# Aza[6]helicene Platinum Complexes: Chirality Control of cis-trans Isomerism** <br> Daniele Mendola, Nidal Saleh, Nicolas Vanthuyne, Christian Roussel, Loïc Toupet, Franca Castiglione, Tullio Caronna, Andrea Mele, and Jeanne Crassous* 

formula [ $\mathrm{LL}^{\prime} \mathrm{Pt} \mathrm{X}_{2}$ ] display the usual cis-trans isomerism that is well-known in coordination chemistry. ${ }^{[1]}$ Such isomerism can have important practical implications, such as in the famous case of $\left[\mathrm{Pt}\left(\mathrm{NH}_{3}\right)_{2} \mathrm{Cl}_{2}\right]$, the cis isomer of which is an efficient antitumor drug, while the trans isomer is ineffective. ${ }^{[2 a, b]}$ Therefore the control of the stereochemistry of SP-4 platinum complexes appears to be a pivotal step for the development of efficient drugs as well as innovative molecular materials. ${ }^{[2 c, d]}$

Pure enantiomers and their racemic mixture are known to display different physical properties such as melting points and solubilities. ${ }^{[3]}$ One can take benefit from these different physical properties to optimize, for instance, resolution processes of chiral molecules ${ }^{[3]}$ or to perform uncommon reactivity such as amplification processes. ${ }^{[4 a-d]}$ In the liquid phase, identical physical and chemical properties are generally observed for pure enantiomers and their racemic mixture, except in those cases where strong homochiral and heterochiral associations take place. ${ }^{[4 e]}$ Furthermore, racemates and pure enantiomers may have different reactivity in solution, such as for example in the asymmetric catalysis, where nonlinear effects may occur. ${ }^{[5]}$

Herein, we show that the stereochemistry of the complexation of 4 -aza[6]helicene ligand (2) with $\left[\mathrm{PtCl}_{2}(\mathrm{NCEt}) \mathrm{PPh}_{3}\right]$ $\mathbf{1}$ depends dramatically on the state of $\mathbf{2}$ : indeed, racemic $\mathbf{2}$
[*] D. Mendola, Dr. N. Saleh, Dr. L. Toupet, Dr. J. Crassous Sciences Chimiques de Rennes UMR 6226 - Institut de Physique de Rennes UMR 6251 CNRS-Université de Rennes 1
Campus de Beaulieu 35042 Rennes Cedex (France)
E-mail: jeanne.crassous@univ-rennes1.fr
D. Mendola, Dr. F. Castiglione, Prof. A. Mele

Department of Chemistry, Materials and Chemical
Engineering "G. Natta", Politecnico di Milano
Piazza L. da Vinci, 32, 20133 Milano (Italy)
and
CNR-ICRM
Via L. Mancinelli, 7, 20131 Milano (Italy)
Prof. T. Caronna
Dipartimento di Ingegneria Industriale, Università di Bergamo viale Marconi 5, 24044 Dalmine BG (Italy)
Dr. N. Vanthuyne, Prof. C. Roussel
Chirosciences, UMR 7313, Stéréochimie Dynamique et Chiralité
Aix-Marseille University
13397 Marseille Cedex 20 (France)
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leads to $c i s-\left[\mathrm{PtCl}_{2}(\mathbf{2}) \mathrm{PPh}_{3}\right]$ (cis-3), whilst enantiopure $\mathbf{2}$ leads to trans- $\left[\mathrm{PtCl}_{2} \mathbf{( 2 )} \mathrm{PPh}_{3}\right]$ (trans-4). In other words, the cis-trans isomerism is controlled by the enantiopure or racemic form of the azahelicene ligand. This is, to the best of our knowledge, a brand new aspect of chirality in transition-metal complexes.

