

Surveying Pervasive Public Safety Communication Technologies in the Context of Terrorist Attacks

Ali Masood^{a,*}, Davide Scazzoli^b, Navuday Sharma^a, Yannick Le Moullec^a,
Rizwan Ahmad^c, Luca Reggiani^b, Maurizio Magarini^b, Muhammad Mahtab
Alam^a

^a*Thomas Johann Seebeck Department of Electronics, Tallinn University of Technology,
12616 Tallinn, Estonia (e-mail: firstname.lastname@taltech.ee)*

^b*Dipartimento di Elettronica, Informazione e Bioingegneria, Politecnico di Milano, Italy.
(e-mail: firstname.lastname@polimi.it)*

^c*School of Electrical Engineering and Computer Science, National University of Sciences
and Technology (NUST), Islamabad Pakistan, (e-mail: rizwan.ahmad@seecs.edu.pk)*

Abstract

Existing public safety networks (PSNs) are not designed to cope with disasters such as terrorist attacks, consequently leading to long delays and intolerable response times. First responders' life threats **when** accessing the attacked zone are more severe in comparison to other disasters and the accuracy of basic information such as the number of terrorists, the number of trapped people, their locations and identity, etc., is vital to the reduction of the response time. Recent technologies for PSNs are designed to manage natural disaster scenarios; these are not best suited for situations like terrorist attacks because a proper communication infrastructure is required for **operating** most of the classical PSNs. This serious concern makes it highly desirable to develop reliable and adaptive pervasive public safety communication technologies to counter such a kind of emergency situation. Device-to-device (D2D) communication can be a vital paradigm to design PSNs that are fit for dealing with terrorist attacks thanks to long-term evolution (LTE)-sidelink, which could allow the devices that people carry with themselves in the attacked zone to communicate directly. To our best knowledge, this is the first survey paper on public safety communication

*Corresponding author

Email address: ali.masood@taltech.ee (Ali Masood)

in the context of terrorist attacks. We discuss PSN scenarios, architectures, 3rd generation partnership project (3GPP) standards, and recent or ongoing related projects. We briefly describe a system architecture for disseminating the critical information, and we provide an extensive literature review of the technologies that could have a significant impact in **public safety scenarios especially in terrorist attacks**, such as beamforming and localization for unmanned aerial vehicles (UAVs), LTE sidelink for both centralized (base-station assisted) and decentralized (without base-station) architectures, multi-hop D2D routing for PSN, and jamming and anti-jamming in mobile networks. Furthermore, we also cover the channel models available in the literature to evaluate the performance of D2D communication in different contexts. Finally, we discuss the open challenges when applying these technologies for PSN.

Keywords: Pervasive Public Safety Communication (PPSC), Critical Communication, Long-Term Evolution (LTE) Sidelink, Device-to-Device (D2D) Communication, Unmanned Aerial Vehicles (UAVs), Localization, Beamforming, Software-Defined Networking, Routing Protocols

1. Introduction

Pervasive public safety communication (PPSC) is critical in disaster scenarios such as terrorist attacks. Terrorism is an ominous threat worldwide. Terrorist attacks have not only damaged infrastructures and taken many innocent lives but also created long-term social and psychological repercussions on people. The number of attacks has raised significantly worldwide, especially after 2001 [1]. Recently, in Western Europe only, a record number of attacks (*i.e.*, 211) was reported in 2015 [2]. In 2016, 151 deaths and 548 injuries happened due to terror attacks in Belgium, France, Turkey, and Germany alone [3]. During 2003-2017, over 750 civilians have died because of terrorism in Europe [4]. The economic losses are huge; Belgium suffered losses up to 1 billion USD in 2016 [5]; in the same year the estimated loss in Paris region was of 858 million USD [6]. In particular, the tourism industry, which amounts to 10% of the Eu-

ropean Union (EU) gross domestic product (GDP) [7], is suffering significantly.
15 Similarly, in Asia and Africa, many lives were lost and significantly negative
financial impacts are reported especially in Afghanistan, Iraq, Pakistan, Syria,
and Nigeria [8, 9].

Terrorist attacks are more critical than predictable emergency scenarios (e.g.
floods, hurricanes). In predictable disasters, first responders have a reasonable
20 time to prepare for expected incidents and can access the affected area during
the emergency situation with some calculated life threats and take quick actions
to rescue affected people. In contrast, one of the fundamental issues in most
of the unpredictable emergency scenarios, especially in terrorist attacks, is the
slow response time, as it is observed that, even after many hours, police and
25 law enforcement agencies often remain unable to take immediate actions against
terrorists due to serious life threats, unclear information, and situational facts
such as the number of people trapped inside the affected zone, the number of
terrorists, their location, the type of weapons, etc. [10, 11].

The increasing number of terrorist attacks and fatalities have raised serious
30 concerns for the entire world. One of the fundamental issues in most of the ter-
rorist attacks is the *slow response time* as it is observed that, even after many
hours, police and law enforcement agencies are often unable to take actions
against terrorists due to the lack of basic information such as the number of
people trapped inside the attacked zone, their location and identity, the number
35 of terrorists and their locations, etc. [10, 11].

In order to achieve significantly faster response time, new working methods
and enabling technologies are required to allow first responders to react and
intervene rapidly. From an information and communication technologies (ICT)
point of view, which is the main focus of this paper, existing land mobile radio
40 systems (LMRS) and LTE-based public safety networks (PSNs) are not de-
signed and are not able to deal with the challenges of unpredictable emergency
scenarios like terrorist attacks. Communication technologies like mission-critical
push-to-talk (MC-PTT) [12], M-Urgency and Safe-City [13, 14] are facilitating
victims to share mobile data and live video streaming during disaster situations

45 but require the proper network infrastructure. WiFi-Direct is an established technology that brings a solution to overcome such challenges by creating ad-hoc communication. However, WiFi-Direct faces connectivity problems when communicating with more than two devices [15]. During emergency scenarios, common social media websites like Facebook and Twitter become flooded with
50 messages for help requests [16]. Such massive numbers of requests degrade the quality of service (QoS) of the existing network. Many researchers have proposed extended coverage approaches [17, 18, 19] to connect the out-of-coverage user equipment (UE) with the nearest base stations using relay communication for the public safety application. However, this is not useful in such disaster
55 situations because the response time would be increased and rescue teams would not be able to take proper actions due to scattered information.

Terrorist attacks are more critical than other disaster and emergency scenarios. In natural disasters, first responders should be able to access the area during the emergency situation with some calculated life threats and take quick
60 actions to rescue affected people. The situation is more challenging in terrorist attack scenarios because security forces cannot take action on the basis of ambiguous situational facts about the number of affected people, the number of terrorists, their location, the type of weapons, etc. [10, 11].

75 In this work, it is envisioned that smartphones, and/or on-scene available (OS-A) devices, which have enabled direct communication feature in the affected zone, can be exploited to get the fundamental and critical information to reduce the response time, as currently investigated in the ongoing project COmmUNi-cation in conTExt Related to Terror Attacks (COUNTER-TERROR) funded
70 by North Atlantic Treaty Organization (NATO) within the Science for Peace and Security (SPS) Programme [20]. Multi-hop device-to-device (D2D) communication allows for interconnection and transmission of information towards an external command center. The area under attack could be a mass public gathering, such a concert hall, shopping mall, school, etc. Inside the terror zone,
75 enabled OS-A devices with D2D functionality will cooperate with each other

in a multi-hop communication fashion to improve the communication reliability in case of harsh propagation conditions and to ensure end-to-end network connectivity. An external deployed command center and aerial platforms (APs), or unmanned aerial vehicles (UAVs), will be deployed near the attacked zone. Multiple APs/UAVs will provide reliable network connectivity, increased positioning accuracy in the OS-A devices, and information relaying when the BS is not available, especially when the signal power is too weak to propagate. Hence, the suggested network architecture can disseminate up-to-date critical information to the deployed command center and thus reduce the response time and provide robust and reliable connectivity, as shown in Fig. 4.

Initially, in cellular communication systems, D2D communication was introduced for offloading the common content among groups of users in order to reduce the network burden [21]; later, sharing the spectrum resources simultaneously among cellular and D2D users has become an efficient way for maximizing the spectrum efficiency [22, 23]. Both underlay and overlay approaches support in-band (licensed) D2D communication for enhanced throughput [24, 25] and better spectrum efficiency [26, 27]. More recently, techniques such as edge caching have matured further direct communication. In out-band D2D communication, the D2D UEs utilize unlicensed spectrum, which requires an extra interface such as WiFi Direct [28] or Bluetooth [29]; here, in out-band D2D, the coordination between radio interfaces is controlled either by the base station (BS) or by the users themselves in autonomous mode. In 2014 Asadi *et al.* [30] amalgamated the existing literature, categorized the D2D communication spectrum, and provided a detailed description about power control and interference management of the underlying in-band D2D, overlaying in-band D2D, and out-band D2D. However, in disaster situations, D2D is most likely to be executed without infrastructure support.

The concept of D2D communication in cellular networks was first introduced in the standardization activities of the 3GPP in Release 12. Later, 3GPP decided to improve PSN by focusing on direct communication [31]. Enhancements like mission-critical push-to-talk (MC-PTT) [12] and proximity-based services

(ProSe) [31, 32] have been added, which can play a vital role in counter-terror based on PPSC. MC-PTT is an industrial project within public safety services in the 3GPP platform based on the requirements of many industry stakeholders [33]. Furthermore, in 3GPP Release 14 [34], other mission-critical services have been introduced to the list of standardized applications such as mission-critical data, mission-critical video, etc. LTE sidelink integrates the diverse enhancements, as grouped into the following main categories: (i) direct discovery, direct communication, and synchronization; direct discovery can able to discover up to 1000 devices in about 500 m proximity radius; direct communication allows two devices to communicate directly with each other regardless of the BS; synchronization controls the data; (ii) energy efficiency and security; (iii) interoperability, which is a crucial feature of LTE sidelink [35].

The main challenges in unpredictable emergency scenarios, such as terrorist attacks and earthquakes, are to establish connectivity when the base station is not available and send important information from an affected area to public safety services [10, 11]. To establish and maintain connectivity in such non-trivial situations, long-term evolution (LTE) sidelink allows two devices to communicate directly with each other regardless of the base station [31, 32]. To send important information to public safety services, it is important to disseminate basic information over the multi-hop device-to-device (D2D) network [17, 19], connecting with unmanned aerial vehicles (UAVs) and, finally, reaching the deployed command center [36, 37]. Once the fundamental information about the on-scene available (OS-A) devices is gathered, devices should conserve their energy to remain available for a long period of time. Such dynamic adaptation and intelligence at the device level are important in order to reduce the dissemination of redundant information. These challenges must be dealt with efficient routing, accurate positioning and, at the same time, a reliable communication network.

To cover the above-mentioned challenges in the given terror context, a comprehensive survey is provided with the following key contributions:

Ref.	PSN Architecture		<i>3GPP</i>	<i>On</i>	D2D communication		<i>Beamforming/</i>	<i>Multi-Hop</i>	<i>Jamming</i>
	<i>Natural Disaster</i>	<i>Terrorist Attacks</i>	<i>Standards</i>	<i>Projects</i>	<i>Centralized</i>	<i>Decentralized</i>	<i>Localization</i>	<i>Routing</i>	
[38] 2013	***								
[39] 2014	***	*		*	*				
[40] 2017	***				**		*		
[41] 2018	***	*	**		***	*	*		
[42] 2019	***				*				
[43] 2019	***				***			*	
Our Survey	***	***	***	***	***	***	***	***	***
*Narrowly addressed **Partially addressed *** Extensively addressed									

Table 1: Comparison with existing surveys on emergency PSNs.

- A comprehensive description of scenarios, architectures, contributions from standards, as well as up-to-date list of funded projects.
- A survey of the technologies necessary for the given context including the point of view of direct communication between (i) on-ground devices, (ii) on-ground devices and UAVs, and (iii) UAVs. Important aspects such as localization, beamforming, suitable channel models, jamming and routing approaches are described in detail.
- Open challenges, which highlight the limitations and way-forward in terms of execution of the application scenario, architectures, and technologies.

There is a relatively limited number of surveys on emergency PSNs in the literature. In [38], the authors discussed future PSNs to provide voice communications to first responders. In generic terms, voice communications over LTE standard, known as VoLTE, can be implemented using four different methods: (i) circuit switched fallback (CSFB), (ii) One Voice/VoLTE, (iii) simultaneous voice LTE (SV-LTE), and (iv) voice over LTE via generic access network

(VoLGA). The authors provided a detailed history of LMRS and a discussion on VoLTE as a vital feature of PSNs. Recommendations for implementing and testing the PSN according to the FirstNet architectures are given. The survey in [42] provides a basic overview of LMRS, LTE, and the 700 MHz radio spectrum for public safety. The existing non-mission-critical and mission-critical public safety services over LTE are briefly discussed. Advanced enabling technologies for PSNs, such as software-defined radio (SDR) access network and radio access network slicing, are presented. A comparative survey is presented in [40] with numerical simulation analysis of LMRS and LTE using the network simulator-3 (NS-3) simulator. In addition, the challenges involved with existing PSNs and the benefits of LTE based PSNs over LMRS are discussed. The development of PSN is presented along with the spectrum allocation for PSNs across all the frequency bands in the United States. In [39], the authors surveyed the status of many wireless technologies, e.g. TETRA, APCO 25, TETRAPOL, satellite networks, digital mobile radio, etc. for PSNs. The current regulatory, standardization and research activities are discussed to identify the recent challenges faced by PSNs in Europe and United States. A high-level overview of future wireless communication technologies, e.g. SDRs, cognitive radio and LTE, is provided. The authors of [41] present an overview of the existing literature on D2D communication and dynamic wireless networks (DWN) to support public safety communication. Open challenges and possible solutions for D2D communication, DWN deployment, modeling, security and resilience, modeling, performance evaluation, and emerging techniques for PSNs, e.g. IoT and cloud/edge computing, are discussed. Another survey in [43] provides the history of LMRS and LTE based PSNs, rapid emergency deployment, spectrum allocation and management requirements for public safety, architecture of LTE-based PSNs, and radio resource management schemes in PSNs.

We summarize and compare the contributions of different survey papers on PSNs in Table 1 to position the present paper. It can be seen from the table that all the existing papers focus on emerging technologies for predictable safety events (e.g. large-scale gatherings, and concerts); only two papers [39,

41] barely discussed the architectures and technologies for unpredictable safety events such as terrorist attacks; more details about different emergency scenarios will be provided in Sect. 2.1. **On the other hand**, this survey provides a detailed description of the architecture and the possible technologies for predictable as well as for unpredictable dangerous events as, for example, in the case of terrorist attacks.

Moreover, the existing studies [42, 39, 41, 43] cover many details about D2D communication in the in-coverage scenarios when **the** base station works properly. In comparison, to the best of our knowledge, this is the first survey paper presenting a detailed review of D2D communication for both in-coverage and out-coverage scenarios, along with up-to-date standards and projects. Additionally, we provide an extensive literature review of the technologies that could have a significant impact in advance PSNs, such as beamforming and localization for UAVs, multi-hop D2D routing, and jamming and anti-jamming in mobile networks.

The remainder of this paper is organized as follows. In Sect. 2, we discuss PSN scenarios, architectures, 3GPP standards and recent, or ongoing, related projects. Section 3 is devoted to the technologies with a potential impact in this scenario and it is divided **into** the Physical, MAC and Network layer subsections. In Sect. 3.1 - the physical layer - device discovery, beamforming for UAVs and localization are discussed as the technologies with the greatest expectations and challenges to the specific terrorist scenario. In Sect. 3.2 - the MAC layer - D2D communication in centralized and decentralized modes, resource, and power allocation approaches are considered as playing a crucial role in the achievement of an efficient communication. In Sect. 3.4 - the network layer - multi-hop D2D routing for PSN is carefully discussed as a key enabling technology for the system. In Sect. 3.5, the main characteristics of jamming are discussed together with a survey of the recent literature. Then Sect. 4 is dedicated to the channel models proposed for D2D communication in the literature. Finally, in Sect. 5, we discuss the open challenges in the context of these technologies applied to PSN in the case of terrorist attacks. Concluding remarks are given in Sect. 6.

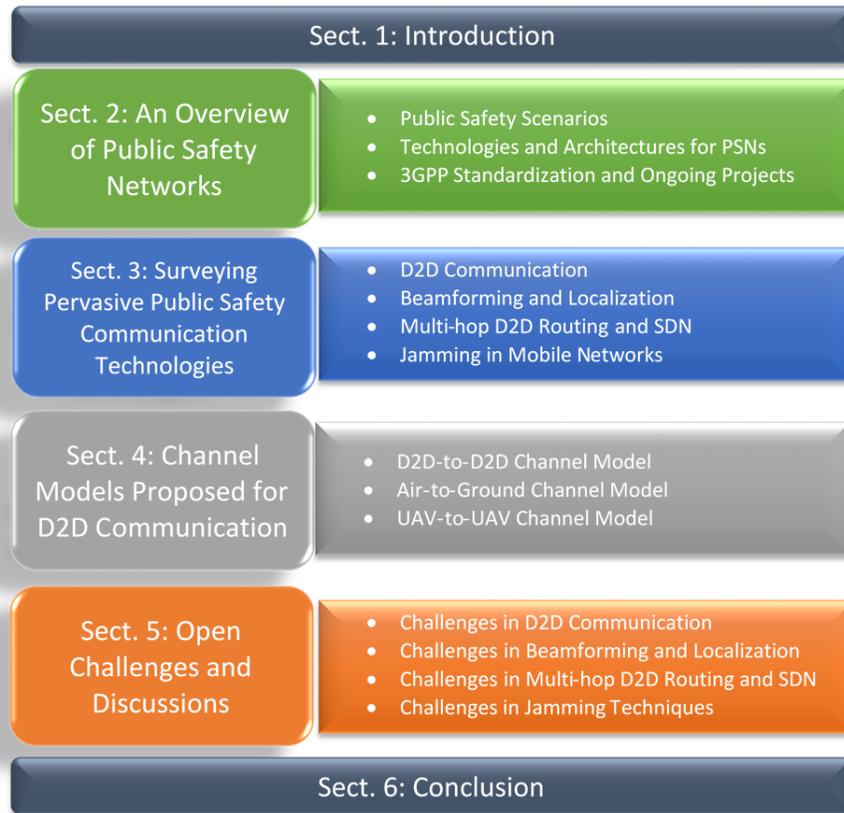


Figure 1: An outline of this paper.

