

1 **Structural Health Monitoring for Performance Assessment of Bridges under Flooding and**  
2 **Seismic Actions**

3 Prendergast, L.J.<sup>a,1</sup>, Limongelli, M.P.<sup>b,2</sup>, Ademovic, N.<sup>c,3</sup>, Anžlin, A.<sup>d,4</sup>, Gavin, K.<sup>a,5</sup>, Zanini, M.<sup>e,6</sup>

4 <sup>a</sup> Faculty of Civil Engineering and Geosciences,  
5 Delft University of Technology,  
6 Building 23,  
7 Stevinweg 1 / PO-box 5048,  
8 2628 CN Delft / 2600 GA Delft,  
9 The Netherlands

10  
11 <sup>b</sup> Department of Architecture, Built Environment and Construction Engineering,  
12 Politecnico di Milano,  
13 Milano,  
14 Italy

15  
16 <sup>c</sup> Faculty of Civil Engineering,  
17 University of Sarajevo,  
18 Sarajevo,  
19 Bosnia and Herzegovina

20  
21 <sup>d</sup> National Building and Civil Engineering Institute,  
22 Dimičeva ulica 12,  
23 1000 Ljubljana,  
24 Slovenia

25  
26 <sup>e</sup> Department of Civil, Environmental and Architectural Engineering,  
27 ICEA,  
28 University of Padova,  
29 Italy

30  
31  
32 <sup>1</sup>Corresponding author

33  
34 Email: <sup>1</sup>[l.j.prendergast@tudelft.nl](mailto:l.j.prendergast@tudelft.nl); <sup>2</sup>[mariagiuseppina.limongelli@polimi.it](mailto:mariagiuseppina.limongelli@polimi.it); <sup>3</sup>[naidadem@yahoo.com](mailto:naidadem@yahoo.com);  
35 <sup>4</sup>[andrej.anzlin@zag.si](mailto:andrej.anzlin@zag.si); <sup>5</sup>[k.g.gavin@tudelft.nl](mailto:k.g.gavin@tudelft.nl); <sup>6</sup>[marianoangelo.zanini@dicea.unipd.it](mailto:marianoangelo.zanini@dicea.unipd.it);

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37 Phone: <sup>1</sup>+31 (0)15 27 88735

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46 **Abstract**

47 Bridges can be subjected to damaging environmental actions from flooding and seismic hazards.  
48 Flood actions leading to scour are a leading cause of bridge failure. Seismic actions inducing lateral  
49 forces may lead to high ductility demand exceeding pier capacity. When combined, seismic actions  
50 and scour can lead to effects that depend on the governing scour condition affecting a bridge. Loss of  
51 stiffness under scour can reduce ductility capacity of a bridge but can also lead to increases in  
52 flexibility that may reduce seismic inertial forces. Conversely, increased flexibility can lead to  
53 collapse of a deck due to support loss so there exists some uncertainty about the combined effect of  
54 both phenomena. A necessary step toward the performance assessment of bridges under flooding and  
55 seismic hazards is to calibrate numerical models able to reproduce structural responses under different  
56 actions. A further step is verifying the achievement of performance goals defined by codes. Structural  
57 Health Monitoring (SHM) techniques allow computation of performance parameters useful in  
58 calibrating numerical models or performing direct checks of performance goal compliance. In this  
59 paper, various strategies employed to monitor bridge health against scour and seismic actions are  
60 discussed with particular focus on vibration-based damage identification methods.

61 **Keywords:** Scour; Seismic; Damage; Hazard; Vibration-based methods

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## 80 **1. Introduction**

81 Bridges are a key component of infrastructure networks and it is paramount that their life expectancy  
82 is maximised so as to minimise transport disruption while maintaining high safety standards.  
83 Worldwide, bridge assets are aging and in many cases are approaching their original (intended) design  
84 lives. For economic reasons, it is often not possible to replace these structures outright. Instead, the  
85 field of Infrastructure Maintenance Management (IMM) is concerned with the preservation of the  
86 asset stock and prolonging their lives against deleterious actions. Environmental loading, from  
87 generally uncorrelated sources such as flooding, earthquakes, wind or temperature fluctuations, is one  
88 of the main sources of damage to existing bridges. This paper is concerned with the combined action  
89 of flooding and earthquakes, so more attention is given over to discussing these actions herein.  
90 Flooding can induce hydrodynamic pushover loads applied to a bridge by increased water stage  
91 heights, which can pose problems to lateral stability. More commonly, flooding leads to the  
92 generation of foundation scour erosion (1–3), a term used to describe the wash-out of soils from  
93 around bridge foundations by hydraulic action. Scour is the leading cause of bridge collapse  
94 worldwide for bridges with foundations located in waterways (4–6). It reduces the stiffness and  
95 capacity of foundations and can cause sudden failure (7). Earthquakes also pose a significant threat to  
96 bridge safety in seismic prone regions and can cause sudden element failure if capacity design  
97 principles have not been followed at the design stage. Unfortunately, many existing European bridges  
98 are in this condition since the adoption of capacity design principles is quite recent in most seismic-  
99 prone European countries.

100 Bridge design generally takes into account the various damaging actions expected over the bridge  
101 lifespan. Scour design involves the calculation of an allowable design scour depth using  
102 methodologies such as the Colorado State University (CSU) formula (2) and ensuring the placement  
103 of spread footings below this depth (8), or adequate pile lengths to mitigate losses in shaft friction.  
104 Furthermore, hydraulic countermeasures such as maintaining wide bridge openings and streamlining  
105 pier faces can assist in reducing scour development. For earthquakes, reference design loads are used  
106 to ensure adequate capacity. Of growing concern, however, is that the combined action of these  
107 uncorrelated events (scour and earthquakes) is generally not well understood or explicitly taken into  
108 account in the bridge design process. These uncorrelated events, meaning the origin of the actions are  
109 not related or linked, can pose a significantly different effect on a bridge's response depending on the  
110 condition of each. Some recent studies (9–11) have begun to analyse the joint effect of these particular  
111 phenomena. For example, scour reducing foundation stiffness leads to higher modal periods which  
112 may reduce the effect of seismic inertial forces at a given scoured pier. The loss of foundation  
113 capacity due to scour on the other hand, means that an originally benign earthquake load may become  
114 critical, especially if scour induces secondary damage effects such as pier tilting, differential  
115 settlement or cracking.

116 In this paper, a survey of the different damage scenarios induced by the actions of scour and/or  
117 earthquakes is presented and the relevant monitoring strategies for the individual and combined  
118 actions are discussed. Section 2 presents an overview of performance assessment procedures for scour  
119 and seismic actions. Sections 3 and 4 present an overview of damage scenarios and monitoring  
120 approaches for scour and seismic actions, respectively. Section 5 is devoted to the description of the  
121 joint action of the two hazards and to a discussion of the techniques that could be possibly applied to  
122 monitor the combined effect of the two types of actions. Section 6 presents a case study of the effect  
123 of scour on the seismic response of a multi-span bridge.

## 124 **2. Performance assessment procedures for bridge structures under seismic or scour hazards**

125 Performance assessment of bridges aims to quantify the safety and performance based on international  
126 standards and guidelines. This is discussed herein for seismic and scour actions respectively.

