexact approximations of the zero eigenvalue; see $[12,4]$ for more details.
3.2. The state space concatenation method. This section discusses the SSC method introduced by Flisgen and co-authors [21, 20] and its properties with respect to standard DDMs. It was proposed for the simulation of long chains of resonant cavities as they are present in particle accelerators. In this case, the connection between each resonator is a short waveguide with a circular or rectangular cross-section. The field in these interconnecting parts is assumed to resemble that of a waveguide (the longer the connection, the more this assumption is reasonable) and SSC aims at exploiting this a priori knowledge to choose the Lagrange multiplier. Furthermore, SSC applies model order reduction to reduce the dimension of $V_{h}$ in order to allow for the simulation of very large structures. However, we will only focus here on the domain decomposition aspect.

Although the method arises from physical considerations, the technique proposed can be considered in a more general setting as a DDM where a modal basis is chosen for the Lagrange multipliers; see the related method in [17]. We assume that the interface $\Gamma$ is a simply connected planar surface such that $\partial \Gamma \subset \Sigma$, that in general can be made by several pieces, in the form $\bar{\Gamma}=\bigcup_{(i, j) \in \mathcal{I}_{\Gamma}} \bar{\Gamma}_{i j}$ with $\boldsymbol{\mathcal { I }}_{\Gamma} \subset \mathcal{I}$. We also assume that the interface $\Gamma$ is perpendicular to the boundary, in the sense that, denoting by $\mathbf{n}$ the unit normal vector exterior to $\Omega$, it holds that $\mathbf{n}_{\Gamma} \cdot \mathbf{n}=\mathbf{0}$. The Lagrangian basis is obtained from the modes that can be excited in a waveguide with $\Gamma$ as the cross-section. These are the TM and Transverse Electric (TE) modes, [27, Chapter 8.3]. Assuming for simplicity of notation $\mathbf{n}_{\Gamma}=\mathbf{n}_{z}$, then they are given, up to a multiplicative constant, by $\operatorname{grad}_{\Gamma} E_{z}$ and $\operatorname{curl}_{\Gamma} H_{z}$, where $E_{z}$ and $H_{z}$ are solutions of the eigenvalue problems

$$
\left\{\begin{array} { r l } 
{ \Delta _ { \Gamma } E _ { z } + \gamma ^ { 2 } E _ { z } = 0 } & { \text { in } \Gamma , }  \tag{18}\\
{ E _ { z } = 0 } & { \text { on } \partial \Gamma , }
\end{array} \quad \left\{\begin{array}{rl}
\Delta_{\Gamma} H_{z}+\gamma^{2} H_{z}=0 & \text { in } \Gamma, \\
\frac{\partial H_{z}}{\partial \mathbf{n}}=0 & \text { on } \partial \Gamma
\end{array}\right.\right.
$$

with the transverse Laplace operator $\Delta_{\Gamma} v(x, y)=\Delta v(x, y)-\frac{\partial^{2}}{\partial z^{2}} v(x, y)$ on the interface $\Gamma$. Assuming that the boundary of $\Gamma$ is smooth enough, the modes $\boldsymbol{\varphi}_{k}$, i.e., either $\operatorname{grad}_{\Gamma} E_{z, k}$ or $\operatorname{curl}_{\Gamma} H_{z, k}$, can be obtained as the numerical or closed-form solution of the eigenvalue problems on $\Gamma$. The equations (18) have an infinite number of solutions which constitute an orthogonal set of basis functions that can be sorted in ascending order according to their separation constants $\left(\gamma_{1} \leq \gamma_{2} \leq \gamma_{3} \leq \ldots\right)$ and form a basis of $\mathbf{L}^{2}(\Gamma)$. This means that for all $\varphi \in \mathbf{L}^{2}(\Gamma)$ there exist $\left\{\alpha_{k}\right\}_{k \in \mathbb{N}}$ such that $\boldsymbol{\varphi}=\sum_{k} \alpha_{k} \boldsymbol{\varphi}_{k}$.

To obtain the saddle-point formulation (17) we introduce a new variable $\varphi \in$ $\mathbf{L}^{2}(\Gamma)$ and consider the problem on each subdomain:

$$
\begin{aligned}
\operatorname{curl}\left(\mu_{0}^{-1} \mathbf{c u r l} \mathbf{E}_{s}\right) & =\omega^{2} \varepsilon_{0} \mathbf{E}_{s} & & \text { in } \Omega_{s}, \\
\mathbf{E}_{s} \times \mathbf{n} & =0 & & \text { on } \partial \Omega \cap \partial \Omega_{s}, \\
\left(\mu_{0}^{-1} \mathbf{c u r l} \mathbf{E}_{s}\right) \times \mathbf{n}_{\Gamma} & =\boldsymbol{\varphi}=\sum_{k} \alpha_{k} \boldsymbol{\varphi}_{k} & & \text { on } \Gamma
\end{aligned}
$$

that is, we impose on $\Gamma$ a superposition of the waveguide modes as the Neumann data. By translating (19) in the weak sense and by adding the weak continuity of the solution across $\Gamma$ we obtain (16).

The discrete space $M_{h}=\operatorname{span}\left\{\boldsymbol{\varphi}_{k}, k=1, \ldots, N_{\Gamma}\right\}$ is obtained by truncating the series, selecting the first $N_{\Gamma}<\infty$ modes, and we arrive at (17). If the interface is placed in correspondence of a waveguide-like section, it is possible to exploit physical knowledge on the dispersion relation to estimate the number of modes required to obtain a sufficiently good approximation [21]. However, in general many modes may be necessary for an accurate representation, which may endanger stability.
3.3. The Mortar method. We now propose the new isogeometric mortar method, where we approximate the Lagrange multiplier with a suitable space of splines. To define $M_{h}$ we will define the space $M_{i j, h}$ associated to each interface separately. By our assumptions, we know that for each interface $\Gamma_{i j}$ the slave subdomain $\Omega_{i}$ is discretized with IGA, and $\Gamma_{i j}$ is a full mapped face of that subdomain. Moreover, as we have seen in (8), the tangential trace operator $\gamma_{\Gamma_{i j}}$ maps the isogeometric discrete space defined in $\Omega_{i}, S_{p}^{1}\left(\Omega_{i} ; \Sigma_{i}\right)$, into the space $S_{p}^{1}\left(\Gamma_{i j}\right)$, and more precisely onto $S_{p}^{1}\left(\Gamma_{i j} ; \partial \Gamma_{i j} \cap \partial \Omega\right)$, the space with boundary conditions.