Fig. 1 shows an outline of the paper.

215 2. Public Safety Scenarios, Architecture, Standards, Projects

2.1. Public Safety Scenarios

Each year, thousands of people suffer because of disasters and this situation **worsens** if public safety services are not able to take proper actions on time. Any emergency situation can be referred to as a disaster that can affect the routine
 220 procedures causing deaths, illness, injuries and property damage [44, 45]. **A disaster can be caused by diverse reasons such as biological hazards, human error, equipment failure, human action, nature, etc.** For classification purposes,

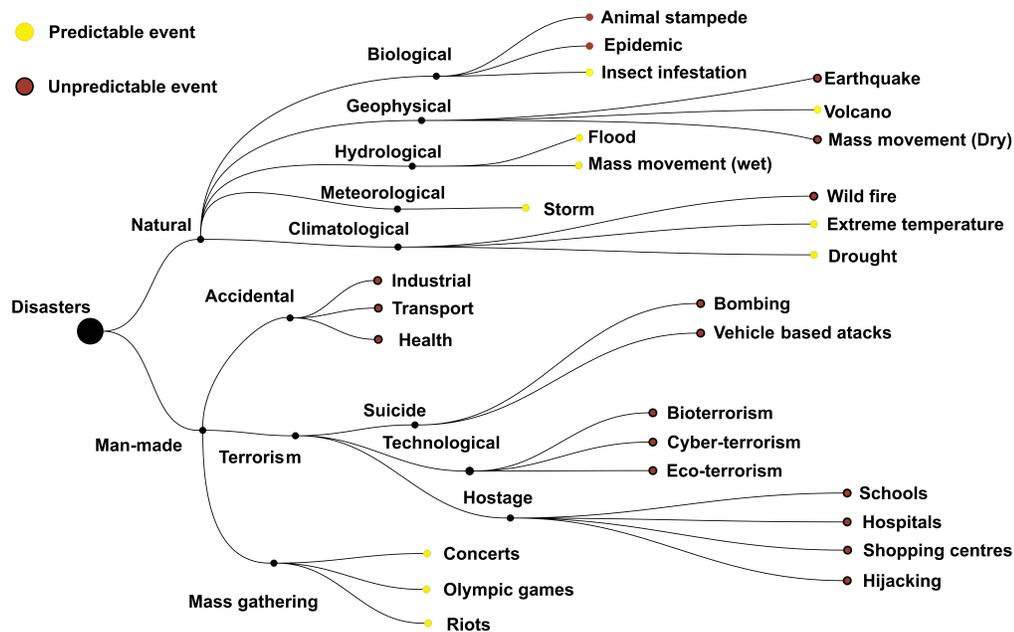


Figure 2: A taxonomy of disaster types.

disasters are categorized into two main groups: natural and man-made disasters. Both of them can be further divided into different subgroups as shown in Fig. 2.

225 Natural disasters are further divided into five subgroups: biological, geophysical, hydrological, meteorological, and climatological. Each subgroup contains different disaster types. Man-made disasters are separated into three main subgroups: accidental, mass gathering and terrorism. Then terrorism is further divided into three subgroups: suicide, technological and hostage. These sub-groups are further separated according to different human actions [46, 47, 48].

230

2.1.1. Natural Disasters

People depend on key facilities for ensuring viable and safe societies; such facilities include e.g. transportation systems, energy and fuel subsistence systems, information and communications infrastructure, schools, hospitals, emergency rescue services, etc. [49, 50, 51]. It is observed that natural disasters can disable some of the key infrastructure facilities in affected areas up to 72 hours or even

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longer, which not only threatens the lives of people but can also isolate such areas from the outside world [52]. Risk management techniques help to manage natural disasters, estimating which areas will become isolated or not. Studies
240 assess the conditions of key facilities before, during, and after disasters, which can help to manage and reduce their consequences [53, 54, 55, 56]. It is worth observing that people often use social media platforms to request aid during natural emergencies [57, 58, 59]. Information shared on social media is very ambiguous while rescue services using machine learning methods can differenti-
245 ate between spam and clear signal, thus allowing to identify who actually needs aid [60, 61, 62, 63].

- **Geophysical:** Disasters originating from solid earth are referred to as geophysical or geological disasters (e.g., ground movement, tsunami, landslide, lava flow, etc.). Such disasters cause deaths, injuries and infrastruc-
250 ture breakdown. It is observed in several disasters that damaged roads, bridges, and communication infrastructure are the main obstacles to providing emergency support and aid [64, 65].
- **Hydrological:** Sudden distribution or movement of water (e.g., flood, debris flow, avalanche, etc.), possibly on dry land, causes hydrological dis-
255 asters. Such disasters partially or fully disconnect the ground connection of affected areas, which is one of the main challenges faced by rescue teams [52, 66].
- **Meteorological:** Short-lived disasters caused by intermediate atmospheric conditions (e.g., tornado, thunderstorm, dust storm, excessive rainfall, blizzard, etc.) are called meteorological disasters. Extreme weather con-
260 ditions affect the rescue process causing long delays [67].
- **Climatological:** Long-lived disasters caused by extreme atmospheric conditions (e.g., frost, snow pressure, icing, etc.) are called climatological disasters. Such disasters cause economic loss, property damage, commu-
265 nications failures, and extreme burden on public safety services such as

hospitals, fire, police, etc. [68].

- **Biological:** Biological disasters caused by the exposure of living organisms to germs and toxic substances such as viral diseases, bacterial diseases, fungal diseases, etc. A significant number of cases appear in a virus-free region, spread from affected areas. The challenge for public safety services in such cases is to provide antibiotics and vaccines for infection prevention and control [69]. It is also observed during the epidemic situation that public safety services use drones to monitor the movement of people to contain the virus outbreak [70].

2.1.2. Man-made Disasters

Man-made disasters are the consequence of different human actions and can be categorized into two main subgroups: accidental, civil disobedience and terrorism.

Accidental Disasters

Accidental disasters are caused by human error, negligence, and technological failure, for instance, fires, industrial and transport accidents, structural failures and collapses, and nuclear explosions or radiation. Many studies on risk assessment propose quantitative, qualitative, and hybrid techniques to examine and assess risk solutions to avoid such events [71, 72, 73].

Mass Gathering

A mass gathering is an event for a common purpose when a large number of persons come close together at one place; it can take place indoor or outdoor. The gathering could be organized for a defined period, be instantaneously motivated by participants or organizers, or due to an emergency situation.

Terrorism

Terrorism is the deliberate use of violence for creating fear in order to achieve political and social objectives. Terrorist activities are diversified, having a large

range of targets, including citizens, government officials, law enforcement officers, public building, or government buildings [74].

295 • **Suicide Attacks:** in a suicide attack, a terrorist deliberately takes his own life to damage, harm, or destroy the target. Two counter tactics are used to fight against suicide terrorism: nonlethal preventive measures and lethal offensive measures. In nonlethal measures, law enforcement agencies arrest the terrorists and leaders, and drive information from them. In
300 lethal offensive measures, agencies target the terrorist leaders or operators and kill them. Target killings appear to further increase the number of suicide attacks, while preemptive arrests seem to reduce such attacks [75, 76].

• **Technological Attacks:** such attacks attempt to expose, steal, alter,
305 destroy, or gain unauthorized assets or access. Risk assessment strategies propose to prevent such activities, while it is challenging for law enforcement agencies to identify the criminals and their network [77, 78, 79].

• **Hostage Situation:**

Hostage situation is considered as one of the most significant and newsworthy scenarios, which affects and challenges the actions of the authorities
310 [80, 81, 10]. There are two main scenarios: i) where hostages are captured by the terrorist or ii) where hostages are hiding in the area controlled by the terrorists. Law enforcement agencies cannot take a proper action during hostage situations because they do not have critical information such as the number of terrorists, the number of trapped people, their identity
315 and locations, etc. [10, 11]. As some of the main challenges faced by public safety services are discussed below and illustrated in Fig. 3.

we further categorize the emergency scenarios, i.e. man-made and natural
320 disasters, into two types of events: predictable and unpredictable. In predictable emergency scenarios, public safety services (rescue, fire, police, etc.) can foresee

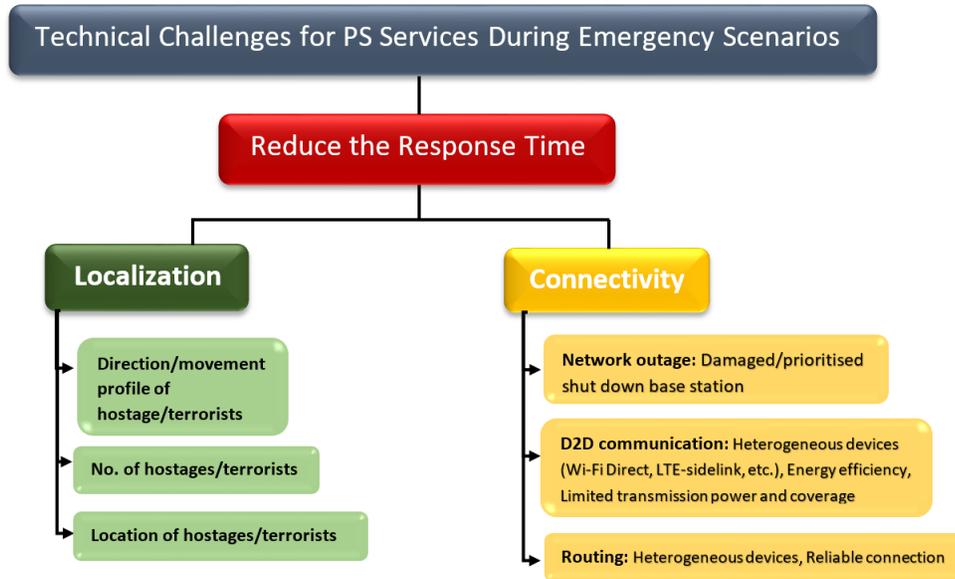


Figure 3: Technical challenges for PS services during emergency scenarios.

and have a reasonable time to prepare for expected incidents. Generally, public safety services put high efforts into disaster prevention in order to reduce the response time, thus, they will not have to put significant efforts into the disaster management and relief phase. For instance, in a predictable man-made disaster that could take place during e.g. a mass gathering, police departments arrange additional police force to provide security, implement safety arrangements for the crowd and ensure avoiding any undesired incident. Fire and rescue teams are also fully prepared to meet any incident. In a predictable natural disaster, for example floods, rescue teams evacuate the possible affected area for prevention, whereas during or after the emergency incident, damaged infrastructures are the major cause of the formation of isolated areas and a factor that slows down the rescue process. The emergency rescue teams gain access to the disaster site using e.g. rescue boats and helicopters with a slight (if not without) direct life threat and provide disaster relief immediately.

On the other hand, in unpredictable emergency scenarios, public safety ser-

vices may not get enough time for disaster prevention due to the abruptness of the incident. Thus, a great amount of effort will have to be put into the disaster management and relief phase to reduce the response time. Typically, wildfires and earthquakes are considered as unpredictable natural disasters. Human failures and terrorist attacks are common examples of unpredictable man-made disasters. For instance, in the case of terrorist attacks, rescue and law enforcement teams remain unable to immediately step-in because of serious life threats, unclear information and situational facts (i.e., number of terrorists, their positions, the number and type of weapons used and severe consequences, etc.) Thus, the response time for disaster relief becomes very long.

Nowadays, concerns are raising for the design of highly reliable and adaptive PSNs. From an ICT point of view, the classical PSNs are not designed to cope with public safety services during emergency scenarios (e.g., floods, earthquakes, riots, terrorist attacks). The main challenge for public safety services is to reduce the response time in such cases, as illustrated in Fig. 3. We are discussing a system architecture in Sect. 2.2 for disseminating the up-to-date information and reduce the response time, exploring the technologies that could have a significant impact in such scenarios, such as beamforming and localization from UAVs, LTE sidelink for both centralized (base-station assisted) and decentralized (without base-station) architectures, and multi-hop D2D routing for reliable PSN.

2.2. Technologies and Architecture for PSNs

Technologies for PSNs

When BSs are switched off or non-functional, mobile users are not able to communicate with first responders. A feasible solution to overcome this problem is to relay the signals via other devices that act as a link between users and operational BSs using D2D communication. In particular, the UEs that are not in the coverage area of the BS can use the mobile devices that are in the coverage area as relays, thus accomplishing a multi-hop connection with the cellular network [82]. Under exceptional circumstances, these D2D links, standardized in the 3GPP Release 13, play an important role in filling

coverage holes and providing seamless coverage. Due to the division of the transmission range into two or more hops using D2D relays, the reduction in
370 power consumption of mobile devices becomes one of the major benefits of such relayed communication. Further, with low link distance between the D2D devices, the battery life of the devices is prolonged, which is highly beneficial especially in critical conditions.

Reference [83] proposes a relay selection scheme for D2D enabled relay communication, as a measure to fill coverage holes in public safety LTE (PS-LTE).
375 The scheme is based on selecting an optimal relay terminal through an effective path throughput from an out-of-coverage terminal to a BS via in-coverage relay terminal. From the simulation results in [83], the proposed scheme is able to satisfy the throughput requirement for video transmission in case of a large
380 number of users.

It is worth observing that relaying of signals can also be done through moving relays e.g. devices that are installed on moving vehicles such as UAVs or trucks. In [36], a cost-effective network architecture is proposed where a smart UAV enabled with D2D communication is deployed to carry a relay that provides
385 the connection. The use of drones is more feasible when the risk factor is high. This allows public safety agents to deploy relays in some area, which is the case considered here of a terrorist attack.

A drone, or swarm of drones, is directed towards a certain location to connect the desired mobile devices that need coverage with a distant active BS, thus acting as a relay to bypass failed BSs. The drones are installed on-board
390 the transceivers to transfer the signals from the mobile devices to the distant BSs, and vice-versa, thus realizing a multi-hop link. However, the number of drones that is required to cover the affected area depends on the cell coverage provided by each drone, which is lower as compared to terrestrial microcell BSs and further depends on its transmission power, drone altitude, interference effects, etc., as addressed in [84]. The problem of searching for the optimal UAV
395 position to increase the end-to-end throughput performance is addressed in [37]. In contrast to methods that rely on propagation distance minimization and sta-

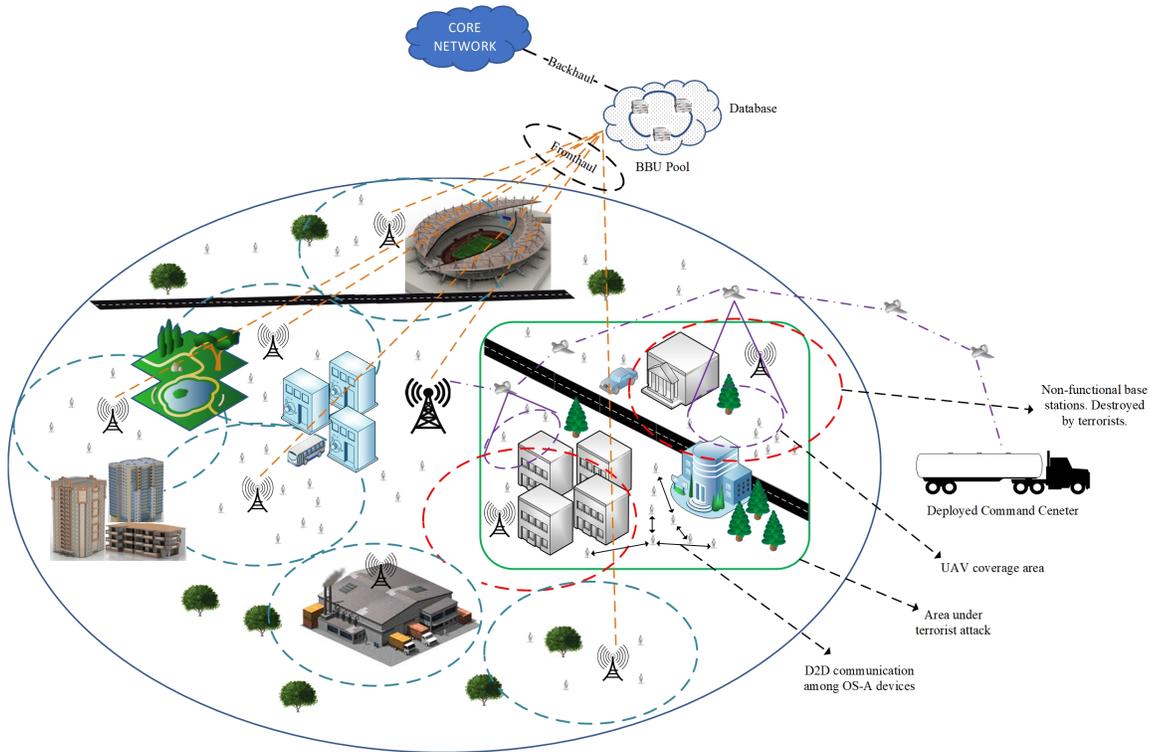


Figure 4: Architecture for public safety scenarios.

tistical models for the presence or absence of a line-of-sight (LoS) component,
 400 the proposed approach is capable of leveraging local topological information to
 guarantee better performance. The position of drones is set by utilizing GPS
 and location detection services in LTE.

