

# Automated steerable path planning for Deep Brain Stimulation safeguarding fiber tracts and deep grey matter nuclei

Alice Segato<sup>1,\*</sup> and Valentina Pieri,<sup>2</sup> Alberto Favaro,<sup>1</sup> Marco Riva,<sup>3–4</sup> Andrea Falini,<sup>2</sup> Elena De Momi<sup>1</sup> and Antonella Castellano<sup>2</sup>

<sup>1</sup> Department of Electronics, Information and Bioengineering, Politecnico di Milano, Milano, Italy

<sup>2</sup> Neuroradiology Unit and CERMAC, Vita-Salute San Raffaele University and IRCCS Ospedale San Raffaele, Milan, Italy

<sup>3</sup> Department of Medical Biotechnology and Translational Medicine, Università degli Studi di Milano, Milan, Italy

<sup>4</sup> Unit of Oncological Neurosurgery, Humanitas Research Hospital, Rozzano (MI), Italy

Correspondence\*:

Alice Segato  
Politecnico di Milano  
Department of Electronics, Information and Bioengineering  
Laboratory of Neuroengineering and Medical Robotics  
Via Giuseppe Colombo 40,  
Milano, MI, 20133, Italy  
alice.segato@polimi.it

## 2 ABSTRACT

Deep Brain Stimulation (DBS) is a neurosurgical procedure consisting in the stereotactic implantation of stimulation electrodes to specific brain targets, such as deep gray matter nuclei. Current solutions to place the electrodes rely on rectilinear stereotactic trajectories (RTs) manually defined by surgeons, based on pre-operative images. An automatic path planner that accurately targets subthalamic nuclei (STN) and safeguards critical surrounding structures is still lacking. Also, robotically-driven curvilinear trajectories (CTs) computed on the basis of state-of-the-art neuroimaging would decrease DBS invasiveness, circumventing patient-specific obstacles. This work presents a new algorithm able to estimate a pool of DBS curvilinear trajectories for reaching a given deep target in the brain, in the context of the EU's Horizon EDEN2020 project. The prospect of automatically computing trajectory plans relying on sophisticated newly engineered steerable devices represents a breakthrough in the field of microsurgical robotics. By tailoring the paths according to single-patient anatomical constraints, as defined by advanced preoperative neuroimaging including diffusion MR tractography, this planner ensures a higher level of safety than the standard rectilinear approach. Ten healthy controls underwent Magnetic Resonance Imaging (MRI) on 3T scanner, including 3DT1-weighted sequences, 3Dhigh-resolution time-of-flight MR angiography (TOF-MRA) and high angular resolution diffusion MR sequences. A probabilistic q-ball residual-bootstrap MR tractography algorithm was used to reconstruct motor fibers, while the other deep gray matter

21 nuclei surrounding STN and vessels were segmented on T1 and TOF-MRA images, respectively.  
22 These structures were labelled as obstacles.  
23 The reliability of the automated planner was evaluated; CTs were compared to RTs in terms of  
24 efficacy and safety. Targeting the anterior STN, CTs performed significantly better in maximizing  
25 the minimal distance from critical structures, by finding a tuned balance between all obstacles.  
26 Moreover, CTs resulted superior in reaching the center of mass (COM) of STN, as well as in  
27 optimizing the entry angle in STN and in the skull surface.

28 **Keywords:** deep brain stimulation, path planning, steerable electrode, tractography, advanced diffusion mri

## 1 INTRODUCTION

29 Deep brain stimulation consists in the stereotactic implantation of electrodes in deep brain structures to  
30 reversibly excite a functional target with high-frequency electrical impulses (Larson, 2014). This technique  
31 has been increasingly exploited to treat a variety of movement disorders, with a particular concern for the  
32 cardinal motor symptoms of Parkinson's Disease (PD) (Hickey and Stacy, 2016). DBS strategy for PD  
33 relies on stimulating the subthalamic nuclei (STNs) to keep them in constant refractoriness, thus inhibiting  
34 the indirect dopaminergic pathway.

35 Despite being an effective procedure, DBS trajectory planning toward STN is particularly challenging  
36 due to the critical position of the target, deeply sited and surrounded by eloquent structures. Overall, a  
37 correct positioning of DBS electrodes implies the accurate targeting of the desired deep structures and  
38 the anatomical obstacles avoidance, in order to maximize the treatment outcome while minimizing the  
39 surgery-related risk for the patient. The current surgical procedure planning is delicate and time-consuming,  
40 since stereotactic trajectories are now calculated on the basis of manually-defined target points (TPs) and  
41 entry points (EPs) that neurosurgeons should adjust using a trial and error approach (Breit et al., 2004).

42 Inappropriate trajectories could be lethal or life impairing and the risk of hemorrhages and seizures should  
43 not be underestimated (Larson, 2014). In fact, besides the other grey matter deep nuclei, also white matter  
44 (WM) motor fibers of the corticospinal tract (CST) critically run close to the STN and must be preserved.  
45 Magnetic Resonance (MR) Tractography enables the *in vivo* non-invasive dissection of WM fiber bundles,  
46 thus allowing to depict the entire course of eloquent tracts in the brain, including the corticospinal one. MR  
47 Tractography is based on diffusion-weighted MR imaging (dMRI), which measures the displacement of  
48 water molecules in biological tissues, preferentially oriented along the direction of the axonal fibers in WM  
49 (Castellano et al., 2017).

50 Automated computer assisted planning may significantly decrease calculation time and provide quantitative  
51 information about the safety and efficacy of trajectories. Specific anatomical constraints adapted to patient's  
52 anatomy can be inferred from clinical images. Despite the evident need of improving the proficiency of  
53 these automated approaches in avoiding obstacles, only standard preoperative imaging has been integrated  
54 into the DBS planners proposed in the literature until now. Remarkably, it must be highlighted that  
55 some eloquent structures such as WM fiber tracts, that are not identifiable on standard MRI but can  
56 be reconstructed by MR tractography, have increasing clinical relevance for neurosurgical preoperative  
57 planning.

58 Steerable electrodes have not been taken into account, even if the research community is increasingly  
59 proposing pioneering prototypes of flexible surgical instruments. In particular, the EU's Horizon EDEN2020  
60 project aims at providing a step change in the microsurgical robotic field by delivering an integrated  
61 technology platform for minimally invasive surgery based on a high-tech programmable bevel-tip needle,  
62 where the displacement among four interlocked sections generates an offset on its tip so that the tool can

follow Curvilinear Trajectories (CTs). When inserted into tissue, bevel-tip needles that are sufficiently thin exhibit the natural tendency to curve toward the tip of the bevel, due to the asymmetric force distribution applied by the tissue onto the surface area of the beveled tip (Watts et al., 2018). This effect can be exploited to steer the needle by varying the orientation of the shaft during insertion, thus the aforementioned steerable devices carry the unique potential of being adaptable to flexible surgical accesses (Secoli et al., 2018) (Secoli and Rodriguez y Baena, 2016) (Liu et al., 2016). The present study focuses on an electrode for DBS potentially engineered with a design mimicking the EDEN2020 programmable bevel tip needle. Accordingly, the aim of this work is to develop a planning algorithm for DBS which includes state-of-the-art MR imaging and that is able to estimate a pool of CTs for accurate targeting of the STN and concomitant avoidance of the other relevant grey matter nuclei and WM fiber tracts, ensuring a higher level of safety with respect to the standard rectilinear approach, based on (Favaro et al., 2018b) and (Favaro et al., 2018a). The planner performances have been evaluated considering the minimum distance from critical grey and white matter obstacles, the efficacy of the target achievement and the minimum entry angle of the electrode with respect to the main axis of STN and with respect to the skull, in order to verify the potential advantage of the curvilinear trajectories over the rectilinear ones.

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## 2 RELATED WORK

Image-guided keyhole neurosurgery procedures require the precise targeting inside the brain, based on pre-operative CT/MRI images. A misplacement of the surgical tool from the planned trajectory may result in non-diagnostic tissue samples, ineffective treatment and/or severe neurological complications (Shamir et al., 2011b) (Mascott, 2006). Consequently, it is desired to select a trajectory that is at a safe distance from critical structures such as blood vessels or motor and functional areas (Shamir et al., 2011a). Spatial visualization and segmentation of critical brain structures has been proposed as a means for enhancing the neurosurgeon's spatial perception and improving the awareness of structures surrounding the trajectory (Bériault et al., 2012), (Bick et al., 2012), (Lee et al., 2002), (Navkar et al., 2010).

Blood vessel analysis plays a fundamental role in neurosurgery (Essert et al., 2015), (De Momi et al., 2013), (Faria et al., 2014) both for diagnosis, treatment planning and execution. Blood vessel segmentation is necessary for their avoidance in performing path planning. Automatic or semiautomatic methods can support clinicians in performing these tasks. Moccia et al. provided a complete review of methods, datasets and evaluation metrics (Moccia et al., 2018). For motor and functional areas avoidance, Diffusion-Tensor Imaging (DTI) tractography is widely used to map structural connections of the human brain *in vivo*. Abhinav et al. presented the technological advances leading up to the development of DTI and more advanced techniques aimed at imaging the white matter (Thomas et al., 2014). Different automatic algorithms have been proposed for minimally invasive neurosurgery, mainly for Stereoelectroencephalography (SEEG), Deep Brain Stimulation (DBS) and needle biopsies with the main goal of assisting the surgeon during the planning phase (Scorza et al., 2017).

Automated computer assisted planning solutions for DBS, computing Rectilinear Trajectories (RTs) for currently used rigid electrodes, have been presented and intensely discussed in the literature. For instance, Essert et al. extended the approach of RT calculation to an analytical description of the risk factors and suggested an additional qualitative test (Essert et al., 2012). Liu et al. validated the automatic planning method with multiple surgeons and different targets for DBS applications (Liu et al., 2014). De Momi et al. developed a method that provides the neurosurgeons with a planning tool able to maximize the distance from vessels, to avoid the sulci as entry points and to optimize the angle of guiding screws (De Momi et al., 2014). In other two studies, the authors proposed a hybrid method working by associating information of

106 the expected risk in the form of a color map (Shamir et al., 2010), (Shamir et al., 2012). This allowed for  
107 the intuitive selection of an entry point for the desired surgical trajectory, then proposing an automatic  
108 trajectory plan. Another group developed a system for computer-assisted preoperative selection of target  
109 points and for the intraoperative adjustment of them (D'Haese et al., 2005).

110 Going beyond RTs, the safeguarding of critical structures could be further implemented with new models of  
111 electrodes able to navigate along CTs, that will overcome limitations related to straight and non-malleable  
112 paths. Existing steerable needle concepts can be classified in seven different groups, as summarized in a  
113 recent work (van de Berg et al., 2015): bevel tip, base manipulation, optically controlled needle, pre-curved  
114 stylet, active cannula, tendon actuated tip and programmable bevel tip.

115 Regarding CT approaches, some solutions can be found in keyhole neurosurgical scenario. Duindam  
116 et al. proposed a 3D motion planning for a steerable needle as a dynamical optimization problem with  
117 a discretization of the control space using inverse kinematics (Duindam et al., 2018). Other solutions  
118 proposed in literature can be divided in two main categories: graph-based and sampling-based methods. Two  
119 examples of graph search methods are Dijkstra's algorithm, which aims at finding the shortest path between  
120 a node and all other nodes in the graph (Dijkstra, 1959) and A\*, that is an improved version of the Dijkstra's  
121 method, using an heuristic function (Hart et al., 1968). Park et al. presented a diffusion-based motion  
122 planning for a non-holonomic flexible needle based on a probability map (Park et al., 2005). Although  
123 graph-based methods are relatively simple to implement, they require a considerable computational time as  
124 the environment becomes more complex (Bellman, 1966).

