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1 **Microsegregating Blends of Ethyl Cellulose and Poly(vinyl**  
2 **pyrrolidone): a Combined Thermo-Mechanical and Positron**  
3 **Annihilation Spectroscopy Study**

4

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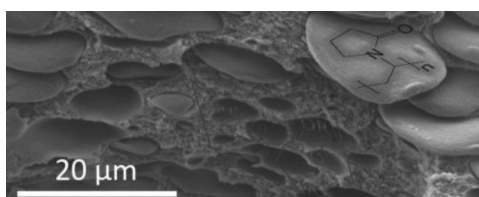
29

30 **Abstract**

31 Polymer blends are a versatile playground for studying phase separation and its effect on the  
32 development of morphology and mechanical properties of the resulting materials. Blends obtained  
33 from two immiscible polymers, ethyl cellulose (EC) and poly(vinyl pyrrolidone) (PVP), are  
34 especially relevant for their practical applications as coatings for pharmaceutical preparations and  
35 controlled drug release. Here, films of EC-PVP blends are studied by means of thermal analysis,  
36 dynamic-mechanical analysis as well as positron annihilation lifetime spectroscopy. The  
37 morphology of the microstructures generated by phase separation is investigated by means of  
38 scanning electron microscopy. The effect of both components' ratio and of molecular mass of PVP  
39 on the final properties of the blends is determined in a systematical way. The results obtained are  
40 not only of theoretical interest but will prove useful for the optimization of industrial formulations  
41 based on these polymers.

42

43 **Graphical abstract**



44

45

46 **Keywords**

47 Ethyl cellulose; poly(vinyl pyrrolidone); polymer blends; phase segregation; thermo-mechanical  
48 analysis; positron annihilation lifetime spectroscopy.

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## 56 **Introduction**

57 Polymer blends are mechanical mixtures of two or more different polymers. They allow to  
58 obtain materials with improved properties without the need to chemically modify the structure of  
59 the individual polymers. For this reason, polymer blends are an important subject of investigation  
60 from both the theoretical and applicative viewpoint.

61 The miscibility of polymers is governed mainly by entropic factors and many polymer blends are  
62 thermodynamically immiscible because of a small combinatorial entropy value (*e.g.* due to  
63 excessively high molecular masses), as can be derived from a Flory-Huggins description of the  
64 system's thermodynamics (Robeson 2007). Immiscibility can result, under appropriate conditions,  
65 in phase separation. Eventually, the properties of the blend will be determined by miscibility and  
66 phase behavior. The mechanisms for phase separation of binary polymer blends, both in bulk and in  
67 thin films, have been the subject of extensive studies over decades and are nowadays well  
68 understood. Depending on the nature of the interactions between the individual components,  
69 polymer blends may be miscible (single-phase), partially miscible or immiscible (phase-separated).  
70 Obtaining a one-phase system with polymer blends usually requires to ensure that favourable,  
71 specific intermolecular interactions, such as hydrogen bonding, exist between the components of the  
72 blend (Barlow and Paul 1981; Utracki 1991; Pernot et al. 2002; Imre and Pukánszky 2013).

73 Thermal analysis, and especially the measurement of glass transition temperature  $T_g$ , is a common  
74 approach to study the behavior of polymer blends (Brostow et al. 2008). Miscible blends will  
75 exhibit a single  $T_g$  at an intermediate value between the  $T_g$ s of the individual components. In  
76 immiscible blends, the  $T_g$ s of the individual components will remain unchanged. Partial miscibility  
77 occurs when the solubility limit of one of the polymers in a miscible blend is exceeded, resulting in  
78 phase separation and in an additional  $T_g$  corresponding to the polymer in excess. One limitation of  
79 this approach, however, is that if the  $T_g$  of one component overlaps with a signal from the other  
80 component, then it is not possible to extract information. Several other methods have been used to  
81 study interactions in polymer blends (Thomas et al. 2015): microscopy, light scattering, neutron  
82 scattering, rheology and different kinds of spectroscopic techniques, including Fourier-transform  
83 infrared spectroscopy and solid-state nuclear magnetic resonance. Among these techniques, positron  
84 annihilation lifetime spectroscopy (PALS) occupies a special place because it provides a method for  
85 examining and characterising the distribution of cavities at a molecular level, indicating the nature  
86 of the free volume in the analysed sample (Jean et al. 2013). PALS is a very flexible technique and  
87 its applications span from the investigation of defects in metals or semiconductors (Eldrup and  
88 Singh 1997) and the free volume in polymers (Pandini et al. 2017; Consolati et al. 2018) to the

89 distribution of nanoparticles inside polymer brushes (Panzarasa et al. 2016, 2017; Dehghani et al.  
90 2018). As such, PALS has been used to investigate the free volume hole properties of both miscible  
91 and immiscible blends (Liu et al. 1995; Wästlund and Maurer 1997; Ramya et al. 2013).

92 Blends of ethyl cellulose (EC) and poly(vinylpyrrolidone) (PVP) are non-miscible and undergo  
93 phase separation upon solvent removal. This characteristic, combined with the good filmogenic  
94 properties of both polymers, is especially useful for the coating of medical formulations with  
95 controlled release of slightly hydrosoluble drugs (Mukherjee et al. 2005; Yang et al. 2014). For this  
96 purpose, microsegregated coatings are developed in which the ethyl cellulose provides mechanical  
97 stability while the PVP phase dissolves in aqueous solvents. Despite the industrial relevance of this  
98 kind of coatings, however, the study of their properties is still an open field of investigation (Chan  
99 et al. 2005; Kutsenko et al. 2013) and no reference is currently available for the study of EC-PVP  
100 blends with PALS. Only a few studies have been dedicated to the single polymers ethyl cellulose  
101 (Doyle, S.; Malhotra, B. D.; Peacock, N.; Pethrick 1984; Hegyesi et al. 2014) and PVP (Li et al.  
102 2003; Shpotyuk et al. 2016) and none to their blends. The aim of this study is to fill such a gap,  
103 providing a novel understanding of such important blends by means of a combined thermo-  
104 mechanical analysis and PALS study.

105

## 106 **Experimental section**

### 107 **Materials and methods**

108 Ethyl cellulose (EC, Ethocel® Dow Chemicals; viscosity 15-25 mPa·s, ethoxyl content  
109 48.0-49.5 %w/w, according to the producer), poly(vinyl pyrrolidone) (PVP, average  $M_w$  10, 30, 40,  
110 55 and 360 kDa, according to the producer) and absolute ethanol were purchased from Sigma-  
111 Aldrich and used as received. Films of pure polymers and blends were obtained by solution casting  
112 from ethanol solutions with a home-built bar-coating device on antiadhesive substrates  
113 (perfluorinated polymer). The parameters chosen were: set thickness 100  $\mu\text{m}$ , deposition speed 11  
114  $\text{mm s}^{-1}$ . The films were dried at room temperature under a fume hood, detached from the support  
115 and stored in closed bags. The blend samples were named as “PVPn-EC x:y”, where n indicates the  
116 average  $M_w$  of PVP while x and y are the mass ratios between PVP and EC.

### 117 **Characterization**

118 Attenuated total reflectance Fourier-transform infrared spectroscopy (ATR-FTIR)  
119 measurements were performed using a Nicolet iN10 (Thermo Fisher Scientific) with a diamond

120 optical window, scanning the spectral range 4000–600 cm<sup>-1</sup> with a resolution of 4 cm<sup>-1</sup>. Background  
121 (100 scans) was collected before each analysis (200 scans). Scanning electron microscopy (SEM)  
122 and energy dispersive X-ray spectroscopy (EDX) were performed with a Hitachi SU5000 FE-SEM.  
123 The samples were sputter-coated with 4 nm of Au-Pd alloy to make them conductive.

124 Differential scanning calorimetry (DSC) tests were carried out by means of a DSC Q100 (Thermal  
125 Analysis Inc.), employing nitrogen as purge gas. About 5-10 mg of the film was tested by the  
126 application of a thermal program, consisting in a first heating run at 10°C min<sup>-1</sup> from -50°C to  
127 225°C, followed by a cooling run at 10°C min<sup>-1</sup> to -50°C and by a second heating run at 10°C min<sup>-1</sup>  
128 to 225°C. The results were employed to evaluate the material glass transition temperature (as the  
129 inflection point on the second heating scan) and the melting temperature (as maximum of the  
130 endothermal peak in the second heating scan). All the materials (PVP 360; EC; the blends obtained  
131 with PVPn-EC in the ratios 1:1, 1:4, 1:8, where n = 360, 55, 40, 30 and 10 kDa) were tested in their  
132 as-produced state. Only few materials (pure EC; pure PVP360; blend PVP40-EC 1:1; blend PVP40-  
133 EC 1:4; blend PVP40-EC 1:8) were tested after a thermal treatment at 150°C for 6 h under vacuum  
134 to remove the possible presence of water.

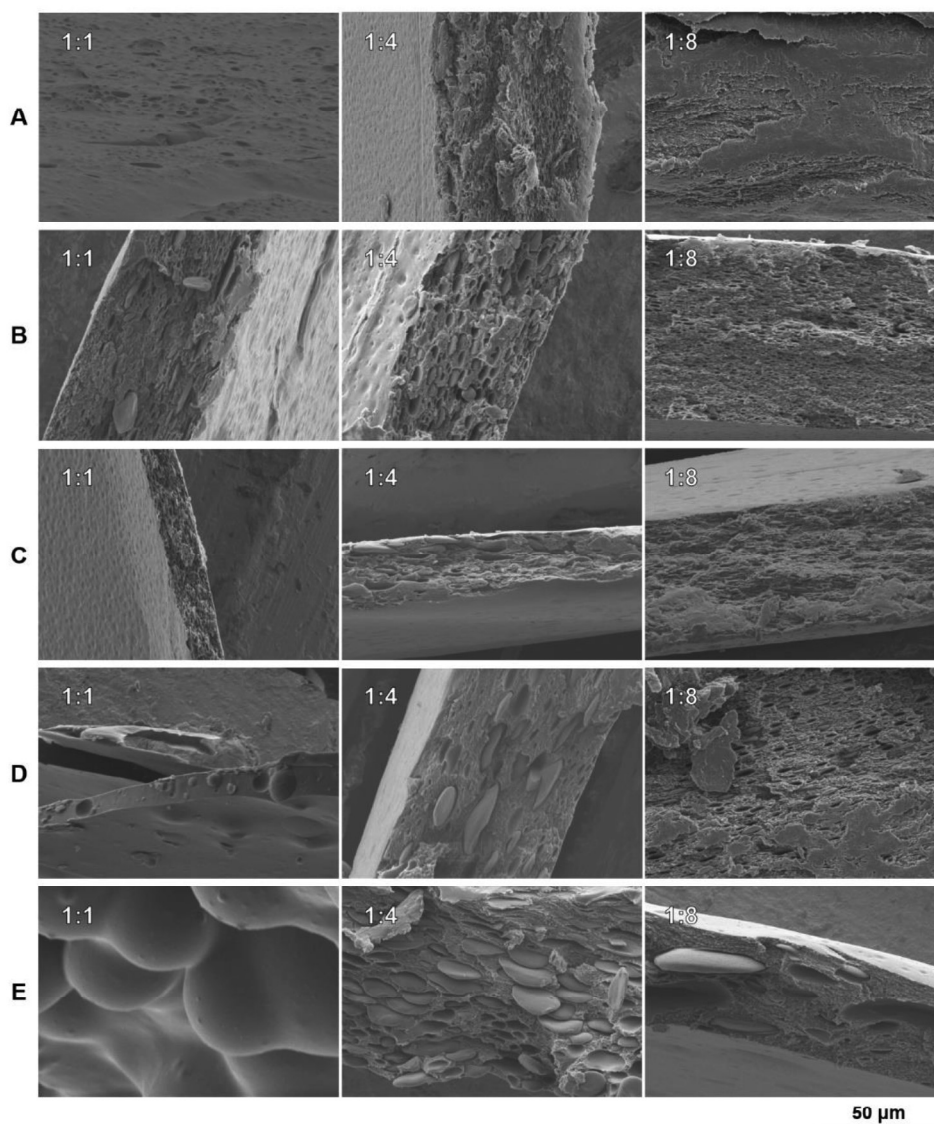
135 Dynamic-mechanical thermal analysis (DMTA) was performed by means of a DMA Q800  
136 (Thermal Analysis Inc.) under tensile configuration on rectangular strips (overall length: 25 mm;  
137 length between grips: 15 mm; width: 5 mm; thickness: about 0.1 mm). The strips were cut out from  
138 the cast sheets by means of a sharp cutter, in regions where the cast films present the most  
139 homogeneous thickness and a reduced presence of defects. The tests were performed under  
140 displacement control, employing a displacement amplitude of 15 µm at 1 Hz and a thermal ramp  
141 between room temperature and 200°C at 3°C min<sup>-1</sup>.

