Electric Stress in Power Electronics Applications

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Abstract—Voltage quality is a major issue for several appliances in today's electric power systems. Distorted voltage waveforms caused by power electronics devices can dramatically reduce the useful life of dielectrics, e.g., filter capacitor banks or power factor correction capacitor banks.

This paper aims at investigating how different power electronics control schemes can affect the dielectric ageing and, in doing so, it aims at providing a feasible and sustainable electric stress monitoring framework. The two considered control schemes adopted are the classical SPWM and SPWM with sequential command.

Index Terms—Dielectric Ageing, Electric Stress, Converter Sequential Command, Sinusoidal Pulse Width Modulation (SPWM)

I. INTRODUCTION

The increasing number of electronic equipment that can affect voltage quality along with a deregulated electricity market have made Power Quality (PQ) a field of interest both in the research and industrial field [1].

It is well known, in fact, that distorted waveforms generated by power electronics can dramatically reduce the reliability of insulation systems i.e. decrease the dielectric life expectancy. Two kinds of stress mainly affect dielectrics: thermal stress caused by current distortion and electric stresses caused by voltage distortion.

This paper focuses on electric stress as it proves to be more critical for dielectrics than thermal stress [2]–[6].

In particular, this paper aims at:

- comparing the effect on capacitor dielectric ageing of a well-established modulation technique, i.e., Sinusoidal Pulse Width Modulation (SPWM), versus sequential command SPWM [7]. Classical control strategies, in fact, may prove sub-optimal when using the electric stress as a benchmark.
- defining a feasible and sustainable electric stress monitoring framework. In fact, the main problem in dielectric ageing monitoring is the great variability of the life behaviour depending on the operating conditions [8], [9]. This would require, in fact, large-scale validation and testing campaigns for all the different possible installations, thus viable alternative solutions have to be looked for.

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With this in mind, the digitalization of the electric grid has opened the way to new possible applications in PQ monitoring solutions, e.g., already installed electric equipment is becoming smart, thus able to communicate data to the cloud.

In particular, smart Circuit Breakers (CBs) [10], [11] are a possible solution for distributed monitoring networks with minimum impact on existing plant.

The rest of this work is organized as follows. Section II shows the dielectric ageing monitoring framework, lists the monitored coefficients and residual useful life model. Section III introduces the system to be studied, focuses on sequential command, a control scheme for parallel power converters. It derives the approximated expression of the DC bus voltage under SPWM modulation and shows the ripple compensation through the sequential phase shift of the carrier signals. Section IV compares SPWM and sequential command. Section V compares the dielectric stress induced by sequential command and SPWM in a wind energy system.

II. DIELECTRIC AGEING

The dielectric ageing monitoring framework is implemented in the platform described in [10].

The framework architecture consists in a physical layer and the cloud layer.

a) The physical layer: In this layer, the smart CBs [10] collect data from the field and aggregate data in the relevant indices to be monitored, as explained below. Field processing has the advantage of limiting the amount of data to be sent and stored on the cloud.

In particular, only the indices related to the electric stress, i.e., voltage distortion, are considered as it proves to be much more critical for dielectric as opposed to thermal stress, i.e., current distortion [2].

b) The cloud layer: In this layer, the aggregated data sent by the CBs are stored and used together to monitor the residual useful life of the monitored dielectric. At the moment, given the few data collected the cloud layer is still being populated and, thus, not further discussed.

The monitored coefficients are derived from the one proposed in [3] and modified to fit the DC waveform of the capacitor voltage. They are:

• Peak coefficient. It is the ratio between the maximum value of the voltage, $\max(v(t))$, and its rated value V_{d_n} .

$$K_p = \frac{\max(v(t))}{V_{d_n}} \tag{1}$$

• Average coefficient. It is defined in two different ways depending on the installation supply. In DC it is the ratio between the computed mean value and the theoretical one V_{d_n} , while in AC it considers rms values instead of mean values.

$$K_m = \frac{\text{mean}(v(t))}{V_{d_n}} \tag{2}$$

• Shape coefficient. It is the ratio between the harmonics amplitude V_h and the DC one V_{d_n} weighted by the harmonic order h. This coefficient takes into account the presence of steep slopes, i.e., high derivatives, in the voltage waveform

$$K_s = \sqrt{\sum_{h=1}^{N} h^2 \frac{V_h^2}{V_{d_n}^2}} \tag{3}$$

All the coefficients (1), (2), (3) are monitored over time and stored. The final aim, in fact, is to have a robust database to describe the ageing curves of dielectrics operating in similar conditions.