The approximation of the Lagrange multiplier $\boldsymbol{\lambda}=\operatorname{curl} \mathbf{E} \times \mathbf{n}$ requires a discrete space of divergence conforming splines, and such that the pairing with the image of $\gamma_{\Gamma_{i j}}$ satisfies an inf-sup stability condition on $b(\cdot, \cdot)$. A natural candidate would be the discrete space $S_{p}^{1^{*}}\left(\Gamma_{i j}\right)$, which is the image of the trace operator $\gamma_{\perp, \Gamma_{i j}}$. Unfortunately, this choice does not satisfy the inf-sup stability condition. In our mortar method we choose an analogous space with lower degree, and essentially defined from the same knot vector. We start by introducing the following assumption, which implies that $S_{p}^{1}\left(\Omega_{i} ; \Sigma_{i}\right)$ consists of continuous functions (both the normal and tangential components) when restricted to $\Omega_{i}$.

Assumption 3.1. The degree satisfies $p \geq 2$, and all the internal knots of $\Xi_{k}$ for $k=1,2,3$ are repeated at most $s$ times, with $1 \leq s<p$.

Under the previous assumption, we can choose any degree $q$ such that $1 \leq q \leq$ $p-s$. To build the mortar space of the multiplier we remove from the knot vectors $p-q$ repetitions of the first and last knots, in such a way that we get an open knot vector for degree $q$, while the internal knots remain unchanged. For instance, from the knot vector $\Xi$ in (3), we would define

$$
\widetilde{\Xi}=\left[\xi_{p+1-q}, \ldots, \xi_{n+q+1}\right] .
$$

Thanks to the previous assumption on the multiplicity, we can define spaces analogous to those in section 2.4 starting from degree $q$. In particular, with obvious notation for the knot vectors, we can define the curl-conforming space in the parametric domain

$$
S_{q}^{1}(\widehat{\Omega})=S_{q-1, q, q}\left(\widetilde{\Xi}_{1}^{\prime}, \widetilde{\Xi}_{2}, \widetilde{\Xi}_{3}\right) \times S_{q, q-1, q}\left(\widetilde{\Xi}_{1}, \widetilde{\Xi}_{2}^{\prime}, \widetilde{\Xi}_{3}\right) \times S_{q, q, q-1}\left(\widetilde{\Xi}_{1}, \widetilde{\Xi}_{2}, \widetilde{\Xi}_{3}^{\prime}\right)
$$

and the space in the physical domain for the patch $\Omega_{i}$, which we denote by $S_{q}^{1}\left(\Omega_{i}\right)$, is defined analogously to (7). The space of the Lagrange multiplier is then defined on the interface, applying the same notions in (2.5), as the image of the trace operator

$$
M_{i j, h}:=\boldsymbol{\gamma}_{\perp, \Gamma_{i j}}\left(S_{q}^{1}\left(\Omega_{i}\right)\right)=S_{q}^{1^{*}}\left(\Gamma_{i j}\right)
$$

Obviously, the practical implementation of the multiplier does not require the construction of the volumetric space $S_{q}^{1}\left(\Omega_{i}\right)$, and the space $S_{q}^{1^{*}}\left(\Gamma_{i j}\right)$ can be constructed directly analogously to (11)-(13). Indeed, it is enough to know the position of the interface $\Gamma_{i j}$ inside the patch to identify the indices $k, l$, and replace the knot vectors in (11) by the corresponding $\widetilde{\Xi}_{k}, \widetilde{\Xi}_{k}^{\prime}$ and $\widetilde{\Xi}_{l}, \widetilde{\Xi}_{l}^{\prime}$.

The analysis of the mortar method, which we develop in section 4, reveals that our choice of the discrete space is stable for $q=p-1$ when the maximum multiplicity is $s=1$. Moreover, the numerical tests in section 5 show stability for $q=p-k$ when $k$ is odd, while it is unstable when $k$ is even.

Remark 1. The construction of the discrete space takes advantage of the high continuity of splines and does not have an analogue for Nédélec finite elements. Indeed, Assumption 3.1 implies that both the tangential and the normal components of the functions are continuous, which is not the case in FEM.
3.4. Discussion of the two approaches. It is worth noticing that, with respect to the mortar method, the SSC has the advantage that the computation of the coupling terms $b\left(\mathbf{v}_{h}, \boldsymbol{\mu}_{h}\right)$ is completely independent on each side since the Lagrange multipliers $\boldsymbol{\varphi}_{k}$ on the interfaces live on spaces independent of the volume discretization. When dealing with the coupling of nonconforming meshes across $\Gamma$, the mortar method requires the construction of a common mesh given by the intersection of the meshes on the two sides. In the SSC case, however, the coupling matrices can be straightforwardly assembled on completely different meshes. Furthermore, for accelerator cavities with common shapes, the intersection surface $\Gamma$ is usually sufficiently simple that even closed-form solutions for the eigenmodes can be used. However, spectral correctness and inf-sup stability of SSC are not guaranteed as we will show in the example section. On the other hand, we show these properties for our mortar method in the next section. Another important difference is that for the SSC case the interface must be perpendicular to the boundary, and its boundary must be contained on the boundary of the domain, while the mortar method can deal with arbitrary interfaces.
4. Analysis of the mortar method. In this section we analyze the mortar method introduced in section 3.3. We start by introducing the conditions for the spectral correctness of any method written in the general setting (17).
4.1. Conditions for spectral correctness. In order to analyze the spectral correctness of the method, we need to define the space of discrete functions satisfying the weak continuity condition, namely,

$$
V_{h, M}:=\left\{\mathbf{u}_{h} \in V_{h}: b\left(\mathbf{u}_{h}, \boldsymbol{\mu}_{h}\right)=0 \quad \forall \boldsymbol{\mu}_{h} \in M_{h}\right\}
$$

We also define the discrete kernel as the subspace

$$
\begin{equation*}
K_{h, M}:=\left\{\mathbf{u}_{h} \in V_{h, M}: a\left(\mathbf{u}_{h}, \mathbf{v}_{h}\right)=0 \quad \forall \mathbf{v}_{h} \in V_{h, M}\right\} \tag{20}
\end{equation*}
$$

and denote by $W_{h, M}=K_{h, M}^{\perp}$ the orthogonal space to $K_{h, M}$ with respect to the $L^{2}$ product.