142 PALS measurements were carried out on samples obtained by cutting small pieces from the same  
143 batch. They were stacked to reach a thickness (2 mm) enough to stop all the injected positrons.  
144 Positron were emitted from a <sup>22</sup>Na source. This was enveloped between two Kapton® foils  
145 (thickness 7.6 µm each) which were glued to each other; the whole was placed between two  
146 identical samples in a typical ‘sandwich’ configuration. Positron annihilation lifetime spectra were  
147 collected through a conventional fast–fast coincidence setup, having a resolution of about 300 ps.  
148 Each spectrum, containing about 4·10<sup>6</sup> counts, was analyzed through the computer program LT  
149 (Kansy 1996), with a suitable correction for the positrons annihilated in Kapton. For each  
150 temperature value were collected three spectra. Both non-dry and dry samples were measured, as  
151 discussed before.

## 152 **Results and discussion**

153 Morphological characterization

154 The cross-sections of all samples were analyzed by scanning electron microscopy (SEM).  
155 That the two polymers are not interacting *i.e.* they are immiscible is visible in the SEM images  
156 (Figure 1), where discoidal structures can be easily distinguished from an embedding matrix. Such a  
157 morphology is indicative of phase separation, and the size of the discoids seems to increase for PVP  
158 of increasing molecular weight, but it is difficult to draw more conclusions based on SEM images  
159 alone.



160

161 **Fig. 1** SEM images of the blend films (cross-section). The PVP:EC mass ratio is indicated for each  
162 image. a) Blends with PVP10; b) blends with PVP30; c) blends with PVP40; d) blends with PVP55;  
163 e) blends with PVP360.

164

165 Energy-dispersive X-ray spectroscopy (EDX) analysis was used to investigate the elemental  
166 composition of these two different phases: the results obtained for the blend PVP360-EC 1:4 are  
167 summarized in Table 1. This sample was chosen as the most representative one because of the nice  
168 separation observed between the matrix and the discoidal structures. These latter appear to be made  
169 of a mixture of PVP and EC, while the surrounding matrix, which apparently does not contain  
170 nitrogen, should consist mainly of EC.

171

172 **Table 1.** Elemental composition, according to EDX, of the structures observed in the PVP360-EC  
173 1:4 blend sample.

Structure investigated	Elemental composition (atom%)			Elemental ratios	
	C	N	O	C/N <sup>a</sup>	C/O <sup>b</sup>
Discoid	60.93	17.35	21.72	3.5	2.8
Matrix	72.95	-	27.05	0	2.7

174 <sup>a</sup>Theoretical: for pure PVP, 6; for pure EC, 0. <sup>b</sup>Theoretical: for pure PVP, 6; for pure EC,  $\approx 5$ .

175

176 Fourier-transform infrared spectroscopy (FTIR) is one method of choice to probe the nature and  
177 extent of interactions in polymer blends. The reason behind is that the mixing of two components at  
178 the molecular level will cause changes in the molecules' oscillating dipoles. This, in turn, will  
179 manifest itself as changes in the frequency and bandwidth of the interacting groups in the spectrum.  
180 If the two polymers interact, then their functional groups will show band shifts and broadening  
181 compared to the spectra of the pure polymers (Nair et al. 2001; Silverstein M.Robert, Webster X.  
182 Francis 2005).

183 In principle, PVP is capable of hydrogen bonding through both the nitrogen atom or the carbonyl  
184 group on the pyrrole ring. However, steric hindrance precludes the involvement of nitrogen atom in  
185 intermolecular interactions, thus making the carbonyl group more favorable for hydrogen bonding.  
186 In the case of a blend of PVP and EC, one could expect hydrogen bond interactions to take place  
187 *e.g.* between the C=O of PVP and the free -OH groups of EC. The FTIR spectra of the different  
188 investigated polymer blends are shown in Figure S1. No significant shift of the 1650 cm<sup>-1</sup> carbonyl  
189 peak of PVP could be observed, independently of the molecular weight of the latter. The blends  
190 thus gave spectra which are the sum of those of EC (Hegyési et al. 2013) and PVP, suggesting the

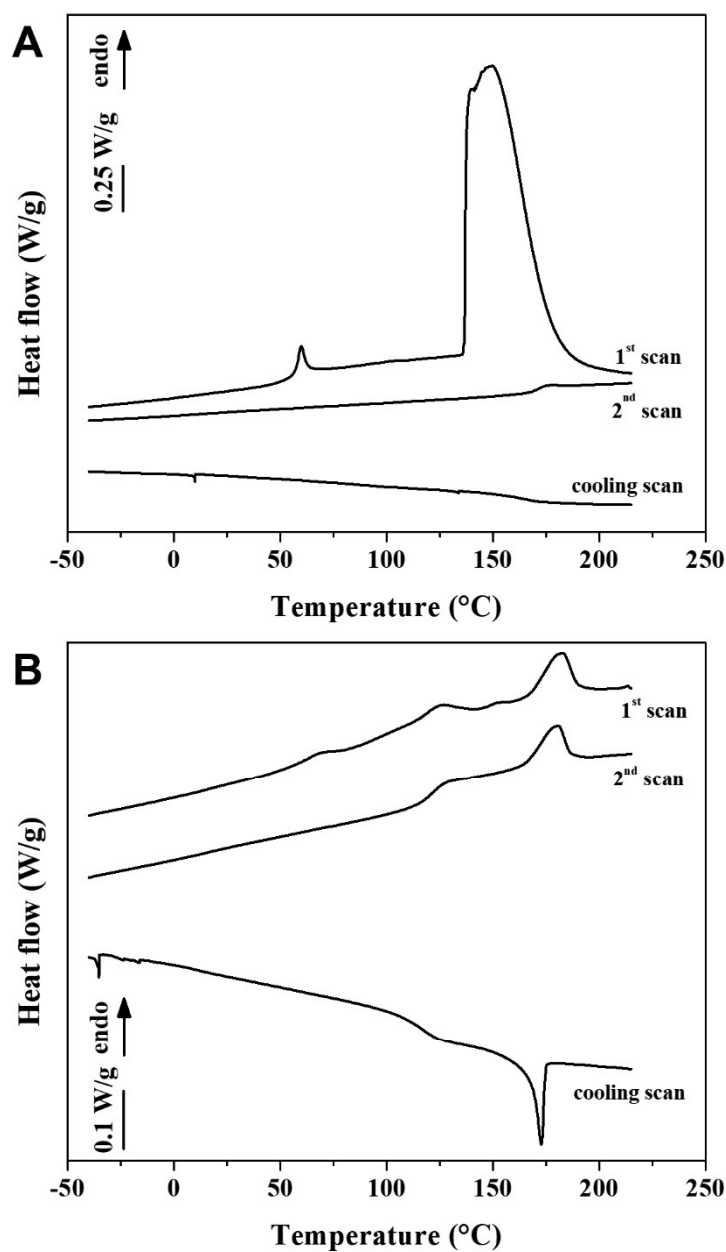


191 absence of interactions between the two polymers and thus the non-miscibility of the studied  
192 blends.

193

194 Differential scanning calorimetry (DSC)

195 Analyzed as-produced by differential scanning calorimetry (DSC) the samples displayed, on  
196 the first heating scan, endothermic signals with different intensities and positions depending on the  
197 type of analyzed polymer. As an example, the DSC traces of the pure polymers, PVP360 and EC,  
198 are reported in Figure 2a and Figure 2b, respectively.

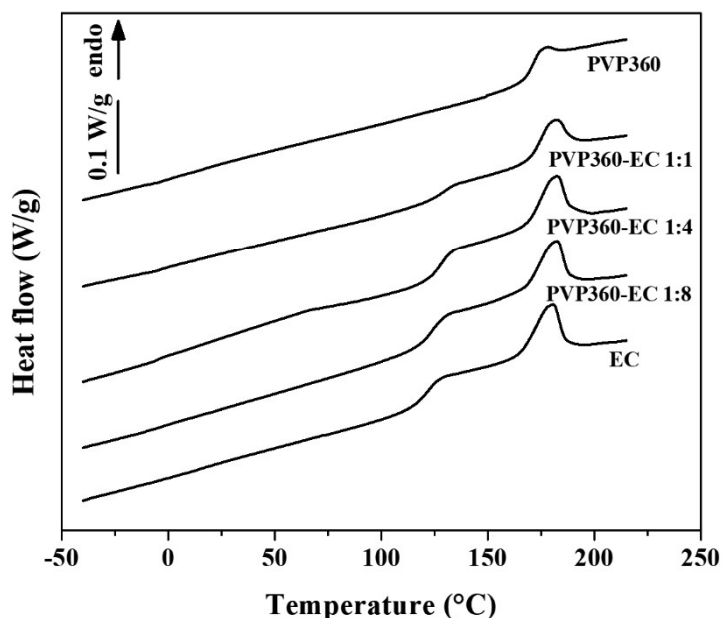


199

200 **Fig. 2** DSC results of the 1<sup>st</sup>, 2<sup>nd</sup> and cooling scan for a) PVP360 and b) EC.

201 The 1<sup>st</sup> heating scan of PVP360 displays a large endothermic peak between 140°C and 200°C and a  
202 less prominent one at 50°C, which are both absent in the 2<sup>nd</sup> heating scan. The 1<sup>st</sup> heating scan of  
203 EC displays two moderate endothermic peaks at 50°C and at 120°C, both absent in the 2<sup>nd</sup> scan,  
204 which features only the melting peak at 170°C. Such irreversible endothermic peaks observed for  
205 temperatures >100°C are suspected to be due to the presence in the samples of bound residual  
206 water. The 2<sup>nd</sup> heating scans, on the other hand, display for PVP360 only the inflection close to  
207 170°C correlated to T<sub>g</sub> while for EC both a defined inflection at about 120°C and a melting peak  
208 around 170°C are visible.

209 To determine the possible influence of residual water on samples' behavior, a selected set of  
210 samples was heated to 150°C for 6h under vacuum. This treatment allowed to extract water while  
211 avoiding the melting of EC crystalline phase. From the obtained results (reported as example in  
212 Figure S2) it is possible to conclude the following: i) by comparing the 1<sup>st</sup> heating scan of the as-  
213 cast and the dried samples, it appears that water has been only partially removed; ii) no differences  
214 could be found between the 2<sup>nd</sup> heating scan of the as-cast and treated systems. These results  
215 suggest that the 2<sup>nd</sup> scan traces describe the glass transition and melting temperatures of the studied  
216 samples independently of their history. Eventually, DSC analyses were carried out on the as-  
217 produced films and the results evaluated on the 2<sup>nd</sup> scan traces. Representative 2<sup>nd</sup> heating scans of  
218 PVP360, EC and their blends are shown in Figure 3.



219  
220 **Fig. 3** DSC results (2<sup>nd</sup> heating scan) for PVP360, EC, and their blends.

