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Figure 1. Guided survey form for vulnerability assessment, software version.



Figure 3. Connections between king-post structures.



Figure 5. Biotic decay due to insects.



Figure 6. We does adopted to recover contact at the rafter (right rafter and purlin).



Figure 7 (a) Rafter-tie beam joint. Connection reinforced with metal heel straps. The head of the tie-beam is built-in and cannot be inspected; (b) Different types of joint reinforcements and vulnerability class (modified from Parisi and Piazza 2002).



Figure 8 - Collapse due to massive intervention substituting timber trusses with concrete products at Amatrice, Central Italy earthquake, 2016.



Figure 9. The roof structure analysed: (a) internal view (b) numerical model with main elements



Figure 10. Detail of the roof structure.

### 1 Introduction

2 Methods for assessing the seismic vulnerability of buildings within risk mitigation programs were 3 first developed in the 1980's, after the occurrence of devastating earthquakes in Europe (e.g. 4 Friuli, 1976, and Irpinia, 1980, in Italy; Vrancea, 1977, in Rumania). Vulnerability studies aimed 5 at sorting out the most significant features that condition the building response and at 6 developing rapid assessment procedures to be applied to a building stock for a first screening of 7 critical cases. Initially, evaluation criteria and practical assessment procedures were developed 8 for residential masonry buildings, which were responsible for a large part of the seismic risk (e.g. Benedetti and Petrini, 1984; Sandi, 1986; Petrovski et al., 1985); later, other typologies 9 10 have been considered, including cultural heritage assets such as churches and palaces (e.g. 11 Lagomarsino and Podestà, 2004a, 2004b). 12 13 Studies and applications of vulnerability concepts have continued to date. In Italy, the recent 14 public campaign for supporting the seismic improvement of the building stock with partial public 15 funding opens new perspectives for vulnerability assessment and needs to be supported by a 16 suitable framework at various accuracy levels. This is a strong motivation for reconsidering and 17 renovating paradigms on which assessment has been based so far. 18 19 An important part of vulnerability studies concerns elements that are not strictly part of the 20 building structure, yet may affect its response. The roof structures, which in traditional buildings 21 are usually assemblies of timber trusses, have shown strong influence on the building 22 behaviour. In a seismic event, a favourable outcome may depend on the capability of the roof 23 structure to connect walls enhancing their collaboration, rather than impinge on them and trigger 24 their failure. 25 26 In the assessment procedures for different construction typologies, consideration of the roof 27 influence has been very synthetic, and often somewhat superficial, lacking a thorough exam of 28 the roof structure and of its possible interaction with the wall system. Various factors contribute 29 to the vulnerability of a roof structure and should be considered in the assessment. Here, the 30 principal ones are examined and grading criteria are given, in order to supply a general 31 framework for evaluating roof structures per se and in relation to the whole building. 32 33 The detail in which a vulnerability assessment may be conducted depends on the final objective 34 and in part also on external circumstances, usually related to the possibility of actually 35 performing a visual analysis more or less in depth. The assessment, for instance, may be 36 performed: 37 within a global assessment of a building; 38 specifically for the roof structures to decide needs and priorities of intervention; 39 to gather information on a specific roof structure as part of a database collection for 40 listed cultural heritage assets; 41 prior to planned restoration interventions, in order to shed light particularly on the 42 expected seismic behaviour, which requires considering specific construction 43 characteristics. 44 Each objective implies a different depth of investigation. The criteria and indications expressed 45 in the following constitute a general basis, in view of formulating assessment procedures at 46 different levels of detail. One such procedure, intended for the second case listed above, has 47 been developed by the authors and is presented here. 48 49 The need to formalize specific criteria for the evaluation of timber roof structures stems not only 50 from their constructional characteristics, governed by peculiar mechanical and physical 51 properties, but especially from the fact that such structures were mostly built with reference to 52 vertical loads, including the effect of wind that for common pent inclinations results in an almost 53 vertical pressure or suction. Earthquakes activate structures mainly in the horizontal direction. 54 55 A standardized assessment procedure allows a more homogeneous and coherent evaluation 56 among different cases as well as among different survey teams. Assessments may be 57 advantageously supported by the use of pre-organized templates implemented on paper forms or with software systems. The former are a common choice for surveys, the latter allow an 58 59 efficient organization of collected data and may provide more efficient guidance to the

evaluation of the different features related to vulnerability. Some characteristics desirable in a
 system are outlined in the following, making reference to a developed prototype.

