Perturbative photon production in a dispersive medium

Francesco Belgiorno¹, Sergio Luigi Cacciatori^{2,3}, and Francesco Dalla Piazza^{4,a}

- ¹ Dipartimento di Matematica, Politecnico di Milano, Piazza Leonardo 32, 20133 Milano, Italy
- ² Dipartimento di Scienza e Alta Tecnologia, Università dell'Insubria, Via Valleggio 11, 22100 Como, Italy
- $^3\,$ INFN sezione di Milano, via Celoria 16, 20133 Milano, Italy
- ⁴ Università "La Sapienza", Dipartimento di Matematica, Piazzale A. Moro 2, 00185 Roma, Italy

Received 16 December 2013 / Received in final form 11 February 2014

1 Introduction

Pair creation by an external field or by moving boundaries is a very interesting research field which has been explored since the birth of modern quantum field theory [1,2]. We focus on photon pair creation associated with variations of the dielectric constant in a dielectric medium. This topic has been a subject of active investigation, and in this respect we can quote e.g. a series of papers by Schwinger concerning a possible relation between dynamical Casimir effect (DCE) and sonoluminescence [3–9]. In this paper, instead of starting, as Schwinger did, from the quantization of electrodynamics for a dielectric non dispersive medium, we refer to a less phenomenological situation in which dielectric properties are rooted into the interaction between electromagnetic field and a set of oscillators reproducing sources for dispersive properties of the electromagnetic field in matter, as in the well-known Hopfield model developed by Hopfield [10–13]. We generalize the usual picture in the following sense: we work in a gen-eral framework for photon pair creation associated with a space-time dependent dielectric susceptibility, and in particular we focus our attention on perturbation theory. In [14] we have proposed a generalization of the so-called Hopfield model, fully Lorentz covariant, and allowing the introduction of a quite general class of spacetime dependent perturbations reproducing a multitude of physically interesting situations. We point out that we are implicitly assuming that absorption, which plays a fundamental role in Kramers-Kronig relation, is negligible. This assumption amounts to a first-step approximation, which is reasonable as far as field frequencies far from the resonances are

^a e-mail: f.dallapiazza@gmail.com

considered, and as far as we focus on photon production induced by space-time dependent perturbations. See also the discussion in the following section.

In this paper we perform a first order perturbative analysis of the mentioned class, in order to investigate the induced photon pair production from vacuum. In particular, we will compute the S matrix element associated to the transition amplitude from the vacuum to a photon pair state. We will consider the case of a general dispersive but non dissipative linear medium, with an arbitrary number of resonances, and will determine the number of photons emitted, as well as the number of photon pairs produced by the presence of a time varying perturbation. Our theoretical picture appears to be applicable to several physically interesting situations where an intense laser pulse, shot into a nonlinear dielectric medium, generates a travelling dielectric perturbation thanks to the Kerr effect [15]. We point out that, in our model, nonlinearity is phenomenologically taken into account simply through its effect, i.e. the presence of a refractive index perturbation travelling in the medium. A perturbative nomenological approach is e.g. at the root of an interesting pair-creation phenomenon which displays a threshold for photon pair-creation depending on the velocity of the perturbation [16,17]. Our example refers just to this kind of travelling perturbation with constant velocity, which can be amenable of experimental set-up and verification, and represents an improvement of [16,17]. Beyond the aforementioned phenomenology associated with the Kerr effect, we mention that also sonoluminescence could be taken into account in our framework (perturbation theory was applied in [18]). A further interesting situation, where photon pair production is induced by a pulse with orbital angular momentum, will be described elsewhere [19].

the side of dielectric properties of the medium, provides a tion as in [20,23,24] would make quite trickier both the coherent foundation and generalization of the results presented in [18]. Indeed, a more fundamental setting for the theory is provided, and dispersive properties are automatically taken into account. Moreover, the possibility to obtain in an easier way higher order contributions is also given. It is worth mentioning that in [20], a very general picture and interesting picture is provided, where inhomogeneities with generic spatio-temporal dependence are allowed, and the susceptibility is a tensor field depend-ing on space and time. Moreover, therein absorption is included by means of a bath of oscillators whose interactions with the electromagnetic field originate dissipative effects. Even if, in this respect, our model can be considered as a subcase, holding for negligible absorption and for perturbative inhomogeneities, of this general approach, we point out that we develop a formalism leaving room for covariance and quantization in a covariant gauge, which are not treated therein.

2 The Hopfield model

Quantization in a dispersive medium can be approached in different ways. A possibility is to perform a quanti-zation of the electromagnetic field by taking into account spacetime and frequency dependence of the dielectric con-stant and magnetic permeability. For purely dispersive effects, see e.g. [21,22]. Alternatively, one can start from a less phenomenological picture, as in [10,11,20,23,24]. See also the recent monograph [25] for a survey on meth-ods of quantization both in a phenomenological frame-work and in a microscopically grounded one. In partic-ular, in [14] we have proposed a generalized relativistic covariant Hopfield model for the electromagnetic field in a dielectric dispersive medium in a framework in which one allows a space-time dependent susceptibility, aimed to a phenomenological description of a space-time varying dielectric perturbation induced by a local time dependent variation of the dielectric susceptibility. This is per sè an interesting contribution to the microscopically-grounded works on the subject, because covariance and constrained quantization coexist and are coherently discussed. Covariance, as is known, and is confirmed since the original work by Minkowski [26] and e.g. by [27,28], is not simply a speculative exercise in the picture at hand, but allows to get the correct behavior of physical quantities when changing from an inertial observer to another one. This e.g. is relevant in the discussion of the analogue of the Hawking effect (for the optical case, see e.g. [29–33]), where pass-ing to the reference frame which is comoving with the uniformly travelling perturbation is of basic relevance in order to understand several theoretical questions¹. Constrained quantization is as well an important topic for understanding the role of constraints on the quantization of

We also underline that the present picture, at least on the model at hand (see e.g. [34,35]). Introducing absorpconstrained quantization scheme and the effective computations. We mean to come back on this topic in future

> In this paper, we follow a different strategy with respect to [14], where no reduction of the first-class constraints to second-class ones occurs. As a consequence, the Lorentz-Landau gauge condition we fix (see below) is to be imposed by means of a Gupta-Bleuler condition on the physical states. Moreover, we use MKS unrationalized

> In terms of the four-potential gauge field A and a single polarization field P, it is described by the classical Hamiltonian density

$$\mathcal{H} = \frac{1}{2} (\Pi_A^i)^2 + \frac{1}{4} F_{ij} F^{ij} + A_0 (\partial_i \Pi_{A i})$$

$$+ \frac{1}{c} (v_0 P_i - v_i P_0) \Pi_{A i} - \frac{v^k}{v_0} (\partial_k P^\mu) \Pi_{P\mu}$$

$$- \frac{\chi}{2 (v^0)^2} \Pi_{P\mu} \Pi_P^\mu - \frac{\omega_0^2}{2 \chi} P_\mu P^\mu$$

$$+ \frac{1}{2c^4} (v_0 P_i - v_i P_0)^2, \qquad (1)$$

 v^{μ} is the four-velocity of the dielectric medium. The polarization field must satisfy the following condition:

$$v^{\mu}P_{\mu} = 0. \tag{2}$$

The space of complexified fields (A, P) is endowed with the conserved scalar product

$$((A_{\mu}, P_{\mu})|(\tilde{A}_{\mu}, \tilde{P}_{\mu})) = \frac{i}{c} \int_{\Sigma_{t}} \left[F^{*0\nu} \tilde{A}_{\nu} + \frac{1}{\chi} v^{\rho} \partial_{\rho} P^{*\sigma} \tilde{P}_{\sigma} v^{0} - \frac{1}{c} (P^{*0} v^{\rho} - P^{*\rho} v^{0}) \tilde{A}_{\rho} - \tilde{F}^{0\nu} A_{\nu}^{*} - \frac{1}{\chi} v^{\rho} \partial_{\rho} \tilde{P}^{\sigma} P_{\sigma}^{*} v^{0} + \frac{1}{c} \left(\tilde{P}^{0} v^{\rho} - \tilde{P}^{\rho} v^{0} \right) A_{\rho}^{*} \right] d^{3}x.$$

$$(3)$$

This provides a natural structure for the procedure of quantization. Because of the presence of constraints this requires some carefulness, and the result is that, beyond the standard CCR for the A field, the correct CCR for the field P^{μ} and its conjugate momentum Π^{μ} is [14]:

$$[P^{\mu}, \Pi_P^{\nu}] = i\hbar \left(\eta^{\mu\nu} - \frac{1}{v_{\rho}v^{\rho}} v^{\mu}v^{\nu} \right) \delta^{(3)}(\mathbf{x} - \mathbf{y}). \tag{4}$$

Accordingly to the classical constraint condition $\partial_{\mu}A^{\mu}=0$, one imposes the following condition on the physical states:

$$\partial_{\mu}A^{\mu} (+) |\Psi_{phys}\rangle = 0, \tag{5}$$

¹ We shall discuss the Hawking effect in the framework of the Hopfield model in a dedicated paper.