### 127 *2.1 Seismic Actions*

128 Seismic assessment of bridges in Europe often requires assessing structures that have not been  
129 designed for seismic prone areas (due to outdated seismic hazard maps), or have been dimensioned  
130 according to outdated design codes. The philosophy underlying the design and assessment of bridges  
131 under seismic action varies from the approaches used for more frequent actions that typically do not  
132 damage the structures. This philosophy is translated in the following performance goals. The structure  
133 must be able to withstand: (i) minor or frequent earthquake shaking without damage, (ii) moderate  
134 levels of shaking with only non-structural damage and (iii) severe shaking without collapse and a  
135 threat to life safety (12). These performance goals are common to both the traditional prescriptive  
136 approach to seismic design and assessment as well as to modern performance-based approaches.

137 Traditional prescriptive approaches do not address explicitly the hazard level or the costs of the  
138 consequences since these are implicitly taken into account in the definition of the actions on the  
139 structure (through the response spectrum) and of the capacity (through the behavior factor). In modern  
140 (performance-based) approaches the target is the achievement of a certain level of performance,  
141 taking into account the related consequences. This requires the explicit evaluation of risk based on  
142 hazard, vulnerability and consequences. Current design codes, namely the Eurocodes (13), prescribe a  
143 mixed approach, whereby performance goals are defined in terms of Limit States. However, the  
144 achievement of these goals is entrusted to the satisfaction of a number of prescriptions in terms of  
145 capacity/demand ratio or prescriptions regarding member detailing, for example, the compliance with  
146 capacity design principles. Many of these prescriptions come from the capacity design principles,  
147 introduced in New Zealand in the 1970s (14), and which are today integrated in most of the design  
148 codes (13).

149 The practical procedure for bridge assessment either with traditional or modern approaches requires  
150 modeling of the structural performance for the computation of the capacity (traditional approach) or  
151 of the vulnerability (performance-based approach). For existing bridges this poses significant  
152 challenges to the assessment procedure due to the large uncertainties related to the limited knowledge  
153 of (i) the geometry (dimensions, boundary conditions, etc.), (ii) the material characteristics (strength,  
154 elastic modulus, constitutive behavior), and (iii) the damage state of the structure (cracks, corrosion,  
155 spalling, carbonation, etc.). Furthermore, computation of the demand (traditional approach) or of the  
156 hazard (performance-based) requires information on the actions on the structure. The wider and more  
157 precise the information available on external actions and structural performance is, the more complete  
158 and reliable the bridge seismic assessment.

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## 160 ***2.2 Scour Actions***

161 A critical threat to infrastructure around the world, scour is cited among the five most common causes  
162 of bridge failure (15,16). Querying the US National Bridge Inventory (17), the most likely cause of  
163 bridge collapses are “hydraulic in nature”, mostly scour, and collapses caused by hydraulic factors are  
164 not related to the age of the bridge. In the UK, on the rail network alone, more than 100 bridge  
165 collapses since 1843 have been attributed to scour in rivers and estuaries, causing 15 fatalities (18,19).  
166 Recent cases include the collapse at Glanrhyd, Wales, in 1987, which led to the deaths of four people  
167 when part of a passenger train fell into the River Towy, and the failure of the Lower Ashenbottom  
168 viaduct in Lancashire, in June 2002. During the 2009 floods in Cumbria, UK, seven road and foot  
169 bridges failed due to a combination of scour and hydrodynamic loading, with the collapse of the  
170 Northside road bridge in Workington causing one fatality and significant disruption to communities.  
171 More recently 131 bridges were damaged during flooding in the same region, many because of scour  
172 (20,21). In the Republic of Ireland, a primary bridge on the main Dublin-Belfast railway line  
173 collapsed in August 2009, due to tidal scour (7).

174

175 For assessing bridges under scour hazards, deterministic models based on engineering judgement  
176 were implemented over years using qualitative assessment methods (22). These methods led to the  
177 definition of a scour vulnerability rating as the product between the likelihood and consequence of a  
178 failure induced by scour. Such approaches provide a qualitative risk indicator, but not a measure of  
179 scour vulnerability. Risk-based asset management concepts are widely applied to help inform these  
180 judgements. A risk assessment involves considering the outcomes that could result from a  
181 combination of drivers, such as extreme weather events, and the performance of assets when  
182 subjected to those events. Kirby et al. (16) and Arneson et al. (2) give comprehensive guidance for  
183 scour risk management, including references to numerous industry and government agency scour  
184 management protocols, including the UK Design Manual for Roads and Bridges (23), US National

185 Bridge Inspection Standards (17), and US Forest Service Scour Assessment Processes (15).

186 Scour risk management guidance typically deals with uncertainty through a combination of  
187 quantitative and qualitative analyses within a tiered framework, where relatively inexpensive, rapid  
188 “high level” screening is used to prioritize further investment of resources. This is undertaken to  
189 achieve more detailed assessments at bridges where scour may be more likely to occur, or where its  
190 consequences may be worse. Multiple factors are typically considered at each level within a tiered  
191 assessment, including physical characteristics of the bridge structures, the watercourses that they  
192 cross, their wider flow and sediment regimes and historical observations or recent changes relating to  
193 scour. The scour risk can be expressed in generic terms via the distribution function  $F[Y(L, S)]$  of  
194 possible outcomes  $Y$  when a bridge is subjected to some load representing the source of the scour  
195 hazard, where  $L$  is a random variable describing the relevant loading condition(s) and  $S$  is a state  
196 variable that is used to describe the uncertain response of a bridge under a given load (e.g.  $S = 1$  if the  
197 bridge “fails” due to scour and  $S = 0$  otherwise). The distribution function  $G(1) = P_R[S = 1 | L < 1]$  is  
198 the probability of failure conditional on a load event  $L = 1$ . At this point no precise definition of  
199 loading condition or failure is offered. Failure could legitimately be defined as catastrophic collapse  
200 of the bridge, or in terms of a failure to continue providing some specified level of service (e.g. safe  
201 passage for traffic). The function  $G(1)$  can be called a fragility function or vulnerability function, and  
202 is central to this type of analysis. In this regard, van Leuwen and Lamb (18) tried to define empirical  
203 fragility functions on the basis of the key factors influencing scour risk for bridge structures and the  
204 failure probabilities associated with a range of possible loading conditions. Experts were asked to  
205 define failure probability values associated with increasing flood return periods, to define in such a  
206 way an empirical scour fragility formulation.

207 A reliable scour index for quality control plan development could be defined as the annual rate of  
208 exceedance of a fixed limit state, calculated on the basis of the convolution of a flood hazard curve  
209 representing the mean annual rate of exceedance of a flood intensity measure (e.g. the water level),  
210 and a flood vulnerability function, expressing the conditional probability of exceeding such limit state  
211 given a certain intensity measure level. Such type of scour fragility functions should be calibrated via  
212 soil-structure models able to capture the global behavior of the soil-structure system (6,24–26).