221

222 The DSC traces clearly show, for all systems, the glass transition region and (except for pure PVP)  
 223 the melting region. For PVP360 a glass transition at about 170°C can be measured and no  
 224 crystalline phase is found, while EC displays a glass transition at about 120°C and a melting  
 225 temperature close to 170°C *i.e.* in correspondence to the  $T_g$  of PVP360. The three blends analyzed  
 226 display intermediate values of  $T_g$  with respect to EC and PVP360, mainly located close to those of  
 227 EC, and being closer to this value the higher the EC content. In addition, the extent of the inflection  
 228 on the curves seems to become less marked as the EC content decreases, which suggests a  
 229 governing role of EC on the measured  $T_g$ . The crystallization peak is present in all the blends,  
 230 showing that the crystallization is not suppressed. Its area, which represents the melting enthalpy,  
 231 has values mostly scaled with the EC content for the mixing ratios 1:8 and 1:4, suggesting that the  
 232 specific crystallinity per EC content remains the same, while for the mixing ratios 1:1 the specific  
 233 crystallinity percent per EC content is 20%-30% higher than expected. The melting of EC occurs in  
 234 the same region in which PVP360 shows its glass transition, thus masking another possible glass  
 235 transition whose presence could provide information on the systems' miscibility. Similar results  
 236 were obtained for the other systems, which gave traces closely resembling those of Figure 3 with  
 237 evident glass transition and melting events. The values of  $T_g$ ,  $T_m$  and of the melting enthalpy  $\Delta H_m$   
 238 are reported in Table 2 and Table 3.

239

240 **Table 2** Glass transition temperature  $T_g$  and melting temperature  $T_m$  (parentheses) determined by  
 241 DSC on the 2<sup>nd</sup> heating scan of the various materials tested as-produced.

Sample	pure polymer	Mixing ratio PVP:EC		
		1:1	1:4	1:8
PVP360	171 °C (-)	-	-	-
PVP360-EC	-	130 °C (181 °C)	127 °C (182 °C)	124 °C (182 °C)
PVP55-EC	-	128 °C (182 °C)	128 °C (180 °C)	129 °C (182 °C)
PVP40-EC	-	126 °C (177 °C)	128 °C (181 °C)	126 °C (181 °C)
PVP55-EC	-	128 °C (180 °C)	124 °C (180 °C)	130 °C (181 °C)
PVP10-EC	-	117 °C (177 °C)	122 °C (179 °C)	121 °C (179 °C)
EC	122 °C (180 °C)	-	-	-

242

243

244 **Table 3** Melting enthalpy  $\Delta H_m$  determined by DSC from the 2<sup>nd</sup> heating scan of the various samples  
 245 tested as-produced.

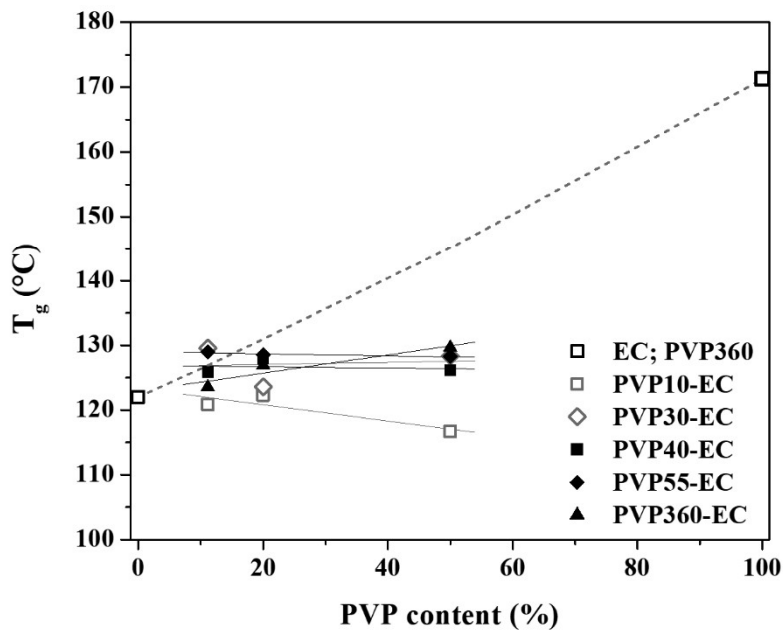
Sample	Mixing ratio PVP:EC			
	pure polymer	1:1	1:4	1:8
PVP360	-	-	-	-
PVP360-EC	-	3.7 Jg <sup>-1</sup>	5.6 Jg <sup>-1</sup>	5.3 Jg <sup>-1</sup>
PVP55-EC	-	4.2 Jg <sup>-1</sup>	4.5 Jg <sup>-1</sup>	4.9 Jg <sup>-1</sup>
PVP40-EC	-	3.4 Jg <sup>-1</sup>	4.7 Jg <sup>-1</sup>	5.4 Jg <sup>-1</sup>
PVP55-EC	-	3.6 Jg <sup>-1</sup>	3.8 Jg <sup>-1</sup>	4.3 Jg <sup>-1</sup>
PVP10-EC	-	2.6 Jg <sup>-1</sup>	3.8 Jg <sup>-1</sup>	4.9 Jg <sup>-1</sup>
EC	5.5 Jg <sup>-1</sup>	-	-	-

246

247 The values of  $T_g$  show only a slight dependence on the polymer mixing ratios for the samples based  
 248 on PVP55, PVP40 and PVP30, while, for samples obtained with PVP10, even lower values of  $T_g$   
 249 were obtained, such as for blend with 1:1 mixing ratio, suggesting a weak plasticizing effect  
 250 ascribed to the low molecular weight PVP10. The slight dependence of the  $T_g$  on the polymer ratio  
 251 is interpreted as the absence of a proper mixing between the two polymers. In Figure 4 the  $T_g$  of the  
 252 various samples are displayed as a function of the mixing ratio and compared to the theoretical  $T_g$   
 253 values expected for ideal mixing, according to the Fox equation (eq. 1):

$$254 \quad 1/T_g = (w_{PVP360} / T_{g,PVP360}) + (w_{EC} / T_{g,EC}) \quad (1)$$

255 where  $w_{PVP360}$  and  $w_{EC}$  represent the weight fractions of the two polymers, while  $T_{g,PVP360}$  and  $T_{g,EC}$   
 256 are the  $T_g$  of the polymers expressed as absolute temperatures. As can be seen from Figure 4, the  
 257 experimental data for almost all the investigated compositions display a downward deviation from  
 258 the values corresponding to the curve of ideal miscibility.



259

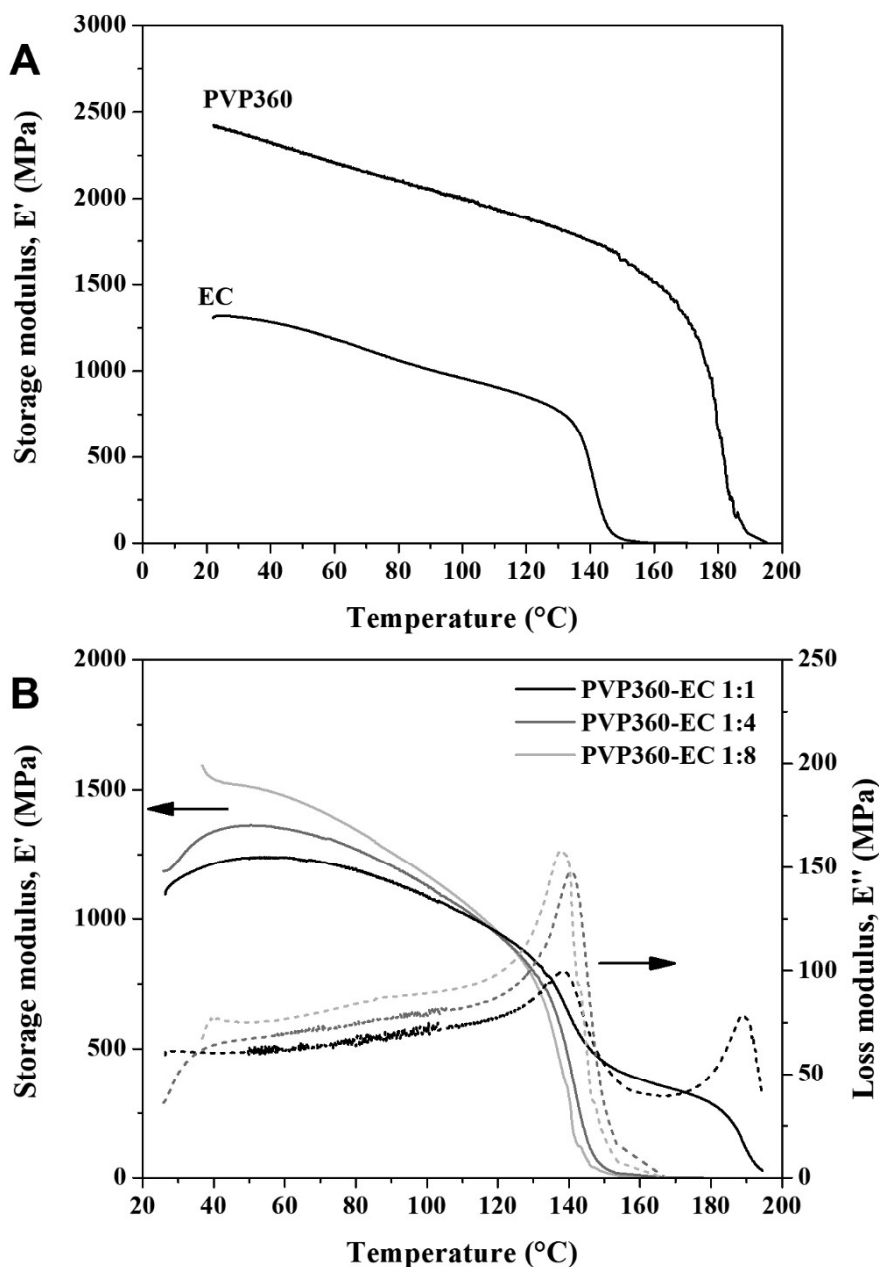
260 **Fig. 4**  $T_g$  values of the various systems as a function of the PVP content and representation of the  
 261 Fox equation for complete miscibility of the two polymers EC and PVP.

262

263 Dynamic-mechanical thermal analysis (DMTA)

264 Dynamic-mechanical thermal analysis was carried out on the various materials with the aim  
 265 to evaluate the mechanical response of the systems and its dependence on temperature.

266 The results are reported as  $E'$  traces: Figure 5a shows those for PVP360 and EC while Figure 5b  
 267 those for representative blends, namely those obtained by mixing PVP360 and EC with different  
 268 ratios. The curves obtained for the pure polymers show that PVP is characterized by a higher  
 269 stiffness (about twice) than that of EC and by a higher glass transition temperature, at which the  
 270 modulus decreases and rapidly approaches the flow regime. By contrast, EC presents a first  
 271 moderate modulus reduction at lower temperatures.