Helicene derivatives have recently shown potential as molecular materials owing to their inherent chirality, largemagnitude chiroptical properties, and $\pi$-conjugated electronic structure ${ }^{[6]}$ Following our work aimed at understanding the impact on the chiroptical properties of a metallic ion upon coordination to a helicene ligand, ${ }^{[7 \mathrm{a}, \mathrm{b}, \mathrm{f}]}$ we studied the complexation of 4 -aza[6]helicene $\mathbf{2}^{[7 \mathrm{cc]}}$ as a monodentate N ligand ${ }^{[7, e]}$ to a platinum(II) center.

For this purpose, cis- $\left[\mathrm{PtCl}_{2}(\mathrm{NCEt}) \mathrm{PPh}_{3}\right]$ complex (cis-1), a square-planar platinum complex bearing a triphenylphosphine ligand and a propionitrile in mutual cis position, was used. ${ }^{[8]}$ In refluxing toluene, it isomerizes to the trans$\left[\mathrm{PtCl}_{2}(\mathrm{NCEt}) \mathrm{PPh}_{3}\right]$ (trans-1', Scheme 1), which in turn may give the dimeric form trans- $\left[\left\{\mathrm{PtCl}(\mu-\mathrm{Cl})\left(\mathrm{PPh}_{3}\right)\right\}_{2}\right]$ after releasing $\operatorname{EtCN}$. It is known that by reacting 1 with a pyridine ligand, the trans- $\left[\mathrm{PtCl}_{2}(\mathrm{py}) \mathrm{PPh}_{3}\right]$ complex is formed and it does not isomerize to the cis form, which is probably due to the trans effect of the $\mathrm{PPh}_{3}$ ligand. ${ }^{[8]]}$ By replacing pyridine with azahelicene as the N donating ligand, an additional stereogenic element is introduced ( $P / M$ helical chirality) to the cistrans isomerism and diastereoisomers $P$-cis and $P$-trans (and their corresponding mirror images $M$-cis and $M$-trans) are expected. 4-Aza[6]helicene 2 was prepared in racemic form according to the well-known photocylization process (see the Supporting Information). ${ }^{[7 \mathrm{cc}]}$ The reaction of $\left[\mathrm{PtCl}_{2}{ }^{-}\right.$ $\left.(\mathrm{NCEt}) \mathrm{PPh}_{3}\right] \mathbf{1}$ with a slight excess (1.2 equiv) of $( \pm)$-2 in refluxing toluene for one night resulted in the precipitation of a yellow solid with $74 \%$ yield. This precipitate was identified as cis-isomeric complex $\mathbf{3}$ (Scheme 1) by multinuclear NMR spectroscopy, ESI-MS, and X-ray crystallography. For instance, in the ${ }^{1} \mathrm{H}$ NMR spectrum, a strongly deshielded doublet appears at $9.56 \mathrm{ppm}\left({ }^{3} J_{\mathrm{H}-\mathrm{H}}=9.4 \mathrm{~Hz}\right)$ corresponding to H5 proton and a doublet of doublet at $8.45 \mathrm{ppm}\left({ }^{3} \mathrm{~J}_{\mathrm{H}-\mathrm{H}}=5.4\right.$,


Scheme 1. Synthesis of cis isomer 3 and trans isomer 4 in either racemic or enantiopure forms.
${ }^{4} J_{\mathrm{H}-\mathrm{H}}=1.4 \mathrm{~Hz}$ ) corresponding to H 3 (see numbering in Scheme 1). Furthermore, the ${ }^{31} \mathrm{P}$ NMR displays one signal at 6.2 ppm with a ${ }^{195} \mathrm{Pt}-{ }^{31} \mathrm{P}$ coupling constant of 3860 Hz . Single crystals were grown by slow evaporation of diisopropyl ether in a $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ solution of $\mathbf{3}$. The latter crystallized in the triclinic $P \overline{1}$ centrosymmetric space group with the presence of $M$ and $P$ azahelicenes. Its X-ray crystallographic structure depicted in Figure 1a reveals the square planar geometry around the