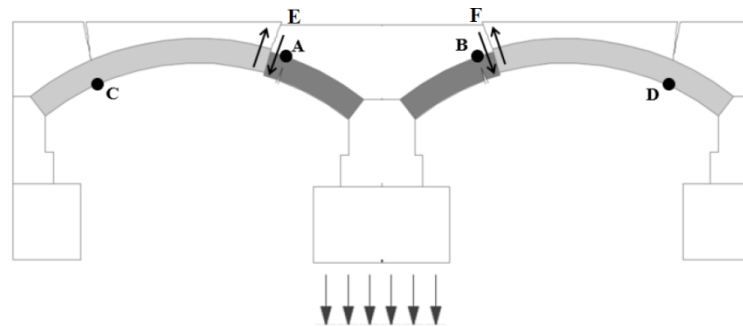
### 213 **3. Effect of flooding on bridge structures**

214 Flooding is effectively the increase in a river’s normal stage height, resulting in faster water flow,  
215 which poses increased loading on bridges located in the path of water surges (1). There are several  
216 damaging actions that can result from flooding, which can be categorised into primary and secondary  
217 damage types. These are discussed in section 3.1.

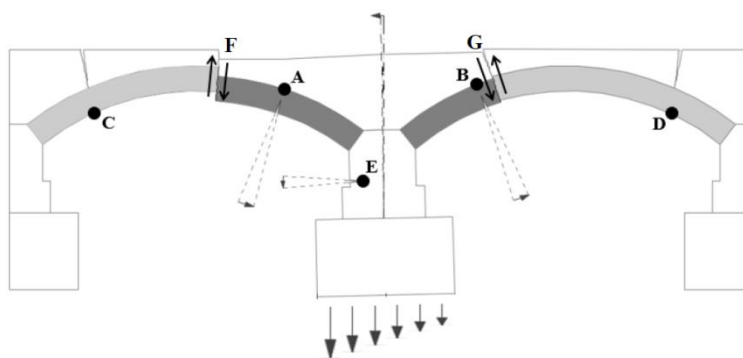
218 **3.1 Damage scenarios for bridges under flooding**

219 The increased speed of water under flooded conditions results in increased shear stresses acting on  
220 streambed sediments (27), which leads to the generation of scour erosion. The critical shear stress is  
221 defined as the stress imposed by the water on the sediments at the point movement begins to occur  
222 (28), and is the typical parameter used to ascertain if scour will occur under a given flow condition.  
223 Other factors at play include the geotechnical conditions of the subgrade such as subgrade type,  
224 density and coarseness, among others.

225 Where local water-flow characteristics suddenly change, such as at the location of a bridge pier, local  
226 scour can occur (primary damage). Downward flow is induced at the upstream end of bridge piers,  
227 leading to local scour in the direct vicinity of the structure (1). Scour is one of the most important  
228 threats to bridges over rivers and estuaries, and has been the cause of numerous bridge failures (4,5,7).  
229 Aside from total bridge collapse, scour can cause secondary damage in the superstructure such as  
230 cracking, pier tilting and differential settlement. For example, Figure 1 shows a schematic of the type  
231 of damage that an arch type bridge structure can experience under symmetric and asymmetric scour  
232 affecting a central pier.



234 (a)



236 (b)

237 Figure 1 Arch Bridge Damage Scenarios (29), (a) Failure under symmetrical scour, (b) Failure under  
238 asymmetrical scour

239 Zampieri et al. (29) investigated failure mechanisms for scoured masonry bridges (see Figure 1). A  
 240 case study was used to carry out a failure analysis, simulating the evolution of the structural behavior  
 241 of a six-span masonry arch bridge using a Finite Element (FE) model, correlated with a local scour  
 242 profile. Results indicated that when undermining of the foundation occurred, the settlements become  
 243 significant leading to crack development in the arches. The structure can fail by rigid-block sliding of  
 244 the elements, as shown in Figure 1. Differential settlement gives rise to cracking in the pier and for  
 245 both symmetric and asymmetric scour, failure occurs due to loss of equilibrium.

246 **3.2 Monitoring approaches and methods for scour and flood-damage detection**

247 Despite visual inspections remaining popular with asset managers, their subjectivity and discrete  
 248 undertaking makes them potentially very unreliable, especially due to the added difficulty of  
 249 observing scour holes in turbid waters. In recent years, many innovative monitoring methods have  
 250 become available that are capable of remotely monitoring the depth of a scour hole near a foundation  
 251 of interest, or can be used as part of discrete maintenance checks. Table 1 outlines the nature and  
 252 operational advantages and drawbacks of a number of these types of systems (30).

253 Table 1 Scour measuring devices and methods

Type	System	Modus Operandi	Advantage	Drawback
<b>Single-Use/Reset</b> (31,32)	Tethered Buried Switch	Mechanical device buried near bridge pier – indicates when scour reaches its depth by floating out and sending signal	Simple mechanical operation	Requires reinstallation after floating out and can only indicate scour has reached its depth with no further information
<b>Radar/Pulse</b> (33– 36)	Ground Penetrating Radar (GPR)	Determines water-sediment interface using radar and is manually operated	Gives clear subterranean features from high frequency radar signals	Requires manual operation and thus not suited to remote monitoring
<b>Driven/Buried</b> (31,35,37,38)	Vibration-Based Sensor	Dynamic strain sensor measures changes in natural frequency of a	Can give indication of scour depth by fitting subgrade	Can only detect scour local to sensor and may miss global scour



		driven rod due to scour	modulus to reference numerical model of system	effect
<b>Fibre-Bragg Grating (FBG)(8,30,39,40)</b>	FBG-Water Swellable Polymer	Water swellable polymers swell upon contact with water (scoured soil) and FBG sensors detect the tension	Fitting a number along a rod allows for scour depth to be monitored at discrete points	Requires multiple sensors to be deployed as it can only detect scour local to the sensor
<b>Sound-Waves (30,35,41)</b>	Sonic Fathometer	Fixed-in-place to the bridge element above the waterline – measures water-sediment interface	Continuously measures scour local to element	Can be affected by entrained air in turbulent flow

254

255 Scour (and flooding) can induce secondary damage effects in a structure, which can result in element  
 256 or total failure. The instruments outlined in Table 1, though useful for measuring the depth of a scour  
 257 hole, are not particularly suited to evaluating the damage that scour can cause. Table 2 outlines some  
 258 of the secondary damage types that can result from scour and a brief outline of methods of detection  
 259 and monitoring.

260

Table 2 Secondary damage monitoring

<b>Damage Type</b>	<b>Monitoring Method</b>	<b>Advantage</b>	<b>Drawback</b>
<b>Pier settlement</b>	Strain Gauge at deck	Easy installation and simple measurement	Requires power and may be susceptible to environmental damage
<b>Pier tilting</b>	Inclinometer	Easy installation	Needs to be very accurate to detect minor rotations
<b>Pile group tilting</b>	Inclinometer	Easy installation	Needs to be very accurate to detect minor rotations

<b>Lateral pile buckling</b>	Accelerometers	Provide inference to stiffness	May be difficult to install onto piles
<b>Deck buckling due to differential settlement</b>	Inclinometer / strain gauge	Easy measurement	May not provide sufficient accuracy prior to failure of element
<b>General settlement</b>	Camera	Can provide image-by-image data of movements	Requires installation away from structure and may be susceptible to environmental damage

261

262 **4. Effect of seismic actions on bridges**

263 In the subsequent sections, the effect of seismic actions on structures is discussed in the context of  
 264 damage caused (section 4.1) and methods of monitoring (section 4.2).