Several (necessary and sufficient) conditions have to be checked to ensure that the solution of (17) provides a spectrally correct approximation of (16).

Property 1 (inf-sup stability). There exists a constant $\beta>0$ and $h_{0}>0$ such that for $h<h_{0}$ it holds that

$$
\begin{equation*}
\sup _{\mathbf{u}_{h} \in V_{h}} \frac{b\left(\mathbf{u}_{h}, \boldsymbol{\mu}_{h}\right)}{\left(\sum_{s=1}^{N_{\text {dom }}}\left\|\mathbf{u}_{h}\right\|_{0, \mathbf{c u r l}, \Omega_{s}}^{2}\right)^{1 / 2}} \geq \beta\left(\sum_{(i, j) \in \mathcal{I}}\left\|\boldsymbol{\mu}_{h}\right\|_{-1 / 2, \text { div }, \Gamma_{i j}}^{2}\right)^{1 / 2} \forall \boldsymbol{\mu}_{h} \in M_{h} . \tag{21}
\end{equation*}
$$

Property 2 (completeness of the discrete kernel). For any $\phi \in H_{0}^{1}(\Omega)$, we have that

$$
\begin{equation*}
\lim _{h \rightarrow 0} \inf _{\mathbf{u}_{h} \in K_{h, M}}\left\|\operatorname{grad} \phi-\mathbf{u}_{h}\right\|_{0}=0 . \tag{22}
\end{equation*}
$$

Property 3 (gap property, or discrete compactness (see [4] or [8])). There exist constants $\sigma, C>0$ such that for every $\mathbf{w}_{h} \in W_{h, M}$ there exists $\mathbf{w} \in \mathbf{H}_{0}(\mathbf{c u r l} ; \Omega) \cap$ $\mathbf{H}(\operatorname{div} ; \Omega)$ with $\operatorname{div} \mathbf{w}=0$ such that

$$
\left\|\mathbf{w}_{h}-\mathbf{w}\right\|_{0} \leq C h^{\sigma}\|\mathbf{w}\|_{0, \text { curl }} .
$$

In the gap property, the exponent $\sigma$ depends on the degree of the approximation spaces and on the regularity of the functions in $\mathbf{H}_{0}(\mathbf{c u r l} ; \Omega) \cap \mathbf{H}($ div; $\Omega)$, which depends on the regularity of the domain.
4.2. Properties of the mortar method. In what follows we prove Properties 1 and 2 for the mortar method, while the proof of the gap property, which is more intricate, is left for further studies.

For simplicity, in the following we restrict ourselves to the case of two nonoverlapping subdomains, $\bar{\Omega}=\bar{\Omega}_{1} \cup \bar{\Omega}_{2}$ with a common interface $\Gamma=\Gamma_{12}=\partial \Omega_{1} \cap \partial \Omega_{2}$, and assume that both subdomains are discretized with IGA. The extension to the case of $\Omega_{2}$ discretized with FEM is straightforward and only affects the proof of Proposition 4.5. From now on, we assume that $q=p-1$, that is, the Lagrange multiplier belongs to the space $S_{p-1}^{1^{*}}(\Gamma)$.

The proofs rely on two known results: the Helmholtz decomposition and the gap property, both at the level of the interface.

Lemma 4.1 (Helmholtz decomposition). The two following Helmholtz decompositions hold:

$$
S_{p}^{1}(\Gamma ; \partial \Gamma)=Z_{p}^{1} \oplus \operatorname{grad}_{\Gamma}\left(S_{p}^{0}(\Gamma ; \partial \Gamma)\right), \quad S_{q}^{1^{*}}(\Gamma)=Z_{q}^{1^{*}} \oplus \operatorname{curl}_{\Gamma}\left(S_{q}^{0}(\Gamma) \cap L_{0}^{2}(\Gamma)\right)
$$

with

$$
\begin{aligned}
Z_{p}^{1} & =\left\{\mathbf{u} \in S_{p}^{1}(\Gamma ; \partial \Gamma):\left(\mathbf{u}, \operatorname{grad}_{\Gamma} \phi\right)=0 \quad \forall \phi \in S_{p}^{0}(\Gamma ; \partial \Gamma)\right\}, \\
Z_{q}^{1^{*}} & =\left\{\mathbf{v} \in S_{q}^{1^{*}}(\Gamma):\left(\mathbf{v}, \operatorname{curl}_{\Gamma} \psi\right)=0 \quad \forall \psi \in S_{q}^{0}(\Gamma)\right\},
\end{aligned}
$$

and $L_{0}^{2}(\Gamma)$ the $L^{2}$ functions with zero average value.
Proof. The result is a consequence of the commuting projectors defined in [10].
Lemma 4.2 (gap property on the interface). There exists a positive constant $\sigma>0$ such that, for each $\mathbf{u}_{h} \in Z_{p}^{1}$, there exists a function $\mathbf{w} \in \mathbf{H}_{0}(\mathbf{c u r l} ; \Gamma)$ with $\left(\mathbf{w}, \operatorname{grad}_{\Gamma} \phi\right)=0$ for all $\phi \in H_{0}^{1}(\Gamma)$, satisfying

$$
\operatorname{curl}_{\Gamma} \mathbf{u}_{h}=\operatorname{curl}_{\Gamma} \mathbf{w}, \quad\left\|\mathbf{u}_{h}-\mathbf{w}\right\|_{0} \lesssim h^{\sigma}\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{h}\right\|_{-1 / 2} .
$$

Similarly, for each $\mathbf{v}_{h} \in Z_{q}^{1^{*}}$, there exists a function $\mathbf{z} \in \mathbf{H}(\operatorname{div} ; \Gamma)$ with $\left(\mathbf{z}, \operatorname{curl}_{\Gamma} \psi\right)=$ 0 for all $\psi \in H^{1}(\Gamma)$, satisfying

$$
\operatorname{div}_{\Gamma} \mathbf{v}_{h}=\operatorname{div}_{\Gamma} \mathbf{z}, \quad\left\|\mathbf{v}_{h}-\mathbf{z}\right\|_{0} \lesssim h^{\sigma}\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{h}\right\|_{-1 / 2}
$$

Moreover, $(\mathbf{w}, \mathbf{z})=0$.
Proof. The proof of the existence, and of the two inequalities, is an adaption to the two-dimensional case of Lemma 6.1 in [10], using in particular [23, Theorem 4.1] and [25, Lemma 2.3] (see also [25, Lemma 6.2]). The orthogonality is a consequence of Helmholtz decomposition, because $\operatorname{div}_{\Gamma} \mathbf{w}=0$ and $\operatorname{curl}_{\Gamma} \mathbf{z}=0$.