Figure 1. a) $X$-ray crystallographic structure of racemic cis- $\left[\mathrm{PtCl}_{2}\right.$ (2)$\left.\left(\mathrm{PPh}_{3}\right)\right] 3$ with the $P$ and $M$ helicene complexes in the unit cell. b) pM planar chirality around the Pt center. ${ }^{[10]}$ c) Drawings emphasizing the helical and planar chiralities and all four possible stereoisomers. The ( $P, \mathrm{pM}$ ) - and ( $M, \mathrm{p} P$ )-cis-3' stereoisomers are not observed. d) Selected view of the crystal packing and $\mathrm{CH} \ldots \mathrm{Cl}$ hydrogen bonds.
platinum atom, which is coordinated to two chlorine ligands in a cis mutual position, one 4-aza[6]helicene ligand, and one $\mathrm{PPh}_{3}$. A slight distortion from ideal angles of $90^{\circ}$ is observed (N4PtP and N 4 PtCl 1 angles of $94.8^{\circ}$ and $85.2^{\circ}$ respectively), which is presumably due to steric hindrance of ligands. Furthermore, trans influence causes a greater bond length between platinum and the chlorine atom trans to phosphine ( $\mathrm{Pt}-\mathrm{Cl} 1: 2.357 \AA$ ) than the corresponding bond with the chlorine trans to the nitrogen atom ( $\mathrm{Pt}-\mathrm{Cl} 2: 2.291 \AA$ ). These values are in agreement with similar complexes. ${ }^{[8, e]}$ Interestingly, weak intramolecular $\pi-\pi$ interactions take place between one phenyl of the $\mathrm{PPh}_{3}$ ligand and the pyridyl ring (centroid-centroid distance $3.852 \AA$ ). This interaction is only possible in the cis geometry complex and fixes the geometry around the platinum. Furthermore, owing to the steric hindrance of the helix, the $\mathrm{PPh}_{3}$ is stacked on one side of
the pyridyl ring and therefore planar chirality appears with the pyPtCl2 defining the chiral plane. ${ }^{[9]}$ Indeed, torsion angles of -86.16 and $-80.93^{\circ}(\mathrm{p} M$ chirality, Figure 1 b) are measured respectively for C 3 NPtP and C 4 aNPtCl 1 in the cis- $\mathbf{3}$ molecule having the $M$-4-aza[6]helicene ligand, which means that the $M$-helicity induces a fixed $\mathrm{p} M$-chiral planar sense. ${ }^{[10]}$ All four possible stereoisomers (two diastereomeric pairs of enantiomers) are depicted in Figure 1c. This efficient chiral induction from the helix to the planar chirality around the Pt center is also reflected in the chiroptical properties (see below). Finally, looking more into details the crystal packing of $\mathbf{3}$ reveals a set of several different intermolecular $\mathrm{CH} \cdots \mathrm{Cl}$ hydrogen bonds that contribute to the cohesion and the stability of the crystal (Figure 1d). In solution, NOESY experiments performed in $\mathrm{CD}_{2} \mathrm{Cl}_{2}$ allow the confirmation of contacts between 1) H 1 and H 16 atoms belonging to opposite sides of the aza[6]helicene moiety and 2) between Ha protons of the $\mathrm{PPh}_{3}$ ligand and protons H 3 and H 5 of the aza[6]helicene (Figure 2a). This indicates that the preferred conformation of racemic cis-3 in the solid state is also stable in solution. Overall, these interactions are responsible for the fixed cis geometry, stability, and low solubility in toluene of the racemic complex 3. Finally, ESI mass spectrometry afforded a peak at $\mathrm{m} / \mathrm{z} 880.0$ corresponding to sodium cationized $\left[\mathrm{PtCl}_{2}(\mathbf{2}) \mathrm{P}(\mathrm{Ph}) \mathrm{Na}\right]^{+}$of elemental composition corresponding to $\left[\mathrm{C}_{43} \mathrm{H}_{30} \mathrm{NPCl}_{2} \mathrm{PtNa}\right]^{+}$and with an excellent match between the calculated and the experimental isotopic cluster (see the Supporting Information). Tandem MS experiments were carried out on the monoisotopic ion at $\mathrm{m} / \mathrm{z} 880.0$ isolated in the ion trap and allowed to decompose via collision with He gas. Under these conditions, the peak at $\mathrm{m} / \mathrm{z} 330$ was detected, corresponding to protonated 2, thus confirming the presence of the aza[6]helicene ligand in the complex.