265 *4.1 Damage scenarios for bridges due to seismic actions*

266 Earthquakes can severely compromise bridge functionality and cause strong damage to their main  
 267 structural components, which can lead to structural failure. Damage due to earthquakes, an extreme  
 268 example of which can be observed from the well-known earthquake in Japan (Figure 2) have revealed  
 269 some obvious lack of design practice and the need for their upgrading. This has resulted in new codes  
 270 in the United States as well as in Europe with the application of the Eurocodes (13), where the new  
 271 approaches are characterized with the requirement of strength increase and improved detailing in  
 272 order to obtain ductile (medium or high) responses of the structure.



273

274 Figure 2 Example of poor seismic design (Japan, 1995 Hyogo-Ken Nanbu earthquake) (42)

275 Regarding damage scenarios induced by earthquake occurrence, past earthquakes have shown that for  
276 common girder bridges failure may occur due to: (i) collapse of the piers for bending or even for shear  
277 if capacity design prescriptions are not applied, or some combination thereof; (ii) collapse of the pier  
278 foundations if a capacity design is not applied, or (iii) collapse of the deck due to unseating induced  
279 by high seismic displacement.

280 Reinforced concrete girder bridges can be affected by pounding phenomena, i.e. the impact between  
281 girders at the expansion joints, and the collapse of some of the main girders as a result of large  
282 relative movements between adjacent pier columns. Expansion joints can also be affected by such  
283 type of deck displacement, causing a compression or tension failure respectively when pushed against  
284 each other or pulled apart. Another collapse mechanism is the unseating of a bridge deck (see Figure  
285 3) that could be dually affected by scour (see Section 5). This can usually be attributed to insufficient  
286 seat width, and/or inadequate restraining force capacity. This phenomenon is mainly connected to  
287 outdated bridge construction methods and simply supported span bridges. The increased flexibility  
288 due to scour increases the maximum potential displacement of the deck induced by seismic actions  
289 thus increasing the probability of failure due to unseating of the deck. A consequence of deck  
290 unseating could also be damage in girders, however, usually they are not subject to significant  
291 nonlinear behavior. On the contrary, piers are very exposed to seismic actions, and usually represent  
292 one of the weak elements in a bridge. Most damage to the columns can be ascribed to inadequate  
293 detailing, limiting the ability of the columns to deform in the non-elastic range (43). Piers have to be  
294 designed with a ductile capacity in order to avoid shear failures and be able to withstand large  
295 deformations in the event of earthquake occurrence.

296 In case of significant ground shaking, the abutments can also suffer due to excessive settlements.  
297 Shear failures (Figure 4) of concrete bridge columns occur at relatively low structural displacements,  
298 when the longitudinal reinforcement may not yet have yielded. Alternatively, since shear strength  
299 degrades with inelastic loading cycles, shear failure can occur after flexural yielding (44).

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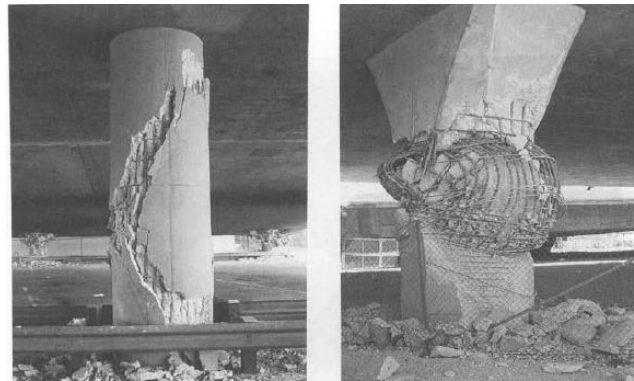
(a)



(b)

302 Figure 3 Failure due to seismic actions – (a) slab unseating, Japan 1964; (b) slab unseating, USA  
 303 1989

304



305

306 Figure 4 Damage of the column due to shear failure in 1971 San Fernando earthquake (45)

307 For masonry bridges, failures can affect mainly spandrel walls in the out-of-plane direction, whereas  
 308 criticalities at arch and pier level can be observed for the in-plane direction. Susceptibility to damage  
 309 is clearly influenced by geometrical parameters (e.g. geometrical ratios between arch rise, length and  
 310 thickness, pier longitudinal and transversal slenderness). For multi-span masonry arch bridges  
 311 transversal seismic actions can induce shear cracks in squat piers, whereas for slender piers the  
 312 structural response has to be globally analyzed to assess potential bending failures. Essentially, the  
 313 main issues are related to the loss of equilibrium, rather than to the failure of the material for stresses  
 314 higher than the ultimate resistance. For masonry bridges situated in river beds, where a residual scour  
 315 depth can be observed after the transient flooding phenomena, if any maintenance action is made, a  
 316 worsening of the seismic response can be observed in the event of earthquake occurrence.

317 **4.2 Monitoring approaches for seismic damage detection**

318 Visual inspections are the easiest method to observe major post-event damage such as deck unseating,  
 319 or partial and complete structural collapse. However, less obvious damage such as hidden cracks,

320 stiffness reduction due to nonlinear large-strain deformation or loss of joint-capacity are not easily  
321 observed using visual approaches. Even the use of methods such as ultrasounds and radar require  
322 significant manual input and can be very laborious and time-consuming to undertake. A promising  
323 alternative, capable of providing information on the structural health after a (possibly) damaging  
324 event consists of analysing the dynamic behaviour of the bridge. Several monitoring programs are  
325 currently in operation worldwide providing valuable data that can be used for development and  
326 validation of damage identification methods, to assess bridge performance and to provide real-time  
327 information for safety assessment in the aftermath of an extreme event (46–49).

328

329 After a seismic event or a flood, using the responses retrieved from sensors and applying appropriate  
330 damage detection techniques (50), a quick assessment of the damage state of a bridge can be obtained.  
331 For seismic SHM, three main categories of acceleration responses are typically sought (49): (i)  
332 response of the superstructure (deck, piers, towers) to retrieve the fundamental modal parameters and  
333 of the foundation (base of piers, abutments) to provide information on the soil-structure interaction  
334 condition and on the spatial variation of the acting ground motion; (ii) recorded motions in the free-  
335 field close to the structure; and (iii) ground failure arrays in the vicinity of the structure. Analysis of  
336 the responses in real time using vibration-based damage identification algorithms, can be used to  
337 make informed decisions related to the performance of the bridge. In recent years several approaches  
338 have been proposed for damage identification based on the analysis of responses to vibrations  
339 recorded on structures (51,52). Analysing changes in modal characteristics between the original  
340 (undamaged) state and the (possibly damaged) current state of a bridge (or element) is the most  
341 common approach to SHM. Methods based on frequency changes can be reliably applied to detect  
342 damage (6,24,53), however, they are usually unable to provide adequate information about the  
343 location of damage (recent studies related to scour have focussed on damage localisation, see  
344 Prendergast et al. (26)). More effective methods for localization of seismic-induced damage include  
345 those based on the analysis of changes in modal (52) or operational shapes (54–58) or of their  
346 derivatives. In addition to information on the global behaviour of the bridge such as increased  
347 elemental flexibility due to damage or the dependency of the modal parameters on the amplitude of  
348 the input excitation, for example, distributed sensors can also provide local information about possible  
349 failures. Examples of this include malfunction or unintended-function of bearings and of connections,  
350 which can critically affect performance (59).