As a consequence of the gap property, we have the following discrete Friedrichs' inequalities.

Corollary 4.3. For $\mathbf{u}_{h} \in Z_{p}^{1}$ and for $\mathbf{v}_{h} \in Z_{q}^{1^{*}}$, it holds that

$$
\left\|\mathbf{u}_{h}\right\|_{0} \lesssim\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{h}\right\|_{-1 / 2}, \quad\left\|\mathbf{v}_{h}\right\|_{0} \lesssim\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{h}\right\|_{-1 / 2}
$$

4.2.1. Proof of the inf-sup condition. The inf-sup condition (21) is a consequence of the following proposition, in particular (23b), and the continuity of the trace operators.

Proposition 4.4 (inf-sup condition). Let the spaces $S_{p}^{i}(\Gamma ; \partial \Gamma)$ for $i=0,1,2$ be defined as in (15), starting from the knot vectors $\Xi_{1}, \Xi_{2}$, and let Assumption 3.1 hold. Let the spaces $S_{p-1}^{i}(\Gamma)$ for $i=0,1^{*}, 2$ be defined as in (14), starting from knot vectors $\Xi_{1}^{\prime}, \Xi_{2}^{\prime}$. Then, there exist $\beta_{0}, \beta_{1}, \beta_{2}>0$ and $h_{0}>0$ such that the following inf-sup conditions hold for $s \in[0,1]$ and for all $h<h_{0}$ :

$$
\begin{array}{cl}
\sup _{u \in S_{p}^{0}(\Gamma ; \partial \Gamma)} \frac{\int_{\Gamma} u v}{\|u\|_{H^{s}}} \geq \beta_{0}\|v\|_{H^{-s}} & \forall v \in S_{p-1}^{2}(\Gamma) \\
\sup _{\mathbf{u} \in S_{p}^{1}(\Gamma ; \partial \Gamma)} \frac{\int_{\Gamma} \mathbf{u} \cdot \mathbf{v}}{\|\mathbf{u}\|_{-1 / 2, \operatorname{curl}}} \geq \beta_{1}\|\mathbf{v}\|_{-1 / 2, \text { div }} & \forall \mathbf{v} \in S_{p-1}^{1^{*}}(\Gamma), \\
\sup _{u \in S_{p}^{2}(\Gamma ; \partial \Gamma)} \frac{\int_{\Gamma} u v}{\|u\|_{H^{-s}}} \geq \beta_{2}\|v\|_{H^{s}} & \forall v \in S_{p-1}^{0}(\Gamma) \tag{23c}
\end{array}
$$

Proof. The condition (23a) was already proved in [7, Theorem 12] for $s=0$ and in [1, Theorem 3.6] for $s \in[0,1]$. The inf-sup condition (23c) for $s=0$ is trivial, since both spaces are the same. The condition for $s \in(0,1]$ is proved as in [1], defining a Fortin operator with the help of the commutative projectors in [10], noting that since the two spaces are equal, we can exchange their roles in the inf-sup condition.

Now letting $\mathbf{v} \in S_{p-1}^{1^{*}}(\Gamma)$, using Helmholtz decomposition we can write $\mathbf{v}=$ $\operatorname{curl}_{\Gamma} \psi+\mathbf{v}_{\perp}$ with $\psi \in S_{p-1}^{0}(\Gamma) \cap L_{0}^{2}(\Gamma)$ and $\mathbf{v}_{\perp} \in Z_{p-1}^{1_{1}^{*}}$. We have to find some $\mathbf{u} \in$ $S_{p}^{1}(\Gamma ; \partial \Gamma)$ such that the inequality holds. First, we apply Helmholtz decomposition to write $\mathbf{u}=\operatorname{grad}_{\Gamma} \phi+\mathbf{u}_{\perp}$ with $\phi \in S_{p}^{0}(\Gamma ; \partial \Gamma)$ and $\mathbf{u}_{\perp} \in Z_{p}^{1}$. Thanks to the discrete Friedrichs' inequalities, and the zero average value for $\psi$, we can work with the equivalent norms
$\|\mathbf{v}\|_{-1 / 2, \operatorname{div}} \simeq\|\psi\|_{1 / 2}+\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{\perp}\right\|_{-1 / 2}, \quad\|\mathbf{u}\|_{-1 / 2, \operatorname{curl}} \simeq\|\phi\|_{1 / 2}+\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{\perp}\right\|_{-1 / 2}$.
It is readily seen that

$$
\int_{\Gamma} \mathbf{u} \cdot \mathbf{v}=-\int_{\Gamma} \phi \operatorname{div}_{\Gamma} \mathbf{v}_{\perp}+\int_{\Gamma} \psi \operatorname{curl}_{\Gamma} \mathbf{u}_{\perp}+\int_{\Gamma} \mathbf{u}_{\perp} \cdot \mathbf{v}_{\perp},
$$

and thanks to Lemma 4.2, there exist $\sigma>0, \mathbf{z} \in \mathbf{H}(\operatorname{div} ; \Gamma)$ and $\mathbf{w} \in \mathbf{H}_{0}(\mathbf{c u r l} ; \Gamma)$ such that

$$
\begin{gathered}
\left|\int_{\Gamma} \mathbf{u}_{\perp} \cdot \mathbf{v}_{\perp}\right|=\left|\int_{\Gamma}\left(\mathbf{u}_{\perp}-\mathbf{w}\right) \cdot \mathbf{z}+\left(\mathbf{v}_{\perp}-\mathbf{z}\right) \cdot \mathbf{w}+\left(\mathbf{u}_{\perp}-\mathbf{w}\right) \cdot\left(\mathbf{v}_{\perp}-\mathbf{z}\right)\right| \\
\lesssim h^{\sigma}\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{\perp}\right\|_{-1 / 2}\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{\perp}\right\|_{-1 / 2}
\end{gathered}
$$

Using (23a) and (23c), we can choose $\phi \in S_{p}^{0}(\Gamma ; \partial \Gamma)$ and $\mathbf{u}_{\perp} \in S_{p}^{1}(\Gamma ; \partial \Gamma)$ such that

$$
\int_{\Gamma} \phi \operatorname{div}_{\Gamma} \mathbf{v}_{\perp} \geq \beta_{0}\|\phi\|_{1 / 2}\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{\perp}\right\|_{-1 / 2}, \quad \int_{\Gamma} \psi \operatorname{curl}_{\Gamma} \mathbf{u}_{\perp} \geq \beta_{2}\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{\perp}\right\|_{-1 / 2}\|\psi\|_{1 / 2}
$$

Moreover, the inf-sup condition makes these choices continuous, and we have

$$
\|\phi\|_{1 / 2} \simeq\left\|\operatorname{div}_{\Gamma} \mathbf{v}_{\perp}\right\|_{-1 / 2}, \quad\left\|\operatorname{curl}_{\Gamma} \mathbf{u}_{\perp}\right\|_{-1 / 2} \simeq\|\psi\|_{1 / 2}
$$

and gathering these last four results, we obtain (23b).