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### 63 2. Damage and vulnerability in timber roof structures

64 Post-earthquake damage surveys have been the major source of information on the seismic 65 behaviour of buildings and other structures. The difficulty of reaching roof structures in a seriously damaged building, especially in the presence of large amounts of debris, and the final 66 67 state of a collapsed roof, which may suffer complete destruction because of brittleness of the 68 material, may not allow to reconstruct reliably the failure path. Moreover, the minor importance 69 that is traditionally attributed to these structures, always seen as secondary and temporary, 70 leads to focus attention on other parts of a damaged building. Consequently, roof damage data 71 have not been collected systematically . Some indications about vulnerability derive from known 72 construction characteristics and material properties. A useful source of knowledge is scientific 73 literature, with studies on structural identification of existing roof structures (e.g. Faggiano et al. 74 2018a, 2018b) and failure analysis (Tampone, 2016).

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The possibility for the structure to resist shaking with little or no damage depends on:

- its structural scheme, a determinant issue for structures not specifically built for horizontal loads;
- the capacity of the cross sections to absorb safely the increase of internal actions that may result from the earthquake.
- The <u>structural typology</u> and the member dimensions are, thus, important contributors in the vulnerability definition.

Connection of timber elements was traditionally performed with carpentry joints that skilfully
transmit loads by direct contact. Often, they were supplemented with metal devices acting as a
safety measure toward exceptional actions that may disconnect the assembly. Joints are a
discriminating element in terms of suitable response. Their diversity and their correct realisation
may condition significantly the structural behaviour. Joints are, then, another factor that qualifies
vulnerability.

A frequent cause of severe damage is the loss of support, when the roof structure separates
 from the wall, sliding off and often engaging the structures below, slabs or vaults, in a
 progressive collapse. Therefore, a primary source of vulnerability resides in the <u>design and</u>
 quality of the supports.

Timber properties are highly susceptible to different conditions of environmental and biological
origin. Additional alterations may derive from human action, for instance modifications
performed in the lifespan of the structure. The current <u>state of the structure</u>, in its many folds, is
another determining factor to be considered.

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A vulnerability assessment procedure will necessarily comprise the exam and evaluation of
 these issues, to an extent that depends on the accuracy level required.

Wood characterization is usually of top importance. Although the determination of the species
 remains a step to be performed within general assessment procedures, it is not considered a
 primary factor in seismic vulnerability. It is an indirect one, affecting other issues like cross
 section adequacy or the behaviour in adverse climate conditions.

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## 3. Definition of an assessment procedure

Vulnerability assessment requires both a thorough visual and instrumental analysis and an
 elaboration of the observations according to predefined criteria, making use of evaluation scales
 for classifying parameters, features, and conditions concerned.

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114 Visual analysis is the first step to be performed and it is critical for creating the appropriate
115 basis, in terms of data and information, for the subsequent assessment phase.

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Survey sites are often in precarious environmental conditions, with great amounts of trash, dust
 and droppings, subdued light, and difficulty of access: a state that may hinder regular

