



Facile and sustainable functionalization method
for preparing sp^2 carbon allotropes
with different solubility parameters

Maurizio Galimberti

Vincenzina Barbera, Andrea Bernardi, Alberto Palazzolo, Alessandro Rosengart

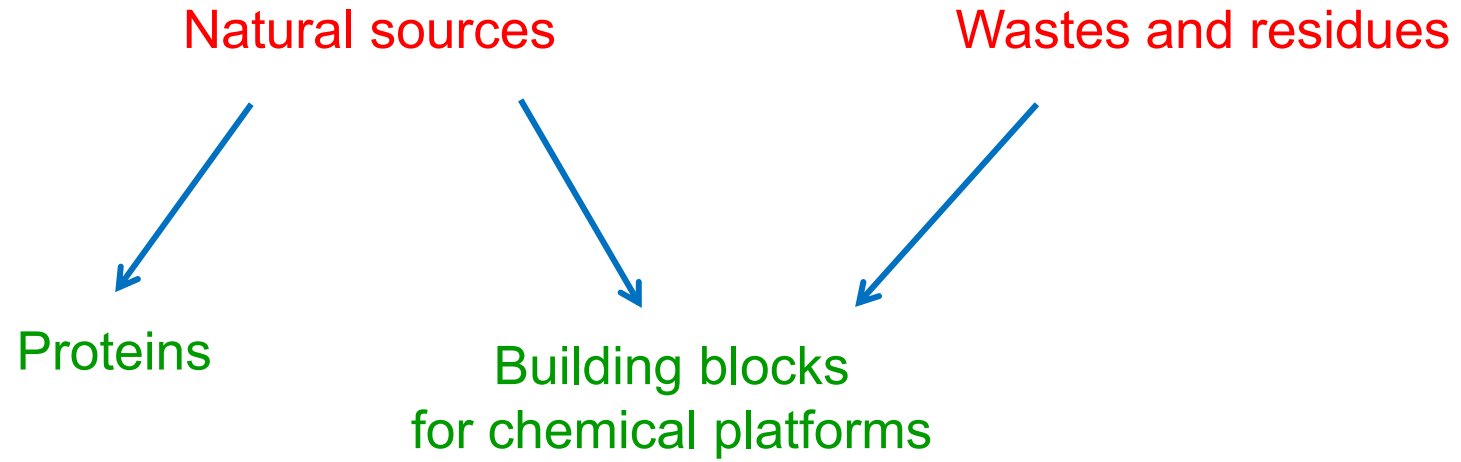
Politecnico di Milano, Department of Chemistry, Materials and Chemical Engineering "G. Natta"

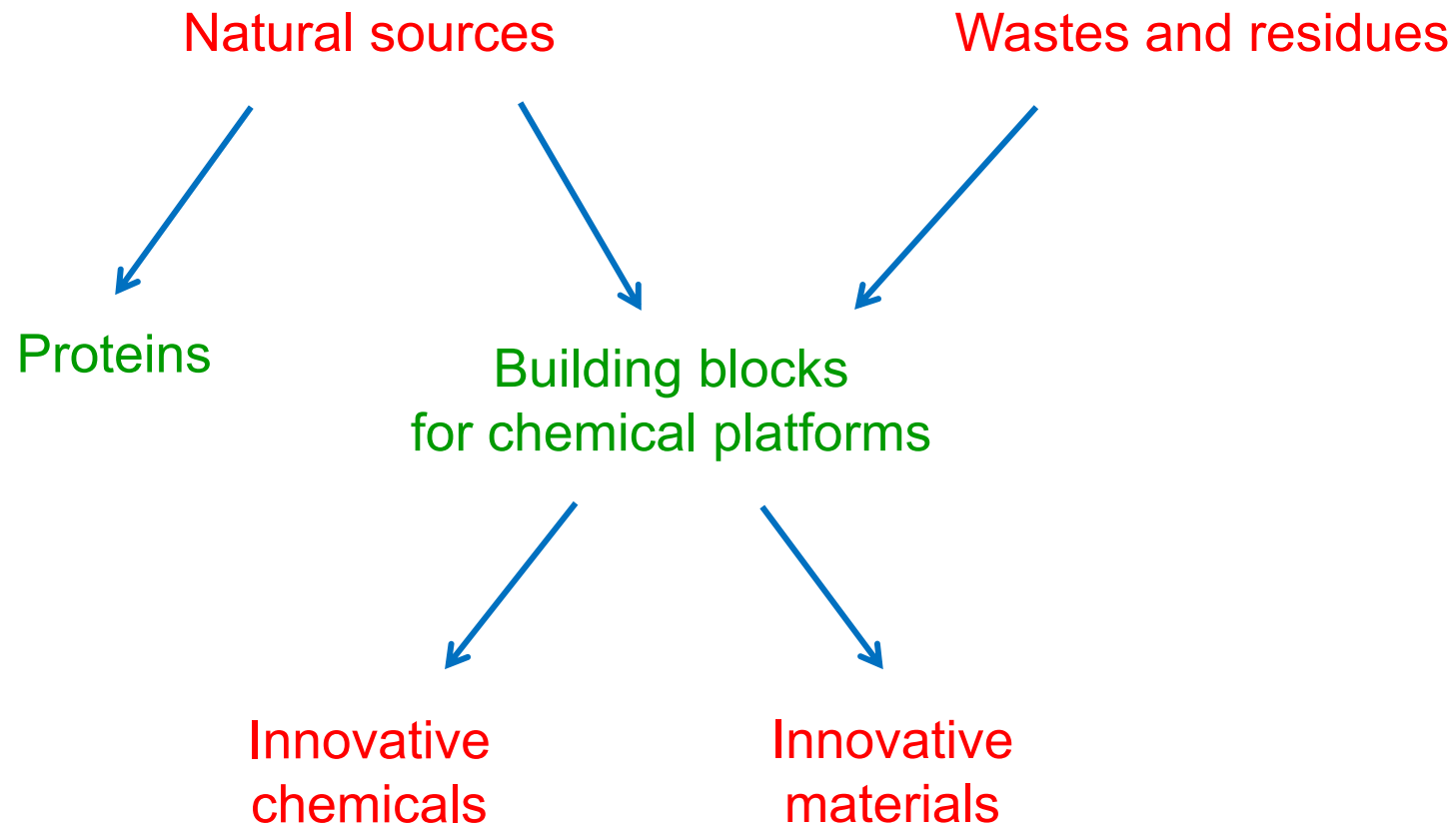


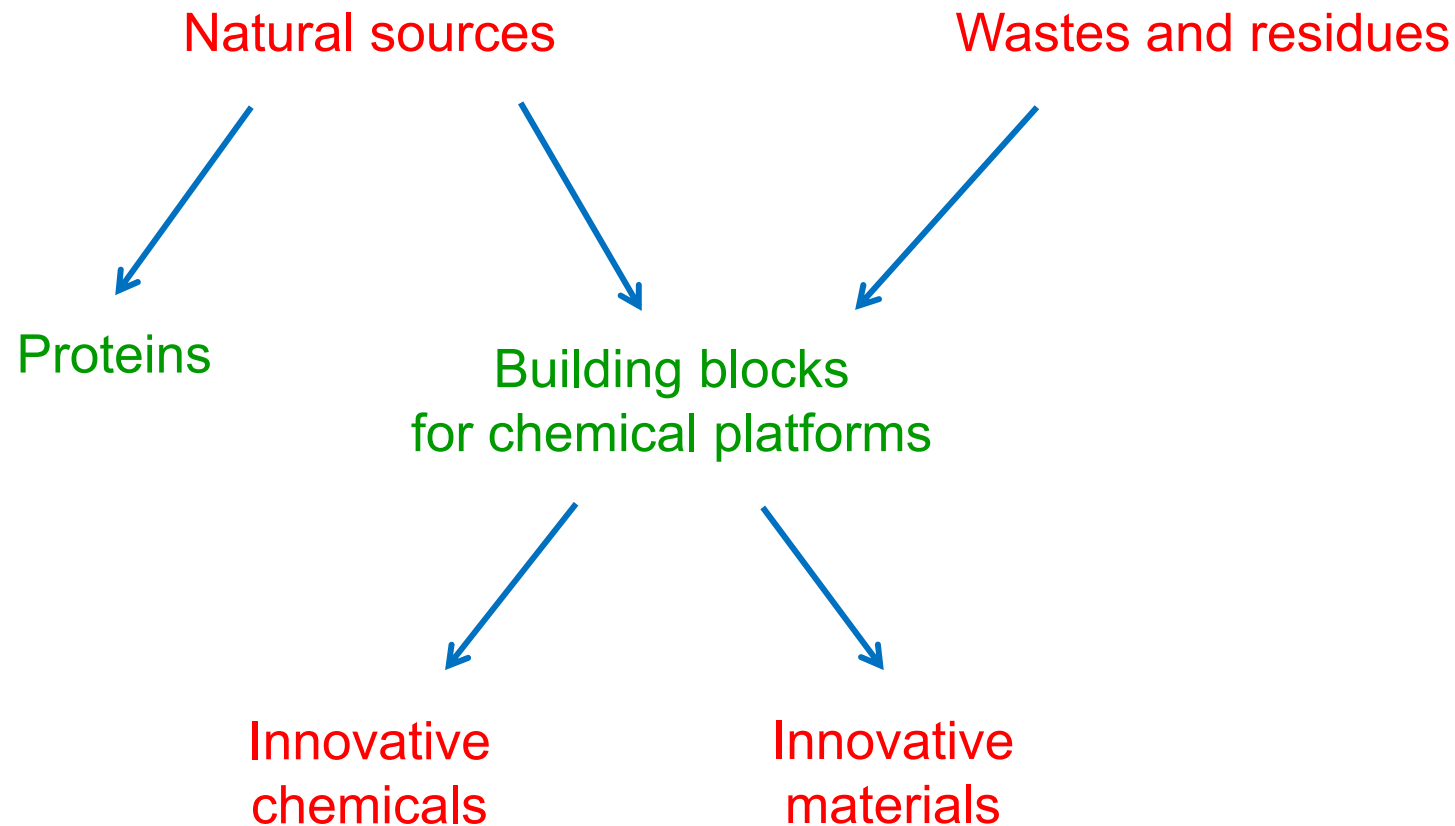
ISCaMaP

*Innovative **S**ustainable **C**hemistry and **M**aterials and **P**roteomics
Group*

Politecnico di Milano, Department of Chemistry, Materials and Chemical Engineering “G. Natta”







👉 Chemicals, Additives, Modifiers, Polymers

The DOE's Top Chemical Opportunities

Four Carbon 1,4-Diacids: Succinic, Fumaric and Malic

2,5-Furan dicarboxylic acid

3-Hydroxypropionic acid

Glucaric acid

Glycerol

Aspartic acid

Itaconic acid

3-Hydroxybutyrolactone

Sorbitol (Alcohol Sugar of Glucose)

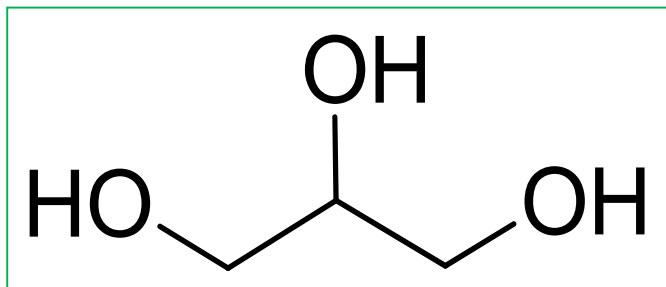
Xylitol/arabinitol (Sugar alcohols from xylose and arabinose)

Glutamic acid

Levulinic acid

DOE = US Department of Energy

Glycerol



IUPAC: propane-1,2,3-triol

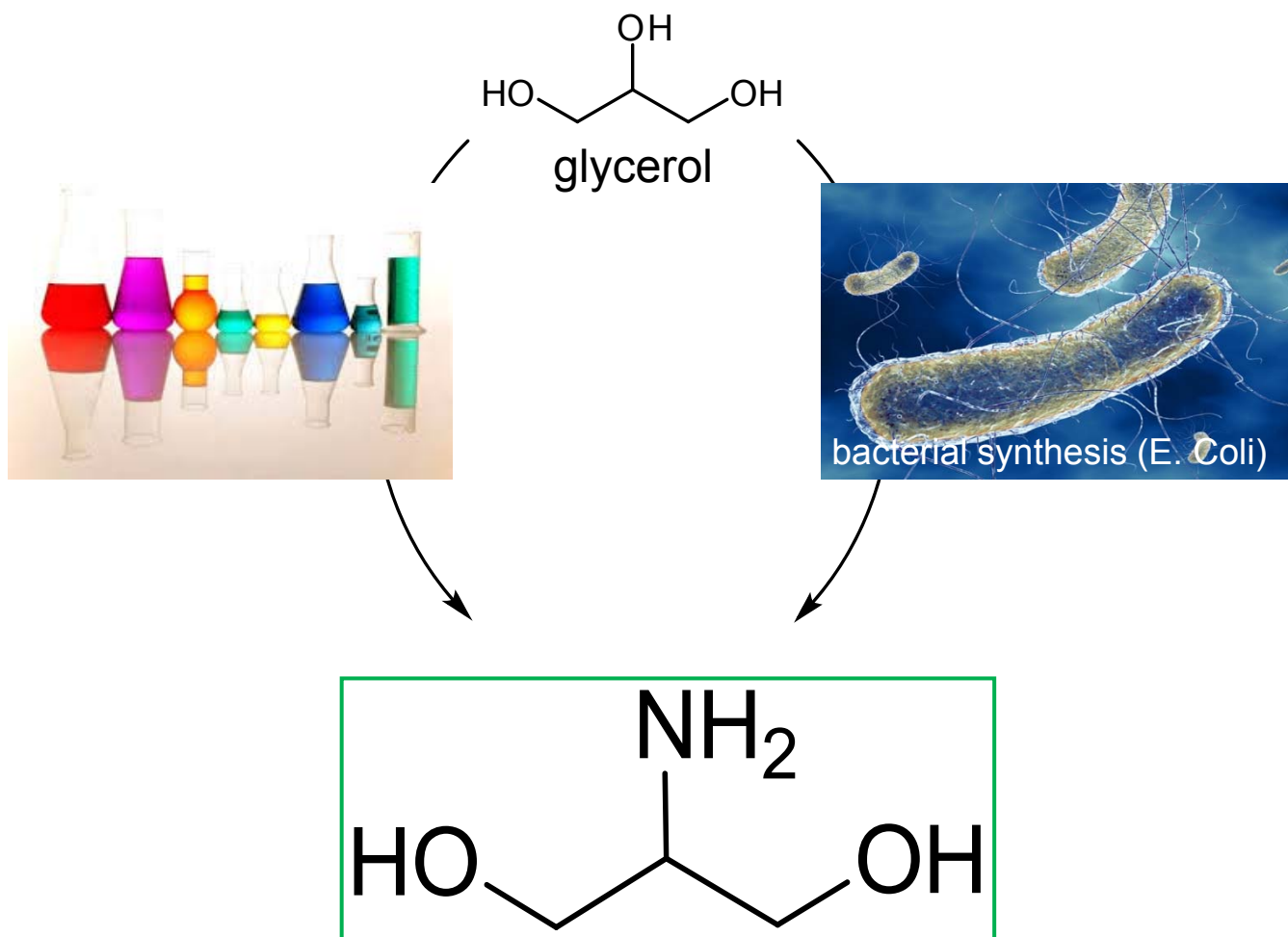
Formula: $C_3H_8O_3$

92.09 Da

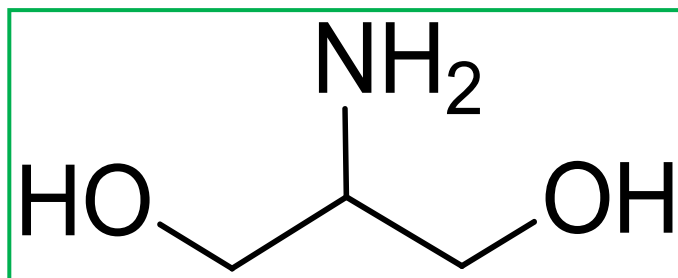
- ☞ easily available, cheap raw material
- ☞ main by-product of bio-diesel production
- ☞ not toxic
- ☞ biodegradable

M. Galimberti, V. Barbera, V. Cipolletti, S. Guerra, A. Citterio, *Proceedings of Fall 186th Technical Meeting of the Rubber Division of the American Chemical Society, Inc. Nashville (TN) October 14 - 16, 2014*

Selection of the building block: serinol

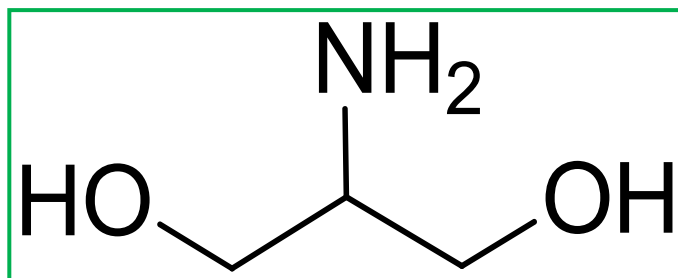


Selection of the building block: serinol

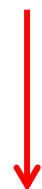


- ☞ Starting building block for many reaction pathways: many derivatives
- ☞ Chemoselectivity