### 4.2.2. Completeness of the discrete kernel.

Proposition 4.5. Let $M_{h}=S_{p-1}^{1^{*}}(\Gamma)$, and $K_{h, M}$ be defined as in (20). Then Property 2 holds.

Proof. We start characterizing the space $K_{h, M}$. For $\mathbf{u}_{h} \in K_{h, M}$, by the definition of $a(\cdot, \cdot)$ it holds that $\left.\operatorname{curl} \mathbf{u}_{h}\right|_{\Omega_{k}}=\mathbf{0}$ for $k=1,2$, and there exists $\phi_{k, h} \in S_{p}^{0}\left(\Omega_{k} ; \Sigma_{k}\right)$ such that $\left.\mathbf{u}_{h}\right|_{\Omega_{k}}=\operatorname{grad} \phi_{k, h}$. Moreover, from the definition of $K_{h, M}$ (and $V_{h, M}$ ), applying the definition of the surface gradient, the fact that differential and trace operators commute, and integration by parts, we know that

$$
\begin{aligned}
b\left(\mathbf{u}_{h}, \boldsymbol{\mu}_{h}\right) & =\int_{\Gamma} \operatorname{grad}_{\Gamma}\left(\gamma_{\Gamma}\left(\phi_{1, h}\right)-\gamma_{\Gamma}\left(\phi_{2, h}\right)\right) \cdot \boldsymbol{\mu}_{h} \\
& =-\int_{\Gamma}\left(\gamma_{\Gamma}\left(\phi_{1, h}\right)-\gamma_{\Gamma}\left(\phi_{2, h}\right)\right) \cdot \operatorname{div}_{\Gamma} \boldsymbol{\mu}_{h}=0 \quad \forall \boldsymbol{\mu}_{h} \in M_{h}
\end{aligned}
$$

Let us now focus on the mortar constraint. By construction, we know that $\operatorname{div}_{\Gamma} \boldsymbol{\mu}_{h} \in$ $S_{p-1}^{2}(\Gamma)$, and using the results in [1] we know that there exists a Fortin projector $\Pi_{F}: L^{2}(\Gamma) \longrightarrow S_{p}^{0}(\Gamma ; \partial \Gamma)$, based on the pairing of the two spaces in (23a), such that

$$
\left\|\Pi_{F} \varphi\right\|_{s} \leq\|\varphi\|_{s} \quad \text { for } s \in[0,1], \varphi \in H^{s}(\Gamma)
$$

Moreover, we denote by $\Pi_{\Omega_{k}}^{0}$ and $\Pi_{\Omega_{k}}^{1}$, for $k=1,2$, the commutative projectors introduced in [10] into the spaces $S_{p}^{0}\left(\Omega_{k} ; \Sigma_{k}\right)$ and $S_{p}^{1}\left(\Omega_{k} ; \Sigma_{k}\right)$, respectively. With some abuse of notation, we will also denote $\Pi_{\Omega_{k}}^{0} \phi \equiv \Pi_{\Omega_{k}}^{0}\left(\left.\phi\right|_{\Omega_{k}}\right)$.

Given $\phi \in H_{0}^{1}(\Omega)$, in order to prove (22) we construct $\mathbf{u}_{h} \in K_{h, M}$ in the following way:

$$
\mathbf{u}_{h}:= \begin{cases}\operatorname{grad}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\operatorname{grad} \mathcal{R}_{\Omega_{1}}\left(\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right) & \text { in } \Omega_{1} \\ \operatorname{grad}\left(\Pi_{\Omega_{2}}^{0} \phi\right) & \text { in } \Omega_{2}\end{cases}
$$

where $\mathcal{R}_{\Omega_{1}}$ is a continuous extension operator into $S_{p}^{0}\left(\Omega_{1} ; \Sigma_{1}\right)$ that solves the discrete Laplacian in $\Omega_{1}$ imposing a Dirichlet condition on its boundary. To prove the result, we need to show that $\mathbf{u}_{h} \in K_{h, M}$ and that it converges to $\operatorname{grad} \phi$.

From the definition of $\mathbf{u}_{h}$, it is obvious that its restriction to $\Omega_{k}$ belongs to $S_{p}^{1}\left(\Omega_{k} ; \Sigma_{k}\right)$ and is irrotational, and hence $a\left(\mathbf{u}_{h}, \mathbf{v}_{h}\right)=0$ for any $\mathbf{v}_{h} \in V_{h}$. The
second condition to belong to $K_{h, M}$, namely, $b\left(\mathbf{u}_{h}, \boldsymbol{\mu}_{h}\right)=0$ for all $\boldsymbol{\mu}_{\mathbf{h}} \in M_{h}$, also holds. Indeed, subsequently applying the commutativity of the trace and differential operators, the fact that $\gamma_{\Gamma} \circ \mathcal{R}_{\Omega_{1}}$ is equal to the identity, integration by parts, and that $\Pi_{F}$ is a projector, we obtain

$$
\begin{aligned}
& b\left(\mathbf{u}_{h}, \boldsymbol{\mu}_{h}\right)=\int_{\Gamma}\left[\gamma_{\Gamma} \mathbf{u}_{h}\right] \cdot \boldsymbol{\mu}_{h}=\int_{\Gamma}\left(\operatorname{grad}_{\Gamma}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right. \\
& \left.\quad-\operatorname{grad}_{\Gamma}\left(\gamma_{\Gamma}\left(\mathcal{R}_{\Omega_{1}}\left(\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right)\right)\right)\right) \cdot \boldsymbol{\mu}_{h} \\
& \quad=-\int_{\Gamma}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)-\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right) \cdot \operatorname{div}_{\Gamma} \boldsymbol{\mu}_{h}=0
\end{aligned}
$$