351

## 352 **5. Combined actions of flooding and earthquakes**

353 Structural damage rarely occurs in isolation and recently the phenomenon of damage arising in a  
354 structure from uncorrelated sources is gaining increasing interest. Damage arising due to one  
355 mechanism can completely change the result of a separate mechanism. In this paper, the joint actions

356 of earthquakes and scour are considered in the context of how an originally benign earthquake could  
357 pose a significantly exacerbated threat, or otherwise, on a bridge already damaged by scour. Critical  
358 damage combinations are discussed in the next section and health monitoring approaches for  
359 combined actions are subsequently discussed.

### 360 *5.1 Critical damage combinations*

361 Changes in the dynamic behavior of bridges associated with the presence of a scour profile lead to  
362 increased fundamental periods for deeper scour depths (6,32,54,60,61). The increase in the period  
363 may be beneficial in combination with an incident earthquake as it will lower the inertial forces  
364 transferring to the superstructure. In reality however, this benefit is often mitigated by the presence of  
365 secondary damage effects arising from the scour process such as cracking, differential settlement, pier  
366 tilting, compromised pile lateral capacity among others thus reflecting in a higher vulnerability for the  
367 bridge. Moreover, the reduced load transfer to a scoured pier is likely mitigated by an increased  
368 transfer to adjacent piers or elements. Furthermore, phenomena like deck unseating, previously  
369 described, can be exacerbated by the increased flexibility of the structure. As shown by Wang et al.  
370 (62), who analyzed the influence of scour on the seismic response of reinforced concrete bridges, the  
371 fundamental period of the bridge (increased by the scour depth) determines to what extent the inertial  
372 force caused by the earthquakes can transfer to the structure.

373  
374 In masonry arch structures, compromised support and differential settlement due to scour can be very  
375 detrimental under an incident earthquake action, significantly increasing the likelihood of shear cracks  
376 occurring at a compromised pier. Restoration of foundation stiffness by maintenance activities can  
377 increase the transfer of inertial forces into the superstructure under an earthquake action, which once  
378 again can have catastrophic consequences if unseen secondary damage exists.

379

### 380 *5.2 Health monitoring for combined actions*

381 Due to the wide range of primary and secondary damage types that can affect a bridge as a result of  
382 scour and seismic actions, it is very difficult for maintenance personnel to adequately characterise this  
383 damage using traditional approaches. Even the recently developed scour monitoring sensors (30,33–  
384 36,63) as described previously are only really capable of measuring the depth of scour affecting a  
385 structure but typically give no information on the condition of the structure due to this scour.

386 In the authors' opinion the most feasible and widely applicable approach to monitor structural damage  
387 due to scour and seismic actions is based on vibration methods (64), typically using accelerometers  
388 (or other motion sensors) to measure the structural vibration response. These methods have already  
389 gained significant traction in the seismic damage detection field, however they are also quite well  
390 developed separately for scour monitoring in recent years (6,26,32,54,61,65–69), thus their

391 applicability to monitoring joint-actions and their effects (cracking, foundation stiffness loss,  
392 nonlinear behaviour) is timely.

393 There exist many methods of damage detection based on measuring structural vibrations, both in the  
394 time and frequency domain, either on the structure (70,71) – online monitoring, or on a passing  
395 reference vehicle (72–74) – offline monitoring. Methods include frequency-based approaches  
396 (6,32,66,68,75), mode-shape based approaches (52,72,76), mode-shape curvature approaches (54),  
397 and damping-based approaches (77) among several others. Limitations in the approaches such as the  
398 influence of environmental effects on the modal properties (78) are constantly being challenged and  
399 overcome. In the particular case of multiple hazard conditions some of the advantages of vibration-  
400 based techniques applied using sensors installed on the bridge are:

- 401 - the possibility to have a constantly updated signature of the structure so that damage can be  
402 identified and the vulnerability of the structure updated accordingly;
- 403 - the possibility to build calibrated and continuously updated numerical models of the structure  
404 to assess the structural health and to assess the structural behaviour (prognosis) under  
405 forecasted values of the actions. The possibility to manage both the in-service and the  
406 emergency situations with the same network of sensors thus increases safety at a reduced  
407 cost;
- 408 - the potential to detect losses in stiffness in a structure due to the primary effects of scour (the  
409 foundation damage), secondary effects of scour (crack propagation) and the effects of an  
410 earthquake (distribution of structural cracking and inelastic element damage).

411

412 A number of questions related to the use of vibration-based monitoring systems for multi-hazard  
413 situations are still open and require further consideration. Some of them are:

- 414 - the definition of the optimal performance parameters that can be computed using data recorded  
415 on the structure to identify the damage scenarios induced by the different hazards. A similar  
416 concern relates to the sensitivity of proposed damage identification algorithms to detect the  
417 changes induced by the joint action of scour and seismic actions. Issues relate to sensor noise  
418 and to limited excitation of the structure induced by ambient vibrations.
- 419 - the optimization of the number and location of recording sensors for multi-hazard conditions  
420 e.g. both scour and seismic damage to a bridge, but also degradation due to fatigue or other  
421 environmental sources. In relation to scour, sensor placement has previously been studied by  
422 Bao et al. (79), who investigated various sensor locations (vertical and horizontal) along a  
423 laboratory-scale pier and the resulting variation in measured predominant natural frequencies.  
424 However, in relation to multi-hazards for a full bridge, this remains a challenge.

- 425 - the influence of environmental variability on performance parameters that can produce their  
426 variation even on an undamaged structure. Variations in environmental conditions such as  
427 temperature fluctuations or wind-induced vibrations can add significant ‘noise’ to the  
428 measured signals. In the context of frequency measurements, temperature for example can  
429 induce an apparent shift in frequency, which can over-shadow the changes due to damage. One  
430 method to mitigate this is to use a temperature sensor and develop interaction diagrams of  
431 temperature vs frequency to remove this trend from damage-induced changes. Moreover,  
432 structural vibration for measurement purposes is typically excited from passing vehicles (6),  
433 which can induce vehicle-related frequencies and other distortions to the vibration spectra  
434 (26,80). These frequencies include axle impulse frequencies and frequencies related to the rate  
435 of passage of the vehicle across the structure. One way to reduce the influence of these effects  
436 is to only measure the vibration after a vehicle departs the structure. Significant challenges still  
437 remain in the accurate characterisation of damage effects from vibration data where the  
438 relevant spectra is polluted with environmental and vehicle-related noise.
- 439 - the application of these techniques in ‘real world’ conditions that is using data recorded on  
440 actual structures under multi-hazard scenarios. Most of the algorithms proposed in literature  
441 are able to correctly identify damage when working on data simulated using numerical models  
442 but fail when applied to real bridges. Further efforts should be made to move to full-scale real-  
443 world testing.

