

Manuscript Number: JCLEPRO-D-18-04015R2

Title: Environmental and economic assessment of electro-dewatering application to sewage sludge: A case study of an Italian wastewater treatment plant

Article Type: Original article

Keywords: Electro-dewatering; Sludge management; Life cycle assessment; Return on investment; Industrial application; WWTP

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Abstract: The objective of this study is to evaluate the feasibility of implementing electro-dewatering (EDW) as an add-on unit to the existing conventional dewatering units with the aim of increasing the final dry solids content and reducing the subsequent handling and energy costs of sewage sludge management. The assessment was carried out by focusing on a case study, a small wastewater treatment plant (WWTP) in the Milan metropolitan area. Various indicators were used to evaluate the environmental impact and economic performance. Primary data, such as operating data from the case study WWTP and economic data from an EDW equipment manufacturer, were extracted and used in the modelling. Four scenarios were set up and compared, which address the current and future sludge management schemes in Italy. The results suggest that it is environmentally and economically feasible to implement the EDW upgrade if the sludge disposal follows the incineration route. More specifically, when small WWTPs deliver their EDW-dewatered sludge to a centralised incineration facility, this will enable to reduce the global warming impact of the system up to 135 kg CO₂-eq. per dry tonne of sludge. In addition, good profitability (incremental return on investment > 15.1%) can be obtained when the market disposal cost is above 30.5-39.6 € per wet tonne of sludge. Based on our recent market survey, the sludge disposal price is well above the break-even values.

Detailed response to reviewers

Environmental and economic assessment of electro-dewatering application to sewage sludge: A case study of an Italian wastewater treatment plant

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Dear reviewers,

Thank you for taking time to review this manuscript. We really appreciate your valuable comments and suggestions and have carried out revisions accordingly. A point-to-point answer is provided below to address the questions raised in the reviewing and the locations where the revisions are made.

Reviewer #1:

Having read the revised article, I recommend what follows:

1. Have the article edited by a native English speaker and provide the relative certificate.

The article was revised by a native English speaker, Dr. Sam Skinner, from the University of Melbourne. Details about him are reported at <https://pursuit.unimelb.edu.au/individuals/sam-skinner>.

2. Is any policy supporting the need for your research?

Yes, it is. The following discussions have been added to the manuscript:

“To comply with the EU’s strategy in circular economy, sludge is being disposed with two major routes: nutrient recovery by applying in agriculture land and energy recovery by incineration (Papa et al., 2017). In either of these routes, it is favourable to increase the DS (Dry Solids) content of sludge. For example, a minimum DS of 40% is required for incineration in fluidized bed incinerator and DS 90% for cement kiln incineration (Abușoğlu et al., 2017).”

P3 L6-11 (Page number, Line number in the manuscript with marked changes).

“...and, if properly managed, it can reduce the concentration of heavy metals and organic pollutants in the dewatered sludge (Tuan and Sillanpää, 2010), the limits of which are regulated by the EU directive 86/278/EEC and member state’s regulations (Mininni et al., 2015).”

P3 L33-36

“...in accordance with the Water Policy Directive 2000/60/EC (European Commission, 2000), it is necessary to take account of recovery of costs for water services, including environmental and resources, i.e. economic analysis is required. As WWTP is an important constituent of water services, the upgrading project should comply with this policy (Bertanza et al., 2018).”

P5 L2-6

3. Have scenario description preceded by a clear statement of the purpose of the comparative study.

The following parts have been added to the manuscript:

“To help justify the advantages of EDW upgrade, it is compared with the mechanical dewatering equipment used by the WWTP. [...] In total, four scenarios were set up-to allow for comparison of the options (see Figure 1):”

P6 L23-36

4. Please describe each scenario individually.

The manuscript has been revised accordingly.

P7 L1-20

5. "This is because at present stage sludge for agriculture use (including land spreading and composting)" (Page 5, lines 23-24): please clearly define the "fertilizer displacement" scenarios: is the cake composted before spreading?

The manuscript has been revised accordingly. This term has been defined in the text, as reported:

“...sludge for land spreading (i.e. sludge is applied to arable land as fertiliser without composting treatment)”

P6 L24-25

6. As in the incineration scenario, you should define the area for land spreading.

In this regard, more information has been added to the manuscript:

“The ashes generated from the flue gas treatment are treated to make them inert and are sent to a landfill for hazardous waste, located in Italy.”

P11 L12-14

7. Explain the rationale behind impact category selection: what does "close relevance"(!) mean?

This point has been clarified in the manuscript, as reported:

“These impact categories were selected for their close relevance to the system under study (Tomei et al., 2016), in relation to the following elements:

(1) the end use of sludge: the emissions of land spreading and incineration are directly related to GW, AC and EP;

(2) EDW is an energy intensive process and a major advantage of using EDW is to reduce the fuel consumption in the road transport stage. In this context, GW, POF, AC and EP are directly related to consumption of fossil fuels.”

P7 L34-P8 L5

8. Table 2: please explain data sources; especially:

- own data: experimental data?

The manuscript has been revised accordingly.

P14 Table 2

“The EDW machine price and service life were estimated by this manufacturer, based on their existing product lines, the prototype built for this project and the relevant information from their suppliers.”

P13 L36-P14 L2

“The machine power was calculated on the basis of lab testing results from Politecnico di Milano.”

P14 L4-5

- data extracted from WWTP: can you mention any collaboration with plant operator?

Yes, the manuscript was updated. One paragraph was modified to properly introduced the case study WWTP. Please, see P6 L10-16. In particular, the sentence “Sludge samples were extracted from this WWTP for the lab-scale EDW test.” was added.

Another mention can be found at P9 L5-6. This WWTP belongs to the CAP holding group, which has been explicitly mentioned in the acknowledgement.

- estimate: based on what?

More information has been added in this regard.

“The EDW machine price and service life were estimated by this manufacturer, based on their existing product lines, the prototype built for this project and the relevant information from their suppliers. “

P13 L36-P14 L2

“The machine power was calculated on the basis of lab testing results from Politecnico di Milano.”

P14 L4-5

- extracted from real cases of Italy, also applicable to other EU markets (Bertanza et al., 2015b): what's the source?

The manuscript has been revised accordingly.

A reference has been added.

P15 Table 2

In the beginning of the “SLUDGEtreat” project, we carried out this market analysis by visiting some WWTPs and interviewing the responsible persons. The sludge disposal price was a key data to be collected. Details about this assessment are reported in “Díaz, C., García, G., Canziani, R., Ferrari, G., 2015. Preliminary market analysis – review: Annex 1. Collected Data from some Visits to WWTPs in Italy [WWW Document]. URL <https://sludgetreat.eu/wp-content/uploads/2016/11/D2.2-Annex-I-Data-from-some-visits-to-WWTPs-Italy-2015.pdf> (accessed 16.2.18)”

9. Does your study have any limitations??? How could research limitations could be addressed?

The discussion about research limitations has been added.

“The present study has its limitations, such as the lab-scale EDW data (e.g. the outlet DS and the specific energy consumption) were used since the prototype machine was not ready to conduct testing with actual sludge samples. However, the robustness of the results was assessed with two sensitivity analyses, and this allowed us to draw some solid conclusions for the EDW upgrade. Once more data become available, they can be updated in the models to generate more accurate results. Also, more indicators can be incorporated into the decision-making matrix, in particular the social aspects (e.g. employment, income, access to services, public health and safety, etc.).”

P20 L3-9

10. Conclusions: this section should discuss the policy and research implications of your study.

A paragraph has been added in relation to this comment.

“The EU Directive 86/278/EEC was adopted more than 30 years ago. In order to keep it updated with the societal changes, it is currently under review by the European Commission to address emerging issues, in particular in relation to the use of sludge for agriculture. It has been anticipated that the limits of heavy metals will be lowered. In addition to that, limits for organic micropollutants and microbial indicators of pathogens will be introduced. In this context, the advantage of EDW could possibly be further strengthened. However, to date, there are limited data to support the effectiveness of EDW on reducing these contaminants, which could constitute a future research development. “

P20 L10-16

Reviewer #2:

Reviewer#2 comments,

The paper shows significant improvements. The authors modified and greatly improved the article. However, important information suggested by this reviewer has been met only partially, therefore some revision is still needed.

I think the manuscript has interesting results and can be published by the Journal of Cleaner Production, however care, acuity, precision, consistency, zeal, commitment must be taken, so that the results are described by an attractive text around it. The authors are very stubborn. When reviewers require that a review be performed, it must be performed and not rebutted (refused), otherwise the manuscript will be rejected. I almost rejected the manuscript in this second review, but I believe the authors can improve, just follow what was asked by this Reviewer#2.

We are really sorry for this trouble. At that time, we were too worried about the word limit set by the journal (8000), so that we compressed the content a lot. But in this revised version, all the comments and advices from the reviewer have been integrated in the text.

KEY QUESTION 1: I have one key question for the authors: What is the difference between this present manuscript and the other papers that have been published by the same authors? It would be better explaining this topic in the body text of the manuscript with some details. Nowadays, some unintentional and or intentional plagiarism could be taken from other already published work. Please, do not reply only to this Reviewer#2, also include your reply text in the main body text of the manuscript.

In our opinion, there is a strong difference in the focus of this manuscript with respect to our published articles, essentially consisting in the scope of the investigation, that is addressed to the assessment of environmental and economic aspects in the present case. In order to clarify the rationale to the readers, the manuscript has been revised accordingly, as it follows:

“Our previous publications (Visigalli et al., 2017a/b) focus on the technical issues of EDW, investigating the factors that influence the system’s performance, while in the present study, the emphasis is placed on the environmental and economic assessment.”

P5 L34-37 (Page number, Line number in the manuscript with marked changes).

The publication list is attached below:

- Gronchi, P., Canziani, R., Brenna, A., Visigalli, S., Colominas, C., Montalà, F., Cot, V., Stradi, A., Ferrari, G., Diaz, C., Fuentes, G.G., Georgiadis, A., 2017. Electrode surface treatments in sludge electro-osmosis dewatering. *Mater. Manuf. Process.* 32, 1265–1273. doi:10.1080/10426914.2017.1279313
- Visigalli, S., Turolla, A., Gronchi, P., Canziani, R., 2017a. Performance of electro-osmotic dewatering on different types of sewage sludge. *Environ. Res.* 157, 30–36. doi:10.1016/j.envres.2017.05.015
- Visigalli, S., Turolla, A., Zhang, H., Gronchi, P., Canziani, R., 2017b. Assessment of pressure-driven electro-dewatering as a single-stage treatment for stabilized sewage sludge. *J. Environ. Chem. Eng.* doi:10.1016/j.jece.2017.11.034

KEY QUESTION 2: What are the weaknesses, limitations, restrictions and weak points of this research carried out by the authors? Please, do not reply only to this Reviewer#2, also include your reply text in the main body text of the manuscript.

In this regard, a paragraph has been added to the conclusion section.

“The present study has its limitations, such as the lab-scale EDW data (e.g. the outlet DS and the specific energy consumption) were used since the prototype machine was not ready to conduct testing with actual sludge samples. However, the robustness of the results was assessed with two sensitivity

analyses, and this allows us to draw some solid conclusions for the EDW upgrade. Once more data become available, they can be updated in the models to generate more accurate results. Also, more indicators can be incorporated into the decision-making matrix, in particular the social aspects (e.g. employment, income, access to services, public health and safety, etc.).”

P20 L3-9

KEY QUESTION 3: What are insights, scientific contributions, benefits, strengths, novelties and originalities of this research carried out by the authors? Please, do not reply only to this Reviewer#2, also include your reply text in the main body text of the manuscript.

One of the main original contributions is that we have analysed the barriers that retard the industrial application of EDW. This aspect has been clearly stated by modifying the manuscript:

“Although many promising results have been reported and the mechanisms behind the EDW phenomenon are relatively well understood (Mahmoud et al., 2010), it seems that it takes long time for EDW to make its full swing in the industrial application in WWTPs. Several reasons are...”

P4 L1-36

Moreover, to accommodate the characteristics of EDW upgrade, we have proposed a method which is especially suitable for evaluating small modification projects implemented in WWTPs, which is novel in the research of sludge management practices. Such aspect has been evidenced in the manuscript:

“In this case, a model demonstrating the relationship between the profitability of the upgrading project and the disposal cost will be useful to cover a wide scope of market situations. Therefore, in this study, the economic assessment was carried out following the method from chemical engineering design (Towler and Sinnott, 2013). It focuses on the EDW upgrade itself rather than the scenarios as previously discussed in the LCA. It also gives more flexibility in sensitivity analysis and the results can be easily communicated to the WWTPs managers (Zhao et al., 2016).”

P12 L26-31

We have reported many primary data about EDW machine, which could be useful for other researchers in this field, as explicated in the following paragraph of the manuscript:

“The industrial prototype is currently under development (screw press-based machine), it is therefore possible to extract some primary economic data from the machine manufacturer (X2 Solutions Srl, also one of the project consortiums). The EDW machine price and service life were estimated by this manufacturer, based on their existing product lines, the prototype built for this project and the relevant information from their suppliers.”

P13 L34-P14 L2

Finally, the assessment provides evidences to support the industrial application of EDW, giving positive implications to WWTP operator and policy-makers to adopt this novel process, as summarized in the conclusion section (P19 L25-P20 L2).

KEY QUESTION 4: What are the Technological Innovations, Policy Implications, Challenges and Implications of the realization of that work carried out by the authors? Please, do not reply only to this Reviewer#2, also include your reply text in the main body text of the manuscript.

To accommodate the characteristics of EDW upgrade, we have proposed a method which is especially suitable for evaluating small modification projects implemented in WWTPs, which is novel in the research of sludge management practices. The same paragraph cited in KEY QUESTION 3 (P12 L26-31) was modified to highlight this aspect.

The assessment gives positive implications to policy-makers, as clearly stated in the paper.

“The LCIA results give a holistic view of the sludge management scenarios. The EDW dewatering stage consumes large amount of electricity, causing significant increases in the impact indicators; on the other hand, it contributes to reduce the overall system’s impacts in the downstream life cycle stages, e.g. reduced impacts in the transport stage due to sludge volume reduction and reduced impact in the disposal stage (replaced fertiliser/heat). This implies that policy-making of sludge management should encompass life cycle thinking, encouraging solutions that enable to reduce the overall environmental impacts, and avoiding shifting environmental burdens from one life cycle stage to another.”

P17 L4-10

The biggest challenge is to fill the data gap. In particular, we have addressed two aspects of EDW upgrade (environmental profile and economic profitability). The difficulty was managed by using multiple data collection methods, such as extracting data from WWTP and EDW machine manufacturer, acquiring data with experiments, searching in publications and reports from the industry and consulting companies. These aspects are discussed in different parts of the manuscript.

KEY QUESTION 5: What are the technological recommendations and insights from your results? The policy implications of this work should be presented in a clearer way in the discussion section.

More discussion about technological recommendations has been added.

“In order to achieve an overall positive energy valorisation in the sludge to energy route, it is essential to increase the sludge DS to have a suitable LHV (Arlabosse et al., 2012). EDW machine is very competitive for working in the DS range of 15-40%. In this range, it is more energy efficient than thermal dryer, and at the same time it can maintain a higher productivity than solar dryer (Umwelt Bundesamt, 2013). Besides, it requires less space to implement the upgrading project than solar dryer, which is highly welcomed by those small WWTPs situated near big cities.”

“For going beyond DS 40%, as seen from some commercial solution providers (e.g. ACE, Korea), thermal dryer powered by waste heat or solar dryer can be arranged after the EDW unit. In such way, different methods can team up as a complete drying solution to provide the best energy efficiency and productivity.”

P19 L3-12

More discussion about policy implications has been added.

“Regulation and policy-making in sludge management can be another important aspect to consider. In terms of disposal methods, landfill will be progressively phased out in the EU (Mininni et al., 2015). In recent years, opposition to direct use of sludge in agriculture has intensified due to consumer’s demand on food safety and quality (e.g. organic farming). This has led to the situation that the percentage of sludge for incineration is growing in the EU (Eurostat, 2017a).”