125 Sampling-based solutions are the current trend for generic single-query path planning problems.  
126 Remarkably, Rapidly-exploring Random Tree (RRT) (LaValle and Kuffner Jr, 2000) is an exploration  
127 algorithm for quickly searching high-dimensional spaces and it's much more efficient than brute-force  
128 exploration of the state space. Several authors (Knepper and Mason, 2009) (Rodriguez et al., 2006) proposed  
129 different exploration algorithm for RRTs with randomly sampled C space and deterministic control space.  
130 Branicky et al. extended the RRT-based method for a motion planning approach considering a system  
131 with a hybrid configuration space and constraints (Branicky et al., 2003). Particularly interesting, in this  
132 regard, is the study of Favaro et al. (Favaro et al., 2018a) proposed in the context of EDEN2020, which  
133 improved the approaches described in previous works applying an informed RRT algorithm, designed to  
134 meet the catheter kinematic constraints and non-holonomicity and to guarantee a high reliable level of  
135 obstacle-avoidance capability, crucial for the intended neurosurgical application.

136 To our knowledge, there is no algorithm in the literature that calculates automatically curvilinear safe paths  
137 for DBS integrating tractography reconstructions. Thus, following CTs may enhance the chances to obtain  
138 an optimal targeting of the STN with the proper anatomical obstacles avoidance, since flexible electrodes  
139 can mitigate limitations of their rigid counterparts through their ability to steer along CTs (Favaro et al.,  
140 2018b).

141

### 3 MATERIALS AND METHODS

#### 142 3.1 Surgeon's input and data processing

143 As first step, the surgeon is asked to select the desired entry point (EP) on the brain cortex, the target  
144 structure (TS) within the brain, corresponding to the STN and, optionally the target point (TP). This latter,  
145 if not specified, will coincide with the center of mass of the STN. The anatomical obstacles (AOs) are  
146 segmented and a distance map is computed (Danielsson, 1980).

147 The system delineates an entry area EA around the EP, excluding the sulci as possible entry area because

148 of the presence of cortical blood vessels, thus preventing possible hemorrhages (De Momi et al., 2014).  
 149 A mesh decimation is performed over the EA and a pool of 10 feasible entry points  $\text{EP}_i$ ,  $i \in 1, \dots, 10$  is  
 150 defined.

151 **3.2 Path planning  $\forall \text{EP}_i$**

152 Our path planner method consists in three main steps: Path planning, described in Section 3.2.1, where a  
 153 set of piece-wise linear feasible paths is computed from each  $\text{EP}_i$  to the target point, Path approximation  
 154 and optimization, described in Section 3.2.2, where an evolutionary optimization procedure generates  
 155 smooth paths, reduces their lengths and optimizes the insertion angle with respect to the target main axis  
 156 and Exhaustive search for the best path, reported in Section 3.2.3, where an exhaustive search is performed  
 157 over the set of paths for determining the best planning solution. The entire workflow is described in Figure  
 158 1.

159 **3.2.1 RRT\*-based raw planning**

160 At first, an ellipsoidal volume  $\mathcal{H}$  is built, having the EP and TP as foci. The focal length of the ellipsoid is  
 161 the Euclidean distance between the EP and the TP and corresponding to the minimum possible path length,  
 162 the minor axis of the ellipsoid is set to a predefined value equal to 10 mm. In this way, the original search  
 163 workspace, consisting in the entire patient's brain, is bordered within a confined region,  $\mathcal{H}$ .  
 164 A batch of uniformly-sampled 3D points in  $\mathcal{H}$  is gradually provided to an RRT\*-based planning algorithm,  
 165 (Gammell et al., 2015), to build a connected graph of vertices and obstacle-free edges.  
 166 As a first path able to connect the  $\text{EP}_i$  to the TP is detected, the solution is stored. Subsequently, the RRT\*  
 167 keeps adding new points in  $\mathcal{H}$ . As a new, shorter solution is discovered, the graph is pruned and the major  
 168 axis of  $\mathcal{H}$  is reduced to the length of the new solution resulting in focusing the search within a smaller  
 169 space. This new piece-wise linear pathway is stored as well. A number of paths  $\text{sol}_s^i$ ,  $s \in 1, \dots, N_s^{\max}$  is  
 170 thus defined as a sequence of vertices  $\mathbf{P}_k$  ( $k = 1 \dots N_v$ ), where  $N_v$  is the number of vertices, such that:

$$\text{sol}_s^i = \{\mathbf{P}_k^{i,s} \in \mathbb{R}^3\} \quad (1)$$

171 where  $N_s^{\max}$  is a predefined upper limit of possible solutions discovered for the specific  $\text{EP}_i$  with  
 172  $i \in 1, \dots, 10$ ,  $\mathbf{P}_1^{i,s} = \text{EP}_i$  and  $\mathbf{P}_{N_v}^{i,s} = \text{TP}$ . The reader is referred to Favaro et al. (Favaro et al., 2018a)  
 173 (Favaro et al., 2019) for further details.

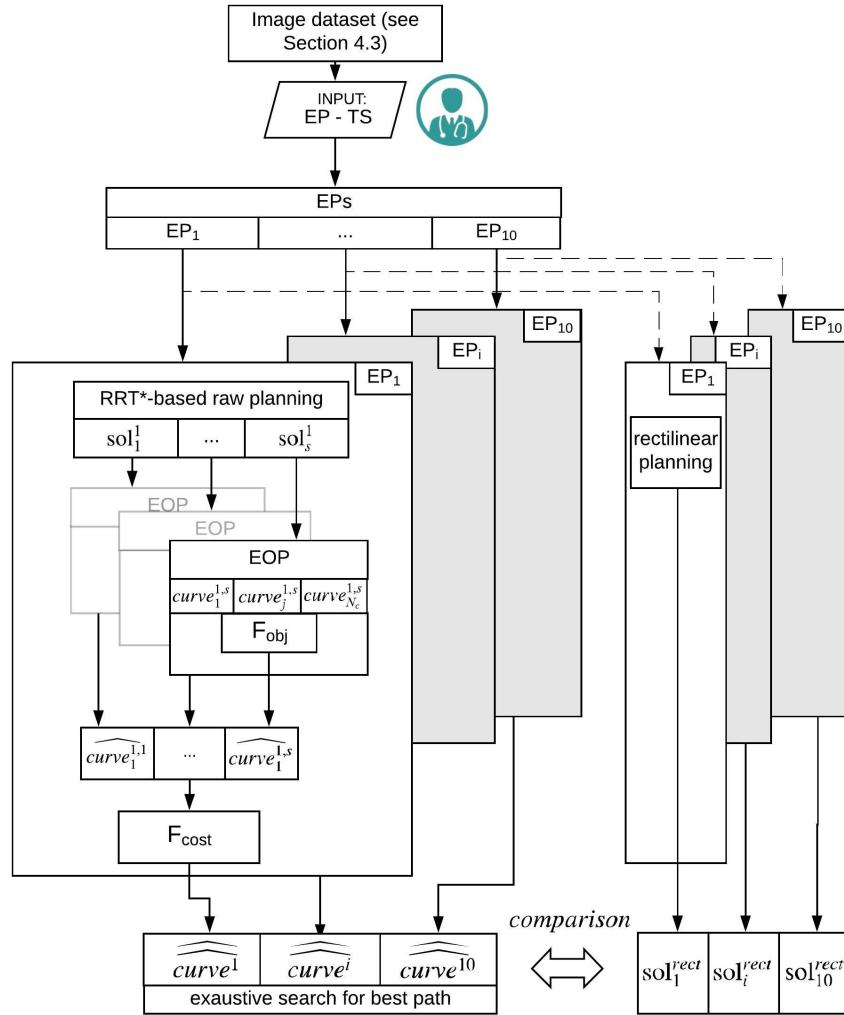
174

175 **3.2.2 Evolutionary Optimization Procedure (EOP)**

176 An Evolutionary Optimization Procedure (EOP) is run (Favaro et al., 2019). The vertexes of each piece-  
 177 wise linear solution  $\text{sol}_s^i$  are used to define a population  $\{\text{curve}_j^{i,s}, j = 0, \dots, N_c\}$  of Non-Uniform Rational  
 178 Beta Splines (NURBS) by assigning different random weights to each vertex.  $\{\text{curve}_j^{i,s}\}$  is made to evolve  
 179 according to the objective function to minimize ( $F_{obj}$ ). This results in pulling (pushing) a  $\text{curve}_j^{i,s}$  closer  
 180 to (far from) the vertexes so that to optimize the curve in accordance with  $F_{obj}$ . Hyper-parameters used in  
 181 the EOP are reported in Table 1.

182 The best trajectory obtained will:

- 183 1. Minimize the number of points of the path intersecting an obstacle.  
 184 2. Minimize the maximum curvature of the path  $k_{path}$ , to respect kinematic constraints such as the  
 185 maximum curvature of the electrode ( $K_{max}$ ).



**Figure 1.** Schematic representation of the workflow. From the segmented image dataset, 10 EPs are selected. On each EP, the RRT based raw planning is applied computing  $s$   $\{sol_s^i\}$  solutions (Section 3.2.1). Subsequently, an EOP is computed based on  $F_{obj}$  to be minimized. A number of feasible solutions  $\{\widehat{curve}^{i,s}\}$  is generated (Section 3.2.2). Finally for each EP $_i$  the best path  $\{\widehat{curve}^i\}$  is computed by running a cost function  $F_{cost}$ , to be minimized, over the set of solutions generated by the Exaustive search for best path (Section 3.2.3).

- 186 3. Minimize the length of the electrode  $l$ .  
 187 4. Minimize the standard deviation of curvature values, in order to obtain a smoother path.  
 188 5. Optimize the orientation of the electrode depending on TS shape.

189 Thus  $F_{obj}$  is defined as:

$$F_{obj}(\{curve_j^{i,s}\}) = \beta_1 \cdot \#p_{unsafe} + \beta_2 \cdot \#p_{unfea} + \beta_3 \cdot l + \beta_4 \cdot SD + \beta_5 \cdot \alpha \quad (2)$$

190 where:

- 191 •  $\#p_{unsafe}$  is the number of points  $\mathbf{P}_{unsafe} \in \{curve_j^{i,s}\}$  whose 3D coordinates are internal to the point  
192 cloud describing each AOs, such that:

$$\{\mathbf{P}_{unsafe}\} : \{curve_j^{i,s} \cap cloud_{AOs}\} \quad (3)$$

193 where  $\{cloud_{AOs}\}$  is the set of 3D points representing the obstacle space with the AO surface.

- 194 •  $\#p_{unfea}$  is the number of points  $\mathbf{P}_{unfea} \in \{curve_j^{i,s}\}$  whose curvature,  $k_{path} = curve_j''^{i,s}$  (calculated  
195 as the second derivative of  $curve_j^{i,s}$ ) (Favaro et al., 2019)), exceeds the maximum curvature achievable  
196 by the needle ( $K_{max}$ ), such that:

$$\{\mathbf{P}_{unfea}\} : \{k_{path} > K_{max}\} \quad (4)$$

- 197 •  $l$  is the total path length of  $\{curve_j^{i,s}\}$ , such that:

$$l(\{curve_j^{i,s}\}) = \int_{EP_i}^{TP} \|\{curve_j^{i,s}(u)\}\| du \quad (5)$$

198 where  $u \in [0, 1]$  is the independent variable used to define the NURBS curve in parametric form,  
199 The reader is referred to Favaro et al. (Favaro et al., 2019) for further details.