Selection of the building block: serinol

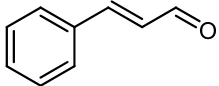
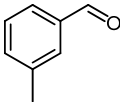

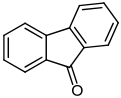
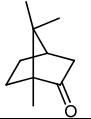
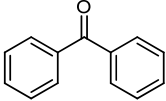
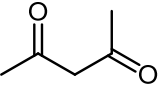


- ☞ Starting building block for many reaction pathways: many derivatives
- ☞ Chemoselectivity



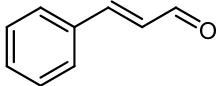
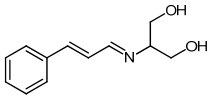
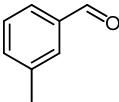
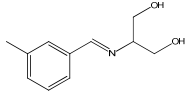

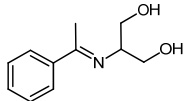
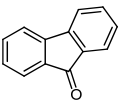
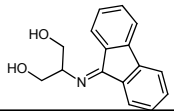
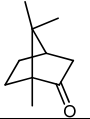
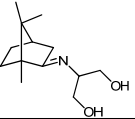
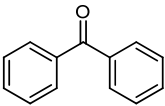
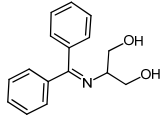
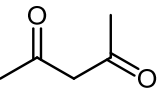
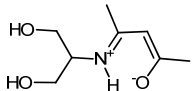
Reactions of the amino group with carbonyl compounds

Reaction of serinol with carbonyl compounds

Carbonyl Compound








☞ Aromatic and sterically hindered carbonyl compounds

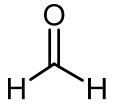

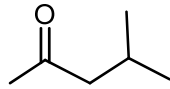
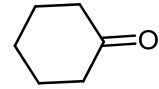
Imines from the Reaction of serinol with carbonyl compounds

Carbonyl Compound	Product	Yield (%)
		92
		98
		83
		80
		70
		75
		95

Imines

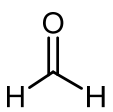
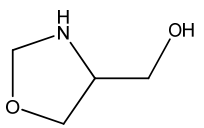

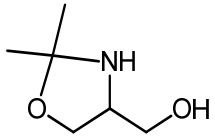
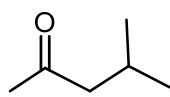
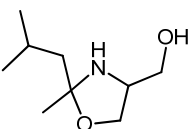
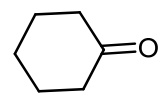
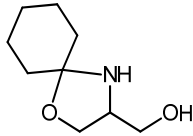
☞ Aromatic and sterically hindered carbonyl compounds
lead to Imines

Reaction of serinol with carbonyl compounds

Carbonyl Compound





☞ Aliphatic carbonyl compounds with low steric hindrance

Oxazolidines from the Reaction of serinol with carbonyl compounds

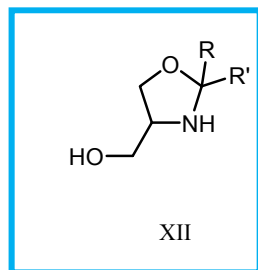
Carbonyl Compound	Product	Yield (%)
		56
		90
		95
		90

Oxazolidines

☞ Aliphatic carbonyl compounds with low steric hindrance
lead to oxazolidines

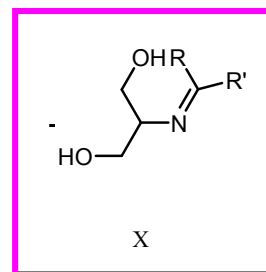
Reaction of serinol with carbonyl compounds.

Without steric hindrance
and aromatic substituents



Oxazolidines

With steric hindrance
and aromatic substituents



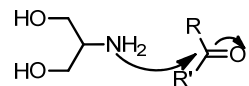
Imines

M. Galimberti, R. Sebastiano, V. Barbera, V. Cipolletti, G. Leonardi, S. Sun, L. Rossiello [WO 2017/115253 A1](#)

M. Galimberti, V. Barbera, S. Musto, G. Infortuna, V. Cipolletti, A. Citterio, S. Sun, [Submitted to Rubber Chemistry and Technology, Frontiers Edition](#)

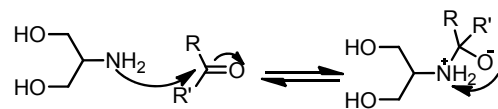
Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism

The nucleophilic nitrogen reacts with carbonyl group



Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism

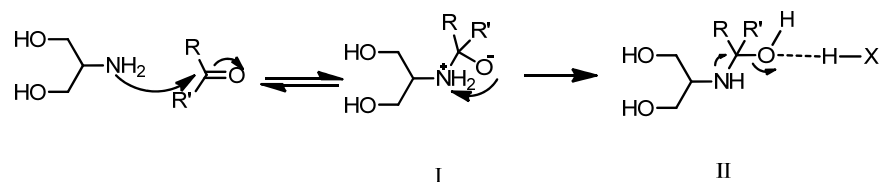
Proton transfer



I

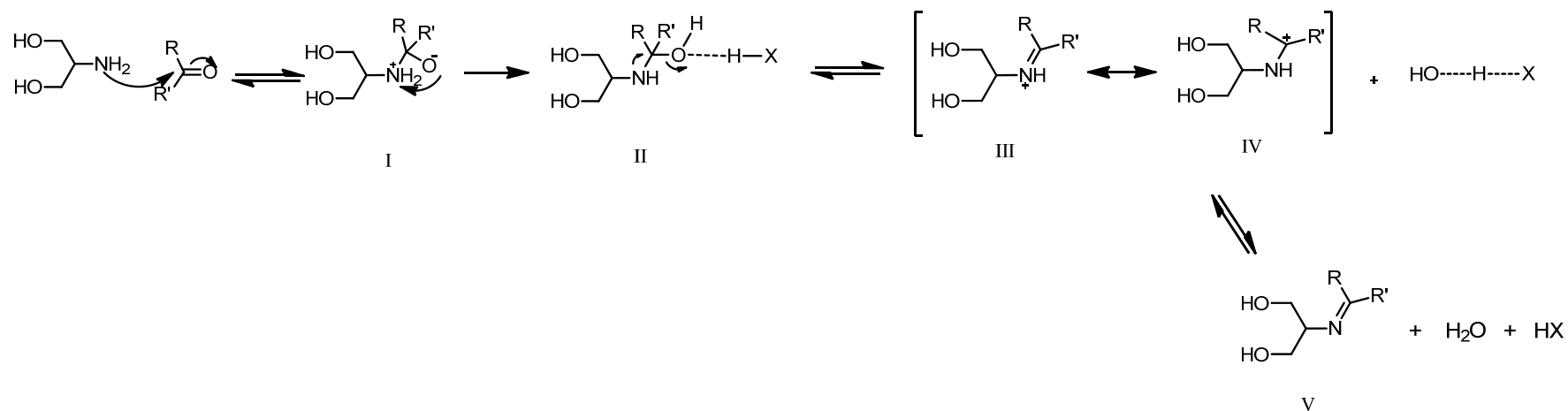
Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism

Release of a water molecule



H—X = General or specific acid cat.

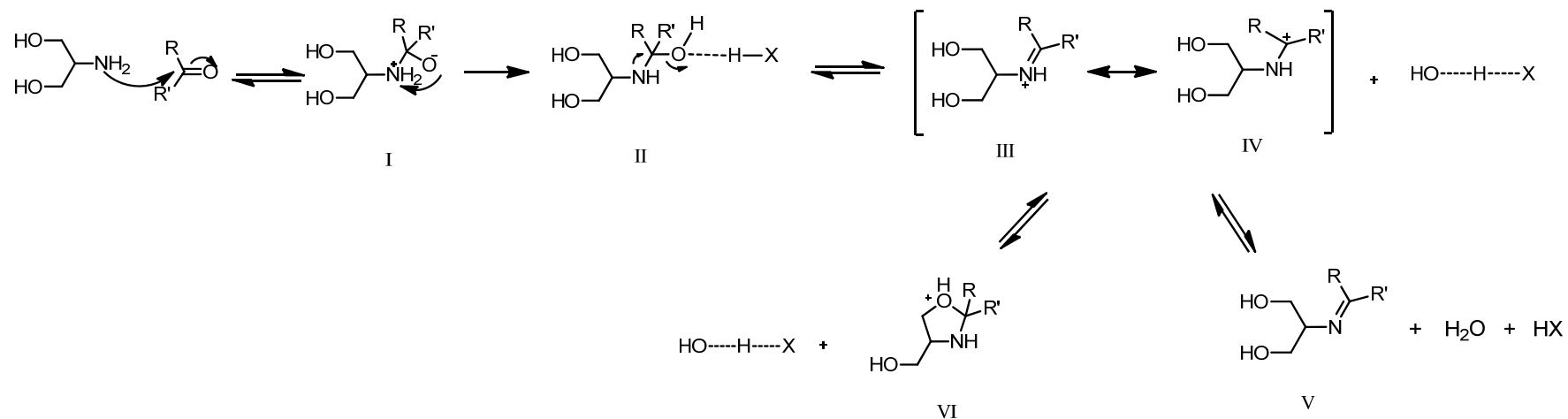
Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism



Proton abstraction. Formation of an imine

H—X = General or specific acid cat.

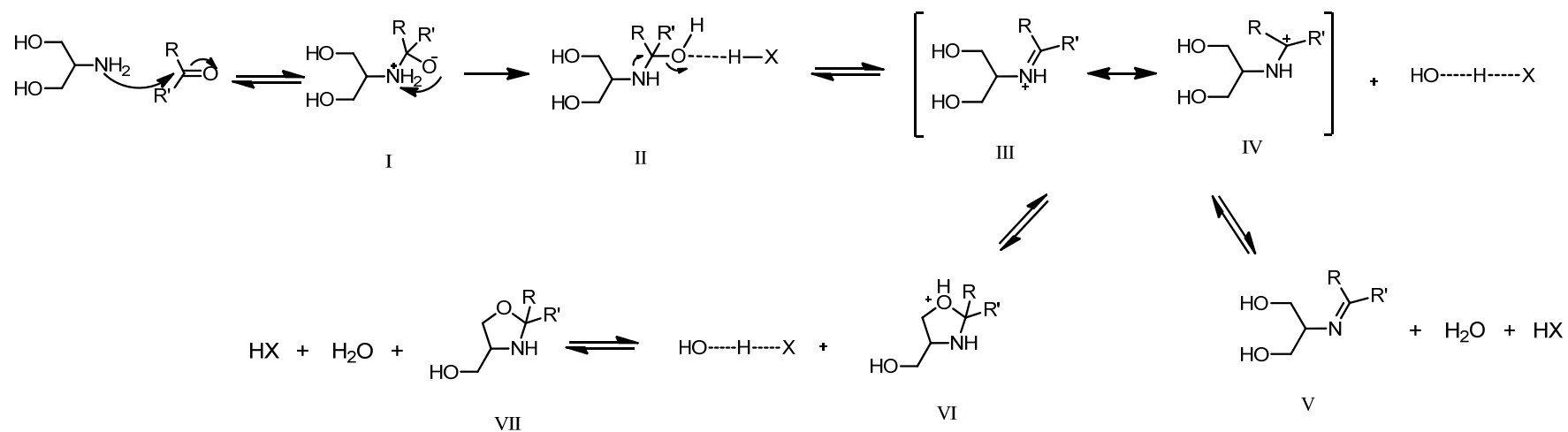
Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism



Nucleophilic intramolecular addition: protonated oxazolidine

H—X = General or specific acid cat.

Reaction of serinol with carbonyl compounds – Hypothesis of a mechanism

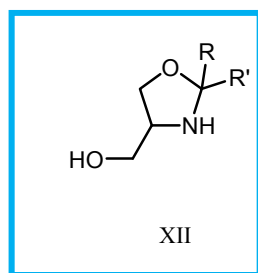


Proton abstraction

H—X = General or specific acid cat.

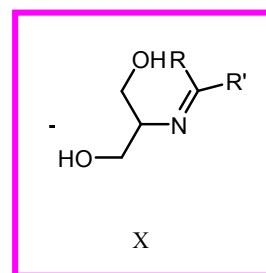
Reaction of serinol with carbonyl compounds.

Without steric hindrance
and aromatic substituents



Oxazolidines

With steric hindrance
and aromatic substituents



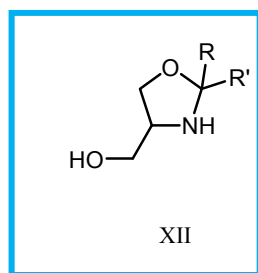
Imines

➡ Secondary accelerators for silica based compounds

M. Galimberti, V. Barbera, G. Infortuna, V. Cipolletti, A. Citterio, S. Sun [Proceedings of International Elastomer Conference Cleveland \(OH\), October 9-12, 2017](#)
M. Galimberti, V. Barbera, S. Musto, G. Infortuna, V. Cipolletti, A. Citterio, S. Sun, [Submitted to Rubber Chemistry and Technology, Frontiers Edition](#)

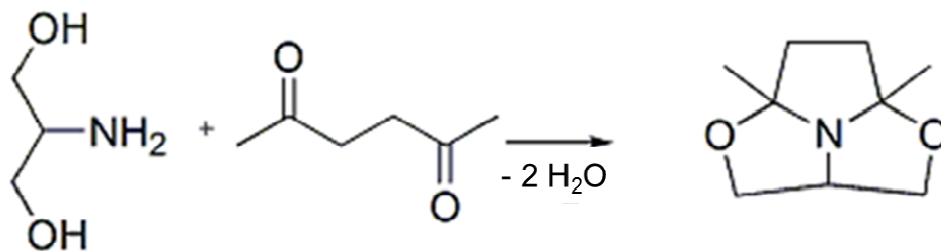
Reaction of serinol with carbonyl compounds.

Without steric hindrance
and aromatic substituents



Oxazolidines

Reaction of serinol with dicarbonyl compound



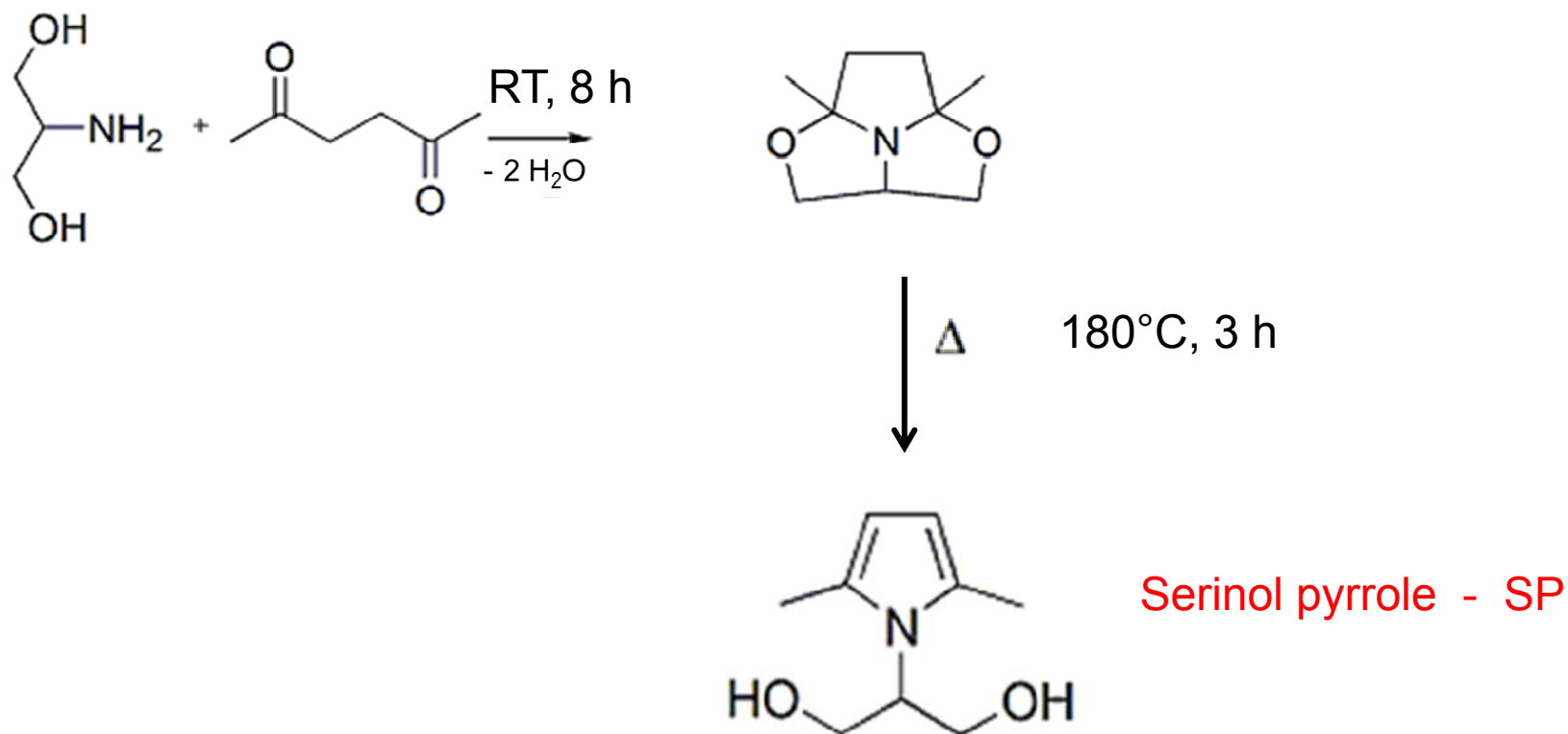
V. Barbera, A. Citterio, M. Galimberti, G. Leonardi, R. Sebastiano, S.U. Shisodia, A.M. Valerio *WO 2015 189411 A1*