which proves that $\mathbf{u}_{h} \in K_{h, M}$.
Regarding the convergence, by the commutativity of the $\Pi_{\Omega_{k}}^{0}$ and $\Pi_{\Omega_{k}}^{1}$ projectors with the gradient, we have

$$
\begin{aligned}
\left\|\operatorname{grad} \phi-\mathbf{u}_{h}\right\|_{0} \leq & \sum_{k=1}^{2}\left\|\operatorname{grad} \phi-\Pi_{\Omega_{k}}^{1}(\operatorname{grad} \phi)\right\|_{0, \Omega_{k}} \\
& +\left\|\operatorname{grad} \mathcal{R}_{\Omega_{1}}\left(\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right)\right\|_{0, \Omega_{1}}
\end{aligned}
$$

The first term in the sum converges to zero when $h$ tends to zero by the results in [10]. For the second term, we use the definition of the continuous extension operator $\mathcal{R}_{\Omega_{1}}$, the continuity of the Fortin projector $\Pi_{F}$ and the trace operator $\gamma_{\Gamma}$, and the triangular inequality to obtain

$$
\begin{aligned}
& \left\|\operatorname{grad} \mathcal{R}_{\Omega_{1}}\left(\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right)\right\|_{0, \Omega_{1}} \\
& \leq C\left\|\Pi_{F}\left(\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi\right)\right)\right\|_{1 / 2, \Gamma} \\
& \leq C\left\|\gamma_{\Gamma}\left(\Pi_{\Omega_{1}}^{0} \phi-\phi\right)-\gamma_{\Gamma}\left(\Pi_{\Omega_{2}}^{0} \phi-\phi\right)\right\|_{1 / 2, \Gamma} \\
& \leq C\left(\left\|\Pi_{\Omega_{1}}^{0} \phi-\phi\right\|_{0, \Omega_{1}}+\left\|\Pi_{\Omega_{2}}^{0} \phi-\phi\right\|_{0, \Omega_{2}}\right),
\end{aligned}
$$

where $C$ denotes a generic constant independent of $h$. Applying again the results in [10], this term also converges to zero, which finishes the proof.

Remark 2. We conjecture that the gap property (3) is valid for our mortar method, since the numerical examples in section 5.1 show spectral correctness, and in principle all the necessary ingredients to prove it are already available: the set of commutative and stable projectors, the good approximation properties of the discrete spaces [10], the Helmholtz-Hodge decomposition at the discrete level, and continuous extension operators. The proof, which is based on the construction of a continuous function from a given discrete one, is rather technical and more complex than the ones of the previous properties, and it is left for further studies.
5. Results. This section presents some numerical results of the applicability of the two substructuring methods introduced in section 3 .

### 5.1. Mortar method.

Cube with two patches. The first test we report is a single patch-to-patch coupling with a trivial geometrical mapping. The unit cube domain $\Omega$ is split in half along the $z$ direction into $\Omega_{1}$ and $\Omega_{2}$ (see Figure $5(\mathrm{a})$ ). The coupling interface $\Gamma$ is the square $\{0<x<1,0<y<1, z=1 / 2\}$. Maxwell's eigenvalue problem (1) is solved using the mortar approach described in section 3.3. In $\Omega_{1}$ we chose an IGA curl-conforming discretization with degree $p_{\mathrm{IGA}}=4$ and high regularity $r_{\mathrm{IGA}}=3$, i.e., $V_{h}=S_{4}^{1}\left(\Omega_{1} ; \Sigma_{1}\right)$. On the domain $\Omega_{2}$ a FEM discretization with Nédélec-type


FIG. 5. Geometry of the domains used in the tests of section 5.1, with subdomains $\Omega_{1}$ (in blue) and $\Omega_{2}$ (in orange).


Fig. 6. Mortaring of two single-patch unit cube domains with nonconforming meshes: relative error of the first 20 eigenvalues with $p_{I G A}=4, p_{F E M}=3, q=3, N_{\text {dof }}=21160$.
hexahedral elements is used with degree $p_{\text {FEM }}=1,2,3$. This can be straightforwardly accomplished by constructing a B-spline space on $\Omega_{2}$ while setting the regularity of the basis functions to $r_{\text {FEM }}=0$. The grids on the two sides are chosen in such a way that they do not match for any refinement.

On the interface $\Gamma$ we build the space of Lagrange multipliers $S_{q}^{1^{*}}(\Gamma)$ with degree $q=1,2,3$ and regularity $r=q-1$. The mesh used for the quadrature is given by the intersection of the meshes on both sides, which is easy to compute given the tensor product nature of the IGA hexahedral grid.

The relative errors of the first 20 eigenvalues with respect to the closed form solution are below $10^{-5}$ for the stable case of $p_{\text {FEM }}=3, q=3$ and with a total number of degrees of freedom $N_{\text {DoF }}=21160$ (see Figure 6), which shows that there are no spurious eigenvalues. To validate the inf-sup stability we evaluate the inf-sup constant $\beta_{\text {infsup }}$ numerically [13] while increasing the mesh refinement level. Figure 7 confirms the stability properties expected from the theory: the method is inf-sup stable when we choose $q=p_{\mathrm{IGA}}-1=3$. Moreover, we see that the method is also stable for $q=1$, while it is unstable for $q=2$. In general, the inf-sup stability is obtained if $q=p_{\mathrm{IGA}}-k$ with $k$ odd, while it is unstable when $k$ is even.


Fig. 7. Mortaring of two single-patch unit cube domains with nonconforming meshes: $\beta_{\text {infsup }}$ constants for different space choices. If $q=p_{I G A}-2, \beta_{\text {infsup }}$ goes to zero.