P18 L34-P19 L3

“The EU Directive 86/278/EEC was adopted more than 30 years ago. In order to keep it updated with the societal changes, it is currently under review by the European Commission to address emerging issues, in particular in relation to the use of sludge for agriculture. It has been anticipated that the limits of heavy metals will be lowered. In addition to that, limits for organic micropollutants and microbial indicators of pathogens will be introduced. In this context, the advantage of EDW could possibly be further strengthened. However, to date, there are limited data to support the effectiveness of EDW on reducing these contaminants, which could constitute a future research development.”

P20 L10-16

Important Issues: It is extremely recommended that in the all of the sections of the manuscript each referenced work should be accompanied by a brief description of the key results and main conclusions. In this way, the inclusion of the cited work in the manuscript can be better justified. Remove clusters of references, i.e., use 1 citation per 1 claim only.

For example remove the clusters:

"According to the data source (Eurostat, 2017a; Heimersson et al., 2017), in 2010, the EU Wastewater Treatment Plants (WWTPs) needed to handle over 10 million 5 tonnes of sludge (in dry mass) for disposal to agricultural land, composting sites, incineration plants and landfill sites". Remove this cluster!

"Therefore, improvement in sludge dewatering holds a great potential to reduce the WWTP's operating cost and its environmental impacts. Electro-dewatering (EDW) in combination with mechanical compression is shown to be effective in increasing the sludge DS content and thus decreasing the quantity/volume of sludge requiring disposal. In the meanwhile, EDW can maintain a better energy efficiency than thermal drying until reaching the DS of 38-45% (Olivier et al., 2015; Yu et al., 2017).

Remove this cluster!

Etc., etc., etc.

The manuscript has been thoroughly revised.

"In the past decade, much research has been carried out in the field of EDW and the majority of them are focused on how to optimise operating parameters in relation to energy efficiency. For example, two factors, mechanical pressure and electrical voltage, were investigated in the work of Mahmoud et al. (2011). In accordance with the authors, after optimising the operating conditions it was possible to save 25% energy as compared with thermal drying. In another example (Tuan and Sillanpää, 2010), it was found that freeze/thaw conditioning could greatly improve the sludge dewaterability and a higher sludge loading led to a lower DS in the final cake. Because of the strong correlation, some researchers concluded that electrical current could work as an indicator for the kinetics of EDW dewatering (Olivier et al., 2015), which is supported by the results from Visigalli et al. (2017a). More recently, in order to study the effect of sludge type on EDW dewatering performance, sludge samples were collected from four WWTPs and treated with a lab-scale EDW device (Visigalli et al., 2017b). It was shown that for the good-matching sludge (i.e. sludge gives good response to the EDW treatment), DS 39.3% was achieved in the final cake."

P3 L18-30

"In recent years, a decision support system built on technical, economic and environmental performances is gaining popularity and being practiced by the researchers in this field (Bertanza et al., 2015a). Basically, to evaluate the environmental performances, it follows the concept of Life Cycle Assessment (LCA), which is an international standard-based methodology (ISO14040 and ISO14044) and enables to evaluate a product's environmental impacts by considering all its life cycle stages, starting from raw material extraction, manufacturing, use/reuse until final disposal (Gourdet et al., 2017). LCA has been applied in the field of sludge management since the year 2000 (Yoshida et al., 2013). The relevant studies have concentrated on the followings topics: identifying hotspots in WWTPs, assessing upgrading options for the treatment lines (Zhang et al., 2017) and selecting the most suitable sludge management schemes (Buonocore et al., 2016). For instance, in the study of Li et al. (2017), five different anaerobic digestion configurations were assessed with environmental and economic indicators, and the authors identified sludge organic content and the biogas yield as the most influential factors. In another study (Gourdet et al., 2017), by comparing between different scenarios, it was found that increasing the biodegradation rate of volatile solids and the biogas production was the most effective method to lower the system's environmental impacts. Also, as recognised in the same study, the consumption of FeCl₃ (a chemical that is used to reduce the phosphorus contained in the return liquors from thickening and dewatering stages) was identified as a hotspot for the system's environmental profile. Besides, LCA is also a useful tool for working out proper waste management policies. As demonstrated by the case study of Righi et al. (2013), a scenario composed of anaerobic digestion and composting had the best environmental performance and thus was recommended to the policy-makers."

P5 L9-29

Also, what was requested by the Reviewer#2 was not answered by the authors:

1) All units used in the body of text must be units of the International System of Units (meter, kilogram, second, joule (or kWh), ampere, Kelvin, etc.).

The manuscript has been revised.

2) The abbreviation of "tonne" in English is "ton" and not "t".

The manuscript has been revised.

In the abbreviation list, "tonne" is abbreviated as "ton" and this has also been updated in the text.

3) After "eq" always include a "dot" for abbreviation.

*The correct way of citing the Life cycle impact assessment midpoint results is:

Acidification Potential -> AP: correct form is "kg SO₂-eq." and not "kg SO₂ eq". (or percentage (%) if they want).

Eutrophication Potential -> EP: correct form is "kg PO₄-3-eq." and not "kg PO₄ eq". (or percentage (%) if they want).

Fresh Water Aquatic Ecotoxicity Potential -> FAETP: correct form is "kg 1,4 DCB-eq." and not "kg 1,4 DCB eq". (or percentage (%) if they want).

Global Warming Potential -> GWP: correct form is "kg CO₂-eq." and not "kg CO₂ eq". (or percentage (%) if they want).

Human Toxicity Potential -> HTP: correct form is "kg 1,4 DCB-eq." and not "kg 1,4 DCB eq". (or percentage (%) if they want).

Marine Water Aquatic Ecotoxicity Potential -> MAETP: correct form is "kg 1,4 DCB-eq." and not "kg 1,4 DCB eq". (or percentage (%) if they want).

Ozone Layer Depletion Potential -> ODP: correct form is "kg CFC 11-eq." and not "kg CFC 11 eq". (or percentage (%) if they want).

Photochemical Oxidant Formation Potential -> POFP: correct form is "kg C₂H₄-eq." and not "kg C₂H₄ eq". (or percentage (%) if they want).

Terrestrial Ecotoxicity Potential -> TETP: correct form is "kg 1,4 DCB-eq." and not "kg 1,4 DCB eq". (or percentage (%) if they want).

The manuscript has been revised.

This has also been updated in the abbreviation list: "Equivalent" is abbreviated as "eq."

4) The paper has just 7910 words in total. The paper has good results but the authors have space to write more about the methodological issues and technological bottlenecks (bibliographic review) found in the application of this new approach. Please dear authors, extend the manuscript including more references on the subject and improving mainly the Introduction and Methodology sections, and if possible include more results.

The part of bibliography review has been extended. More references have been included.

"In recent years, a decision support system built on technical, economic and environmental performances is gaining popularity and being practiced by the researchers in this field (Bertanza et al., 2015a). Basically, to evaluate the environmental performances, it follows the concept of Life Cycle Assessment (LCA), which is an international standard-based methodology (ISO14040 and ISO14044) and enables to evaluate a product's environmental impacts by considering all its life cycle stages, starting from raw material extraction, manufacturing, use/reuse until final disposal (Gourdet et al., 2017). LCA has been applied in the field of sludge management since the year 2000 (Yoshida et al., 2013). The relevant studies have concentrated on the followings topics: identifying hotspots in WWTPs, assessing upgrading options for the treatment lines (Zhang et al., 2017) and selecting the most suitable sludge management schemes (Buonocore et al., 2016). For instance, in the study of Li et al. (2017), five different anaerobic digestion configurations were assessed with environmental and economic indicators, and the authors identified sludge organic content and the biogas yield as the most influential factors. In another study (Gourdet et al., 2017), by comparing between different scenarios, it was found that increasing the biodegradation rate of volatile solids and the biogas production was the most effective method to lower the system's environmental impacts. Also, as recognised in the same study, the consumption of FeCl₃ (a chemical that is used to reduce the phosphorus contained in the return liquors from thickening and dewatering stages) was identified as a

hotspot for the system's environmental profile. Besides, LCA is also a useful tool for working out proper waste management policies. As demonstrated by the case study of Righi et al. (2013), a scenario composed of anaerobic digestion and composting had the best environmental performance and thus was recommended to the policy-makers.”

A list of new citations is attached below:

- Abuşoğlu, A., Özahi, E., İhsan Kutlar, A., Al-jaf, H., 2017. Life cycle assessment (LCA) of digested sewage sludge incineration for heat and power production. *J. Clean. Prod.* 142, 1684–1692. doi:10.1016/J.JCLEPRO.2016.11.121
- Buonocore, E., Mellino, S., De Angelis, G., Liu, G., Ulgiati, S., 2016. Life cycle assessment indicators of urban wastewater and sewage sludge treatment. *Ecol. Indic.* doi:10.1016/J.ECOLIND.2016.04.047
- Gourdet, C., Girault, R., Berthault, S., Richard, M., Tosoni, J., Pradel, M., 2017. In quest of environmental hotspots of sewage sludge treatment combining anaerobic digestion and mechanical dewatering: A life cycle assessment approach. *J. Clean. Prod.* 143, 1123–1136. doi:10.1016/J.JCLEPRO.2016.12.007
- Li, H., Jin, C., Zhang, Z., O'Hara, I., Mundree, S., 2017. Environmental and economic life cycle assessment of energy recovery from sewage sludge through different anaerobic digestion pathways. *Energy* 126, 649–657. doi:10.1016/J.ENERGY.2017.03.068
- Righi, S., Oliviero, L., Pedrini, M., Buscaroli, A., Della Casa, C., 2013. Life Cycle Assessment of management systems for sewage sludge and food waste: centralized and decentralized approaches. *J. Clean. Prod.* 44, 8–17. doi:10.1016/J.JCLEPRO.2012.12.004
- Rocha, M.H., Capaz, R.S., Lora, E.E.S., Nogueira, L.A.H., Leme, M.M.V., Renó, M.L.G., Olmo, O.A. del, 2014. Life cycle assessment (LCA) for biofuels in Brazilian conditions: A meta-analysis. *Renew. Sustain. Energy Rev.* 37, 435–459. doi:10.1016/J.RSER.2014.05.036
- Díaz, C., García, G., Canziani, R., Ferrari, G., 2015. Preliminary market analysis – review: Annex 1. Collected Data from some Visits to WWTPs in Italy [WWW Document]. URL <https://sludgetreat.eu/wp-content/uploads/2016/11/D2.2-Annex-I-Data-from-some-visits-to-WWTPs-Italy-2015.pdf> (accessed 16.2.18)
- European Communities, 2000. DIRECTIVE 2000/60/EC. Off. J. Eur. Communities. URL https://eur-lex.europa.eu/resource.html?uri=cellar:5c835afb-2ec6-4577-bdf8-756d3d694eeb.0004.02/DOC_1&format=PDF (accessed 9.6.18).
- Bertanza, G., Canato, M., Laera, G., 2018. Towards energy self-sufficiency and integral material recovery in waste water treatment plants: Assessment of upgrading options. *J. Clean. Prod.* 170, 1206–1218. doi:10.1016/J.JCLEPRO.2017.09.228

The methodology part has been extended.

“The test was carried out at DICA, Politecnico di Milano from January through February of 2017, with samples extracted from the case study WWTP. The experimental setup and protocol were based on our previous publications (Visigalli et al., 2017b). In brief, sludge samples were treated between the electrodes (anode DSA/Ti MMO, cathode stainless steel AISI 304) that were connected a DC power supply (GBC, 34121070 bench scale generator). A constant mechanical pressure 300 kPa was applied throughout the 25-min EDW treatment. The evolution of electric current and mass of filtrate were recorded and used for calculating the specific energy consumption.

Two sets of parameters were tested:

- 1) cake thickness (unit in mm): 15, 20, 25;
- 2) electrical potential (unit in V): 10, 15, 20.

Each combination was tested in two replicates. The data giving the best dewatering performance (in terms of DS improvement and productivity) were used in the assessment, that is lower cake thickness (15 mm) combined with higher voltage (20 V). Accordingly, this parameter combination caused the highest specific energy consumption. From an early publication (Olivier et al., 2015), it is known that EDW can maintain superior energy efficiency over thermal drying until reaching DS 45%. Therefore, we can assume that the parameter combination “15 mm-20 V” gives the best dewatering performance despite the increased specific energy consumption.

For industrial application, it is also important to consider machine's productivity. For example, solar dryer is very energy efficient, but its productivity is very low. In the case of EDW, the operating parameters should ensure a good productivity, i.e. the shortest time to reach a target DS. To address this issue, a target DS was set as 25% and the relevant data were extracted and compared. The results show that the combination of "15 mm-20 V" gives the best productivity, which is in line with the dewatering performance as discussed previously. As a consequence, the data generated from this operating parameter combination were used in the assessment. The detailed results are provided in Supporting Information (Section SI-2)."

Finally, the discussion about LCIA methods has been added in Supporting Information (Section SI-1).

5) Please, in the Introduction section is required a continuous body text, without itenization, as follow:
"1) Relatively high energy consumption: Regarding this point, an early research (Mahmoud et al., 2010) suggests that EDW may find better application in dewatering/drying some high value products, e.g. foods and pharmaceuticals rather than sewage sludge. According to a latest publication (Zhang et al., 2017), the electricity consumption measured on an industrial EDW setup was 0.123 kWh per kilogram water removed.
2) Problem with anode material: It is well known that anode is the core part of an EDW system. It has high cost and in the meanwhile it is subjected to high wearing caused by harsh electrochemical corrosion and abrasion (Gronchi et al., 2017). According to the data source (Zhang et al., 2017), by considering the anode cost and its service 1 life, it can be translated as 4.23 € per tonne removed water. On the other hand, as widely recognised, finding the suitable replacement material is one of the key issues for promoting EDW to the industry users.
3) Reliability under continuous working mode: Periodic cathode cleaning is needed to maintain the system's efficiency/productivity. In accordance with the relevant study (Zhang et al., 2017), stepwise pressure has prolonged this period to 15 days, and this is acceptable for many industrial users.
4) Competition with other engineering options: This is especially applicable to the situation of large WWTPs, as for them there are different engineering options to choose from to dry the sludge. For example, if a WWTP is integrated with a Combined Heat and Power (CHP) system, it is possible to utilise the waste heat/low-grade heat from the CHP to dry the sludge up to DS 90% (Mills et al., 2014). In fact, low temperature dryer can be powered by various types of on-site waste heat (e.g. co-generation, heating and air conditioning systems) as long as these heat sources are stable and have a minimum temperature of 90°C (SUEZ's degremont® water handbook, 2017). In another example (Yoshida et al., 2014), the WWTP is integrated with an on-site incinerator so that the heat generated from the incinerator can be used to dry the sludge. Therefore, these large WWTPs may show less interest in EDW."

The manuscript has been revised.

P4 L5-28

6) Where are the novelties, originalities, research gaps and new insights of the realization of this paper?

To accommodate the characteristics of EDW upgrade, we have proposed a method which is especially suitable for evaluating small modification projects implemented in WWTPs, which is novel in the research of sludge management practices. The same paragraph cited in KEY QUESTION 3 and 4 (P12 L26-31) was modified to highlight this aspect.

The assessment provides evidence for implementing the EDW upgrade, as stated in the conclusions:

"The economic analysis shows that under current market situation in Italy, the EDW upgrade will generate very attractive ROI (>15.10%) for small WWTPs regardless of disposal routes. This is because:

(1) the current market price for sludge disposal in Italy (47-78 €/wet tonne) is well above the break-even values (30.5-39.6 €/wet tonne);

(2) upper limit data have been used to stress the calculation, e.g. the Italian electricity price, which is 30% higher than the EU average case."

P19 L29-35

7) It is recommended that in the Introduction Section each referenced work must be accompanied by a brief description of the key results and main conclusions. In this way the inclusion of the cited work in the manuscript can be better justified. There may be exceptions in which multiple referenced works can be used at the same time in presenting important information, but it should be minimized as much as possible. Every single work must be described and summarized in few lines. I am not telling to the authors reproduce again (duplicate) a paper, I just said to summarize in a few lines.

The whole introduction section has been thoroughly revised considering this comment.