The simplest model, in fact, that can be used to describe the expected insulation lifetime L is, according to [3]:

$$L = L_0 K_p^{-n_p} K_m^{-n_m} K_s^{-n_s}$$
 (4)

where L_0 , n_P , n_m and n_s are adjustable parameters.

Because of the aforementioned adjustable parameters, up to now, the definition of robust ageing curves is a main issue because of the high variability of the coefficient characterizing the resulting curves [4], [8], [9]. Therefore, testing campaigns proved to be unfit for purpose, they simply required a too large training set to be statistically-speaking robust. From this perspective, this work proposes a sustainable solution for the future.

III. MATHEMATICAL MODEL AND CASE STUDY

A. System Description

In order to consider voltage stresses due to the different modulation techniques and the effect on the ageing coefficients, the plant shown in Fig. 1 is considered. It consists of a three-module Axial Flux Permanent Magnet Synchronous Machine (AFPMSM) which is directly driven (DD) by the wind turbine (WT). Each module is connected to a 1.6 kV DC bus via a dedicated SPWM driven two-level IGBT rectifier (PC1, PC2, PC3). All the two-level converters are paralleled at the DC side.

Table I reports the rated data of one module of the machine. The machine is isotropic, thus the inductances of d and q axes are equal.

TABLE I SINGLE MODULE AXIAL FLUX PERMANENT MAGNET MACHINE NAMEPLATE DATA

P_n [MW], I_n [A]	1.0 , 713	
Rated frequency f_{rated} [Hz]	14.73	
Rated Speed Ω_n [rpm]	17	
Line to neutral EMF at rated speed [V]	435	
(sinusoidal waveform)	433	
Phase resistance R [m Ω]	14.59	
Synchronous inductance $(d,q \text{ axes}) L \text{ [mH]}$	4.321	
Rotor ext. diameter [m], Axial length [m]	5.00, 0.603	
DC bus Capacitance [mF], rated voltage $[V_{dn}]$	10, 1600	
No damping cage		

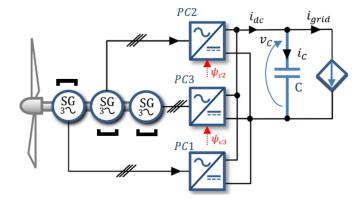


Fig. 1. A DD three-module AFPMSG machine: each module is connected to a two-level converter. All converters are connected in parallel on the DC side. The grid is modelled as current-controlled source i_{qrid} .

Finally, the dielectric of interest is the DC bus capacitor (C). The DC bus voltage v_C is kept constant at its nominal value, V_{dn} , by the grid-side converter which is modelled as a current controlled source i_{qrid} .

It is assumed the ripple injection is only due to the machineside converters, i.e., the grid converter does not inject any additional ripple to the DC bus.

B. DC bus Voltage Expression under SPWM Modulation

In [7] the approach of sequential command applied to SPWM modulation was proposed. The expression for the DC side current was developed. The idea of sequential command consists of shifting the carrier signals of neighbouring converters in order to eliminate some chosen harmonics and decrease the ripple content in i_{dc} .

Fig. 2 shows the proposed shift $(\psi_{c_1} - \psi_{c_2} - \psi_{c_3})$ introduced in the triangular carrier signal for the three parallel converters. The shift ψ_{c_1} is kept equal to zero while the carrier signals of the other two converters are shifted by 120° , 240° respectively.