- 200 • SD is the standard deviation of the curvature,  $k_{path}$ , such that:

$$SD = \sqrt{\frac{1}{N_s} \sum_{i=1}^{N_s} (k_{path} - \bar{k}_{path})^2} \quad (6)$$

201 where  $N_s$  represents the number of samples of  $\{curve_j^{i,s}\}$  that depend upon the discretization of  
202  $u \in [0, 1]$ .

- 203 • As the STNs have an anisotropic shape, for each STN the longitudinal axis is defined computing  
204 Principal Component Analysis (PCA) of the STN point cloud segmentation and used as desired  
205 trajectory for the distal part of the needle. Specifically, the entry angle  $\alpha$  between the distal part of the  
206 needle (the one inserted in the STN) and the longitudinal axis of the STN is computed as:

$$\alpha = \arccos(\hat{l}_{dist} \cdot \hat{l}_{STN}) \quad (7)$$

207 where  $\hat{l}_{dist}$  is the 3D unit vector representing the entry direction of the distal part of the needle.  $\hat{l}_{STN}$   
208 represents the 3D unit vector of the 1<sup>st</sup> PCA component.

209

- 210 • The values of the weight are empirically defined and reported in Table 2.

211 Minimizing  $F_{obj}(\{curve_j^{i,s}\})$ , through a preset number of iterations, allows each new offspring of the  
212 EOP,  $\{curve_j^{i,s}\}$ , to move towards the path optimality.  $\widehat{\{curve_1^{i,s}\}}$  represents the best  $\mathcal{C}^2$ , obstacle-free path  
213 able to connect  $EP_i$  to the TP starting from the piece-wise linear solution  $s$ .

### 214 3.2.3 Exaustive search for best path

215 Among the optimized solutions  $\{\widehat{curve}^{i,s}\}$  that defined the feasible otpimized trajectories from  $EP_i$  to  
216 TP, the best one is identified through a cost function to minimize,  $F_{cost}$ , expressed as follows:

$$F_{cost}(\{\widehat{curve^{i,s}}\}) = \begin{cases} \infty & \text{if } d_{min} \leq 0 \\ \infty & \text{if } k_{path} > K_{max} \\ \kappa_1 \frac{1}{d_{THA}} + \kappa_2 \frac{1}{d_{GP}} + \kappa_3 \frac{1}{d_{CN}} + \kappa_4 \frac{1}{d_{CST}} + \kappa_5 \frac{1}{d_{min}} + \kappa_6 \frac{1}{d} + \kappa_7 \frac{k_{path}}{K_{max}} & \text{otherwise} \end{cases} \quad (8)$$

217 where, given the euclidean distance  $d_{e,o}$ , defined as:

$$d_{e,o} = \|P_e - P_o\| \quad (9)$$

218 with  $P_e = \{P\}_{path}$ , with  $e \in 1, \dots, N$ , is the set of points of the calculated  $curve^{i,s}$  and  $P_o = \{P\}_{AO}$ , with  
219  $o \in 1, \dots, M$ , is the set of 3D points representing the obstacle  $AO$ , with  $AO = \{THA, GP, CN, CST\}$ .

220 •  $d_{min}$  is the minimum distance calculated over the whole length, ( $l$ ), of the  $\{\widehat{curve^{i,s}}\}$  with respect to  
221 all the AOs, such that:

$$d_{min} = \min\{d_{e,o}\} \forall P_e, \forall P_o \quad (10)$$

222 •  $\bar{d}$  is the average distance calculated over the whole length, ( $l$ ), of the  $\{\widehat{curve^{i,s}}\}$  with respect to all the  
223 AOs, such that

$$\bar{d} = \frac{1}{N \cdot M} \sum_{e=1}^N \sum_{o=1}^M d_{e,o} \quad (11)$$

224 •  $d_{THA}, d_{GP}, d_{CN}, d_{CST}$  represent the sum of  $d_{min}$  and  $\bar{d}$  with respect to the 4 most critical AO taken  
225 singularly: thalamus (THA), globus pallidus (GP), caudate nucleus (CN) and corticospinal tracts(CST).  
226 For the sake of clarity are all defined as:

$$d_{AO_k} = d_{min_{AO_k}} + \bar{d}_{AO_k}, \forall AO_k \quad (12)$$

where

$$d_{min_{AO_k}} = \min\{d_{e,k}\}, \forall P_e, \forall P_{o,k} \in AO_k$$

and

$$\bar{d}_{AO_k} = \frac{1}{N \cdot \#AO \cdot M} \sum_{e=1}^N \sum_{k=1}^{\#AO} \sum_{o=1}^M d_{e,o}$$

227

228

229 • Weights from  $\kappa_1$  to  $\kappa_4$  are defined by the user, according to the possibility of the surgeon to set the  
230 priorities for maintaining distances with respect to structures, while from  $\kappa_5$  to  $\kappa_7$  are empirically  
231 defined and reported in Table 2.

232 The output of this step is the best path from each  $EP_i$  to TP over the entire set of  $\{\widehat{curve^{i,s}}\}$ , identified as:

$$\{\widehat{\widehat{curve^i}}\} = \operatorname{argmin}_{x \in \{\widehat{curve^i}\}} f(x) = \{x \in \{\widehat{curve^i}\} : f(x) = \min_{y \in \{\widehat{curve^i}\}_i} F_{cost}(y)\} \quad (13)$$

233 A further surgical need is to compute a trajectory possibly aligned to the main axis of the target, especially  
 234 in ellipsoidal STN, in order to cover almost all the nucleus and to increase the electrostimulation. We  
 235 define  $\theta_{max}=30^\circ$  as the maximum insertion angle with respect to skull normal acceptable for electrode  
 236 placement. The insertion angle  $\theta_{EP}$  between the proximal part of the needle (the one near the EPi) and the  
 237 skull normal is computed as:

$$\theta_{EP} = \arccos(\hat{l}_{prox} \cdot \hat{l}_{SKULL}) \quad (14)$$

238 where  $\hat{l}_{prox}$  is the 3D unit vector representing the entry direction of the proximal part of the needle.  $\hat{l}_{SKULL}$   
 239 represents the 3D unit vector of the skull normal. A check function  $F_{check}(\theta_{EP})$  is then computed:

$$F_{check}(\theta_{EP}) = \begin{cases} \text{discarded} & \text{if } \theta_{EP} > \theta_{max} \\ \text{accepted} & \text{otherwise} \end{cases} \quad (15)$$

240 The developed system was implemented in the 3DSlicer software (4.7.0-2017-10-16) on iMac (OS-X  
 241 10.13.3 (17D47), 2,9 GHz Intel Core i5, 8GB of RAM).

## 4 EXPERIMENTAL SETUP

### 242 4.1 MRI acquisition

243 High-resolution MR images of ten healthy controls (mean age: 38 yo; 5M/5F) have been acquired on a  
 244 3T Ingenia CX scanner (Philips Healthcare, Best, The Netherlands). The research ethical committee of  
 245 Vita-Salute San Raffaele University and IRCCS San Raffaele Scientific Institute approved the study,  
 246 and all subjects provided signed informed consent prior to MR imaging. The MRI protocol included:

- 247 • a 3D T1-weighted sagittal Fast-Field Echo with selective water excitation (Proset technique) acquired  
 248 with the following parameters: repetition time/echo time [TR/TE] 12/5.9 ms; flip angle, 8°; acquisition  
 249 matrix,  $320 \times 299$ ; voxel size,  $0.8 \times 0.8 \times 0.8$  mm; thickness,  $0.8/0$  mm gap; SENsitivity-Encoding  
 250 [SENSE] reduction factor, R=2; 236 slices; acquisition time, 5 min 19 s;
- 251 • a simultaneous multislice Echo Planar Imaging (EPI) axial sequence for Diffusion MR Imaging  
 252 (dMRI), acquired at multiple b-values (0, 711 and  $3000 \frac{s}{mm^2}$ ) with diffusion gradients applied along  
 253 35 and 60 non-collinear directions and the following parameters: TR/TE 5977/78 ms; flip angle, 90°;  
 254 acquisition matrix,  $128 \times 126$ ; voxel size,  $2 \times 2 \times 2$  mm; thickness,  $2/0$  mm gap; SENSE factor,  
 255 R=2; Multiband factor = 2; 60 slices. Twelve b = 0 images were obtained, including one with reversed  
 256 phase-encoding to estimate susceptibility-induced distortions;
- 257 • a 3D high-resolution time-of-flight MR angiography (TOF-MRA) acquisition to visualize flow within  
 258 the arterial vessels, acquired with parameters as follows: TR/TE 23/3.45 ms; flip angle, 18°; acquisition  
 259 matrix,  $500 \times 399$ ; acquired voxel size,  $0.4 \times 0.5 \times 0.9$  mm; reconstructed voxel size,  $0.3 \times 0.3 \times 0.45$   
 260 mm; thickness,  $0.45/-0.45$  mm gap; SENSE factor, R=2; 210 slices; acquisition time, 8 min 33 s.

### 261 4.2 MRI analysis and tractography reconstructions

262 From the multi b-value dMRI dataset, high angular resolution diffusion-weighted imaging (HARDI)  
 263 volumes (60 diffusion directions, b-value =  $3000 \frac{s}{mm^2}$ ) and b0 images were extracted by using the ‘fslsplit’  
 264 and ‘fslmerge’ tools of FMRIB Software Library (FSL, <https://fsl.fmrib.ox.ac.uk/fsl/>). Current distortions as  
 265 well as susceptibility distortions were corrected. Diffusion tensor and fractional anisotropy (FA) maps were  
 266 estimated using Diffusion imaging in Python (Dipy) software (Garyfallidis et al., 2014). MR Tractography

267 reconstruction was based on a q-ball residual bootstrap algorithm (Berman et al., 2008), in order to fit the  
268 signal to spherical harmonics, to compute the Orientation Distribution Functions (ODFs), and to identify  
269 the primary fiber orientation.  
270 To reconstruct bilateral corticospinal tracts (CSTs), seeding regions-of-interest (ROIs) were selected on  
271 axial images including an area of high anisotropic diffusion in the anterior part of the pons, and target  
272 regions were chosen at the level of the primary motor cortices in the precentral gyri. Maximum turning  
273 angle of 60° and FA threshold of 0.1 were used as stopping criteria for fiber tracking.  
274 Arterial vessels have been segmented on the TOF-MRA images, in the native space of each patient, by  
275 applying an intensity threshold with 3D Slicer©.  
276 Finally, the b0 volume from the HARDI data, the 3D T1-weighted images and the TOF-MRA images were  
277 co-registered to the MNI image volume (Ewert et al., 2017) by means of a 3D affine transformation. The  
278 transformation matrix of the b0 volume was applied to both the .trk files and NifTI binary masks of the  
279 CSTs, in order to bring the tracts in a standard reference space. Similarly, the masks of the arterial vessels  
280 were reported in the MNI space.