Architecture for Public Safety Scenarios

It is envisioned that smartphones, and/or OS-A devices, which have enabled
 405 direct communication features in the emergency scenarios (such as earthquakes,
 fire, terrorist attacks), can be exploited to get the fundamental and critical
 information to reduce the response time [31, 32]. Inside the affected area, en-
 abled OS-A devices with D2D functionality could cooperate with each other in
 a multi-hop communication fashion to improve the communication reliability
 410 in case of harsh propagation conditions and to ensure end-to-end network con-

nectivity [17, 19]. An external deployed command center and aerial platforms (APs), or UAVs, will be deployed near the attacked zone. Multiple APs/UAVs can provide reliable network connectivity, increased positioning accuracy in the OS-A devices, and relay communication to external deployed command center
415 when the BS is not available, especially when the signal power is too weak to propagate [36, 37]. Hence, this network architecture can disseminate up-to-date critical information to the deployed command center, thus allowing for a reduction of response time and the provisioning of a robust and reliable connectivity [85, 20], as shown in Fig. 4.

420 The considered architecture is feasible for public safety scenarios, like fire, earthquake, terrorist attacks, etc., and can be divided into the following three parts:

1. **Mobile BS Architecture:** The central unit of command will have a system capable of enabling emergency responder personnel for obtaining
425 a deep control of the operations; its architecture is shown in Fig. 5. An SDR based connection will emulate the BS connectivity services for scenarios where cellular connectivity is totally unavailable. Information coming from the drone fleet will be collected in a local database. This information will include UE localization data as well as data coming from
430 victims and field-deployed agents. A fleet management protocol will enable the emergency responders to deploy the UAV fleet where it is most needed during operations.

2. **UAV Unit Architecture:**
The system to be deployed on the UAV is shown in Fig. 6. It includes
435 various components for achieving device discovery, beamforming, and localization. The system is divided into:

- an antenna array;
- an SDR component, responsible for the phase rotation to be applied on each antenna;
- 440 • the main processing unit on which the algorithms developed in the

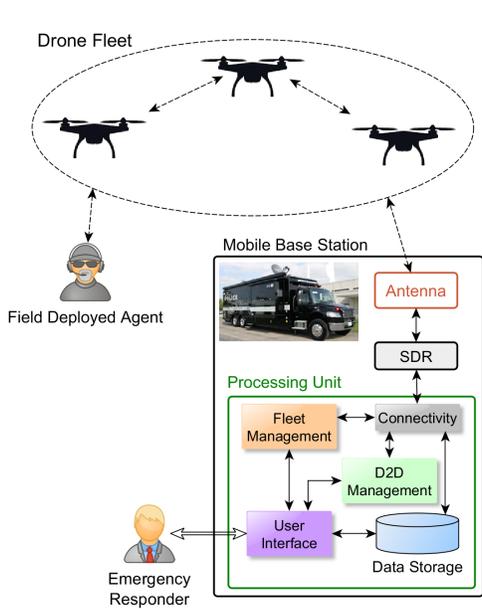


Figure 5: Mobile BS main components and architecture.

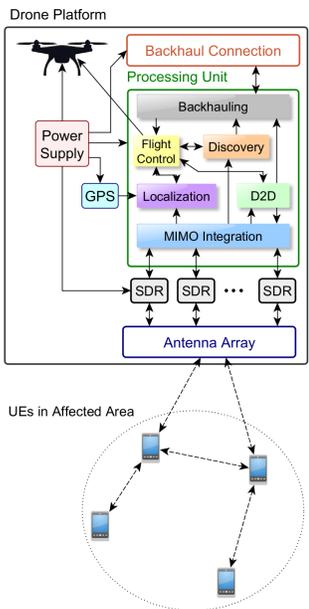


Figure 6: UAV Remote Radio Head main components and architecture. Within the multiple-input-multiple-output (MIMO) integration block other sub-algorithms such as beamforming and weak signal detection will be included.

project will be deployed and interact with drone flight controls. These algorithms will take the inputs of the MIMO antenna system and perform UE localization, beamforming, and D2D communication.

445 The priorities of the individual algorithms w.r.t. access to the flight controls will vary during the mission, with the initial phases prioritizing device discovery and localization and, later, the D2D and backhaul connectivity. The power supply will change the behavior of the UAV according to its charge levels. A separate connection from the main antenna array will be used to provide backhaul connectivity to the ground stations.

450 **3. UE Architecture:** The UE contains WiFi and/or LTE modules, able to provide D2D connectivity (Fig. 7). The D2D link can be established with

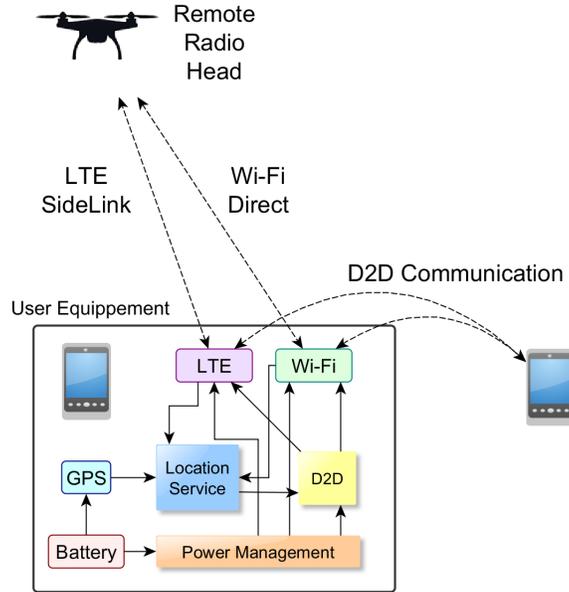


Figure 7: Expected architecture and components for typical UEs.

the UAV or to other UEs in the area. User location services such as GPS can be used to aid in connectivity and localization if present and active.

2.3. 3GPP Standardization and Ongoing Projects

455 In Release 12, the 3GPP recognized D2D communication as a potential
 contender to manage the network capacity/coverage problem, through ProSe
 [86, 87]. Further enhancements in ProSe with integration to internet-of-things
 (IoT) and vehicle-to-everything (V2X) communications became a part of future
 releases as depicted in Fig. 8. D2D services can be exploited by introducing
 460 new features and functionalities in the current cellular architecture, which are
 addressed in this section. D2D is expected to be integrated into existing LTE-A
 cellular networks as presented in [88]. Further, the requirements of the features
 that would support such integration are addressed in [89] such as enhancement
 of the evolved packet core (EPC) with the addition of new interfaces and entities
 465 to support D2D services. Later, the results from [89] formed the foundation for

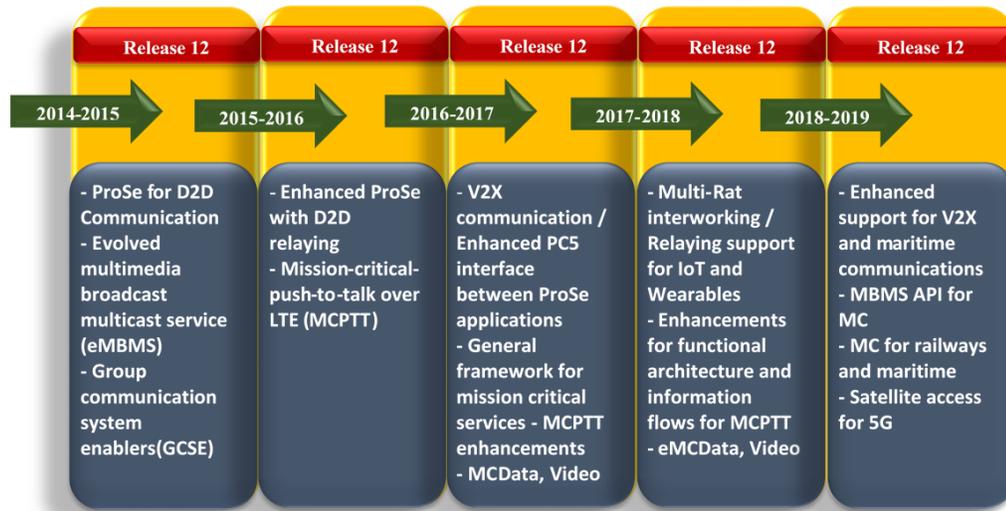


Figure 8: Mission Critical and D2D service Enhancements with 3GPP releases.

the specification of 3GPP Release 12 [90]. With this release three main entities were introduced in the network: ProSe function, ProSe Application Server, and ProSe application at the UE.

The ProSe function is executed as a logical function, which further provides three sub-functions: Direct Provisioning, Direct Discovery Name Management, and EPC-level discovery ProSe function. Direct Provisioning function caters to D2D discovery and D2D communication. Such criteria, which are related to the authorization policy and radio parameter configuration of UE to perform D2D discovery and communication, are listed in [90]. The Direct Discovery Name Management Function supports the network operator for D2D discovery and application while the EPC-level Discovery ProSe Function provides some network functionalities such as subscriber information management and authorization etc. In 3GPP Release 12, only the ProSe function is considered. Therefore, management and cooperation among multiple ProSe functions are left as an open challenge.

Further, the ProSe application server [91] distributes services to different

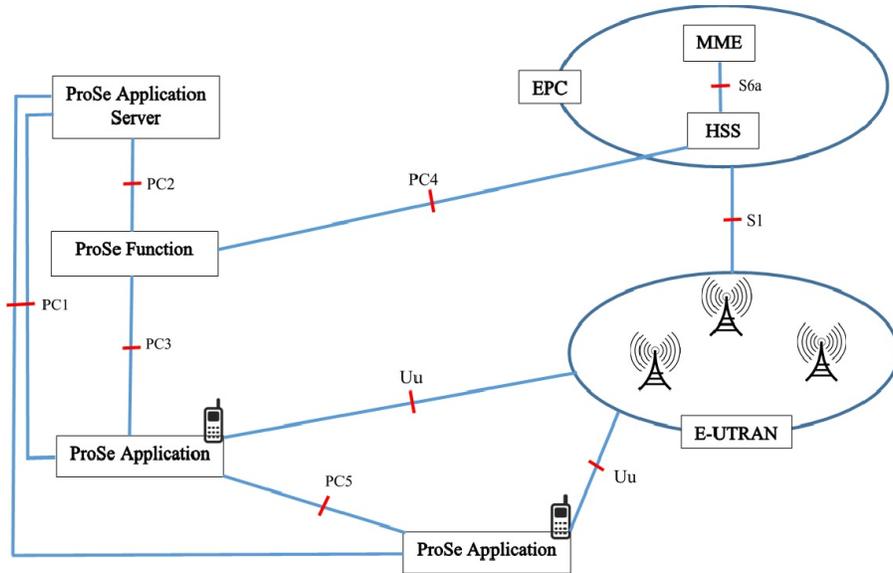


Figure 9: D2D communication architecture with enhancements from LTE-A.

ProSe applications and maps the UEs to individual functions. The ProSe application server is connected to the ProSe function via a PC2 interface, which is responsible for enabling interaction between the two entities, as given in [91].
 485 Also, UEs must be reconfigured to support D2D communication and relay functionality with extensions required to support D2D discovery and communication by the ProSe application. Such an authorization policy is managed over a PC3 interface as described in [92].

The basic architecture of 3GPP ProSe is shown in Fig. 9. Besides new entities, home subscriber server (HSS) and mobility management entity (MME)
 490 should also be enhanced in order to authorize user information regarding ProSe services [90]. To accomplish this, a new interface, PC4, has been introduced in [93] and is shown in Fig. 9. Also, the upgrade of the S6a interface is needed to enable information exchange related to ProSe subscription [90]. Such enhancements in interfaces and introduction of new entities lead to security threats and risks related to D2D communication; therefore, [94] proposes a key management system among common LTE-A and newly introduced entities.
 495

Further, the 3GPP meeting for the integration of D2D services into IoT was discussed in [95], which would meet the requirements of longer battery life and better connectivity of IoT devices in close proximity by forming D2D connections. Also, wearable devices for medical care systems for patient monitoring in hospitals and remote monitoring from homes and offices gained a lot of improvements from D2D communication. A review of multiple standards and technologies for D2D enabled wearable cognitive wireless systems is given in [96]. Enhancements have been also introduced to enable QoS, end-to-end security, and efficient path switching between LTE and D2D interfaces [97]. In Release 14, V2X was included for the first time in D2D communications with improvements done for safety-related scenarios and extended sensors local communication [98]. In addition to the above mentioned standardization activities, many completed or active research projects are summarized in Table 2.

- **BSA-D2D:** Base Station Aided D2D communication is an initiative of the European Commission (EC) [99]. The main aim of this project was to increase the system capacity by exploring network coding, interference alignment, regenerative storage codes, multiple description source coding and joint source-channel coding.
- **MCN:** Multilayered Communication Network is an initiative of the Japanese government for research in disaster Management [100]. The objective of this project was to establish an alternative communication route and technologies when the 3G network is not available.
- **ABSOLUTE:** Aerial BSs with Opportunistic Links For Unexpected and Temporary Events (ABSOLUTE) project is a Framework Programme 7 (FP7) initiative that aims to design and validate innovative rapidly deployable networks [101].
- **CODEC:** The Cellular Network based D2D Wireless Communication (CODEC) project is funded under FP7 framework [102]. It focuses on achieving QoS, energy and spectral efficiency through efficient resource

management in D2D Cellular communications.

- **D2D-LTE:** Device-to-Device Communication: Fundamentals with Applications to LTE (D2D-LTE) is a project funded by the National Science Foundation (NSF), USA [28]. The key idea is to exploit direct communication between nearby devices to achieve throughout, improved spectrum utilization and energy efficiency. In addition, this project explores new peer-to-peer and location-based applications and services.
- **PSS:** Pervasive Spectrum Sharing (PSS) for public safety communications is an NSF funded project [103]. The main aim of the project is to improve spectral efficiency. The main idea is to provide incentives to users that opportunistically share their spectrum as substrates (*e.g.*, 3G data and WiFi connectivity), and open D2D protocols.
- **COHERENT:** The coordinated control and spectrum management for 5G heterogeneous radio access networks (COHERENT) framework aims at improving the existing control solutions for inter-network coordination [104]. The project is funded by Horizon 2020 (H2020) programme. It devises theories and methods to abstract network states and behaviors.
- **METIS:** Mobile and wireless communications Enablers for Twenty-twenty Information Society (METIS) is a research project funded by FP7 [105]. The objective of the project is to design a system concept that delivers the necessary scalability, efficiency, and versatility for a 5G wireless communications system. Direct D2D communication is one potential technology and is used to improve coverage in terms of availability, reliability and cost efficiency.
- **UAV4PSC:** CAREER: Towards Broadband and UAV-Assisted Heterogeneous Networks for Public Safety Communications (UAV4PSC) is an NSF funded project [85]. The main idea of this project is to use UAVs along with cellular technologies to ensure connectivity with potentially damaged

Projects	Year	Funding Organization	Architecture	Standards	D2D Communications	Protocols Stack	Target Applications
BSA-D2D [99]	2011-2012	FP7 Ref. Nr:274523	LTE	In-coverage	Improve network capacity	PHY layer	Cellular communication
MCN [100]	2011-2012	Japanese Government	WiFi Adhoc	Zigbee, Bluetooth, WiFi, LTE-A, WiGig	Delivery delay	Network Layer	Disaster management
ABSOLUTE [101]	2012-2015	FP7 Ref. Nr. ICT 318632	LTE-A	In-coverage mode: Direct and relay	Aerial BS to device	PHY layer	General purpose public safety
CODEC [102]	2014-2016	FP7 Ref. Nr: 630058	LTE-Release 12	LTE-A, TETRA	Spectrum efficiency	PHY layer	Cellular communication
D2D-LTE [28]	2014-2016	NSF, USA Ref. Nr: CIF 1016649	LTE Release 12. ProSe design	In-coverage mode: Offloading, relay and direct	Spectrum, resource optimization	PHY layer	General purpose public safety
PSS [103]	2016-2019	NSF, USA Ref. Nr: NSF EARS2014-1443946	LTE	In-coverage mode, WiFi spectrum sharing	Spectrum efficiency	PHY layer	General purpose public safety
METIS-II [105]	2015-2017	H2020 Ref. Nr. 671680	5G	5G Hetnet	Spectrum, resource allocation	PHY layer	General purpose public safety
UAV4PSC [85]	2015-2020	NSF, USA Ref. Nr:CNS-1453678	5G	In-coverage mode:Offloading, relay and direct	UAVs to ensure connectivity	PHY and MAC layer	General purpose public safety
NICER [106]	2015-On going	LOEWE	5G	WiFi, LTE-A, LTE-U	Relays for out of coverage users	Network layer and security	Emergency response for disaster, terrorism and violence
BROADMAP [107]	2016-2017	H2020 Ref. Nr. 700380	Interoperable, broadband (LTE)	Multiple standards	Interoperability of devices	Interoperability of different networks	Public safety in disasters
LCMSSER [108]	2016-2018	Newton Fund British Council	LTE	LTE	Relays for out of coverage users	PHY layer	Public safety in disasters
DDPS [109]	2017-2019	NIST	LTE-Release 14	On-network, Off-network, and Partial-on-network	Discovery, synchronization,	PHY, MAC, and Network layers	Public safety in disasters
COUNTER-TERROR [20]	2018-2021	NATO-SPS	LTE-Release 14 (ProSe design and evaluation)	Out-of-coverage mode:Direct, relay and multihop	Dynamic heterogeneous resource management, reliable and robust connectivity	PHY, MAC, and Network layers	Public safety in terrorist attack

Table 2: Summary of the up-to-date related funded Projects.

555 network infrastructures, dynamically manage interference between UAV,
BS, UE, and allow smooth handovers.