119 operations. For this reason, a prescribed scheme for the operations to be performed should be 120 used to avoid excessive emphasis on some aspects or disregard of others, ensuring a coherent 121 and well balanced survey. A paper form with the sequence of items to be observed and data to 122 be collected has been developed and subsequently implemented in a software version (Parisi et 123 al., 2008, 2017) (Figure 1). 124 During inspection, all data and general impressions are collected (Cruz et al. 2015, Feio and 125 Machado 2015, Kasal and Anthony 2004, Kasal and Tannert 2010). The proper vulnerability 126 analysis is performed in a second step, because it requires to interpret the collected data with a 127 global vision, evaluating those issues that have been recognized as significant indicators of the 128 capability of seismic response, or vulnerability indicators. 129 In summary, the procedure amounts to: 130 first step, on site: guided survey; 131 second step, off-site: vulnerability analysis and classification. 132 133 According to section 2, a comprehensive assessment should investigate: 134 - the conceptual design and its realisation; 135 the quality of connections: 136 the retaining system, or roof-wall interface; 137 the current state of the structure. 138 These points correspond to the indicators considered in the procedure. 139 Each of these items may be further subdivided pointing out different issues to be examined. The 140 following sections offer a detailed description and reference criteria for each. 141 142 A fundamental guestion is the choice of a reference scale for grading and comparing the levels 143 of vulnerability. Different choices may apply, depending also on the purpose of the survey, 144 ranging from a yes/no outcome for a rapid decision in emergency, to a global numerical index, 145 which allows to develop statistics from large scale surveys. An intermediate choice, adopted 146 here, is to express grades with a linguistic variable. They may be subsequently transposed into 147 a numerical value, after suitable calibration. Grading criteria are: 148 Grades range from A to D through B and C; 149 The value A corresponds to the minimum vulnerability of a structure designed, executed 150 and maintained according to best practice, incorporating all the positive features in 151 favour of seismic safety, comparable to a new code-designed structure; 152 D corresponds to the highest vulnerability level, that is, a structure with serious 153 deficiencies that should be promptly reduced by suitable interventions; 154 B and C represent intermediate levels; B denotes situations not fully satisfactory but not 155 requiring action in a short time; C indicates criticalities apt to evolve into negative 156 consequences, for which an improvement should be considered. 157 This scale may be applied to the four indicators above, and to the different issues, or partial 158 indicators, considered within them. Grading references and examples are in sections 5 to 8. 159 Grades highlight the criticalities of the structure and give a measure of their severity. Their 160 plurality supplies a global picture of the seismic quality of the system. If a global index for the 161 structure is needed, different ways of combining partial results may be proposed. These are 162 commented in section 9. 163 164

### 4. The survey

165 A direct survey carried out simply by visual inspection or with the support of diagnostic tests and 166 instruments is the kernel of any assessment procedure for timber roof structures (Dietsch and 167 Koehler 2010, Riggio et al. 2014).

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169 Before any other issue, a safe access to the structure must be guaranteed (UNI 11119:2004), 170 with a safety check of the actual capacity of the elements to be accessed by inspecting 171 personnel. It detects insufficient cross sections and the presence of decay by fungi, often

172 indicated by wood colouring, which may abate the load bearing capacity.

173 Often, only a limited access is possible, so the extension of the survey should be indicated in 174 the survey form.

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176 In the spirit of a seismic vulnerability assessment, only simple, indispensable instruments are 177 used. Besides tools to improve vision in dim light conditions, basic implements are measuring

- tapes and laser distance-meters to trace horizontal and vertical alignments, a camera to record
  images, a hammer and possibly a hygrometer. When more sophisticated exams are required, a
  Resistograph and similar devices are needed, considering that a comparison among tests of
- 181 different type offers a general and more complete vision (Piazza and Riggio, 2008). 182
- In order to organize the collected information, a reference system permitting to identify all the
  members with progressive numbering must be defined and reported in the form. For instance,
  for a roof structure covering a church, the direction along and across the nave would constitute
  a reference, as well as the left and right-hand-side with respect to the nave axis.
- 187

188 The first action is the characterization of the environment in terms of temperature and humidity: 189 even though no continuous measures are usually taken, excessive values at survey time 190 indicate risk of decay. As a reference, to avoid fungi growth, humidity must remain below 80-191 90%, a rather high value. Yet, the presence of water cumulated on surfaces and in cracks may 192 generate the problem.

193

194 The subsequent steps in the survey procedure examine the different elements of the structure 195 related to the indicators.

# 196 5 Elements for structural typology analysis

# 197 5.1 The layout

Examining the structural scheme, the first element to be considered is the basic structural unit and its connection to other units to form a three-dimensional roof structure. Good seismic performance is possible when stiffness and resistance are equally distributed in the main orthogonal directions: the degree of three-dimensionality is inversely related to vulnerability. In common construction, roof structures are seldom conceived as fully three-dimensional. Usually, a series of parallel trusses are transversally interconnected to form a spatial system.