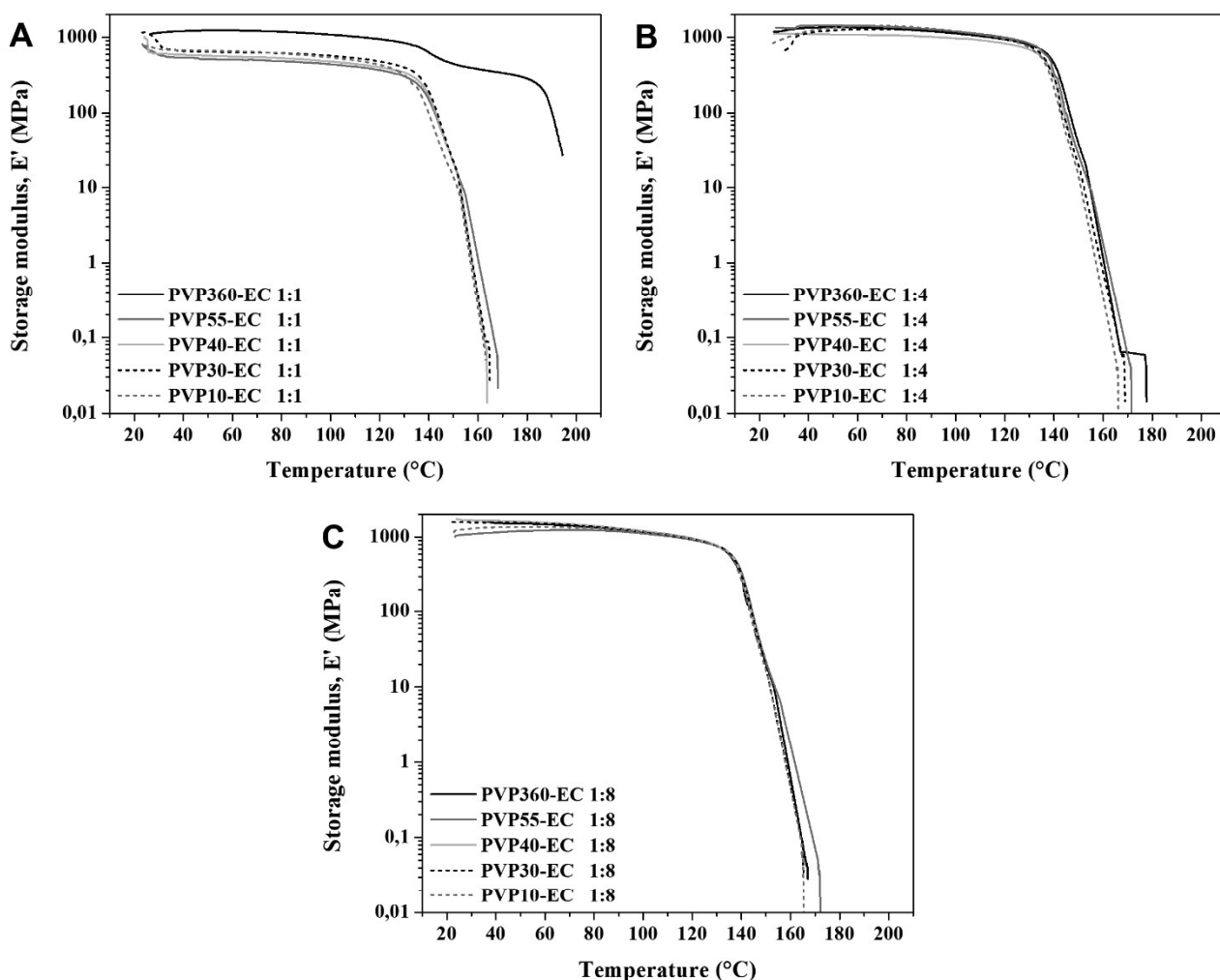
272

273 **Fig. 5** Storage modulus traces of a) PVP 360 and EC; b) Storage modulus (solid lines) and loss  
 274 modulus (dashed lines) for the blends PVP360-EC 1:x with x = 1, 4, 8.

275

276 The polymer blends show limited differences in the modulus values, with values in the glassy  
 277 region very close to that of EC. The blend stiffness is strongly determined by EC: blends with high  
 278 EC contents (PVP360-EC 1:4 and PVP360-EC 1:8) display a modulus drop that rapidly lead to  
 279 entrance in the flow region; only the system PVP360-EC 1:1 presents an extension of the material  
 280 solid behavior above the  $T_g$  of EC.

281 By comparing all the  $E'$  traces obtained, it appears that only the samples obtained by mixing to  
282 PVP360 and EC in equal amounts (1:1) present such extension in terms of a rubber plateau from  $T_g$   
283 to the melting region of EC. This behavior is in contrast with that of the other samples, which,  
284 independently of the PVP molecular weight and the PVP:EC mixing ratios, display a faster decrease  
285 in correspondence of the  $T_g$  of EC, as it can be seen in Figure 6a-c. These results suggest that only  
286 in presence of the maximum amount of PVP with the highest molecular weight tested (360 kDa),  
287 the co-continuous structure obtained could still provide mechanical stiffness after EC has undergone  
288 glass transition. By contrast, lower amounts of PVP360 would lead to flow, since an effective PVP  
289 co-continuous structure is not obtained, and so does any content of PVP with lower molecular  
290 weight.

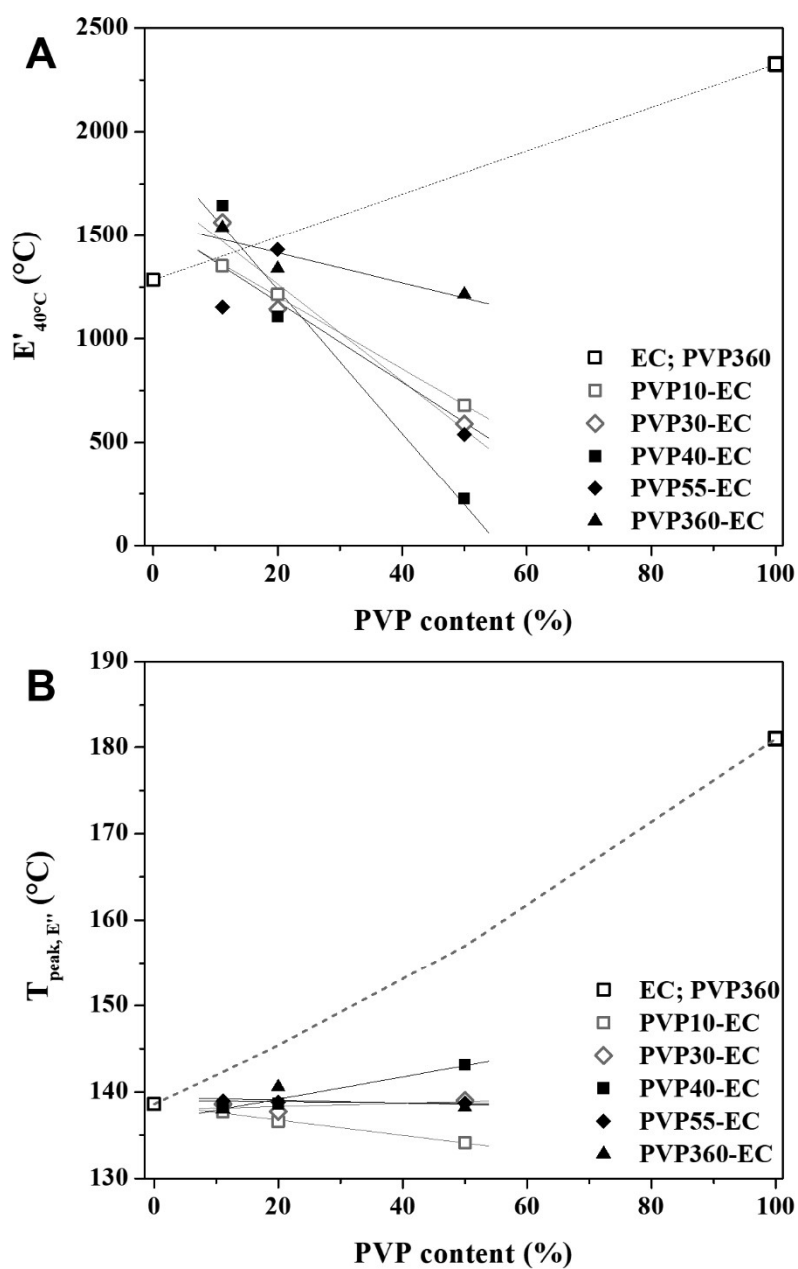


291

292 **Fig. 6** Storage modulus traces of the blends based on EC and on PVP with various molecular  
293 weights obtained for mixing ratios equal to a) 1:1, b) 1:4, and c) 1:8.

294

295 To describe the effects of the material composition, both stiffness and  $T_g$  of the various systems  
 296 were evaluated: the results are reported as a function of the PVP content in Figure 7. The stiffness  
 297 was evaluated at  $40^\circ\text{C}$ , i.e. well below  $T_g$ , while  $T_g$  was evaluated in correspondence to the  $E''$  peak  
 298 temperature. The results show that the modulus decreases with the PVP content, except for the  
 299 PVP360 sample, the modulus of which is above that of EC. For PVP of lower molecular mass the  
 300 modulus remains close or slightly higher than EC for samples obtained with PVP:EC ratios of 1:8  
 301 and 1:4. An important decrease is observed for the 1:1 mixing ratio, suggesting a possible  
 302 plasticization due to the low molecular weight PVP. The results obtained for  $T_g$  confirm and  
 303 highlight what already discussed, for the DSC results, on the non-miscibility of the two polymers.



304

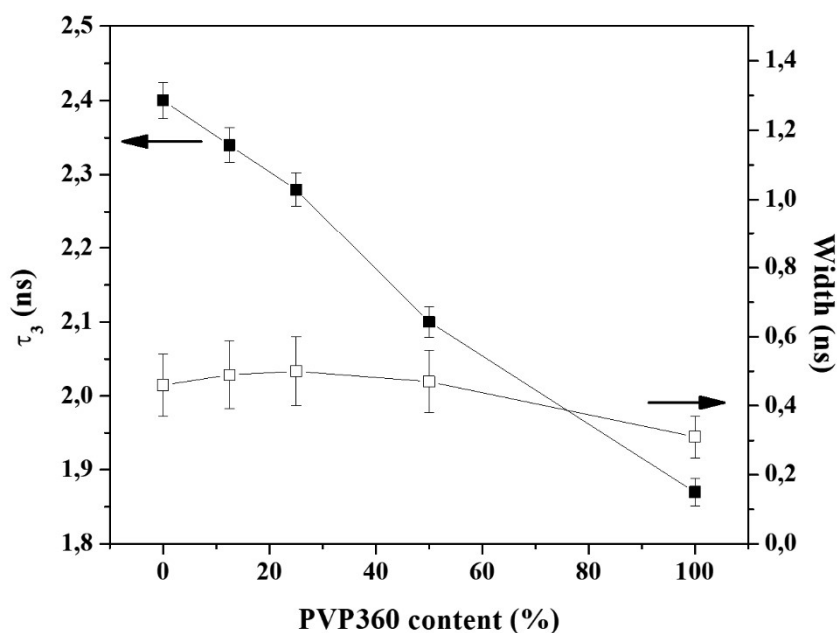


305 **Fig. 7** a) Storage modulus values at 40°C and b)  $T_g$  values of the various systems as a function of  
306 the PVP content; in b) the dashed line represents the Fox equation for complete miscibility of the  
307 two polymers.

308

309 Positron annihilation lifetime spectroscopy (PALS)

310 Positron annihilation lifetime spectra were successfully analysed in three components, a  
311 common situation found in polymer investigations. The normalized  $\chi^2$  gave values in the range 0.96  
312 – 1.07. The shortest lifetime component is attributed to positrons annihilating in the bulk as well as  
313 to para-Ps annihilations (the ground state sublevel with antiparallel spins). This last contribution  
314 cannot be resolved as a distinct component, owing to both the resolution of the apparatus and the  
315 faint intensity of the signal, which is expected to be of the order of one third of the intensity of the  
316 longest lifetime component. The intermediate component originates from positrons annihilating into  
317 defects and free volume holes. The lifetime of this component is higher than the shortest one since  
318 the electron density surrounding the positron is lower with respect to the bulk. The longest  
319 component is due to the annihilation of ortho-positronium atom (o-Ps, the ground state sublevel  
320 with parallel spins) into the holes present in the amorphous zones. Therefore, such a component is  
321 used to probe the free-volume hole dimensions. This is possible thanks to a correlation between o-  
322 Ps lifetime and the size of the cavity, which can be cast in a quantitative form by suitably modeling  
323 the trapping site. Indeed, a longer o-Ps lifetime is found in bigger holes, since the lower electron  
324 density surrounding Ps reduces the probability of Ps annihilation. Cavities show a distribution of  
325 sizes, owing to the disordered character of the amorphous zone. This involves a distribution of o-Ps  
326 lifetimes, which can be obtained from the analysis of annihilation lifetime spectra.



327

328 **Fig. 8** Evolution of positronium lifetime  $\tau_3$  and of the width of lifetime distribution for increasing  
 329 PVP360 content.