Figure 2. Selected, long-range NOEs (dashed lines) detected in a) cis$\left[\mathrm{PtCl}_{2}(\mathbf{2})\left(\mathrm{PPh}_{3}\right)\right] \mathbf{3}$ and b) in trans- $\left[\mathrm{PtCl}_{2}(\mathbf{2})\left(\mathrm{PPh}_{3}\right)\right] 4$. The structures of both isomers are based on DFT calculations (the crystal structure coordinates were taken as starting geometry for 3 only).

With the aim to prepare enantiomerically pure complexes, the $M-(-)$ and $P-(+)-2$ enantiomers were separated by HPLC over a chiral stationary phase (see the Supporting Information). Then the reaction between $\mathbf{1}$ and $P-(+)-\mathbf{2}$ was performed in the same conditions as reported for the racemic ligand (see the Supporting Information). To our surprise, no yellow precipitate was observed and a new compound $\mathbf{4}$ was isolated in $69 \%$ yield after purification, which displayed different ${ }^{1} \mathrm{H},{ }^{13} \mathrm{C}$ and ${ }^{31} \mathrm{P}$ NMR spectra from cis- $\mathbf{3}$ (see the Supporting Information).

The ${ }^{1} \mathrm{H}$ NMR spectrum displayed one doublet at 9.60 ppm for $\mathrm{H} 5\left({ }^{3} J_{\mathrm{H}-\mathrm{H}}=9.1 \mathrm{~Hz}\right)$ and one signal (ddd) at 8.90 ppm $\left({ }^{3} J_{\mathrm{H}-\mathrm{H}}=5.4,{ }^{4} J_{\mathrm{H}-\mathrm{H}}=1.5 \mathrm{~Hz} ;{ }^{4} J_{\mathrm{H}-\mathrm{P}}=3.8 \mathrm{~Hz}\right)$ for H3. ${ }^{31} \mathrm{P}$ NMR displays one signal at 2.6 ppm with a ${ }^{195} \mathrm{Pt}^{31} \mathrm{P}$ coupling constant of 3640 Hz , which is significantly different to that observed for cis-3. ESI mass spectrometry gave once again a peak at $\mathrm{m} / \mathrm{z} 880.0$ with isotopic cluster consistent with $\left[\mathrm{C}_{43} \mathrm{H}_{30} \mathrm{NPCl}_{2} \mathrm{PtNa}\right]^{+}$. Isolation and collision-induced fragmentation of monoisotopic $m / z 880$ gave the same fragmentation pattern as before, thus giving arguments in favor of their isomeric relationship and suggesting that the new compound corresponds to the trans isomer $P-(+)-4$ (Scheme 1). Although no crystal structure was obtained for this compound, either enantiopure or racemic, several further information ascertain the trans geometry. Long-range NOEs were selectively observed in $\mathrm{CD}_{2} \mathrm{Cl}_{2}$ solution between Ha $\left(\mathrm{PPh}_{3}\right)$ and H 5 , but not between $\mathrm{Ha}\left(\mathrm{PPh}_{3}\right)$ and H 3 , which is consistent with the trans geometry shown in Figure 2b. The assignment of the stereochemistry on the basis of NOEs was supported by DFT calculations on both $\mathbf{3}$ and $\mathbf{4}$ (see the Supporting Information). According to the intramolecular NOE theory, ${ }^{[11]}$ the NOE