### 281 4.3 Experimental Protocol

282 On the normalized 3D T1-weighted images previously coregistered to the MNI space, we segmented  
283 cerebral cortex, skull surface, arterial blood vessels and ventricles by means of FreeSurfer Software, then  
284 relevant deep grey matter structures [(THA), (GP), (CN)] and the DBS target STN, by using the DISTAL  
285 atlas with 3D Slicer©. Each start and target points pair has been set as in DBS clinical practice (Figure 2)  
286 (Okun, 2012).

287 The herein described method was tested in two different phases described in the following sections 4.3.1  
288 and 4.3.2. All the relevant parameters used in the tests are reported in Table 2.

#### 289 4.3.1 Feasibility study on catheter specifications

290 The feasibility study is aimed at computing the max diameter (EOD) and the minimum curvature ( $k$ ) that  
291 could allow safe paths towards the TPs.

292 Tests were conducted bilaterally on one case-study. For each hemisphere, 2 EPs were chosen and for each  
293 point a solution path was provided. Five electrode outer diameters ( $EOD_j$ ) with  $j \in 1..5$  were tested,  
294 starting from the standard 1.3 mm up to 2.5 mm range with a step of 0.3 mm. The value of  $k$  was  
295 increased stepwise from  $0.015 \text{ mm}^{-1}$  to  $0.055 \text{ mm}^{-1}$  with a step of  $0.010 \text{ mm}^{-1}$ . The performance in  
296 terms of  $d_{min}$  from the AOs was computed.

#### 297 4.3.2 Validation of RTs vs CTs

298 The validation phase included multiple tests, performed on 10 cases, selecting 2 TPs for each hemisphere:  
299 1 defined manually on the basis of current clinical practice and 1 in the center of mass of the STN. An EOD  
300 of 1.3 mm was considered and a  $K_{max}$  of  $0.015 \text{ mm}^{-1}$  was chosen.

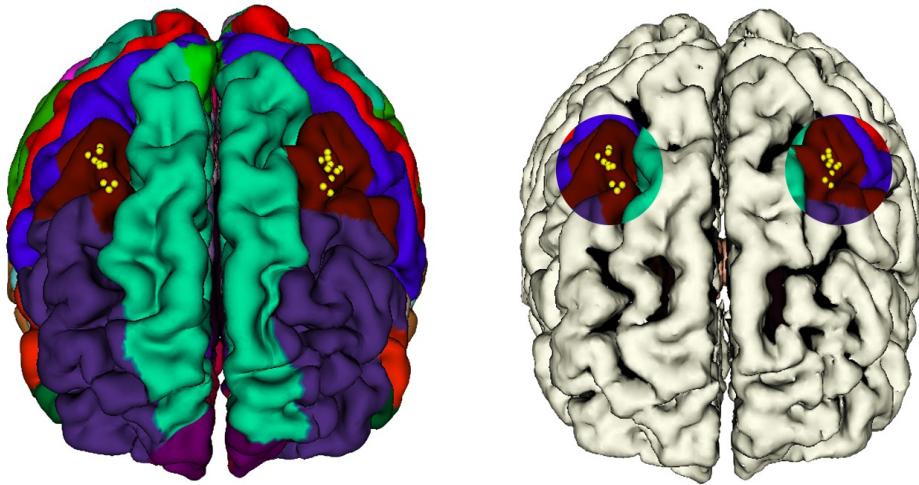
301 From the  $EP_i$  each RT was computed by linearly connecting each EP to the related TP and solutions  $sol_i^{RT}$   
302 were obtained. Moreover CT were obtained with the application of the method described in Section 3.

303 Finally, for every  $EP_i$ , the CT solutions  $\{\overbrace{curve^i}\}$  is compared with the standard RT ones  $sol_1^{RT}$  (Figure 2).

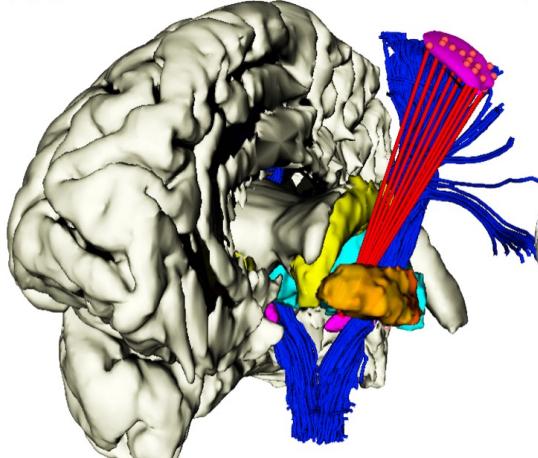
304 For each RT and CT solution, we calculated:

- 305 • The minimum ( $d_{min}$ ) and the mean ( $\bar{d}$ ) distances with respect to all the obstacles (AOs point cloud),  
306 as described in Equation 10 and 11 (Section 3.2.3). The minimum ( $d_{minAO}$ ) and the mean ( $\bar{d}_{AO}$ )

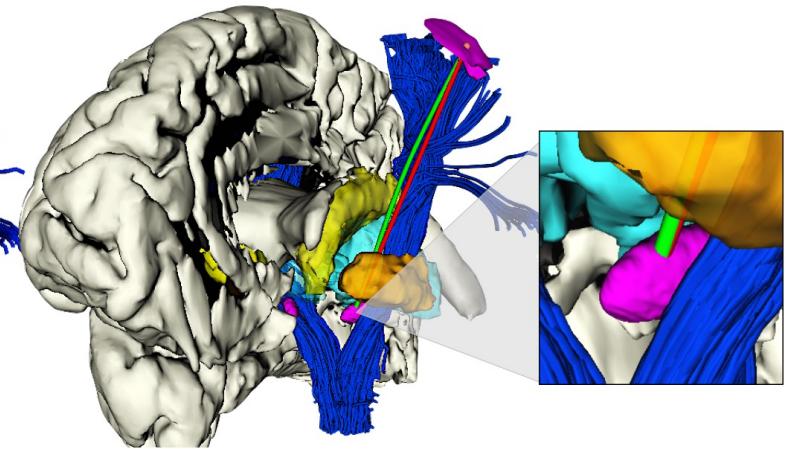
2A



2B



2C



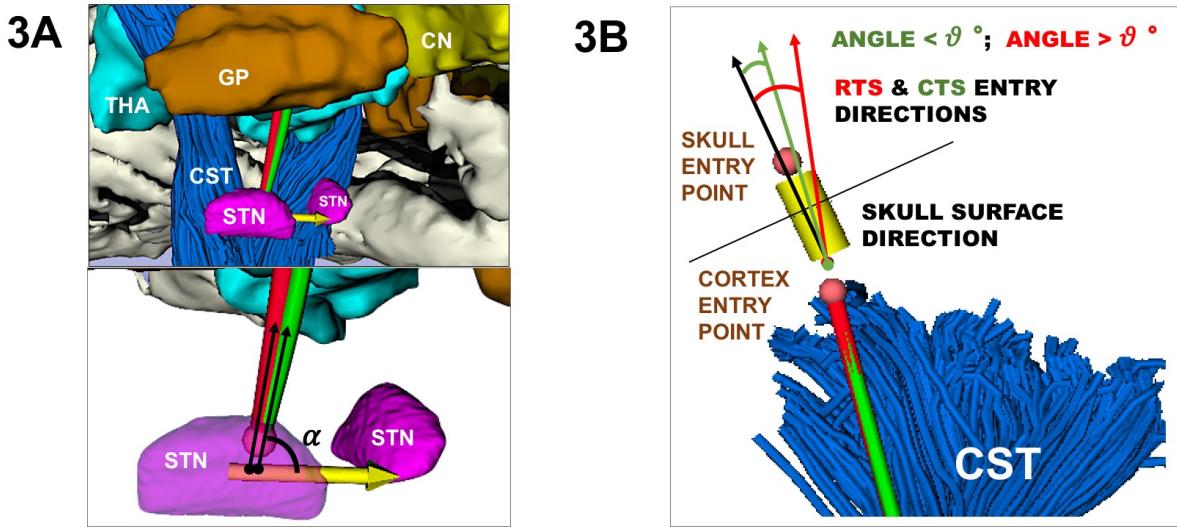
**Figure 2.** (2A) Selected areas of 1 cm diameter in the caudal middle frontal gyrus, where  $i \in 1, \dots, 10$  EP<sub>*i*</sub> were determined in each hemisphere. (2B) Example of the computation of *i* viable RTs (red) from *i* EPs to the same TP on the anterior portion of STN, left hemisphere. (2C) Example of the computation of correspondent RT (red) and CT (green), from the same EP<sub>*i*</sub> to the same TP<sub>*i*</sub> on the anterior portion of STN, left hemisphere.

307     distances with respect to an obstacle taken singularly (THA, GP, CN or CST point cloud), as described  
 308     in Equation 12 (Section 3.2.3).

- 309     • The STN entry angle  $\alpha$  were computed, as described in Equation 7 and shown in Figure 3A.  
 310     • The percentage of CTs and RTs that did not exceed  $\theta_{max}=30^\circ$ , defined as the maximum insertion angle  
 311       with respect to skull normal acceptable for electrode placement (Scorza et al., 2017), as described in  
 312       Equation 15 and shown in Figure 3B.

313     All the parameters were analyzed by means of Matlab (The MathWorks, Natick, Massachusetts, R2017b)  
 314     and Graph Pad Prism 7 (GraphPad Software, La Jolla, California, USA). Lilliefors test has been initially  
 315     applied for data normality. Due to the non-normality of data distribution, pairwise comparison RT and  
 316     corresponding CT to any anatomical obstacles was performed with Wilcoxon matched-pairs signed rank  
 317     test. Differences were considered statistically significant at  $p < 0.05$ . It is worth specifying that analyses

318 have been conducted keeping data of the right hemispheres separate from the left ones, in order to respect  
 319 the functional more than the anatomical variability between the two hemispheres. In fact, they are generally  
 320 approached very differently in the surgical setting, depending on the patient-specific side dominance.



**Figure 3.** (3A) Representation of RT (red) and CT (green) entry angle, ( $\alpha$ ), into the STN, the illustrative scene of single-case example has been taken from 3D Slicer 4.7.0 (3B) Representation of RT(red) and CT (green) in keeping a skull entry angle  $< \theta^\circ$ , the illustrative scene of single-case example has been taken from 3D Slicer 4.7.0.