M. Galimberti, V. Barbera, A. Citterio, R. Sebastiano, A. Truscello, A. M. Valerio, L. Conzatti, R. Mendichi, *Polymer*, vol 63, 20 April 2015, Pages 62–70

M. Galimberti, V. Barbera, S. Guerra, L. Conzatti, C. Castiglioni, L. Brambilla, A. Serafini, *RSC Adv.*, 2015, 5, 81142-81152 DOI: 10.1039/C5RA11387C

V. Barbera, S. Musto, A. Citterio, L. Conzatti, M. Galimberti, *eXPRESS Polymer Letters* 2016, 10 (7) 548–558

Reaction of serinol with dicarbonyl compound



2-(2,5-dimethyl-1H-pyrrol-1-yl)-1,3-propanediol

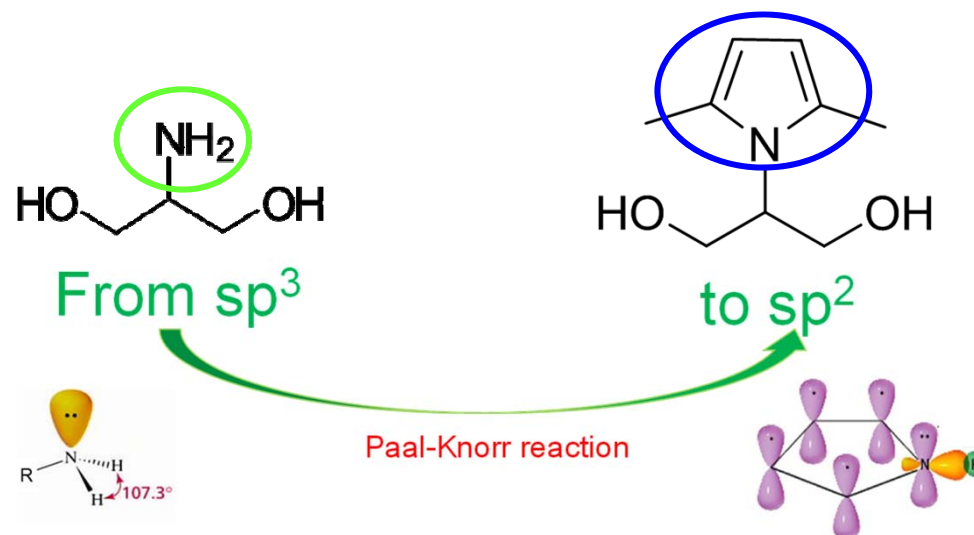
V. Barbera, A. Citterio, M. Galimberti, G. Leonardi, R. Sebastiano, S. U. Shisodia, A. M. Valerio *WO 2015 189411 A1*

M. Galimberti, V. Barbera, A. Citterio, R. Sebastiano, A. Truscello, A. M. Valerio, L. Conzatti, R. Mendichi, *Polymer*, vol 63, 20 April 2015, Pages 62–70

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V. Barbera, S. Musto, A. Citterio, L. Conzatti, M. Galimberti, *eXPRESS Polymer Letters* 2016, 10 (7) 548–558

Neat synthesis of Serinol pyrrole

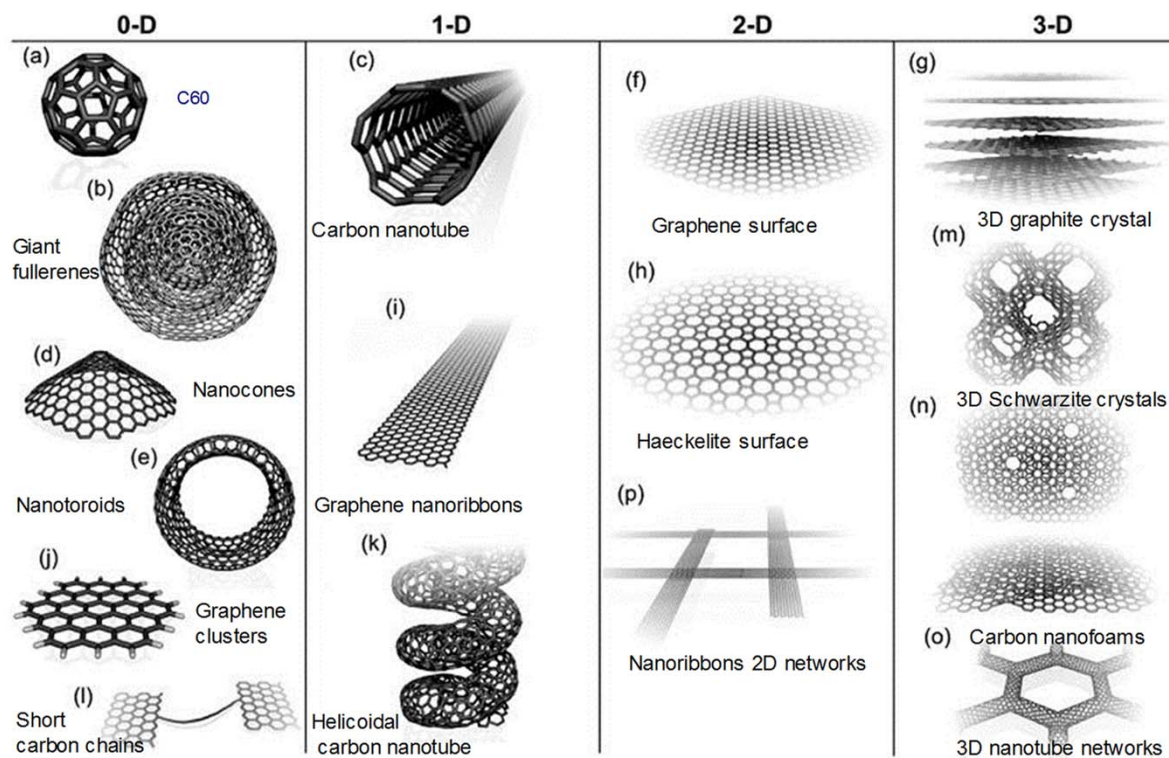
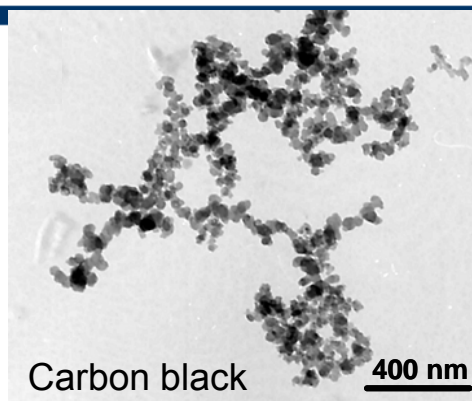


- Yield: at least **96%**
- Atom efficiency: **85%**
- Easy procedure
- **No solvent**
- By product: **H₂O**

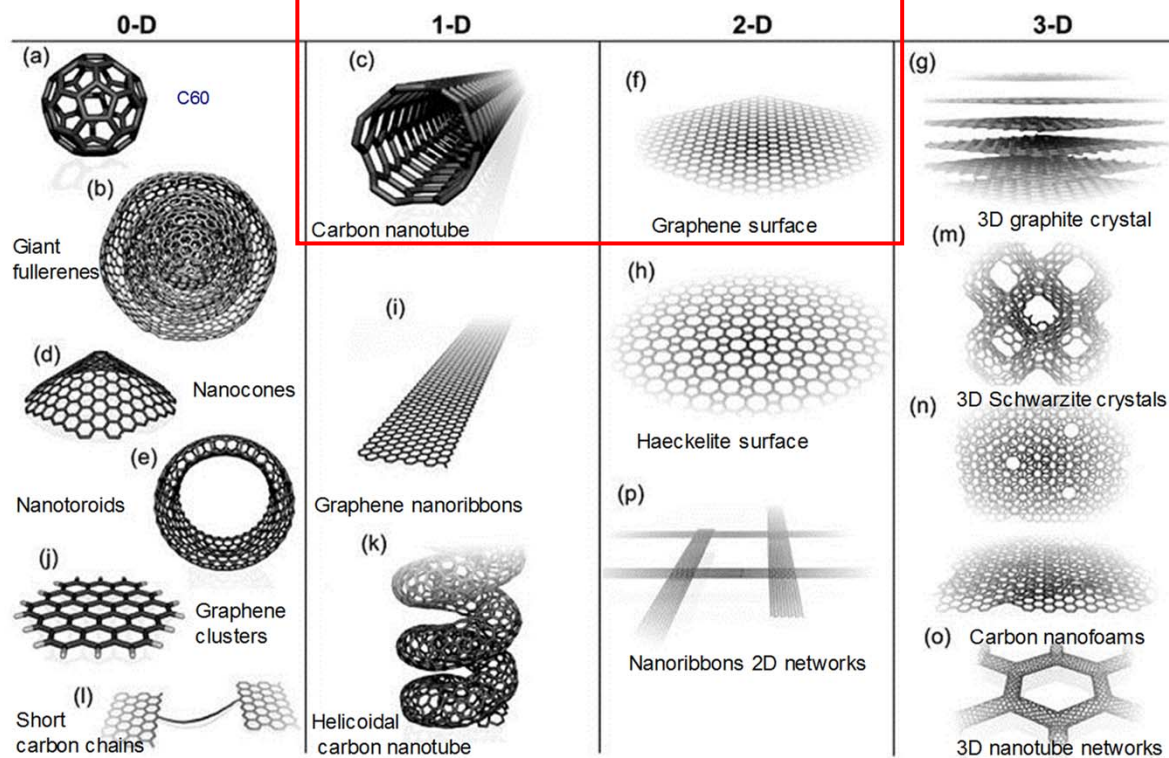
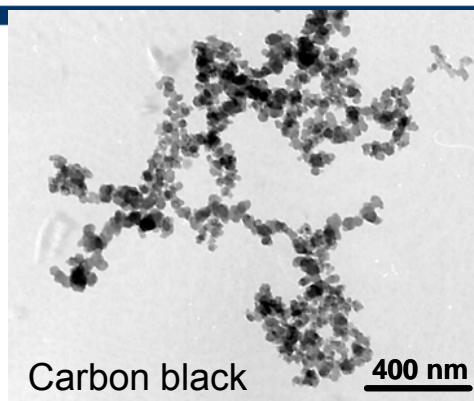


Functionalization of sp^2 carbon allotropes

sp² Carbon allotropes (CA)



sp² Carbon allotropes (CA)



CA-SP Adducts - Preparation

CA + SP

SP = 1 – 20 phc

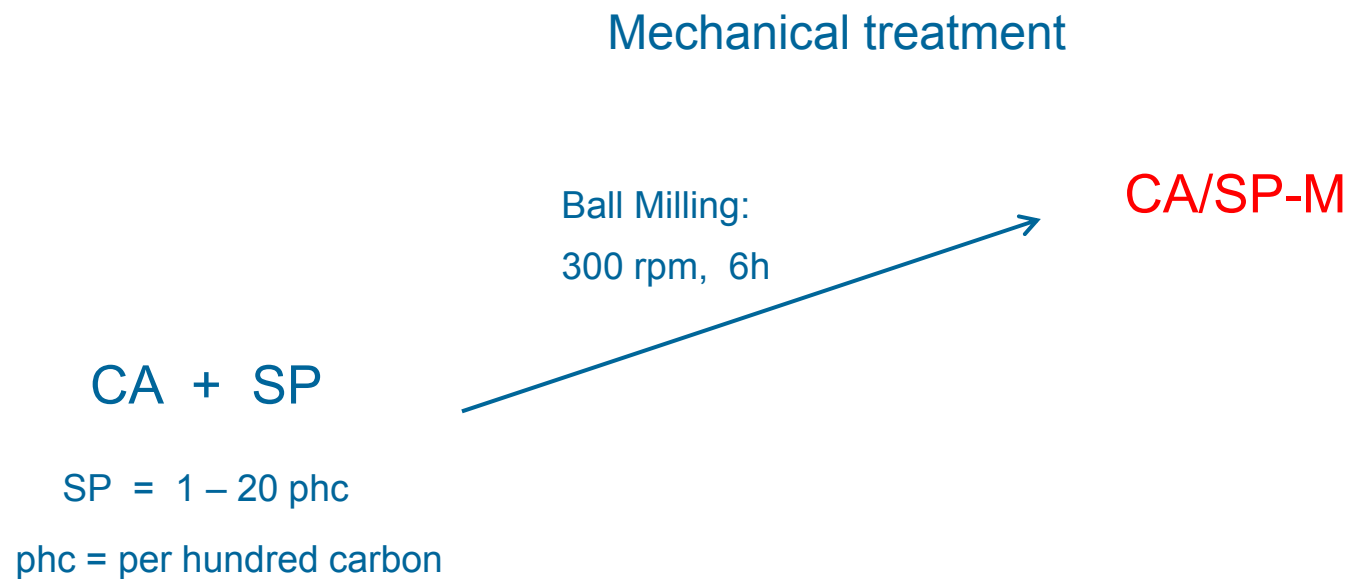
phc = per hundred carbon

Galimberti, M., Barbera, V., Guerra, S., Conzatti, L., Castiglioni, C., Brambilla, L., A. Serafini, [RSC Advances, 5\(99\), \(2015\) 81142-81152](#)

Galimberti, M., Barbera, V., Sebastiano, R., Valerio A.M. Leonardi, G., Citterio, [US 2017 0275169 A1](#)

Galimberti M., Barbera V., Guerra S., Bernardi A., [Rubber Chemistry and Technology, 2017, 90\(2\), 285-307.](#)

CA-SP Adducts - Preparation

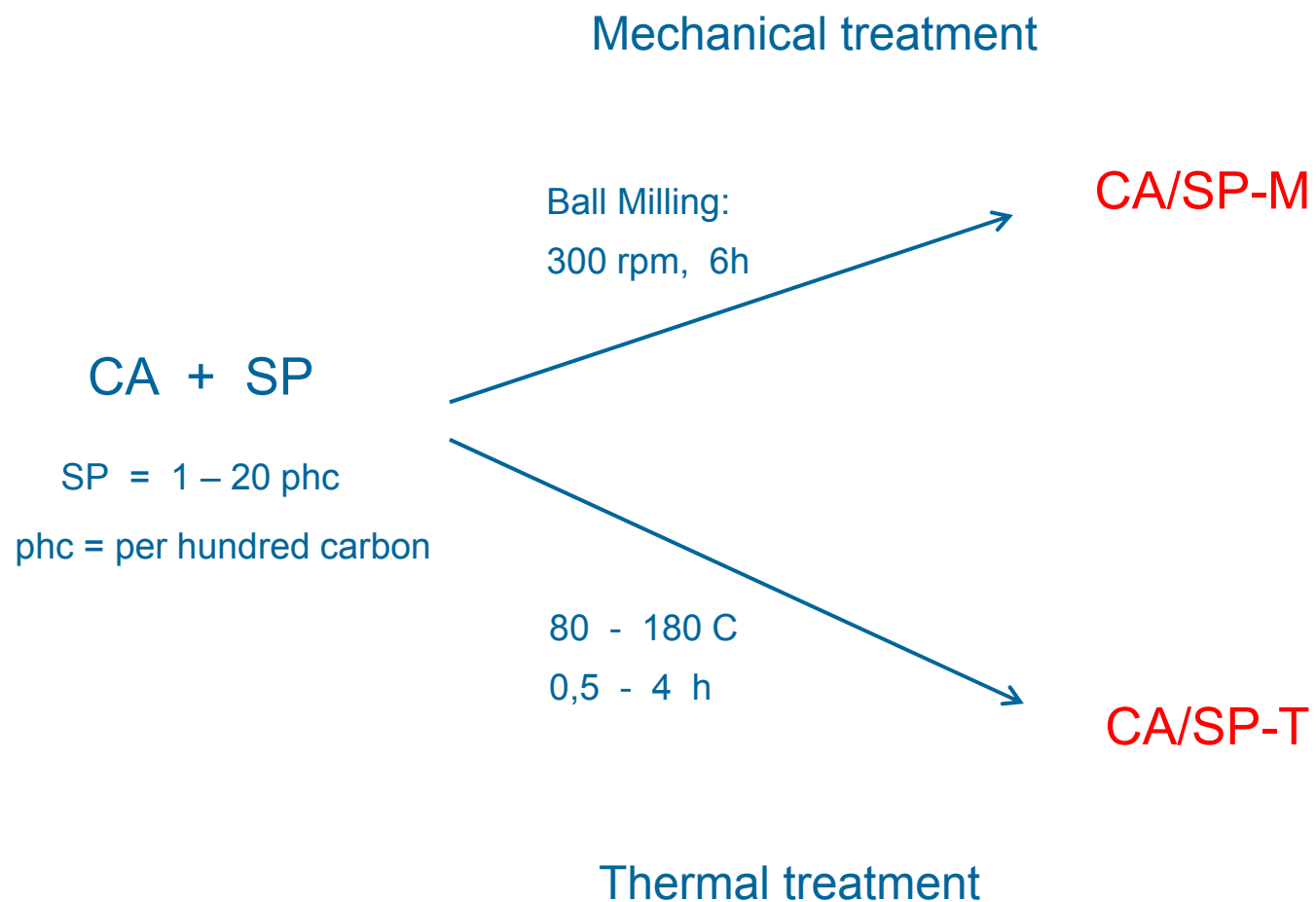


Galimberty, M., Barbera, V., Guerra, S., Conzatti, L., Castiglioni, C., Brambilla, L., A. Serafini, [RSC Advances, 5\(99\), \(2015\) 81142-81152](#)