330

331 According to thermo-mechanical analysis, the samples based on PVP360 were found to give the  
 332 most interesting results. For this reason, Figure 8 and Figure 9a show the data obtained by means of  
 333 PALS only for the pure EC and PVP360 as well as for the related blends. Only Figure 9b features  
 334 the comparison of the values of the relative free volume fraction  $f$  as a function of PVP molecular  
 335 weight. All the other PALS results are displayed in the Supporting Information (Figure S3). The  
 336 results obtained for the pure polymers are in accordance with previous literature reports. The  
 337 lifetime  $\tau_3$  measured for ethyl cellulose is 2.40 ns, comparable with the 2.32 ns reported for  
 338 commercial Ethocel 45 (Hegyési et al. 2014); for PVP360, the literature measurements indicate  $\tau_3 =$   
 339 1.86 ns (measured for PVP40) (Shpotyuk et al. 2016), in perfect agreement with our measured value  
 340 of 1.87 ns. The centroid of the o-Ps lifetime distribution decreases monotonically on increasing the  
 341 content of PVP360, as can be expected by considering the remarkable difference of lifetimes in the  
 342 two pure polymers. It is possible to translate the lifetime values into cavity sizes by using the  
 343 simplest model, the Tao-Eldrup equation (Tao 1972; Eldrup et al. 1981), which considers spherical  
 344 holes and it has been successfully used in many PALS investigations. Due to a monotonic, although  
 345 nonlinear, relationship between o-Ps lifetime (shown in Figure 8) and the corresponding radius of  
 346 the host cavity, we can interpret the  $\tau_3$  results in terms of decreasing average radius of free volume  
 347 holes. Figure 8 shows also the width of lifetime distribution, which decreases on passing from  
 348 blends to pure PVP360.

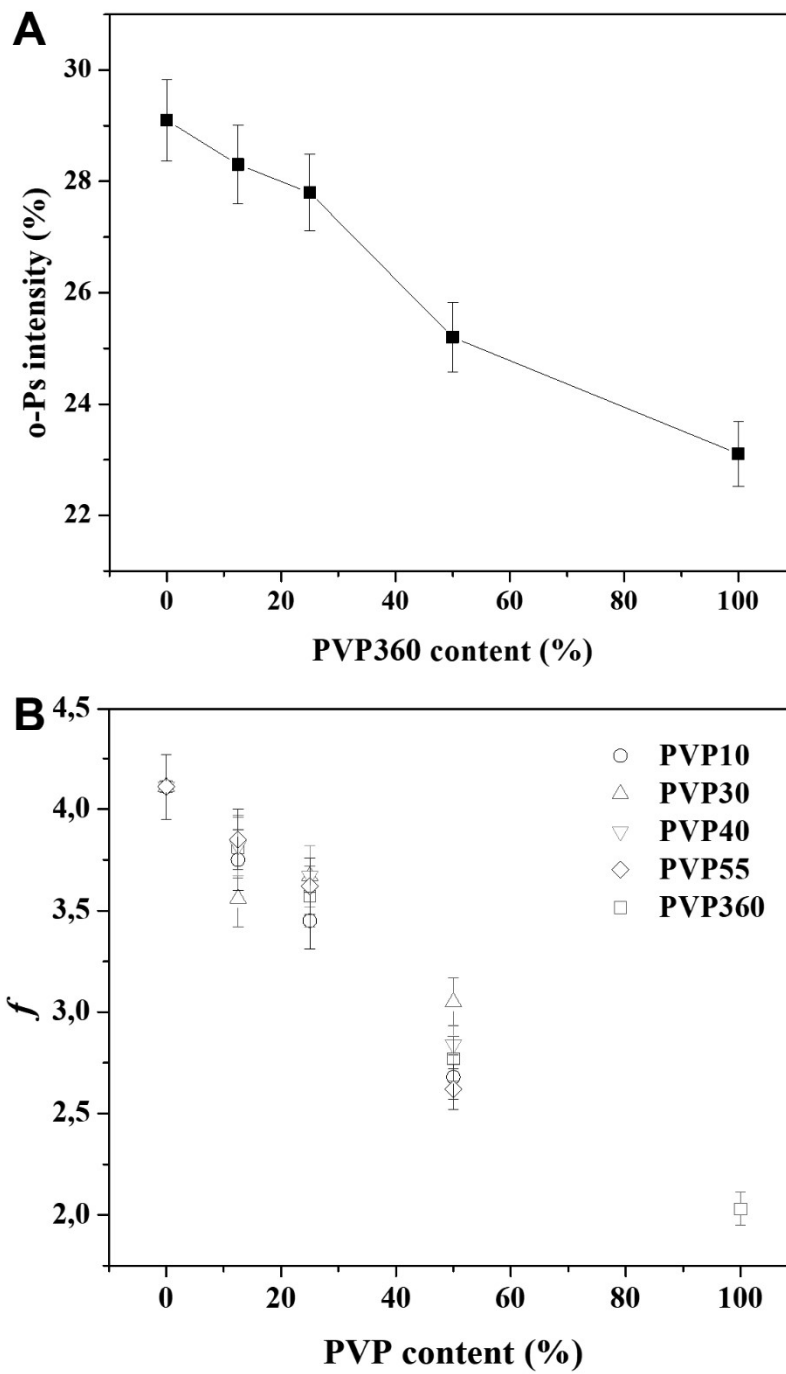
349 The behavior of Ps formation is very different for the two investigated polymers. Its formation is  
350 especially favored in pure EC, as demonstrated by data in Figure 9a where the o-Ps intensity is  
351 shown versus the PVP360 content. This could be due to the polar character of PVP: indeed, the  
352 carbonyl group attracts the positron, with consequent annihilation; the probability of Ps formation is  
353 therefore reduced, as observed. Using an empirical approach (Wang et al. 1990), quite common in  
354 this kind of investigations, we can estimate a relative free volume fraction  $f$  by taking the product  
355 of the average volume of the cavities,  $v_h$ , times the o-Ps intensity  $I_3$ , which is assumed to depend on  
356 the number density of free volume holes (although other phenomena related to the chemistry of Ps  
357 in the material can influence its formation) (eq. 2):

$$358 \quad f = v_h I_3 \quad (2)$$

359 As shown in Figure 9b, the behavior of  $f$  is quite reminiscent of that of o-Ps lifetime, the parameter  
360 with the largest variation as a function of PVP360 content.

361

362



363

364 **Fig. 9** a) Intensity of o-Ps and b) evolution of  $f$  parameter as a function of PVP360 content of the  
 365 blends.

366

367

368

369

## 370 **Conclusions**

371 In the present work we investigated the morphology, thermomechanical properties and the  
372 free volume of two polymers, ethyl cellulose and poly(vinyl pyrrolidone), and of their immiscible  
373 blends.

374 The absence of interactions between the two polymers was revealed by means of FTIR. Their  
375 immiscibility was further confirmed by morphological analysis and the behavior of the glass  
376 transition temperature.

377 Dynamic-mechanical thermal analyses pointed out that mechanical stiffness of the blends in the  
378 temperature range above the  $T_g$  of EC can be granted only in the case of the highest content of PVP  
379 with the highest molecular weight.

380 PALS results showed that o-Ps lifetime, and consequently the average size of the free volume holes,  
381 increases with the content of EC in the blends. Analogous behavior is displayed by o-Ps intensity,  
382 which may be correlated to the number density of holes. Moreover, PVP displays a more ordered  
383 distribution of cavity sizes, as shown by a narrower o-Ps lifetime distribution.

384 The combined effects of average sizes, distribution and number density of holes determine an  
385 increased free volume in all the investigated structures when the amount of EC increases.

386 Since the free volume is a primary parameter affecting permeability and transport properties of  
387 coatings and films, the results here discussed will be useful for applications of EC-PVP blends in  
388 which controlled release and filtering of small molecules is required.