To simplify the analysis, assume that all the ripple components of i_{dc} flow in C.

$$v_C = \frac{1}{C} \int i_C dt \simeq \frac{1}{C} \int i_{dc_{ripple}} dt$$
 (5)

Substituting the expression of the approximated DC side current, developed in [7], in (5), an approximate expression of

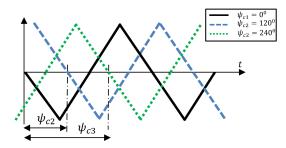


Fig. 2. Shift in the power converters triangular carrier signals

the contribution of each module (μ) to the voltage across the capacitor can be derived as:

$$\begin{split} v_{c\mu}\left(t\right) &= \frac{3}{2V_{d}C} \left[\frac{V_{1}I_{mf-2}}{((m_{f}-3)\omega_{o})} \cos\left((m_{f}-3)\omega_{o}t + \psi_{c_{\mu}} - \theta_{mf-2}\right) \right. \\ &+ \frac{V_{mf-2}I_{1}}{((m_{f}-3)\omega_{o})} \cos\left((m_{f}-3)\omega_{o}t + \psi_{c_{\mu}} + \phi\right) \\ &+ \frac{V_{mf+2}I_{2mf-1}}{((m_{f}-3)\omega_{o})} \cos\left((m_{f}-3)\omega_{o}t + \psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf-1}I_{mf+2}}{((m_{f}-3)\omega_{o})} \cos\left((m_{f}-3)\omega_{o}t + \psi_{c_{\mu}} + \theta_{mf+2}\right) \\ &+ \frac{V_{1}I_{mf+2}}{((m_{f}+3)\omega_{o})} \cos\left((m_{f}+3)\omega_{o}t + \psi_{c_{\mu}} - \theta_{2mf+1}\right) \\ &+ \frac{V_{mf-2}I_{2mf+1}}{((m_{f}+3)\omega_{o})} \cos\left((m_{f}+3)\omega_{o}t + \psi_{c_{\mu}} - \phi\right) + \\ &+ \frac{V_{2mf+1}I_{mf-2}}{((m_{f}+3)\omega_{o})} \cos\left((m_{f}+3)\omega_{o}t + \psi_{c_{\mu}} - \phi\right) + \\ &+ \frac{V_{1}I_{2mf-1}}{((2m_{f}+3)\omega_{o})} \cos\left((m_{f}+3)\omega_{o}t + \psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{1}I_{2mf-1}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{mf-2}I_{mf+2}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \theta_{mf+2}\right) \\ &+ \frac{V_{2mf-1}I_{n}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \theta_{mf-2}\right) \\ &+ \frac{V_{2mf-1}I_{n}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \theta_{mf-2}\right) \\ &+ \frac{V_{2mf-1}I_{n}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \phi\right) \\ &+ \frac{V_{2mf-1}I_{mf-2}}{((2m_{f})\omega_{o})} \cos\left((2m_{f})\omega_{o}t + 2\psi_{c_{\mu}} - \phi\right) \\ &+ \frac{V_{2mf-1}I_{mf-2}}{((2m_{f})\omega_{o})} \cos\left((3m_{f}-3)\omega_{o}t + 3\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf-1}I_{mf-2}}{((3m_{f}-3)\omega_{o})} \cos\left((3m_{f}-3)\omega_{o}t + 3\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf-1}I_{mf-2}}{((3m_{f}+3)\omega_{o})} \cos\left((3m_{f}-3)\omega_{o}t + 3\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf-1}I_{mf-2}}{((3m_{f}+3)\omega_{o})} \cos\left((3m_{f}+3)\omega_{o}t + 3\psi_{c_{\mu}} - \theta_{2mf+1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{((3m_{f}+3)\omega_{o})} \cos\left((3m_{f}+3)\omega_{o}t + 3\psi_{c_{\mu}} - \theta_{2mf+1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{((4m_{f})\omega_{o})} \cos\left((4m_{f})\omega_{o}t + 4\psi_{c_{\mu}} - \theta_{2mf+1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{((4m_{f})\omega_{o})} \cos\left((4m_{f})\omega_{o}t + 4\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{((4m_{f})\omega_{o})} \cos\left((4m_{f})\omega_{o}t + 4\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{(4m_{f})\omega_{o}} \cos\left((4m_{f})\omega_{o}t + 4\psi_{c_{\mu}} - \theta_{2mf-1}\right) \\ &+ \frac{V_{2mf+1}I_{mf+2}}{(4m_{f$$