- **NICER:** Networked Infrastructure-less Cooperation for Emergency Response (NICER) is a LOEWE funded project [106]. It explores how infrastructure-less information and communications technology that can establish links between people in the event of a crisis, thus enabling them
560 to work together to overcome the crisis.
- **BROADMAP:** BROADMAP is another H2020 funded project [107]. The project aims to develop next generation broadband inter-operable radio communication systems for public safety and security in the EU. BROADWAY [110] is a new project working on carrying forward BRO-
565 ADMAP initiatives.
- **LCMSSER:** Location-based Control and Management System for Safety and Emergency Rescuing Services using LTE D2D (LCMSSER) is a British Council funded project through its Newton Fund initiative [108]. The aim
570 of the project is to support mobile users through the location-based system that provides emergency services in the event of disasters.
- **DDPS:** The DDPS project aims at providing mission-critical voice, 3GPP ProSe, one to one and one to many group communication as key services [109]. The project is funded by the National Institute of Standards and
575 Technology (NIST) and the main partners are US Army Vencore Laboratory and Eurecom. The project involves building a complete ProSe stack for mission-critical voice based on 3GPP standard and open source OpenAirInterface (OAI) and demonstrate in a hardware test-bed.
- **COUNTER-TERROR:** COmmUNication in conTEXT Related to Terror Attacks (COUNTER-TERROR) is a new project that has been recently funded by the North Atlantic Treaty Organization (NATO) within
580 the science for peace and security (SPS) programme [20]. The project

585 aims to establish and maintain connectivity in the case of terrorist attacks, which cause partial or total network failure, exploiting multi-hop D2D communication, beamforming and localization, and jamming and anti-jamming techniques for reliable PSN.

3. Surveying Pervasive Public Safety Communication Technologies

3.1. Physical Layer

The main physical layer technologies that make possible a decentralized communication and related services in an area that is concerned with a terrorist attack are:

- *Discovery* of the devices for establishing D2D communications, in particular with the support of aerial relaying stations. In fact, before setting up a direct D2D communications, user devices need to discover the presence of nearby devices, or UAVs, and identify whether the D2D pairs need to communicate with each other. This process, called device discovery or peer discovery, is particularly challenging when the infrastructure is not available.
- *Beamforming*, for enhancing signal quality in any context of the operations, from discovery and detection of the weak signals in the area of localization and communications. In particular, in the scenario considered here, the papers of interest are dedicated to the application of beamforming to UAVs that are deployed in the emergency area.
- *Localization* of the devices, useful for providing additional information about the positions of the persons involved in the attack.

The next subsections are devoted to the survey of the main recent papers in the above-mentioned areas.

3.1.1. Device Discovery

In a disaster scenario, one of the main problems is the discovery and selection
610 of the devices with the best signal-to-interference-and-noise ratio (SINR) and
the implementation of algorithms that are able to guarantee a sufficient QoS.

According to 3GPP, “direct discovery” expresses the capability of communi-
cating only among the UEs. **the aspects and surveyed papers more specifically
connected to the 3GPP ProSe will be discussed in Sect. C.1.;** In general, devices
615 that are announcing their presence to the neighbors broadcast discovery mes-
sages at pre-defined intervals, while devices that are monitoring these messages
scan the pre-defined frequencies of broadcast [90]. The presence or absence
of network coverage changes the D2D discovery mechanisms: in a network-
controlled scenario, D2D depends on the core network. Therefore, the network
620 can use specific control signals for the discovery process, coordinating time and
frequency of the process without collisions. This approach obviously provides
several advantages in all the steps of the D2D connection, from the synchro-
nization to the communication setup [111].

In the out-of-coverage scenario, the discovery is made by the devices them-
selves **through** known synchronization or reference signal sequences. These spe-
625 cific packets, also known as beacons, advertise **the presence of the device**, which
makes peer discovery possible independently from the BSs; this is a natural
solution for PSNs [112].

The out-of-coverage D2D discovery process is characterized by the following
630 features:

- direct discovery instead of discovery supported by the network;
- the possibility of leveraging discovery by underlying coexisting technolo-
gies such as WiFi or Bluetooth;
- being, in general, an asynchronous process.

635 At the same time, the peculiarities and the main issues of the discovery
process in PSNs are:

- typically to be tested in the worst-case scenario, *i.e.* out-of-coverage or decentralized;
- device discovery without network assistance is usually time and energy-consuming;
- the quality of the discovery process is determined by (i) high power efficiency, (ii) discovery range, (iii) spectral efficiency or low use of spectral resources, and (iv) rapidity.

In the literature, there are many studies focused on performance and energy efficiency for out-of-coverage conditions in D2D networks. In the past, several solutions have focused mainly on the optimization of the probing interval, *i.e.* with mechanisms for optimizing the duty cycle between sleeping and waking up phases. Other studies are specifically focused on wireless technologies such as WiFi and Bluetooth, which appear of interest for the possibility of using these underlying technologies as leverage for D2D discovery also in emergency scenarios.

General Discovery Approaches: In [113], an approach is proposed for adaptive wake-up schedule based on power-law distributed contacts; the key point of the proposed solution is that the nodes stay asleep when a contact is unlikely to happen and wake up only when the possibility of a successful contact is sufficiently high, guaranteeing a reduction of energy consumption in opportunistic networks (up to 30% w.r.t. other wake-up techniques).

Discovery for WiFi, Bluetooth: An overview and an experimental evaluation of WiFi Direct is provided in [114]. In [115], an energy efficient device discovery protocol is based on the underlying wireless technology Bluetooth. The protocol adapts the duration and interval of Bluetooth inquiry in dynamic environments, by using history information on discovered peers. The performance has been validated by an experimental prototype. In [116], the WiFi Neighbor Awareness Networking technology, standardized by the WiFi Alliance, is presented and evaluated using packet level simulations; this technology allows devices to continuously discover surrounding services and devices operating in

a background energy-efficient way. In [117], the Bluetooth low energy (BLE) discovery mechanism is **modeled** and evaluated **using** intensive simulations and characterized through its discovery probability, latency, and energy consumption as a function of the parameter settings.

Discovery Approaches with UAVs: In [118], a scenario in which UAVs enhance public safety services is studied; the proposed ComProSe system is an innovative ProSe-enhanced multimedia communication framework, which makes use of UAVs as relays and provides direct discovery and QoS-aware communications between public safety UEs from different organizations. The paper presents interesting real-life tests concerning the cooperation between UAVs and public safety users.

3.1.2. Beamforming Solutions for UAVs

An array of antennas, composed by linear, rectangular or circular series of simple antennas or elements, is used for controlling the array directivity function by adjusting the phases between different antennas. When the phases of signals coming or transmitted by the different elements are adjusted such that they combine coherently on a given direction in the space, the array forms the corresponding beam, directed with the maximum gain. The array and the beamforming are called adaptive when the phases (possibly also the gains) at the different elements are changed dynamically as a response to some feedback from the system, based on the maximization of the signal strength, SINR or the corresponding minimization of the interference. As an example, in the context of PSN and emergency scenarios, the adaptive array mounted on the relaying station, *e.g.* the UAV, should enhance the signals coming from some directions for discovery, communication or localization purposes, rejecting at the same time interference or other signals from other positions in the emergency area; this type of mechanism could maximize the output SINR.

Here we report the main recent works that have considered the application of beamforming to UAVs. Most of the papers are concerned with strategies for optimizing communications with devices on the ground. More recently, re-

search has focused on channel impairments related to UAV mobility and energy efficiency aspects.

In [119], a UAV platform exploits beamforming for mitigating the mutual
700 interference among mobile single-antenna devices on the ground and achieving
spatial division multiple access. The work also addresses the control of the UAV
using a Kalman filter for tracking the positions of the devices on the ground;
according to the predicted positions, the system adjusts UAV's heading in order
to optimize a bound on the achievable communication rate between the ground
705 and the UAV. In [120], the UAV is used as a relay between single-antenna devices
on the ground and a BS; in this context, the design of beamforming (BF) and
UAV path for optimizing the signal-to-noise ratio (SNR) of the dual-hop relay
link is presented. Numerical simulations show: (i) that the proposed method
approaches the optimal flying path and outage performance; (ii) the impact of
710 the number of antennas at the BS and of the UAV heading angle on system
performance. In [121], UAVs are just considered as solutions for providing tem-
porary wireless connectivity after disasters compromising communication infras-
tructures. Also, the optimal placement of UAVs making use of multi-antenna
arrays is studied according to the principle of SNR maximization at ground
715 nodes. The scenario considers the communication between two UAVs and two
single users, in order to achieve maximum angular separation and maximum
SINR. In [122], the UAV support to cellular networks is extended to mm-waves.
Here, the beamforming phase selection is based on beamforming codebooks with
a hierarchical structure, in order to enable fast training, tracking and reduce the
720 challenging aspects related to the high frequencies. Among the interesting re-
sults of the paper, we can mention: (i) the study on the Doppler effect resulting
from UAV movements, which could be potentially catastrophic especially for the
high gain directions; (ii) the spatial-division multiple access potential at these
frequencies; (iii) the proposition of an adaptive UAV cruising algorithms for
725 contrasting signal blockage; and (iv) the study of the relationship between UAV
positioning and user discovery with antenna arrays. In [123], a measurement
study has been proposed for characterizing the air-to-ground channel at several

frequencies, from 900 MHz and 1800 MHz to 5 GHz. Also, drone-based beamforming systems are investigated in terms of (i) channel reciprocity, (ii) feedback
730 overhead, and (iii) update rate for channel estimation. Over the different bands, it is found that the optimal channel update rate is similar and the phase error depends on mobility, differently from the amplitude one. In [124], the coverage of a UAV-based BS has been optimized under the constraint of the transmitting power; the optimization problem is formulated w.r.t. the UAV altitude and
735 beam angle, providing an interesting tool for relating the array weights patterns to the altitude for the coverage optimization with limited power consumption. Finally, in [125], an adaptive beamforming technique is exploited in a drone surveillance system; the weights adaptive algorithm is conceived for being robust to interference motion and array steering mismatch problems. Numerical
740 results are used to validate the SINR performance improvement.

3.1.3. Localization Strategies

This section is devoted to the survey of novel localization solutions for PSN that exploit or may exploit UAVs. Localization is mainly based on measures that can be derived from the signal received by other devices or fixed reference
745 points (usually denoted anchors or beacons), as the received signal strength indicator (RSS or RSSI), time of arrival (ToA), time difference of arrival (TDoA), and angle of arrival (AoA). The vast majority of practical techniques rely on RSSI or hybrid RSS/AoA techniques [126, 127, 128, 129, 130] and the reason is mostly due to constraints on hardware weight, which translates to constraints
750 on precision for TDoA and ToA techniques. The most apparent contrast for traditional UE localization when UAVs are involved in their ability to move and quickly cover large distances. This ability allows the development of algorithms that define a flight plan for the drone to achieve the highest localization accuracy [126, 127, 128, 130]. The main qualities of the most prominent localization
755 strategies as well as their applicability are summarized in Table 3. Localization systems and their applications are becoming increasingly popular also in the context of 5G. With the 5G and the distribution of massive MIMO antennas,

Method	Accuracy	Energy Consumption	Localization Method	Literature Representation
RSSI	Low	Low	Trilateration	High
ToA/TDoA	High	High	Trilateration	Low
AOA	Low	High	Triangulation	Medium
Fingerprinting	High	Medium	Radio map	Low

Table 3: Qualitative classification of localization technologies and approaches.

new localization schemes, based on the additional contribution of the AoA, are acquiring more interest than in the past [131]. In a terrorist attack scenario, in which UAVs have to cooperate for recovering the positions of the devices on the ground, a hybrid approach making use of all the available information and measures is surely one of the most reasonable approaches. In the sequel, the review of the state of the art follows a classification based on the type of measures used for the localization process.

- RSS(I):** The distance between transmitter and receiver is estimated by the signal strength. A common way to do so is to employ the typical log-distance path loss model ... where n and A can be estimated experimentally according to the propagation environment and d_0 is a reference distance. An example of practical implementation can be seen in [132]. After the distances from the anchor nodes are acquired, the position is recovered by means of the trilateration process, as shown by the circles in Fig. 10
- ToA/TDoA:** Another way to estimate the distance between anchor nodes and the terminal to be localized is given by the ToA or TDoA since the distance can be expressed clearly as a function of the propagation delay. The ToA approach, however, requires that both sender and receiver be synchronized and that the signal time of departure is included from the sender in the transmitted packet. Differently, the TDoA measures require the synchronization of the anchors but not of the terminal to be localized,

780 whose position is solved by intersecting hyperbolas, as shown in Fig. 10.

- **AoA:** Here the position of a user is derived from two, or more, measures of the AoA, whose estimate is possible when an array of antennas is implemented at the receiver. In this case the locus of the points characterized by the same AoA is a line in the 2D plane or a plane in the 3D space.
- **Finger Printing:** RSS, ToA/TDoA, and AoA techniques suffer from the impact of non-line-of-sight (NLoS) propagation: The Angle in AoA no longer represents the direction of the transmitter but is instead a reflection. The Time in ToA and TDoA is similarly affected by the longer path the reflection has to take and the power received varies greatly impacting RSSI measures. On the other hand, fingerprinting techniques bypass this problem entirely, as they achieve UE localization by building a list of points where radio information such as RSS or AoA are mapped to physical positions in a given environment. This map is built in an initial, offline phase, where the location of the UE is known. After this phase, 785 the user location is derived by identifying the mapped point (or an interpolation of the mapped points), which is closer to the measured radio parameters. This technique, however, has the drawback of not being easily or rapidly deployable for emergency situations. The training process is long and cumbersome and thus localization in a disaster scenario can 790 only be performed if an already trained system is present. Furthermore, a terrorist attack may impact the environment in ways that can change the radio propagation thus affecting the accuracy of the localization. 795 800

UAV Based Localization Through RSSI: In [126], the authors present an AoA localization, based on RSSI measures, that is designed to be used on 805 drones. The main strength of the work is the usage of Moxon antennas, cheaper and lighter than other commercial antennas. Their experimental solution is deployed on an SDR component installed on the RTL2832U chipset. The AoA is estimated through RSS measures from a front and a back antenna. Multiple

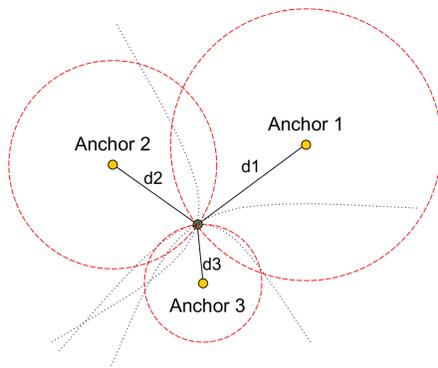


Figure 10: Example of localization with three anchor nodes. The red dashed lines represent trilateration using either RSS or ToA while the dotted lines show the TDoA approach.

measures are taken and a belief based algorithm refines the position based on
 810 current and past measures. A similar approach was used in [127] where multiple antennas are mounted on a drone and the user's bearing, with respect to the drone, is estimated by a weighted RSS algorithm. Yet another approach based on RSS is shown in [128], where the authors show an approach based on a single omnidirectional antenna and RSS measures affected by stochastic
 815 channel fading and measurement noise. This work offers the joint evaluation of two localization algorithms, extended Kalman filter and recursive Bayesian estimator, alongside two-path planning algorithms, steepest gradient descent, and bio-inspired heuristic planning. One of the challenges of estimating the distance based on RSS measures is that transmitter power needs to be known in
 820 order to derive distance from RSS measures, because of this many works assume transmitter power known or constant. The authors of [130] show an approach to RSS localization when this assumption is not met, by employing a neural network-based algorithm to identify the most likely value of the transmitter power from a set of finite values. Then, this value is fed into the algorithm that
 825 performs target trilateration and makes the UAVs converge around it.

Localization with Hybrid AoA and RSSI: In [129], the authors study the signal discovery and localization in a huge disaster scenario with the purpose

of life detection. The proposed hybrid RSS/AoA algorithm is based on the triangulation from AoA while using RSS information to improve the estimate. The AoA measures are evaluated according to the actual measured RSSIs, giving more importance to measures in which the difference between the maximum and minimum RSSI is higher. The work presents an experimental test bed usable on typical terminals such as UE.

Other Types of UAV Based Localization: The use of UAVs for the particular scenario of a terrorist attack can also be extended to the role of a mobile sensor platform, which can be used, for example, to locate shooters as shown in [133]. In the paper, the authors show a shooter localization algorithm that uses data coming from an array of microphones mounted on a flying UAV. The technique is similar to the AoA approach, where rather than radio waves the estimation is done by using sound waves. While the authors show a successful shooter localization, several constraints of this approach pose some concerns during hostile attacks. The main issues arise from the possible absence of LoS condition that precludes reliable localization and from the noise introduced by the UAV rotors, which is one of the largest impairments affecting the SNR of the measurements.

3.2. MAC Layer

3.2.1. Resource and Power Allocation Schemes in Centralized Mode

As previously indicated, D2D communication can be operated in two modes: centralized, which is controlled by the BS and decentralized mode, which is not assisted by the BS [23]. Contrary to the traditional cellular network, where cellular users (CU) communicate via BS, D2D provides direct communication between users regardless of the network status. So, D2D communication can reduce the traffic load to the BS and provide better system throughput. However, interference is generated by D2D users. Therefore, appropriate power allocation and efficient resource allocation schemes can play a vital role to reduce the interference level, thereby significantly improving the overall throughput of the system [134]. Also, appropriate power allocation for Resource Blocks (RB) im-

pacts the interference and system performance, increment in transmission power could increase the D2D throughput, but it will also increase the interference effect. Thus, allocation of appropriate transmission power for RBs is a key issue in D2D communication. In Table 4, a summary of classical schemes for centralized D2D communication is presented.