## 5 RESULTS

### 321 5.1 Feasibility study

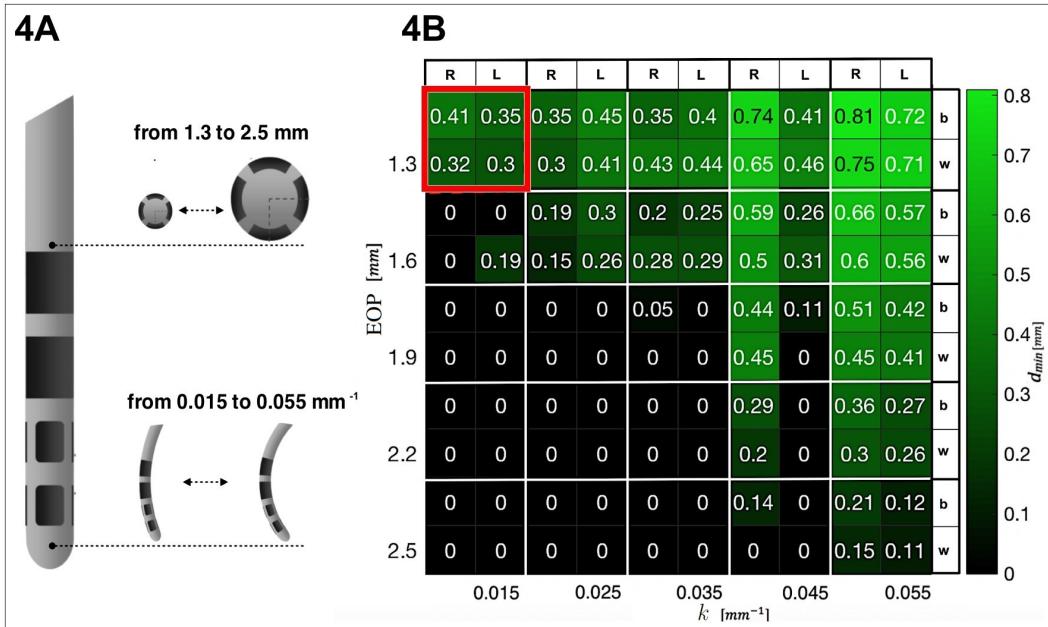
322 A heatmap was generated to show the minimum curvature required by any tested diameter in order to  
 323 compute safe trajectories for flexible electrodes. Figure 4A shows electrodes with different diameters [mm]  
 324 and different maximal curvatures [mm] that have been tested. Figure 4B shows  $d_{min}$  with respect to AOs.  
 325 A catheter with EOP of 2.3 [mm] allows clearance from obstacles if the curvature  $k$  is 0.015 [ $mm^{-1}$ ]. A  
 326 curvature of 0.055 [ $mm^{-1}$ ] allows free trajectory up to 2.5 [mm] of diameter.  
 327

### 328 5.2 Validation of RTs vs CTs

329 Figure 5 shows a comparison between RTs and CTs in terms of  $\overline{d_{min}}$ ,  $\bar{d}$  and  $\bar{\alpha}$ , reporting for each subject  
 330 the mean value of  $d_{min}$ ,  $\bar{d}$  and  $\alpha$  calculated over the best trajectory of all the EP<sub>i</sub>, from all critical AOs, of  
 331 left and right hemisphere. As seen in Figure 5A and in Supplementary Figure S1a, CTs keep a significantly  
 332 greater  $\overline{d_{min}}$  from critical AOs with respect to RTs for all subjects in both the hemispheres ( $p \leq 0.0001$  left  
 333 and right).

334 Remarkably, in Figure 5B and in Supplementary Figure S1b, CTs also showed a statistically significant  
 335 advantage over RTs as far as CTs were able to keep a greater  $\bar{d}$  from critical AOs in both the hemispheres  
 336 ( $p \leq 0.0003$  left and right).

337 In Figure 5C and in Supplementary Figure S1c, it could be observed the CTs minimization trend of  $\alpha$  with  
 338 respect to RTs in both the hemispheres.



**Figure 4.** (4A) DBS electrode prototype, in which the tip that actually releases the stimulation is shown. Examples of progressive increase in diameter and curvature are presented. (4B) Heatmap representing the minimal distances from AOs obtained when differently designed CTs reach the anterior STN. The best (b) and worst (w) results for the left (L) and right (R) hemispheres are displayed. In red, the constraints that we selected for successive tests are highlighted.

339 The positive trend of maximized  $d_{min}$  can be globally appreciated even considering the delicate  
 340 anatomical structures. Figure 6 shows a comparison between RTs and CTs in terms of  $\overline{d_{minAO}}$ , reporting  
 341 for each subject the mean value of  $d_{min}$  calculated over the best trajectory of all the EP<sub>i</sub> from each AO ∈  
 342 THA ∨ GP ∨ CN ∨ CST of left and right hemisphere. As seen in Figure 6B and in Supplementary Figure  
 343 S2a and S2b, if single structures are considered separately, only the minimal distance from GP optimized  
 344 by CTs ( $\overline{d_{minAO}}$ , AO ≡ GP) resulted statistically significant, while the improvement in the minimal  
 345 distances from CN, CST and THA ( $\overline{d_{minAO}}$ , AO ∈ {CN ∨ CST ∨ THA} respectively) just followed a  
 346 positive trend. This very likely depends on the fact that the algorithm optimizes every single case keeping  
 347 a scenario-specific focus, balancing distances in different ways depending on the particular needs. Thus,  
 348 averaging the distances from single structures of all the 10 subjects may flatten the effect of trajectory  
 349 optimization. In fact, if single cases are considered, it is clear how every setting is unique and how the  
 350 planner balances its computation accordingly. For instance, in subject #9647, RTs passed so critically near  
 351 to GP and CST that the corresponding CTs should even reduce their distances to CN ( $\overline{d_{minAO}}$ , AO ≡ CN)  
 352 in order to maximize the minimal distance from GP and CST obstacles ( $\overline{d_{minAO}}$ , AO ∈ {GP ∨ CST},  
 353 respectively) ( $p \leq 0.01$ ) (Figure 6C and Supplementary Figure S3a). Moreover, taking as another example  
 354 subject #5960 in which THA is instead particularly threatened by RTs, it emerged how the algorithm could  
 355 also ponder to move minimally closer to all the other structures in order to gain sufficiently safer minimal  
 356 distance from the THA obstacle ( $\overline{d_{minAO}}$ , AO ≡ THA) ( $p \leq 0.01$ ) (Figure 6D and Supplementary Figure  
 357 S3b).

358 Finally, we measured the electrode insertion angle with respect to the direction perpendicular to the  
 359 skull surface. We recorded 99% success rate in inserting steerable electrodes in the skull with an angle  
 360 < 30° (100% right, 98% left), while 98% success rate as far as the rigid electrodes were concerned (98%

361 bilaterally).

362

363 Moreover, after calculating all the possible trajectories, CTs reached the COM of STN with a success  
364 rate of 52% on the left and 57% on the right. On the other hand, feasible RTs that targeted the COM of  
365 STN just accounted for the 37% on the left and 43% on the right. Thus, between the tested trajectories,  
366 steerable electrodes could reach even this new TP more efficiently (Figure 7).

367

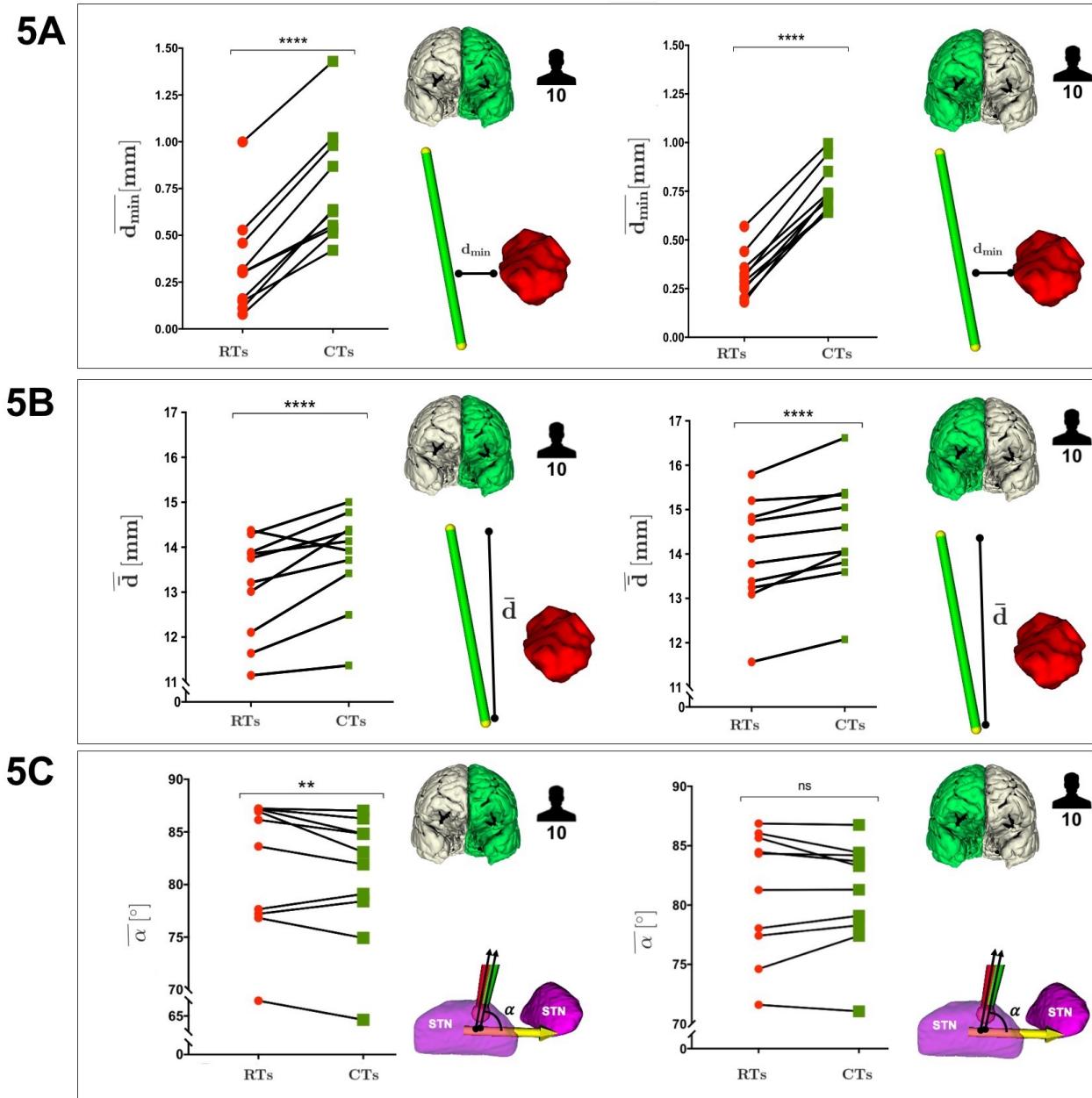
### 368 5.3 Computational time

369 The computational time required to find the set of solutions  $\{\widehat{\overbrace{curve^i}}\}$  for each EP<sub>i</sub>,  $i \in 1, \dots, 10$  ranges  
370 from 1 to 3 minutes: such computational effort is required by the different steps of the workflow. All  
371 detailed data are reported in Table 3. Specifically, EOP is the most time consuming phase: the gradually  
372 smoothed path needs to repeatedly iterate in order to decrease its  $d_{min}$ ,  $\bar{d}$  and  $K_{max}$ , before reaching the  
373 final results.