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CA-SP Adducts - Preparation



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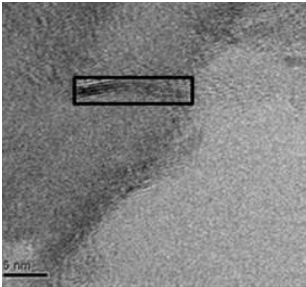
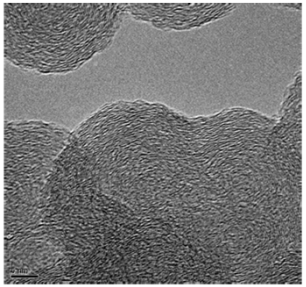
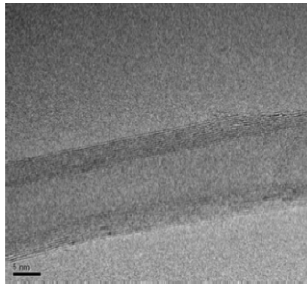
Galimberti, M., Barbera, V., Sebastiano, R., Valerio A.M. Leonardi, G., Citterio, [US 2017 0275169 A1](#)

Galimberti M., Barbera V., Guerra S., Bernardi A., [Rubber Chemistry and Technology, 2017, 90\(2\), 285-307.](#)

CA-SP Adducts - Yield of functionalization*

Thermal treatment

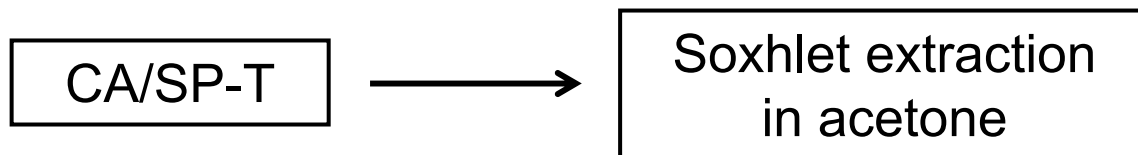
SP = 5 phc; 150°C, 2 h

	HSAG	CB	MWCNT
			
BET Surface area: [m ² /g]	300	77	275
Functionalization Yield(%)*:	96	82	92

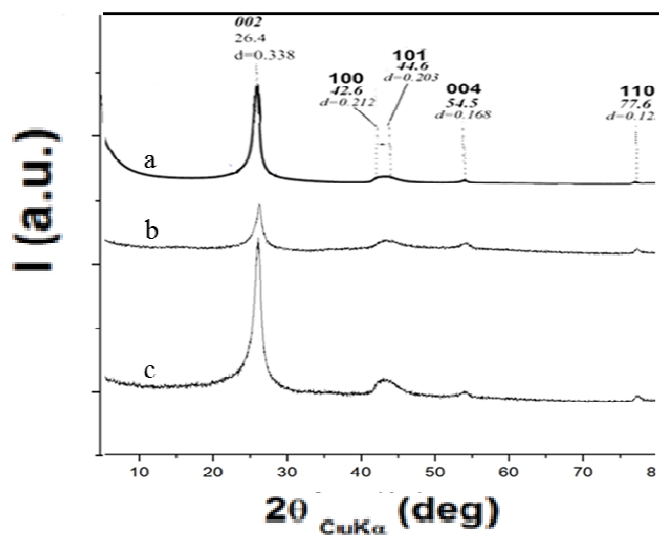
* Functionalization Yield (%) = $100 * \frac{\text{SP mass \% in (CA-SP adduct) after acetone washing}}{\text{SP mass \% in (CA-SP adduct) before acetone washing}}$ from TGA

HSAG from Asbury, CB from Cabot, CNT from Nanocyl

Adducts of SP with high surface area graphite (HSAG)



WAXD

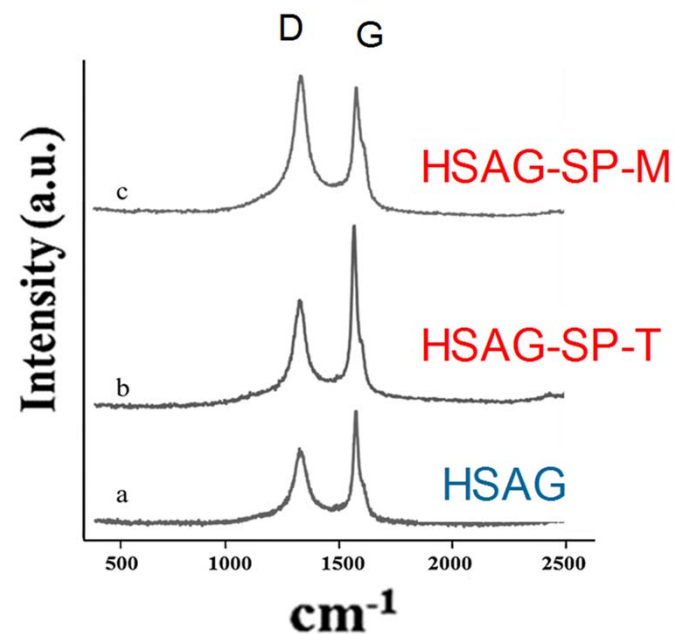


HSAG

HSAG-SP-M

HSAG-SP-T

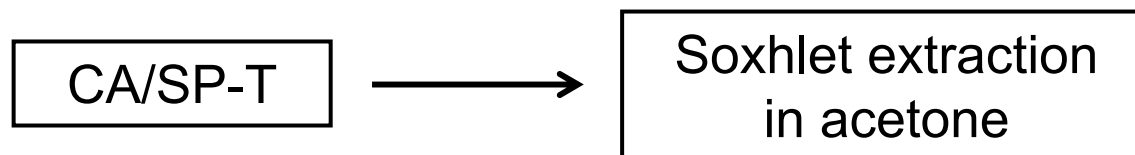
Raman



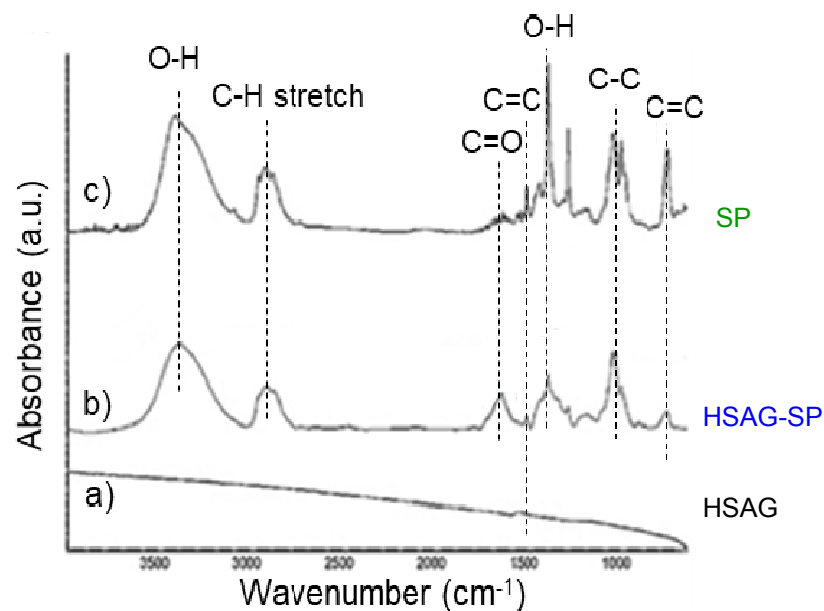
Galimberti, M., Barbera, V., Guerra, S., Conzatti, L., Castiglioni, C., Brambilla, L., A. Serafini, *RSC Advances*, 5(99), (2015) 81142-81152

Galimberti M., Barbera V., Guerra S., Bernardi A., *Rubber Chemistry and Technology*, 2017, 90(2), 285-307.

Adducts of SP with high surface area graphite (HSAG)



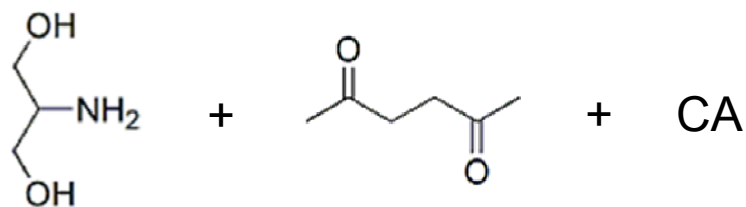
FT-IR



Galimberti, M., Barbera, V., Guerra, S., Conzatti, L., Castiglioni, C., Brambilla, L., A. Serafini, *RSC Advances*, 5(99), (2015) 81142-81152

Galimberti M., Barbera V., Guerra S., Bernardi A., *Rubber Chemistry and Technology*, 2017, 90(2), 285-307.

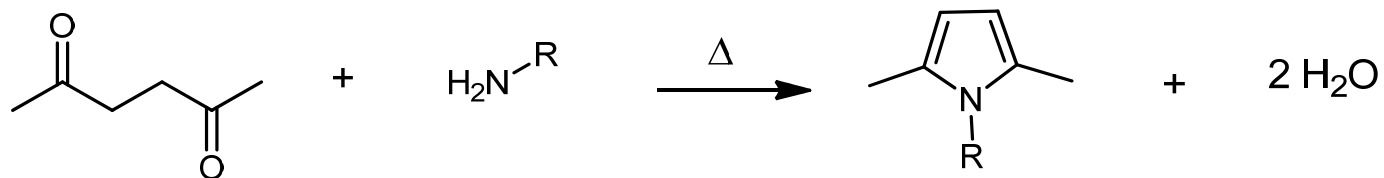
CA-SP Adducts - SP formed *in situ*



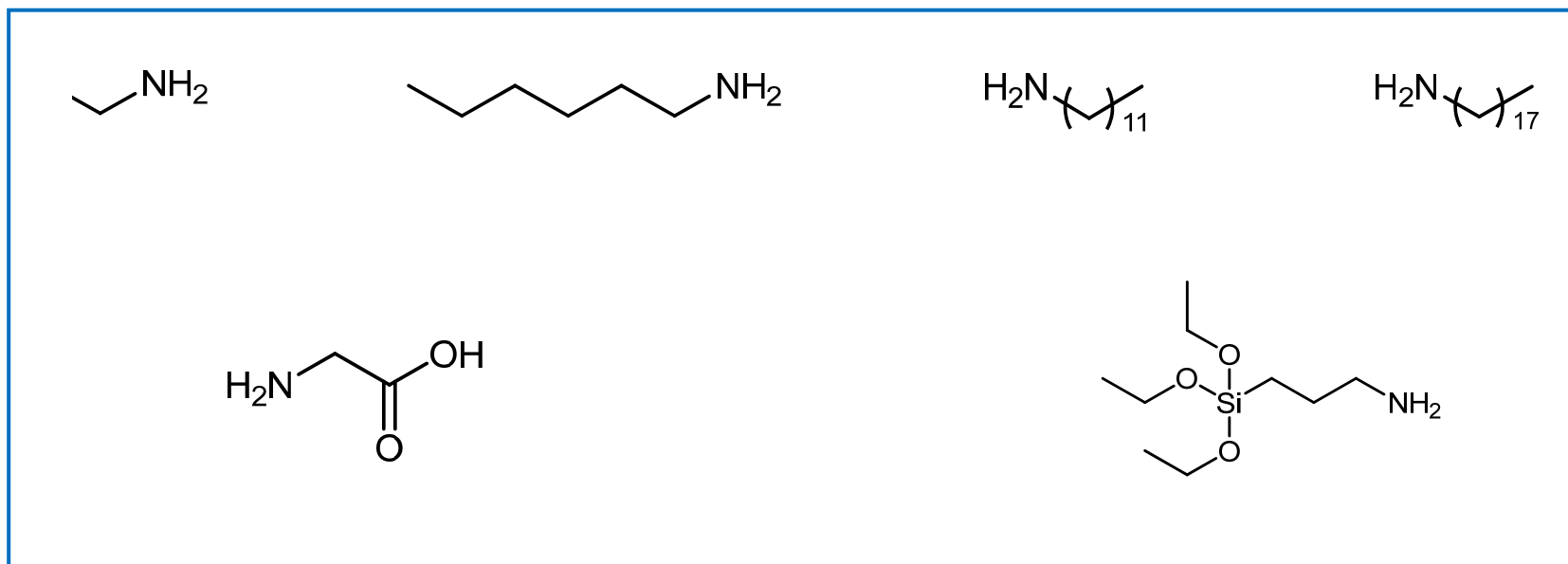
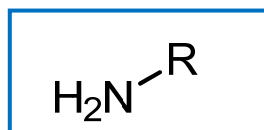
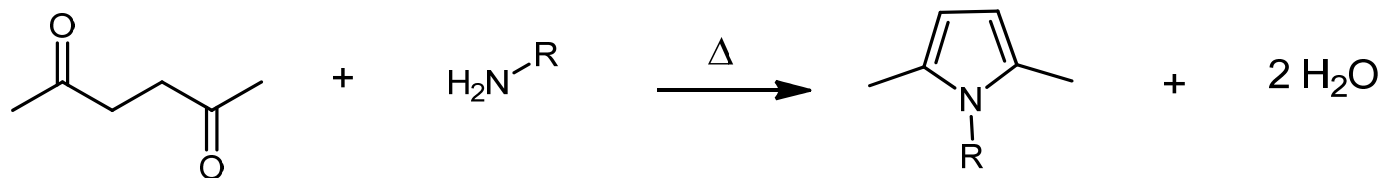
CA + SP