Matching Based Scheme: In [21], a resource allocation technique is proposed to guarantee the QoS of D2D pairs and CUs at the same time, to improve the overall network throughput which improves the spectral efficiency. The authors proposed a three-step scheme. The first step is to determine the D2D pair for each CU by ensuring the QoS by verifying the minimum SINR requirements. The second step determines the power allocation for CU and its D2D pair with the constraints on the minimum SINR requirements. The third, and last, step is the resource allocation for all D2D pairs, which consists of finding the best CU partner by using a maximum weight bipartite matching based scheme. In this scheme, a set of D2D and a set of CU partners are considered as two groups of vertices in the bipartite graph. The performance of D2D communications can be influenced by the cell radius, D2D user locations, the maximum power limitation for the D2D pairs and, the numbers of active CUs and D2D pairs [135]. The results show that increasing the radius of D2D cluster, and decreasing the transmit power of D2D will decrease both the D2D throughput and the access rate. D2D throughput is directly proportional to the number of D2D pairs whereas the access rate decreases with it.

Mixed Integer Nonlinear Programming: During the downlink (DL) phase, CU could suffer from interference produced by the D2D transmitter. While during the uplink (UL) phase, the BS could face interference by the D2D transmitter when random allocation is used for radio resources [136]. In [26], the authors propose a mixed-integer-nonlinear programming (MINLP) scheme for D2D radio resource allocation, where the SINR values of CU and D2D pairs are found separately for UL and DL, and RBs are allocated with respect to SINR values. Numerical simulations show that D2D throughput, cellular throughput, and system throughput are improved with the proposed algorithm compared

Proposed Scheme	KPI	Network Architecture		Achieved Performance	Drawback
		Architecture	UL/DL		
Matching based scheme [21]	QoS, power control, resource allocation, spectral efficiency and energy efficiency	LTE	UL	System throughput is enhanced 60% and access rate 10% compared with random allocation	Computationally complex and expensive
Mixed integer nonlinear programming [26]	Resource allocation	LTE	UL/DL	System throughput is improved up to 7% compared with random D2D allocation	Power control
Proportional fair and heuristic algorithm [27]	Resource allocation and QoS	LTE	DL	System throughput is improved up to 30% compared with random allocation	Power control
Game framework [22]	Power control, energy efficiency and QoS	LTE	UL	System throughput is improved	Computationally complex and expensive
Lagrangian dual decomposition [24]	QoS, resource allocation, energy efficiency and power control	LTE	UL	System throughput is improved up to 35%	Computationally complex and expensive
Water-filling algorithm [25]	Resource allocation, power control and Spectral efficiency	LTE	UL/DL	Spectral efficiency is enhanced	Computationally expensive

Table 4: Summary of classical schemes for centralized D2D communication in cellular network.

to random allocation. The D2D throughput and that of the system improve with the increase of D2D connections, at the expense of the cellular throughput that decreases. The proposed algorithm does not consider the transmit power for D2D pair that could produce interference.

Proportional Fair Algorithm: In [27], the authors propose a proportional fair (PF) algorithm for resource allocation to CUs and a greedy heuristic algorithm for resource reuse of D2D users. The PF algorithm follows a greedy rule, wherein the CU with the minimum normalized transmission rate is selected as the best subcarrier each time. Further, the second heuristic algorithm first determines whether the D2D mode is suitable or not, by doing path loss comparison. The resource allocation will be initiated if the SINR values of both CU and the D2D pair meet the minimum requirement of the allowed SINR. The results show that D2D and system throughput will improve with the increase in the number of D2D pairs. The results show that system throughput with the

proposed algorithm outperforms random allocation scheme.

Game Theory Framework: The work [22] proposes a joint scheduling
905 method, power control, and channel allocation for D2D communication using
a game theory approach. A technique named Stackelberg game framework is
used, where cellular and D2D UE are grouped in a pair with a leader-follower
combination. The CU acts as a leader while the follower is the D2D UE who
purchases resources of the channel from the leader. The leader charges some
910 dues from the follower for channel usage. So, the D2D user chooses the optimal
power by utilizing the price given by cellular UE. The results imply that the
throughput performance for both the D2D and cellular UEs can be improved
with proposed method [137]. Utilizing the charging price, D2D UE needs to
select the best power to maximize its pay-out. The proposed approach analyses
915 the optimal power for the follower D2D UE and the fair price for the leader. If
the leader gives very low price, then the follower only buys maximum power.
The charges are fictional money to balance the system. The CU shares the
channel with the D2D UE, if it is profitable for CU by considering the channel
gains. However, the proposed algorithm is computationally complex and time
920 consuming.

Lagrangian Dual Decomposition: In [24], the authors propose a two-
phases based resource sharing algorithm for D2D communication. The first
phase determines the channel allocation for each D2D UE. QoS is considered
for both CUs and D2D UEs by defining a combined channel gain factor to
925 assign channels to each D2D UE. In the second phase, the Lagrangian function
is used to determine the optimal power for D2D UEs. Although, the system
performance is improved significantly by using the proposed algorithm, but it
is computational complex

Water-Filling Algorithm: Water-filling algorithm is used to allocate the
930 power for the subcarriers assigned to each separate D2D pair. The rule of water-
filling method is that the transmit power will be distributed among all assigned
devices [138]. The power allocation is proportional to the CSI of the D2D link
of the respective sub-carrier. In [24], a subcarrier allocation and power control

algorithm is addressed to acquire the better spectral efficiency by determining
935 the link between the subcarriers and a D2D pair, and the maximum power lim-
itation for each D2D pair. Each subcarrier is assigned with a certain D2D link
based on the channel state information (CSI) of all D2D links. The results show
that the spectral efficiency is much higher with the proposed algorithm as com-
pared to a random-based one. However, the interference between the D2D pairs
940 was not considered.

3.2.2. Machine Learning Techniques for D2D Communication

In case of absence of initial information, the problem of resource and power
allocation for D2D communication in cellular networks is solved using Machine
learning (ML) methods as proposed in [139, 140, 141] and presented in Table 5. In
945 reinforcement learning (RL), Agents (D2D transmitters) learn by themselves
from the previous achieved experience how to cooperate with the environment,
which is provided by a Q-value parameter and managed by a reward function.
Then, at each step, some actions should be performed. After executing each
action, the agent moves from one state to the next one and gives back a reward
950 that reflects the effect of the performed action, which supports improvement
and decision about the next action.

Cooperative Reinforcement Learning Algorithm: In [139], the au-
thors present a cooperative reinforcement learning (RL) algorithm for resource
allocation in D2D communication to improve the system throughput, also called
955 state action reward state action (SARSA). The cooperation is achieved by shar-
ing the value function between UEs and a neighboring factor. A set of actions
is considered based on the transmission power level of a particular resource
block. The reward function is defined by SINR and channel gains. The accu-
racy of the learning algorithm is increased by defining a set of states with a
960 suitable number of system-defined variables. Simulation results show that the
system throughput is improved with the proposed learning method as compared
to the distributed reinforcement learning method. The shared learning policies
between devices help to converge faster. D2D throughput is enhanced around

7% comparing with distributed reinforcement learning. A trade-off can be seen
965 in D2D and CU throughput by changing the transmit power; higher transmit
power will provide higher D2D throughput. It is also shown that D2D and
the system throughput will improve by increasing the number of D2D UEs but
cellular throughput decreases. **Every agent in reinforcement learning has the
following components [143]:**

970 **Policy:** It works as a decision making function for the agents. Remaining com-
ponents improve the decision making by supporting the policy.

Reward function: The ultimate goal of an agent is defined by the reward func-
tion. This supports to assign a value to the perform action, which specifies the
vital interest of the states.

975 **Value function:** The suitability of action selection for the long run is decided
by the value function.

Distributed Reinforcement Learning: The work in [140] suggests two
RL methods, team-Q and distributed-Q learning, to improve power control in
a D2D under-laying cellular network. In **the** team-Q learning algorithm where
980 all agents keep the same Q-value table. The complexity level of this approach
increases exponentially according to the increasing number of D2D UEs. A dis-
tributed Q-learning is introduced to solve this problem. Distributed Q-learning
breaks one big Q-value table in team-Q into several small tables. Now each
agent has its own local Q-value table. Actions are sampled constantly during the
985 learning process. So the Q-values in a table will only be updated once the next
Q-value is greater than **the** existing Q-value. The agents, states, and actions
also used for distributed-Q learning. Simulation results show that distributed-Q
learning converges the Q-value faster than team-Q learning algorithm. It can
also be seen that D2D throughput is more improved with distributed-Q learning
990 than team-Q learning, with the increasing number of D2D UEs.

Deep Learning: The authors of [142] suggested a distributed transmit
power allocation scheme using deep learning for every D2D UE. Each D2D trans-
mitter can decide its transmit power considering both the D2D throughput and
interference to **the** cellular system. D2D UE uses only its location to determine

Proposed Scheme	KPI	Network Architecture		Achieved Performance	Drawback
		Architecture	UL/DL		
Cooperative reinforcement learning algorithm [139]	Powercontrol, resourceallocation, energy efficiency and spectral efficiency	LTE	UL	D2D throughput is enhanced around 6.2% as compared to the distributed reinforcement learning	Computationally complex and expensive
Team-Q learning and distributed Q learning [140, 141]	Power control, QoS and spectral efficiency	LTE	UL/DL	System throughput is improved and convergence speed is enhanced	Computationally expensive
Proportional fair and heuristic algorithm [142]	Power control and energy efficiency	LTE	DL	Same throughput as compared to conventional method	Computationally complex and expensive

Table 5: Summary of ML schemes for centralized D2D communication in cellular network.

995 the transmit power to maximize the D2D throughput. Each D2D UE learns how to decide the transmit power to achieve the optimal system throughput based on locations considering the interference to BS. Deep learning is applied for the learning process using a cost function to meet the constraints. The cost function can be considered as a linear function to decide the appropriateness of output. The results show that the proposed method is appropriated to cover 1000 the edge users. However, it provides almost same throughout as compared to conventional methods by operating completely on distributed manner, and it is also computationally expensive.

3.3. D2D Communication in a Decentralized Mode

1005 For the first time in cellular network, D2D communication was introduced in LTE Release 12. D2D communication allows direct communication between two UEs. The term sidelink was introduced by the 3GPP for ProSe. In ProSe three different LTE D2D functionalities are defined, i.e., direct discovery, direct communication, and synchronization. Despite of establishing a communication link in ProSe, the direct discovery functionality permits the UEs to advertise 1010 and detect the services or devices. Without routing the data to base station, the communication functionality permits two UEs to communicate by establishing a direct link between them. However, the synchronization functionality gives

the required approaches to UEs to settle on mutual system information and is
1015 able to decode LTE sidelink transmission [90].

According to 3GPP, three scenarios are offered to operate D2D functional-
ities regardless of the network position of the UEs, i.e. in-coverage, partial cover-
age and out-of-coverage. In in-coverage scenario, the D2D communication is BS
assisted and can also use pre-configured parameters. In **the** out-of-coverage sce-
1020 nario, UEs use preconfigured parameters for D2D communication. Finally, the
partial coverage scenario is a combination of other two scenarios, where UEs
inside the coverage area share system information with those out-of-coverage
[143]. In Table 6, a summary of D2D communication in decentralized mode is
presented.

1025 3.3.1. Direct Discovery

Different service discovery strategies are described in [144]. In service dis-
covery process, UEs are allowed to advertise and monitor the services. Initial
devices are required to register to the ProSe function. After the registration, the
application layer permits the UEs to start or monitor the ProSe. A discovery
1030 signal is transmitted in discovery resources by advertising UEs. There are two
types of service **discovery** approaches, uncoordinated service discovery and coor-
dinated service discovery. **An** uncoordinated service discovery approach is not
assisted by the BS for monitoring the services. The monitor UE starts RF dis-
covery by blind decoding on all RF discovery resources. This approach requires
1035 significant undesirable processing and power consumption. In **a** coordinated
service discovery approach, a monitoring UE is assisted by ProSe function indi-
cating either if a service is offered or not in the specific area. For the advertised
service, the network gives the information about the RF discovery resources to
monitor the respective service. This approach is efficient but can only be used
1040 for the in-coverage scenario.

An enhanced algorithm is proposed in [145] to improve the discovery perfor-
mance by detecting the presence and removal of UEs by using dynamic config-

uration instead of static configuration. In a static configuration, each UE will
1045 keep the same record of other discovered UEs at the time they were discovered.
Furthermore, all the UEs use the initial transmission probability, and it will
be not updated according to the current situation during the whole discovery
process. The proposed algorithm uses a dynamic configuration where each UE
1050 processes the received announcements to check different transmission probabili-
ties and computes its own transmission probability, after the addition or removal
of UEs in the discovery group. The approach is known as dynamic configuration
due to the continuous upgrading of transmission probabilities. Presented results
show that the proposed algorithm can improve the accuracy and required time
for the discovery up to 15%.

1055 In [146], D2D discovery mechanisms for 3GPP are investigated, in particular,
w.r.t. energy consumption; in addition it is proposed a D2D discovery mech-
anism based on the concept of proximity area, i.e., a dynamic region wherein
UEs activate their D2D capabilities, enabling UEs to perform D2D discovery
only when there is a high probability to find other UEs for the same service.

1060 Furthermore, the authors in [147] discuss some key requirements and solu-
tions, including those regarding discovery, for enabling D2D communications in
LTE in order to meet public protection, disaster relief, national security, and
public safety services-related requirements. The contribution of the paper is
based on a clustering approach integrating cellular and ad hoc operation modes
1065 depending on the availability of infrastructure nodes.

3.3.2. Direct Communication

LTE sidelink communication has two physical channels [145]. the physical
sidelink shared channel (PSSCH), which carries the transmission data of UE and
the physical sidelink control channel (PSCCH), which carries the sidelink control
1070 information (SCI) message to detect and decode the PSSCH of a receiving UE.
A UE uses the PSSCH to send data to other UEs. First, a UE should advertise
the transmission using the PSCCH channel to send a SCI message that informs
the remaining UEs about the transmission occupying by the PSSCH resources

[148].

1075 • **PSCCH:** All control messages are sent twice in PSCCH in the same period with two different PRBs. The out-of-coverage UEs randomly choose PRB pairs from the PSCCH resource pool defined by the following pair of parameters: the number of subframes from the time domain, and that of PRBs from the frequency domain [149]. As two PRBs are used for each
1080 transmission, the number of accessible resources in the pool can be found as: number of subframes * [number of PRBs /2]. If the same PSCCH resource index is selected by two or more UEs, then their SCI messages will **interfere** with each other. If the SINR at the receiver UE is high enough, then it could be possible to decode one of the interfering messages. The
1085 message could also be lost because of the half-duplex nature of UE transmissions. SCI message could be missed by a UE from another UE if it utilizes its own SCI in the same pair of subframes. So, SCIs can be missed because of collisions or the half-duplex effect; UEs that **lose** the advertisements could not get the real sent data during the following occurrence of the PSSCH. However, the problem could be overcome if the PSCCH
1090 resource pool is appropriately dimensioned. In [150], the authors propose a scheme for out-of-coverage scenarios where the PSCCH resources can be selected by UEs autonomously. They did the distribution of UEs in **the** D2D category **that could** get a transmitted control message as a function of the PSCCH and the number of UEs in the group. This distribution is used to make performance metrics as the maximum number of UEs that could be supported above a preferred threshold for a given resource pool arrangement. The results show that arrangement of the resource pools has a vital impact on the performance. PSCCH performance could be enhanced by increasing the number of subframes. The transmission period is a ratio of the PSCCH to the PSSCH, so by decreasing the duration of the PSSCH will increase the length of PSCCH that will reduce the
1095 throughput.

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PSSCH: PRBs of PSSCH are periodically repeated after the PSCCH in the time domain. In PSSCH, the band of PRBs is distributed into N_{sb} sub-bands in the frequency domain, whereas the set of subframes is divided into multiple time resource patterns (TRPs) on the time domain and each TRPs has N_{TRP} subframes. OOC UEs randomly select the resources in PSSCH, so there could be interference between them. The collision impact could be resolved by the hybrid automatic **repeat** request (HARQ) process. UEs do not give feedback for each HARQ transmission over the sidelink even not for the successful transmission. A transmitting UE over the PSSCH sends four redundant versions (RVs) of data; each RV has the information and error correction bits [149]. However, the HARQ mechanism will increase the time response and also decrease throughput. It is observed in [151] that increasing the number of sub-bands in the PSSCH enhances the probability **of** decoding the transmitted message of a UE up to 7%, but this decreases the throughput. It is also seen that the value of k_{TRP} (number of subframes for each TRP utilized by UEs to send data) has a crucial influence on performance. The probability of decoding the message of a receiving UE increases with the lower values of k_{TRP} , but also the throughput is reduced.

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The D2D frequency hopping resource scheduling on PSSCH is extended over the LTE Uplink as described in [149]. Frequency hopping is divided into two types. The first type is constant frequency hopping and the second type is pseudo-random frequency hopping. Constant frequency hopping determines the starting resource block for the transmission occurring in odd or even sub-frame indexes using two predefined formulas from the standard. Pseudo-random frequency hopping for the resource schedule assignment is performed with a predefined pattern calculated by a pseudo-random generated binary sequence, a set of equations defined by 3GPP standard in [152]. It is shown in [149] that frequency hopping improves the LTE D2D communications by about 20% with a single link, while the results obtained from sidelink group communication reveal

a limited performance enhancement when enabling the frequency hopping over
1135 the standard no-hopping sidelink schedule assignment. This is due to lack of re-
source scheduling coordination in out-of-coverage scenario and the interference
between UEs. Overall constant hopping slightly outperforms pseudo-random
hopping.