## 6 DISCUSSION

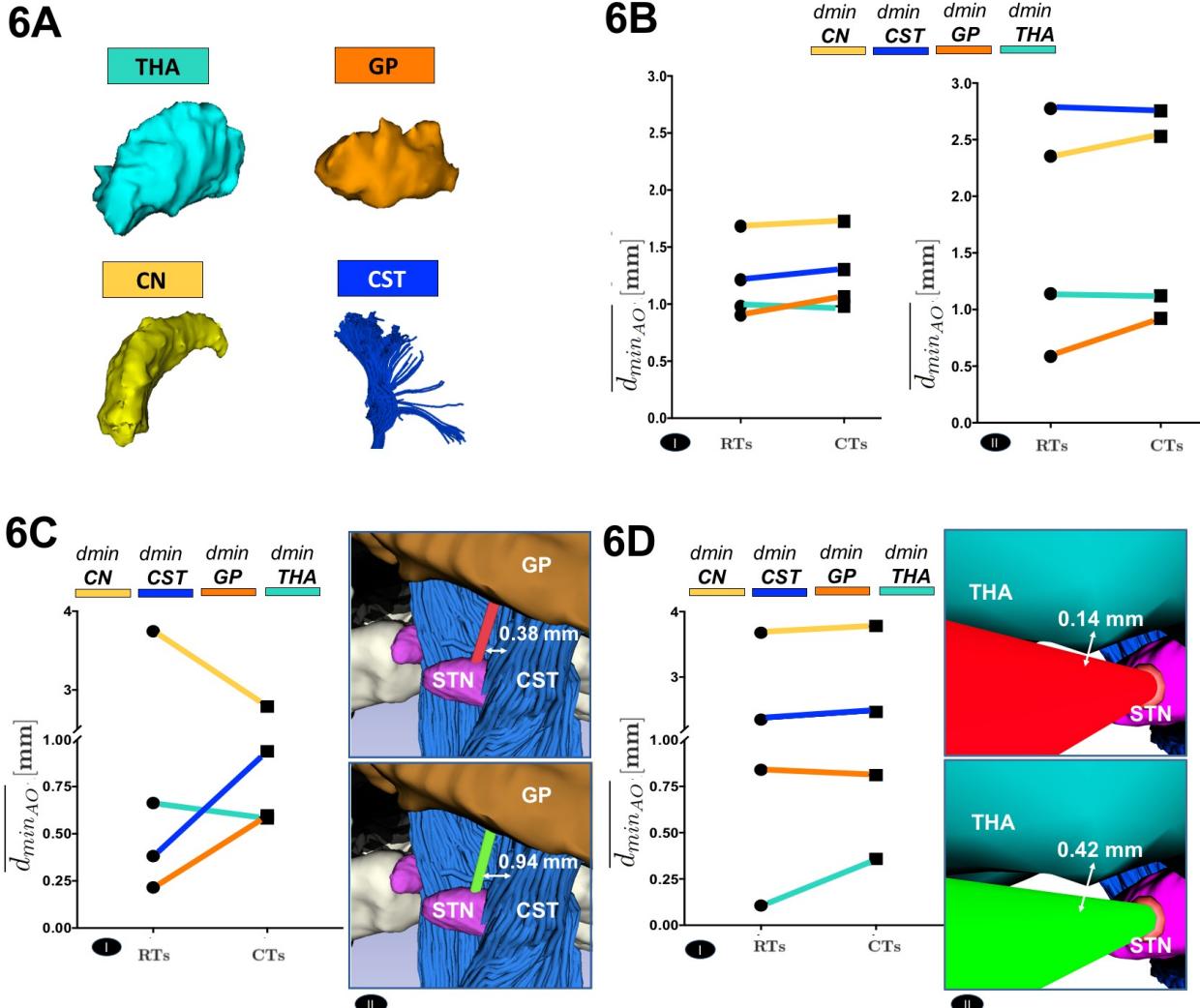
374 This work aims at developing a novel path planning approach for minimally invasive neurosurgery, in the  
375 context of EDEN2020. Although rectilinear DBS electrodes are now routinely exploited in the clinics  
376 (Deeb et al., 2016), the aim of our study is to demonstrate that the use of curvilinear electrodes can  
377 lead to the computing of safer trajectories that pass farther away from vulnerable anatomical obstacles.  
378 Some studies have recently demonstrated the advantages that potentially flexible alternatives could gain in  
379 terms of efficacy and safety, in the context of convention enhanced delivery of drugs (Engh et al., 2010),  
380 laser-driven amygdalohippocampectomy for epilepsy (Comber et al., 2017), and DBS for PD (Favaro et al.,  
381 2018b). The novelty of our planner consists in the possibility to consider as obstacles also white matter  
382 tracts depicted by advanced MR tractography, which is essential to avoid potential damages to pivotal  
383 functions. Fibers of the motor pathway have been considered in this specific setting due to the particularly  
384 hazardous position of the CST with respect to STN, the target of DBS for PD, but different white matter  
385 tracts could theoretically be integrated into a preoperative plan if other kinds of surgical procedures are  
386 performed, pointing to different TPs (Stypulkowski et al., 2017).

387 In this regard, future perspectives may include the exploitation of DBS in order to alleviate chronic  
388 pain such as peripheral neuropathic pain or cluster headache by directly stimulating the thalamus or the  
389 hypothalamus (Falowski, 2015). Given that PD does not alter the global brain architecture, especially in  
390 patients with preserved cognition for whom DBS is mostly useful (Seibyl et al., 2012), healthy volunteers  
391 have been selected for this computational study as a demonstration for the future inclusion of this advanced  
392 neuroimaging planning protocol for PD patients' evaluation. Indeed, since its timing is clinically compatible,  
393 dMRI acquisition for tractography reconstructions can be included in a preoperative DBS protocol. A  
394 possible concern might be the relatively small sample size of this study. However, the main aim of our  
395 work is to provide a proof-of-concept for the significant efficacy and clinical translatability of the proposed  
396 planner system, preliminary validating it in a restricted group of human subjects with the aim of expanding  
397 this cohort in future studies. Indeed, the concrete medical need that is addressed actually represents the main  
398 strength of our technical innovation in the field of artificial intelligence, as the practical advantages of our  
399 strategy emerge at the real interface between engineering and medical challenges. Furthermore, for the first  
400 time, state-of-the-art MRI methods including the newest diffusion MR Tractography technique have been  
401 integrated with an automatically computing trajectory planner that relies on sophisticated new steerable



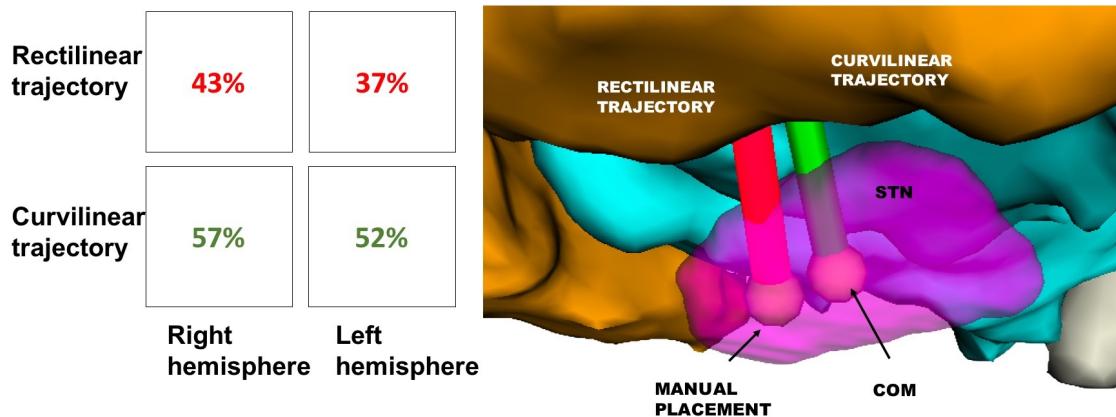
**Figure 5.** (5A) Comparison between RTs and CTs, reported for the 10 subjects, in terms of the mean value of the  $d_{min}$ , calculated over the best trajectory of all the  $EP_i$ , from all critical AOs of left and right hemisphere. (5B) Comparison between RTs and CTs, reported for the 10 subjects, in terms of the mean value of the  $\bar{d}$ , calculated over the best trajectory of all the  $EP_i$ , from all critical AOs of left and right hemisphere. (5C) Comparison between RTs and CTs, reported for the 10 subjects, in terms of the mean value of the STN entry angle,  $\alpha$ , calculated over the best trajectory of all the  $EP_i$ , from all critical AOs of left and right hemisphere. p-values were calculated using Wilcoxon matched-pairs signed rank test (\*\*p ≤ 0.01, \*\*\*p ≤ 0.0001).

402 devices. The comprehensive MR imaging database exploited for the study is unique and distinctive, and will  
 403 be publicly available at the end of the EU's Horizon EDEN2020 project. This database includes advanced  
 404 diffusion MR imaging acquisitions for an enhanced dissection of white matter pathways in regions with  
 405 higher microstructural brain tissue complexity, as well as high resolution morphological images providing



**Figure 6.** (6A) The 3D Reconstruction of the most critical obstacles is shown. (6B) Comparison between RTs and CTs, in terms of the mean value of the  $d_{min,AO}$ , calculated over the best trajectory of all the EP<sub>i</sub> of all the subjects, from each AO separately, of left(I) and right (II) hemisphere. (6C) Comparison between RTs and CTs, reported for 9647 subject left hemisphere, in terms of the mean value of  $d_{min,AO}$ , calculated over the best trajectory of all the EP<sub>i</sub> of the subject, from each AO separately (I). The illustrative scene of 9647 single-case scenario has been taken from 3D Slicer 4.7.0 (II). (6D) Comparison between RTs and CTs, reported for 5960 subject left hemisphere, in terms of the mean value of  $d_{min,AO}$ , calculated over the best trajectory of all the EP<sub>i</sub> of the subject, from each AO separately (I). The illustrative scene of 5960 single-case scenario has been taken from 3D Slicer 4.7.0 (II).

406 exhaustive information on brain anatomy, arterial and venous vessels. Given the complexity of connecting  
 407 all these features to the fully integrated system, the 10 real case-scenarios are necessary to support the  
 408 technological innovation in this exploratory validation setting. The promising preliminary data support the  
 409 feasibility of this approach and encourage its wide implementation in a larger cohort of patients to define  
 410 its impact in a clinical setting.



**Figure 7.** Success rate of RT(red) and CT(green) in reaching the STN, displayed in table (I). The illustrative scene of single-case example has been taken from 3D Slicer 4.7.0 (II).

## 411 6.1 Clinical performance-related considerations

412 Different tests have been executed to evaluate the proposed path planning algorithm. The first study,  
 413 performed over multiple EODs, demonstrates that solutions for curvilinear planning do exist even using an  
 414 electrode larger than the usual ones. Limits imposed by RTs result less restrictive for CTs, opening up the  
 415 possibility to consider different catheter designs for DBS (Amon and Alesch, 2017).  
 416 The second phase of investigations was performed on 10 subject, keeping the EOD and  $K_{max}$  respectively  
 417 fixed to  $1.3\text{ mm}$  and a  $K_{max}$  of  $0.015\text{ mm}^{-1}$  and comparing CTs to RTs. The most important observation  
 418 concerns the greater success in safeguarding pivotal anatomical obstacles by exploiting CTs instead of  
 419 RTs. Curvilinear paths essentially find the best balance between all the structures, that must be considered  
 420 altogether in their integer reciprocal complexity in order to fully appreciate the actual work of our algorithm.  
 421 In fact, if single structures are analyzed unconnectedly, the focus on the calibrated equilibrium optimized  
 422 for every single subject-specific anatomy may be lost. For instance, when a computed RT passes particularly  
 423 near to one of the anatomical obstacles, the corresponding improved CT may even move closer to the other  
 424 anatomical obstacles if this is necessary to ensure a minimal level of safety to the obstacle previously at  
 425 extreme risk. Up to a limit of course, not to culminate in endangering another brain structure. Having  
 426 elucidated this mechanism, it is clear how concentrating on a single anatomical obstacle may be misleading  
 427 and how the advantages of CTs should be valued globally.  
 428 A further point is represented by the superior success rate reported by CTs in reaching the COM of the  
 429 STN, that is hardly accessible by RTs. Overall, such notable results may be traced back to the combination  
 430 of NURBS and GA implemented in CTs planning which demonstrates, on average, larger  $\bar{d}$  and  $d_{min}$   
 431 (+145%, +22%) and an increased rate of success with respect to previous literature. As already quoted in  
 432 the ‘Experimental setup’ section, this study does not only concern the mathematical issue of automatically  
 433 computing the COM of the nucleus, but it also encompasses relevant clinical implications. Human STN has  
 434 been sub-parcellated in three functional sub-zones, of which the postero-mesial, including the COM, seems  
 435 associated to pure motor functions (Accolla et al., 2014). Stimulating behind the classical anterior STN  
 436 target is reported to offer statistically superior tremor benefit with respect to other targets (Ramirez-Zamora  
 437 et al., 2016), probably due to the straight stimulation of at least one of the three identified hyperdirect  
 438 pathways connecting the STN to Primary Motor Cortex (M1-motion execution), Supplementary Motor  
 439 Area (SMA-motion planning) and Prefrontal Cortex (PFC-cognitive motor response selection) ((Akram

440 et al., 2017) (Chen et al., 2018)). In common clinical practice, since conventional MRI on 1.5T scanners  
441 hardly visualize the whole STN at a high resolution (Massey et al., 2012), it would be tough to precisely  
442 target its COM by manual planning. Conversely, taking advantages from the automatic planner and the  
443 possibility of computing CTs, this strategy could be concretely accomplished.