8) Methodology section should be enlarged, explaining the details of the study. The realization of a study of Life Cycle Assessment (LCA) predicts that many details, hypotheses, value adoptions, conditions of transportation, data base of inputs and outputs, fuel data base, electricity data base, fertilizers, emissions factors, product disposal, disposal scenarios, etc. are assumed, therefore a better detailing (specification, particularization, etc.) should be provided as the study was conducted. The journal limit could be enlarged, since they already know the authors know how to write and there is a technical-scientific basis in what is being written. Life cycle assessment manuscripts need theoretical and scientific background to portray everything that is being considered in the scope of work.

This section has been enlarged.

“Scenario A1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with mechanical dewatering equipment (belt press). After that, the dewatered sludge cakes (DS 18.2%) are transported by truck to agricultural fields and applied using a tractor. After applying, sludge gradually becomes available to the crops. In this process, it also releases GHG emissions to the air.

Scenario A2: it follows a similar land spreading route as described in A1. The only difference lies in the dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and consequently the outlet DS will be increased to 40%. In this case, A2 represents the EDW upgrading scenario and it will be compared with the reference scenario A1.

Scenario C1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with mechanical dewatering equipment (belt press) by each individual WWTP. After that, the dewatered sludge cakes (DS 18.2%) from each individual WWTP are transported by truck to a centralised incineration facility. Subsequently, the cakes are mixed and dried with a disc dryer (thermal drying) to reach DS 40% and then they are fed into a fluidized bed furnace. The waste heat is recovered. After material recovery from the ashes, the residues are sent to landfill for hazardous waste.

Scenario C2: it follows a similar incineration route as described in C1. The only difference lies in the dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and consequently the outlet DS will reach 40% and the thermal drying treatment occurred in the incineration plant will be omitted. In this case, C2 represents the EDW upgrading scenario and it will be compared with the reference scenario C1.”

P7 L1-20

“Some data (e.g. the outlet DS and the specific energy consumption) need to be obtained with experiment test. The test was carried out at DICA, Politecnico di Milano from January through February of 2017, with samples extracted from the case study WWTP. The experimental setup and protocol were based on our previous publications (Visigalli et al., 2017b). In brief, sludge samples were treated between the electrodes (anode DSA/Ti MMO, cathode stainless steel AISI 304) that were connected a DC power supply (GBC, 34121070 bench scale generator). A constant mechanical pressure 300 kPa was applied throughout the 25-min EDW treatment. The evolution of electric current and mass of filtrate were recorded and used for calculating the specific energy consumption.

Two sets of parameters were tested:

(1) cake thickness (unit in mm): 15, 20, 25;

(2) electrical potential (unit in V): 10, 15, 20.

Each combination was tested in two replicates. The data giving the best dewatering performance (in terms of DS improvement and productivity) were used in the assessment, that is lower cake thickness (15 mm) combined with higher voltage (20 V). Accordingly, this parameter combination caused the highest specific energy consumption. From an early publication (Olivier et al., 2015), it is known that EDW can maintain superior energy efficiency over thermal drying until reaching DS 45%. Therefore,

we can assume that the parameter combination “15 mm-20 V” gives the best dewatering performance despite the increased specific energy consumption.

For industrial application, it is also important to consider machine’s productivity. For example, solar dryer is very energy efficient, but its productivity is very low. In the case of EDW, the operating parameters should ensure a good productivity, i.e. the shortest time to reach a target DS. To address this issue, a target DS was set as 25% and the relevant data were extracted and compared. The results show that the combination of “15 mm-20 V” gives the best productivity, which is in line with the dewatering performance as discussed previously. As a consequence, the data generated from this operating parameter combination were used in the assessment. The detailed results are provided in Supporting Information (Section SI-2).”

P9 L1-30

The discussion about LCIA methods has been added in Supporting Information (Section SI-1). An additional sensitivity analysis has been added (P12 L4-7) and the results are reported (P18 L1-10).

9) This sentence could be included in the body text of the manuscript: "As reported in two review papers (references are attached below, LCA applied to wastewater treatment), there are big discrepancies in the LCIA results. The authors attribute this to the variations in the following aspects: data source (WWTPs treating water of different contamination levels with different removal efficiencies), process configuration, system boundaries, geographical area, functional unit and LCIA method. This makes it difficult to compare the results from different studies. Most of the studies stay with comparing scenarios."

This sentence has been added.

P16 L35-P17 L3

10) Where the inventory data of the analyzed scenarios in the paper were extracted? Data were collected in the field or data were extracted from the literature? The authors could talk more about inventory data: time coverage, geographic coverage, technological coverage, accuracy and precision (measurement of variability of inventory data using statistical methods), completeness (percentage of measured data and percentage of estimated data), data consistency (degree of data compatibility and uniformity with a population of interest), reproducibility, sources of data collection and uncertainties. The manuscript has been revised.

More information about data source has been added.

“The test was carried out at DICA, Politecnico di Milano from January through February of 2017, with samples extracted from the case study WWTP. The experimental setup and protocol were based on our previous publications (Visigalli et al., 2017b)...

...Each combination was tested in two replicates. The data giving the best dewatering performance (in terms of DS improvement and productivity) were used in the assessment”

P9 L4-29

The detailed results are provided in Supporting Information (Section SI-2).

“The lower heating value (LHV) of the dewatered sludge was calculated according to the handbook of wastewater solids incineration systems (Water Environment Federation - Incineration Task Force, 2009). The value was 3534 kWh/tDM at DS 40%. “

P10 L35-P11 L1

The detailed calculation is provided in Supporting Information (Section SI-4).

“The EDW machine price and service life were estimated by this manufacturer, based on their existing product lines, the prototype built for this project and the relevant information from their suppliers. The machine power was calculated on the basis of lab testing results from Politecnico di Milano.”

P13 L36-P14 L5

11) The results section like the other previous sections is also quite short, with little information and little detail in the comments and explanations. The authors could add more information to the readers. The section has been enlarged.

An additional sensitivity analysis has been added at (P12 L4-7) and the results are reported at (P18 L1-10).

The lab-scale EDW testing results are provided in Supporting Information (Section SI-2).

Besides, more discussion has been added.

“Several environmental indicators and economic performance indicators have been assessed. As seen from the results, the EDW upgrade could not give a uniform performance in all these indicators. To assist decision-making, the indicators can be ranked according to their importance or specific needs of a WWTP (e.g. goal to reduce a specific indicator). In this case, a scoring exercise can be helpful (Mills et al., 2014). Furthermore, more aspects can be incorporated in the scoring matrix to improve decision-making. For example, in the studies by Bertanza et al. (2016) and Tomei et al. (2016), technical feasibility (sub-categories such as reliability, flexibility/modularity, complexity and integration with existing structures), administrative aspects and normative constraints, and social aspects have been incorporated. In another example (Mills et al., 2014), tax incentives as a risk factor has been added.”

P18 L24-33

12) Conclusions: The conclusions are overly short. It has only 430 words and it should be at least 600-700 words. Beside that the conclusions are very poor. I would expect some managerial insights and general comments. Conclusions should be more pertinent. Novel insights that arise from the calculations carried out in the paper. There is potential for this in the paper. Conclusion can go deeper, it would be more interesting if the authors focus more on the significance of their findings regarding the importance of the interrelationship between the obtained results and the literature, and the barriers to do it.

This section has been extended. Now it has 650 words.

13) References: Cite ALL OF THESE references in your work.

-Gourdet, C., Girault, R., Berthault, S., Richard, M., Tosoni, J., Pradel, M. In quest of environmental hotspots of sewage sludge treatment combining anaerobic digestion and mechanical dewatering: A life cycle assessment approach. *Journal of Cleaner Production*, 143:1123-1136, 2017. -Larsen, J.D., Hoeve, M., Nielsen, S., Scheutz, C. Life cycle assessment comparing the treatment of surplus activated sludge in a sludge treatment reed bed system with mechanical treatment on centrifuge. *Journal of Cleaner Production*, 185:148-156, 2018.

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-Righi, S., Oliviero, L., Pedrini, M., Buscaroli, A., Della Casa, C. Life Cycle Assessment of management systems for sewage sludge and food waste: centralized and decentralized approaches. *Journal of Cleaner Production*, 44:8-17, 2013.

All the references have been added.

Environmental and economic assessment of electro-dewatering application to sewage sludge: A case study of an Italian wastewater treatment plant

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Keywords: Electro-dewatering; Sludge management; Life cycle assessment; Return on investment; Industrial application; WWTP

Word count: 9673

1 **Environmental and economic assessment of electro-dewatering application to sewage**
2 **sludge: A case study of an Italian wastewater treatment plant**

3

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16 **Keywords:** Electro-dewatering; Sludge management; Life cycle assessment; Return on investment;
17 Industrial application; WWTP

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36 **Word count:** 9673

1 Abbreviations

AC	Acidification
CHP	Combined Heat and Power
DM	Dry Mass
DS	Dry Solids
EDW	Electro-dewatering
EP	Eutrophication
eq.	Equivalent
EU	European Union
FU	Functional Unit
GHG	Greenhouse Gas
GW	Global Warming
LHV	Lower Heating Value
LCA	Life Cycle Assessment
LCIA	Life Cycle Impact Assessment
POF	Photochemical Ozone Formation
ROI	Return On Investment
ton	tonne
WWTP	Wastewater Treatment Plant

2

3 Abstract

4 The objective of this study is to evaluate the feasibility of implementing electro-dewatering (EDW) as
5 an add-on unit to the existing conventional dewatering units with the aim of increasing the final dry
6 solids content and reducing the subsequent handling and energy costs of sewage sludge management.
7 The assessment was carried out by focusing on a case study, a small wastewater treatment plant
8 (WWTP) in the Milan metropolitan area. Various indicators were used, ~~including to evaluate the~~
9 environmental impact ~~indicators~~ and economic performance ~~indicators~~. Primary data, such as
10 operating data from the case study WWTP and economic data from an EDW equipment manufacturer,
11 were extracted and used in the modelling. Four scenarios were set up and compared, ~~which addressing~~
12 the current and future sludge management schemes in Italy.
13 The results suggest that it is environmentally and economically feasible to implement the EDW
14 upgrade if the sludge disposal follows the incineration route. More specifically, when small WWTPs
15 deliver their EDW-dewatered sludge to a centralised incineration facility, this will enable to reduce
16 the global warming impact of the system up to 135 kg CO₂-eq. per dry tonne of sludge. In addition,
17 good profitability (incremental return on investment > 15.1%) can be obtained when the market
18 disposal cost is above 30.5-39.6 € per wet tonne of sludge. Based on our recent market survey, the
19 sludge disposal price is well above the break-even values.

1 Introduction

The generation of sewage sludge is experiencing a steady growth in the European Union (EU; European Commission, 2016). ~~According to the data source (Eurostat, 2017a; Heimersson et al., 2017), in 2010,~~ The EU wastewater treatment plants (WWTPs) ~~have dealt with needed to handle~~ over 10 million tons of sludge (in dry mass) ~~for disposal to agricultural land, composting sites, incineration plants and landfill sites in 2010~~ (Eurostat, 2017a). To comply with the EU's strategy for a circular economy, sludge is being disposed ~~with~~ of via two major routes: nutrient recovery by ~~applying~~ application to agricultural land and energy recovery by incineration (Papa et al., 2017). In either of these routes, it is favourable to increase the dry solid (DS) content of sludge. For example, a minimum DS of 40% is required for incineration in a fluidized bed incinerator and a DS of 90% for cement kiln incineration (Abuşoğlu et al., 2017). However, ~~in many WWTPs, sludge is being disposed at an average Dry Solids (DS) content of 20% (i.e. the sludge cake contains 80% of water),~~ due to the limits of mechanical dewatering that cannot remove the water bound to the colloidal solids in the sludge matrix (Mahmoud et al., 2010; ~~Vesilind, 1994~~), ~~the mechanically-dewatered sludge can only reach an average DS of 20%. Hence, additional thermal drying is needed, which consumes a significant amount of energy.~~

~~Therefore, improvement in sludge dewatering holds a great potential to reduce the WWTP's operating cost and its environmental impacts.~~

Electro-dewatering (EDW) in combination with mechanical compression is shown to be effective in increasing the sludge DS content and thus decreasing the quantity/volume of sludge requiring disposal.

~~In the meanwhile,~~ EDW can also maintain a better energy efficiency than thermal drying until reaching a DS of 38–45% (Olivier et al., 2015; ~~Yu et al., 2017~~). In the past decade, much research has been carried out in ~~the field of EDW and the majority of the studies are focused on how to optimise operating parameters in relation to energy efficiency. For instance, two factors, mechanical pressure and electrical voltage, were investigated in the work of Mahmoud et al. (2011). According to the authors, after optimising the operating conditions it was possible to save 25% energy compared with thermal drying. Therefore, EDW improvement in sludge dewatering holds great potential to reduce the WWTP operating costs and environmental impacts.~~

~~, polyelectrolyte dosage, different working modes (constant electrical voltage/constant electrical current), and sludge properties (e.g. type/nature, pH, and electrical conductivity; Guo et al., 2017; Mahmoud et al., 2011; Olivier et al., 2015; Tuan and Sillanpää, 2010; Visigalli et al., 2017a, 2017b).~~

Apart from being an effective dewatering technique, EDW also brings additional benefits during the treatment as it causes pathogen inactivation (Navab Daneshmand et al., 2012), and, if properly managed, it can reduce the concentration of heavy metals and organic pollutants in the dewatered sludge (Tuan and Sillanpää, 2010), the limits of which are regulated by the EU Directive 86/278/EEC and member states' regulations (Mininni et al., 2015).

1 Although many promising results have been reported and the mechanisms behind the EDW
2 phenomenon are relatively well understood (Mahmoud et al., 2010), it ~~seems that it has~~ taken a long
3 time for EDW to ~~make its full swing in the~~ be fully adopted for industrial application in WWTPs.
4 Several reasons are behind this:

5 (1) Relatively high energy consumption: Regarding this point, an early ~~research study~~ (Mahmoud et
6 al., 2010) suggests that EDW may find better application in dewatering/drying some high value
7 products, e.g. foods and pharmaceuticals rather than sewage sludge. According to a latest publication
8 (Zhang et al., 2017), the electricity consumption measured on an industrial EDW setup was 0.123
9 kWh per kilogram of water removed.

10 (2) Problems with anode material: It is well known that ~~the~~ anode is the core part of an EDW system.
11 It has a high cost and ~~in the meanwhile~~ it is subjected to high wearing caused by harsh
12 electrochemical corrosion and abrasion (Gronchi et al., 2017). According to ~~the one~~ data source
13 (Zhang et al., 2017), by considering the anode cost and its service life, it can be translated ~~as into~~ 4.23
14 € per tonne of water removed. ~~On the other hand, as~~ It is widely recognised ~~that~~ finding the suitable
15 replacement material is one of the key issues for promoting EDW to the industry users.

16 (3) Reliability under continuous working mode: Periodic cathode cleaning is needed to maintain the
17 ~~system's~~ efficiency and productivity of the system. In accordance with a relevant study (Zhang et al.,
18 2017), stepwise pressure has prolonged this period to 15 days, and this is acceptable for many
19 industrial users.

20 (4) Competition with other engineering options: This is especially applicable to the situation of large
21 WWTPs ~~where~~ there are different engineering options to choose from to dry the sludge. For example,
22 if a WWTP is integrated with a combined heat and power (CHP) system, it is possible to utilise the
23 waste heat/low-grade heat from the CHP to dry the sludge up to DS of 90% (Mills et al., 2014). In fact,
24 a low temperature dryer can be powered by various types of on-site waste heat (e.g. co-generation,
25 heating and air conditioning systems) as long as these heat sources are stable and have a minimum
26 temperature of 90°C (SUEZ's Degremont, 2017). In another example (Yoshida et al., 2014), the
27 WWTP is integrated with an on-site incinerator so that the heat generated from the incinerator can be
28 used to dry the sludge. Therefore, large WWTPs may show less interest in EDW.