In [153], the authors analyzed the effect of various configuration settings of
1140 unsupervised D2D communication on system performance. The impact on dif-
ferent parameters, such as reliability and latency, is observed using a simulation
approach by varying the PSCCH to PSSCH ratio. As HARQ process transmits
the same data four times even packets are transmitted successfully because there
is no feedback system. Thus, the re-transmission process is improved by adding
1145 the transmission probability to the HARQ process. Every re-transmission X is
achieved with a probability $P(X)$. It is shown that the reliability is increased
with increasing HARQ probability and it is maximum for 100% HARQ proba-
bility (conventional HARQ process). But it can also be seen that the reliability
is improved with more number of nodes even with lower HARQ probability. It
1150 is also analyzed that latency is reduced by decreasing the HARQ probability
which is desirable for the highly loaded network. While reliability decreases
with smaller PSCCH to PSSCH ratio because smaller PSCCH periods enhance
communication overhead but increase the interference between the UEs.

3.3.3. Synchronization

1155 Synchronization helps to establish effective sidelink communication and dis-
covery. UEs are required to coordinate in frequency and time domain, and
they must settle on the same system information utilized in the communica-
tion procedures such as subframe indication, bandwidth, etc. Therefore, the
same synchronization reference (SyncRef) must be followed by two UEs. The
1160 BS is responsible for providing the SyncRef for in-coverage UEs. While for
out-of-coverage UEs, predefined parameters are utilized for the synchronization
process between UEs to settle down on shared SyncRef. A transmitting UE in
the Sidelink communication becomes a SyncRef in an out-of-coverage scenario.

Proposed Scheme	KPI	D2D Scenario	Achieved Performance	Drawback
Uncoordinated service discovery [144]	Direct discovery	Out-of-coverage Partial coverage	Able to discovered the services	Undesired processing Power consumption
Enhanced discovery algorithm [145]	Direct discovery	Out-of-coverage Partial coverage	Detected the withdrawal of the UEs. Accuracy of the discovery, and the time required for discovery is improved up-to 15%	It is not autonomous to tune the parameters depending on the group size
PSCCH resource pool arrangement [150]	Direct communication Synchronization	Out-of-coverage	Same throughout as compared to conventional method	Throughput could be Reduced
PSSCH resource pool arrangement [151]	Direct communication	Out-of-coverage	Increased the transmission probability up to 11 %	Throughput could be Reduced
Frequency hopping resource scheduling [149]	Direct communication Synchronization	Out-of-coverage Partial coverage	Improved the reliability upto 20 %	Performance could be decreased by increasing the number of UEs
Enhanced HARQ process [153]	Direct communication	Out-of-coverage	Improved the reliability and latency up to 9%	Able to increase the Interference

Table 6: Summary of D2D communication in decentralized mode.

After becoming a SyncRef, it sends sidelink synchronization signals (SLSS) periodically for sharing its synchronization info. SLSS use one time domain sub-
1165 periodically for sharing its synchronization info. SLSS use one time domain sub-frame and the six central RBs from the frequency domain. The periodic length of SLSS is 40ms [111].

An SLSS signal is further categorized into four elements as the primary sidelink synchronization signal (PSSS), the secondary sidelink synchronization
1170 signal (SSSS), the demodulation reference signals (DMRS), and the physical sidelink broadcast channel (PSBCH). The PSSS and SSSS are operated for frequency and time reference; together they identify the SyncRef by encoding the SLSS identifier (SLSSID). SLSSID has two subsets: the first is dedicated to the identification of the SyncRefs for in-coverage situations and the second is reserved for the out-of-coverage scenario. The PSBCH has the master information block-SL (MIB-SL), which carries the systematic information required for the arrangement of the synchronizing UE. The DMRSs have the information of the receiving UE for channel approximation, demodulation of the PSBCH, and measurement of sidelink reference signal received power (S-RSRP). The S-RSRP
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1180 has the strength information of the SyncRef signal[111].

3.4. Network Layer

Historically, at the network level routing was realized by conventional technologies such as mobile ad hoc networks (MANETs) and wireless sensor networks (WSNs). Emergency MANETs (eMANETs) are deployed in emergency cases to provide communication for emergency workers with intelligent devices such as smartphones and personal digital assistants (PDAs) [154]. Recently, integration of UAVs in D2D/MANETs for efficient routing named as UAV-NETs is proposed [155]. The authors in [156] provided a comprehensive survey of multi-hop routing protocols for different classes of MANETs and the integration of networking technologies for disaster response scenarios. Similarly, [157] highlighted the merits and demerits of MANETs and delay tolerant networks (DTNs) and further presented the case of integrated MANET and DTN for improving the performance in dynamic environments. This survey also highlights the lack of realistic simulations models for disaster environments. Kawamoto *et al.* present the case of hybrid MANET and DTN implementation [158]. However, a single technology is not able to provide a complete solution. Therefore, a fusion of MANET based technologies such as MANETs, vehicular ad hoc networks (VANETs), flying ad hoc networks (FANETs), WSNs, and DTNs are the suitable choices. The authors in [159] reiterate the fact that most of the research work is simulation-based. However, they have tried to present details of the real experimental work in this domain and concluded that it is feasible provided the interoperability issues are resolved. In [160], a survey of routing algorithms and mobility models proposed for MANETs, DTNs, VANETs, WSNs for communication under disaster scenarios is presented. It also highlighted the challenges, gaps between applications, protocols evaluations and mobility models. In another effort, dynamic routing in FANETs is discussed in detail for the case of self-organizing wireless networks [161]. The success of any networking technology is also dependent on the underlying access technology. These days, user devices are equipped with multiple access and network technologies that

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1210 allow these to communicate on multiple interfaces. This makes it more feasi-
ble to enable and facilitate D2D communication. D2D communication allows
I) Single hop communication, II) Two hop communication, and III) Multi-hop
communication. Single hop communication is the basic mode of communication
and D2D standards support for two and multi-hop communications **through**
1215 relays. There are multiple uses of relays in multi-hop communications such as
range extension, connectivity with infrastructure, and other devices. Multi-hop
D2D communication is an important feature and requires coordination between
multiple nodes. The coordination between nodes can be achieved through rout-
ing protocols and gives rise to a new routing paradigm called multi-hop D2D
1220 routing.

3.4.1. Multi-hop D2D Routing for PSN

The authors of [162] gave a very comprehensive survey on multi-hop D2D
routing. They have presented a taxonomy of the D2D communications systems,
classification of routing protocols, application areas, comparative analysis, and
1225 future directions. The survey classified multi-hop routing into three main cat-
egories I) multi-hop device to infrastructure (D2I) and infrastructure to device
(I2D) communication, II) Multi-hop D2D communication and III) Ad hoc rout-
ing for D2D networks. Multi-hop D2D devices operate in two modes. In the
first one, a BS, or another central entity, controls the routing decision, while,
1230 in the second one devices operate in a distributed manner. The broad cate-
gories identified by the authors for base station dependent multi-hop D2D rout-
ing protocols are incentive-based, security-based, content-based, location-based,
and topology-based. Similarly, the broad categories for D2D ad hoc routing are
incentive-based, topology-based, QoS-based, security-based, device-aware, and
1235 multipath coding based. In addition to the above classification, routing pro-
tocols can be further classified as **reactive**, **proactive**, **hybrid**, and **adaptive**.
Based on more recent trends in multi-hop D2D routing a few new classifica-
tions are possible: mm-wave D2D multi-hop routing (for spectrum efficiency),
cluster based multi-hop routing (for load balancing and energy efficiency) and

1240 social aware multi-hop routing (for reducing the overhead and improve energy
efficiency). The important factors affecting multihop D2D routing are node mo-
bility, dynamic network topology, and network fragmentation. Multi-hop D2D
routing can further benefit from advanced techniques such as software-defined
networking (SDN) and network function virtualization (NFV).

1245 3.4.2. Software-Defined Networking for PSN

In SDN, the control plane is decoupled from the data plane. The control
plane is responsible for monitoring flows and managing the resources of the
network. The control plane has a broad view of the network topology and
can be used for the dynamic allocation of resources [163]. Initially, SDNs were
1250 widely used for wired networks. However, recently, an increasing interest in
deployment of SDNs for distributed and wireless networks has been observed
[164, 165]. This is mainly due to the flexibility of deployment offered by SDNs.
Most of the current SDN based research is mainly limited to proposals, ar-
chitectures, and frameworks. A survey of recent SDN related efforts in wireless
1255 networks such as cellular, sensor, mesh, and home networks is presented in [165].
It highlights the advantages of using SDNs and discusses further opportunities
to improve the performance of wireless networks. The report [166] proposes the
integration of modern technologies such as LTE with networking technologies
like SDN and NFV for the PSNs. As per the studies conducted on earthquakes,
1260 the features of these networking technologies are suitable because of rapid de-
ployment, reliability, security and resilience. It further summarizes the features
of the frameworks published in the literature. In Table 7, a summary of main
SDN architectures and frameworks is presented.

SDNs play an important role in providing central management to the D2D
1265 clouds. They make use of the global information such as link quality, battery
life, routing, etc. of all the devices available. They also control device registra-
tion, authentication and provide information about reliable connections. This
hierarchical architecture is well suited for public safety applications where in-
frastructure is partially or totally damaged [82]. A wireless network architecture

SDN Architecture Framework	Features	Achievement	SDN Controller
D2D-SDN [167]	Data packets sent in more flexible and efficient way	Hierarchical control plane for scalability and reduce communication overhead	Centralized global controller and multiple local controllers
VARP [168]	Better security, lower routing overheads, and higher scalability	Centralized and distributed	Main SDN controller to manage sub SDN controllers
HSAW [169]	Splitting of network control and data forwarding by two separate frequency bands	Demonstrates the advantage of hybrid architecture, offers better scalability and reliability	Centralized SDN controller
EHSD [170]	QoS parameters, handover mechanism, security and coverage area	Results in better QoS compared to legacy LTE, improved security	SDN controller, L7 switch, open flow controller and security is provided in user side
SEANET [171]	Energy harvesting, separate energy plane	Improves data traffic by reducing packet loss, energy saving by optimizing energy utilization	SD data and energy controller
Softnet [172]	Coverage and low decentralized mobility management	Low signalling overhead compared to LTE NA	Network controller consisting of SDN controller and Virtual Network Function (VNF) orchestrator
CROWD [173]	MAC layer reconfiguration, dynamic backhaul reconfiguration	Reduced signalling overhead	Regional controller and Local controller
SoftPSN [174]	Resource slicing, reliability and low latency	Priority based resource slicing to accommodate first responders	Virtual resource controller

Table 7: Summary of SDN Frameworks

1270 that exploits multi-hop D2D controlled through SDN controller to provide effective and efficient communication between devices is presented in [175]. Usman *et al.* [176] proposed a hierarchical architecture composed of several domain controllers being monitored by a central controller. The central controller dynamically allocates resources and thus reduces the energy consumption and
1275 signalling.

D2D-SDN is a hierarchical SDN based architecture [167]. It uses two tier centralized controller to derive the network topology with the help of connectivity and conflict graphs. MAC performance of the architecture against time division multiple access (TDMA) and carrier sense multiple access (CSMA) is also
1280 demonstrated through a prototype. Virtual ad hoc routing protocol (VARP) [168] framework provides multi-hop D2D routing as an extended service to the cellular networks through routing virtualization and SDN. VARP has the main SDN controller and sub-controllers for each cell to allow scalability, indepen-

Proposed Scheme	KPI	D2D Scenario	SDN Controller	Achieved Performance	Drawback
SD-MANET [177]	Overhead, throughput, delay, packet delivery ratio	Ad Hoc	SDN controller on one of the nodes, local controllers on other nodes	Low routing and communication overhead, low average end to end delay, proactive routing	Not suitable for large networks
VARP-S [168]	Overhead, energy consumption	Multi-hop D2D	Main SDN controller responsible for sub controllers in BS in each cell	Source based scalable routing	Optimization of power control, traffic classification strategy, metric measurements
HSAW routing [168]	Overhead, energy consumption	Hybrid(multi-hop D2D and cellular)	Sub controller need to exchange information	N/A	Higher overhead due to sub controller information exchange
LODR [175]	Convergence time, Overhead	5G, data plane multi-hop D2D	Central OpenFlow controller supported by OpenFlow switch function at UEs	Low routing overhead, hybrid reactive and proactive approach	Single central controller
FINDER routing [178]	Overhead ratio, delivery probability, average residual energy	D2D coverage extension	SDN controller at core network	Reduced energy consumption in routing and increased network lifetime, hybrid ant colony optimization	Single SDN controller
SDN routing [179]	Hop count, residual energy	Ad hoc	Central controller	Better performance in terms of hop count and end to end delay	Single controller is not suitable for high node density

Table 8: Summary of SDN based D2D Multi-hop Routing Protocols.

dence and intelligent decision forwarding. Each UE can use both LTE and Wifi
1285 bands and acts as an end-user of the main network and a forwarding node for the
controller. VARP-based source (VARP-S) performs topology discovery, route
discovery and route maintenance using modified control packets. For perfor-
mance comparison, a modified version of Hybrid SDN Architecture for WDNs
(HSAW) [169] proposed earlier is used. This protocol has shown the advantage
1290 of using a hybrid architecture over centralized and distributed architectures. An
architecture based on the integration of SDN and short-range UEs to achieve
reliability and low latency is presented in [174]. This creates a virtual network of
available resources and applies a resource slicing algorithm. There is a provision
to use priority-based resource slicing for the cases when the traffic load is too
1295 high. An architecture using the SDN controller nearby base stations to extend
coverage to dead nodes is proposed in [178]. This is possible as the SDN con-
troller is at the core and can better monitor network issues. This also proposes
a flow based routing using relays to extend coverage in the dead spots. The UEs

acts as relay nodes, therefore, it is important to take into account their limited
1300 energy and computational resources. Software-defined energy harvesting IoT
(SEANET) is a proposed architecture that takes into account energy issues of
the network [171]. In addition to data and control plane, it introduces an energy
plane for efficient energy utilization. This uses a central controller which also
considers energy situation of the nodes. CROWD [173] presents a flexible ar-
1305 chitecture for dense networks that facilitates reconfiguration of wireless devices
and links. This uses two-tier hierarchical SDN controller named local controller
(for fast and short time decisions) and regional controller (for slower and long
time decisions).

The authors in [179] proposed an SDN based routing protocol for wireless
1310 multi-hop networks. The centralized controller has a broad view of the whole
network and can make better routing decisions compared to AODV and OLSR
when realized in simulations. The OpenFlow controller is located outside the
core wireless network and connected to UEs via OpenFlow switch component.
A low-overhead D2D routing (LODR) is proposed and compared with other
1315 protocols in terms of convergence time and overhead. In [177] the authors have
presented a centralized SDN based proactive routing protocol SD-MANET for
MANETs. The SDN controller learns the network topology without location
services and the performance is much better compared to OLSR for a network
of 50 nodes. Clustering is a suitable option for large networks.

1320 Software-defined decentralized mobile network (SoftNet) is an architecture
that proposes a natural alliance of SDN and 5G. SofNet shows better perfor-
mance compared to legacy LTE. 5G networks allow the use of different devices
and protocols, therefore, mobility of these devices in different segments of the
network is unavoidable. SoftNet mainly suffers from coverage and device han-
1325 dover issues. Exemplary handover scheme during (EHSD) D2D communication
based on decentralization of SDN is a framework that combines D2D communi-
cation and 5G vertical handover. Handovers can introduce delays and security
issues. EHSD uses OpenFlow controller to reduce delay, L7 switch to support
load balancing and has a provision for security. The cost of enabling handover

1330 is extra signalling overhead. A summary of SDN based routing protocols is
presented in Table 8. This section has provided a detailed description of the
communication technologies supporting D2D communication. In the next sec-
tion, we introduce various channels models in the context of D2D communication
including UAVs.

1335 3.5. Jamming in *Mobile Networks*

With the term jamming, it is denoted any transmission activity that is in-
tended to have explicitly a negative impact on the communication between one
or more legitimate transmitters and one or more legitimate receivers. It is also
clear that the wireless propagation environment is inherently more vulnerable to
1340 malicious attacks, as intentional or unintentional jamming signals or also passive
eaves-dropping for data interception. In the reference scenario of this survey,
the systems involved in the scenario are (i) the base stations still active in the
network, (ii) the terminals on the ground and (iii) the UAVs acting as tempo-
rary base stations or relays towards and from the network as described in our
1345 reference scenario; the technology is again LTE and Sidelink, with a particular
emphasis on PSNs.

In the context of terrorist attacks, jamming techniques can be part of the
terrorists' strategy and/or of the public authorities in order to disturb the ter-
rorists' communications and/or take control or limit the access to the network
1350 infrastructure. From these two perspectives, we can state that jamming is one
of the technical challenges to be faced in the context of PSNs in presence of
terrorist activity.

1. Terrorist strategy:

- causing the network failure for preventing persons involved in the area
1355 from calling and communicating in order to increase the advantage
in terms of time and effectiveness w.r.t. public authorities;
- decreasing the communication capability of the public authorities and
first responders;

1360 • preventing the use of machines, including UAVs, controlled by remote devices.