389

## 390 **Electronic supplementary material**

391 The online version of this article contains supplementary material, which is available to authorized  
392 users.

393

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395

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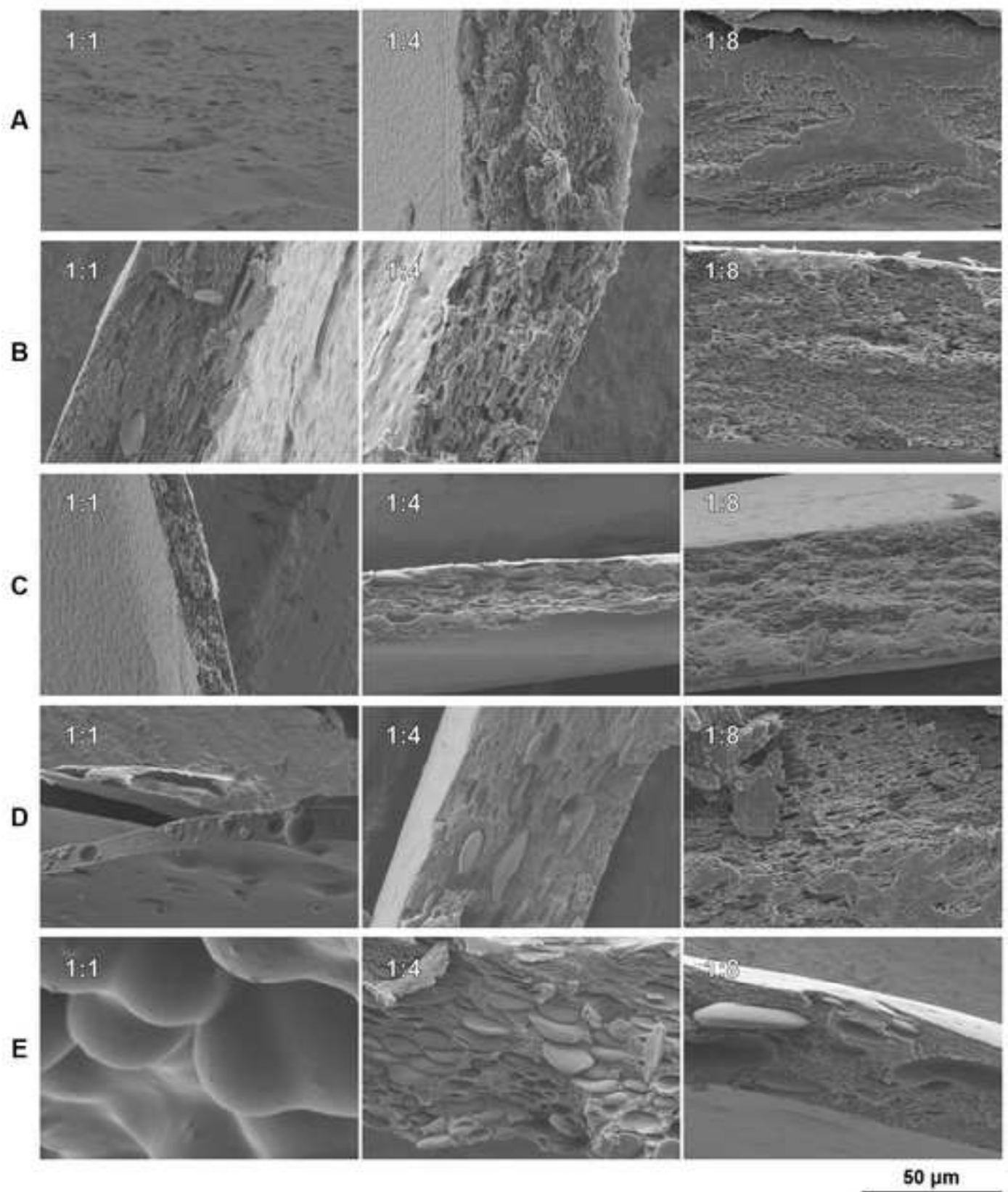
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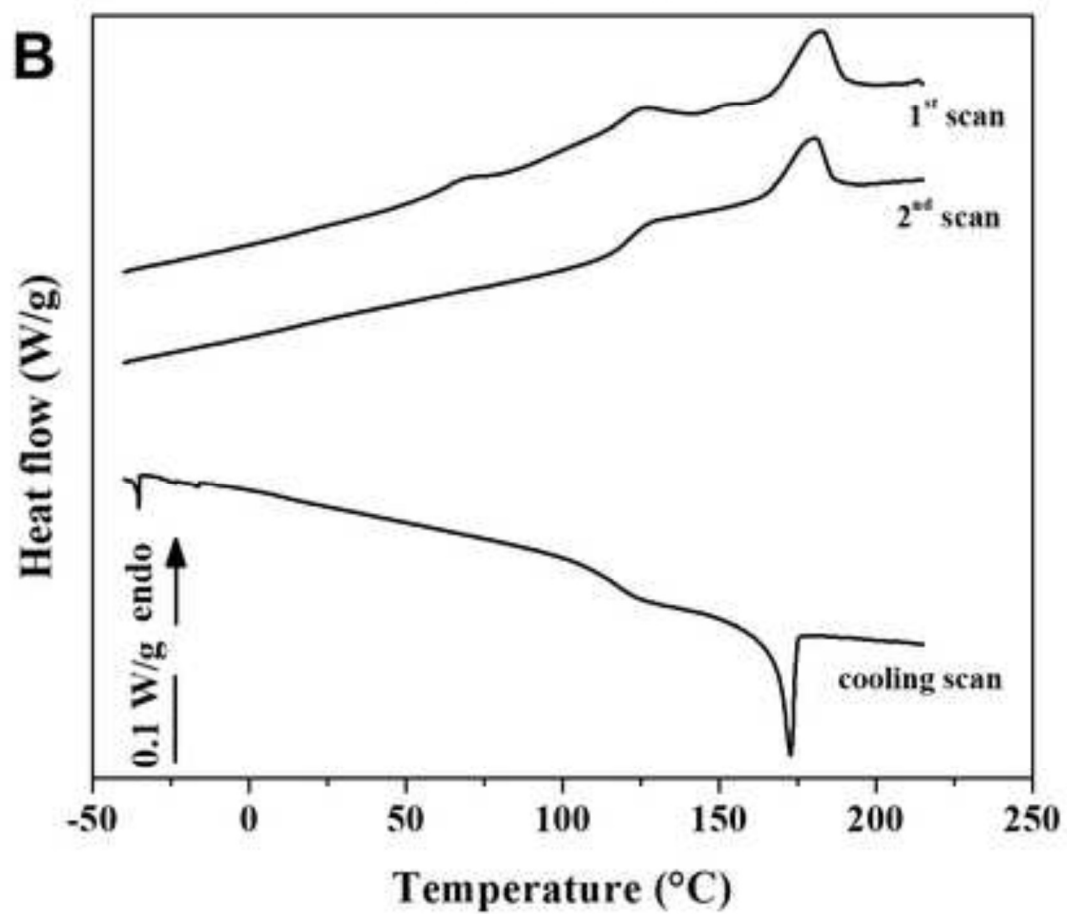
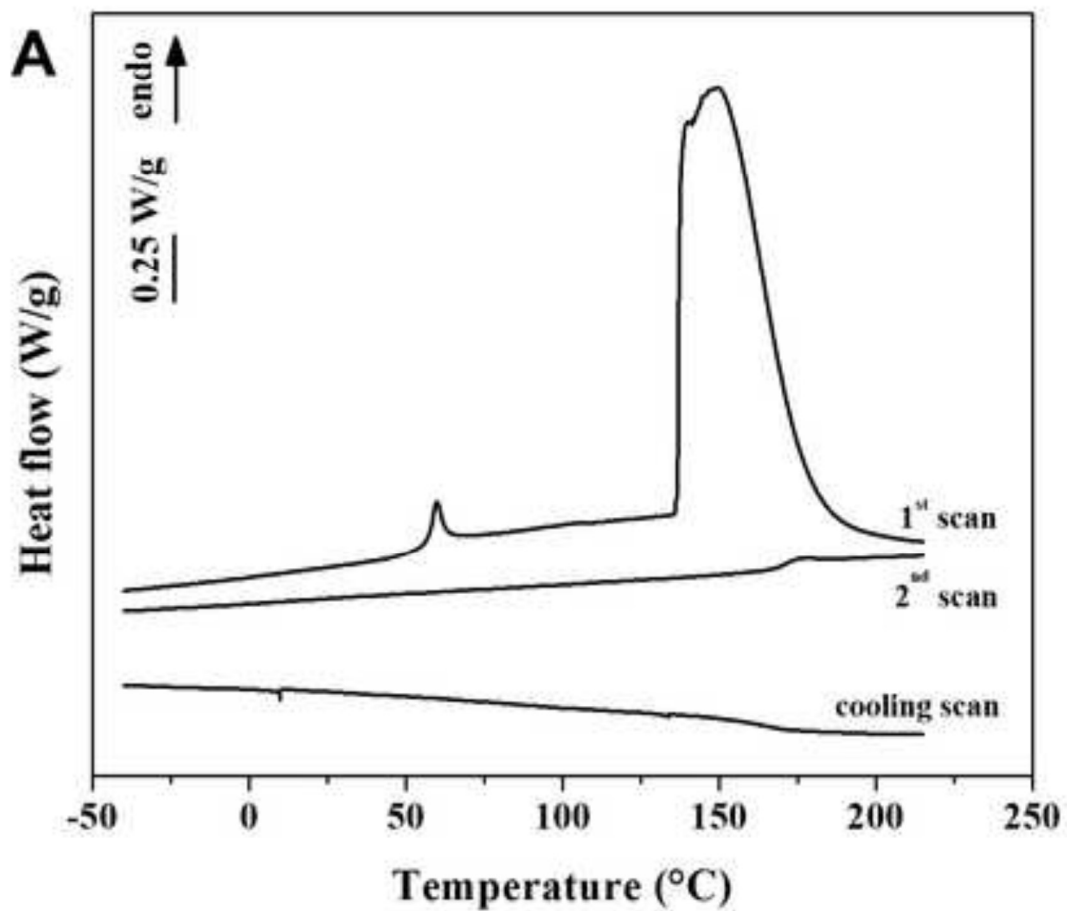
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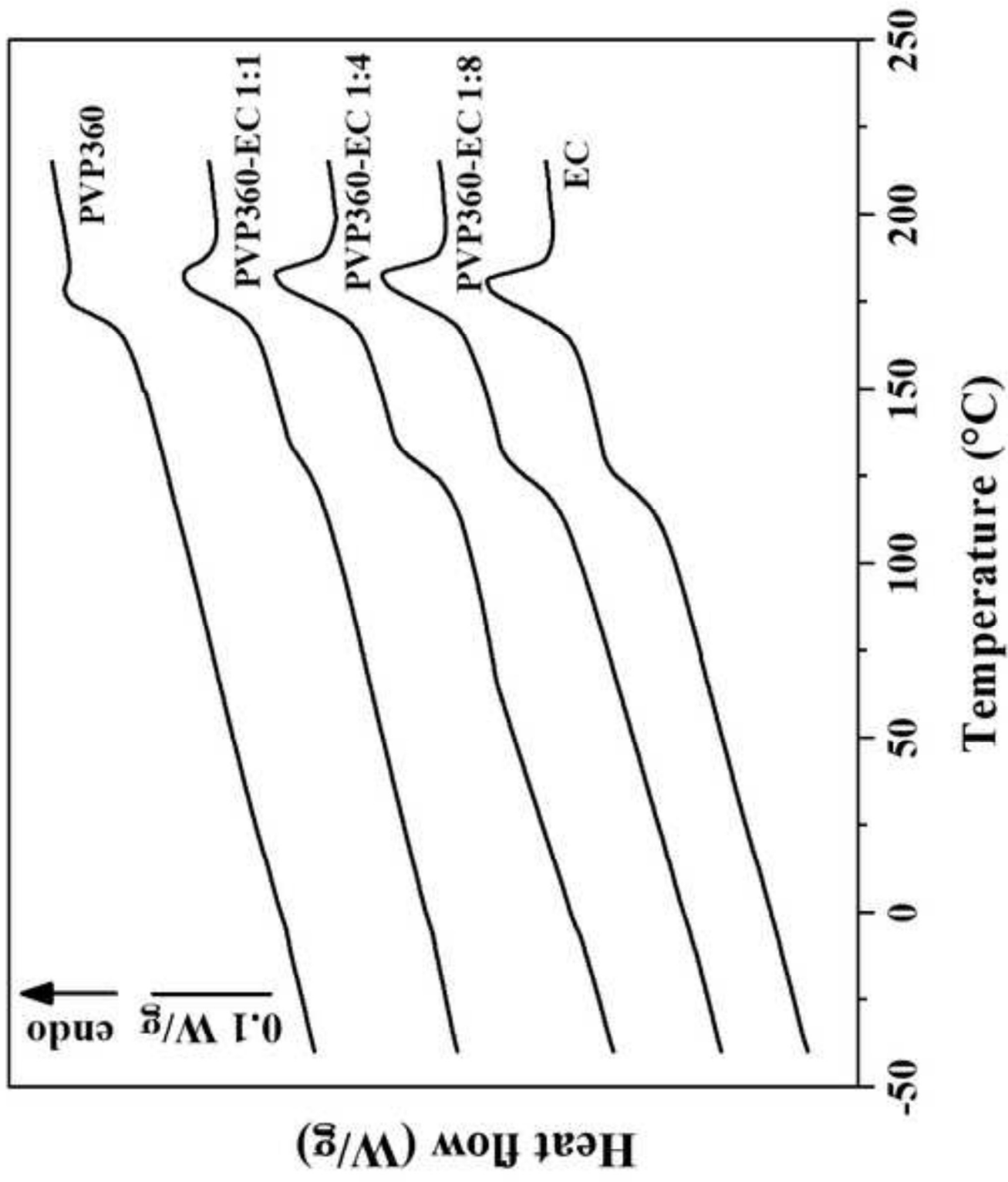
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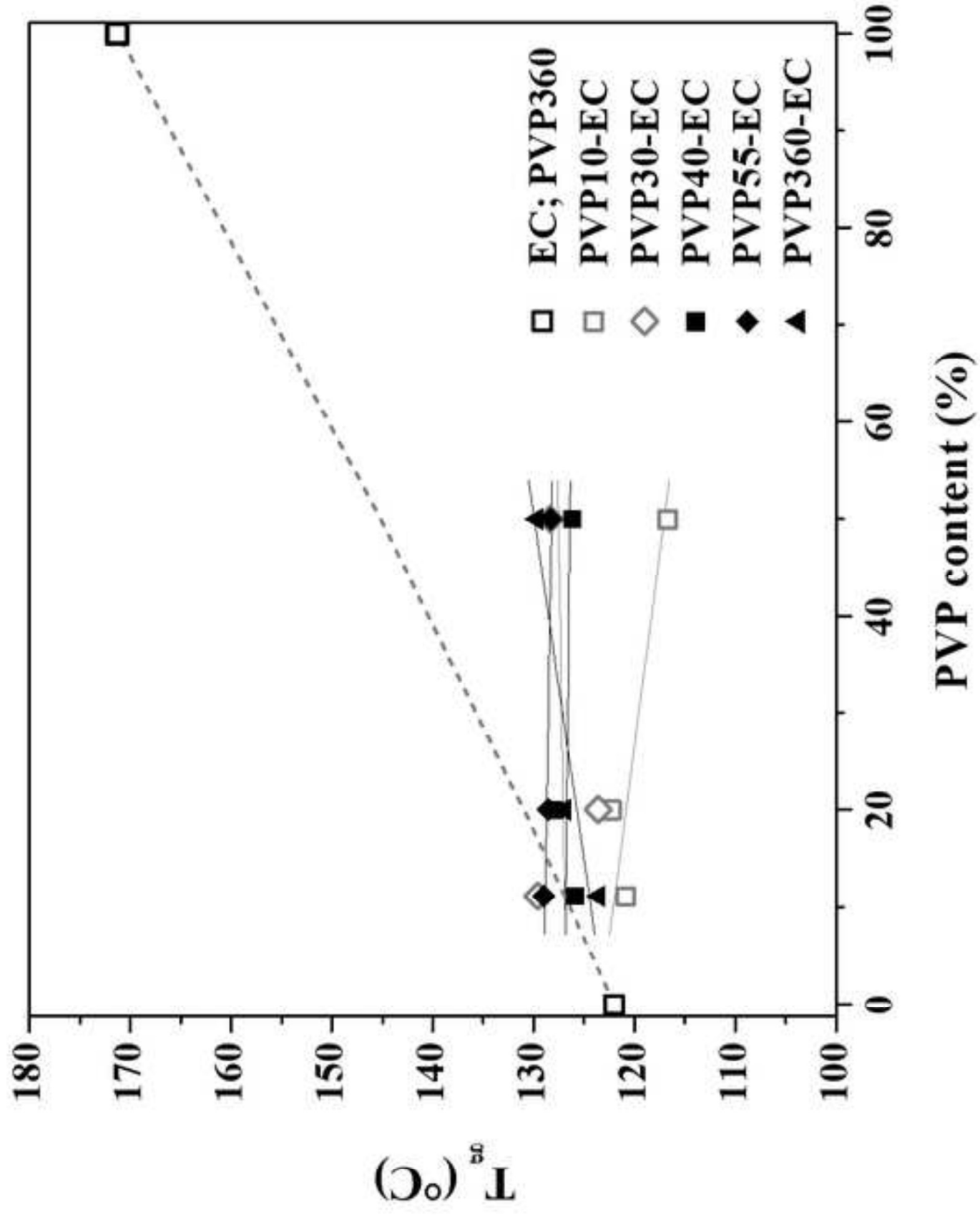
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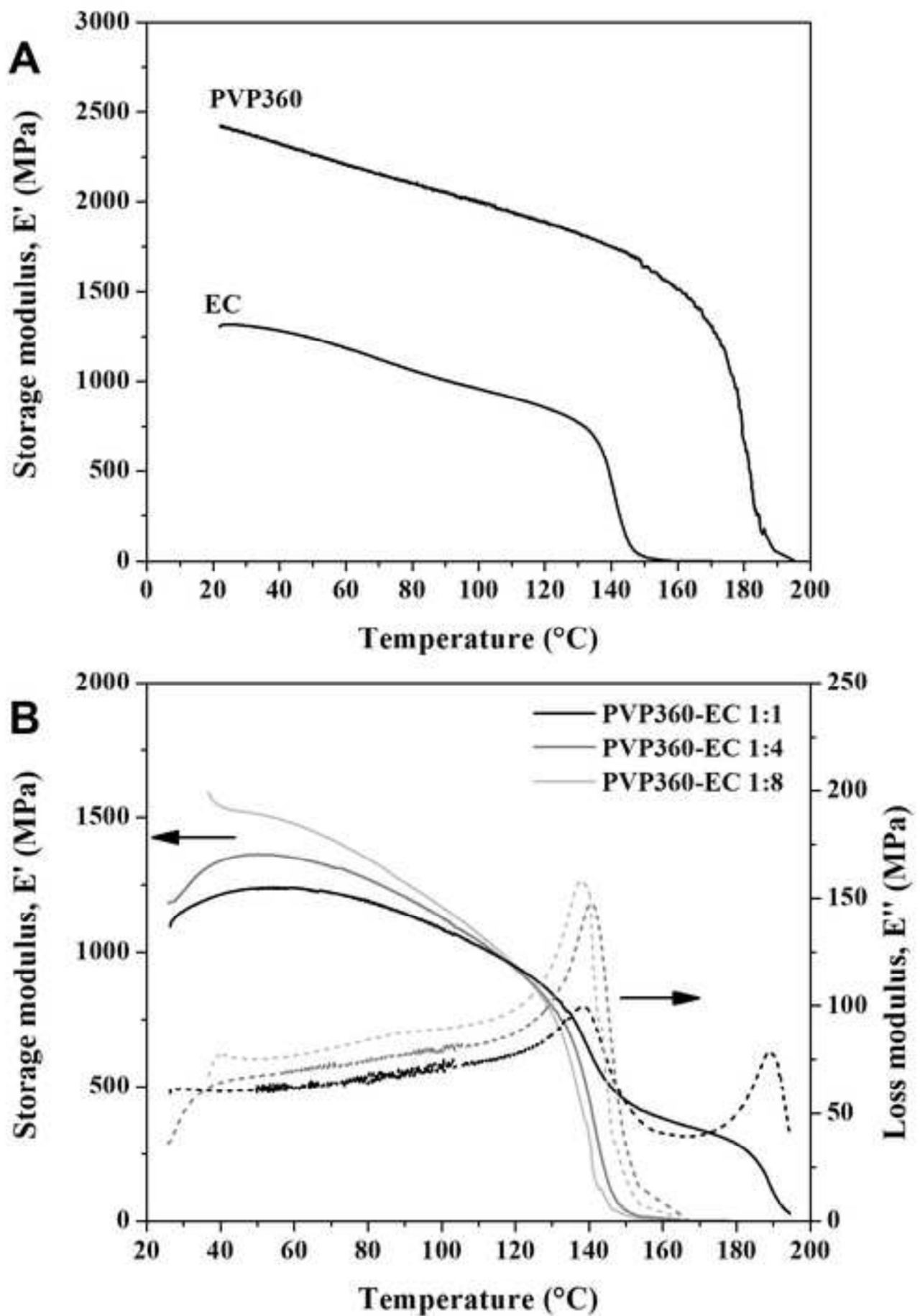