29 The doubts and uncertainties presented in the points 1-3 can be resolved with a comprehensive
30 economic assessment. This is one of the objectives of this study. ~~While about the~~ For point 4, we
31 should not ignore the large number of small WWTPs (< 100,000 population equivalents). For instance,
32 currently there are about 60 WWTPs in the Milan metropolitan area and half of them are small
33 WWTPs. Normally, they do not have suitable and stable on-site heat sources to power a low-
34 temperature dryer, or the throughput of low-temperature dryer cannot satisfy the sludge production
35 rate. In this case, EDW upgrade could be a good solution to increase their sludge dryness ~~and~~, thus
36 reducing the ~~plant's~~ operating costs of the plant.

1 To evaluate the feasibility of EDW upgrade, it is important to provide measures from various
2 perspectives. Firstly, in accordance with the Water Policy Directive 2000/60/EC (European
3 Commission, 2000), it is necessary to take into account the recovery of costs for water services,
4 including environmental and resources, i.e. economic analysis is required. As a WWTP is an
5 important constituent of water services, the upgrading project should comply with this policy
6 (Bertanza et al., 2018).

7 In recent years, a decision support system built on technical, economic and environmental
8 performances is gaining popularity and being practiced by the researchers in this field (Bertanza et al.,
9 2015a; ~~MacDonald et al., 2018; Mills et al., 2014; Tomei et al., 2016~~). Basically, to evaluate the
10 environmental performances, it follows the concept of Life Cycle Assessment (LCA), which is an
11 international standard-based methodology (ISO14040 and ISO14044) and provides the ability to
12 evaluate a product's environmental impacts by considering all its life cycle stages, starting from raw
13 material extraction, manufacturing, use/reuse until final disposal (Gourdet et al., 2017). LCA has been
14 applied in the field of sludge management since 2000 (Yoshida et al., 2013). The relevant studies have
15 concentrated on the followings topics: identifying hotspots in WWTPs, assessing upgrading options
16 for the treatment lines (Zhang et al., 2017) and selecting the most suitable sludge management
17 schemes (Buonocore et al., 2016). For instance, in the study of Li et al. (2017), five different
18 anaerobic digestion configurations were assessed with environmental and economic indicators, and
19 the authors identified sludge organic content and biogas yield as the most influential factors. In
20 another study (Gourdet et al., 2017), by comparing different scenarios, it was found that increasing the
21 biodegradation rate of volatile solids and the biogas production was the most effective method to
22 reduce the environmental impacts. Also, the same study recognised that the consumption of FeCl₃ (a
23 chemical that is used to reduce the phosphorus contained in the return liquors from thickening and
24 dewatering stages) was identified as a hotspot in the system's environmental profile. Besides, LCA is
25 also a useful tool for working out proper waste management policies. As demonstrated by the case
26 study of Righi et al. (2013), a scenario composed of anaerobic digestion and composting had the best
27 environmental performance and was recommended to the policy-makers.

28 ~~Therefore, in this study, we follow this idea and carry out an assessment focusing on the EDW~~
29 ~~upgrade.~~ The objective of this study is to evaluate the feasibility of implementing EDW as an add-on
30 unit to the existing conventional dewatering, which serves to increase the final DS content and reduce
31 the subsequent handling and energy costs of sewage sludge management. The assessment was carried
32 out by focusing on a case study, a small WWTP in the Milan metropolitan area. Based on the
33 comparisons between different ~~scenarios~~ ~~sludge management options~~, we attempt ~~aim~~ to identify the
34 most suitable market to implement the EDW upgrade. Our previous publications focused on the
35 technical issues of EDW (Visigalli et al., 2017a/b) by investigating the factors that influence the
36 system's performance. Whereas, in the present study, the emphasis is placed on the environmental and
37 economic assessment.

1 2 Methods

2 2.1 Environmental assessment

3 2.1.1 Goal and scope

4 The goal of this study is to assess the feasibility of implementing an EDW upgrade for a small WWTP
5 following the ~~Life Cycle Assessment (LCA)~~ methodology. Here, “EDW upgrade/EDW dewatering”
6 indicates that the EDW unit is a retrofittable add-on module arranged after the existing mechanical
7 dewatering facility. As a whole system, it enables ~~to an~~ increase to the sludge DS from the initial 3.3%
8 to 40%. 40% was set as the target DS due to the requirements of self-sustaining incineration (Outotec
9 Oyj, 2016; ~~Zhang et al., 2017~~).

10 The case study focuses on a small WWTP situated in the Milan metropolitan area, which serves
11 47,000 population equivalents. In this plant, the sludge is stabilised with the aerobic stabilisation
12 method. After that, it is dewatered with a belt press (mechanical dewatering). ~~Sludge samples were~~
13 ~~extracted from this WWTP for the lab-scale EDW test.~~ In 2016, ~~the plant has~~ produced a total of 2300
14 ~~tons of dewatered sludge~~ that was disposed through a multiple-channel approach: 54.8% to landfill,
15 26.2% to incineration, and 19% to an external WWTP for further processing. The average disposal
16 cost was 80 €/wet tonne.

17 The Functional Unit (FU) was defined as the treatment and disposal of 1 dry tonne of sludge (denoted
18 as 1 tDM) coming from the upstream stabilisation stage.

19 The system boundaries are depicted in Figure 1, including all of the processing stages taking place
20 after sludge stabilisation (i.e. conditioning, dewatering, and transport) up to the final disposal stage
21 (land spreading or incineration and ash to landfill).

22 (Figure 1)

23 ~~To help justify the advantages of the EDW upgrade, it was compared with the mechanical dewatering~~
24 ~~equipment used by the WWTP. Moreover, two sludge disposal routes were considered: sludge for~~
25 ~~land spreading (i.e. sludge is applied to arable land as fertiliser without composting treatment) and~~
26 ~~sludge for incineration. This is because at present stage sludge for agriculture use currently (including~~
27 ~~land spreading and composting) accounts for the biggest share in sludge end use in Italy (Eurostat,~~
28 ~~2017a; Papa et al., 2017).~~ It is widely used for its low cost. However, it is susceptible to policy
29 changes, e.g. increasingly stringent contaminants limits (Mininni et al., 2015). Seeing that it is no
30 longer permitted in Switzerland and discouraged in Netherlands and Germany, this has raised
31 significant concerns among the Italian WWTP operators, and our recent survey of some WWTPs in
32 the Lombardy region found that many of the WWTPs were using more than one disposal routes in
33 case that the policy tightens (Díaz et al., 2015). The other disposal route, sludge disposed to a
34 centralised incinerator, represents the future scheme of sludge management as it enables to lower the
35 disposal cost and cope with the challenges and risks associated with sludge for agriculture use.

36 In total, four scenarios were set up ~~for making to allow for~~ comparison of the options (see Figure 1):

1 Scenario A1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with
2 mechanical dewatering equipment (belt press). After that, the dewatered sludge cakes (DS 18.2%) are
3 transported by truck to agricultural fields and applied using a tractor. After application, sludge
4 gradually becomes available to the crops. In this process, it also releases GHG emissions to the air.

5 Scenario A2: it follows a similar land spreading route as described in A1. The only difference lies in
6 the dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and
7 consequently the outlet DS will be increased to 40%. In this case, A2 represents the EDW upgrading
8 scenario and it will be compared with the reference scenario A1. ~~EDW dewatered sludge for land~~
9 ~~spreading~~

10 Scenario C1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with
11 mechanical dewatering equipment (belt press) by each individual WWTP. After that, the dewatered
12 sludge cakes (DS 18.2%) from each individual WWTP are transported by truck to a centralised
13 incineration facility. Subsequently, the cakes are mixed and dried with a disc dryer (thermal drying) to
14 reach DS 40% and then they are fed into a fluidized bed furnace. The waste heat is recovered. After
15 material recovery from the ashes, the residues are sent to landfill for hazardous waste.

16 Scenario C2: it follows a similar incineration route as described in C1. The only difference lies in the
17 dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and
18 consequently the outlet DS will reach 40% and the thermal drying treatment occurred in the
19 incineration plant will be omitted. In this case, C2 represents the EDW upgrading scenario and it will
20 be compared with the reference scenario C1. ~~EDW dewatered sludge at WWTPs for incineration~~
21 ~~Between the scenarios (A1 versus A2, C1 versus C2), the difference only lies in the dewatering stage.~~
22 ~~The mechanical dewatering as concerned in A1 and C1 (reference scenarios) reflects the current state~~
23 ~~of the art, while the EDW dewatering as concerned in A2 and C2 is the upgrading option under~~
24 ~~assessment.~~

25 ~~Especially, the scenario C2 was constructed to consider the further improvement on to the efficiency~~
26 ~~of energy recovery—instead of relying on the integrated on-site thermal dryer—. The reliance on the~~
27 ~~integrated on-site thermal dryer is removed. If the delivered sludge on delivery already has a suitable~~
28 ~~DS content (e.g. 40%) to be dumped loaded into the incinerator, it would boost the incinerator's~~
29 ~~productivity and more heat could be diverted to the local district heating network compared to~~
30 ~~scenario C1.~~

31 SimaPro 8.4 was used to model the scenarios. Database ecoinvent V3 (allocation, recycled content
32 system model) was used with priority for the background systems. The geographic boundary was
33 specified to be the Italian border.

34 Six impact categories were assessed: Global Warming (GW), Acidification (AC), Photochemical
35 Ozone Formation (POF), and terrestrial, freshwater and marine Eutrophication (EP). These impact
36 categories were selected for their close relevance to the system under study (Mills et al., 2014; Tomei
37 et al., 2016; Yoshida et al., 2013), in relation to the following elements:

1 (1) the end use of sludge: the emissions of land spreading and incineration are directly related to GW,
2 AC and EP;

3 (2) EDW is an energy intensive process and a major advantage of using EDW is to reduce the fuel
4 consumption in the road transport stage. In this context, GW, POF, AC and EP are directly related to
5 consumption of fossil fuels.

6 The impact categories were assessed with the Life Cycle Impact Assessment (LCIA) methods
7 recommended by the “ILCD Handbook” (European commission JRC-IES, 2011). A detailed list of
8 methods used is provided in Supplementary Information (Section SI-1).

9 The toxicity issues in relation to sludge for agriculture use (e.g. heavy metals, pathogens, organic
10 pollutants and other contaminants) were not considered. Also, the benefits of improved soil properties
11 and crop productivity were discounted because there is a lack of proper characterization model to
12 quantify them. In fact, further risk assessment is more-needed to address the associated risks and
13 benefits. For example, field studies are good examples (Alvarenga et al. (2017) conducted soil and
14 plant analysis on the sludge-amended fields; Mantovi et al. (2005) carried out a 12-year field study to
15 compare the difference between mineral fertilisers and sludge.

16 Cases of multi-functionality were solved by expanding the system boundaries to include avoided
17 primary productions due to material recovery from waste (European Commission JRC-IES, 2010;
18 Finnveden et al., 2009). In this case study, the avoided products are fertiliser and heat.

19 2.1.2 Environmental data inventory

20 As a common practice in this research area (Corominas et al., 2013; Mills et al., 2014; Tomei et al.,
21 2016; Yoshida et al., 2013), the construction and demolition of infrastructure were excluded; biogenic
22 CO₂ emission was regarded as climate neutral (Houillon and Jolliet, 2005; Tomei et al., 2016).

23 The LCA modelling was assisted with mass balance calculations (see Figure 2 and Figure SI-1 in
24 Supplementary Information). Data collection for each life cycle stage is described as follows.

25 (Figure 2)

26 Conditioning

27 During the sludge conditioning, a polyelectrolyte is used. The polyelectrolyte dosage, 5.30 kg/tDM,
28 was taken from the WWTP’s operating data. Polyelectrolyte was modelled with acrylonitrile (a raw
29 material for producing acrylamide polymers) following the relevant literature (Tomei et al., 2016;
30 Yoshida et al., 2014).

31 Mechanical dewatering

32 The mechanical dewatering data, energy consumption (41.16 kWh/tDM) and sludge DS improvement
33 (3.3% to 18.2%), were extracted from the WWTP. The Italian electricity data (database ecoinvent V3)
34 was used.

35 EDW dewatering

36 Our previous publications focus on the technical issues of EDW, investigating the factors that
37 influence the system’s performance. While in the present study, the emphasis is placed on the

1 ~~environmental and economic assessment, but~~ Some data (e.g. the outlet DS and the specific energy
2 consumption) needed to be obtained from experiments.

3 ~~The data of sludge volume reduction and specific energy consumption were derived from our lab test~~
4 ~~following the method described in (Visigalli et al., 2017b).~~ The test was carried out at DICA,
5 Politecnico di Milano from January through February of 2017, with samples extracted from the case
6 study WWTP. ~~The experimental setup and protocol were based on our previous publications~~
7 ~~(Visigalli et al., 2017b).~~ In brief, sludge samples were treated between the electrodes (anode DSA®
8 by De Nora and cathode stainless steel AISI 304) that were connected to a DC power supply (GBC,
9 34121070 bench scale generator). A constant mechanical pressure of 300 kPa was applied throughout
10 the 25-min EDW treatment. The evolution of electric current and mass of filtrate were recorded and
11 used for calculating the specific energy consumption.

12 Two sets of parameters were tested:

13 (1) cake thickness (unit in mm): 15, 20, 25;
14 (2) electrical potential (unit in V): 10, 15, 20.

15 Each combination was tested in two replicates. The data giving the best dewatering performance (in
16 terms of DS improvement and productivity) were used in the assessment, that is lower cake thickness
17 (15 mm) combined with higher voltage (20 V) ~~gives the best DS improvement in each sludge case.~~
18 Accordingly, this parameter combination caused the highest specific energy consumption. From an
19 early publication (Olivier et al., 2015), it is known that EDW can maintain superior energy efficiency
20 over thermal drying until reaching DS 45%. Therefore, we can assume that the parameter combination
21 “15 mm-20 V” gives the best dewatering performance despite the increased specific energy
22 consumption.

23 For industrial application, it is also important to consider the productivity of the machine. For
24 example, a solar dryer is very energy efficient, but its productivity is very low. In the case of EDW,
25 the operating parameters should ensure good productivity, i.e. the shortest time to reach a target DS.
26 To address this issue, a target DS was set at 25% and the relevant data were extracted and compared.
27 The results show that the combination of “15 mm-20 V” gives the best productivity, which is in line
28 with the dewatering performance as discussed previously. As a consequence, the data generated from
29 this operating parameter combination were used in the assessment. The detailed results are provided
30 in Supplementary Information (Section SI-2).

31 Based on the experiment data, it was assumed that the EDW unit was used to process the
32 mechanically-dewatered sludge, increasing its DS from 18.2% to 40%. As a whole system, the EDW
33 upgrade consumed 409.40 kWh/tDM for increasing the sludge DS from 3.3% to 40%. The Italian
34 electricity data (database ecoinvent V3) was used.

35 It seems that there is a limitation for extrapolating the lab-scale device data to industrial application.
36 However, in accordance with the data reported by Zhang et al. (2017), from the lab scale device

1 (anode area 0.13 m²) to the industrial scale machine (anode area 47.52 m²) under continuous working
2 mode, only negligible discrepancy was found between the specific energy consumptions.

3 Transport

4 It was assumed that the dewatered sludge was transported for 100 km (Truck 16-30 t, vehicle
5 emission EURO 5) to reach the storage site/incineration plant. The storage related input and output
6 were discounted due to lack of data (Heimersson et al., 2016).

7 Tractor application

8 It was assumed that the sludge cake was applied in agriculture land using a tractor, which consumed
9 0.5 L diesel per wet tonne of sludge (Møller et al., 2009).

10 Land spreading

11 The fertiliser replacement rates are based on the relevant studies. **The nutrient concentrations of**
12 **dewatered sludge were based on the data from Mantovi et al. (2005), and the conversion factors were**
13 **extracted from the study of** Heimersson et al., (2017). For the N-fertiliser, the total N was 4.25% of
14 the sludge Dry Mass (DM); a ~~converting-conversion~~ factor of 0.5 was used to account for the element
15 mineralisation (subsequently becomes available for crop uptake). For the P-fertiliser, the total P was
16 1.81% of the sludge DM; a ~~converting-conversion~~ factor of 0.7 was used to account for the element
17 mineralisation.