CA-SP adduct

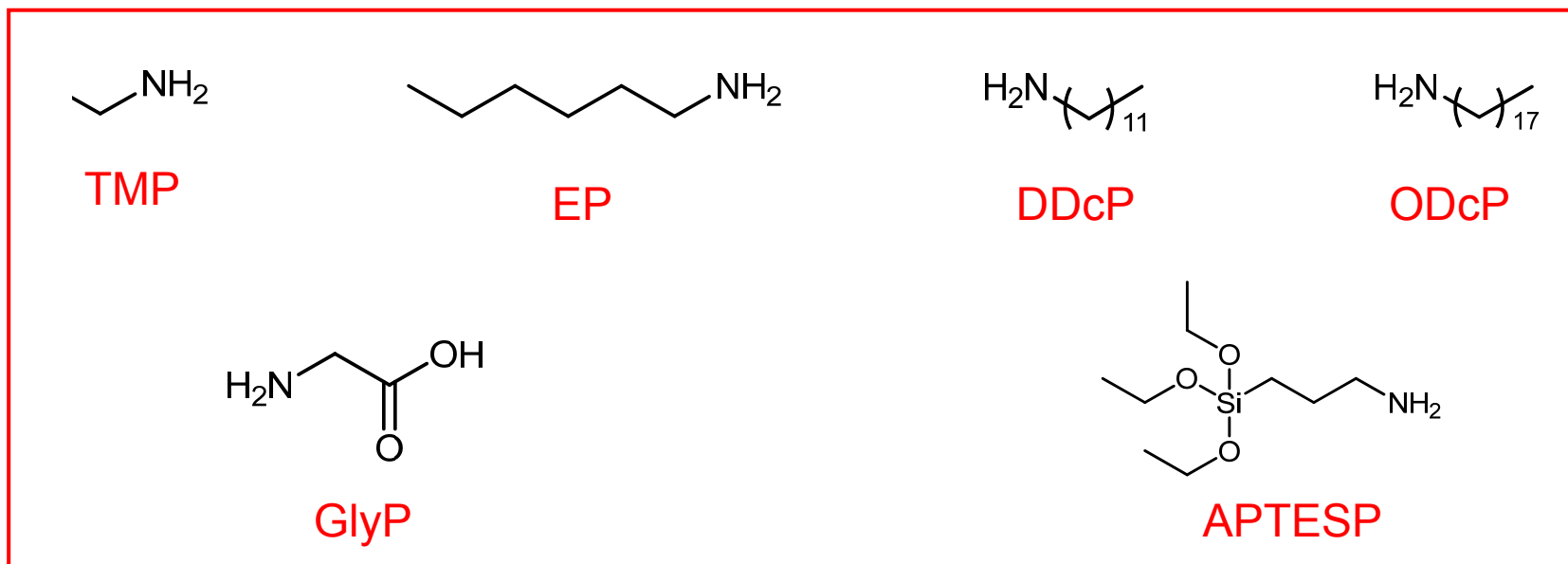
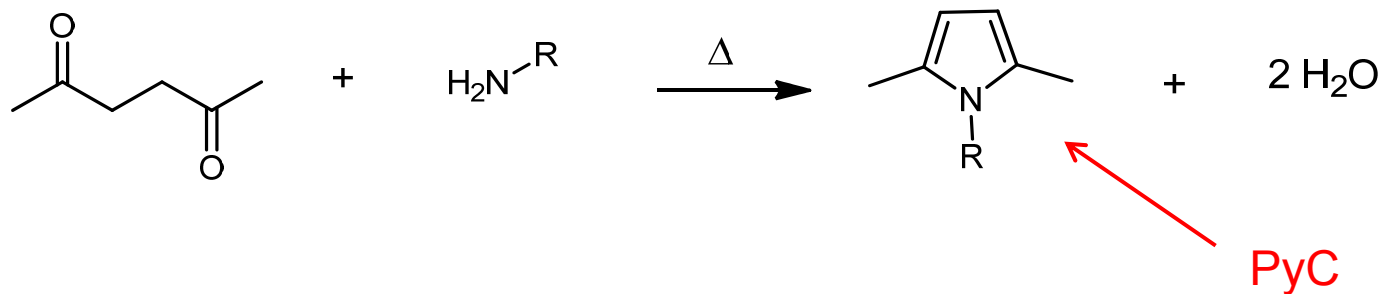
Pyrrole compounds (PyC) from neat Paal Knorr reaction



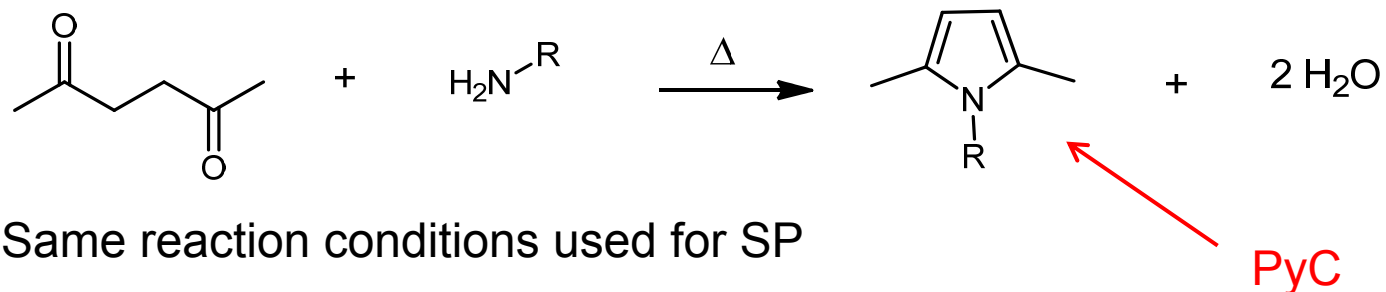
Pyrrole compounds (PyC) from neat Paal Knorr reaction



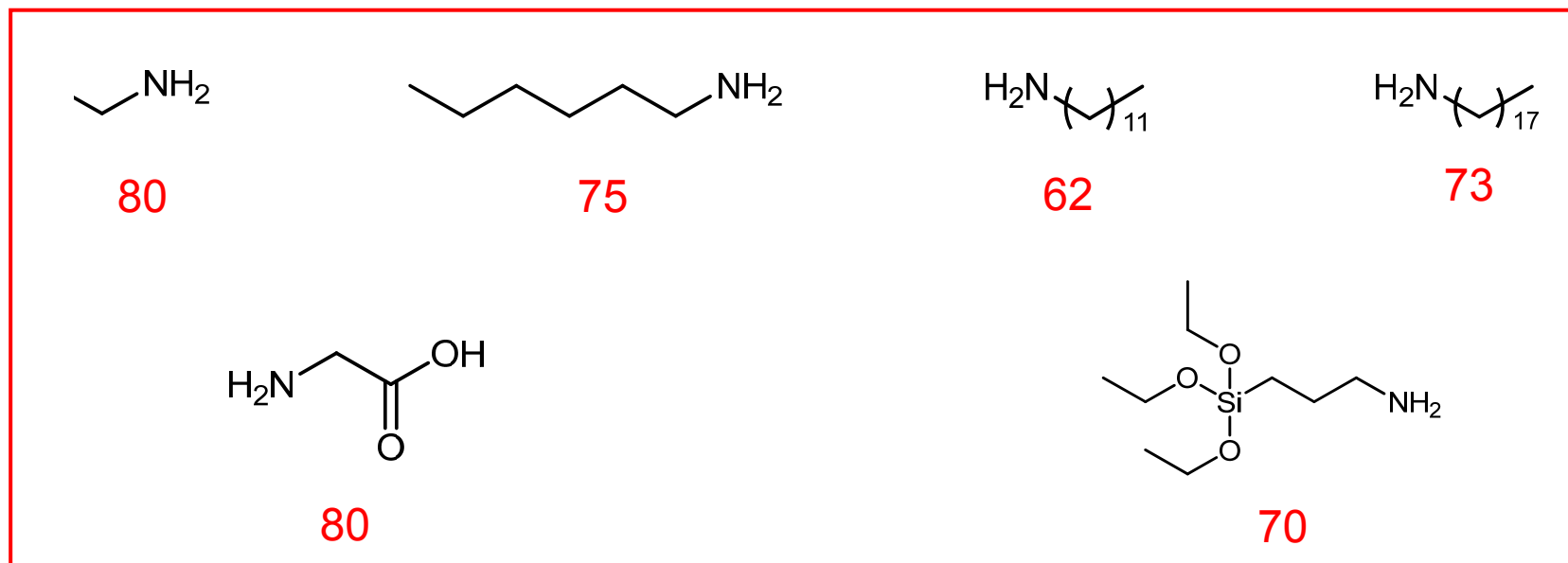
Pyrrole compounds (PyC) from neat Paal Knorr reaction



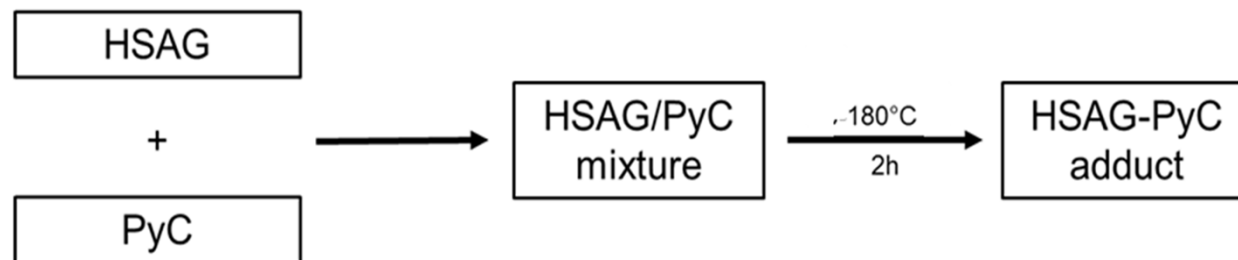
Pyrrole compounds (PyC) from neat Paal Knorr reaction



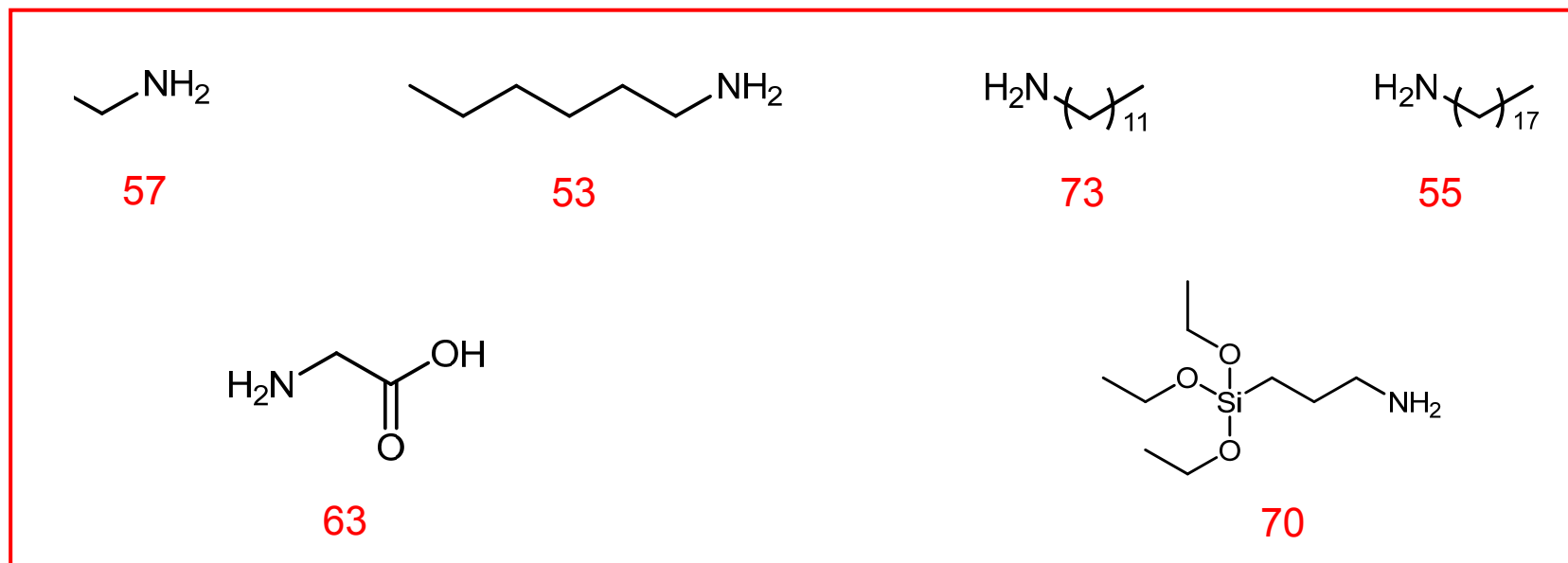
Yield %



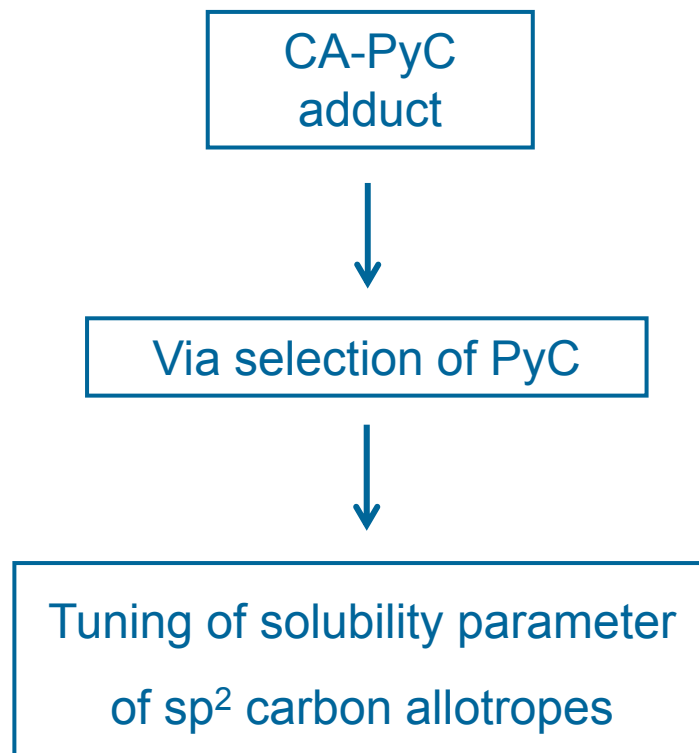
HSAG / PyC adducts



Functionalization Yield %



Tuning of solubility parameter of sp^2 carbon allotropes



CA / PyC adducts - Tuning of solubility parameters

Experimental determination

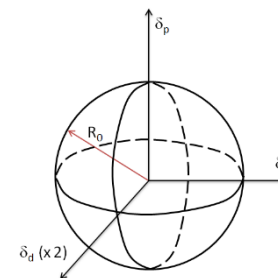


Stable suspensions
in solvents
with different δ

Theoretical predictions



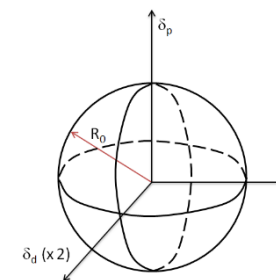
Computational model:
Hansen solubility parameters



Evaluation of solubility parameters of HSAG / PyC adducts

By applying the Hansen Solubility Sphere representation of miscibility

Cohesive energy (Hildebrand model) of a substance:
sum of three contributions:
dispersion, polar, hydrogen bonding:
 U_D, U_P, U_H



The substance is identified by three coordinates (δ_D, δ_P and δ_H)
in the Hansen Parameters space

The distance between two points (e.g. of a solute and a solvent)
is related to the cohesive energy difference

Two points close to each other in the Hansen space
indicate miscible substances

Evaluation of HSP of a solute i

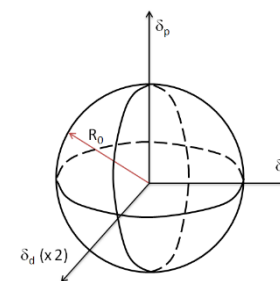
Dispersion tests are performed with different solvents j , distinguishing:

- good solvents, which provide stable solutions/dispersions
- bad solvents, which do not give stable dispersions.

Minimization of the ratio * $\frac{\text{Distance between the solute and the solvent}}{R_0 \text{ radius of interaction}}$

Calculation of the center coordinates of the Hansen solubility sphere

The sphere center coordinates correspond to the three unknown HSP of the solute



* Fitting sphere program adapted from *J. Polym. Sci. Part B Polym. Phys* **47**(21), 2091 (2009) and solved in Matlab environment using the Nelder-Mead simplex algorithm.