444

## 445 **6. Case Study – Effect of scour on bridge seismic response**

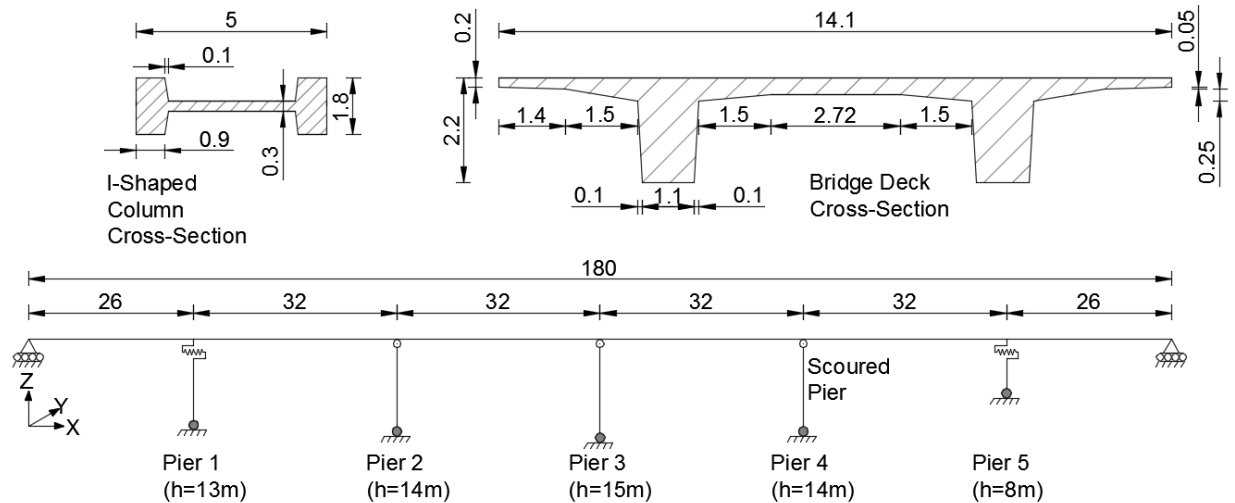
446 A simple case study is presented in this section whereby scour is implemented in a numerical model  
447 around a single pier of a multi-span bridge and the effect of this scour on the seismic response is  
448 investigated. The numerical modelling is undertaken using OpenSees (81), an open-source software  
449 for simulating the seismic response of structural systems. The bridge model is described in section 6.1  
450 and the analysis and results are presented in section 6.2.

### 451 **6.1 Multi-span bridge model**

452 A simplified multi-span bridge model with six spans, supported on five I-shaped bridge columns is  
453 modelled in this case study. A schematic of the bridge geometry is shown in Figure 5. The bridge  
454 deck is modelled as an elastic beam and the abutments are modelled as roller supports, to enable the  
455 bridge move in the longitudinal direction. Modelling the bridge deck as an elastic beam is a  
456 simplification in this analysis as it will not allow non-linear behaviour to develop at this location. A  
457 future study will develop further on this with a more comprehensive model for each element. The  
458 bridge column non-linear response is modelled using a lumped plasticity Giberson model (82). Pier 1  
459 and Pier 5 have elastomeric bearings modelled using elastic springs with stiffness proportional to the



460 shear modulus and geometrical characteristics representing typical bearings. Remaining piers 2, 3 and  
 461 4 are connected to the superstructure by means of pinned connections. The base of each pier is  
 462 modelled incorporating a non-linear spring, the characteristics of which are based on the tri-linear  
 463 idealization of the full moment-curvature analysis of a column cross-section. Takeda hysteretic rules  
 464 (83) are used to define the non-linear spring behaviour. The material characteristics including  
 465 discretised steel fibres, unconfined and confined concrete are developed based on the  
 466 recommendations of Eurocode 8/2 and 8/3 (84,85).



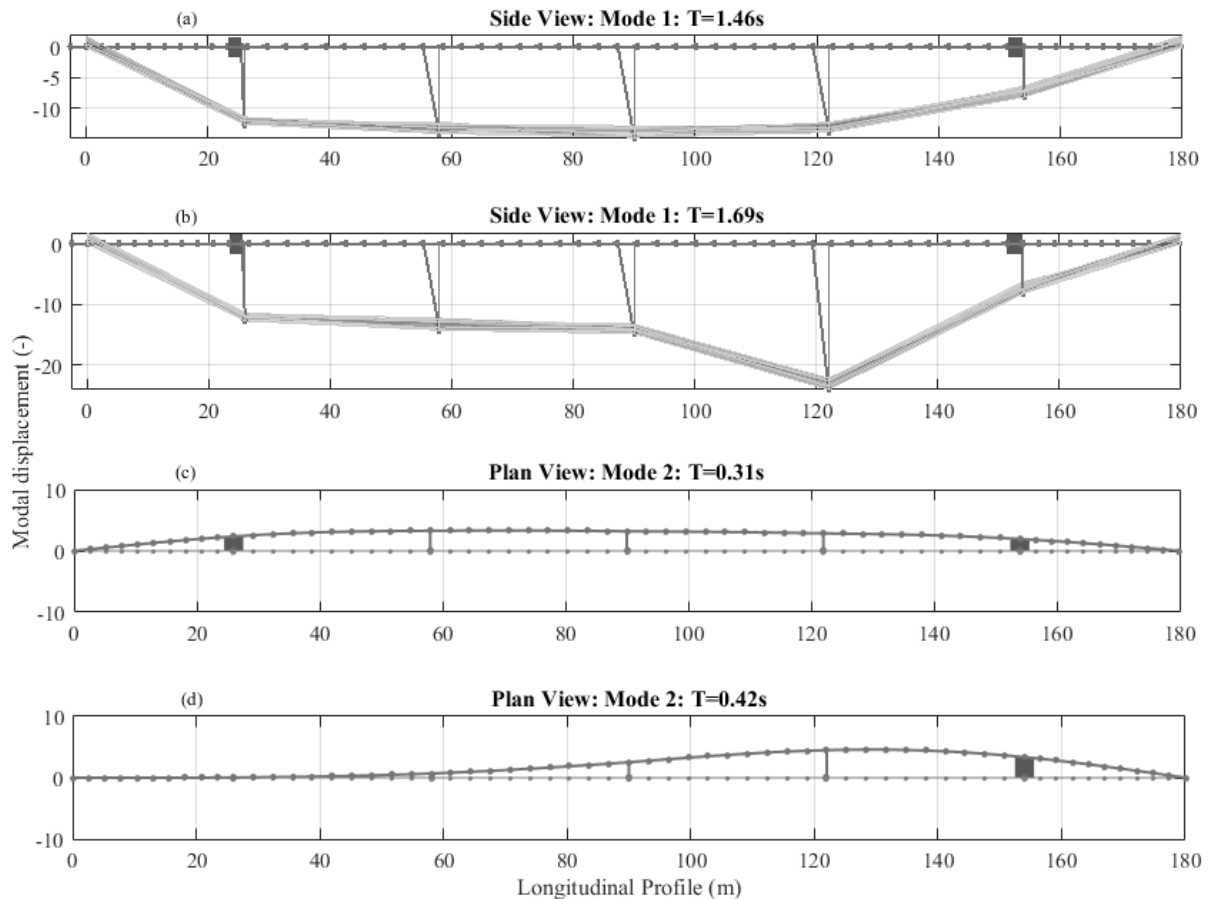
467

468 Figure 5 Schematic of nonlinear numerical bridge model (all dimensions are in m)

469 Scour is modelled as an increase in the effective length of Pier 4, in line with the procedure  
 470 undertaken by Elsaid and Seracino (54). For the analysis in this paper, scour is implemented around  
 471 Pier 4 in increments of 2m from 0m to a maximum 10m deep scour hole to ascertain the effect on the  
 472 seismic response of the bridge under progressive local scour (6). Note, a 10m scour hole may be  
 473 unlikely to develop, at least in isolation, but is implemented in this analysis to ascertain the seismic  
 474 response under this extreme case (6).