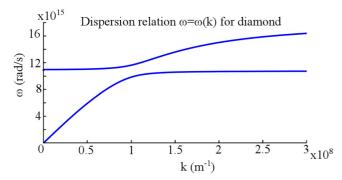


Fig. 1. The two lowest dispersion branches for diamond. The third one appears for $\omega > 4 \times 10^{16}$ rad/s.

where, with standard notation, we mean that the positive frequency (annihilator) part of the operator vanishes on the physical states $|\varPsi_{phys}\rangle.$ The further constraint $v_{\mu}P^{\mu}=0$ is not related to a gauge invariance and is automatically implemented [14].

We will now consider the perturbative quantization of this model, by considering as unperturbed the model with constant susceptibility coefficient $\chi(\mathbf{x},t) = \chi_0$. The perturbation will then be parameterized by the function $\delta\chi(\mathbf{x},t) = \chi(\mathbf{x},t) - \chi_0$.

3 Perturbative on-shell quantization of the Hopfield model

We consider unperturbed the case of constant χ_0 [10,11], which is exactly tractable. The exact solutions of the classical equations of motion in the lab frame, and in the Lorentz-Landau gauge, are generated by plane waves having only spatial components

$$\mathbf{A}(\mathbf{x},t) = \mathbf{A}_0 e^{-i\omega t + i\mathbf{k}\cdot\mathbf{x}},\tag{6}$$

$$\mathbf{P}(\mathbf{x},t) = -i\frac{\chi_0 \omega}{\omega_0^2 - \omega^2} \frac{1}{c} \mathbf{A}_0 e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}},\tag{7}$$

where ω is related to **k** by the dispersion relation

$$c^2 \mathbf{k}^2 = \omega^2 \left[1 + \frac{\chi_0}{\omega_0^2 - \omega^2} \right] = \omega^2 n_p^2(\omega), \tag{8}$$

where $n_p(\omega)$ is the phase velocity refractive index. The last relation selects two branches separated by the resonance frequency $\pm \omega_0$, the first and the second dispersion branches. Figure 1 concerns a more general case (cf. Sect. 3.1) of two resonances, as for diamond. We call $\pm \omega_-$ the modes in the first dispersion branch, and $\pm \omega_+$ the solutions in the other branch. Thus, we have

$$\omega_{\pm}^{2} = \frac{\omega_{0}^{2} + \chi_{0} + c^{2} \mathbf{k}^{2}}{2}$$

$$\pm \frac{1}{2} \sqrt{\left[(|\mathbf{k}|c + \omega_{0})^{2} + \chi_{0} \right] \left[(|\mathbf{k}|c - \omega_{0})^{2} + \chi_{0} \right]}. \quad (9)$$

It is easy to show that indeed $\omega_{-} < \omega_{0}$ and $\omega_{+} > \omega_{0}$. The general solution for the **A** field can be written in the form

$$A^{j}(\mathbf{x},t) = \int d\omega \int \frac{d^{3}\mathbf{k}}{(2\pi)^{3}} \left[f^{j}(\omega,\mathbf{k}) e^{-i\omega t + i\mathbf{k}\mathbf{x}} \times \delta \left(\mathbf{k}^{2} - \frac{\omega^{2}}{c^{2}} \left[1 + \frac{\chi_{0}}{\omega_{0}^{2} - \omega^{2}} \right] \right) + c.c. \right]. \quad (10)$$

We can now integrate explicitly in ω by employing the properties of the δ function. This gives

$$A^{j}(\mathbf{x},t) = \int \frac{d^{3}\mathbf{k}}{\overline{\Phi}_{\mathbf{k}}^{-}} \left[a_{\mathbf{k}}^{j} e^{-i\omega_{-}t + i\mathbf{k}\cdot\mathbf{x}} + a_{\mathbf{k}}^{j*} e^{i\omega_{-}t - i\mathbf{k}\cdot\mathbf{x}} \right]$$
$$+ \int \frac{d^{3}\mathbf{k}}{\overline{\Phi}_{\mathbf{k}}^{+}} \left[\tilde{a}_{\mathbf{k}}^{j} e^{-i\omega_{+}t + i\mathbf{k}\cdot\mathbf{x}} + \tilde{a}_{\mathbf{k}}^{j*} e^{i\omega_{+}t - i\mathbf{k}\cdot\mathbf{x}} \right],$$
(11)

where we have introduced the measure factor (coming from the δ distribution)

$$\Phi_{\mathbf{k}}^{\pm} = \frac{2}{c^2} \omega_{\pm} (2\pi)^3 \left[1 + \frac{\chi_0 \omega_0^2}{(\omega_0^2 - \omega_{\pm}^2)^2} \right]
= \frac{2}{c^2} \omega_{\pm} (2\pi)^3 n_g(\omega_{\pm}) n_p(\omega_{\pm}),$$
(12)

where $n_g(\omega)$ is the group velocity refractive index. Note that the field results to be naturally the sum of ω_- modes with amplitude $\tilde{a}_{\mathbf{k}}$ and ω_+ modes with amplitude $\tilde{a}_{\mathbf{k}}$.

In the same way we can compute the polarization field and the associated momenta:

$$P^{j}(\mathbf{x},t) = -\frac{i}{c} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{-}} \frac{\chi_{0}\omega_{-}}{\omega_{0}^{2} - \omega_{-}^{2}} \left[a_{\mathbf{k}}^{j} e^{-i\omega_{-}t + i\mathbf{k}\cdot\mathbf{x}} - a_{\mathbf{k}}^{j*} e^{i\omega_{-}t - i\mathbf{k}\cdot\mathbf{x}} \right]$$

$$-\frac{i}{c} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{+}} \frac{\chi_{0}\omega_{+}}{\omega_{0}^{2} - \omega_{+}^{2}} \left[\tilde{a}_{\mathbf{k}}^{j} e^{-i\omega_{+}t + i\mathbf{k}\cdot\mathbf{x}} - \tilde{a}_{\mathbf{k}}^{j*} e^{i\omega_{+}t - i\mathbf{k}\cdot\mathbf{x}} \right],$$

$$(13)$$

$$\Pi_{A}^{j}(\mathbf{x},t) = \frac{i}{c^{2}} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{-}} \omega_{-} \left[a_{\mathbf{k}}^{j} e^{-i\omega_{-}t + i\mathbf{k}\cdot\mathbf{x}} - a_{\mathbf{k}}^{j*} e^{i\omega_{-}t - i\mathbf{k}\cdot\mathbf{x}} \right]
+ \frac{i}{c^{2}} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{+}} \omega_{+} \left[\tilde{a}_{\mathbf{k}}^{j} e^{-i\omega_{+}t + i\mathbf{k}\cdot\mathbf{x}} \right]
- \tilde{a}_{\mathbf{k}}^{j*} e^{i\omega_{+}t - i\mathbf{k}\cdot\mathbf{x}} - \frac{1}{c} P^{i}(\mathbf{x},t), \tag{14}$$

$$\Pi_{P}^{j}(\mathbf{x},t) = \frac{1}{c} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{-}} \frac{\omega_{-}^{2}}{\omega_{0}^{2} - \omega_{-}^{2}} \left[a_{\mathbf{k}}^{j} e^{-i\omega_{-}t + i\mathbf{k}\cdot\mathbf{x}} + a_{\mathbf{k}}^{j*} e^{i\omega_{-}t - i\mathbf{k}\cdot\mathbf{x}} \right] + \frac{1}{c} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{+}} \frac{\omega_{+}^{2}}{\omega_{0}^{2} - \omega_{+}^{2}} \times \left[\tilde{a}_{\mathbf{k}}^{j} e^{-i\omega_{+}t + i\mathbf{k}\cdot\mathbf{x}} + \tilde{a}_{\mathbf{k}}^{j*} e^{i\omega_{+}t - i\mathbf{k}\cdot\mathbf{x}} \right]. \tag{15}$$