Pillbox cavity. We then extend our testing to the case of multipatch geometries. In order to consider a nontrivial mapping, the same test is performed on a cylindrical cavity of radius $R=1$ and length $L=2$ filled with vacuum. The geometry is exactly described using rational splines, in particular ten NURBS patches of degree 2 (see Figure 5(b)). As before, we split the cavity in two subdomains separated by the interface $\Gamma=\left\{(x, y, z): x^{2}+y^{2}<1, z=1\right\}$ and use an IGA discretization of degree 4 in $\Omega_{1}$ and a FEM discretization with different degrees in $\Omega_{2}$, maintaining the exact geometry by using curved finite elements. The discretization spaces on both sides are constructed following the classical multipatch approach such that degrees of freedom lying on adjacent interfaces are glued together. Instead, the Lagrangian multipliers basis is built independently on each of the patches that belong to $\partial \Omega_{1} \cap \Gamma$ and that fully describe the interface $\Gamma$, and the full discrete space $M_{h}$ is obtained by the union of all of them without any constraint on the connecting lines, i.e., the basis can present jumps across the patches on $\Gamma$.

In Figure 8 the results for the inf-sup constant are shown, for different values of the degree for the FEM spaces and the multiplier; the behavior matches the one of the single-patch coupling and the expected one. We also report in Table 1 the computed eigenfrequencies for $p_{\mathrm{IGA}}=4, q=3$, and $p_{\mathrm{FEM}}=1$ in the second mesh $\left(N_{\mathrm{DoF}} \approx\right.$ 16000), along with the exact values, which confirms that no spurious eigenvalues appear.

Cube with nonconforming patches. Finally, we consider again the unit cube, but we further split the subdomain $\Omega_{1}$ along the $x$ direction into three patches of equal size, while $\Omega_{2}$ is left unchanged, which gives a geometry described with four nonconforming patches and with nonconforming meshes. The construction of the discrete spaces on each side and for the Lagrange multiplier follows along the same lines as that for the pillbox cavity; the fact that the patches are not conforming does not pose any difficulty, since the multiplier is defined on each patch separately.

The behavior of the inf-sup constant, presented in Figure 9, is analogous to the previous cases. Moreover, the convergence of the 10th eigenvalue, which we report in Figure 10, shows that the order of convergence is dominated by the lowest degree of the different discretization spaces.


FIG. 8. Mortaring of two conforming multipatch pill-box domains with nonconforming meshes: $\beta_{\text {infsup }}$ constants for different space choices. If $q=p_{I G A}-2, \beta_{\text {infsup }}$ goes to zero.


FIG. 9. Mortaring of two nonconforming multipatch unit cube domains: $\beta_{\text {infsup }}$ constants for different space choices. If $q=p_{I G A}-2, \beta_{\text {infsup }}$ goes to zero.
5.2. State space concatenation. For the SSC method we perform analogous tests as for the mortar case. Given that we do not have a proof for the stability of the coupling, we are particularly interested in investigating numerically the behavior of the solution with respect to the number of waveguide modes selected as Lagrange multipliers.

Cube with two patches. Let us consider the two-patch geometry in Figure 5(a). We discretize with IGA on each subdomain, and since the interface is a square, the waveguide eigenmodes $\varphi_{k}$ can be computed analytically [20]. Figure 11 shows the convergence of the first eigenvalue to the exact solution for the case of matching and nonmatching grids, and for different choices of the discretization degrees, while keeping fixed the number of waveguide modes $N_{\Gamma}=18$.

In Figure 12 we present the relative errors of the first 20 computed eigenfrequencies in the cube obtained with a fixed B-spline discretization on both sides ( $p_{1}=3$, $r_{1}=2$ and $p_{2}=2, r_{2}=1$ with nonmatching grids on the interface) while increas-


Fig. 10. Mortaring of two nonconforming multipatch unit cube domains: 10th eigenvalue convergence for different choices of the discretization degrees.


Fig. 11. SSC coupling of two conforming unit cube patches: first eigenvalue convergence for different choices of the discretization degrees and maximum regularity, i.e., $p=p_{1}=p_{2}=2$, $r=r_{1}=r_{2}=1$ (red circles) and $p_{1}=3, p_{2}=2, r_{1}=2, r_{2}=1$ (blue squares), and for matching and nonmatching grids on $\Gamma$ (dashed and nondashed lines). The number of waveguide modes is fixed to $N_{\Gamma}=18$.
ing the number of analytical waveguide modes on the interface. It is noticeable how $N_{\Gamma}$ influences the spectrum approximation; in particular, when not enough modes are chosen, since some eigenfunctions cannot be represented by the Lagrange multiplier, some of the higher order modes are not correctly captured. However the size of the coupling space cannot be taken arbitrarily big since the saddle point becomes unstable. This is illustrated in Figure 13, where the $\beta_{\text {infsup }}$ constant is approximated for different choices of $N_{\Gamma}$ using the numerical test from [13]. It is evident that increasing $N_{\Gamma}$ causes the method to fail if the two subdomains are not refined accordingly.

As mentioned in section 3.4, the SSC coupling allows for straightforward coupling of completely different grids, since the construction of the coupling matrices is completely independent on each side. In Figure 14 the approximation of the first 40 eigenvalues in the cube for an IGA-FEM coupling is shown. Domain $\Omega_{1}$ is discretized


Fig. 12. SSC coupling between two patches: convergence of the first 20 eigenvalues for a fixed discretization on the two subdomains and an increasing number of waveguide modes $N_{\Gamma}$.


Fig. 13. SSC coupling of two patches: $\beta_{\text {infsup }}$ constants for an increasing number of modes, instability for $N_{\Gamma} \rightarrow \infty$.


FIG. 14. $\quad$ SSC coupling of $I G A\left(p_{1}=3\right.$, $r_{1}=2, N_{\Gamma}=25$ ) and tetrahedral lowest order FEM: spectrum approximation.
with IGA ( $p_{1}=3, r_{1}=2, N_{\mathrm{DoF}} \approx 500$ ), while domain $\Omega_{2}$ employs classical first order tetrahedral edge elements FEM $\left(N_{\text {DoF }} \approx 50000\right)$. The main advantage here is that no computation of the intersection mesh is required.