18 ~~On the other hand,~~†The greenhouse gas (GHG) emissions associated with the life cycle stage of land
19 spreading were calculated following the relevant references: CH₄, 5kg/tDM (~~Heimersson et al., 2016;~~
20 Penman et al., 2000); NH₃, 8% total N of sludge applied (~~Heimersson et al., 2016;~~Remy and Jekel,
21 2008); N₂O, 1% of total N of sludge applied (Tomei et al., 2016). The emissions to soil and water
22 were extracted from the study of Lombardi et al. (2017).

23 Incineration

24 The incineration plant configuration with energy balance calculation (scenario C1) is illustrated in
25 Figure 3. It is based on a reference case, in which a centralised incineration plant is responsible for
26 processing sludge from over 70 small WWTPs in the region (Outotec Oyj, 2016).

27 (Figure 3)

28 It was assumed that the plant was equipped with a waste heat recovery system of thermal efficiency of
29 95% (Murakami et al., 2009). The energy (biogenetic) produced by the system was utilised in such a
30 way that the electricity was only for the plant's self-use, part of the heat was used to power the
31 thermal dryer to increase the sludge DS content from 18.2% to 40% (having the same DS
32 improvement as the "EDW upgrade"), and the rest of the heat is distributed through the local district
33 heating network (output to the outside of system boundaries). Combustion air preheating was
34 excluded ~~for because~~ it is not needed in the scheme considered.

35 The lower heating value (LHV) of the dewatered sludge was calculated according to the handbook of
36 wastewater solids incineration systems (Water Environment Federation - Incineration Task Force,

1 2009). The value was 3534 kWh/tDM at DS 40%. The detailed calculation is provided in
2 Supplementary Information (Section SI-4).
3 For thermal drying, the specific energy consumption was taken as 0.72 kWh per kilogram of water
4 evaporated, reflecting the best available industrial technology (SUEZ’s Degremont, 2017). The
5 resulting value was 2155.57 kWh/tDM.
6 The output energy flow was modelled as replaced heat generated from fossil fuel sources (co-
7 generation using natural gas), aiming to reflect the possible impact to the Italian energy consumption
8 structure. ~~At current stage e.a.~~ **Currently, approximately** 90% of energy consumption in Italy is fossil
9 fuel-based (Deloitte, 2015). This represents the scenario C1.
10 In the case of scenario C2, the heat previously used for thermal drying will be saved and completely
11 diverted to the district heating, i.e. more output to the outside of the system.
12 **The ashes generated from the flue gas treatment are treated to make them inert and are ,after material**
13 **recovery (sand, gravel, sodium chloride, etc.), the residues are sent to a landfill for hazardous waste,**
14 **located in Italy.**
15 The incineration related material and energy inputs and emissions were extracted from the relevant
16 study with necessary adaptations (Lombardi et al., 2017).
17 The key inventory items are summarised in Table 1. The data are normalised to the FU (1 tDM).
18
19 Table 1. Inventory of data for the LCA (normalised to the FU, 1 tDM).

Inventory item	Unit	Amount
Polyelectrolyte (modelled with Acrylonitrile)	kg	5.30
Electricity, medium voltage, IT (mechanical dewatering, belt press)	kWh	41.16
Electricity, medium voltage, IT (EDW dewatering)	kWh	409.40
Energy consumption for sludge thermal drying	kWh	2155.57
Sludge for land spreading		
N-fertiliser replacement (ammonium nitrate)	kg	21.25
P-fertiliser replacement (superphosphate)	kg	12.67
GHG emissions due to sludge application in the fields		
Methane (CH ₄)	kg	5.0
Ammonia (NH ₃)	kg	3.4
Nitrous oxide (N ₂ O)	kg	0.43
Sludge for incineration		
Replaced heat (C1)	kWh	971
Replaced heat (C2)	kWh	3127

20

1 2.1.3 Sensitivity analysis

2 As stated in the introduction, energy consumption is a critical factor for promoting EDW to the
3 industrial users. At the same time, very often the energy data contains big variations when treating
4 sludge from different sources. In this case, the robustness of the results was analysed. The energy
5 consumption of EDW dewatering stage was varied by $\pm 25\%$ with respect to the lab testing data.

6 2.2 Economic assessment

7 2.2.1 Assessment method and indicators

8 To assess the economic performance of ~~the upgrading~~ upgrade options for the WWTP, previous
9 studies have utilised the life cycle costing method (~~Bertanza et al., 2015;~~ Tomei et al., 2016). In this
10 method, the results are presented as a cost gap in each life cycle stage (i.e. the difference between the
11 reference scenario and upgrading scenario): a negative gap means the upgrading option is
12 economically favourable. The total cost gap is an aggregated result of all the life cycle stages. In this
13 way, it is possible to identify the most critical life cycle stages (i.e. hotspots) but the downside is that
14 the results are restricted to the scenarios concerned in the study.

15 Apart from assessment method, it is also important to select appropriate economic performance
16 indicators. ~~For large chemical engineering project (e.g. to build a WWTP or to implement large~~
17 ~~modifications),~~ Net present value (Mills et al., 2012) and internal rate of return (Mills et al., 2014)
18 are usually used ~~for large chemical engineering projects (e.g. building a WWTP or implementing~~
19 ~~large modifications)~~. However, they are not suitable for evaluating small project, such as the EDW
20 upgrade concerned in the present study, which only requires a short execution time and a relatively
21 small investment. In this case, more suitable indicators are needed: ~~For EDW upgrade,~~ cost saving
22 stems from sludge volume reduction and sludge disposal cost ~~for an EDW upgrade~~. However, sludge
23 disposal costs ~~currently varies-vary~~ greatly from country to country and from region to region,
24 depending on the local circumstances, such as regulations, disposal routes and subcontractors.

25 According to the data source (Bertanza et al., 2015b), the disposal cost within the EU ranges from 20
26 to 100 €/wet tonne. In this case, a model demonstrating the relationship between the profitability of
27 the upgrading project and the disposal cost will be useful to cover a wide scope of market situations.
28 Therefore, ~~in this study,~~ the economic assessment ~~in this study~~ was carried out following the method
29 ~~of Towler and Sinnott (2013)~~. It focuses on the EDW upgrade itself rather than the scenarios as
30 previously discussed in the LCA. It also gives more flexibility in sensitivity analysis and the results
31 can be easily communicated to the WWTPs managers (Zhao et al., 2016).

32 The first indicator, incremental Return On Investment (ROI), was calculated with Equation 1 (Towler
33 and Sinnott, 2013):

$$\text{Incremental Return On Investment} = \frac{\text{Incremental Profit}}{\text{Incremental Investment}} \times 100\% \quad (1)$$

34 In the case of the EDW upgrade, the incremental investment refers to the EDW machine's investment
35 cost (one piece of EDW machine, including the cost of installation and shipping). It was assumed that

1 the upgrade caused no changes to the working capital. The incremental profit was calculated from the
2 difference between the cost saving in sludge disposal and the cash cost of production.

3 The second indicator, total cost of production, was calculated with Equation 2 (Towler and Sinnott,
4 2013):

$$\text{Total Cost of Production} = \text{Cash Cost of Production} + \text{Annual Capital Charge} \quad (2)$$

5 In the case of the EDW upgrade, the cash cost of production is the sum of variable production cost
6 (e.g. consumables and EDW electricity use) and fixed production cost (e.g. labour and maintenance).

7 The annual capital charge is the annualized investment of EDW machine over the project period (i.e.
8 the service life of the EDW machine) at a certain interest rate.

9 **2.2.2 Sensitivity analysis**

10 EDW is an energy intensive process. Energy consumption and energy price can have a strong
11 influence on the project's profitability. Therefore, a sensitivity analysis was carried out to address the
12 following cases.

13 Low energy consumption case: The "low energy consumption case" was modelled as the EDW unit
14 energy consumption dropping by 25% with respect to the "standard case" (lab testing results) while
15 holding the DS improvement constant. ~~It~~ This case represents the situation when the EDW machine is
16 running with improved dewatering efficiency. This is a practical consideration. In fact, nowadays
17 there are a few examples of industrial EDW systems on the market, e.g. the "ELODE" from ACE
18 (Korea) and the "EKG" from Electrokinetic (UK) (Zhang et al., 2017). However, all of them are belt
19 press-based. ~~By~~ In contrast, our EDW prototype machine is screw press-based, and it is expected that
20 the dewatering efficiency will be improved. This is because the shearing effect of the screw would
21 mitigate the curst development on the anode, which has been recognised as the major limit to the
22 EDW dewatering efficiency in a latest study (Yu et al., 2017).

23 High energy consumption case: The "high energy consumption case" was modelled as the EDW unit
24 energy consumption increasing by 25% with respect to the "standard case" while holding the DS
25 improvement constant. This corresponds to the situation of treating the poor-EDW-response sludge.
26 This is also a practical consideration ~~as~~ because in our previous studies we have noticed that sludge
27 from different WWTPs showed different responses to the EDW treatment, depending on the sludge
28 nature and its upstream processes (Visigalli et al., 2017b).

29 EU average case: The Italian electricity price (for industrial user) is the 2nd-second highest in the EU,
30 being 28 – 30% higher than the EU average price (Eurostat, 2017b). Thus, an additional case was
31 added by considering the EU average electricity price (0.114 €/kWh) and is denoted as "EU average".

32 **2.2.3 Economic data inventory**

33 In our project (Visigalli et al., 2017b), we aim to scale up the lab-scale EDW device for industrial
34 applications. The industrial prototype is currently under development (screw press-based machine), it
35 is therefore possible to extract some primary economic data from the machine manufacturer (X2
36 Solutions Srl, who is also one of the project consortiums). The EDW machine price and service life

1 were estimated by this manufacturer, based on their existing product lines, the prototype built for this
 2 project and the relevant information from their suppliers.

3 The EDW machine has a throughput of 0.2 m³/h. In terms of yearly throughput, it can process 800
 4 tons of mechanically-dewatered sludge, which especially suits the needs of small WWTPs. The
 5 machine power was calculated on the basis of lab testing results from Politecnico di Milano.

6 As a first assumption, the EDW machine will be distributed and used in the Italian market. Therefore,
 7 the Italian market data were used for the calculation, including the cost of shipping and installation,
 8 maintenance, labour, tax rate, interest rate, and electricity price.

9 To be consistent with the previous LCA study, the input sludge DS was set as 18.2% and the output
 10 DS as 40%.

11 The data used for the economic assessment and their sources are summarised in Table 2.

12

13 Table 2. Inventory of data for the economic assessment.

Item	Value	Source
Machine capacity	0.2 m ³ /h	EDW machine manufacturer
DS of inlet sludge	18.2%	Extracted from WWTP
DS of outlet sludge	40%	Experimental data
Working hours per year	3800 h	Extracted from WWTP
EDW machine price	Estimate	EDW machine manufacturer
Maintenance cost	Estimate	EDW machine manufacturer
Machine service life/project period	10 years	EDW machine manufacturer
Machine power*	14.6-18.2 kW	Experimental data
Electricity price for industrial users, all taxes included, Italy	0.148 €/kWh	Eurostat (2017b)
Interest rate (before taxes), water sector	8.74%	KPMG (2015)
Tax rate, Italy	27.90%	Deloitte (2017)
Sludge disposal cost (including transport)	20-100 €/wet tonne of sludge	Díaz et al. (2015), Bertanza et al. (2015b)

14 * The power value is given in ranges, as it includes the variations of energy consumption considered
 15 in the sensitivity analysis.

16

17 3 Results and discussions

18 3.1 LCIA results

19 3.1.1 Global Warming

20 GW is regarded as the most important impact category in sludge management (Mills et al., 2014). It is
 21 also the most frequently communicated one (Yoshida et al., 2013). It directly affects a WWTP's profit

1 via the regulator's incentives/tax charges (Mills et al., 2014). Figure 4 shows the GW impact for the
2 four scenarios considered in this study. The net impact is calculated as the sum of the impacts from all
3 the life cycle stages including the credits (either replaced fertilisers or replaced heat) and it is
4 indicated with a data label.

5 (Figure 4)

6 It ~~can be~~was found that the scenario C2 gives the best performance. The system's net GW impact of
7 C2 drops to -32 kg CO₂-eq., in contrast to 87 kg CO₂-eq. of A1 and 103 kg CO₂-eq. of C1. This is for
8 two reasons:

9 (1) though the EDW upgrade itself induces a big percentage of impact (223 kg CO₂-eq.) due to its
10 electricity consumption, it enables a greater credit to the system (-312 kg CO₂-eq.) by displacing the
11 fossil fuel-based district heat;

12 (2) the EDW upgrade also enables sludge volume reduction by 55%, which in turn contributes to
13 cutting 50 kg CO₂-eq. ~~in~~during the transport stage. This result is in line with the findings reported in
14 the study of Gourdet et al. (2017), in which the sludge DS was identified as one of the most sensitive
15 parameters in the dewatering stage and it produced the greatest variability to GW impact through its
16 influence on the transport stage (emissions e.g. CO₂, N₂O, SF₆, and CH₄).

17 In fact, further reduction is possible. For example, in C1 we have used the data of the best available
18 technology in thermal drying – 30-50% more efficient than the average cases (SUEZ's Degremont,
19 2017). This means that when we move from C1 to C2, less replaced heat has been considered in the
20 calculation.

21 It should be noted that the Italian electricity data have been used in the current calculation. In 2012,
22 over 68% of Italy's electricity generation was fossil fuel-based (14% by hydropower and 13% by
23 renewable sources; Deloitte, 2015), which is a relatively high percentage within the EU. Therefore, an
24 additional scenario was constructed to reflect the average EU case, in which the Italian electricity data
25 was replaced with the "country-mix" electricity data of the EU (ENTSO-E). The results indicate that
26 the GW impact of C2 can be further reduced to -57 kg CO₂-eq.

27 On the other hand, the scenario A2 is the worst performer in this impact category. If we move from
28 A1 to A2, the indicator will increase significantly, from 87 to 236 kg CO₂-eq. This is mainly
29 attributed to the use of electricity of EDW in the meanwhile its effect on sludge volume reduction
30 (reduced fuel consumption in transport and field tractor application) is not big enough to offset the
31 GW impact induced by the EDW itself.

32 In conclusion, ~~if a WWTP's objective is to cut off its GW impact,~~ the current analysis provides strong
33 support for implementing the EDW upgrade, ~~either moving from A1 to C2, or moving from C1 to~~
34 ~~(moving to C2) if a WWTP's objective is to reduce its GW impact.~~

35 3.1.2 Other impact categories

36 The LCIA results of other impact categories strongly depend on the disposal routes. So, they were
37 plotted in two respective figures according to the disposal routes. Also, the results were normalised

1 against the greatest absolute net value for each impact category to make them share a common y-axis
2 (in %). Figures plotted with absolute impact values can be found in Supplementary Information
3 (section SI-5).

4 The LCIA results for the land spreading route (A1 & A2) are depicted in Figure 5. The data labels
5 over the bar end indicate the net impact values.
6 (Figure 5)

7 The life cycle stage of land spreading is shown as an aggregated result, which sums the impact of the
8 emissions (to air, soil and water) and the credit to the system (inorganic fertiliser replacement). It
9 behaves differently in different impact categories. For example, for the indicators of AC, POF and
10 marine EP, the effect of the credit is stronger than the emissions, **and, as a consequence**, the net
11 outcome is negative; whereas for the other impact categories (terrestrial and freshwater EP), the
12 emissions become dominant, accounting for over 90% of the overall system's impact. In accordance
13 with a more detailed study (Yoshida et al., 2018), the fate of phosphorus (P) strongly influences the
14 freshwater EP, while the fate of nitrogen (N) has greater impact on the terrestrial EP and marine EP.
15 The electricity consumption of EDW accounts for a very large percentage in the indicators of AC,
16 POF and marine EP. **In the meanwhile,** the "trade-off" (i.e. the reduced impacts in the stages of
17 transport and field tractor application due to sludge volume reduction) is not big enough to offset the
18 EDW electricity consumption itself. So, the net outcome is that the EDW upgrade increases the
19 impacts in these categories.