In the Hamiltonian formulation, $\mathbf{A}, \mathbf{P}, \mathbf{\Pi}_A, \mathbf{\Pi}_P$ are the dynamical variables subject to a canonical symplectic

structure at fixed time, with non vanishing Poisson brackets

$$\{A^{i}(\mathbf{x},t), \Pi_{A}^{j}(\mathbf{x}',t)\} = -\delta^{ij}\delta^{3}(\mathbf{x} - \mathbf{x}'), \tag{16}$$

$$\{P^{i}(\mathbf{x},t), \Pi_{P}^{j}(\mathbf{x}',t)\} = -\delta^{ij}\delta^{3}(\mathbf{x} - \mathbf{x}'), \tag{17}$$

so that the Hamilton equations

$$\partial_t \mathbf{A} = -\{H, \mathbf{A}\}, \qquad \partial_t \mathbf{\Pi}_A = -\{H, \mathbf{\Pi}_A\},$$
 (18)

$$\partial_t \mathbf{P} = -\{H, \mathbf{P}\}, \qquad \partial_t \mathbf{\Pi}_P = -\{H, \mathbf{\Pi}_P\},$$
 (19)

are equivalent to the original Lagrange equations. One can proceed with quantization by promoting the dynamical variables to operators and the Poisson brackets to commutators defined by the correspondence principle. Equivalently, we can use $a^i_{\mathbf{k}}, \tilde{a}^i_{\mathbf{k}}$ and their conjugates as new dynamical variables. We will use the same symbols for the corresponding operators. Notice that, if we indicate with

$$\mathcal{U}_{\pm} = \left(\xi e^{-i\omega_{\pm}t + i\mathbf{k}\cdot\mathbf{x}}, -i\frac{\chi_0\omega_{\pm}}{c(\omega_0^2 - \omega_{\pm}^2)}\xi e^{-i\omega_{\pm}t + i\mathbf{k}\cdot\mathbf{x}}\right) \quad (20)$$

the standard plane wave, with ξ a three dimensional polarization times a scalar amplitude, we find that

$$\xi^* \cdot \mathbf{a_k} = (\mathcal{U}_-, (\mathbf{A}, \mathbf{P})), \qquad (21)$$

$$\xi \cdot \mathbf{a}_{\mathbf{k}}^{\dagger} = \left(\mathcal{U}_{-}^{*}, (\mathbf{A}, \mathbf{P}) \right), \tag{22}$$

$$\xi^* \cdot \tilde{\mathbf{a}}_{\mathbf{k}} = (\mathcal{U}_+, (\mathbf{A}, \mathbf{P})),$$
 (23)

$$\xi \cdot \tilde{\mathbf{a}}_{\mathbf{k}}^{\dagger} = \left(\mathcal{U}_{+}^{*}, (\mathbf{A}, \mathbf{P}) \right), \tag{24}$$

where we used the scalar product (3) in which the first component of each four-vectors is zero. Moreover, the oscillators satisfy the canonical brackets

$$\left[a_{\mathbf{k}}^{i},a_{\mathbf{k}'}^{j\dagger}\right] = \left(\delta^{ij} - \frac{k^{i}k^{j}}{\mathbf{k}^{2}}\right)\Phi_{\mathbf{k}}^{-}\delta^{3}\left(\mathbf{k} - \mathbf{k}'\right), \qquad (25)$$

$$\left[\tilde{a}_{\mathbf{k}}^{i}, \tilde{a}_{\mathbf{k}'}^{j\dagger}\right] = \left(\delta^{ij} - \frac{k^{i}k^{j}}{\mathbf{k}^{2}}\right) \Phi_{\mathbf{k}}^{+} \delta^{3}(\mathbf{k} - \mathbf{k}'), \qquad (26)$$

$$\left[a_{\mathbf{k}}^{i}, \tilde{a}_{\mathbf{k'}}^{j}\right] = 0, \qquad \left[a_{\mathbf{k}}^{i}, \tilde{a}_{\mathbf{k'}}^{j\dagger}\right] = 0, \tag{27}$$

$$\left[a_{\mathbf{k}}^{i\dagger}, \tilde{a}_{\mathbf{k}'}^{j}\right] = 0, \qquad \left[\tilde{a}_{\mathbf{k}}^{i\dagger}, \tilde{a}_{\mathbf{k}'}^{j\dagger}\right] = 0. \tag{28}$$

However, recalling that the oscillator fields are constrained by the transversality condition, it is convenient to consider unconstrained oscillating field operators $a_{\mu \mathbf{k}}$, $\tilde{a}_{\mu \mathbf{k}}$, $\mu = 1, 2$ and express the fields in terms of the constrained operators $\sum_{\mu} \mathbf{e}^*_{-\mu \mathbf{k}} a_{\mu \mathbf{k}}$, $\sum_{\mu} \mathbf{e}^*_{+\mu \mathbf{k}} \tilde{a}_{\mu \mathbf{k}}$, where $\mathbf{e}_{\pm \mu \mathbf{k}}$, $\mu = 1, 2$ form two bases (one for each sign) of the polarization vectors orthogonal to \mathbf{k} , and satisfying the relations

$$\sum_{\mu} e^{i}_{\pm\mu\mathbf{k}} e^{j}_{\pm\mu\mathbf{k}} = \delta^{ij} - \frac{k^{i}k^{J}}{\mathbf{k}^{2}}, \tag{29}$$

whereas the unconstrained operators satisfy

$$\left[a_{\mu\mathbf{k}}, a_{\nu\mathbf{k}'}^{\dagger}\right] = \delta_{\mu\nu}\Phi_{\mathbf{k}}^{-}\delta^{3}\left(\mathbf{k} - \mathbf{k}'\right), \tag{30}$$

$$\left[\tilde{a}_{\mu\mathbf{k}}, \tilde{a}_{\nu\mathbf{k}'}^{\dagger}\right] = \delta_{\mu\nu} \Phi_{\mathbf{k}}^{+} \delta^{3} \left(\mathbf{k} - \mathbf{k}'\right), \tag{31}$$

$$[a_{\mu \mathbf{k}}, \tilde{a}_{\nu \mathbf{k}'}] = 0,$$
 $\left[a_{\mu \mathbf{k}}, \tilde{a}_{\nu \mathbf{k}'}^{\dagger}\right] = 0,$ (32)

$$\left[\hat{a}_{\mu\mathbf{k}}^{\dagger}, \tilde{a}_{\nu\mathbf{k}'} \right] = 0, \qquad \left[\tilde{a}_{\mu\mathbf{k}}^{\dagger}, \tilde{a}_{\nu\mathbf{k}'}^{\dagger} \right] = 0. \tag{33}$$

The unperturbed Hamiltonian operator is defined via the normal ordered operator

$$H_0 =: \int d^3 \mathbf{x} \left[\frac{c^2}{2} \mathbf{\Pi}_A^2 - \frac{1}{2} \mathbf{A} \cdot \Delta \mathbf{A} + c \mathbf{P} \cdot \mathbf{\Pi}_A \right]$$
$$+ \frac{\chi_0}{2} \mathbf{\Pi}_P^2 + \frac{1}{2} \left(\frac{\omega_0^2}{\chi_0} - 1 \right) \mathbf{P}^2 \right] :, \tag{34}$$

and expressed in terms of the oscillator operators takes the form

$$H_0 = \sum_{\mu=1}^2 \int \frac{d^3 \mathbf{k}}{\Phi_{\mathbf{k}}^-} a_{\mu \mathbf{k}}^{\dagger} a_{\mu \mathbf{k}} \, \hbar \omega_- + \sum_{\mu=1}^2 \int \frac{d^3 \mathbf{k}}{\Phi_{\mathbf{k}}^+} \tilde{a}_{\mu \mathbf{k}}^{\dagger} \tilde{a}_{\mu \mathbf{k}} \, \hbar \omega_+.$$

$$(35)$$

This allows to interpret

$$\frac{d^3\mathbf{k}}{\Phi_{\mathbf{k}}^-} a_{\mu\mathbf{k}}^{\dagger} a_{\mu\mathbf{k}} \tag{36}$$

as the number operator for the polaritons in the first branch, with energy $\hbar\omega_-$, wave vector in $\mathbf{k}-\mathbf{k}+d^3\mathbf{k}$, and polarization $\mathbf{e}_{-\mu\mathbf{k}}$, and similar for the second branch. It may be noted that the Lorentz-Landau gauge we imposed at the beginning of our calculations, due to the equations of motion at the unperturbed level, still lead us to $A_0^{(0)}=0$ (the upper index indicates the order in the perturbative expansion), and then, at least at the unperturbed level, we find again standard transversality occurring in the Coulomb gauge. As our inhomogeneous perturbation plays the role of source for the divergence of the polarization field, we expect that such a transvesality is broken at higher order, leaving us with the necessity of a Gupta-Bleuler formalism.