- 205 Instabilities in the structural scheme, usually due to insufficient joint constraints, are identified 206 first. They occur mainly in double-level, queen-post trusses (figure 2), with details probably 207 inspired by examples in classic architectural treatises, which have influenced constructional 208 practice contributing to their diffusion (e.g. Palladio A, 1570). It is worth noting that joints need to 209 be observed accurately to establish the kind and level of constraint they may offer. Insufficiently 210 constrained structures may be capable of supporting symmetrical vertical loads, resorting also 211 to some joint semi-rigidity to respond to minor load deviations. The resisting system, however, is 212 not adequate for asymmetrical vertical loads or for the horizontal forces generated by seismic 213 action. This condition will correspond to a D.
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215 Transversal secondary trusses supply good connectivity, classified with A. (Chesi et al. 2012). 216 Often, the connection between trusses is simpler, with purlins and a ridge plate as in figure 3, 217 sometimes with the addition of transversal struts, or diagonal bracings (Parisi et al. 2016). The 218 most common situation presents two purlins per rafter, which may give satisfactory results if 219 purlins and rafter are well connected: it would be graded up to A in the best conditions. 220 Intermediate situations, mainly related to quality of connection, purlins cross section and 221 regularity, are more difficult to grade and will range between B and C. When only one purlin per 222 rafter is present, or more purlins with insufficient connection, the assembly is deemed too 223 deformable in the transversal direction (C to D).

224

225 In the survey form, only the most frequent truss types are currently considered: coupled rafters, 226 couples closed with a tie-beam, simple-post trusses, king-post trusses with struts, and double 227 level queen-post trusses in various shapes. The truss type is usually related to the span it has 228 to cover. Traditionally, up to 6-7 m, closed couple roofs are found; for higher spans, up to about 229 15 m, a king-post truss with struts is normally adopted; longer spans, up to 25-30 m, often 230 covering public halls, usually require more elaborated systems, like a two-level, queen-post 231 truss. A previous study comprising dynamic analyses of a large series of trusses differing in 232 type, span, members size, quality and stiffness of joints, has shown that the empirical sizing 233 rules of the constructional tradition combine correctly span lengths, minimum cross section 234 sizes and structural layout also with respect to dynamic response (Chesi et al, 2012). For 235 trusses of common size, the study identified three classes of vulnerability, A, B, C, associated to 236 the values of the main design parameters. Classes can be assigned on the basis of geometric

237 dimensions, observable by direct inspection. Table 1 offers guidance, reporting grades for truss

schemes compatible with the span length. Trusses with under-dimensioned sections and errors in the conceptual design are classified as D.

239 240

241 Table 1 – Grade examples for structural typology

Three-dimensionality	Dimensions and type						
Structural scheme	Cross- Section	Span [m]					
Trusses in orthogonal directions A		[cm×cm]	6	9	12	18	24
Parallel trusses with transversal bracings or struts	A-B	15×15	А	В	С		
Parallel trusses with at least 2 purlins per rafter	A-B	20×20		A	В	С	С
Parallel trusses with 1 purlin per rafter	C-D	25×25			А	В	В
Couple roof (no tie-beam)	C-D	30×30				А	А

242

### 243 5.2 Structural elements

The inspection of structural members estimates their adequacy in supplying the bearing
 capacity required for the increased stress level due to seismic action. Focus is on geometry and
 material properties. Existing structures often present generously dimensioned cross-sections
 that may accommodate such increase, yet specific considerations are due.

248

249 The strength strictly depends on the mechanical properties of the wood species and moisture 250 content. In order to perform a correct recognition of wood species experience is needed. The 251 investigation may be performed either at macroscopic level, recognising typical characteristics, 252 or by microscopic analysis (Macchioni, 2010). The norm UNI 11118:2004 supplies a useful 253 guide for wood species identification. Lacking this kind of information and for a rapid 254 assessment, other factors like documentation of construction, maintenance reports and at least 255 the species used in the area become a reference. Once the species has been defined, a 256 corresponding strength and service class for new wood may be examined to obtain indicative 257 values. The strength of members in an existing structure may be assessed by testing (e.g. 258 Tannert et al. 2014, Kloiber et al 2015, Riccadonna et al 2019) or by visual grading; when their 259 inspection is possible at least on three sides and one head, indications from UNI EN 11035-260 2:2010 assign a strength class on the basis of the position, number and dimensions of knots. 261 smooth edges, cracks, slope of grain, and rings size (CEN EN 14081-1:2016). If the inspection 262 requirements cannot be satisfied, an estimation is possible according to UNI 11119:2004. which, based on observed data, supplies allowable strength values and average values of 263 264 elasticity modulus. Current codes for structural analysis and design (e.g. Eurocode 5:2014) refer 265 to the use of values for limit states. A useful expression permits to pass from allowable stress to 266 limit states values (Riggio et al., 2012). 267

Evaluation of the bearing capacity of a structural element requires measuring length and cross
 section, detecting possible irregularities along the length, as in figure 4, in order to identify its
 minimum cross section area (Lourenço et al 2013, Sousa et al 2014). Only off-site it will then be
 possible to define loads, perform structural analysis and check structural adequacy.