Table 1
Comparison between the exact eigenfrequencies in GHz of the pillbox cavity and the ones computed using mortaring and SSC. For mortar we present the case $p_{I G A}=4, q=3, p_{F E M}=1$ $\left(N_{D o F} \approx 16000\right)$, while for $S S C$ we chose $p_{1}=2, p_{2}=1, N_{\Gamma}=25\left(N_{D o F} \approx 7000\right)$.

| $f_{\text {exact }}$ | $f_{\text {mortar }}$ | $f_{\text {SSC }}$ |
| :--- | :--- | :--- |
|  |  | 0.035857 |
|  |  | 0.035857 |
|  |  | 0.577485 |
|  |  | 0.584617 |
|  |  | 1.841232 |
|  |  | 2.253932 |
|  |  | 2.254197 |
| 2.373958 | 2.373960 | 2.373968 |
| 2.373958 | 2.373960 | 2.373968 |
| 2.705705 | 2.705706 | 2.704475 |
| 2.705705 | 2.705706 | 2.704475 |


| $f_{\text {exact }}$ | $f_{\text {mortar }}$ | $f_{\text {SSC }}$ |
| :--- | :--- | :--- |
| 2.942116 | 2.942116 | 2.942116 |
| 3.036078 | 3.036078 | 3.036078 |
| 3.182680 | 3.182681 | 3.182785 |
| 3.182680 | 3.182681 | 3.182785 |
|  |  | 3.214081 |
|  |  | 3.214081 |
| 3.301959 | 3.301961 | 3.301962 |
| 3.702910 | 3.702919 | 3.702994 |
| 3.749870 | 3.749879 | 3.753896 |
| 3.749870 | 3.749879 | 3.753896 |
| 3.811044 | 3.811087 | 3.811201 |
| 3.811044 | 3.811087 | 3.811263 |



FIG. 15. An example of one of the modes presenting nonphysical charge on the coupling interface computed using SSC.

Pillbox geometry. We then consider the pillbox geometry shown in Figure 5(b). The interface $\Gamma$ is a circle, and thus the closed form solutions for the waveguide modes (see [22]) can be used to exactly evaluate the waveguide modes $\varphi_{k}$. We use both the TE and TM modes as the basis. One side is discretized with IGA using basis functions of degree two and regularity $r=1\left(N_{\text {DoF }}=5440\right)$, while the other side is discretized with FEM using low order Nédélec edge elements ( $N_{\text {DoF }}=6322$ ), and we set $N_{\Gamma}=25$. The results for the computed eigenfrequencies are reported in Table 1 along with the exact values. It is evident that some spurious modes appear in the spectrum as a consequence of the coupling due to nonphysical charge appearing on the interface $\Gamma$. This can be seen in Figure 15, where we plot the magnitude of one of the modes associated to a spurious eigenvalue.
5.2.1. Simulation of a full TESLA cavity. As a final example of the applicability of the two coupling methods to RF cavity simulation, we consider the TESLA

(a) Patch subdivision (black lines) and mesh (blue lines) of the IGA section.

(b) Triangularization of the FEM sections, which include the HOMCs shown in Figure 2.

Fig. 16. IGA and FEM sections of the full TESLA cavity model.
cavity, including the two HOMCs at both ends (see Figure 2). We consider the cavity as if composed by 11 blocks ( 7 of which are identical midcells whose matrices can be assembled only once) separated by 10 circular interfaces [18]. Each cell is discretized with IGA using quadratic basis functions and approximately 40000 degrees of freedom per cell; the mesh of the cells is shown in Figure 16(a). The coupling between the cells is performed using Mortar with $q=1$. The two beampipes with the HOMC are instead triangulated by tetrahedra and the discrete matrices are assembled using lowest order Nédélec finite elements through an in-house code (approximately 75000 elements); see Figure 16(b). The coupling of the cavity with the beampipes is performed using the SSC technique since, as showed before, it is easier to construct the coupling matrices without the necessity of an intersection mesh. In Figure 17 the enforced subdivision is highlighted.

As a proof of concept we apply Dirichlet or equivalently perfect electric conducting boundary conditions at the couplers. An even more realistic simulation would impose port boundary conditions. The results are reported in Table 2, where it is possible to see the presence of nine spurious modes at the beginning of the spectrum when comparing with a finite element reference computation.


Fig. 17. Substructuring with IGA-FEM for full cavity simulation. Each cell is discretized independently with IGA (orange color), the two end couplers with FEM (in blue). All the IGA pieces are coupled together through the mortar method, while the IGA and FEM pieces are coupled together through the SSC method.

Table 2
First 40 computed eigenfrequencies of the TESLA cavity including the HOMC in GHz. The simulation of $f_{D D M}$ is performed with the same substructuring as in Figure 17 while $f_{F E M}$ is determined by a finite element reference computation using CST Microwave Studio (second order basis functions, default settings) [16]. Some spurious modes appear at the beginning of the spectrum.

| $f_{\mathrm{FEM}}$ | $f_{\mathrm{DDM}}$ |
| :--- | :--- |
|  | 0.049932 |
|  | 0.269500 |
|  | 0.274693 |
|  | 0.296580 |
|  | 0.301146 |
|  | 0.464058 |
|  | 0.494598 |
|  | 0.509495 |
|  | 0.529445 |
| 1.276281 | 1.277173 |
| 1.278328 | 1.279250 |
| 1.281485 | 1.282478 |
| 1.285378 | 1.286496 |
| 1.289557 | 1.290818 |
| 1.293506 | 1.294900 |
| 1.296745 | 1.298226 |
| 1.298891 | 1.300387 |
| 1.299585 | 1.301132 |
| 1.622902 | 1.622122 |
| 1.623323 | 1.622139 |


| $f_{\mathrm{FEM}}$ | $f_{\mathrm{DDM}}$ |
| :--- | :--- |
| 1.630347 | 1.629433 |
| 1.630735 | 1.629452 |
| 1.642956 | 1.641527 |
| 1.643286 | 1.641594 |
| 1.660529 | 1.658129 |
| 1.660681 | 1.658379 |
| 1.682429 | 1.678763 |
| 1.682568 | 1.679235 |
| 1.707649 | 1.702588 |
| 1.707769 | 1.703258 |
| 1.734849 | 1.728442 |
| 1.734901 | 1.729246 |
| 1.762429 | 1.754965 |
| 1.762557 | 1.755841 |
| 1.789472 | 1.781015 |
| 1.789628 | 1.782023 |
| 1.800177 | 1.800734 |
| 1.800241 | 1.800779 |
| 1.837903 | 1.823147 |
| 1.838053 | 1.824116 |

6. Conclusions. This paper discussed two substructuring approaches that allow the convenient coupling of subdomains discretized by IGA with any other method, in particular FEM. It was shown by numerical examples that a modal basis for the Lagrange multiplier space allows for an easy implementation but is not stable and may cause spurious modes. On the other hand, the isogeometric mortaring is proven to be spectral correct if the degree $q$ on the interface is properly chosen, i.e., $q=p-1$, where $p$ is the spline degree of the slave domain. Numerical examples underline those findings.

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