3.1 Generalization to an arbitrary number of resonance frequencies

Consider the case of N>1 material harmonic oscillators coupled with the electromagnetic field. These can be

described by the Hamiltonian:

$$\mathcal{H}_{N} = \frac{1}{2} \left(\Pi_{A}^{i} \right)^{2} + \frac{1}{4} F_{ij} F^{ij} + A_{0} \left(\partial_{i} \Pi_{A i} \right)$$

$$+ \sum_{k=1}^{N} \left[\frac{1}{c} \left(v_{0} P_{(k)i} - v_{i} P_{(k)0} \right) \Pi_{A i} - \frac{v^{i}}{v_{0}} \left(\partial_{i} P_{(k)}^{\mu} \right) \Pi_{P_{(k)}\mu} \right]$$

$$- \frac{\chi}{2 \left(v^{0} \right)^{2}} \Pi_{P_{(k)}\mu} \Pi_{P_{(k)}}^{\mu} - \frac{\omega_{(k)0}^{2}}{2 \chi} P_{(k)\mu} P_{(k)}^{\mu}$$

$$+ \frac{1}{2c^{4}} \left(v_{0} P_{(k)i} - v_{i} P_{(k)0} \right)^{2} .$$

$$(37)$$

The quantum fields $P^{\mu}_{(k)}$ satisfy

$$\left[P_{(k)}^{\mu}, \Pi_{P_{(l)}^{\nu}}\right] := i\hbar \delta_{(k)(l)} \left(\eta^{\mu\nu} - \frac{v^{\mu}v^{\nu}}{v_{\rho}v^{\rho}}\right) \delta^{3}(\mathbf{x} - \mathbf{y}), \quad (38)$$

$$\left[P_{(k)}^{\mu}, P_{(l)}^{\nu}\right] := 0,$$
 (39)

$$\left[\Pi_{P_{(k)}^{\mu}}, \Pi_{P_{(l)}^{\nu}}\right] := 0.$$
 (40)

In the case when $\chi_{(k)} = \chi_{0(k)}$ are constant, the classical equation of motion can be solved exactly by using the Fourier transform method. The solutions result to be governed by the dispersion relation

$$c^{2}\mathbf{k}^{2} = \omega^{2} \left[1 + \sum_{l=1}^{N} \frac{\chi_{0(l)}}{\omega_{0(l)}^{2} - \omega^{2}} \right] = \omega^{2} n_{p}^{2}(\omega).$$
 (41)

It is easy to see that for any value of \mathbf{k}^2 this equation admits N+1 positive solutions $\omega_{\alpha\mathbf{k}}^2$, $\alpha=0,1,\ldots,N$ corresponding to N+1 dispersion branches, satisfying $\omega_{\alpha\mathbf{k}}^2<\omega_{0(\alpha+1)}^2<\omega_{\alpha+1\mathbf{k}}^2$, $\alpha=0,\ldots,N-1$.

Again, we can introduce polarization vectors $e^i_{\alpha\mu\mathbf{k}}$, $\mu = 1, 2, \alpha = 0, 1, \dots, N$ satisfying

$$\sum_{\mu} e^{i}_{\alpha\mu\mathbf{k}} e^{j}_{\alpha\mu\mathbf{k}} = \delta^{ij} - \frac{k^{i}k^{j}}{\mathbf{k}^{2}},\tag{42}$$

so that the fields take the form

$$\mathbf{A}(\mathbf{x},t) = \sum_{\mu=1}^{2} \sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\boldsymbol{\Phi}_{\mathbf{k}}^{\alpha}} \left[\mathbf{e}_{\alpha\mu\mathbf{k}}^{*} a_{\mu\alpha\mathbf{k}} e^{-i\omega_{\alpha\mathbf{k}}t + i\mathbf{k}\cdot\mathbf{x}} + \mathbf{e}_{\alpha\mu\mathbf{k}} a_{\mu\alpha\mathbf{k}}^{\dagger} e^{i\omega_{\alpha\mathbf{k}}t - i\mathbf{k}\cdot\mathbf{x}} \right], \tag{43}$$

$$\mathbf{P}_{(l)}(\mathbf{x},t) = -\frac{i}{c} \sum_{\mu=1}^{2} \sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} \frac{\chi_{0(l)} \omega_{\alpha \mathbf{k}}}{\omega_{0(l)}^{2} - \omega_{\alpha \mathbf{k}}^{2}} \times \left[\mathbf{e}_{\alpha \mu \mathbf{k}}^{*} a_{\mu \alpha \mathbf{k}} e^{-i\omega_{\alpha \mathbf{k}} t + i\mathbf{k} \cdot \mathbf{x}} - \mathbf{e}_{\alpha \mu \mathbf{k}} a_{\mu \alpha \mathbf{k}}^{\dagger} e^{i\omega_{\alpha \mathbf{k}} t - i\mathbf{k} \cdot \mathbf{x}} \right], \tag{44}$$

where we have introduced the invariant measure factors

$$\Phi_{\mathbf{k}}^{\alpha} = \frac{2}{c^2} \omega_{\alpha \mathbf{k}} (2\pi)^3 \left[1 + \sum_{l=1}^N \frac{\chi_{0(l)} \omega_{0(l)}^2}{(\omega_{0(l)}^2 - \omega_{\alpha \mathbf{k}}^2)^2} \right]
= \frac{2}{c^2} \omega_{\alpha \mathbf{k}} (2\pi)^3 n_g(\omega_{\alpha \mathbf{k}}) n_p(\omega_{\alpha \mathbf{k}}).$$
(45)

The oscillator field operators satisfy the canonical commutators

$$\begin{bmatrix}
a_{\mu\alpha\mathbf{k}}, a_{\nu\beta\mathbf{k}'}^{\dagger} \end{bmatrix} = \delta_{\mu\nu}\delta_{\alpha\beta}\Phi_{\mathbf{k}}^{\alpha}\delta^{3}\left(\mathbf{k} - \mathbf{k}'\right),
[a_{\mu\alpha\mathbf{k}}, a_{\nu\beta\mathbf{k}'}] = \begin{bmatrix} a_{\mu\alpha\mathbf{k}}^{\dagger}, a_{\nu\beta\mathbf{k}'}^{\dagger} \end{bmatrix} = 0.$$
(46)

The unperturbed Hamiltonian is:

$$H_0 = \sum_{\mu=1}^{2} \sum_{\alpha=0}^{N} \int \frac{d^3 \mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} a_{\mu\alpha\mathbf{k}}^{\dagger} a_{\mu\alpha\mathbf{k}} \ \hbar \omega_{\alpha\mathbf{k}}. \tag{47}$$

This allows to interpret $a^{\dagger}_{\mu\alpha\mathbf{k}}a_{\mu\alpha\mathbf{k}}$ as a number density so that

$$\frac{d^3 \mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} a_{\mu \alpha \mathbf{k}}^{\dagger} a_{\mu \alpha \mathbf{k}} \tag{48}$$

is the number operator for polaritons with energy $\hbar\omega_{\alpha\mathbf{k}}$, wave vector in $\mathbf{k} - \mathbf{k} + d\mathbf{k}$, and polarization $\mathbf{e}_{\alpha\mu\mathbf{k}}$.