444 Moreover, another interesting aspect of our planner that can lead to clinically relevant advantages is the  
445 capability of minimizing the entry angle into the target, aiming to align the electrode with the main axis  
446 of STN. Even if statistically significant, it can be argued that a reduction of 1 or 2 degrees in the entry  
447 angle may not imply a huge gain in terms of stimulated STN area. Nonetheless, it should be taken into  
448 account that the STN is a very small structure [ $6 \times 4 \times 5 \text{ mm}$  along the anteroposterior, mediolateral and  
449 dorsoventral axes, respectively (Richter et al., 2004)], so even a minor improvement could be beneficial.  
450 Additionally, the strict curvature constraints that we considered refer to a particular electrode design but, if  
451 a different prototype with a greater flexibility is used, further optimization should be reached because the  
452 planner is implemented to look for it.

453 However, this tool may be useful when different surgical approaches are exploited in order to cure diverse  
454 pathologies, such as in occipital access for the amygdalohippocampectomy for epilepsy (Yin et al., 2017)  
455 (Jermakowicz et al., 2017), or if the skull surface is bumpy or less easily accessible, such as in experimental  
456 approaches for reaching the hippocampus through the foramen ovale (Comber et al., 2017). Further  
457 validations are needed on real patients in order to understand if the aforementioned advantages can be  
458 gained even in actual clinical cases, but, globally, it can be stated that the new functions integrated in our  
459 algorithm allow the computing of extremely precise CTs for DBS, safer than ordinary RTs.

460 Eventually, speculating beyond the explored context of DBS, the remarkable benefits of the automated  
461 steerable path planning described in this work could potentially be exploited in many other clinical scenarios.  
462 First of all, computation of accurate curvilinear trajectories would allow the EDEN2020 programmable  
463 bevel-tip needle to reach deep inaccessible brain areas not only to stimulate targets or to feasibly ablate  
464 neuronal foci with aberrant activities, but also to deliver chemotherapy or targeted immunotherapy to brain  
465 tumors (Mamelak, 2005) (Luther et al., 2014). In the second place, the technological impact of such an  
466 automated system could be reflected in the delivery of innovative local treatments for neurodegenerative  
467 disorders, such as  $\beta$ -amyloid degrading enzymes for Alzheimer's disease patients (Miners et al., 2011)  
468 or adenovirus-mediated gene therapy for Parkinson's disease (Sudhakar and Richardson, 2018). In  
469 conclusion, this automated steerable path planning system has a high impact potential on a variety  
470 of clinical applications, ensuring safety and reproducibility to different microsurgical procedures.

## 471 6.2 Technical evaluations

472 The proposed method represents a trade off between the pure optimality determined by methods such  
473 as graph-based approaches and the approximation obtained with sampling-based solutions. In the first  
474 case, the global optimality is reached at the cost of a computational time unbearable for a clinical scenario,  
475 even when real time responsiveness is not a requirement such as the case of a pre-operative neurosurgical  
476 planner. Our solution consists in the combination of a sampling-based approach with an EOP. The latter has  
477 the role of refining the computed path to obtain a quasi-optimal solution in a computational time consistent  
478 with the pre-operative surgical application for which the planner is designed. In fact, as stated by Razali et  
479 al. (Razali and Geraghty, 2011), although evolutionary optimization methods do not guarantee the global  
480 optimum, they can produce an excellent quasi-optimal solution without the high computational effort  
481 typical of graph-based approaches. To avoid the risk of falling into local minima when making a population  
482 of NURBS to evolve via the EOP, the Rank-based Roulette Wheel Selection method (Razali and Geraghty,

483 2011) is used for the selection of the parents to combine. This method has proved capable to reduce the  
 484 risk for the algorithm to get trapped in local minima.

## 7 CONCLUSION

485 The present work proposes a novel automatic DBS planner developed as part of the EU's Horizon  
 486 EDEN2020 project, with the goal of providing a state-of-the-art combined technology platform for  
 487 minimally invasive surgery. The main innovation consists of integrating a new curvilinear trajectory  
 488 approach for stereotactic implantation of DBS electrodes with cutting-edge neuroimaging planning,  
 489 including advanced MR tractography to depict WM corticospinal tracts and semi-automatic medical  
 490 image segmentation. Moreover, surgeons would have the possibility to express their individual preferences  
 491 assigning different weights to the critical structures, creating a priority list for maintaining safe distances.  
 492 Besides offering precious advantages also for standard RT computation, the great novelty of our work is  
 493 the possibility to evaluate the safety and efficiency of steerable electrodes with respect to standard ones.  
 494 CTs should be potentially able to overcome the limits imposed by the standard RTs in terms of minimum  
 495 distance from critical grey and white matter obstacles. Accordingly, the possibility to perform CTs for STN  
 496 targeting with the proposed algorithm gives us the opportunity to optimize all the fundamental aspects of  
 497 the efficiency of the electrostimulation and, at the same time, to maximize the safeness of the therapy.

**Table 1.** Parameters used for the EOP. With the exception of  $N_c$ , which has been set empirically and represents the number of NURBS individuals composing the population of each piece-wise linear solution  $sol_s^i$ , the number of EOP iterations  $N_i$ , the cross-over probability  $p_{cross}$  and the mutation probability  $p_{mut}$  are taken from Jalel et al. (2015).

EOP Parameters				
$N_c$	$N_i$	$p_{cross}$	$p_{mut}$	
20	50	0.5	0.1	

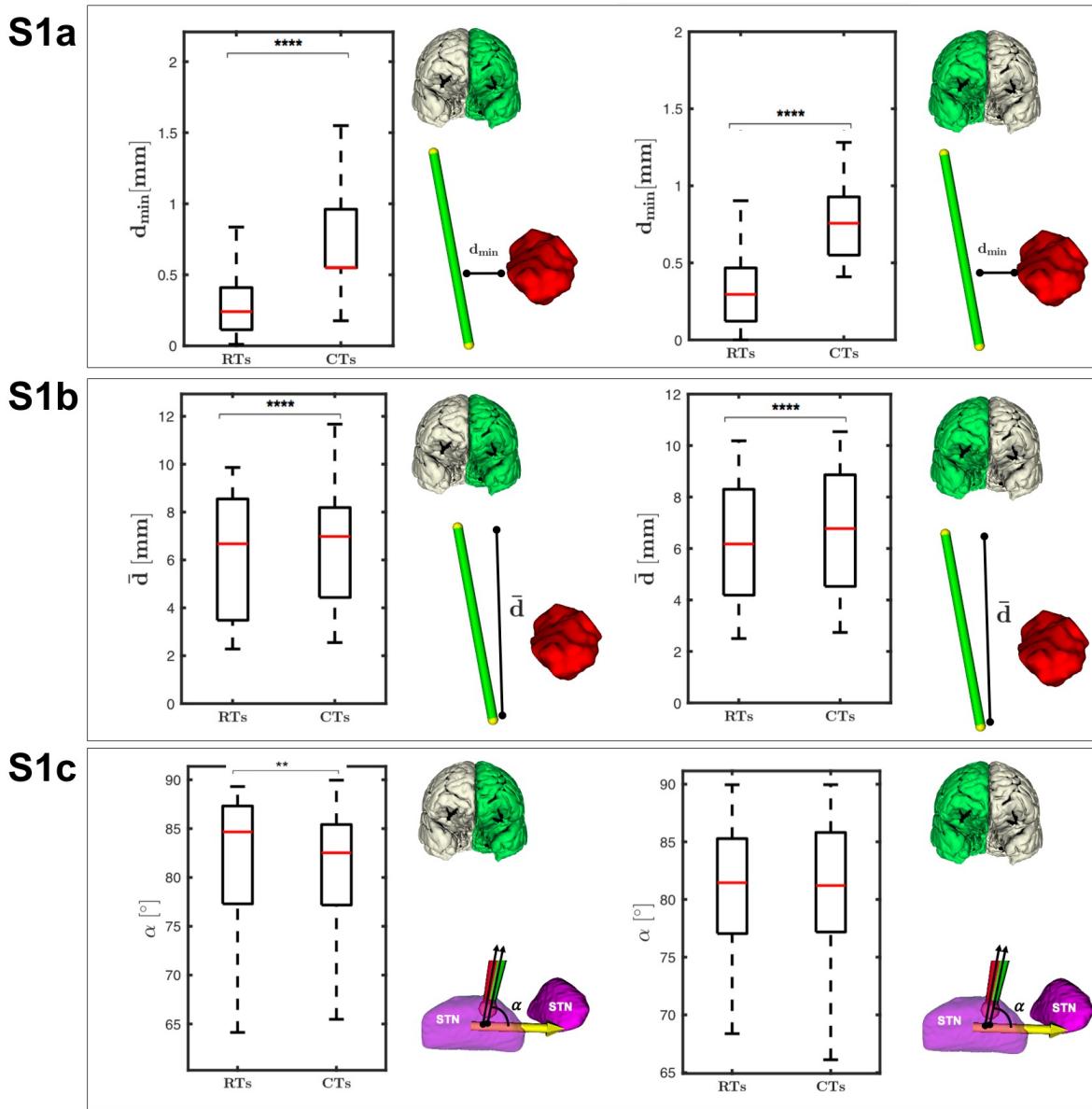
**Table 2.** Parameters used in the experimental setup. Line1 corresponds to feasibility study, while line2 to validation of RTs vs CTs. From the left to the right, the PBN diameter ( $\emptyset$ ) and maximum degree of curvature ( $K_{max}$ ) are reported, followed by the values of the weight used in the Objective and the Cost functions. The value assigned to the  $thr_{max}$  used in the Check functions is shown. Lastly, the values of the threshold density is also reported.