272

273 Wood decay, a major cause of section inadequacy, may be distinguished in biotic decay when 274 caused by insects and fungi and mechanical degradation caused by excessive stress levels. 275 Each wood species is more or less prone to biotic attacks (fungi, insects). The EN 350:2016 276 norm reports detailed references for the resistance of each species and element service class 277 to borer attacks. Thus, in order to define the effective cross-section, it is necessary to check 278 biotic factors that may reduce the element size (figure 5). The presence of fungi is strictly 279 related to the humidity content of wood. Specifically, in timber elements a humidity percentage 280 of 18-20% or greater constitutes an environment favourable to their development. If humidity is 281 to be measured, electrical hygrometers operate exploiting the electrical properties of wood (EN

- 13183-2:2003). Their use is rather delicate, because many factors influence measures, from
  temperature to characteristics of wood elements, (knots, grain slope). More recent methods
  have been adopted, like infrared thermography and microwaves (Riggio et al. 2015), which
  along with more traditional ones, may be used also for detecting insects.
- Mechanical degradation is intended as the damage produced by excessive stress levels. For an accurate quantification of the residual effective cross section and of the extension and position of the lesion, different diagnostic tools (Resistograph, Pylodin, etc.), together with ultrasonic and thermographic tests may be used.
- Excessive deformations, compared to reference values in design, may be associated to various
  causes, including mechanical degradation. The deflection with respect to the undeformed shape
  may be measured, possibly with a simple stiff ruler, and compared to the element length.
  Excessive deformation could derive from a connection that lost effectiveness. Sometimes the
  presence of wedges applied in correspondence of a significant deformation indicates an
  intervention carried out for remediating a lacking connection (figure 6).

## 6 Traditional carpentry joints

Type, quality and effectiveness may vary strongly in carpentry joints. In seismic conditions, two
 features qualify their adequacy:
 the capability to maintain the assembly during cyclic conditions, when compression

 the capability to maintain the assembly during cyclic conditions, when compression between the joined elements may temporarily decrease;

the post-elastic behaviour, with the aim at sorting out possible brittle failure modes.
In recent times, considerable research effort has been devoted by different groups to the
characterization of carpentry joints and to the definition of suitable retrofitting interventions (e.g.
Branco et al 2011, 2017; Moşoarcă and Gioncu 2013, Franke et al 2015, Šobra et al 2016).
Indications for evaluating adequacy for different types of joints have been derived here mainly
from a research program on their monotonic and cyclic behavior in the elastic and post-elastic
field (Parisi and Piazza, 2000, 2002).

311 Traditionally, carpentry joints, which commonly transmit forces by compression and friction, 312 were equipped with binding strips or other metal devices to avoid accidental loss of contact. 313 This condition may occur under an earthquake. Unrestrained or ineffectively restrained joints 314 may undergo disassembly; they are at the worse side of the vulnerability scale and are 315 classified as D. Partial degradation or an imperfect realisation of the connection may be 316 associated to intermediate vulnerability levels, indicating the need of an improvement. 317 Brittle failure modes are equally to be avoided. Reinforced joints with excessive stiffening, like 318 metal cages or cuffs that will limit minor movement and deformation are critical. Experimental 319 testing has shown that risk may derive also from a limited amount of connectors, when they are 320 positioned in a pattern that prevents or limits rotation (figure 7). Identification of possible sources of brittleness requires particular care. Sliding shear at the toe of a rafter-to-chord joint in a truss 321 322 is another cause of brittle failure. Short toe areas with low rafter and chord skew angle may 323 result in sliding failure under seismic action, incrementing the vulnerability of the assembly. 324 Table 2 gives guidance for the rafter-to-chord connection and may be a reference for similar 325 situations.