3.2 Photon emission induced by a perturbation

The simplest perturbation of the system can be obtained by changing

$$\chi_{0(l)} \to \chi_{(l)}(\mathbf{x}, t) = \chi_{0(l)} + \delta \chi_{(l)}(\mathbf{x}, t). \tag{49}$$

Then, the Hamiltonian is perturbed by a term

$$\delta H = \sum_{l=1}^{N} \int \left[\frac{1}{2} \delta \chi_{(l)} \mathbf{\Pi}_{\mathbf{P}(l)}^{2} + \frac{1}{2} \omega_{0(l)}^{2} \right] \times \left(\frac{1}{\chi_{(l)}} - \frac{1}{\chi_{0(l)}} \right) \mathbf{P}_{(l)}^{2} d^{3} \mathbf{x}, \tag{50}$$

and using the expressions of the field P and its conjugate momentum Π_P in terms of the oscillating modes

$$\mathbf{P}_{(l)}(\mathbf{x},t) = -\frac{i}{c} \sum_{\mu=1}^{2} \sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} \frac{\chi_{0(l)} \omega_{\alpha \mathbf{k}}}{\omega_{0(l)}^{2} - \omega_{\alpha \mathbf{k}}^{2}} \times \left[\mathbf{e}_{\alpha \mu \mathbf{k}}^{*} a_{\mu \alpha \mathbf{k}} e^{-i\omega_{\alpha \mathbf{k}}t + i\mathbf{k} \cdot \mathbf{x}} - \mathbf{e}_{\alpha \mu \mathbf{k}} a_{\mu \alpha \mathbf{k}}^{\dagger} e^{i\omega_{\alpha \mathbf{k}}t - i\mathbf{k} \cdot \mathbf{x}} \right],$$
(51)

$$\Pi_{\mathbf{P}(l)}(\mathbf{x},t) = \frac{1}{c} \sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} \frac{\omega_{\alpha\mathbf{k}}^{2}}{\omega_{0(l)}^{2} - \omega_{\alpha\mathbf{k}}^{2}} \times \left[\mathbf{e}_{\alpha\mu\mathbf{k}}^{*} a_{\mu\alpha\mathbf{k}} e^{-i\omega_{\alpha\mathbf{k}}t + i\mathbf{k}\cdot\mathbf{x}} + \mathbf{e}_{\alpha\mu\mathbf{k}} a_{\mu\alpha\mathbf{k}}^{\dagger} e^{i\omega_{\alpha\mathbf{k}}t - i\mathbf{k}\cdot\mathbf{x}} \right],$$
(52)

$$\mathcal{A}_{\{\alpha_{1}\zeta\mathbf{k}_{1};\alpha_{2}\xi\mathbf{k}_{2}\}} = -\frac{i}{2c^{2}} \sum_{\mu=1}^{2} \sum_{\nu=1}^{2} \sum_{\nu=1}^{2} \sum_{l=1}^{N} \sum_{\alpha=0}^{N} \sum_{\beta=0}^{N} \int d^{3}\mathbf{x} dt \int \frac{d^{3}\mathbf{k}}{\Phi_{\mathbf{k}}^{\alpha}} \int \frac{d^{3}\mathbf{k}'}{\Phi_{\mathbf{k}'}^{\beta}} \left\{ \frac{\omega_{\alpha\mathbf{k}}\omega_{\beta\mathbf{k}'}(\omega_{\alpha\mathbf{k}}\omega_{\beta\mathbf{k}'} + \omega_{0(l)}^{2})}{(\omega_{0(l)}^{2} - \omega_{\alpha\mathbf{k}}^{2})(\omega_{0(l)}^{2} - \omega_{\beta\mathbf{k}'}^{2})} \right. \\
\times e^{it(\omega_{\alpha\mathbf{k}} + \omega_{\beta\mathbf{k}'}) - i\mathbf{x} \cdot (\mathbf{k} + \mathbf{k}')} \delta\chi_{(l)}(\mathbf{x}, t) \langle 0 | \zeta_{\nu}^{*} a_{\nu\alpha_{1}\mathbf{k}_{1}} \xi_{\rho}^{*} a_{\rho\alpha_{2}\mathbf{k}_{2}} a_{\mu\alpha\mathbf{k}}^{\dagger} a_{\mu\beta\mathbf{k}'}^{\dagger} | 0 \rangle \right\} \\
= \frac{1}{c^{2}} \zeta \xi \sum_{l=0}^{N} \frac{\omega_{\alpha_{1}\mathbf{k}_{1}} \omega_{\alpha_{2}\mathbf{k}_{2}} (\omega_{\alpha_{1}\mathbf{k}_{1}} \omega_{\alpha_{2}\mathbf{k}_{2}} + \omega_{0(l)}^{2})}{(\omega_{0(l)}^{2} - \omega_{\alpha_{1}\mathbf{k}_{1}}^{2})(\omega_{0(l)}^{2} - \omega_{\alpha_{2}\mathbf{k}_{2}}^{2})} \delta\widehat{\chi}_{(l)}(\omega_{\alpha_{1}\mathbf{k}_{1}} + \omega_{\alpha_{2}\mathbf{k}_{2}}, \mathbf{k}_{1} + \mathbf{k}_{2}), \tag{58}$$

we obtain:

$$\begin{split} \delta H\left(\mathbf{x},t\right) &= \frac{\hbar}{2c^{2}} \sum_{l=1}^{N} \sum_{\mu=1}^{2} \left\{ \left[\sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\varPhi_{\mathbf{k}}^{\alpha}} \frac{\omega_{\alpha\mathbf{k}}^{2}}{\omega_{0(l)}^{2} - \omega_{\alpha\mathbf{k}}^{2}} \right. \\ &\times \left(a_{\mu\alpha\mathbf{k}} e^{-i\omega_{\alpha\mathbf{k}}t + i\mathbf{k}\cdot\mathbf{x}} + a_{\mu\alpha\mathbf{k}}^{\dagger} e^{i\omega_{\alpha\mathbf{k}}t - i\mathbf{k}\cdot\mathbf{x}} \right) \right] \\ &\times \left[\sum_{\beta=0}^{N} \int \frac{d^{3}\mathbf{k}'}{\varPhi_{\mathbf{k}'}^{\beta}} \frac{\omega_{\beta\mathbf{k}'}^{2}}{\omega_{0(l)}^{2} - \omega_{\beta\mathbf{k}'}^{2}} \left(a_{\mu\beta\mathbf{k}'} e^{-i\omega_{\beta\mathbf{k}'}t + i\mathbf{k}'\cdot\mathbf{x}} \right) \right] \\ &\times \left[\sum_{\beta=0}^{N} \int \frac{d^{3}\mathbf{k}'}{\varPhi_{\mathbf{k}'}^{\beta}} \frac{\omega_{\beta\mathbf{k}'}^{2}}{\omega_{0(l)}^{2} - \omega_{\beta\mathbf{k}'}^{2}} \left(a_{\mu\beta\mathbf{k}'} e^{-i\omega_{\beta\mathbf{k}'}t + i\mathbf{k}'\cdot\mathbf{x}} \right) \\ &\times \left[\sum_{\beta=0}^{N} \int \frac{d^{3}\mathbf{k}'}{\varPhi_{\mathbf{k}'}^{\beta}} \frac{\omega_{0(l)}^{2} - \omega_{\beta\mathbf{k}'}^{2}}{\omega_{0(l)}^{2} - \omega_{\alpha\mathbf{k}}^{2}} \left(a_{\mu\alpha\mathbf{k}} e^{-i\omega_{\alpha\mathbf{k}}t + i\mathbf{k}\cdot\mathbf{x}} \right) \\ &+ \omega_{0(l)}^{2} \left(\frac{1}{\chi_{0(l)} + \delta\chi(\mathbf{x},t)} - \frac{1}{\chi_{0(l)}} \right) \\ &\times \left[\sum_{\alpha=0}^{N} \int \frac{d^{3}\mathbf{k}}{\varPhi_{\mathbf{k}'}^{\alpha}} \frac{\chi_{0(l)}\omega_{\alpha\mathbf{k}}}{\omega_{0(l)}^{2} - \omega_{\alpha\mathbf{k}}^{2}} \left(a_{\mu\alpha\mathbf{k}} e^{-i\omega_{\alpha\mathbf{k}}t + i\mathbf{k}\cdot\mathbf{x}} \right) \\ &- a_{\mu\alpha\mathbf{k}}^{\dagger} e^{i\omega_{\alpha\mathbf{k}}t - i\mathbf{k}\cdot\mathbf{x}} \right) \right] \\ &\times \left[\sum_{\beta=0}^{N} \int \frac{d^{3}\mathbf{k}'}{\varPhi_{\mathbf{k}'}^{\beta}} \frac{\chi_{0(l)}\omega_{\beta\mathbf{k}'}}{\omega_{0(l)}^{2} - \omega_{\beta\mathbf{k}'}^{2}} \\ &\times \left(a_{\mu\beta\mathbf{k}'} e^{-i\omega_{\beta\mathbf{k}'}t + i\mathbf{k}'\cdot\mathbf{x}} - a_{\mu\beta\mathbf{k}'}^{\dagger} e^{i\omega_{\beta\mathbf{k}'}t - i\mathbf{k}'\cdot\mathbf{x}} \right) \right] \right\}. \end{split}$$