## 475 6.2 Analysis and results

476 In this section, the results of both an eigenvalue modal study performed in the numerical model and a  
 477 seismic response analysis of the bridge under scour are presented. The mode shapes of the bridge are  
 478 extracted from the OpenSees model by obtaining a solution to the Eigenproblem (86). The first two  
 479 mode shapes of the bridge under zero scour and 10m of Pier 4 are presented in Figure 6.

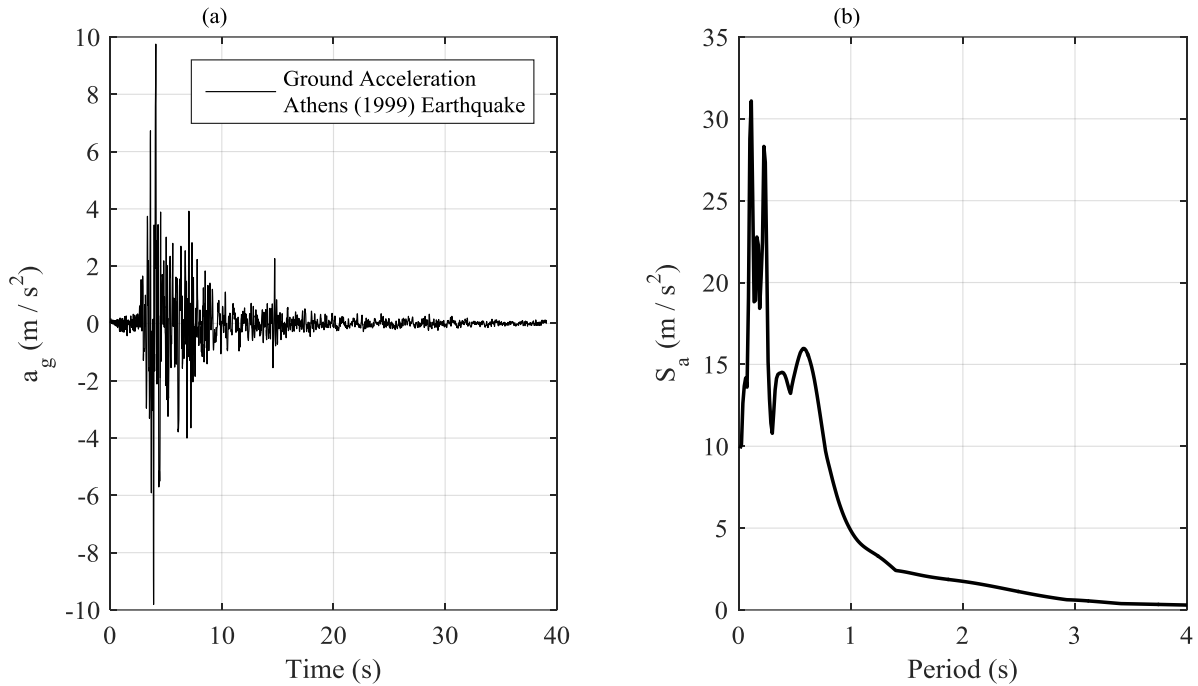


480

481 Figure 6 Bridge mode shapes under zero and 10m scour of Pier 4, (a) mode 1 of the bridge – no scour,  
 482 (b) mode 1 of the bridge – 10m scour, (c) mode 2 of the bridge – no scour, (d) mode 2 of the bridge -  
 483 10 m scour.

484 The first mode of the bridge, Figure 6(a), is a longitudinal mode and the second mode of the bridge,  
 485 Figure 6(c) is a lateral mode. Figure 6(b) shows the change in the longitudinal mode 1 due to scour of  
 486 Pier 4 and Figure 6(d) shows the change in lateral mode 2 due to the same scour case. The effect of  
 487 scour on modal parameters is quite evident and easily detectable using most vibration based damage  
 488 identification algorithms. Modal periods of the first and second modes increase by 16% and 35% with  
 489 respect to the initial values and the mode shapes exhibit localized variations at the location of the  
 490 scoured pier.

491 Further insights can be obtained investigating the response of the bridge under an applied seismic load  
 492 for the case of no scour up to a maximum of 10m scour of Pier 4. A 40 second long seismic motion  
 493 (Athens 1999 earthquake) scaled to a peak ground acceleration (PGA) of approximately  $10 \text{ m/s}^2$  is  
 494 considered in this analysis. The time history and the response spectrum of the earthquake are shown in  
 495 Figure 7(a) and (b) respectively. For this analysis, the motion is applied to the bridge in the lateral  
 496 direction, perpendicular to the direction of traffic (y-direction in Figure 5).