326 327

297 298

302

## Table 2 – Guide for joint assessment

Calao ioi joini		
Reinforcem	ent type	class
Unreinforce	d, no provisions for disconnection	D
Reinforced,	with	
	1 bolt	В
	$\geq$ 2 bolts, small diameter,	
	<ul> <li>Permitting minor rotation</li> </ul>	A
	<ul> <li>Blocking rotation</li> </ul>	С
	Stirrups	С
	Binding strip	
	- fixed	В
	- adjustable	A
	Steel cuff	D

328 329

333

### 330 7 The supports

331 At the truss-to-wall interface, the chord extremes may be: 332

- supported at the top of the wall, or within a niche;
- built-in.

334 The restraint may be assessed by the degrees of freedom that remain unlimited: translation 335 parallel to the tie-beam axis, lateral translation, and rotation of the entire truss around the tie-336 beam axis, often observed in earthquake damage surveys. The effect of unrestrained or poorly 337 restrained rotation may be counterbalanced by bracings in the longitudinal direction of the roof. 338

339 For displacements parallel to the beam axis, tending to drop the truss from the support, 340 examples of good construction techniques may be found, with the beam anchored to the wall 341 base or, according to some constructional tradition, with metal elements nailed to its sides and 342 retained at the wall exterior. When the beam end is enclosed in the wall without possibility of 343 inspection, assessing the extension of the restrained area is impossible and the probability of 344 timber decay due to humidity without ventilation is realistic. The possibility of unseating, and the 345 related vulnerability, must be considered. Table 3 gives tentative grading indications.

346 347

Table3 - Classification of supports

348		
	Support type	class
	No restraint and insufficient extension	D
	No restraint, extended support area	C-D
	Free rotation without bracings	С
	Free rotation, with bracings	A-B
	Fixed end, with external restraints, inspectable	A
	Fixed end, partially or not inspectable	B-D

349

350 351

### 8 State of the structure: maintenance and interventions

352 This vulnerability indicator collects different issues, related to the situation of the timber 353 structure. The main ones may be synthetised in the level of maintenance that affects the current 354 quality of the structure, and in the modifications to the original layout performed in its lifetime. 355

356 Poor maintenance plays a significant role. The state of the roof cover should be checked, 357 because rainwater entering from gaps will rapidly deteriorate the underlying structure, even if such effect is not yet observable. The assessment itself would soon loose significance. A 358 359 possible reference for maintenance grading could be A for good state and frequent, preplanned inspections, D for evident serious lack of maintenance and inspections, with B and C 360 361 intermediate situations from observation and from information on inspection intervals if available 362 (Table 4).

363

### 364 Table 4 – Grade reference for state of the structure

Item		Class range		
maintenance				
	roof cover damage	A none		
	_	B initial		
		C evident		
		D extended		
	general check/ maintenance	A recent/regularly planned		
		B recent/not planned		
		Cirregular		
		D none		
decay				

	element sections reduction	B-D
	decay of joints	B-D
Previous interventions		
	modification of elements	A (improved), B-D
	With increased loads	C-D

365

366 Alterations of the original structure may have been performed for repairs, for change of use of 367 the building, or for eliminating fabrication errors, not rare in construction mostly based on 368 heuristic knowledge. Modifications may reduce or increase the original vulnerability. The variety 369 of situations prevents the formulation of standard classification rules, leaving decision to the 370 sensitivity of the surveyor. Current research is analysing a series of case studies to point out some typical situations also in quantitative terms. In general, it may be stated that 371 372 strengthening interventions implying a harsh increase of mass and stiffness at roof level induce 373 high seismic vulnerability. For instance, interventions implemented in Italy in the last part of the 374 20th century to stiffen the roof, casting deep concrete ring beams and laying a brick and 375 concrete slab over the timber structure, or substituting trusses with prefabricated industrial 376 products (figure 8), produced significant damage in recent earthquakes (e.g. Binda et al, 2010). 377 Some cases of milder interventions tending to increase connection without abrupt changes of 378 mechanical properties have yielded positive results.

### 379 380

### 9. Global evaluation

381 382 Statistical or risk management reasons may require a global vulnerability measure. 383 A translation from linguistic to numerical values has not been studied so far. One way of 384 merging the individual results of the indicators into a global judgement is to considers the effects 385 of vulnerability, in terms of possible damage consequences. If a high vulnerability in an 386 indicator may result in extended damage to the building (e.g. insufficient supports yielding 387 progressive collapse) the global index would be D; C would correspond to the risk of significant 388 damage to the roof, with possible localized damage to the building; B to limited damage to the 389 truss system only. Like for other assessment procedures, for instance in campaigns for seismic 390 damage and building accessibility assessment, the final decision relies on the surveyor, 391 supported by the procedure results. The suggested criterion has appeared useful in tests and 392 examples so far. Yet, for roof structures that are listed as cultural heritage, where a strict 393 conservation requirement holds, a different scale of values may be necessary. 394 For assigning a grade to an indicator that refers to multiple elements, the frequency of a result 395 for the lot may be considered. For instance, in grading structural elements, or joints, if the grade 396 is B for their majority, except for some C's, a global value of B could be assigned, issuing a

397 warning. Special consideration, however, should go to D values and their consequences.