Let us compute the probability amplitude for creating a pair of polaritons, the first one in the branch α_1 , with wave vector \mathbf{k}_1 and polarization $\zeta_{\mathbf{k}_1} = \sum_{\nu=1}^2 \zeta_{\nu} \mathbf{e}_{\alpha_1 \nu \mathbf{k}_1}$, and the second one in the branch α_2 , with wave vector \mathbf{k}_2 and polarization $\xi_{\mathbf{k}_2} = \sum_{\rho=1}^2 \xi_{\rho} \mathbf{e}_{\alpha_2 \rho \mathbf{k}_2}$. This corresponds to the state

$$|\alpha_1 \zeta \mathbf{k}_1; \alpha_2 \xi \mathbf{k}_2\rangle = \sum_{\nu=1}^2 \sum_{\rho=1}^2 \zeta_{\nu} \xi_{\rho} a_{\nu \alpha_1 \mathbf{k}_1}^{\dagger} a_{\rho \alpha_2 \mathbf{k}_2}^{\dagger} |0\rangle. \quad (54)$$

This is given by:

$$\mathcal{A}_{\{\alpha_1\zeta\mathbf{k}_1;\alpha_2\xi\mathbf{k}_2\}} = \langle \alpha_1\zeta\mathbf{k}_1;\alpha_2\xi\mathbf{k}_2|S|0\rangle, \tag{55}$$

where at first order the S-matrix is given by:

$$S \simeq \mathbb{I} - \frac{i}{\hbar} \int d^3 \mathbf{x} dt \delta H(\mathbf{x}, t). \tag{56}$$

At this order we can approximate

$$\frac{1}{\chi_{0(l)} + \delta \chi(\mathbf{x}, t)} - \frac{1}{\chi_{0(l)}} \simeq -\frac{\delta \chi(\mathbf{x}, t)}{\chi_{0(l)}^2}, \tag{57}$$

so that we get

see equation (58) above

where

$$\widehat{\delta\chi}_{(l)}(\omega_{\alpha\mathbf{k}}, \mathbf{k}) = \int d^3\mathbf{x} dt \ e^{i\omega_{\alpha\mathbf{k}}t - i\mathbf{k}\cdot\mathbf{x}} \delta\chi_{(l)}(\mathbf{x}, t). \quad (59)$$

From this we can compute the number of polaritons generated with wave vector \mathbf{k} in the solid angle $d\omega_{\mathbf{k}}$, in the branch α , with polarization ζ . This is given by:

$$dN_{\alpha\zeta\mathbf{k}} = \mathcal{P}_{\alpha\zeta\mathbf{k}} \frac{\mathbf{k}^2 d|\mathbf{k}|}{\Phi_{\mathbf{k}}^{\alpha}} d\Omega_{\mathbf{k}}, \tag{60}$$

$$\mathcal{P}_{\alpha\zeta\mathbf{k}} := \sum_{\mu=1}^{2} \sum_{\beta=0}^{N} \int |\mathcal{A}_{\{\alpha\zeta\mathbf{k};\beta\mathbf{e}_{\beta\mu\mathbf{k}'}\mathbf{k}'\}}|^{2} \frac{d^{3}\mathbf{k}'}{\Phi_{\mathbf{k}'}^{\beta}}.$$
 (61)

A direct computation gives

$$\mathcal{P}_{\alpha\zeta\mathbf{k}} = \frac{1}{c^4} \sum_{\beta=0}^{N} \sum_{l=1}^{N} \sum_{s=1}^{N} \int \widehat{\delta\chi}_{(l)}(\omega_{\alpha\mathbf{k}} + \omega_{\beta\mathbf{k}'}, \mathbf{k} + \mathbf{k}')$$

$$\times \widehat{\delta\chi}_{(s)}^*(\omega_{\alpha\mathbf{k}} + \omega_{\beta\mathbf{k}'}, \mathbf{k} + \mathbf{k}')$$

$$\times \frac{\omega_{\alpha\mathbf{k}}^2 \omega_{\beta\mathbf{k}'}^2(\omega_{\alpha\mathbf{k}} \omega_{\beta\mathbf{k}'} + \omega_{0(l)}^2)(\omega_{\alpha\mathbf{k}} \omega_{\beta\mathbf{k}'} + \omega_{0(s)}^2)}{(\omega_{0(l)}^2 - \omega_{\alpha\mathbf{k}}^2)(\omega_{0(l)}^2 - \omega_{\beta\mathbf{k}'}^2)(\omega_{0(s)}^2 - \omega_{\alpha\mathbf{k}}^2)(\omega_{0(s)}^2 - \omega_{\beta\mathbf{k}'}^2)}$$

$$\times \left[1 - \frac{(\mathbf{k}' \cdot \zeta_{\mathbf{k}})^2}{\mathbf{k}'^2}\right] \frac{d^3\mathbf{k}'}{\Phi_{\mathbf{k}'}^3}. \quad (62)$$

If we are not interested in the polarization of the produced polaritons, we can sum over ζ :

$$\mathcal{P}_{\alpha \mathbf{k}} = \frac{1}{c^4} \sum_{\beta=0}^{N} \sum_{l=1}^{N} \sum_{s=1}^{N} \int \widehat{\delta \chi}_{(l)}(\omega_{\alpha \mathbf{k}} + \omega_{\beta \mathbf{k}'}, \mathbf{k} + \mathbf{k}')$$

$$\times \widehat{\delta \chi}_{(s)}^{*}(\omega_{\alpha \mathbf{k}} + \omega_{\beta \mathbf{k}'}, \mathbf{k} + \mathbf{k}')$$

$$\times \frac{\omega_{\alpha \mathbf{k}}^{2} \omega_{\beta \mathbf{k}'}^{2}(\omega_{\alpha \mathbf{k}} \omega_{\beta \mathbf{k}'} + \omega_{0(l)}^{2})(\omega_{\alpha \mathbf{k}} \omega_{\beta \mathbf{k}'} + \omega_{0(s)}^{2})}{(\omega_{0(l)}^{2} - \omega_{\alpha \mathbf{k}}^{2})(\omega_{0(l)}^{2} - \omega_{\beta \mathbf{k}'}^{2})(\omega_{0(s)}^{2} - \omega_{\beta \mathbf{k}'}^{2})}$$

$$\times \left[1 + \frac{(\mathbf{k}' \cdot \mathbf{k})^{2}}{\mathbf{k}'^{2} \mathbf{k}'^{2}} \right] \frac{d^{3} \mathbf{k}'}{\sigma^{\beta}}. \quad (63)$$

Finally, by using the dispersion relation, for the number of polaritons with frequency $\omega_{\alpha \mathbf{k}} \leq \omega \leq \omega_{\alpha \mathbf{k}} + d\omega$ and direction $d\Omega_{\mathbf{k}}$ we get

$$dN_{\alpha \mathbf{k}} = \mathcal{P}_{\alpha \mathbf{k}} \frac{\omega_{\alpha \mathbf{k}}}{2c} \frac{n_p(\omega_{\alpha \mathbf{k}})}{(2\pi)^3} d\omega d\Omega_{\mathbf{k}}.$$
 (64)

Notice that in (63) the measure factor avoids the poles in the denominators of the fraction in the second line, so that possible divergences depend only on the first line. However, the denominators allow to individuate the main contributors to the integral.