Evaluation of solubility parameters of HSAG-PyC - Experiments

Adduct	solvents				
	water	isopropanol	ethyl acetate	toluene	heptane
TMP	bad (↓)	good	good	good	good
EP	bad (↑)	bad (↓)	good	bad (↓)	good
DDcP	bad (↑)	good	good	bad (↓)	bad (↓)
APTESP	bad (↑)	bad (↓)	bad (↓)	good	good
Gly	bad (↓)	good	good	good	bad (↓)
SP	good	good	good	bad (↓)	bad (↓)



No
suspension:
bad



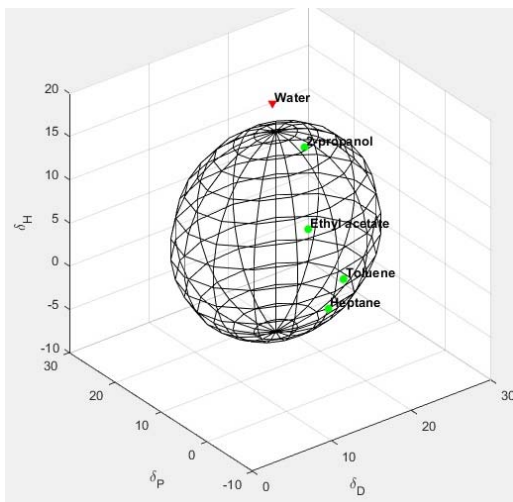
Unstable
suspension:
bad



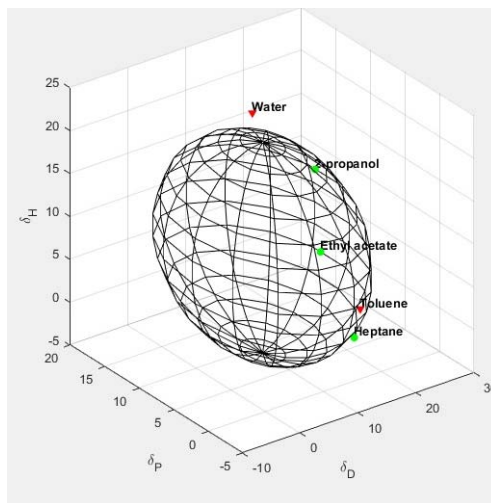
Stable
suspension:
good

Evaluation of solubility parameters of HSAG-PyC - Hansen sphere

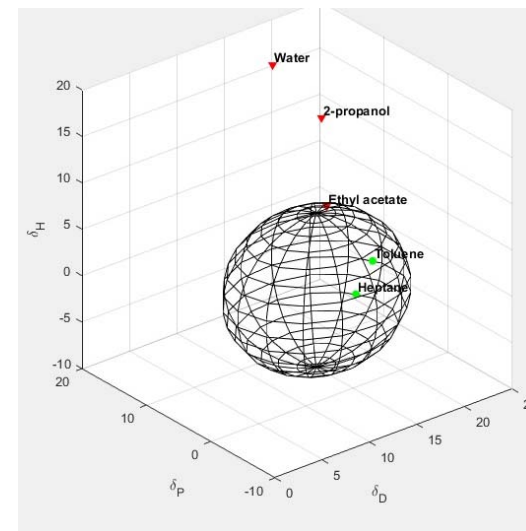
HSAG-TMP



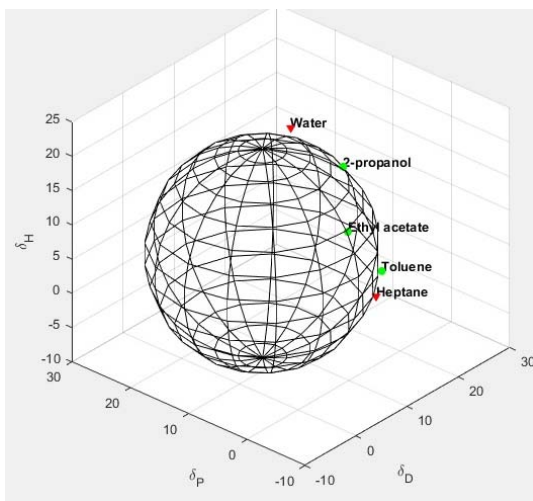
HSAG-DDcP



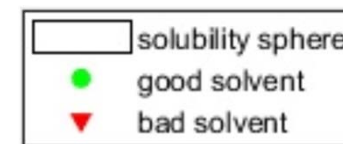
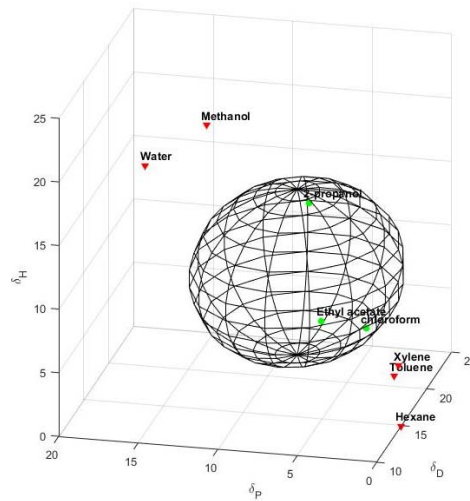
HSAG-APTESP



HSAG-GlyP



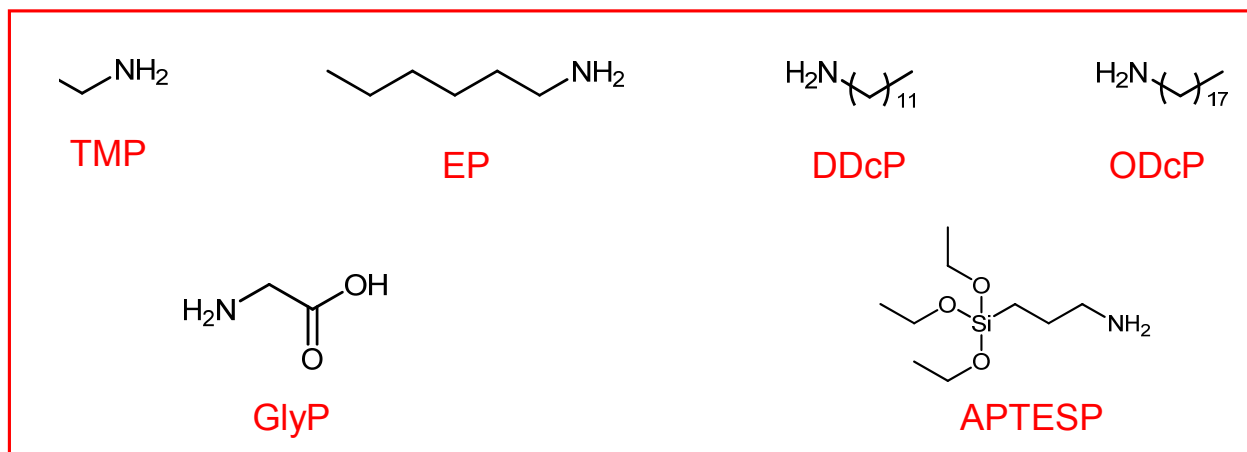
HSAG-SP



Evaluation of solubility parameters of HSAG-PyC - δ values

Sample	δ_D	δ_P	δ_H	Radius
HSAG	17.8	3.1	5.7	1.0
HSAG-TMP	14.6	10.3	5.6	11.6
HSAG-DDcP	8.5	7.5	8.3	12.3
HSAG-APTESP	12.7	2.3	0.5	8.3
HSAG-SP	12.8	2.0	8.9	13.8
HSAG-GlyP	6.9	12.1	5.3	15.3

Amount of PyC on HSAG:
about 5% mol

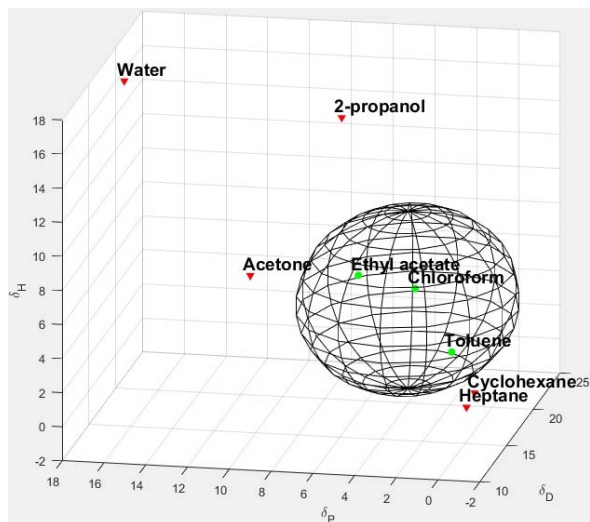


Evaluation of solubility parameters of CB-PyC - Experiments

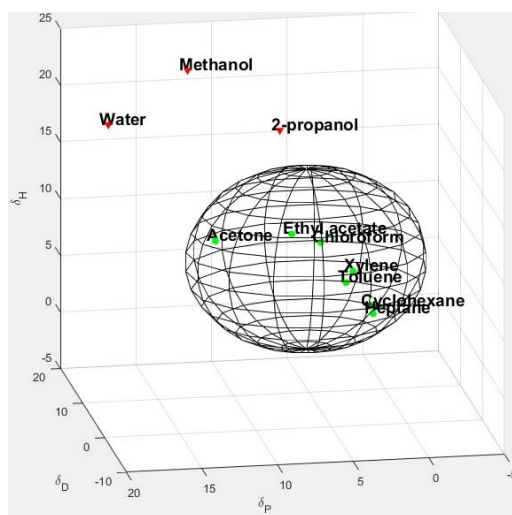
Adduct	Solvents										
	Hexane	Heptane	Cyclohexane	Toluene	Xylene	Chloroform	Ethyl acetate	Acetone	2-propanol	Methanol	H ₂ O
CB-											
=	Bad	Bad	Bad	Bad	Bad	Good	Good	Bad	Good	Bad	Bad
TMP	Bad	Bad	Bad	Bad	Bad	Good	Good	Bad	Bad	Bad	Bad
ODcP	Good	Bad	Good	Good	Good	Good	Good	Good	Bad	Bad	Bad
APTESP	Bad	Bad	Bad	Good	Good	Good	Good	Good	Bad	Bad	Bad
SP	Bad	Bad	Bad	Bad	Good	Good	Good	Good	Good	Bad	Good
GlyP	Bad	Bad	Bad	Bad	Bad	Good	Good	Good	Good	Bad	Good

Evaluation of solubility parameters of CB-PyC - Hansen sphere

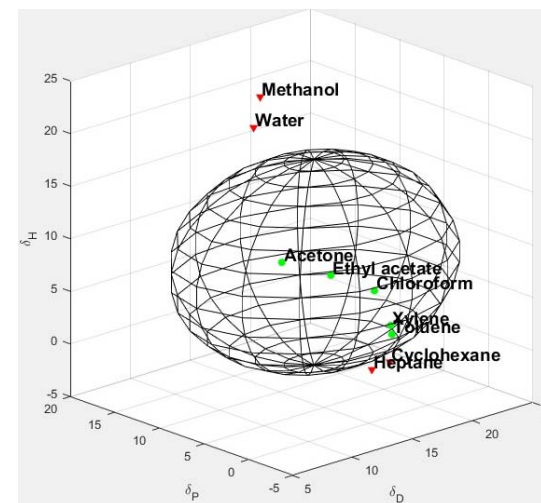
CB-TMP



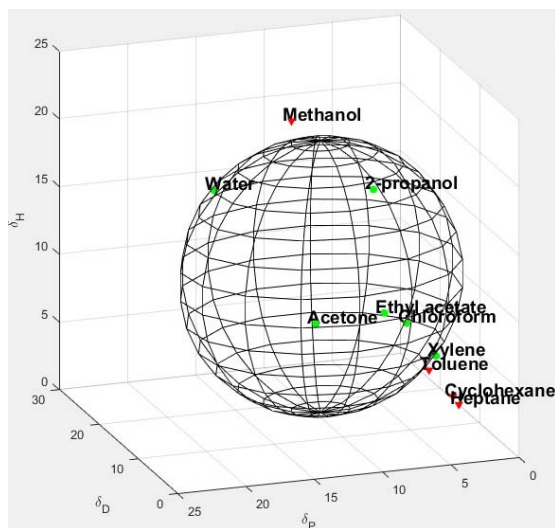
CB-ODcP



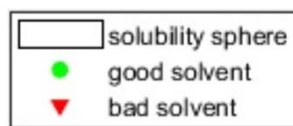
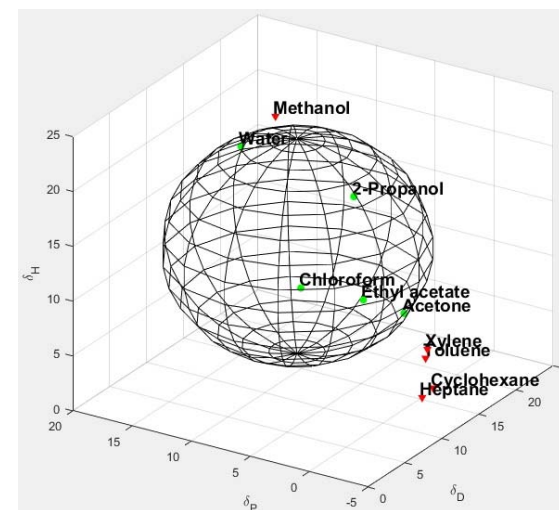
CB-APTESP



CB-SP



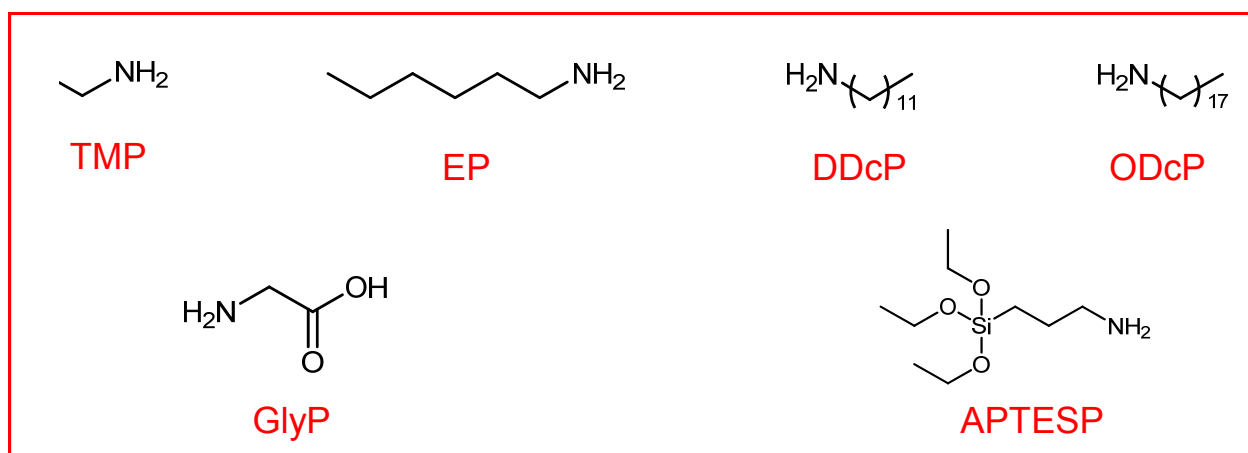
CB-GlyP



Evaluation of solubility parameters of CB-PyC - δ values

Sample	δ_D	δ_P	δ_H	Radius
CB N234	16.8	7.1	10.3	6.5
CB-TMP	18.1	3.5	4.9	5.2
CB-ODcP	6.4	5.7	7.9	7.9
CB-APTESP	14.9	6.0	8.5	9.8
CB-SP	11.8	11.1	11.5	10.1
CB-GlyP	12.1	8.6	12.8	9.8

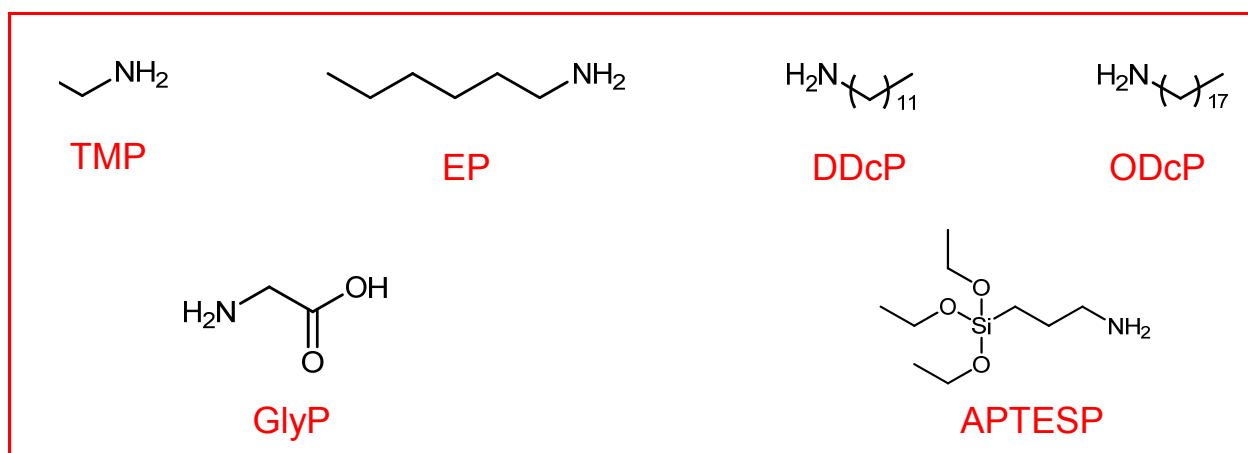
Amount of PyC
on CB:
about 10% mass



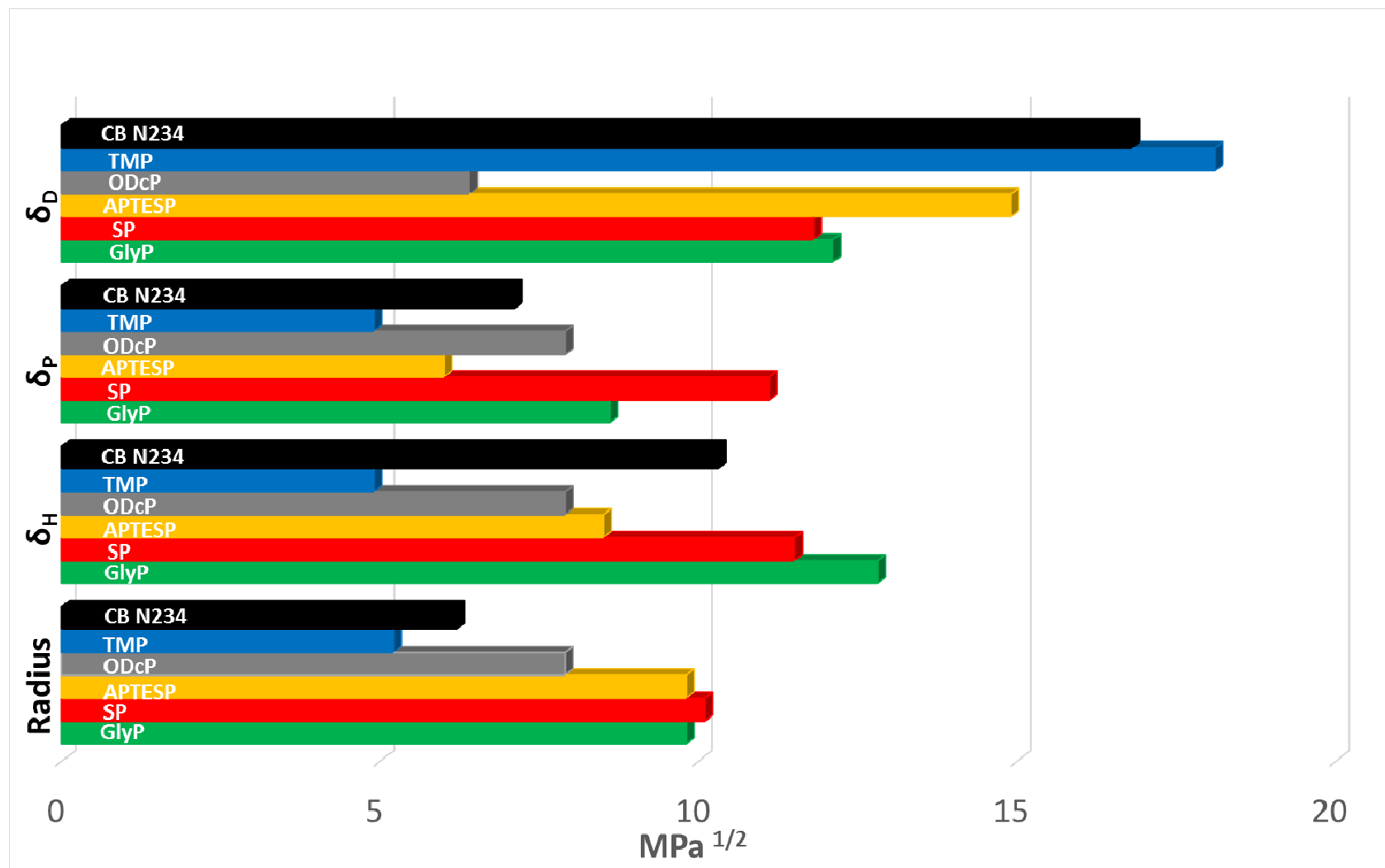
Evaluation of solubility parameters of CNT-PyC - δ values