20 The impacts for the incineration disposal route (C1 & C2) are depicted in Figure 6. The data labels
21 over the bar end indicate the net impact values. **Because of** the EDW upgrade **results in the system's**
22 net impact **drops reduction** in the following impact categories: POF, terrestrial EP and marine EP.
23 Especially, the drop is more remarkable in the POF – 40% less than the case of C1. Furthermore, if
24 the Italian electricity data are replaced with the ENTSO-E data, 65% reduction can be achieved in the
25 POF. The reduction mainly comes from the trade-off effect of those life cycle stages using fossil fuel,
26 e.g. transport (diesel) and avoided heat production (natural gas-powered CHP plant, IT market,
27 ecoinvent V3).

28 (Figure 6)

29 **Regarding the AC, m**Moving from C1 to C2 will increase the impact **to AC** by 20% despite the credit
30 coming from the replaced heat. While for the impact of freshwater EP, the EDW dewatering accounts
31 for nearly 90% of the impact of C2. However, if we look at the absolute value (see Supplementary
32 Information, Figure SI-5), moving from C1 to C2 corresponds to an increase from 0.03 to 0.06 kg P-
33 eq., which is less than 6% of the land spreading cases, which is in line with the results reported in the
34 study of Lombardi et al. (2017).

35 **In fact, there are discrepancies between the LCIA results reported from different publications**
36 **(Corominas et al., 2013; Yoshida et al., 2013), which can be attributed to the variations in the**
37 **following aspects: data source (WWTPs treating water of different contamination levels with different**

removal efficiencies), process configuration, system boundaries, geographical area, functional unit and LCIA method. This makes it difficult to compare the results from different studies. Instead, most of the studies stay with comparing scenarios.

The LCIA results give a holistic view of the sludge management scenarios. The EDW dewatering stage consumes large amount of electricity, causing significant increases in the impact indicators; on the other hand, it contributes to reduce the overall system's impacts in the downstream life cycle stages, e.g. reduced impacts in the transport stage due to sludge volume reduction and reduced impact in the disposal stage (replaced fertiliser/heat). This implies that sludge management should encompass the life cycle thinking, encouraging solutions that enable to reduce the overall environmental impacts, and avoiding shifting the environmental burdens from one life cycle stage to another.

3.1.3 Results of the sensitivity analysis

The LCIA results of the sensitivity analysis about of the EDW energy consumption are listed in Table 3. Generally, the variation of the EDW energy consumption has no significant influence on the conclusions derived from comparing the scenarios (i.e. A1 versus A2 and C1 versus C2). The only exception is that if the EDW energy consumption decreases by 25%, the AC impact of C2 will be less than that of C1.

Table 3. LCIA results of the life cycle stage of EDW dewatering. The EDW energy consumption is varied by $\pm 25\%$ (corresponding to the results of Min and Max, respectively) with respect to the lab testing data (corresponding to the results of Mean).

Impact category	Unit	Min	Mean	Max	Difference from mean
GW (IPCC 100a)	kg CO ₂ -eq.	1.73E+02	2.23E+02	2.73E+02	5.00E+01
AC	mol H ⁺ -eq.	8.72E-01	1.13E+00	1.38E+00	2.53E-01
POF	kg NMVOC-eq.	3.49E-01	4.50E-01	5.51E-01	1.01E-01
Terrestrial EP	mol N-eq.	1.15E+00	1.48E+00	1.81E+00	3.32E-01
Freshwater EP	kg P-eq.	4.25E-02	5.49E-02	6.72E-02	1.23E-02
Marine EP	kg N-eq.	1.10E-01	1.42E-01	1.74E-01	3.20E-02

3.2 Economic profitability

The incremental ROI for implementing the EDW upgrade is depicted in Figure 7. Three representative points of disposal cost (20, 60 and 100 €/wet tonne) were selected to establish the relationship between the incremental ROI and the disposal cost. According to the data source (Investopedia, 2015), the average ROI of water sector is 15.1%. Thus, a reference line at ROI 15.1% is added to facilitate the evaluation. The break-even values at ROI 15.1% are reported in the annotation box in the figure.

1 (Figure 7)

2 It ~~can be~~was observed that most of the ROI developments are above the reference line, which implies
3 that all ~~the~~four cases considered can enjoy good profitability with the EDW upgrade. More
4 specifically, an attractive ROI is attainable for the low energy consumption case, EU average case,
5 standard case and high energy consumption case when the sludge disposal cost is above 30.5, 30.8,
6 35.0, and 39.6 €/wet tonne, respectively.

7 The sludge disposal cost for agriculture use (both land spreading and composting, at DS 25%) is 47-
8 57 €/wet tonne including transport based on our recent survey of some WWTPs in the Lombardy
9 region of Italy (Díaz et al., 2015). In the case of disposal to incineration (at DS 80-90%), 66-78 €/wet
10 tonne is common. Therefore, the incremental ROI generated from the EDW upgrade is very attractive,
11 especially if one considers that the disposal cost is projected to increase, driven by the increasingly
12 stringent discharge limit on the sludge for agriculture use, and the increasing fuel price for transport
13 (Mininni et al., 2015).

14 The EU average case performs close to the low energy consumption case, suggesting that the EDW
15 upgrade is applicable to other EU markets and may give better economic performance than in Italy.

16 The results of total cost of production indicate that the cost of electricity accounts for the biggest share,
17 being (57%) with contributions from the annual capital charge (21%), the consumables (17%, mainly
18 the anode consumption), and the fixed cost of production (5%, for the cost of maintenance). This
19 confirms the concerns associated with using EDW, e.g. relatively high energy consumption and
20 expensive anode replacement. However, the cost saving from sludge volume reduction is much
21 greater than the total cost of production, such that the WWTP will enjoy a good profit after
22 implementing the EDW.

23 3.3 Selecting drying methods

24 Several environmental ~~indicators impact~~ and economic performance indicators have been assessed.

25 As seen from the results, the EDW upgrade could not give a uniform performance in all these

26 indicators. ~~To assist decision-making,~~ The indicators can be ranked according to their importance or
27 specific needs of a WWTP (e.g. goal to reduce a specific indicator) ~~to assist decision-making~~. In this
28 case, a scoring exercise can be helpful (Mills et al., 2014). Furthermore, more aspects can be
29 incorporated in the scoring matrix to improve decision-making. For example, in the studies by
30 Bertanza et al. (2016) and Tomei et al. (2016), technical feasibility (sub-categories such as reliability,
31 flexibility/modularity, complexity and integration with existing structures), administrative aspects and
32 normative constraints, and social aspects have been incorporated. In another example (Mills et al.,
33 2014), tax incentives as a risk factor has been added.

34 Regulation and policy-making in sludge management can be another important aspect to consider. In
35 terms of disposal methods, landfill will be progressively phased out in the EU (Mininni et al., 2015).

36 In recent years, opposition to direct use of sludge in agriculture has intensified due to consumer's

1 demand on **food safety and** quality (e.g. organic farming). This has led to ~~the situation that the~~
2 **growing** percentage of sludge ~~for incineration being incinerated is growing~~ in the EU (Eurostat, 2017a).
3 In order to achieve an overall positive energy valorisation in the sludge to energy route, it is essential
4 to increase the sludge DS to have a suitable LHV (Arlabosse et al., 2012). **An** EDW machine is very
5 competitive for working in the DS range of 15-40%. In this range, it is more energy efficient than
6 thermal dryer, and at the same time it can maintain a higher productivity than **a** solar dryer (Umwelt
7 Bundesamt, 2013). Besides, it requires less space to implement the upgrading project than **a** solar
8 dryer, which is highly welcomed by those small WWTPs situated near big cities.
9 **A** thermal dryer powered by waste heat or solar dryer can be arranged after the EDW unit **to go**
10 **beyond DS 40%, as seen from some commercial solution providers (e.g. ACE)**. In such way, different
11 methods can team up as a complete drying solution to provide the best energy efficiency and
12 productivity.

13 **4 Conclusions**

14 **In this study, the feasibility of implementing EDW as an add-on unit to the existing conventional**
15 **dewatering was evaluated with environmental impact and economic profitability indicators.**

16 In the environmental assessment, four scenarios were setup and compared (A1, A2, C1 and C2, as
17 depicted in Figure 1). ~~As for the incineration route,~~ The results show that ~~considering the GW impact,~~
18 it is advantageous to implement the EDW upgrade (i.e. moving from C1 to C2) **when considering the**
19 **GW impact for the incineration route**. Though the EDW itself is responsible for a big share of the
20 impact, it enables to generate a much bigger credit to the system. ~~In the meanwhile,~~ The effect of
21 sludge volume reduction also helps to lower the system's impact in the transport stage. The net effect
22 is that GW impact of C2 will drop from 103 to -32 kg CO₂-eq., which is a significant reduction.
23 Additionally, the EDW upgrade also contributes to ~~lower-reducing~~ other indicators, e.g. POF,
24 terrestrial and marine EP.

25 ~~As for the land spreading route,~~ The EDW upgrade (i.e. moving from A1 to A2) will ~~indeed~~ increase
26 all the studied impact indicators **for the land spreading route**. This is mainly because the benefits
27 associated with the sludge volume reduction (55%) ~~is-are~~ not big enough to offset the impacts induced
28 by the EDW electricity use.

29 The economic analysis shows that under current market situation in Italy, the EDW upgrade will
30 generate very attractive ROI (>15.1%) for small WWTPs regardless of disposal routes. This is
31 because:

- 32 (1) the current market price for sludge disposal in Italy (47-78 €/wet tonne) is well above the break-
33 even values (30.5-39.6 €/wet tonne);
- 34 (2) upper limit data have been used to stress the calculation, e.g. the Italian electricity price, which is
35 30% higher than the EU average case.

36 In summary, ~~in the case of sludge disposal for incineration, the~~ EDW upgrade is highly recommended
37 as it offers good environmental performance and economic profitability **in the case of sludge disposal**

1 **for incineration.** While in the case of sludge for land spreading, the environmental impacts of EDW
2 upgrade could be reduced by carefully selecting an intermediate outlet DS point.

3 The present study has its limitations, such as the lab-scale EDW data (e.g. the outlet DS and the
4 specific energy consumption) were used since the prototype machine was not ready to conduct testing
5 with actual sludge samples. However, the robustness of the results was assessed with two sensitivity
6 analyses, and this allowed us to draw some solid conclusions for the EDW upgrade. Once more data
7 become available, they can be updated in the models to generate more accurate results. Also, more
8 indicators can be incorporated into the decision-making matrix, in particular the social aspects (e.g.
9 employment, income, access to services, public health and safety, etc.).

10 The EU Directive 86/278/EEC was adopted more than 30 years ago. In order to keep it updated with
11 the societal changes, it is currently under review by the European Commission to address emerging
12 issues, in particular in relation to the use of sludge for agriculture. It has been anticipated that the
13 limits of heavy metals will be lowered. In addition to that, limits for organic micropollutants and
14 microbial indicators of pathogens will be introduced. In this context, the advantage of EDW could
15 possibly be further strengthened. However, to date, there are limited data to support the effectiveness
16 of EDW on reducing these contaminants, which could constitute a future research development.

18 **Acknowledgements**

19 This research leading to these results has received funding from the European Union's Seventh
20 Framework Programme under grant agreement No. 611593 the "SLUDGEtreat" project, and the LIFE
21 Programme under grant agreement No. ENV/IT/000039 the "ELECTRO-SLUDGE" project. The
22 authors wish to thank Cap Holding Group for supplying the sludge samples and Industrie De Nora
23 Spa for providing the **DSA® anodes** ~~DSA-electrode~~. The valuable support of Dr. Sam Skinner in
24 proof reading is gratefully acknowledged by the authors.

26 **Supplementary information**

27 Supplementary information related to this article can be found at <https://>.

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1 **Environmental and economic assessment of electro-dewatering application to sewage**
2 **sludge: A case study of an Italian wastewater treatment plant**

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25 16 **Keywords:** Electro-dewatering; Sludge management; Life cycle assessment; Return on investment;
26 Industrial application; WWTP
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1 **Abbreviations**

2	AC	Acidification
3	CHP	Combined Heat and Power
4	DM	Dry Mass
5	DS	Dry Solids
6	EDW	Electro-dewatering
7	EP	Eutrophication
8	eq.	Equivalent
9	EU	European Union
10	FU	Functional Unit
11	GHG	Greenhouse Gas
12	GW	Global Warming
13	LHV	Lower Heating Value
14	LCA	Life Cycle Assessment
15	LCIA	Life Cycle Impact Assessment
16	POF	Photochemical Ozone Formation
17	ROI	Return On Investment
18	ton	tonne
19	WWTP	Wastewater Treatment Plant

2

3 **Abstract**

4 The objective of this study is to evaluate the feasibility of implementing electro-dewatering (EDW) as
5 an add-on unit to the existing conventional dewatering units with the aim of increasing the final dry
6 solids content and reducing the subsequent handling and energy costs of sewage sludge management.

7 The assessment was carried out by focusing on a case study, a small wastewater treatment plant
8 (WWTP) in the Milan metropolitan area. Various indicators were used to evaluate the environmental
9 impact and economic performance. Primary data, such as operating data from the case study WWTP
10 and economic data from an EDW equipment manufacturer, were extracted and used in the modelling.
11 Four scenarios were set up and compared, which address the current and future sludge management
12 schemes in Italy.

13 The results suggest that it is environmentally and economically feasible to implement the EDW
14 upgrade if the sludge disposal follows the incineration route. More specifically, when small WWTPs
15 deliver their EDW-dewatered sludge to a centralised incineration facility, this will enable to reduce
16 the global warming impact of the system up to 135 kg CO₂-eq. per dry tonne of sludge. In addition,
17 good profitability (incremental return on investment > 15.1%) can be obtained when the market
18 disposal cost is above 30.5-39.6 € per wet tonne of sludge. Based on our recent market survey, the
19 sludge disposal price is well above the break-even values.

1 **1 Introduction**

2 The generation of sewage sludge is experiencing a steady growth in the European Union (EU;
3 European Commission, 2016). The EU wastewater treatment plants (WWTPs) dealt with over 10
4 million tons of sludge (in dry mass) in 2010 (Eurostat, 2017a). To comply with the EU's strategy for a
5 circular economy, sludge is being disposed of via two major routes: nutrient recovery by application
6 to agricultural land and energy recovery by incineration (Papa et al., 2017). In either of these routes, it
7 is favourable to increase the dry solid (DS) content of sludge. For example, a minimum DS of 40% is
8 required for incineration in a fluidized bed incinerator and a DS of 90% for cement kiln incineration
9 (Abuşoğlu et al., 2017). However, due to the limits of mechanical dewatering that cannot remove the
10 water bound to the colloidal solids in the sludge matrix (Mahmoud et al., 2010), the mechanically-
11 dewatered sludge can only reach an average DS of 20%. Hence, additional thermal drying is needed,
12 which consumes a significant amount of energy.

13 Electro-dewatering (EDW) in combination with mechanical compression is shown to be effective in
14 increasing the sludge DS content and thus decreasing the quantity/volume of sludge requiring disposal.
15 EDW can also maintain a better energy efficiency than thermal drying until reaching a DS of 38–45%
16 (Olivier et al., 2015). In the past decade, much research has been carried out in the field of EDW and
17 the majority of the studies are focused on how to optimise operating parameters in relation to energy
18 efficiency. For instance, two factors, mechanical pressure and electrical voltage, were investigated in
19 the work of Mahmoud et al. (2011). According to the authors, after optimising the operating
20 conditions it was possible to save 25% energy compared with thermal drying. Therefore, EDW holds
21 great potential to reduce the WWTP operating costs and environmental impacts.

22 Apart from being an effective dewatering technique, EDW also brings additional benefits during the
23 treatment as it causes pathogen inactivation (Navab Daneshmand et al., 2012), and, if properly
24 managed, it can reduce the concentration of heavy metals and organic pollutants in the dewatered
25 sludge (Tuan and Sillanpää, 2010), the limits of which are regulated by the EU Directive 86/278/EEC
26 and member states' regulations (Mininni et al., 2015).