In (6) m is the carrier index and n is the base band index

TABLE II HARMONIC CONTENT IN THE DC BUS VOLTAGE RIPPLE AND, FOR EACH HARMONIC, PHASE COMPONENT DUE TO THE SHIFT ψ_{c_μ} OF THE CARRIER SIGNAL

h	Phase component due to shift ψ				
$m_f \pm 3$	$\psi_{c_{m{\mu}}}$				
$2m_f$	$2\psi_{c_{\mu}}$				
$3m_f \pm 3$	$3\psi_{c_{\mu}}$				
$4m_f$	$4\psi_{c_{\mu}}$				

for the h harmonic component, due to the SPWM modulation: $h=m\cdot m_f+n$, the product $V_{hn}I_{hm}$ is between two harmonic amplitudes of voltage and current, ϕ the displacement between voltage and current fundamental components, δ the load angle, m_f the SPWM frequency modulation ratio, ω_o the output fundamental angular frequency, ψ_{c_μ} the phase shift applied to the carrier signal. V_{hn} is the voltage harmonic amplitudes. I_{hm} and θ_{hm} are the current harmonic amplitudes and their characteristic angles, respectively. They are obtained by applying each voltage harmonic V_{hm} , calculated in [7], to the equivalent R-L-e circuit of the AFPMSG.

The analysis is limited to the same number of harmonics considered in [7]. Also each group of terms of the same harmonic order is function of distinct multiple of the shift in the converters triangular carrier signal $\psi_{c_{\mu}}$. In fact the same guidelines provided in [7] are applied here. Table II summarizes the harmonics present in the voltage expression of (6). It is evident from (6) that the expression of the dc bus voltage harmonic reflecting a classical SPWM can be evaluated by setting $\psi_{c_{\mu}}=0$ for all three converters.

IV. SPWM AND SEQUENTIAL COMMAND

In order to eliminate the least order harmonic, $m_f - 3$, with three modules paralleled, the shift angles ψ_{c_n} have to be 0°, 120° , 240° respectively as shown in Fig. 2. Such a selection of the angles will also eliminate the harmonics of order $m_f + 3$, $2m_f$ and $4m_f$ [7].

The value of m_f should be kept low in order to limit switching losses. However, for the sake of the current study, higher values of m_f are considered too. The total harmonic distortion is calculated using (6) at different values of m_f for the whole speed range.

Fig. 3 represents the Total Harmonic Distortion in the DC bus voltage (THD_V) over the whole speed range of the operation, i.e. from the cut-in frequency (f_{cutin}) to the rated one (f_{rated}) . It is evident that a great reduction in the THD_V can be achieved using sequential command. For example, in case $m_f = 15$ with sequential command has a lower THD_V than $m_f = 69$ without sequential command. Additionally, considering near-rated conditions, $m_f = 15$ also has a lower THD_V compared to $m_f = 99$ and $m_f = 135$.

Figs. 4, 5 shows the behaviour of K_p over the whole frequency range. Both the increase of m_f and the application of sequential command decrease K_p .

Figs. 6, 7 show the behaviour of K_s over the whole frequency range. In this case, increasing m_f does not affect

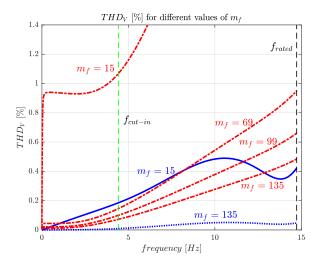


Fig. 3. DC bus voltage THD_V % with three-module machine with sequential command ($m_f=15,135$ in blue) and without sequential command ($m_f=15,69,99,135$ in red). Results are obtained from (6)

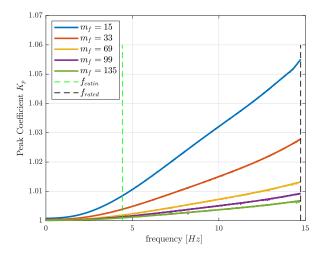
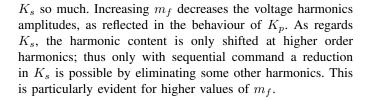


Fig. 4. Peak coefficient K_p for different values of m_f for classical SPWM. K_p is proportional to the machine frequency and inversely proportional to m_f . In order to limit K_p when operating at higher frequencies, a higher m_f is necessary, though, at the cost of increased switching losses.



V. SIMULATION RESULTS AND DISCUSSION

The system presented in Section III-A is implemented in Matlab/Simulink. Each module is modelled by standard two axis d-q model of PMSM. For each module the classical FOC is implemented, the machine is vector-controlled to operate in maximum torque-per-ampere (MTPA) conditions. The DC bus voltage is kept at V_{dn} .