PBN Parameters		Objective Function					Cost Function							Check Function
$\emptyset [mm]$	$K_{max} [mm^{-1}]$	$\beta_1$	$\beta_2$	$\beta_3$	$\beta_4$	$\beta_5$	$\kappa_1$	$\kappa_2$	$\kappa_3$	$\kappa_4$	$\kappa_5$	$\kappa_6$	$\kappa_7$	$\theta_{max} [^\circ]$
1.3-2.5	0.015-0.055	5	5	0.1	1	0	0	0	0	0	1	0.5	0.2	0
1.3	0.015	5	5	0.1	1	2	0.5	0.1	0.1	0.1	1	0.5	0.2	30

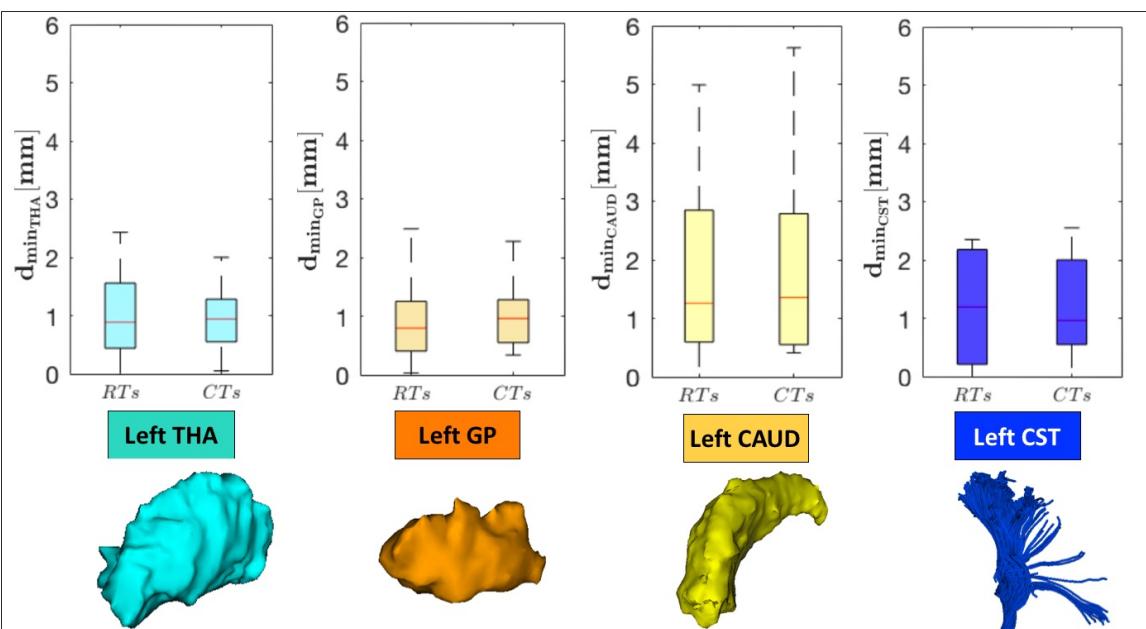
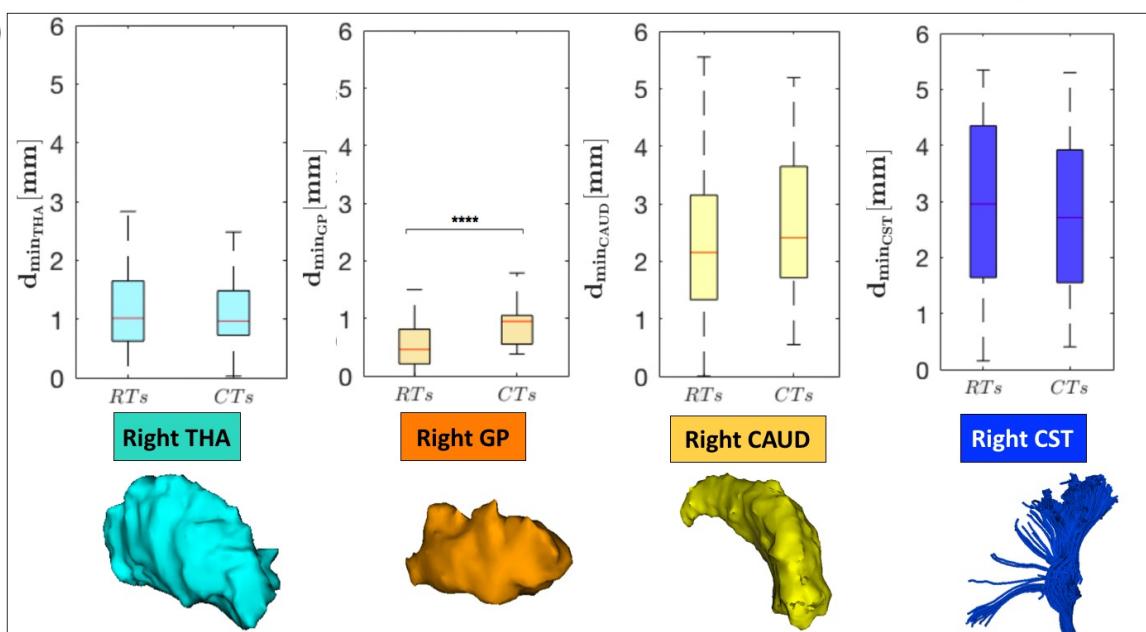
**Table 3.** Results in term of computational time are shown.

Computational time				
	step	25 <sup>th</sup>	Median	75 <sup>th</sup>
RRT*[sec]		35.93	61.54	78.87
EOP [sec]		63.57	84.16	103.97
$F_{cost}$ [sec]		2.02	2.05	2.45

## SUPPLEMENTARY FIGURE



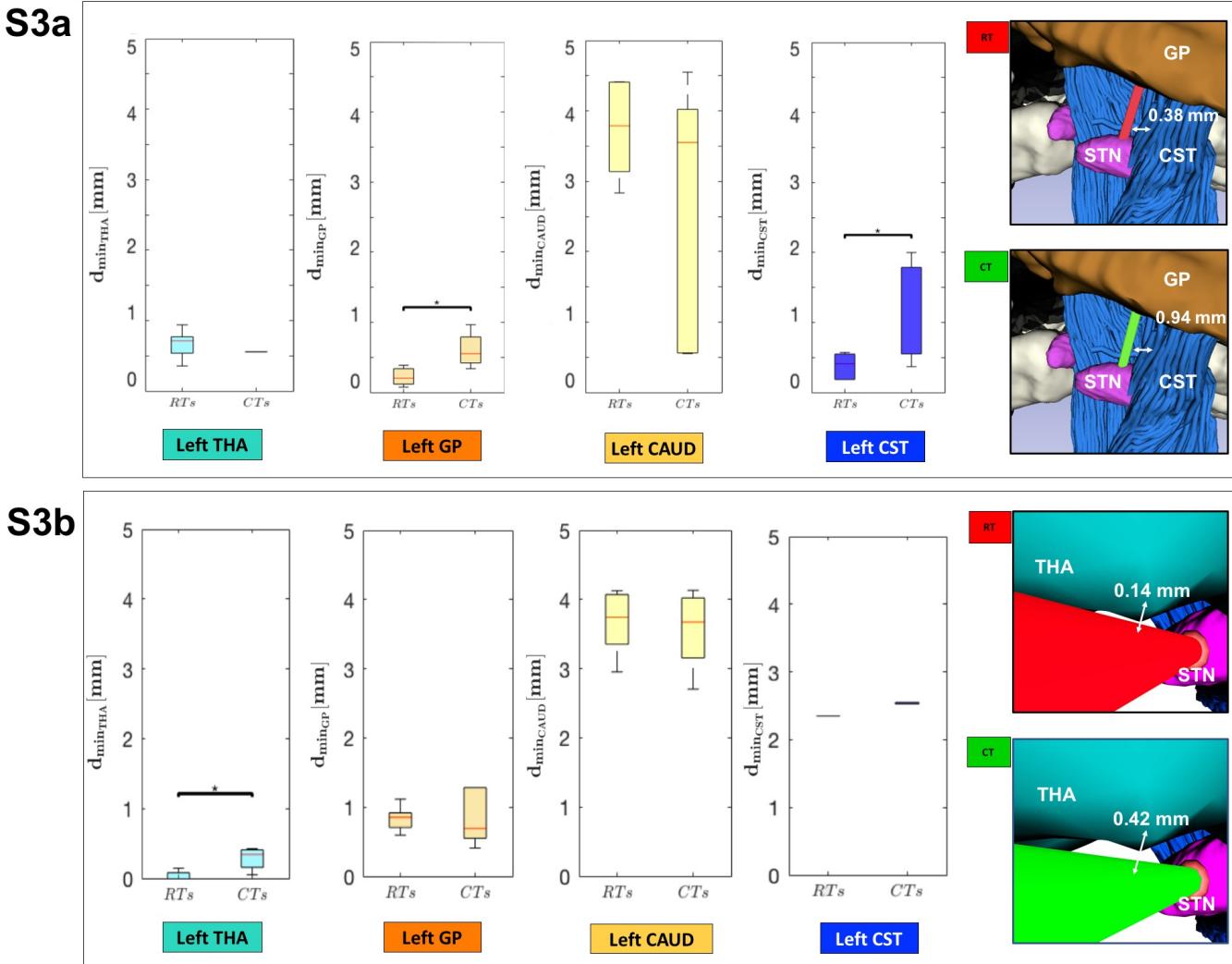
**Figure S1.** The population variability of all the distances reported in Figure 5 is reported in terms of interquartile range IQR=[25-75]. p-values were calculated using Wilcoxon matched-pairs signed rank test (\*\* $p \leq 0.01$ , \*\*\* $p \leq 0.0001$ ). **(S1a)** Comparison between RTs and CTs, reported for the 10 subjects, in terms of all the values of the  $d_{min}$  highlighting their population variability, calculated over the best trajectory of all the EP<sub>i</sub>, from all critical AOs of left and right hemisphere. **(S1b)** Comparison between RTs and CTs, reported for the 10 subjects, in terms of all the values of the  $\bar{d}$  highlighting their population variability, calculated over the best trajectory of all the EP<sub>i</sub>, from all critical AOs of left and right hemisphere. **(S1c)** Comparison between RTs and CTs, reported for the 10 subjects, in terms of all the values of the STN entry angle,  $\alpha$ , highlighting their population variability, calculated over the best trajectory of all the EP<sub>i</sub>, from all critical AOs of left and right hemisphere.

**S2a****S2b**

**Figure S2.** The population variability of all the distances reported in Figure 6B is reported in terms of interquartile range IQR=[25-75]. p-values were calculated using Wilcoxon matched-pairs signed rank test (\*\*p ≤ 0.0001). Comparison between RTs and CTs, in terms of all the values of the  $d_{minAO}$ , calculated over the best trajectory of all the EP<sub>i</sub> of all the subjects, from each AO separately, of left (S2a) and right (S2b) hemisphere.

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**Figure S3.** The variability between the 10 different selected trajectories starting from the 10 EPs of the single subject reported in Figure 6C and 6D is reported in terms of interquartile range IQR=[25-75]. p-values were calculated using Wilcoxon matched-pairs signed rank test (\*p ≤ 0.05). **(S3a)** Comparison between RTs and CTs, reported for 9647 subject left hemisphere, in terms of all the values of  $d_{minAO}$ , calculated over the best trajectory of all the EPi of the subject, from each AO separately. The illustrative scene of 9647 single-case scenario has been taken from 3D Slicer 4.7.0. **(S3b)** Comparison between RTs and CTs, reported for 5960 subject left hemisphere, in terms of all the values of  $d_{minAO}$ , calculated over the best trajectory of all the EPi of the subject, from each AO separately. The illustrative scene of 5960 single-case scenario has been taken from 3D Slicer 4.7.0.

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