27 Although many promising results have been reported and the mechanisms behind the EDW
28 phenomenon are relatively well understood (Mahmoud et al., 2010), it has taken a long time for EDW
29 to be fully adopted for industrial application in WWTPs. Several reasons are behind this:

30 (1) Relatively high energy consumption: Regarding this point, an early study (Mahmoud et al., 2010)
31 suggests that EDW may find better application in dewatering/drying some high value products, e.g.
32 foods and pharmaceuticals rather than sewage sludge. According to a latest publication (Zhang et al.,
33 2017), the electricity consumption measured on an industrial EDW setup was 0.123 kWh per
34 kilogram of water removed.

35 (2) Problems with anode material: It is well known that the anode is the core part of an EDW system.
36 It has a high cost and it is subjected to high wearing caused by harsh electrochemical corrosion and
37 abrasion (Gronchi et al., 2017). According to one data source (Zhang et al., 2017), by considering the

1 anode cost and its service life, it can be translated into 4.23 € per tonne of water removed. It is widely
2 recognised that finding the suitable replacement material is one of the key issues for promoting EDW
3 to the industry users.

4 (3) Reliability under continuous working mode: Periodic cathode cleaning is needed to maintain the
5 efficiency and productivity of the system. In accordance with a relevant study (Zhang et al., 2017),
6 stepwise pressure has prolonged this period to 15 days, and this is acceptable for many industrial users.

7 (4) Competition with other engineering options: This is especially applicable to the situation of large
8 WWTPs where there are different engineering options to choose from to dry the sludge. For example,
9 if a WWTP is integrated with a combined heat and power (CHP) system, it is possible to utilise the
10 waste heat/low-grade heat from the CHP to dry the sludge up to DS of 90% (Mills et al., 2014). In fact,
11 a low temperature dryer can be powered by various types of on-site waste heat (e.g. co-generation,
12 heating and air conditioning systems) as long as these heat sources are stable and have a minimum
13 temperature of 90°C (SUEZ's Degremont, 2017). In another example (Yoshida et al., 2014), the
14 WWTP is integrated with an on-site incinerator so that the heat generated from the incinerator can be
15 used to dry the sludge. Therefore, large WWTPs may show less interest in EDW.

16 The doubts and uncertainties presented in the points 1-3 can be resolved with a comprehensive
17 economic assessment. This is one of the objectives of this study. For point 4, we should not ignore the
18 large number of small WWTPs (< 100,000 population equivalents). For instance, currently there are
19 about 60 WWTPs in the Milan metropolitan area and half of them are small WWTPs. Normally, they
20 do not have suitable and stable on-site heat sources to power a low-temperature dryer, or the
21 throughput of low-temperature dryer cannot satisfy the sludge production rate. In this case, EDW
22 upgrade could be a good solution to increase their sludge dryness, thus reducing the operating costs of
23 the plant.

24 To evaluate the feasibility of EDW upgrade, it is important to provide measures from various
25 perspectives. Firstly, in accordance with the Water Policy Directive 2000/60/EC (European
26 Commission, 2000), it is necessary to take into account the recovery of costs for water services,
27 including environmental and resources, i.e. economic analysis is required. As a WWTP is an
28 important constituent of water services, the upgrading project should comply with this policy
29 (Bertanza et al., 2018).

30 In recent years, a decision support system built on technical, economic and environmental
31 performances is gaining popularity and being practiced by the researchers in this field (Bertanza et al.,
32 2015a). Basically, to evaluate the environmental performances, it follows the concept of Life Cycle
33 Assessment (LCA), which is an international standard-based methodology (ISO14040 and ISO14044)
34 and provides the ability to evaluate a product's environmental impacts by considering all its life cycle
35 stages, starting from raw material extraction, manufacturing, use/reuse until final disposal (Gourdet et
36 al., 2017). LCA has been applied in the field of sludge management since 2000 (Yoshida et al., 2013).
37 The relevant studies have concentrated on the followings topics: identifying hotspots in WWTPs,

1 assessing upgrading options for the treatment lines (Zhang et al., 2017) and selecting the most suitable
2 sludge management schemes (Buonocore et al., 2016). For instance, in the study of Li et al. (2017),
3 five different anaerobic digestion configurations were assessed with environmental and economic
4 indicators, and the authors identified sludge organic content and biogas yield as the most influential
5 factors. In another study (Gourdet et al., 2017), by comparing different scenarios, it was found that
6 increasing the biodegradation rate of volatile solids and the biogas production was the most effective
7 method to reduce the environmental impacts. Also, the same study recognised that the consumption of
8 FeCl₃ (a chemical that is used to reduce the phosphorus contained in the return liquors from
9 thickening and dewatering stages) was identified as a hotspot in the system's environmental profile.
10 Besides, LCA is also a useful tool for working out proper waste management policies. As
11 demonstrated by the case study of Righi et al. (2013), a scenario composed of anaerobic digestion and
12 composting had the best environmental performance and was recommended to the policy-makers.
13 The objective of this study is to evaluate the feasibility of implementing EDW as an add-on unit to the
14 existing conventional dewatering, which serves to increase the final DS content and reduce the
15 subsequent handling and energy costs of sewage sludge management. The assessment was carried out
16 by focusing on a case study, a small WWTP in the Milan metropolitan area. Based on the
17 comparisons between different scenarios, we attempt to identify the most suitable market to
18 implement the EDW upgrade. Our previous publications focused on the technical issues of EDW
19 (Visigalli et al., 2017a/b) by investigating the factors that influence the system's performance.
20 Whereas, in the present study, the emphasis is placed on the environmental and economic assessment.

21 **2 Methods**

22 **2.1 Environmental assessment**

23 **2.1.1 Goal and scope**

24 The goal of this study is to assess the feasibility of implementing an EDW upgrade for a small WWTP
25 following the LCA methodology. Here, "EDW upgrade/EDW dewatering" indicates that the EDW
26 unit is a retrofittable add-on module arranged after the existing mechanical dewatering facility. As a
27 whole system, it enables an increase to the sludge DS from the initial 3.3% to 40%. 40% was set as
28 the target DS due to the requirements of self-sustaining incineration (Outotec Oyj, 2016).

29 The case study focuses on a small WWTP situated in the Milan metropolitan area, which serves
30 47,000 population equivalents. In this plant, the sludge is stabilised with the aerobic stabilisation
31 method. After that, it is dewatered with a belt press (mechanical dewatering). Sludge samples were
32 extracted from this WWTP for the lab-scale EDW test. In 2016, the plant-produced a total of 2300
33 tons of dewatered sludge that was disposed through a multiple-channel approach: 54.8% to landfill,
34 26.2% to incineration, and 19% to an external WWTP for further processing. The average disposal
35 cost was 80 €/wet tonne.

36 The Functional Unit (FU) was defined as the treatment and disposal of 1 dry tonne of sludge (denoted
37 as 1 tDM) coming from the upstream stabilisation stage.

1 The system boundaries are depicted in Figure 1, including all of the processing stages taking place
2 after sludge stabilisation (i.e. conditioning, dewatering, and transport) up to the final disposal stage
3 (land spreading or incineration and ash to landfill).

4 (Figure 1)

5 To help justify the advantages of the EDW upgrade, it was compared with the mechanical dewatering
6 equipment used by the WWTP. Moreover, two sludge disposal routes were considered: sludge for
7 land spreading (i.e. sludge is applied to arable land as fertiliser without composting treatment) and
8 sludge for incineration. This is because sludge for agriculture use currently accounts for the biggest
9 share in sludge end use in Italy (Eurostat, 2017a). It is widely used for its low cost. However, it is
10 susceptible to policy changes, e.g. increasingly stringent contaminants limits (Mininni et al., 2015).
11 Seeing that it is no longer permitted in Switzerland and discouraged in Netherlands and Germany, this
12 has raised significant concerns among the Italian WWTP operators, and our recent survey of some
13 WWTPs in the Lombardy region found that many of the WWTPs were using more than one disposal
14 routes in case that the policy tightens (Díaz et al., 2015). The other disposal route, sludge disposed to
15 a centralised incinerator, represents the future scheme of sludge management as it enables to lower the
16 disposal cost and cope with the challenges and risks associated with sludge for agriculture use.

17 In total, four scenarios were set up to allow for comparison of the options (see Figure 1):

18 Scenario A1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with
19 mechanical dewatering equipment (belt press). After that, the dewatered sludge cakes (DS 18.2%) are
20 transported by truck to agricultural fields and applied using a tractor. After application, sludge
21 gradually becomes available to the crops. In this process, it also releases GHG emissions to the air.

22 Scenario A2: it follows a similar land spreading route as described in A1. The only difference lies in
23 the dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and
24 consequently the outlet DS will be increased to 40%. In this case, A2 represents the EDW upgrading
25 scenario and it will be compared with the reference scenario A1.

26 Scenario C1: stabilised sludge is conditioned with polyelectrolyte and then dewatered with
27 mechanical dewatering equipment (belt press) by each individual WWTP. After that, the dewatered
28 sludge cakes (DS 18.2%) from each individual WWTP are transported by truck to a centralised
29 incineration facility. Subsequently, the cakes are mixed and dried with a disc dryer (thermal drying) to
30 reach DS 40% and then they are fed into a fluidized bed furnace. The waste heat is recovered. After
31 material recovery from the ashes, the residues are sent to landfill for hazardous waste.

32 Scenario C2: it follows a similar incineration route as described in C1. The only difference lies in the
33 dewatering stage, in which mechanical dewatering is replaced with the EDW upgrade, and
34 consequently the outlet DS will reach 40% and the thermal drying treatment occurred in the
35 incineration plant will be omitted. In this case, C2 represents the EDW upgrading scenario and it will
36 be compared with the reference scenario C1.

1 The scenario C2 was constructed to consider the further improvement to the efficiency of energy
2 recovery. The reliance on the integrated on-site thermal dryer is removed. If the delivered sludge
3 already has a suitable DS content (e.g. 40%) to be loaded into the incinerator, it would boost the
4 incinerator's productivity and more heat could be diverted to the local district heating network
5 compared to scenario C1.
6
7
8 SimaPro 8.4 was used to model the scenarios. Database ecoinvent V3 (allocation, recycled content
9 system model) was used with priority for the background systems. The geographic boundary was
10 specified to be the Italian border.
11
12 Six impact categories were assessed: Global Warming (GW), Acidification (AC), Photochemical
13 Ozone Formation (POF), and terrestrial, freshwater and marine Eutrophication (EP). These impact
14 categories were selected for their close relevance to the system under study (Tomei et al., 2016), in
15 relation to the following elements:
16
17 (1) the end use of sludge: the emissions of land spreading and incineration are directly related to GW,
18 AC and EP;
19
20 (2) EDW is an energy intensive process and a major advantage of using EDW is to reduce the fuel
21 consumption in the road transport stage. In this context, GW, POF, AC and EP are directly related to
22 consumption of fossil fuels.
23
24 The impact categories were assessed with the Life Cycle Impact Assessment (LCIA) methods
25 recommended by the "ILCD Handbook" (European commission JRC-IES, 2011). A detailed list of
26 methods used is provided in Supplementary Information (Section SI-1).
27
28 The toxicity issues in relation to sludge for agriculture use (e.g. heavy metals, pathogens, organic
29 pollutants and other contaminants) were not considered. Also, the benefits of improved soil properties
30 and crop productivity were discounted because there is a lack of proper characterization model to
31 quantify them. In fact, further risk assessment is needed to address the associated risks and benefits.
32 For example, Alvarenga et al. (2017) conducted soil and plant analysis on the sludge-amended fields;
33 Mantovi et al. (2005) carried out a 12-year field study to compare the difference between mineral
34 fertilisers and sludge.
35
36 Cases of multi-functionality were solved by expanding the system boundaries to include avoided
37 primary productions due to material recovery from waste (European Commission JRC-IES, 2010;
38 Finnveden et al., 2009). In this case study, the avoided products are fertiliser and heat.

31 **2.1.2 Environmental data inventory**

32 As a common practice in this research area (Corominas et al., 2013), the construction and demolition
33 of infrastructure were excluded; biogenic CO₂ emission was regarded as climate neutral (Houillon and
34 Jolliet, 2005).

35 The LCA modelling was assisted with mass balance calculations (see Figure 2 and Figure SI-1 in
36 Supplementary Information). Data collection for each life cycle stage is described as follows.
37 (Figure 2)

1 Conditioning

2 During the sludge conditioning, a polyelectrolyte is used. The polyelectrolyte dosage, 5.30 kg/tDM,
3 was taken from the WWTP's operating data. Polyelectrolyte was modelled with acrylonitrile (a raw
4 material for producing acrylamide polymers) following the relevant literature (Yoshida et al., 2014).

5 Mechanical dewatering

6 The mechanical dewatering data, energy consumption (41.16 kWh/tDM) and sludge DS improvement
7 (3.3% to 18.2%), were extracted from the WWTP. The Italian electricity data (database ecoinvent V3)
8 was used.

9 EDW dewatering

10 Some data (e.g. the outlet DS and the specific energy consumption) needed to be obtained from
11 experiments. The test was carried out at DICA, Politecnico di Milano from January through February
12 of 2017, with samples extracted from the case study WWTP. The experimental setup and protocol
13 were based on our previous publications (Visigalli et al., 2017b). In brief, sludge samples were treated
14 between the electrodes (anode DSA® by De Nora and cathode stainless steel AISI 304) that were
15 connected to a DC power supply (GBC, 34121070 bench scale generator). A constant mechanical
16 pressure of 300 kPa was applied throughout the 25-min EDW treatment. The evolution of electric
17 current and mass of filtrate were recorded and used for calculating the specific energy consumption.

18 Two sets of parameters were tested:

19 (1) cake thickness (unit in mm): 15, 20, 25;

20 (2) electrical potential (unit in V): 10, 15, 20.

21 Each combination was tested in two replicates. The data giving the best dewatering performance (in
22 terms of DS improvement and productivity) were used in the assessment, that is lower cake thickness
23 (15 mm) combined with higher voltage (20 V). Accordingly, this parameter combination caused the
24 highest specific energy consumption. From an early publication (Olivier et al., 2015), it is known that
25 EDW can maintain superior energy efficiency over thermal drying until reaching DS 45%. Therefore,
26 we can assume that the parameter combination "15 mm-20 V" gives the best dewatering performance
27 despite the increased specific energy consumption.

28 For industrial application, it is also important to consider the productivity of the machine. For
29 example, a solar dryer is very energy efficient, but its productivity is very low. In the case of EDW,
30 the operating parameters should ensure good productivity, i.e. the shortest time to reach a target DS.
31 To address this issue, a target DS was set at 25% and the relevant data were extracted and compared.
32 The results show that the combination of "15 mm-20 V" gives the best productivity, which is in line
33 with the dewatering performance as discussed previously. As a consequence, the data generated from
34 this operating parameter combination were used in the assessment. The detailed results are provided
35 in Supplementary Information (Section SI-2).

36 Based on the experiment data, it was assumed that the EDW unit was used to process the
37 mechanically-dewatered sludge, increasing its DS from 18.2% to 40%. As a whole system, the EDW

1 upgrade consumed 409.40 kWh/tDM for increasing the sludge DS from 3.3% to 40%. The Italian
2 electricity data (database ecoinvent V3) was used.

3 It seems that there is a limitation for extrapolating the lab-scale device data to industrial application.
4 However, in accordance with the data reported by Zhang et al. (2017), from the lab scale device
5 (anode area 0.13 m²) to the industrial scale machine (anode area 47.52 m²) under continuous working
6 mode, only negligible discrepancy was found between the specific energy consumptions.

7 Transport

8 It was assumed that the dewatered sludge was transported for 100 km (Truck 16-30 t, vehicle
9 emission EURO 5) to reach the storage site/incineration plant. The storage related input and output
10 were discounted due to lack of data (Heimersson et al., 2016).

11 Tractor application

12 It was assumed that the sludge cake was applied in agriculture land using a tractor, which consumed
13 0.5 L diesel per wet tonne of sludge (Møller et al., 2009).