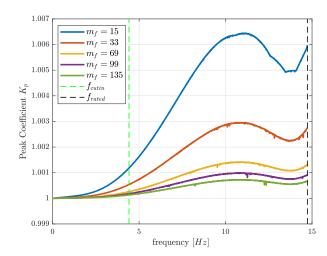


Fig. 5. Peak coefficient K_p for different values of m_f with Sequential Command. As opposite to classical SPWM, it is possible to operate at higher frequency with lower m_f and comparable values of K_p .

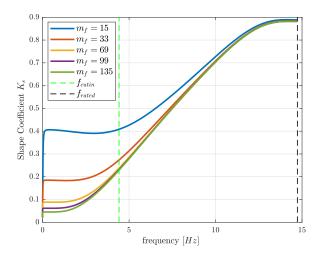


Fig. 6. Shape coefficient K_s for different values of m_f for classical SPWM. At lower frequencies it is possible to limit K_s simply by increasing m_f . However as frequency increases, the benefits of a higher m_f become less and less evident.

For the wind plant simulation, real data on the wind speeds are considered. Table III reports the 2008 monthly average wind speeds collected in the Kentish Flats Offshore Wind Farm [12], the relative machine frequencies, i.e., each wind speed has an associated frequency induced on the DC side by the machine torque oscillations. The relevant THD obtained from Matlab/Simulink simulations, with and without sequential command, are also reported for the entire year. The analysis is limited to two values of m_f , $m_f=15$ and $m_f=69$.

A. Theoretical Model Validation

Several simulations are carried out for the wind speeds reported in Table III in order to validate the accuracy of the

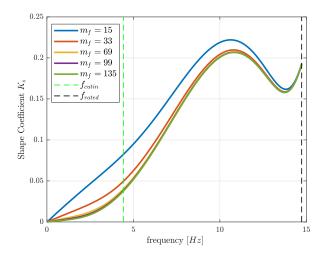


Fig. 7. Shape coefficient K_s for different values of m_f with Sequential Command. Sequential Command is able to reduce K_s over the whole frequency range.

TABLE III YEARLY THD% profiles in Kentish Flats with (w/) and without (w/o) Sequential Command for $m_f=15,69$, under different average wind speeds (v_{wind}) and Machine frequencies (f_e)

Month	V _{wind} [m/s]	f _e [Hz]	$THD_{\mathbf{w/o}}$ [%] $m_f = 15$	$THD_{\mathbf{w}/}$ [%] $m_f = 15$	$THD_{\mathbf{w/o}}$ [%] $m_f = 69$	$ \begin{array}{c} \mathbf{THD_{w}}/\\ [\%]\\ m_f = 69 \end{array} $
JAN	11	11.9	3.49	0.50	0.73	0.11
FEB	8	8.7	2.37	0.51	0.50	0.10
MAR	10.1	10.9	3.15	0.56	0.66	0.11
APR	7.5	8.1	2.15	0.47	0.45	0.09
MAY	7.5	8.1	2.15	0.47	0.45	0.09
JUN	6.2	6.7	1.65	0.37	0.34	0.06
JUL	6.9	7.5	1.94	0.43	0.40	0.08
AUG	8	8.7	2.37	0.51	0.50	0.10
SEP	7.3	7.9	2.08	0.46	0.44	0.09
OCT	8	8.7	2.37	0.51	0.50	0.10
NOV	8.9	9.6	2.69	0.56	0.57	0.11
DEC	7.9	8.6	2.33	0.51	0.50	0.11

mathematical model, (6), all quantities are steady state ones.

Fig. 8 shows the DC bus voltage for a three-module machine with and without the sequential command at f_{rated} . A significant reduction of the harmonics content is evident. Also Table III verifies the effectiveness of the sequential command in decreasing THD_V .

Fig. 9 represents the THD_V in the DC bus voltage over the whole speed range of the operation, i.e. from the cutin speed to the rated speed for both values of m_f . Two remarks can be made; it is evident that a great reduction in the THD_V can be achieved using sequential command. Also, it is important to notice that theoretical results obtained from (6) well approximate the simulated behaviour.