intensity of two H nuclei separated by a distance $r$ shows $r^{-6}$ dependency. As a consequence, the threshold of about $5 \AA$ for vanishing NOE is commonly accepted. For the sake of clarity, the average calculated distance of H3 with the Ha protons of the phenyl groups of triphenylphosphine were below and above $5 \AA$ for isomer cis3 and trans-4, respectively. Furthermore, coupling constants ${ }^{4} J_{\mathrm{H}-\mathrm{P}}(3.8 \mathrm{~Hz})$ and ${ }^{5} J_{\mathrm{H}-\mathrm{P}}(1.4 \mathrm{~Hz})$ are observed in complex 4 for H 3 and H 2 protons respectively in the ${ }^{1} \mathrm{H}$ NMR spectrum (confirmed by ${ }^{31} \mathrm{P}$ decoupling experiments), which is not observable in the cis-3 isomer. ${ }^{4} J_{\mathrm{H}-\mathrm{P}}$ have been reported for complex trans $-\mathrm{PtCl}_{2}\left(\mathrm{SO}\left(\mathrm{CH}_{3}\right)_{2}\right)\left(\mathrm{PCy}_{3}\right),(\mathrm{Cy}=\text { cyclohexyl })^{[86]}$ and this further corroborates the hypothesis of a transgeometry for complex $P-(+)-4$. Finally, the trans nature of $\mathbf{4}$ was unambigously confirmed thanks to a sample prepared by reacting $( \pm)$-2 with pure trans- $\left[\left\{\mathrm{Pt}^{2}\left(\mathrm{PPh}_{3}\right)(\mu-\mathrm{Cl}) \mathrm{Cl}\right\}_{2}\right]^{[8 \mathrm{cc}}$ which displayed the same ${ }^{1} \mathrm{H}$ and ${ }^{31} \mathrm{P}$ NMR spectra as $P-(+)-4$. This compound can only be the racemic trans complex owing to the strong trans effect of $\mathrm{PPh}_{3}$ [Eq. (1)]:
trans- $\left[\left\{\mathrm{Pt}\left(\mathrm{PPh}_{3}\right)(\mu-\mathrm{Cl}) \mathrm{Cl}\right\}_{2}\right] \xrightarrow[\mathrm{CD}_{2} \mathrm{Cl}_{2}]{\text { rac-2 (2 equiv) }}$ trans isomer rac-4
It is worth mentioning that it was impossible to observe any $P$ - or $M$-cis isomer $\mathbf{3}$ from the reaction mixture with enantiomerically pure ligand 2 , even by performing the reaction at $-50^{\circ} \mathrm{C}$. Furthermore, heating pure samples of $\mathbf{3}$ or $\mathbf{4}$ did not result in any changes, suggesting that $\mathbf{3}$ and $\mathbf{4}$ are not in equilibrium. However, deeper inspection of the crude
mixture from the reaction of rac-2 with 1 revealed the presence of small quantities $(<5 \%)$ of the racemic trans-4 ( ${ }^{1} \mathrm{H}$ - and ${ }^{31} \mathrm{P}$ NMR spectra in the Supporting Information). As a consequence, the formation of large quantities of trans-4 is prevented by the spontaneous precipitation of cis-3 in refluxing toluene which displaces the $\mathbf{1 / 1}$ equilibrium (Scheme 1). This process corresponds to a crystallization induced diastereoselective transformation ${ }^{[12]}$ and originates from the cis-trans lability of the starting material.