Sample	δ_D	δ_P	δ_H	Radius
CNT-TMP	17.9	5.1	9.3	10.3
CNT-DDcP	6.7	10.6	13.4	10.6
CNT-APTESP	20.9	13.1	5.3	10.9
CNT-SP	20.9	12.1	10.3	13.3
CNT-GlyP	12.0	11.8	15.7	18.7

Amount of PyC
on CNT:
about 5% mol

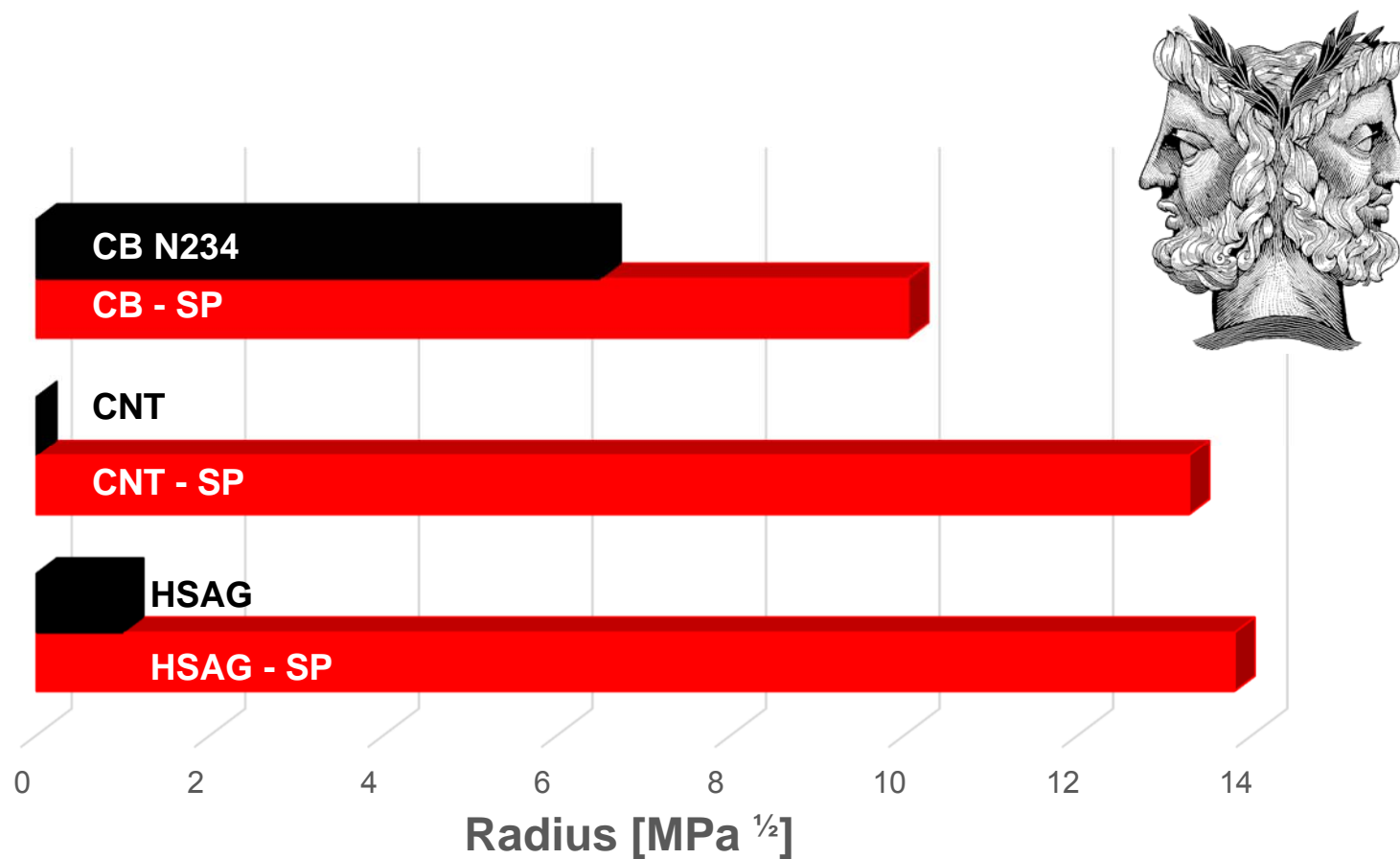


Evaluation of solubility parameters of CB-PyC - comparison



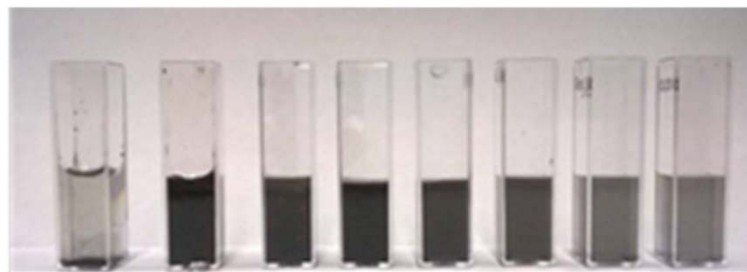
Amount of PyC on CB: 10 mass%

Evaluation of solubility parameters of CA-SP - Radius comparison



(*) Amount of SP on CA: 10 mass%

Water dispersions of HSAG-SP adducts



Graphite

HSAG / SP

conc: from 10 to 0.1 mg/mL

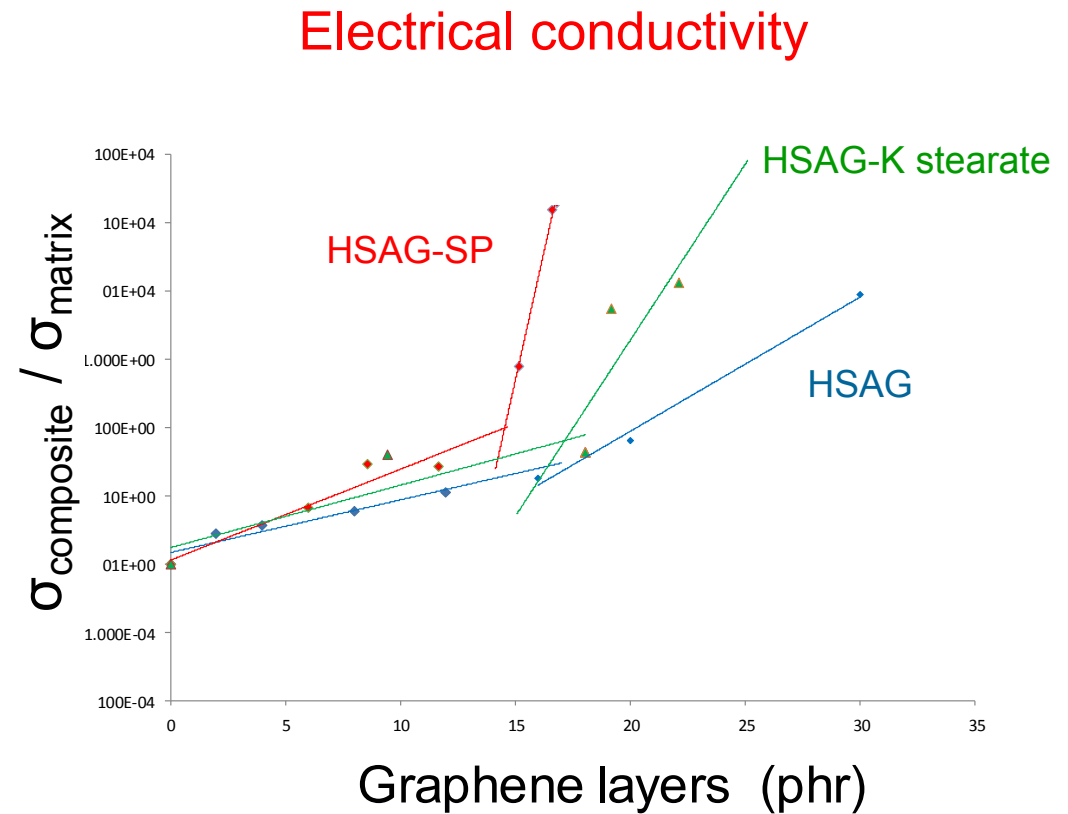
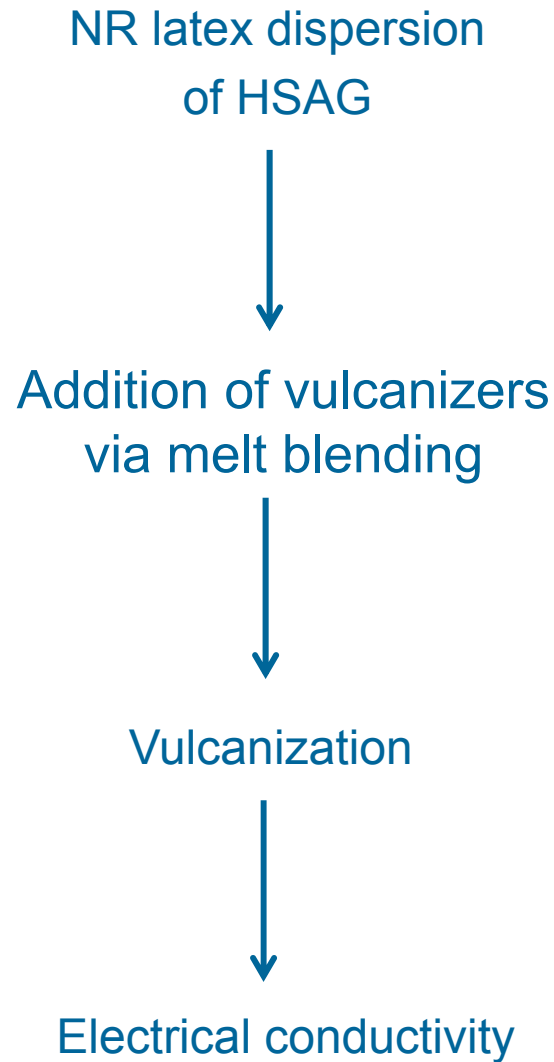
Conductive inks



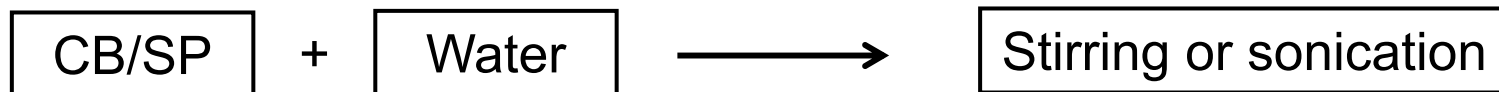
From 0.1 to 200 g/L



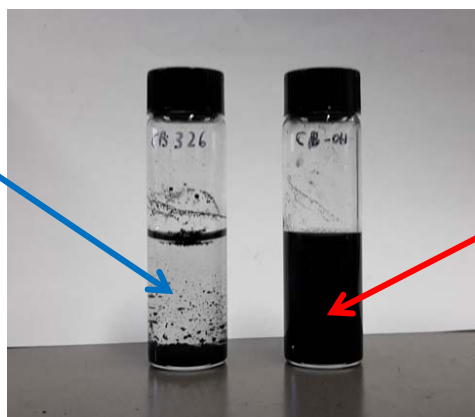
NR/HSAG composites - Electrical conductivity