B. Electric stresses coefficients

Since all the quantities under study are in DC, the machine frequency related to the considered average wind speed is taken as the fundamental frequency in the shape coefficient, K_s , calculations.

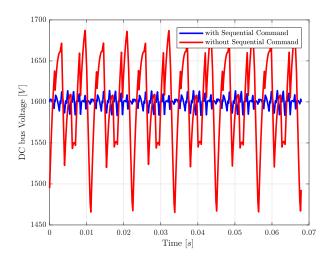


Fig. 8. Steady state DC bus voltage with and without sequential control. The harmonic content in the voltage waveform is much lower than without the sequential control

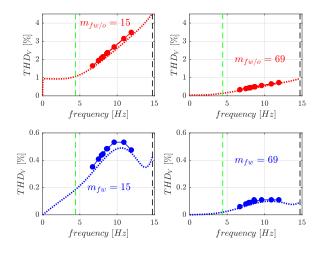


Fig. 9. A comparison between simulated results (solid line) of the DC bus voltage THD_V % and those obtained through the theoretical approach (dotted line) for $(m_f=15 \text{ and } m_f=69)$ with (top plots in red) and without (bottom plots in blue) sequential command

Fig. 10 shows K_p over the one year period with the two different control strategies. Both increasing m_f and applying sequential command have reduced K_p in an effective way. Fig. 11 shows K_s : in this case, only by changing the modulation technique K_s is reduced.

Finally, since electric stress is a cumulative phenomenon over time, the significant reduction in the coefficients obtained with sequential command means, according to (4), an increase in the lifetime of the capacitor.

Fig. 12 compares the values obtained both with a Fourier Transform (FT) analysis, i.e., as in (3), applied to the simulation results, and with a theoretical approach, i.e., by means of 6.

The resulting difference is due to two main causes:

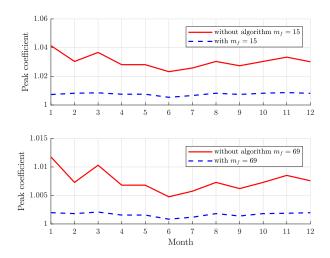


Fig. 10. Yearly profile of the peak coefficient K_p for $m_f=15$ (top graph) and $m_f=69$ (bottom graph) with (dotted in blue) and without (solid in red) sequential command. Both increasing m_f or using Sequential Command instead of SPWM reduce K_p

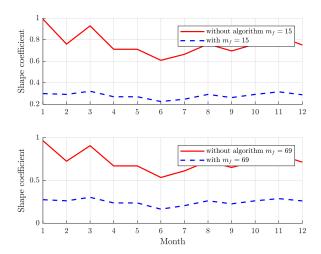


Fig. 11. Yearly profile of the shape coefficient K_s for $m_f=15$ (top graph) and $m_f=69$ (bottom graph) with (dotted in blue) and without (solid in red) sequential command. Only using Sequential Command instead of SPWM reduces K_p : in fact, increasing m_f has no effect on K_s .

- the theoretical approach proposed in (6) considers a limited number of harmonics while the FT considers the whole spectrum.
- leakage errors, because of non-synchronous sampling conditions, affect the resulting estimates [13].

VI. CONCLUSIONS

This paper analyses the electric stress on the DC bus capacitor in a three-module AFPMSG wind energy system. The modular configuration allows (i) the usage of off-the-shelf converters, (ii) the shift of the carrier signals among the parallel converters with a significant reduction in the ripple components of the DC voltage. The simulation results under-

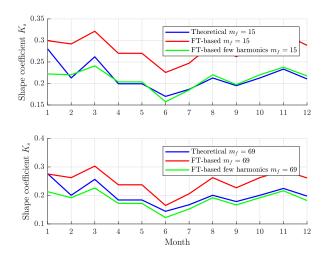


Fig. 12. Comparison between the electric stress shape coefficient K_s with $m_f=15$ (top graph) and $m_f=69$ (bottom graph), as obtained from the theoretical approach (in blue), the FT analysis considering the whole spectrum (in red) and the FT analysis considering the same harmonics as in the theoretical approach (in green)

line how classical strategies may prove sub-optimal because of the higher stress induced and, thus, result in a reduction of dielectric's residual useful life. Future work will expand this first investigation on power converters control schemes using as a benchmark the electric stress on dielectrics.

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