An alternative interesting expression is the one predicting the number of photon pairs emitted in the cones $d\Omega_{\mathbf{k}}$, $d\Omega_{\mathbf{k}'}$, with energies in the branches α and α' , $dE_{\alpha} = \hbar d\omega_{\alpha}$, $dE_{\alpha'} = \hbar d\omega_{\alpha'}$:

$$dN_{\alpha\mathbf{k}\boldsymbol{\zeta};\alpha'\mathbf{k}'\boldsymbol{\zeta}'} = \frac{\boldsymbol{\zeta}\boldsymbol{\zeta}'}{c^4} \sum_{l=1}^{N} \sum_{s=1}^{N} \left\{ \widehat{\delta\chi}_{(l)} (\omega_{\alpha\mathbf{k}} + \omega_{\alpha'\mathbf{k}'}, \mathbf{k} + \mathbf{k}') \right.$$

$$\times \widehat{\delta\chi}^*_{(s)} (\omega_{\alpha\mathbf{k}} + \omega_{\alpha'\mathbf{k}'}, \mathbf{k} + \mathbf{k}')$$

$$\times \frac{\omega_{\alpha\mathbf{k}}^2 \omega_{\alpha'\mathbf{k}'}^2 (\omega_{\alpha\mathbf{k}} \omega_{\alpha'\mathbf{k}'} + \omega_{0(l)}^2) (\omega_{\alpha\mathbf{k}} \omega_{\alpha'\mathbf{k}'} + \omega_{0(s)}^2)}{(\omega_{0(l)}^2 - \omega_{\alpha\mathbf{k}}^2) (\omega_{0(l)}^2 - \omega_{\alpha'\mathbf{k}'}^2) (\omega_{0(s)}^2 - \omega_{\alpha\mathbf{k}}^2) (\omega_{0(s)}^2 - \omega_{\alpha'\mathbf{k}'}^2)} \right\}$$

$$\times \frac{\omega_{\alpha\mathbf{k}}}{2c} \frac{n_p(\omega_{\alpha\mathbf{k}})}{(2\pi)^3} \frac{\omega_{\alpha'\mathbf{k}'}}{2c} \frac{n_p(\omega_{\alpha'\mathbf{k}'})}{(2\pi)^3} d\omega_{\alpha} d\Omega_{\mathbf{k}} d\omega_{\alpha'} d\Omega_{\mathbf{k}'}. \quad (65)$$

3.3 Reduced formulas for $N \leq 3$ resonances

The general formulas we have obtained are of non-straightforward application for an arbitrary number N of resonances. This is because the solutions $\omega_{\alpha \mathbf{k}}$ of the dispersion relation (41) can be obtained only numerically, being algebraic equations of order N+1 in ω^2 . However, in several applications one can physically put limits on the number of relevant resonances in given experimental situations, and, moreover, for $N \leq 3$ one can employ the Cardano formulas. The case N=3 is indeed the interesting one when the dielectric material is fused silica. In this case the dispersion relation is described by the Sellmeier relation

$$\frac{c^2 \mathbf{k}^2}{\omega^2} = 1 + \frac{a_1 \lambda^2}{\lambda^2 - l_1^2} + \frac{a_2 \lambda^2}{\lambda^2 - l_2^2} + \frac{a_3 \lambda^2}{\lambda^2 - l_3^2},\tag{66}$$

with

$$a_1 = 0.906404498, l_1 = 98.7685322 \,\mu\text{m},$$
 (67)

$$a_2 = 0.473115591, l_2 = 0.0129957170 \,\mu\text{m},$$
 (68)

$$a_3 = 0.631038719, l_3 = 4.12809220 \times 10^{-3} \,\mu\text{m}.$$
 (69)

This corresponds to (41) with N=3,

$$\omega_{0(l)}^2 = \frac{4\pi^2 c^2}{l_l^2}, \qquad \chi_{0(l)} = a_l \omega_{0(l)}^2, \qquad l = 1, 2, 3.$$
 (70)

In physical situations, involved with photons whose frequency is well below the lowest resonance pole of the dispersion relation, the relevant contributions are associated only with the lowest branch of the dispersion relation. Typically, this happens in diamond when the frequencies of the photons involved in the physical situation at hand are well below the lowest resonance pole. In this case, the number of emitted pairs assumes the simpler form

$$dN_{\alpha\mathbf{k}\boldsymbol{\zeta};\alpha'\mathbf{k}'\boldsymbol{\zeta}'} = \frac{\boldsymbol{\zeta}\boldsymbol{\zeta}'}{4(2\pi c)^6} |\widehat{\delta\chi}(\omega_{\alpha\mathbf{k}} + \omega_{\alpha'\mathbf{k}'}, \mathbf{k} + \mathbf{k}')|^2$$

$$\times \frac{\omega_{\alpha\mathbf{k}}^2 \omega_{\alpha'\mathbf{k}'}^2 (\omega_{\alpha\mathbf{k}} \omega_{\alpha'\mathbf{k}'} + \omega_0^2)^2}{(\omega_0^2 - \omega_{\alpha\mathbf{k}}^2)^2 (\omega_0^2 - \omega_{\alpha'\mathbf{k}'}^2)^2}$$

$$\times \omega_{\alpha\mathbf{k}} n(\omega_{\alpha\mathbf{k}}) \omega_{\alpha'\mathbf{k}'} n(\omega_{\alpha'\mathbf{k}'})$$

$$\times d\omega_{\alpha} d\Omega_{\mathbf{k}} d\omega_{\alpha'} d\Omega_{\mathbf{k}'},$$

$$(71)$$

where now α, α' assume the values \pm and ω_{\pm}^2 coincides with (9).

4 Photon pair creation by a uniformly travelling dielectric perturbation

As an example, let us consider the case of a refractive index perturbation moving propagating along the z direction with constant velocity v. The model we further explore herein was introduced in [16], and it is based on the idea that a travelling dielectric perturbation, which is induced by an intense laser pulse which passes through a dielectric medium, is able to generate photon pairs. The original model involved a nondispersive medium, and a phenomenological approach to the electromagnetic field quantization. We improve that model, by showing that, in a framework including automatically optical dispersion, rooted into microscopical characteristics of the matter fields, photon pair production is ensured. We choose to simulate a perturbation of the refractive index by means of a perturbation in the dielectric susceptibility χ , and in particular we assume that it is of the form

$$\delta \chi(t, \rho, z, \phi) = \delta \chi_0 e^{-\frac{\rho^2}{2\sigma_\rho^2}} e^{-\frac{(z-z(t))^2}{2\sigma_z^2}},$$
 (72)

where z(t) is an arbitrary motion. This Gaussian form can be easily justified in the nondispersive case, where $n_0(n_0+2\delta n)\sim 1+\chi_0+\delta\chi$, i.e. $2n_0\delta n\sim \delta\chi$, where n_0 is

the unperturbed (constant) refractive index. By means of the well-known Weber formula

$$\int_0^\infty \rho e^{-\frac{\rho^2}{2\sigma_\rho^2}} J_0(\rho k_\rho) d\rho = \sigma_\rho^2 e^{-\frac{\sigma_\rho^2 k_\rho^2}{2}}$$
 (73)

it is easy to compute the Fourier transform of the perturbation:

$$\widehat{\delta\chi}(\omega, k_{\rho}, k_{z}, k_{\phi}) = (2\pi)^{\frac{3}{2}} \delta\chi_{0} \sigma_{z} \sigma_{\rho}^{2} e^{-\frac{\sigma_{z}^{2} k_{z}^{2}}{2} - \frac{\sigma_{\rho}^{2} k_{\rho}^{2}}{2}} \times \int_{\Delta T} e^{i(\omega_{\mathbf{k}} t - k_{z} z(t))} dt, \tag{74}$$

where the $\Delta t \equiv [-T, T]$ is the time duration of the perturbation inside the Kerr dielectric matter. The characterizing information is thus contained in the term

$$f_T(\omega_{\mathbf{k}}) := \int_{-T}^{T} e^{i(\omega_{\mathbf{k}}t - k_z z(t))} dt. \tag{75}$$