Water dispersions of CB-SP adducts



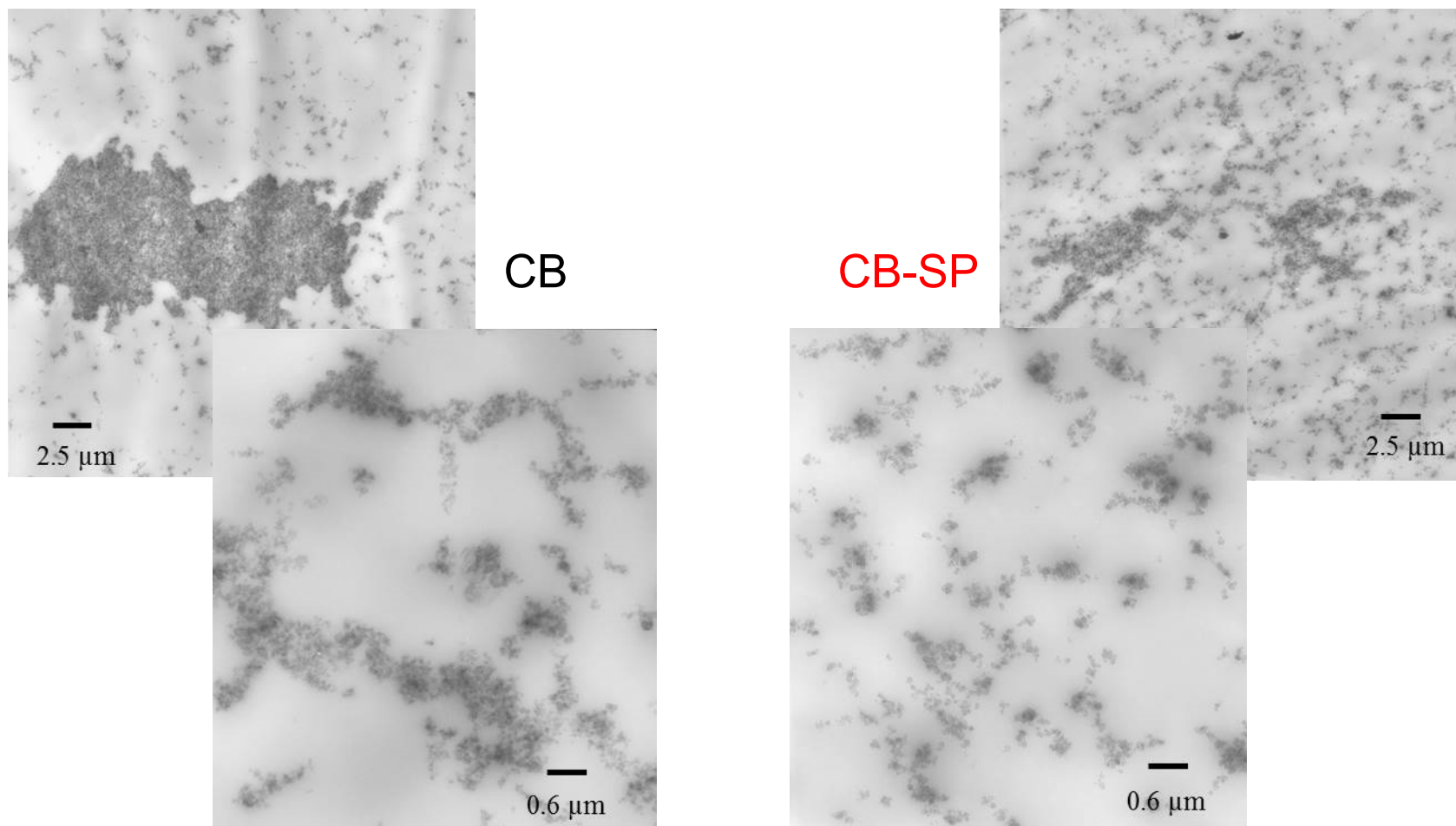
CB in water



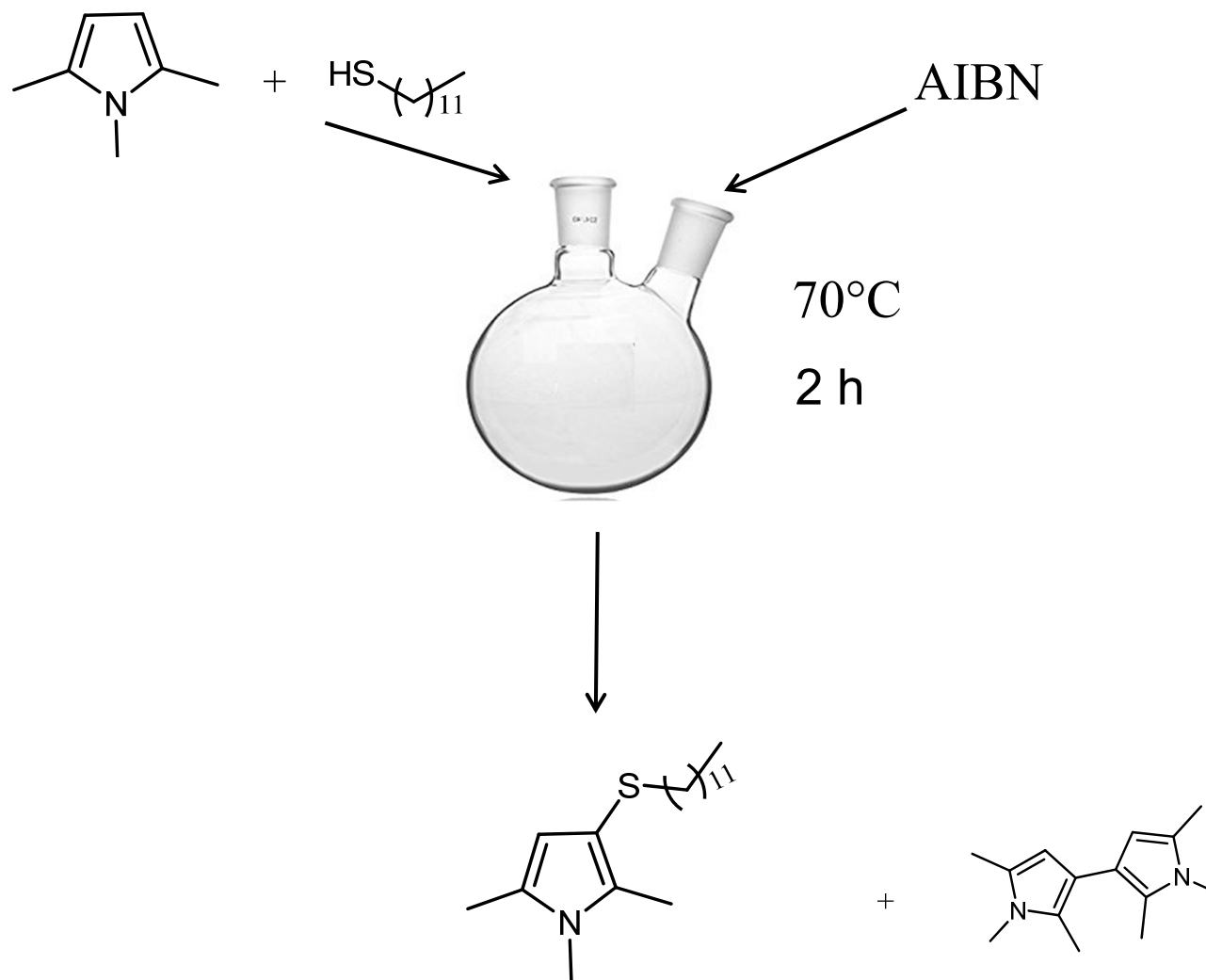
CB-OH
in water

Composites form NR latex dispersions of CB-SP adducts

TEM



Reactivity with sulphur

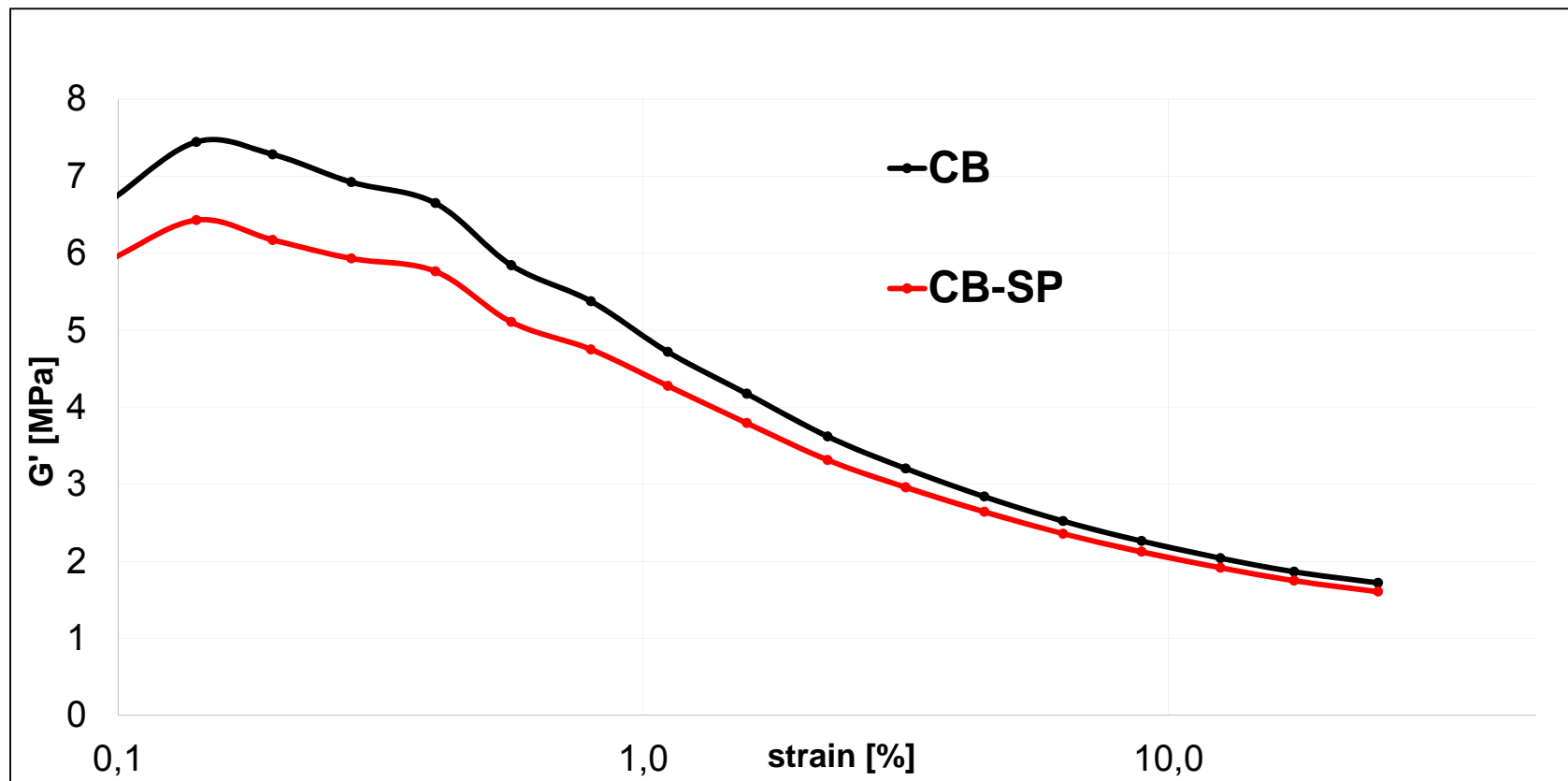


NR based compounds with CB-SP

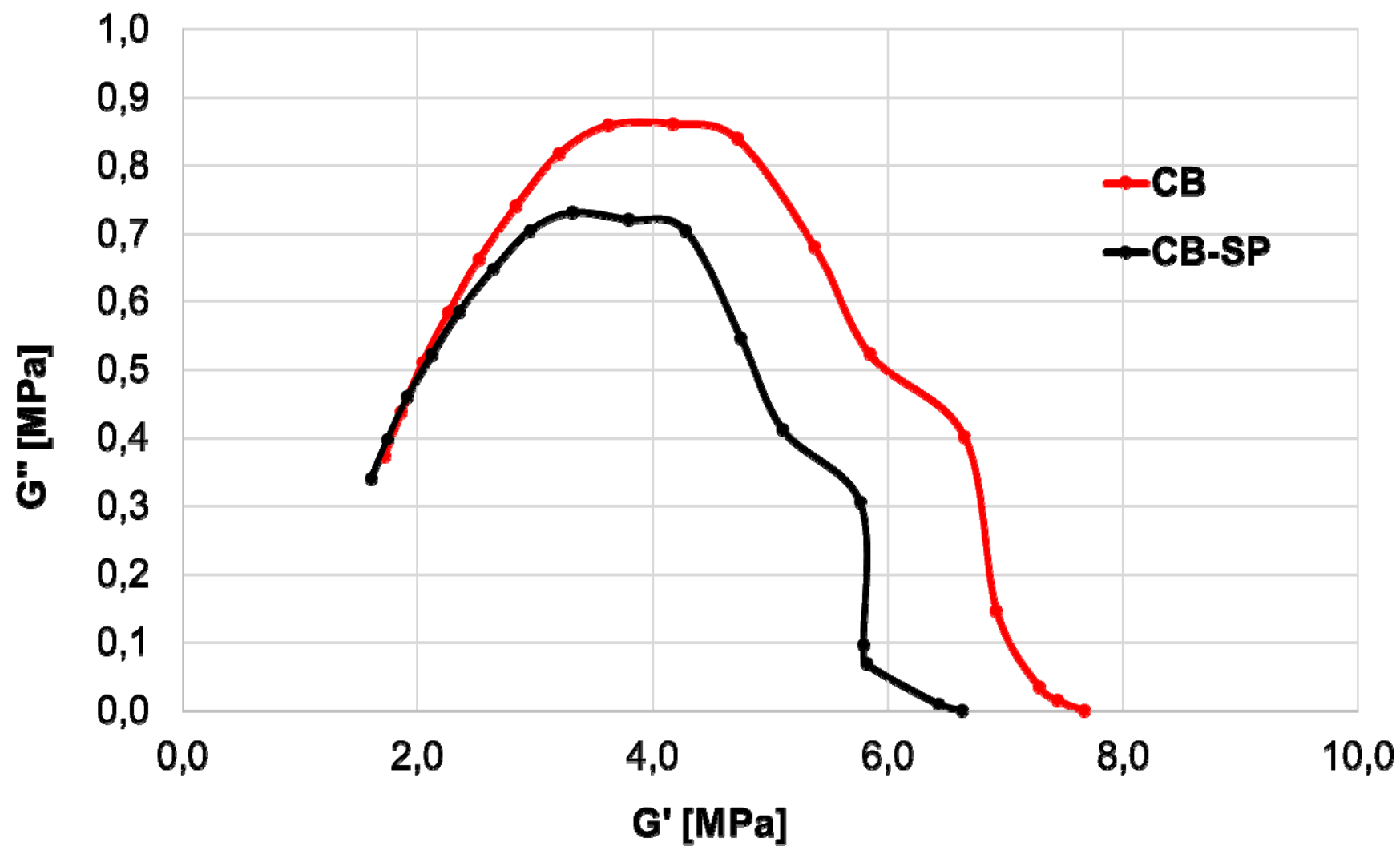
Compound with:	CB	CB-SP
NR	100,00	100,00
CB N234	60,00	0,00
CB-SP	0,00	62,40
Ac Stearico	2,00	2,00
ZnO	4,00	4,00
6PPD	2,00	2,00
TBBS	1,80	1,80
Sulphur	1,80	1,80

Amounts in phr Melt blending

G' vs strain



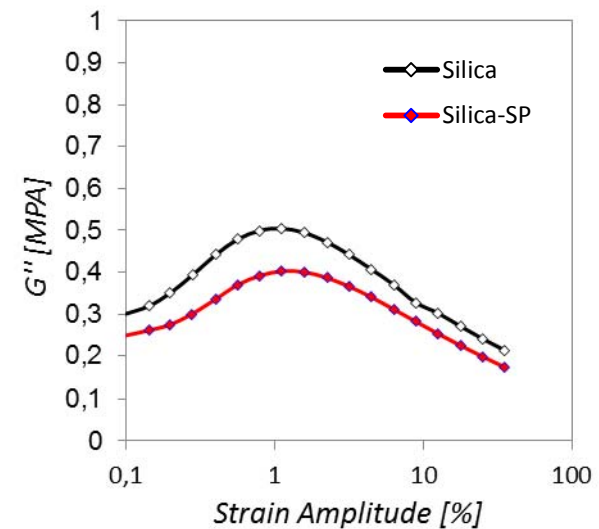
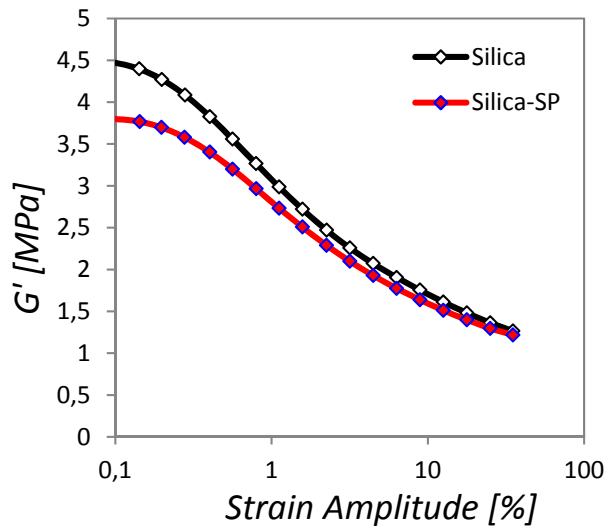
NR based compounds with CB-SP



CB/SP adducts in silica based composites for lower dissipation of energy

Ingredients	without SP	with SP
IR	100	100
CB	30	30
Silica	30	30
SP	0	3

ZnO 4.0, Stearic acid 2.0, 6PPD 2.0, Sulphur 3, TBBS 1.8



NanoCarbon Up Technology



V. Barbera, A. Citterio, M. Galimberti, G. Leonardi, R. Sebastiano, S.U. Shisodia, A.M. Valerio.
[WO/2015/189411 A1 \(2015\)](#)

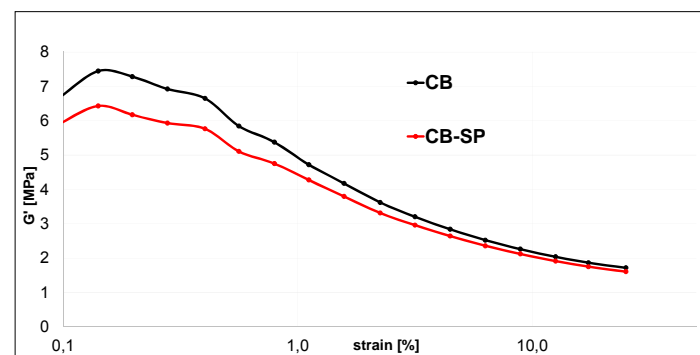
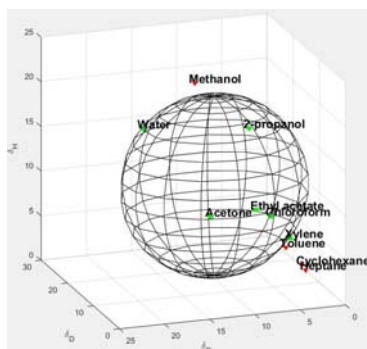
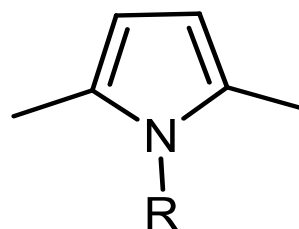
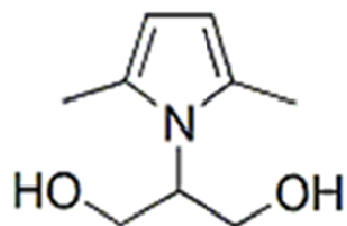
M. Galimberti, V. Barbera, R. Sebastiano, A. Citterio, G. Leonardi, A.M. Valerio.
[WO/2016/050887 A1 \(2016\)](#)

M. Galimberti, V. Barbera, R. Sebastiano, A. Truscello, A.M. Valerio.
[WO/2016/023915 A1 \(2016\)](#)

M. Galimberti, V. Barbera,
[Italian Patent 102016000113012 \(2016\)](#)

M. Galimberti, V. Barbera,
[Italian Patent 102016000113070 \(2016\)](#)

Conclusions





*Thanks
for the attention!*



*Enhancing science, technology and business across
the evolving elastomeric community.*