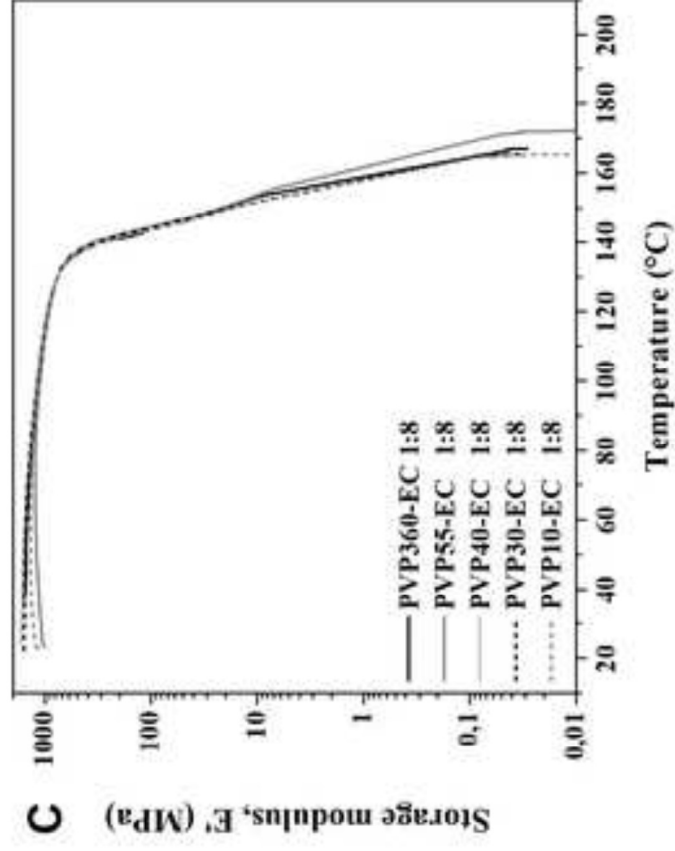
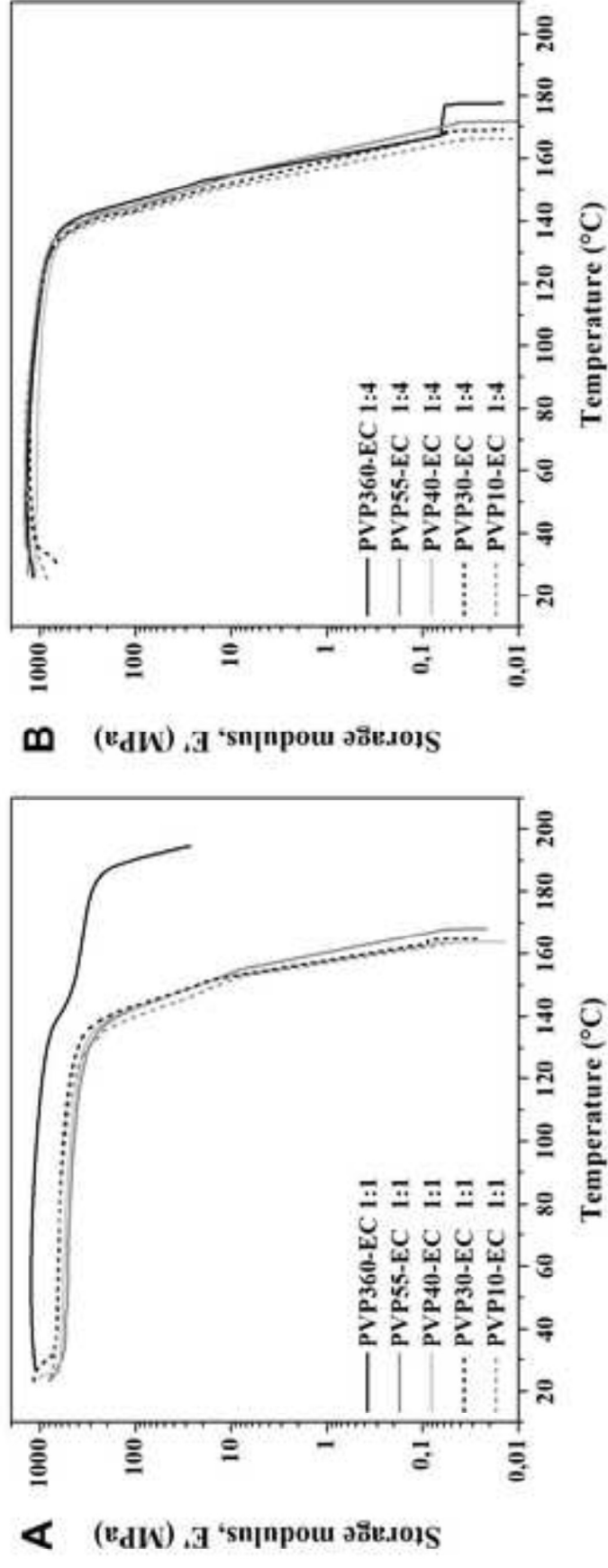