#### 398 399 10. IT extension

400 Paper forms become cumbersome to bring along and impractical to fill especially for large and 401 complex roof structures. The fast development of computer hardware of small dimensions and 402 weight is overcoming this inconvenience. Mobile technology has been proposed for assessment 403 surveys in other structural cases (e.g. Riggio et al 2015, Riggio et al 2018).

404

405 A software tool with the same survey procedure as the paper form has been defined, offering 406 several advantages, including data digitalization directly on site, the possibility of taking and 407 inserting pictures, and in general a more efficient data collection and survey management. A 408 first prototype has been implemented on a notebook, to be completed and transposed to a 409 tablet or smartphone as future development (Parisi et al, 2017).

410

#### 411 Vulnerability assessment of a 20<sup>th</sup> century timber roof structure. 11.

412 The criteria and the assessment procedure have been applied in several cases, also for 413 calibration purposes (e.g. Parisi et al 2010). A recent application concerned the roof structure of a large masonry building, an alpine hotel, dating back to the early 20th century and currently in 414 415 disuse. The roof structure is composed of 13 trusses with slightly different layout, covering and L-shaped area, according to the scheme of figure 9. Spans range between 7 and 8 m, with 416 417 spacing between 3.30 and 4.40 m (figure 10). Survey data for structural units, elements and 418 connections have been collected in the relevant forms (e.g in figure 1). The global vulnerability

was deemed high, D, because two of the trusses resulted insufficiently restrained to lateral
loads (structural typology D). Additionally, a small number of elements had section reductions
due to decay, and new metal connectors were needed for most joints to avoid disassembly. A
plan of interventions, with their basic design, was proposed to bring the structure to very low
vulnerability. A structural analysis carried out in the assumption that interventions had been
performed showed full satisfaction of seismic response requirements.

## 426 **12.** Conclusions

427 A long reseach program on the role of timber roof structures in the seismic behaviour of 428 masonry buildings has highlighted the factors that affect their seismic vulnerability and often that 429 of the entire structural compound. A procedure to assess the seismic vulnerability of roof 430 structures was defined. The procedure, currently suited for structures composed of trusses, will 431 require improvements as well as extension to a larger number of typologies. Still, in applications 432 and case studies performed so far it has proven useful to focus attention on the seismic 433 qualification of these structures, mainly seen, and originally conceived, with regard to vertical 434 loads.

435

425

### 436 Acknowledgements

437 Financial support by the Reluis-DPC Program is gratefully acknowledged.438

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- 578
- 579
- 589

### 584 **Figure captions**

- 585 Figure 1. Guided survey form for vulnerability assessment, software version.
- 586 Figure 2. Queen post truss with potentially unstable layout.
- 587 Figure 3. Connections between king post structures.
- 588 Figure 4. Irregular cross section of the rafter.
- 589 Figure 5. Biotic decay due to insects.
- 590 Figure 6. Wedges adopted to recover contact at the rafter (right rafter and purlin)...
- 591 Figure 7 (a) Rafter-tie beam joint. Connection reinforced with metal heel straps. The head of the
- tie-beam is built-in and cannot be inspected; (b) Different types of joint reinforcements and
- 593 vulnerability class (modified from Parisi and Piazza 2002).
- 594 Figure 8. Collapse due to massive intervention substituting timber trusses with concrete
- 595 products at Amatrice, Central Italy earthquake, 2016.
- 596 Figure 9. The roof structure analysed: (a) internal view (b) numerical model with main elements.
- 597 Figure 10. Detail of the roof structure.
- 598

### 599 Table titles

- 600 Table 1 Grade examples for structural typology
- 601 Table 2 Guide for joint assessment
- 602 Table 3 Classification of supports
- 603 Table 4 Grade reference for state of the structure
- 604