2. Public authorities strategy:

- decreasing or nullifying the communication capability of the terrorists in order to decrease their **effectiveness**;
 - preventing the use of controlled devices, including UAVs, and possibly containing explosives or dangerous items.
- 1365

Jamming can operate thanks to techniques applied to different physical and transport channels of the attacked network; for a general introduction to this area, the reader can refer to [180, 181] for an introduction and classification of jamming and anti-jamming techniques in wireless networks. **Concerning the** terminology useful for the sequel of this section, we can distinguish among (i) *elementary jammers*, which are blind interfering signals transmitted without considering the nature of the network and its possible defenses, and (ii) *advanced jammers*, capable of more sophisticated strategies; elementary jammers can be further classified as *proactive*, operating independently from the network activity, or *reactive*, which transmit only after detecting a radio communication on the channels to be attacked. On the other hand, advanced jammers are typically capable of adapting to the behaviour of the attacked network, with enhanced functions designed according to specific protocols and system specifications and possibly more sophisticated capabilities of intercepting the control channels of the network in order to increase the probability of causing a service outage. **In fact, from a performance point of view, the jamming techniques can be analyzed and compared w.r.t. several metrics: the energy efficiency, the jamming-to-signal ratio, the probability that the attack is detected and the impact on the network in terms of packets sent and delivered during the attack.**

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1385 In terms of general articles, moving closer to the LTE interface, we mention the tutorial in [182], which analyzes the physical layer resilience of orthogonal frequency division multiplexing (OFDM) communications, considering elementary noise-like forms of jamming, energy-efficient jamming attacks, and possible

countermeasures. Then, in the context of the evolution towards the fifth generation, in [183] it is possible to find a methodology for classifying the attacks to security in mobile phone networks with its application to the digital network generations; in particular, the analysis is exploited for proposing defenses and suggestions for the 5G specifications, with the final aim of increasing the protection of users privacy and network resistance even in multi-operator scenarios. In [184], after an overview of the security vulnerabilities and threats in wireless systems as Bluetooth, WiFi, WiMAX, and LTE, it is presented the state of the art of physical-layer security, which is a set of techniques for protecting wireless communications at the physical layer: several techniques are reviewed and compared w.r.t. the presence of different jamming attacks and it is also discussed the integration of physical-layer security into the current authentication and cryptography mechanisms. Finally, in the same context of physical layer security, in [185] it is observed that the cooperation among the legitimate devices in a network can significantly enhance the security w.r.t. an uncoordinated scenario; so the paper provides a survey of works on cooperative relaying and jamming techniques for securing wireless transmissions against eavesdropping nodes, which attempt to intercept the transmissions. Then, the challenges of cooperative security are discussed, including their application to device-to-device communication.

In the sequel, we divide the review of the recent literature (mainly since 2016) into three parts, (i) jamming techniques against LTE and PSN, (ii) techniques for contrasting jamming in LTE and PSN and (iii) jamming techniques against UAVs operations. These topics have been knowing a growing interest also due to the development of the 5th generation mobile network.

3.5.1. Jamming Techniques in LTE and PS Networks

The studies in this field can be divided primarily into contributions on the analysis of LTE vulnerability to jamming signals and the proposal of anti-jamming techniques. In this section, we are interested in the former group, with an emphasis on the contributions of PSNs. In general, LTE appears vulnerable

both in uplink and downlink, especially in the synchronization and reference
1420 signals.

Vulnerability of LTE Physical Layer: in [186], it is investigated the vulnerability of all the physical layer channels to RF jamming, spoofing and sniffing, with an assessment of different threats; LTE appears highly vulnerable to jamming and, in particular, the weakest links are identified as the
1425 PSS (primary synchronization signal), PUCCH (physical uplink control channel), PCFICH (physical control format indicator channel), and PBCH (physical broadcast channel). In [187], it is possible to find results from practical laboratory tests, performed for measuring the jamming margins of LTE physical layer w.r.t. several jamming techniques. Then, in [188], the LTE downlink is ana-
1430 lyzed w.r.t. each subsystem in order to identify the weakest parts of the system: the experimental results, obtained with an open-source system and a synchronized protocol-aware jammer, show that the synchronization signals (PSS/SSS) are robust while the weakest ones are the cell specific reference signals (CRS), at least under these test conditions. On the other hand, also [189] confirms
1435 that the impact of pseudo-CRS signals can be really severe on the performance of channel estimation and consequently on data demodulation. Then, also in [190], it is provided a set of measurements obtained with a software downlink LTE implementation, showing the high vulnerability of the system, especially for protocol-aware attacks, like those designed for RS (reference signals or pi-
1440 lots) and PCFICH signals. In the uplink (in particular the physical uplink control channel or PUCCH, the physical uplink shared channel or PUSCH and the access channel or PRACH), [191] and [192] provide useful results for understanding the critical points of the system, which appears fragile especially w.r.t. requirements of critical missions and infrastructures. The weakness of
1445 the uplink is confirmed also in [193], where the single carrier-frequency domain multiple access, used in the LTE uplink, is tested w.r.t. advanced jamming techniques towards the synchronization and channel estimation processes, so by means of attacks on the cyclic prefix and pilots in the signal slots.

Mission-Critical Communication: in [194], several test cases are defined

1450 and performed on the LTE subsystems involved in the mission-critical commu-
nications; the experiments confirm that one of the weakest **points** of the system
is constituted by the synchronization signals. It is also discussed a method for
detecting the specific radio frequency attack in order to be able to mitigate its
impact.

1455 **Evolution to the 5th Generation:** In [195], it is presented a study on
the impact of jamming when the interference is localized on non-pilot blocks,
which cause the pilot-aided channel estimates to be inaccurate; in addition, the
results also show that this kind of attack can severely compromise low-latency
1460 other **ultra-reliable low-latency** applications. Then, in [196], it is analyzed the
vulnerability of 5G to jamming and spoofing and some mitigation strategies are
proposed; the weakest links appear to be the PSS and PBCH channels even if,
compared to LTE, 5G NR seems definitively less vulnerable to jamming, also
because of the removal of sparse control channels like the PCFICH.

1465 3.5.2. *Anti-jamming Techniques for LTE and PS Networks*

In this section, we are reporting the contributions that are more focused
on the techniques for contrasting jamming attacks in LTE networks, including
PSNs.

Protection of LTE Physical Layer: in [197] it is considered the deploy-
1470 ment of LTE in both licensed and unlicensed bands; the paper analyzes the
effect of RF spoofing affecting devices during the initial cell selection process, a
serious threat for uncoordinated unlicensed bands **and** licensed bands interfered
by intentional jammers, and it proposes some mitigation techniques compatible
with LTE networks. In [198], the authors propose some mitigation techniques
1475 for addressing the LTE weakness related to the jamming of control channels dur-
ing the cell selection process. Moving to LTE for PSNs, in [199], it is presented
an algorithm for timing synchronization, cell identity detection, and carrier fre-
quency offset estimation that is robust against partial-band interference and/or
jamming, thanks to a proposed, appropriate adaptive filtering of the jamming

1480 signal from the PSS. Then, in [200], it is observed how LTE networks resilience decreases under wideband multipath conditions; in this scenario, it is proposed an algorithm based on game theory for combating smart jamming attacks.

Jammer-Type Estimation: part of the techniques for mitigating the impact of jamming involves the necessity of detecting the attack and its type. In 1485 [201], a mechanism is proposed and validated for helping the network to estimate the type of jammer and computing a repeated-game strategy conditioned on this estimate; interestingly, the mechanism is autonomous since it does not require explicit feedback from the network users.

Physical Layer Security: in [202], in order to respond to the weakness 1490 of D2D communications to jamming, data modification and privacy violation, solutions based on the application-layer and physical-layer security are proposed and validated.

3.5.3. Jamming in UAV Communications

In the recent literature, there is a wide selection of papers concerning the 1495 impact of jamming signals on the control of UAVs and several proposed countermeasures.

Jamming Impact: in [203], there is a study on the impact of jamming signals in the spectrum used for piloting signals, in particular for evaluating the efficiency of commercial LTE signal jammers as a countermeasure against 1500 the LTE UAVs. On the contrary, in [204], it is considered a scenario where the link between a legitimate ground user and a UAV is subject to **several** eavesdroppers UAVs; the proposed model and analysis provides an insight on the secure connection probability w.r.t. several parameters and propagation conditions.

1505 **Anti-jamming Techniques:** among the countermeasures against jamming and eavesdropping, in [205] it is studied a secure millimeter wave (mmWave) communication assisted by multiple UAV-enabled relays and jammers; one peculiarity of the study is that a cooperative jamming scheme, generated by a part of the UAV relays, is designed to degrade the eavesdropping channels and

1510 enhance physical layer security. Then, in [206], the physical layer security mechanisms for the two case studies of a UAV as a flying base station and a UAV as an aerial node are investigated. In [207], it is proposed and studied a power allocation strategy for UAV transmission based on reinforcement-learning, in order to resist smart jamming attacks without knowing their type and the channel
1515 model in the dynamic game. The numerical results show that the proposed strategy can suppress the attack motivation of subjective smart attackers and increase the secrecy of the UAV communication. In [208] it is considered a scenario where ground devices can learn how to contrast intelligent jamming attacks coming from UAVs using the application of deep Q-networks; the problem
1520 is formulated as a dynamic game, which is analyzed and simulated. In [209], the work is devoted to a novel detection and response scheme, which operates at the UAV and ground station for detecting malicious anomalies in the network. The numerical results show that the proposed scheme has remarkable attack detection probabilities for the most known cyber-attacks for UAV networks,
1525 including jamming.

Beamforming: in [210], it is considered the problem of fast-moving jamming, which constitutes a real challenge for UAVs. Therefore, it is developed a robust adaptive beamforming technique capable of enhancing the navigation signal and suppressing the jamming efficiently.

1530 **Trajectory design:** an interesting research line has been considering the design of optimal trajectory and other parameters for contrasting efficiently jamming. In [211], it is proposed a maximization function for the average secrecy rate by jointly optimizing the UAV trajectory, transmit power in the presence of an eavesdropper, and avoiding specific no-fly zones. The sub-optimal solution allows an efficient computational implementation despite the general high
1535 complexity of this kind of problem. In [212], the scenario is constituted by an UAV under the threat of a malicious jammer; it is proposed a joint power and trajectory optimization method based on a game theory approach. Then, in [213], a tracking algorithm for a legitimate UAV is proposed to track the
1540 trajectories of some suspicious UAVs, by using eavesdropped packets, angle-of-

arrival and received signal strength. Finally, in [214], the optimization of a UAV flight trajectory for a relay communication system in presence of a jammer is investigated; a performance gain is achieved by optimizing the UAV path for maximizing the signal-to-interference-plus-noise ratio of the link.

1545 **GNSS jamming:** also the global navigation satellite systems (GNSS) can be subject to jamming, causing problems to the UAVs flight under control during GPS jamming. In [215], it is analyzed the impact of off-the-shelf GPS jammers to UAVs and a countermeasure is described, implemented and tested in realistic conditions.

1550 4. Channel Models Proposed for D2D Communication

The architecture proposed for the COUNTER TERROR project, depicted in Fig. 4 shows the three different channel models that are required to obtain realistic performance: i) the channel between two D2D users, given in [87]; ii) the air-to-ground (A2G) channel between UAV and ground user, defined in [216]; 1555 and iii) the UAV-to-UAV or air-to-air (A2A) channel, studied in [217]. The channel model characterization is done by defining large scale parameters (LSP) and small scale parameters (SSP). While the LSP include path-loss and shadowing effects, the SSPs take into account angular spread, delay spreads, and the Rician factor. To model these parameters, three different channel approaches 1560 can be adopted: stochastic, map-based, and hybrid. In the stochastic-based approach, the parameters are characterized by the data obtained using channel measurement campaigns. In the map-based (or deterministic) approach, environments are simulated using a radio propagation or ray-tracing software to obtain the channel parameters. The Hybrid-based approach is a mixture of the 1565 two, where LSPs are calculated using the map-based approach and the SSPs using the stochastic ones [218].

There exist surveys pertaining to channel characterizations for A2G, A2A, and D2D. In [219], the results of measurement campaigns are described for narrow and wide-band channel sounders, IEEE 802.11 transceivers and cellular-

1570 connected UAVs operating at either unlicensed frequency bands or respective
bands according to the considered technology. Further, measurement results
were shown for A2G and A2A characterization with LSP and SSP. In this area,
reference [220] describes the difference and analysis of A2A and A2G aeronau-
tical and UAV channel fading statistics, where aeronautical channels are clearly
1575 characterized by a flight altitude that is much higher than the UAV one, espe-
cially when UAV is used as a low altitude aerial platform (LAP). Then, a list of
civil aircraft and UAV channel modeling campaigns is provided along with the
link budget, channel impulse response metric, antenna diversity, spatial mul-
tiplexing and MIMO characteristics over rural, urban, and sea environments.
1580 Another relevant survey [221] describes in detail the impact of multipath chan-
nel propagation effects, including scattering and Doppler effects, in different
types of environments. Furthermore, antenna configurations, channel sounding
waveforms, effects of elevation angles are extensively elaborated. These surveys
on A2G and A2A channels also provide open challenges in channel modeling for
1585 UAV aided wireless networks.

About D2D channel models, in [222], a comprehensive overview of the state-
of-the-art D2D channel research is provided for different scenarios with a dis-
cussion on the associated parameters. Later, prevalent channel models were
reviewed and their feasibility in D2D scenarios was assessed.

1590 In the sequel, we present the details of the models elaborated and adopted
in the COUNTER TERROR project.

4.1. D2D-to-D2D Channel Model

The channel models defined by 3GPP for the link between D2D users were
obtained from the stochastic approach and are classified for three different sce-
narios [87]. **Outdoor-to-Outdoor:** for this scenario the path-loss is modeled
as

$$PL_{tot}(d) = \max\{FSPL(d), PL_{B1}(d)\}, \quad (1)$$

where $\max(\cdot, \cdot)$ chooses the maximum of its two arguments, d is the distance, in meters, between the UEs establishing D2D communication, which is used to calculate both $\text{FSPL}(d)$, the free space path-loss, and $\text{PL}_{B1}(d)$, the path-loss in dB for Winner **and** channel model [223] in the urban microcell layout. The FSPL is computed as [224]

$$\text{FSPL}(d) = 20 \log_{10}(d) + 46.4 + 20 \log_{10} \left(\frac{f_c}{5} \right), \quad (2)$$

where f_c is the system frequency in GHz. Similarly, PL_{B1} is modeled as **follows**:

- $\text{PL}_{B1}(d) = (44.9 - 6.55 \log_{10}(h_{UE})) \log_{10}(d) +$

$$5.83 \log_{10}(h_{UE}) + 16.33 + 26.16 \log_{10}(f_c) \quad (3)$$

for f_c in the range 0.45 – 1.5 GHz;

- $\text{PL}_{B1}(d) = (44.9 - 6.55 \log_{10}(h_{UE})) \log_{10}(d) +$

$$5.83 \log_{10}(h_{UE}) + 14.78 + 34.97 \log_{10}(f_c), \quad (4)$$

for f_c in the range 1.5 – 2 GHz;

- $\text{PL}_{B1}(d) = (44.9 - 6.55 \log_{10}(h_{UE})) \log_{10}(d) +$

$$5.83 \log_{10}(h_{UE}) + 18.38 + 23 \log_{10}(f_c), \quad (5)$$

for f_c in the range 2 – 6 GHz.

In the equations h_{UE} is the height of the UE above the ground, usually taken as 1.5 m.

1605

Indoor-to-Indoor: the path-loss modeled for this scenario is taken from [225],

$$\begin{aligned} \text{PL}(d_{OI}) &= 38.46 + 20 \log_{10}(d) + 0.7d_{OI} + \\ &+ 18.3n^{((n+2)/(n+1)-0.46)} + qL_{iw}, \end{aligned} \quad (6)$$

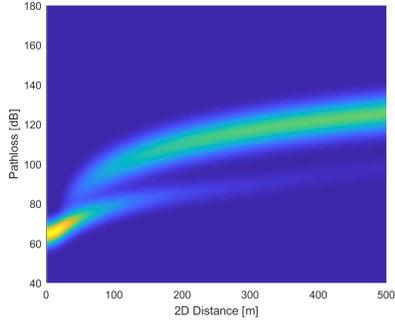


Figure 11: Path-loss statistical modelling for the case of BS height equal to 25 m and UE height set to 1.5 m, operating frequency 1.9 GHz. A clear separation between LOS and NLOS conditions can be seen.

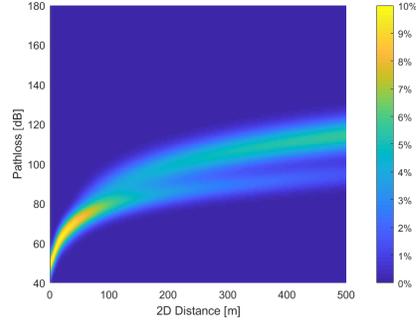


Figure 12: Path-loss statistical modelling for the case of BS height equal to 25 m and UE height set to 20 m, operating frequency 1.9 GHz.

where d_{OI} is the distance inside the house, n is the number of penetrated floors, q is the number of walls separating apartments between the two UEs and L_{iw} is the penetration loss of the wall **separating apartments**, which is 5 dB.

Outdoor-to-Indoor: in this scenario, the model for path-loss is obtained from [225] as

$$PL(d) = \max \{ 15.3 + 37.6 \log_{10} d, 38.46 + 20 \log_{10}(d) + 0.7 d_{OI} + 18.3 n^{((n+2)/(n+1)-0.46)} + qL_{iw} + L_{ow} \}, \quad (7)$$

1610 where L_{ow} is the penetration loss of an outdoor wall, which is 20 dB.

Furthermore, other channel parameters such as LoS probability and coefficient generation for fast fading effects are reported in [226]. The shadowing correlation is assumed to be independent and identically distributed, with a standard deviation of log-normal shadowing as 7 dB for outdoor-to-outdoor and 1615 outdoor-to-indoor scenario. For indoor-to-indoor scenario, 3 dB standard deviation is taken for LoS, 4 dB for NLoS and 10 dB if UEs are in different buildings, as reported in [87].