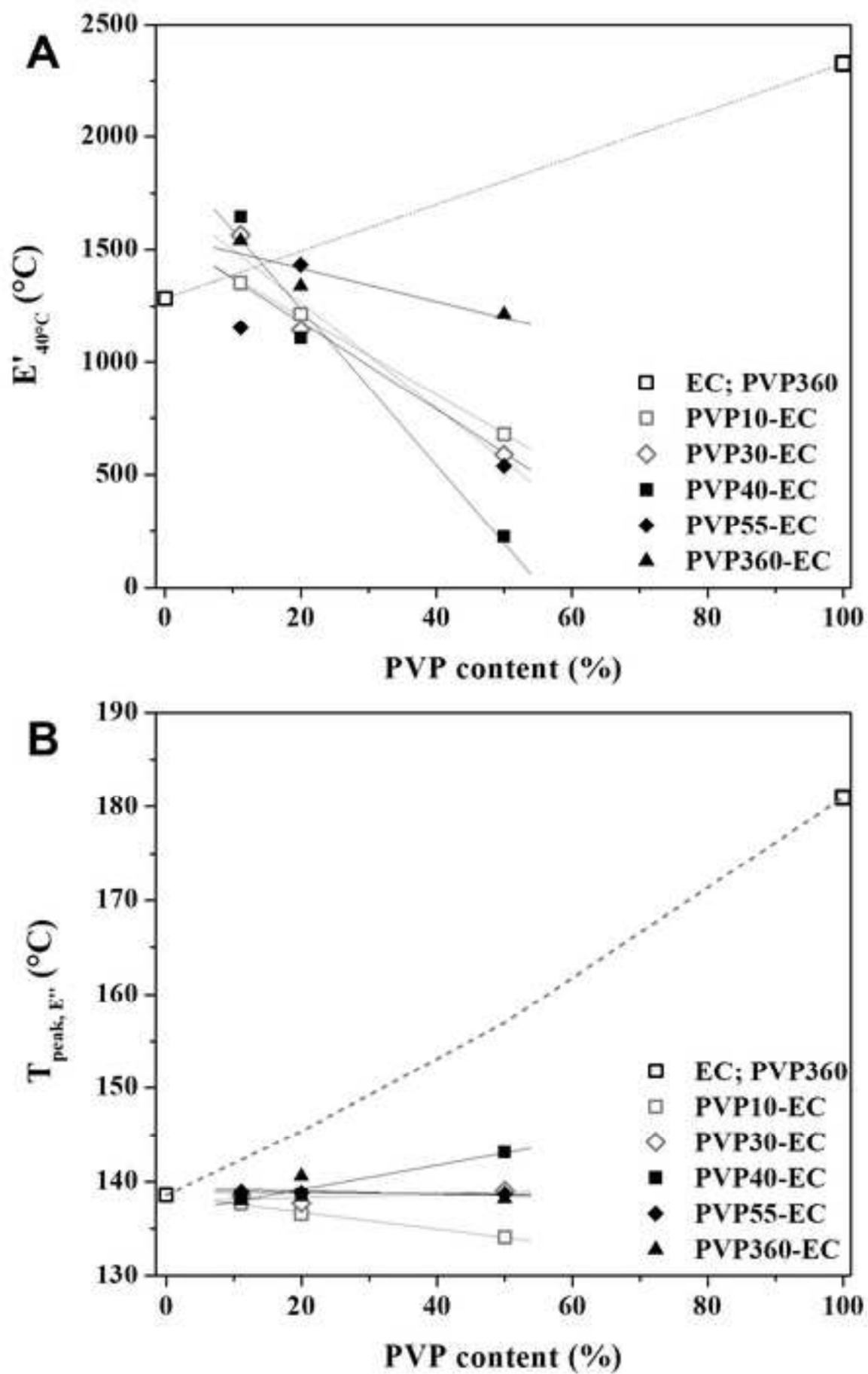


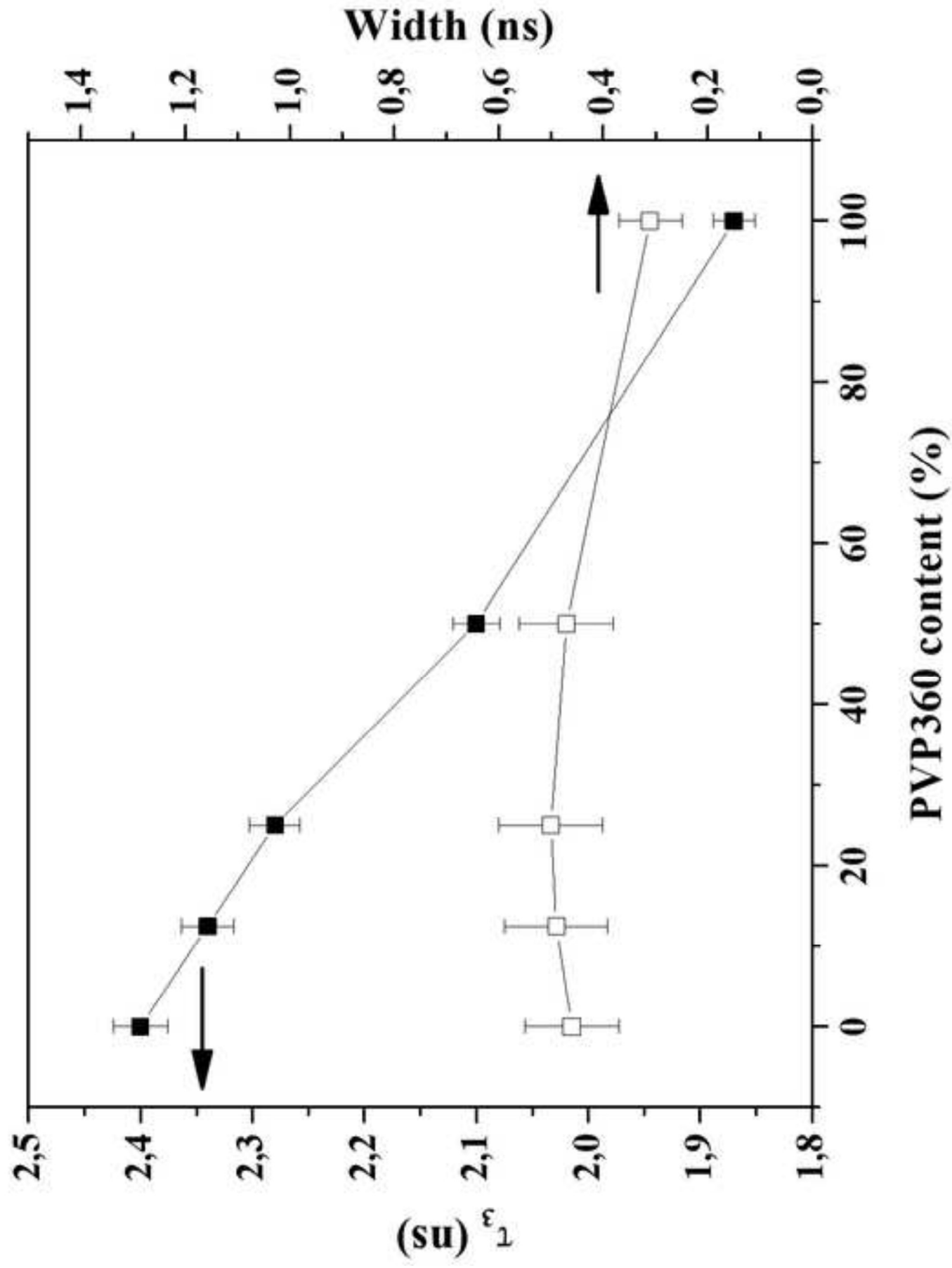


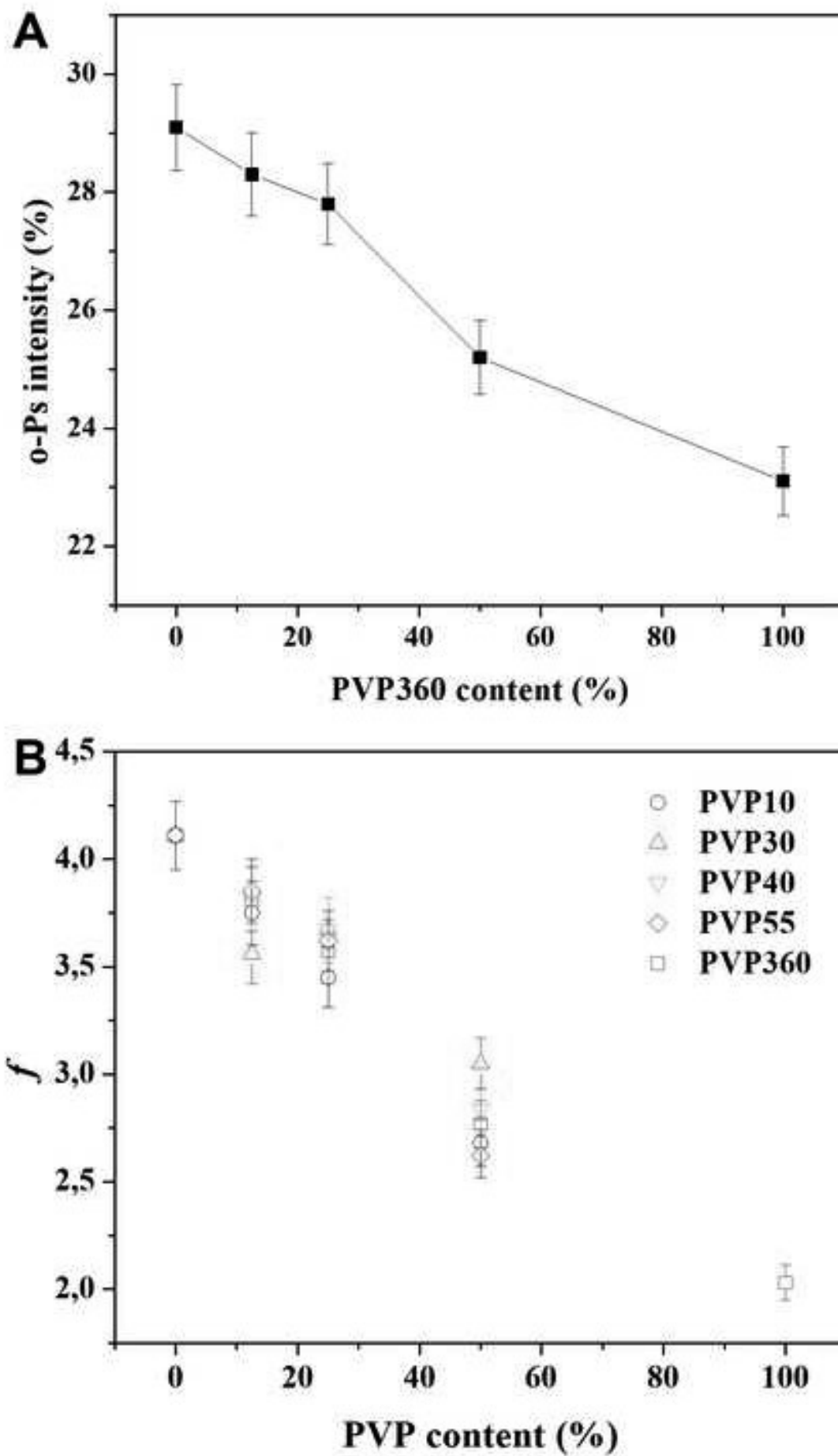




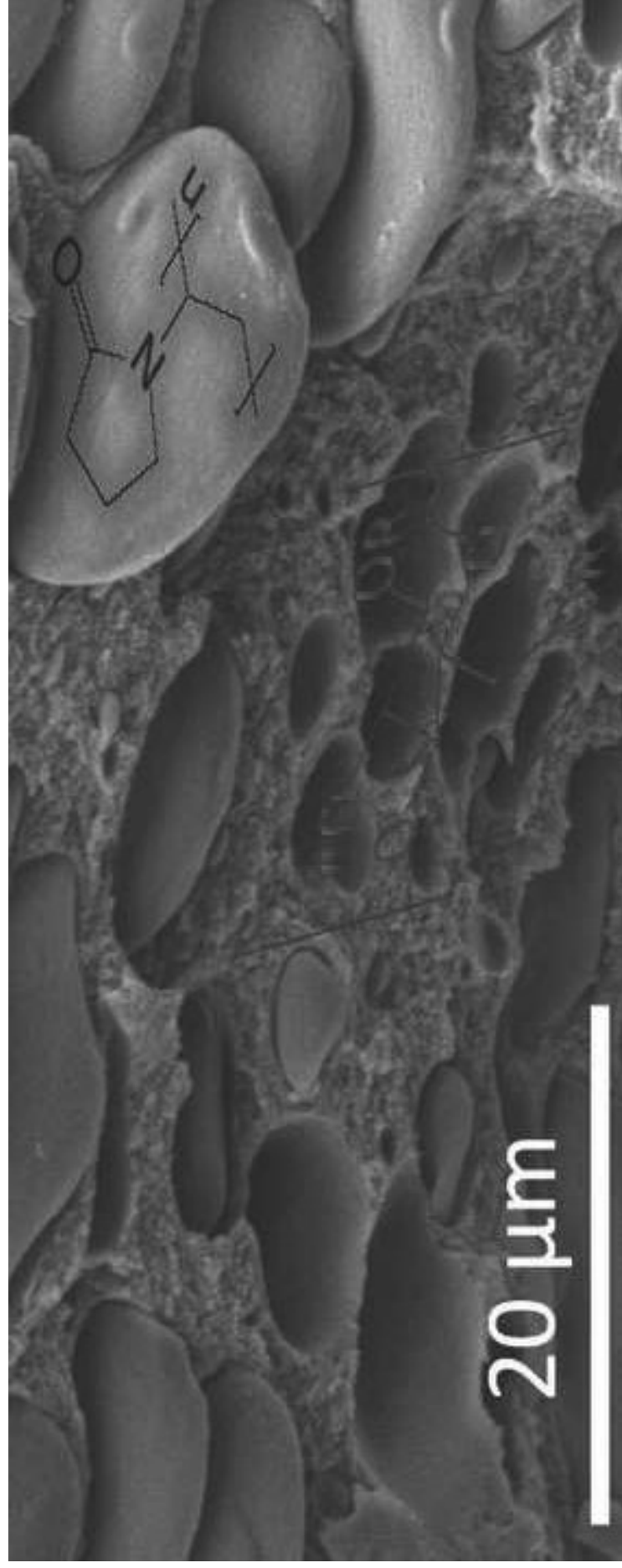


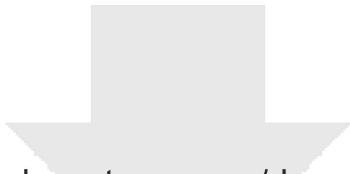












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