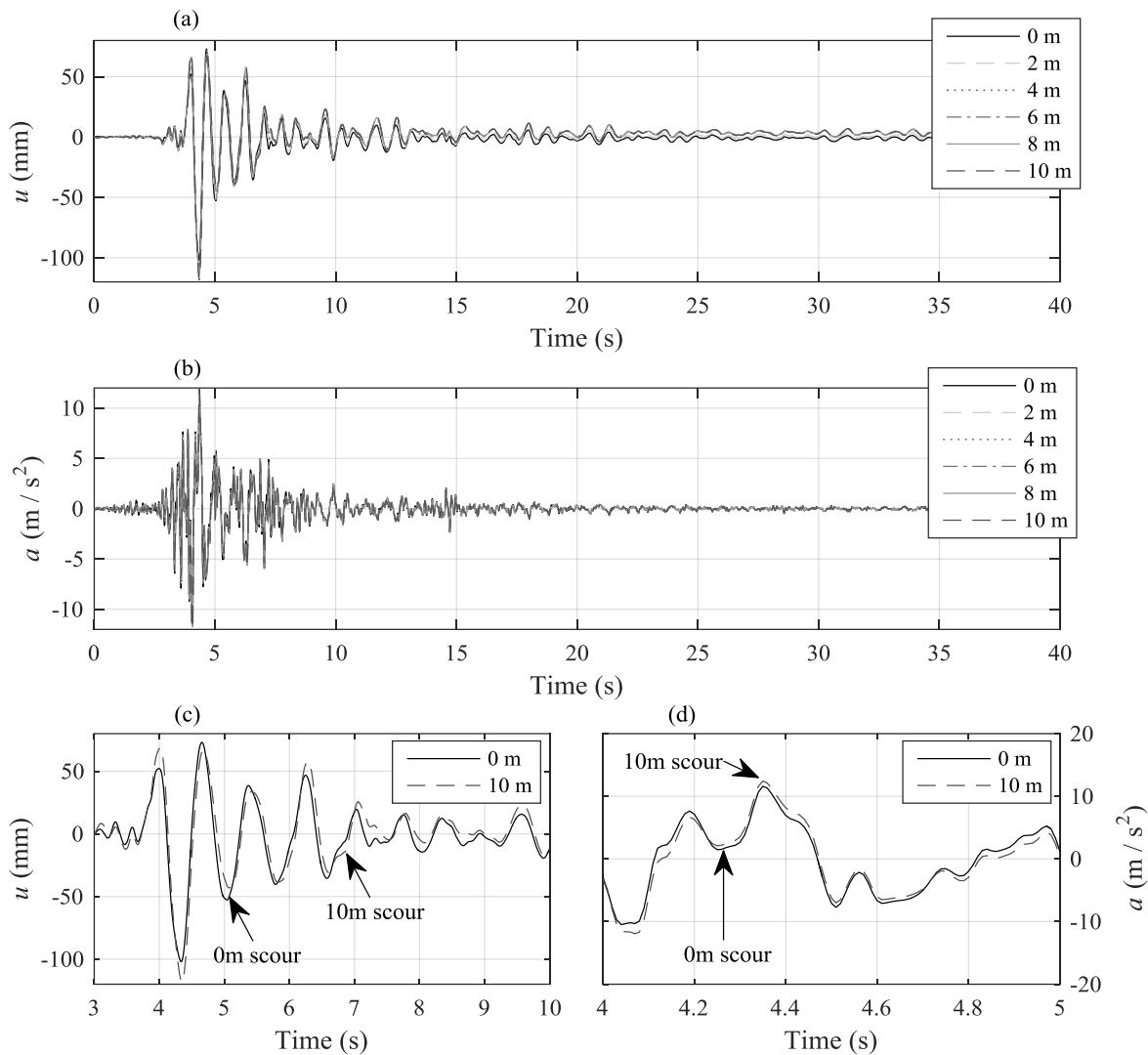


497

498

499 Figure 7 Seismic input ground acceleration (a) Athens 1999 earthquake time history, (b) Spectrum of  
 500 ground acceleration in part (a)

501 For the applied seismic time history in Figure 7, the absolute accelerations and displacements  
 502 extracted from the deck level of Pier 4 for progressive scour is illustrated in Figure 8. Figure 8(a)  
 503 shows the displacements of the deck at Pier 4 for scour depths ranging from 0m to 10m, in 2m  
 504 increments. The level of residual displacements (the level of damage) increases in the responses for  
 505 larger scour depths. The peak displacement of the top of Pier 4 under the incident earthquake  
 506 increases from 0.1m for 0m scour to 0.12m for 10m scour due to the increased flexibility of the  
 507 bridge. Figure 8(b) shows the acceleration response of the same point on the structure under the  
 508 earthquake load for various scour depths. The peak structural acceleration increases from 11.6  $m/s^2$   
 509 under 0m scour to 12.4  $m/s^2$  under 10m scour. This increase is due to the changed mode shape and  
 510 shift of the second modal period toward values corresponding to a higher amplification as shown by  
 511 the response spectrum in Figure 7. Figure 8(c) and (d) show zoomed in portions of the displacement  
 512 and accelerations responses from parts (a) and (b) respectively, for the cases of zero scour and 10m  
 513 scour, respectively.



515

516 Figure 8 Seismic response of the bridge deck (lateral) at pier 4 under progressive scour conditions, (a)  
 517 absolute lateral displacements of the deck, (b) absolute lateral accelerations of the deck, (c) zoomed in  
 518 displacements between  $t=3s$  and  $t=10s$  for 0m and 10m scour, (d) zoomed in accelerations between  
 519  $t=4s$  and  $t=5s$  for 0m and 10m scour

520 Table 3 presents the maximum shear forces in each of the 5 bridge piers (see Figure 5) for the incident  
 521 earthquake load under progressive scour of Pier 4 as well as the sum of the shear forces across all  
 522 piers. As the scour depth at Pier 4 increases from  $S=2m$  to  $S=10m$ , the shear force ( $F$ ) measured at  
 523 Pier 4 decreases by almost 50% with respect to the unscoured value. This occurs in combination with  
 524 increases in the shear force by values between 2% and 5% in the remaining piers (except Pier 1 and 5,  
 525 which have elastomeric bearings). Scour is therefore beneficial in terms of reducing the shear forces  
 526 in the scoured pier under an incident earthquake however it results, to some extent, in a redistribution  
 527 of these forces to the other piers. The increased flexibility of the bridge when one of the piers is  
 528 scoured leads, in this case, to an overall reduction in total shear  $F_T$ , however this benefit is mitigated

529 by the redistribution of the shear forces internally to the other piers (for example in Pier 3 from 5.76  
 530 to 5.90 kN for scour depth 0 and 10 m, respectively).

531 Table 3 Maximum shear forces in each pier under progressive scour of Pier 4

	<b>Scour (m)</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>6</b>	<b>8</b>	<b>10</b>
	Pier 1	1.56	1.56	1.52	1.52	1.52	1.52
	Pier 2	5.63	5.65	5.75	5.81	5.85	5.90
<b><i>F</i> (kN)</b>	Pier 3	5.76	5.77	5.89	5.90	5.91	5.90
	Pier 4	5.72	4.94	4.32	3.77	3.30	2.92
	Pier 5	1.01	1.03	1.02	1.03	1.04	1.04
<b><i>F<sub>T</sub></i> (kN)</b>		19.7	18.9	18.5	18.0	17.6	17.3

532

533 **7. Conclusion**

534 Bridge performance against damaging actions is an area of growing societal interest due to increased  
 535 failure rates and associated costs. Generally, bridges are monitored periodically using visual-based  
 536 inspection methods. Highly subjective and discrete in nature, the primary disadvantage of these  
 537 approaches is that they may miss the damage due to access issues or low frequencies of inspection.

538 In the fields of seismic and scour effects on bridges, inspection and monitoring methods have been  
 539 separately developed to date. Despite these events being uncorrelated, it is very possible that they may  
 540 co-exist on a bridge, with resulting changes in the bridge's behaviour. The presence of scour can alter  
 541 and change the effect of an earthquake, generally increasing its danger. Scour may sometimes be  
 542 beneficial at a local level, by reducing the inertial forces transferred to the superstructure as a result of  
 543 the increased flexibility. Generally speaking, however, secondary damage effects that scour can cause  
 544 tend to weaken a structure thus exacerbating the earthquake damage potential. Moreover, the local  
 545 reduction in inertial load transfer is likely mitigated by increased load transfer to other elements on  
 546 the bridge.

547 Significant effort has been made in recent years to develop instruments capable of monitoring the  
 548 evolution of the depth of a scour hole near a bridge foundation. Though this is useful, it has the  
 549 distinct disadvantage that these types of sensors can give no information on the distress experienced  
 550 by a structure due to the presence of scour. More recently, vibration-based damage detection methods  
 551 have come to the fore of research, which aligns with similar developments in the seismic damage  
 552 detection fields. The many advantages related to vibration-based methods for damage identification

553 lead to postulate that their use offers the most practical way to ensure the identification of a wide  
554 variety of damage scenarios occurring under scour and seismic actions.

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