Finally, the mirror-imaged trans- $M-(-)-\mathbf{4}$ complex was obtained starting from $M-(-) \mathbf{- 2}$. On the other hand, the enantiopure $P-(+)$ and $M-(-)$ cis complexes $\mathbf{3}$ were separated by HPLC over a chiral stationary phase (see the Supporting Information). This illustrates well how the chirality of the ligand (racemic versus enantiopure) can be used to obtain the all sets of cis- and trans-isomeric Pt complexes in either racemic or enantiopure forms. The chiroptical properties (electronic circular dichroism CD and molar rotation MR) of $P-(+) / M-(-)$ enantiomers of ligand 2 and cis and trans isomeric complexes $\mathbf{3}$ and $\mathbf{4}$ were then examined (Figure 3).


Figure 3. CD spectra of $P-(+)$ (plain lines) and $M-(-)$ (dotted lines) of ligand $\mathbf{2}$ (black), cis isomer complex $\mathbf{3}$ (light gray), and trans isomer complex 4 (dark gray).

Ligand $P$-2 displays a strong negative CD -active band at $258 \mathrm{~nm}\left(\Delta \varepsilon=-110 \mathrm{~m}^{-1} \mathrm{~cm}^{-1}\right)$ and strong positive bands at 310 , 325 , and $348 \mathrm{~nm}\left(+46,+150,+66 \mathrm{~L} \mathrm{~mol}^{-1} \mathrm{~cm}^{-1}\right)$. Complex $P-$ 3 displays the same strong negative band of similar intensity ( $260 \mathrm{~nm}, \Delta \varepsilon=-120 \mathrm{~L} \mathrm{~mol}^{-1} \mathrm{~cm}^{-1}$ ) and strong positive bands at 319,337 , and 351 nm that are red-shifted and of lower intensities ( $50,78,82 \mathrm{~L} \mathrm{~mol}^{-1} \mathrm{~cm}^{-1}$ ) compared to $P-\mathbf{2}$.

The CD spectrum of complex $P-4$ shows the same overall shape as $P-\mathbf{3}$ but with lower intensity $\left(\Delta \varepsilon=-57 \mathrm{~L} \mathrm{~mol}^{-1} \mathrm{~cm}^{-1}\right.$ at 258 nm , and $32,54,50 \mathrm{Lmol}^{-1} \mathrm{~cm}^{-1}$ at $317,336,348 \mathrm{~nm}$, respectively). Two additional weakly CD-active bands at 398 and 420 nm are present in the three compounds. Similarly, lower molar rotation values were measured for $P-4$ as compared to $P-3$ ( 5870 vs. 8215 ( $\pm 5 \%$ ), $\mathrm{CH}_{2} \mathrm{Cl}_{2}, C 0.7-0.4$ ), while ligand $P-2$ displays values comparable to similar aza[6]helicene derivatives ( $7735( \pm 5 \%), \mathrm{CH}_{2} \mathrm{Cl}_{2}, C 1.7$ ) ${ }^{[77]}$ The bigger chiroptical properties of cis-3 compared to trans-4 may be explained by the fixed planar chirality present in cis- $\mathbf{3}$ that furnishes additional contributions to the ECD and MR values.

In conclusion, the enantiopurity of the starting helicenic ligand (racemic versus enantiopure) triggers its reactivity
versus cis-trans isomers formation, thus allowing us to prepare the set of all of four $P-(+) / M-(-)-$ cis and $P-(+) / M-$ $(-)$-trans isomers of complexes $\left[\mathrm{Pt}^{\mathrm{II}} \mathrm{Cl}_{2}(4-\mathrm{aza}[6]\right.$ helicene) $\mathrm{PPh}_{3}$ ] and finally to examine their chiroptical properties in relation with their helical/planar chirality. To our knowledge, this is an unprecedented use of chirality in transitionmetal complexes, which combines the different solubilities between cis-trans stereoisomers with the configurational lability of the starting materials. We think that this can be often encountered in transition-metal complexes and should be more accurately examined when geometrical isomerism (cis/trans, fac/mer) ${ }^{[9,10]}$ is combined with chirality $(R / S, \Delta / \Lambda$, $M / P)$.

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