We now suppose that the perturbation is moving with constant velocity v. In this case

$$f_T(\omega_{\mathbf{k}}) = 2 \frac{\sin[(\omega_{\mathbf{k}} - k_z v)T]}{\omega_{\mathbf{k}} - k_z v},\tag{76}$$

so that, for large T

$$|f_T(\omega_{\mathbf{k}})|^2 \simeq T\pi\delta(\omega_{\mathbf{k}} - k_z v),$$
 (77)

and the number of pairs for unit time emitted with momenta \mathbf{k} and \mathbf{k}' in the angles $d\Omega_{\mathbf{k}}$ and $d\Omega_{\mathbf{k}'}$, is:

$$\frac{dN}{2T} = \frac{\zeta \zeta'}{16\pi^2 c^6} (\delta \chi_0)^2 \frac{\omega_{\alpha \mathbf{k}}^2 \omega_{\alpha' \mathbf{k}'}^2 (\omega_{\alpha \mathbf{k}} \omega_{\alpha' \mathbf{k}'} + \omega_0^2)^2}{(\omega_0^2 - \omega_{\alpha \mathbf{k}}^2)^2 (\omega_0^2 - \omega_{\alpha' \mathbf{k}'}^2)^2}
\times \sigma_z^2 \sigma_\rho^4 e^{-\sigma_z^2 (k_z + k_z')^2} e^{-\sigma_\rho^2 (k_\rho + k_\rho')^2}
\times \delta(\omega_{\alpha \mathbf{k}} + \omega_{\alpha' \mathbf{k}'} - v k_z - v k_z')
\times \omega_{\alpha \mathbf{k}} n_p (\omega_{\alpha \mathbf{k}}) \omega_{\alpha' \mathbf{k}'} n_p (\omega_{\alpha' \mathbf{k}'}) \delta \omega_{\alpha} d\Omega_{\mathbf{k}} \delta \omega_{\alpha'} d\Omega_{\mathbf{k}'}.$$
(78)

This expression can be used to simplify the analysis and confirm the results obtained in [17]. From (78) we can also more readily get further information about the emitted spectrum. For example, from the Gaussian terms we see that a large pulse, with a large σ_{ρ} , gives rise to conservation of the transversal components of the momentum $k_{\rho} + k_{\rho}' \approx 0$. However, the Dirac δ function does not allow for conservation of the z component of the momentum (unless $\omega = \omega' \approx 0$). Thus, to have a significant emission of photon one should produce a short pulse with a small σ_z parameter. As pointed out in [16] and in [17], the perturbative analysis indicates that the pairs production occurs only if $v > c/n(\omega)$, i.e. only if the perturbation of the refractive index is superluminal, and the number of emitted particles increases with v. Furthermore, we observe that the argument of the delta function in equation (78) is the same as in [16] (cf. Eqs. (7)and (10)) and in [17] (cf. Eqs. (26), (29) and (30)). We

recall here its interpretation and its interesting physical meaning. The support of the delta distribution gives a constraint on the state of the emitted particles in the pair. In the non dispersive case, employing the relation $k = \frac{\omega}{c} n_0$, we can rewrite the argument of the δ as $(k_z - \frac{c}{vn_0}k) + (k'_z - \frac{c}{vn_0}k') = 0$. This equation indicates that if $k_z/k > c/(vn_0)$, the momentum of the second photon must satisfy $k'_z/k' < c/(vn_0)$. Thus, we obtain a cone structure for the distribution of the momenta of the emitted particles in a pair: one photon is emitted inside the Cerenkov cone, $\theta_0 = \arccos(cv/n_0)$, and the other is emitted outside the cone. Due to the dependence of the refractive index on the frequency of the radiation, the dispersive case is more involved and in general one cannot identify distinct cones of emission as in the non dispersive case. The constraint given by the δ distribution now is $(k_z - \frac{c}{vn(\omega)}k) + (k_z' - \frac{c}{vn(\omega')}k') = 0$. As before, this equation implies that whenever $k_z/k > c/[vn(\omega)]$, the momentum of the second photon must satisfy $k'_z/k' < c/[vn(\omega')]$. From the two conditions we obtain for the angle of emission, $\theta < \arccos\{c/[vn(\omega)]\}$ and $\theta' > \arccos\{c/[vn(\omega')]\}$. Thus, if $arccos\{c/[vn(\omega)]\} > arccos\{c/[vn(\omega')]\}$, the two cones overlap; there is a gap between them if instead $\arccos\{c/[vn(\omega)]\} < \arccos\{c/[vn(\omega')]\}$. These considerations show that in the first case there is a region in which both photons can be emitted, instead in the second case there is a region in which no photon can be emitted. The presence of these two behaviors, depending on the frequencies of the emitted particles, makes the dispersive case interesting and substantially different from a non dispersive model. Obviously, the non dispersive case can be seen as a limit case of the dispersive one. Moreover, compared with the approach adopted in [17], this one has the advantage to be available at any perturbative order.

5 Case $\delta \chi(t)$

We can also consider a perturbation which depends only on time. This case can be of noticeable physical interest, in view of the possibility to induce (locally) purely time-dependent perturbations in optical systems. For simplicity, we focus explicitly on the case of a diamond-like dielectric. Extensions to more general cases are indeed straightforward. First of all, we take into account that the Fourier transform of the perturbation is non-trivial only in t, of course. As a consequence, we get

$$\widehat{\delta \chi}(\omega, \mathbf{k}) = \delta^3(\mathbf{k}) \widehat{\delta \chi}(\omega). \tag{79}$$

Then, in (71) we get that the square modulus of $\widehat{\delta\chi}$ is

$$|\widehat{\delta\chi}(\omega_{\alpha\mathbf{k}} + \omega_{\alpha'\mathbf{k}'})|^2 \delta^3(\mathbf{k} + \mathbf{k}') \delta^3(0)$$
 (80)

 $(\delta^3(0))$ is to be replaced by a volume factor, as usual). As it is evident, pairs are produced back-to-back in this situation. See below for a more general case, accounting for finite-size effects.

Interesting examples of time dependence are the following (with $\eta \ll 1$ constant): (i) a Gaussian dependence in time

$$\delta \chi(t) = \eta \exp(-at^2), \qquad a > 0, \tag{81}$$

so that

$$\widehat{\delta \chi}(\omega) = \eta \frac{\pi}{\sqrt{\omega}} \exp\left(-\frac{\omega^2}{4a}\right) \tag{82}$$

(it simulates a perturbation which is peaked around $t \sim 0$ and is quite soon zero for $t \not\sim 0$). (ii) Another interesting perturbation profile is a step-like perturbation, which allows to deal with the case of a rapidly rising perturbation and to calculate the number of produced pairs in the raising phase. For example, we can adopt the profile

$$\delta \chi(t) = \eta(1 + \tanh(at)), \tag{83}$$

which provides

$$\widehat{\delta\chi}(\omega) = \eta \left[i \frac{\pi}{a} \frac{1}{\sinh\left(\frac{\pi\omega}{2a}\right)} + 2\pi\delta(\omega) \right]. \tag{84}$$

(iii) As a further interesting perturbation, we could consider a periodic perturbation:

$$\delta\chi(t) = \eta(1 + \sin(at)),\tag{85}$$

whose Fourier transform is:

$$\eta \left[2\pi\delta(\omega) + i\pi\delta(\omega - a) - i\pi\delta(\omega + a) \right].$$
(86)

It is evident that photon production, in this specific case, happens only at resonances: $\omega=\pm a.$ It is also interesting to note that our picture can be easily generalized to the case of a perturbation which has finite spatial support (instead of being extended to all the space). The only difference consists in the fact that pair-emission is not strictly confined to be back-to-back, due to finite-size effects. Indeed, if we assume that the perturbation is:

$$\delta \chi(t) \gamma(\mathbf{x}),$$
 (87)

where γ has e.g. compact spatial support, we obtain a Fourier transform $\widehat{\gamma}(\mathbf{k})$ which is related to a pair-emission non-strictly back-to-back, due to finite-size effects. Indeed, in (71) the factor $|\widehat{\gamma}(\mathbf{k} + \mathbf{k}')|^2$ replaces $\delta^3(\mathbf{k} + \mathbf{k}')\delta^3(0)$ appearing in (80).

6 Conclusions

We have explored, in a perturbative framework, a covariant generalization of the Hopfield model aimed to modelize, in a less phenomenological way, photon pair creation phenomena associated with dielectric media with spacetime dependent dielectric constant. This dependence can be realized in different ways, and we can refer both to Kerr effect in nonlinear dielectric media, and to sonoluminescence. The advantage of the model, with respect to the ones existing in literature, is that optical dispersion,

which necessarily plays a role in any physical settings, is automatically taken into account, as well as covariance of the results. In this sense, even if with the limitation that only dielectric properties are taken into account by the present model, our results generalize the ones in [18] to the case of dispersive media. The general expressions we have found can be applied to several situations where dispersion becomes relevant.

Moreover, Lorentz covariance could be employed to reexpress all results in any inertial frame, as the comoving one in the example we have provided. Further interesting applications will be presented elsewhere [19].

We thank D. Faccio for discussions and for suggesting us the picture of purely time dependent profiles of Section 5.

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