4.2. Air-to-Ground Channel Model

The A2G channel model between UAVs and UEs, where UAVs act as low-altitude aerial platforms, can be inferred from [227, 228]. This channel is different from terrestrial and satellite links. The authors here have used the map-based approach and used the close-in reference path-loss model to characterize the path-loss effect. This model is given by

$$PL_{LOS}(d)=20\log_{10}\left(\frac{4\pi d_0}{\lambda}\right)+10\eta_{LOS}\log_{10}(d)+X_{\sigma,LOS} \quad (8)$$

and

$$PL_{NLOS}(d)=20\log_{10}\left(\frac{4\pi d_0}{\lambda}\right)+10\eta_{NLOS}\log_{10}(d)+X_{\sigma,NLOS}, \quad (9)$$

for LoS and NLoS, respectively. Here, η is the path-loss exponent, d is the distance between UAV and UE in meters, d_0 is the reference distance, taken as 1 m here. X_{σ} is the log-normal random variable with standard deviation σ that models the large scale shadowing. The average path loss is given by

$$PL(d)=P_{LOS}\cdot PL_{LOS}(d)+(1-P_{LOS})\cdot PL_{NLOS}(d), \quad (10)$$

where P_{LOS} is the probability of having a LoS condition. The LoS probability is modeled as

$$P(LoS, \theta) = \frac{1}{1 + a \exp(-b[\theta - a])}, \quad (11)$$

where θ is an elevation angle between UAV and UE, a and b are parameters that depend on the type of environment, as indicated in ITU-R regulations.

The channel characterization for these models using a map-based approach is given in [228] at 2.4 GHz for environments defined according to ITU-R environment description. A comprehensive survey is also provided in [221] with several measurements and simulation campaigns to describe LSP, SSP, and MIMO channel characteristics. **3GPP Channel Models:** A guide for channel modeling can be found also in the 3GPP specifications from 0.5 to 100 GHz in TR38.901 [229]. Of particular interest in our scenario is the modelling of urban macro-areas, defined for BS height of 25 m and variable user height. While this model

is of limited applicability to the scenario that includes UAVs, it is nonetheless
1630 an important reference. Figures 11 and 12 show the probability distribution
of the path-loss values depending on the 2D distance for different cases of UE
height assuming that a UAV flies at 25 m of altitude. 3GPP has also provided a
technical report for enhancing LTE support for aerial BSs [216], where channel
characterizations are provided for uplink and downlink over different cell areas.

1635 4.3. UAV-to-UAV Channel Model

The LSP and SSP for the UAV-to-UAV channel can be found in [217]. The
approach is based on a ray-tracing or map-based approach in order to identify
the channel parameters over a certain specific city environment and the sea
at 2.4 GHz. A simple path-loss model was addressed, with attenuation and
1640 path-loss exponent measurements. Also, delay and Doppler dispersion were
characterized.

This section has presented various channel models relevant to D2D commu-
nication including UAVs. In the next section, we provide a list of open challenges
and related discussions.

1645 5. Open Challenges and Discussions

5.1. Challenges Faced by D2D in Decentralized Mode

D2D communication has attracted both academia and industry. The litera-
ture mostly focuses on D2D communication in a centralized mode. In emergency
scenarios, the BS would be only partially available or completely damaged; how-
1650 ever, only few works in the literature exist on D2D communication in a decen-
tralized mode. Here, we describe some of the main challenges faced by D2D in
decentralized mode.

- **Blind Discovery:** After advertising, the monitoring UE starts to discover
the associated service/device by blind decoding on all RF resources in
1655 decentralized mode, which is undesirable for battery life of cellular phones
because higher power consumption and computations are required.

- **Efficient Discovery:** In general an efficient discovery of the devices is still an issue to be investigated, especially in those emergency scenarios where weak signals could be expected from the ground.
- 1660 • **Adaptive Resource Scheduling:** Various configurations are possible for sidelink communication by varying the PSCCH to PSSCH ratio and PSCCH period length according to D2D UEs. However, according to the best of our knowledge, there is no adaptive resource scheduling technique which could vary the configuration according to the required situation that
1665 would improve the reliability and throughput.
- **HARQ Process:** HARQ process, in sidelink communication, retransmits the data four times. Even if the data is sent successfully in the first attempt, the HARQ process will retransmit the same data although this is not required and desired because there is no technique to get feedback.
1670 So, the HARQ process could be improved having feedback to improve the latency and reliability.
- **Energy Consumption vs ProSe Performance:** Battery life of the UE is one of the most significant challenges in critical situations. This highly depends on the designed protocol for direct discovery and communication,
1675 for example, if the UE is forced to wake up by the protocol or to advertise the discovery frequently or to retransmit the data repeatedly. The trade-off between the energy consumption of UE and the performance of ProSe should be better analyzed.
- **Adaptive Tuning:** In emergency and critical scenarios it is likely that
1680 the BS is either partially available or completely damaged. To handle such situations, 3GPP defines pre-configured parameters [230]. Those are provisioned on ProSe enabled UE by the network operator before the deployment. To have efficient performance, it is required to develop an adaptive tuning at run time according to the specific and possibly dynamic
1685 situation.

- **Limited Resources:** There is no literature using experimental evaluation because chip-sets supporting LTE sidelink are not available on the market for the practical implementation; moreover, experimental equipment and test-beds are extremely expensive.
- 1690 • **Cooperation among Multiple ProSe Function:** As described in Sect. 2.3, ProSe function provides three different sub-functions and in 3GPP Release 12, only one ProSe function in each public land mobile network (PLMN) is expected. The IP address of the ProSe might be preconfigured in the UE. However, this may become a limitation if the ProSe function is overloaded. Therefore, 3GPP left open opportunity to enable multiple 1695 ProSe functions with further cooperation among each to be defined in future.

5.2. Challenges in Beamforming for UAVs

When a beamforming system is installed on the UAV, the main issue is 1700 the relation between the array, with its steering properties, and the position and orientation of the UAV, which is a mobile system. In other words, the array is mounted on an object whose position and orientation in space is not fixed but, changes either as a result of a controlled flight or atmospheric/non-atmospheric disturbance factors like wind or the automatic corrections of the 1705 UAV flight control system. This dynamic behavior clearly increases the difficulty of controlling the beamforming, affecting and reducing the final accuracy of the system. Therefore, the main challenges can be summarized as:

- **Beamforming Management in a Dynamic Reference System:** This is the main issue, in which the dynamics of the UAV affects the position and orientation of the array, causing the necessity of managing beam 1710 steering, coordinates, and antenna mechanical tilt accordingly. The time constants associated with the UAV dynamics and electronic steering are different, and this could allow the decoupling of the two processes, flight

control and beamforming control. However, in a real system, this remains
1715 one of the main issues to be addressed.

- **Impact of Flight Turbulence:** In the context of the flight dynamics, some disturbances can condition the stability of the UAV, also when it is supposed to transmit and receive in a fixed position. It is important to study the impact on the communication and localization performance of
1720 phenomena like wind, flight turbulence, transitory periods between flight commands and their actuation.

- **Energy Budget in Presence of Beamforming:** The energy budget could be affected by the presence of a beamforming system aboard since the electronic beam steering could be used for changing the flight trajectories and plans. Trade-off studies with given coverage and/or transmission
1725 quality requirements and experimental validation are surely another challenging point of research interest. Trade-off studies with given coverage and/or transmission quality requirements and experimental validation are surely another challenging point of research interest.
1730 and experimental validation are surely another challenging point of research interest.

5.3. Challenges in UAV Based Localization

Many works have focused on utilizing the versatility of UAV anchors for performing localization. In most of them, the main challenges are represented
1735 by the energy and weight constraints the hardware and, while prominent, they are not the only challenges faced in the case of terrorist attacks.

- **Hardware Weight and Aerodynamic Constraints:** Some works in the literature focus on the introduction of massive MIMO into AoA localization [131]. These approaches are suitable for ground based BS that are
1740 already equipped with such hardware but they are unpractical for UAV based relays due to clear constraints on the size and weight of the antenna array, which calls for alternatives approaches.

- **Energy Budget Constraints:** Drones are battery-powered and low energy consumption is a fundamental requirement. Therefore, an emerging trend is to select RSS or hybrid RSS/AoA solutions due to their simplicity, reduced cost and energy consumption w.r.t. pure AoA or ToA/TDoA approaches, which would provide better accuracy but at the expense of higher energy costs.
- **Adversarial Activity:** On the one hand, moving the UAVs closer to, and, in LoS condition, the target certainly improves localization accuracy. On the other hand, in the case of terrorist attacks, it gives the terrorists the capability of damaging the drones by shooting them down. To the best of our knowledge, no study has attempted to address this problem, which remains an open issue.

5.4. Challenges in Multi-hop Routing

Many earlier works have focused on multi-hop D2D routing. However, due to the topology and application dependent nature of the networks, there is a lot of room to develop new routing protocols which can achieve spectral and energy efficiency.

- **DTN Based Routing:** Node mobility is one of the important factors affecting the performance of D2D routing. DTNs use the principal of store carry and forward to allow nodes to carry data and forward when a possible connection is available. For content distribution in disaster and post-disaster scenarios, inspiration from store carry forward can be derived to devise routing protocols for multi-hop D2D routing.
- **Security Based Routing:** As mentioned earlier security becomes a significant concern in multi-hop D2D. The interactions between different devices need some secure routing protocols to avoid malicious activities, which remains an open issue.
- **Energy Harvesting Based Routing:** Network lifetime is dependent on efficient utilization of energy resources. However, in public safety scenar-

ios, energy is very critical in order to keep devices alive for tracking and content distribution. Devices provisioned with energy harvesting capability can further enhance their life. Devices can harvest energy from solar, radio frequency and other sources, which should be considered in routing algorithms.

- **Interference Aware Routing:** Interference is the main reason for performance degradation in multi-hop D2D networks. Interference aware routing strategies can improve performance and save energy.

5.5. Challenges in SDN

As discussed earlier in the paper, SDNs have a great potential to improve multi-hop D2D routing. Some of the open challenges in this domain are listed below:

- **Security:** Security is a major concern and is not catered in the majority of the architectures. It is important to have security provisions in D2D communications. However, central controllers result in delays and some security related tasks could be delegated to local controllers.
- **Wireless Channels:** Wireless channels are dynamic and require continuous monitoring of change in channel conditions. Having centralized controllers can result in delays. Therefore, it is desirable to design hierarchical (main centralized + multiple distributed) or distributed controllers to cater for dynamic nature of the channel and timely update to central controller.
- **Scalable Architectures:** Most of the existing architectures report scalability issues. Considering the increasing number of devices, it is desirable to target architectures which are scalable and support routing protocols which can accommodate a wide variety of devices.

5.6. Challenges in *Jamming Techniques*

The analysis of the recent literature has emphasized some clear weaknesses
1800 in LTE and potentially in the 5G against jamming attacks. We think that there
is still a need for further research in this context.

- **PSNs Resilient to Jamming Attacks:** the context of terrorist attacks requires a specific resilience of the PSN to jamming in order to reach the devices in the area with a secure and reliable connectivity.
- 1805 • **Jamming in D2D Communications:** this aspect seems not sufficiently addressed by the literature even if, in the scenario considered by this survey, D2D communication is one of the key technologies for overcoming the difficulties in an area without the full infrastructure support.

6. Conclusion

1810 This article has provided a comprehensive survey for pervasive public safety communication technologies in public safety scenarios, especially in terrorist attacks. We have discussed different disaster scenarios, difference between natural and human-made disasters, technical challenges faced by PS services, existing architectures for PSNs along with the enhancements from 3GPP standardization
1815 on D2D, and importance to have reliable PSN to deal such disasters. Furthermore, various ongoing research projects have been summarized in the context of pervasive public safety communication. One of the important issues in such scenarios is how to reduce the response time. In order to reduce the time response, we briefly describe an architecture for disseminating the critical information.
1820 With this motivation, we have discussed the emerging technologies for PSN that could be critical in the context of emergency management, i.e. UAVs, localization techniques, weak signal detection methods, reliable routing, and jamming and anti-jamming in mobile networks. Finally, the article concluded with open challenges in each layer to highlight the possible future directions of
1825 the research in PSC.

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2650 **Authors**



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ALI MASOOD received his M.Sc. degree in Advanced Electronic Systems Engineering from University of Burgundy, France, in 2017. He was a Research Engineer at Inserm U1253 (Imaging and Brain), INSA Centre Val de Loire, France from March 2017 to October 2017. Currently, he is working towards his Ph.D. at Thomas Johann Seebeck Department of Electronics, School of Information Technology, Tallinn University of Technology. His domain of research is Cooperative Device to Device Communication for Emergency and Critical Wireless Communication System.



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DAVIDE SCAZZOLI was born in Italy, in 1989. He received a M.Sc. degree in Telecommunications Engineering in the year 2016 from Politecnico di Milano defending a thesis on the implementation of Wireless Sensor Networks for avionic applications. He is currently a Ph.D. student in the field of Telecommunications Engineering at the Politecnico di Milano. His research interests are Wireless Sensor Networks, redundancy management and localization.



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NAVUDAY SHARMA received his M-Tech in avionics engineering from Institute of Space Science and Technology, Amity University, Uttar Pradesh, India, in 2015 and Ph.D. degree in telecommunication engineering from the Department of Electronics, Information and Bio-engineering (DEIB), Politecnico di Milano, Italy, in 2018. He was also a Research Engineer at Infocomm Lab, School of Computer Science and Robotics, Tomsk Polytechnic University, Russia, from Oct 2017 to June 2018. Currently, he is working as a Post-Doctoral Researcher at Thomas Johann Seebeck Department of Electronics, Tallinn University of Technology, Estonia. He has worked on wireless communication with aerial base stations for 5G systems and currently working towards ultra-reliable low latency communication. His other

2680 research interests are channel modeling, multi-carrier communication, digital
signal processing and internet of things.



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YANNICK LE MOULLEC received the MSc (EE) degree from Université de Rennes I (France) in 1999 and the PhD (EE) degree from Université de Bretagne Sud (France) in 2003. From 2003 to 2013 he successively held postdoc, assistant, and associate professor positions at Aalborg University (Denmark). He then joined Tallinn University of Technology (Estonia) as a senior researcher, where he now holds a professorship in electronics. He has supervised 11 PhD theses and 50+ MSc theses. He is Co-PI for the H2020 COEL ERA-Chair project. He is a member of the IEEE Sustainable ICT Community. His research interests span HW/SW co-design, embedded systems, reconfigurable systems, and IoT.



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RIZWAN AHMAD received M.Sc. degree in Communication Engineering and Media Technology from the University of Stuttgart, Stuttgart, Germany in 2004 and Ph.D. degree in Electrical Engineering from Victoria University, Melbourne, Australia in 2010. From 2010 to 2012, he was a Postdoctoral Research Fellow with Qatar University on a QNRF grant. He is currently working as Assistant Professor at School of Electrical Engineering and Computer Science, National University of Sciences and Technology, Pakistan. His research interests include medium access control protocols, spectrum and energy efficiency, energy harvesting and performance analysis for wireless communication and networks. He has published and served as a reviewer for IEEE journals and conferences. He also serves on the TPC of leading conferences in the communication and networking field, including, e.g. IEEE VTC, IEEE ICC, IEEE Globecom. He is a member of IEEE. He was the recipient of the prestigious International Postgraduate Research Scholarship from the Australian Government.



2710

LUCA REGGIANI received the PhD in Electronics and Communications Engineering in 2001 from Politecnico di Milano (Italy). He has collaborated with several industries and Universities in the field of wireless communications and magnetic recording, as a consultant or within Italian and European research programs. His research interests include

2715 cellular systems, wireless sensor networks, high capacity point-to-point links, information theory.



2720

MAURIZIO MAGARINI received the M.Sc. and Ph.D. degrees in electronic engineering from the Politecnico di Milano, Milan, Italy, in 1994 and 1999, respectively. In 1994, he was granted the TELECOM Italia scholarship award for his M.Sc. Thesis. He worked as a Research Associate in the Dipartimento di Elettronica, Informazione e Bioingegneria at the Politecnico di Milano from 1999 to 2001. From

2001 to 2018, he was an Assistant Professor in Politecnico di Milano where, since June 2018, he has been an Associate Professor. From August 2008 to January 2009 he spent a sabbatical leave at Bell Labs, Alcatel-Lucent, Holmdel, NJ.

His research interests are in the broad area of communication and information theory. Topics include synchronization, channel estimation, equalization and coding applied to wireless and optical communication systems. His most recent

2730 research activities have focused on molecular communications, massive MIMO, study of waveforms for 5G cellular systems, wireless sensor networks for mission critical applications, and wireless networks using UAVs and high-altitude platforms. He has authored and coauthored more than 100 journal and conference papers. He was the co-recipient of four best-paper awards. Since 2017 he has

2735 been an Associate Editor of IEEE Access and a member of the Editorial Board of Nano Communication Networks (Elsevier). In 2017 he also served as Guest Editor for IEEE Access Special Section on "Networks of Unmanned Aerial Vehicles: Wireless Communications, Applications, Control and Modelling". He

has been involved in several European and National research projects.

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MUHAMMAD MAHTAB ALAM received M.Sc. degree in electrical engineering from Aalborg University, Denmark, in 2007, and Ph.D. degree from the University of Rennes1 (INRIA Research Center) in 2013, He did his post-doc research in the Qatar Foundation funded project Critical

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and Rescue Operations using Wearable Wireless Sensor Networks from Qatar Mobility Innovations Center during 2014-2016. In 2016, he has been elected as European Research Area Chair holder in cognitive electronics project and Associate Professor in Thomas Johann Seebeck Department of Electronics, Tallinn University of Technology. In 2018, he has obtained tenure professorship to chair

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Telia Professorship under the cooperation framework between Telia and Tallinn University of Technology. He has authored and co-authored over 55 research publications. His research interests include self-organized and self-adaptive wireless sensor and body area networks specific to energy efficient communication protocols and accurate energy modeling, Internet-of-things, public safety and critical networks, embedded systems, digital signal processing, and software-defined radio. He is a principal investigator of NATO-SPS-G5482 grant as well as Estonian Research Council PUT-Team Grant.

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