14 Land spreading

15 The fertiliser replacement rates are based on the relevant studies. The nutrient concentrations of
16 dewatered sludge were based on the data from Mantovi et al. (2005), and the conversion factors were
17 extracted from the study of Heimersson et al., (2017). For the N-fertiliser, the total N was 4.25% of
18 the sludge Dry Mass (DM); a conversion factor of 0.5 was used to account for the element
19 mineralisation (subsequently becomes available for crop uptake). For the P-fertiliser, the total P was
20 1.81% of the sludge DM; a conversion factor of 0.7 was used to account for the element
21 mineralisation.

22 The greenhouse gas (GHG) emissions associated with the life cycle stage of land spreading were
23 calculated following the relevant references: CH₄, 5kg/tDM (Penman et al., 2000); NH₃, 8% total N of
24 sludge applied (Remy and Jekel, 2008); N₂O, 1% of total N of sludge applied (Tomei et al., 2016).
25 The emissions to soil and water were extracted from the study of Lombardi et al. (2017).

26 Incineration

27 The incineration plant configuration with energy balance calculation (scenario C1) is illustrated in
28 Figure 3. It is based on a reference case, in which a centralised incineration plant is responsible for
29 processing sludge from over 70 small WWTPs in the region (Outotec Oyj, 2016).
30 (Figure 3)

31 It was assumed that the plant was equipped with a waste heat recovery system of thermal efficiency of
32 95% (Murakami et al., 2009). The energy (biogenetic) produced by the system was utilised in such a
33 way that the electricity was only for the plant's self-use, part of the heat was used to power the
34 thermal dryer to increase the sludge DS content from 18.2% to 40% (having the same DS
35 improvement as the "EDW upgrade"), and the rest of the heat is distributed through the local district
36 heating network (output to the outside of system boundaries). Combustion air preheating was
37 excluded because it is not needed in the scheme considered.

1 The lower heating value (LHV) of the dewatered sludge was calculated according to the handbook of
 2 wastewater solids incineration systems (Water Environment Federation - Incineration Task Force,
 3 2009). The value was 3534 kWh/tDM at DS 40%. The detailed calculation is provided in
 4 Supplementary Information (Section SI-4).

5 For thermal drying, the specific energy consumption was taken as 0.72 kWh per kilogram of water
 6 evaporated, reflecting the best available industrial technology (SUEZ's Degremont, 2017). The
 7 resulting value was 2155.57 kWh/tDM.

8 The output energy flow was modelled as replaced heat generated from fossil fuel sources (co-
 9 generation using natural gas), aiming to reflect the possible impact to the Italian energy consumption
 10 structure. Currently, approximately 90% of energy consumption in Italy is fossil fuel-based (Deloitte,
 11 2015). This represents the scenario C1.

12 In the case of scenario C2, the heat previously used for thermal drying will be saved and completely
 13 diverted to the district heating, i.e. more output to the outside of the system.

14 The ashes generated from the flue gas treatment are treated to make them inert and are sent to a
 15 landfill for hazardous waste, located in Italy.

16 The incineration related material and energy inputs and emissions were extracted from the relevant
 17 study with necessary adaptations (Lombardi et al., 2017).

18 The key inventory items are summarised in Table 1. The data are normalised to the FU (1 tDM).

19
 20 Table 1. Inventory of data for the LCA (normalised to the FU, 1 tDM).

Inventory item	Unit	Amount
Polyelectrolyte (modelled with Acrylonitrile)	kg	5.30
Electricity, medium voltage, IT (mechanical dewatering, belt press)	kWh	41.16
Electricity, medium voltage, IT (EDW dewatering)	kWh	409.40
Energy consumption for sludge thermal drying	kWh	2155.57
Sludge for land spreading		
N-fertiliser replacement (ammonium nitrate)	kg	21.25
P-fertiliser replacement (superphosphate)	kg	12.67
GHG emissions due to sludge application in the fields		
Methane (CH ₄)	kg	5.0
Ammonia (NH ₃)	kg	3.4
Nitrous oxide (N ₂ O)	kg	0.43
Sludge for incineration		
Replaced heat (C1)	kWh	971
Replaced heat (C2)	kWh	3127

1
2 **2.1.3 Sensitivity analysis**

3 As stated in the introduction, energy consumption is a critical factor for promoting EDW to the
4 industrial users. At the same time, very often the energy data contains big variations when treating
5 sludge from different sources. In this case, the robustness of the results was analysed. The energy
6 consumption of EDW dewatering stage was varied by $\pm 25\%$ with respect to the lab testing data.

7 **2.2 Economic assessment**

8 **2.2.1 Assessment method and indicators**

9 To assess the economic performance of the upgrade options for the WWTP, previous studies have
10 utilised the life cycle costing method (Tomei et al., 2016). In this method, the results are presented as
11 a cost gap in each life cycle stage (i.e. the difference between the reference scenario and upgrading
12 scenario): a negative gap means the upgrading option is economically favourable. The total cost gap is
13 an aggregated result of all the life cycle stages. In this way, it is possible to identify the most critical
14 life cycle stages (i.e. hotspots) but the downside is that the results are restricted to the scenarios
15 concerned in the study.

16 Apart from assessment method, it is also important to select appropriate economic performance
17 indicators. Net present value (Mills et al., 2012) and internal rate of return (Mills et al., 2014) are
18 usually used for large chemical engineering projects (e.g. building a WWTP or implementing large
19 modifications). However, they are not suitable for evaluating small project, such as the EDW upgrade
20 concerned in the present study, which only requires a short execution time and a relatively small
21 investment. In this case, more suitable indicators are needed: cost saving stems from sludge volume
22 reduction and sludge disposal cost for an EDW upgrade. However, sludge disposal costs currently
23 vary greatly from country to country and from region to region, depending on the local circumstances,
24 such as regulations, disposal routes and subcontractors. According to the data source (Bertanza et al.,
25 2015b), the disposal cost within the EU ranges from 20 to 100 €/wet tonne. In this case, a model
26 demonstrating the relationship between the profitability of the upgrading project and the disposal cost
27 will be useful to cover a wide scope of market situations.

28 Therefore, the economic assessment in this study was carried out following the method of Towler and
29 Sinnott (2013). It focuses on the EDW upgrade itself rather than the scenarios as previously discussed
30 in the LCA. It also gives more flexibility in sensitivity analysis and the results can be easily
31 communicated to the WWTPs managers (Zhao et al., 2016).

32 The first indicator, incremental Return On Investment (ROI), was calculated with Equation 1 (Towler
33 and Sinnott, 2013):

$$\text{Incremental Return On Investment} = \frac{\text{Incremental Profit}}{\text{Incremental Investment}} \times 100\% \quad (1)$$

34 In the case of the EDW upgrade, the incremental investment refers to the EDW machine's investment
35 cost (one piece of EDW machine, including the cost of installation and shipping). It was assumed that

1 the upgrade caused no changes to the working capital. The incremental profit was calculated from the
2 difference between the cost saving in sludge disposal and the cash cost of production.

3 The second indicator, total cost of production, was calculated with Equation 2 (Towler and Sinnott,
4 2013):

$$\text{Total Cost of Production} = \text{Cash Cost of Production} + \text{Annual Capital Charge} \quad (2)$$

5 In the case of the EDW upgrade, the cash cost of production is the sum of variable production cost
6 (e.g. consumables and EDW electricity use) and fixed production cost (e.g. labour and maintenance).
7 The annual capital charge is the annualized investment of EDW machine over the project period (i.e.
8 the service life of the EDW machine) at a certain interest rate.

9 **2.2.2 Sensitivity analysis**

10 EDW is an energy intensive process. Energy consumption and energy price can have a strong
11 influence on the project's profitability. Therefore, a sensitivity analysis was carried out to address the
12 following cases.

13 Low energy consumption case: The "low energy consumption case" was modelled as the EDW unit
14 energy consumption dropping by 25% with respect to the "standard case" (lab testing results) while
15 holding the DS improvement constant. This case represents the situation when the EDW machine is
16 running with improved dewatering efficiency. This is a practical consideration. In fact, nowadays
17 there are a few examples of industrial EDW systems on the market, e.g. the "ELODE" from ACE
18 (Korea) and the "EKG" from Electrokinetic (UK) (Zhang et al., 2017). However, all of them are belt
19 press-based. In contrast, our EDW prototype machine is screw press-based, and it is expected that the
20 dewatering efficiency will be improved. This is because the shearing effect of the screw would
21 mitigate the curst development on the anode, which has been recognised as the major limit to the
22 EDW dewatering efficiency in a latest study (Yu et al., 2017).

23 High energy consumption case: The "high energy consumption case" was modelled as the EDW unit
24 energy consumption increasing by 25% with respect to the "standard case" while holding the DS
25 improvement constant. This corresponds to the situation of treating the poor-EDW-response sludge.
26 This is also a practical consideration because in our previous studies we have noticed that sludge from
27 different WWTPs showed different responses to the EDW treatment, depending on the sludge nature
28 and its upstream processes (Visigalli et al., 2017b).

29 EU average case: The Italian electricity price (for industrial user) is the second highest in the EU,
30 being 28 – 30% higher than the EU average price (Eurostat, 2017b). Thus, an additional case was
31 added by considering the EU average electricity price (0.114 €/kWh) and is denoted as "EU average".

32 **2.2.3 Economic data inventory**

33 In our project (Visigalli et al., 2017b), we aim to scale up the lab-scale EDW device for industrial
34 applications. The industrial prototype is currently under development (screw press-based machine), it
35 is therefore possible to extract some primary economic data from the machine manufacturer (X2
36 Solutions Srl, who is also one of the project consortiums). The EDW machine price and service life

were estimated by this manufacturer, based on their existing product lines, the prototype built for this project and the relevant information from their suppliers.

The EDW machine has a throughput of 0.2 m³/h. In terms of yearly throughput, it can process 800 tons of mechanically-dewatered sludge, which especially suits the needs of small WWTPs. The machine power was calculated on the basis of lab testing results from Politecnico di Milano.

As a first assumption, the EDW machine will be distributed and used in the Italian market. Therefore, the Italian market data were used for the calculation, including the cost of shipping and installation, maintenance, labour, tax rate, interest rate, and electricity price.

To be consistent with the previous LCA study, the input sludge DS was set as 18.2% and the output DS as 40%.

The data used for the economic assessment and their sources are summarised in Table 2.

Table 2. Inventory of data for the economic assessment.

Item	Value	Source
Machine capacity	0.2 m ³ /h	EDW machine manufacturer
DS of inlet sludge	18.2%	Extracted from WWTP
DS of outlet sludge	40%	Experimental data
Working hours per year	3800 h	Extracted from WWTP
EDW machine price	Estimate	EDW machine manufacturer
Maintenance cost	Estimate	EDW machine manufacturer
Machine service life/project period	10 years	EDW machine manufacturer
Machine power*	14.6-18.2 kW	Experimental data
Electricity price for industrial users, all taxes included, Italy	0.148 €/kWh	Eurostat (2017b)
Interest rate (before taxes), water sector	8.74%	KPMG (2015)
Tax rate, Italy	27.90%	Deloitte (2017)
Sludge disposal cost (including transport)	20-100 €/wet tonne of sludge	Díaz et al. (2015), Bertanza et al. (2015b)

* The power value is given in ranges, as it includes the variations of energy consumption considered in the sensitivity analysis.

3 Results and discussions

3.1 LCIA results

3.1.1 Global Warming

GW is regarded as the most important impact category in sludge management (Mills et al., 2014). It is also the most frequently communicated one (Yoshida et al., 2013). It directly affects a WWTP's profit

1 via the regulator's incentives/tax charges (Mills et al., 2014). Figure 4 shows the GW impact for the
2 four scenarios considered in this study. The net impact is calculated as the sum of the impacts from all
3 the life cycle stages including the credits (either replaced fertilisers or replaced heat) and it is
4 indicated with a data label.

5 (Figure 4)

6 It was found that the scenario C2 gives the best performance. The system's net GW impact of C2
7 drops to -32 kg CO₂-eq., in contrast to 87 kg CO₂-eq. of A1 and 103 kg CO₂-eq. of C1. This is for two
8 reasons:

9 (1) though the EDW upgrade itself induces a big percentage of impact (223 kg CO₂-eq.) due to its
10 electricity consumption, it enables a greater credit to the system (-312 kg CO₂-eq.) by displacing the
11 fossil fuel-based district heat;

12 (2) the EDW upgrade also enables sludge volume reduction by 55%, which in turn contributes to
13 cutting 50 kg CO₂-eq. during the transport stage. This result is in line with the findings reported in the
14 study of Gourdet et al. (2017), in which the sludge DS was identified as one of the most sensitive
15 parameters in the dewatering stage and it produced the greatest variability to GW impact through its
16 influence on the transport stage (emissions e.g. CO₂, N₂O, SF₆, and CH₄).

17 In fact, further reduction is possible. For example, in C1 we have used the data of the best available
18 technology in thermal drying – 30-50% more efficient than the average cases (SUEZ's Degremont,
19 2017). This means that when we move from C1 to C2, less replaced heat has been considered in the
20 calculation.

21 It should be noted that the Italian electricity data have been used in the current calculation. In 2012,
22 over 68% of Italy's electricity generation was fossil fuel-based (14% by hydropower and 13% by
23 renewable sources; Deloitte, 2015), which is a relatively high percentage within the EU. Therefore, an
24 additional scenario was constructed to reflect the average EU case, in which the Italian electricity data
25 was replaced with the "country-mix" electricity data of the EU (ENTSO-E). The results indicate that
26 the GW impact of C2 can be further reduced to -57 kg CO₂-eq.

27 On the other hand, the scenario A2 is the worst performer in this impact category. If we move from
28 A1 to A2, the indicator will increase significantly, from 87 to 236 kg CO₂-eq. This is mainly
29 attributed to the use of electricity of EDW in the meanwhile its effect on sludge volume reduction
30 (reduced fuel consumption in transport and field tractor application) is not big enough to offset the
31 GW impact induced by the EDW itself.

32 In conclusion, the current analysis provides strong support for implementing the EDW upgrade
33 (moving to C2) if a WWTP's objective is to reduce its GW impact.

34 **3.1.2 Other impact categories**

35 The LCIA results of other impact categories strongly depend on the disposal routes. So, they were
36 plotted in two respective figures according to the disposal routes. Also, the results were normalised
37 against the greatest absolute net value for each impact category to make them share a common y-axis

1 (in %). Figures plotted with absolute impact values can be found in Supplementary Information
2 (section SI-5).

3 The LCIA results for the land spreading route (A1 & A2) are depicted in Figure 5. The data labels
4 over the bar end indicate the net impact values.
5 (Figure 5)

6 The life cycle stage of land spreading is shown as an aggregated result, which sums the impact of the
7 emissions (to air, soil and water) and the credit to the system (inorganic fertiliser replacement). It
8 behaves differently in different impact categories. For example, for the indicators of AC, POF and
9 marine EP, the effect of the credit is stronger than the emissions, and, as a consequence, the net
10 outcome is negative; whereas for the other impact categories (terrestrial and freshwater EP), the
11 emissions become dominant, accounting for over 90% of the overall system's impact. In accordance
12 with a more detailed study (Yoshida et al., 2018), the fate of phosphorus (P) strongly influences the
13 freshwater EP, while the fate of nitrogen (N) has greater impact on the terrestrial EP and marine EP.
14 The electricity consumption of EDW accounts for a very large percentage in the indicators of AC,
15 POF and marine EP. The "trade-off" (i.e. the reduced impacts in the stages of transport and field
16 tractor application due to sludge volume reduction) is not big enough to offset the EDW electricity
17 consumption itself. So, the net outcome is that the EDW upgrade increases the impacts in these
18 categories.

19 The impacts for the incineration disposal route (C1 & C2) are depicted in Figure 6. The data labels
20 over the bar end indicate the net impact values. The EDW upgrade results in net impact drops
21 reduction in the following impact categories: POF, terrestrial EP and marine EP. Especially, the drop
22 is more remarkable in the POF – 40% less than the case of C1. Furthermore, if the Italian electricity
23 data are replaced with the ENTSO-E data, 65% reduction can be achieved in the POF. The reduction
24 mainly comes from the trade-off effect of those life cycle stages using fossil fuel, e.g. transport (diesel)
25 and avoided heat production (natural gas-powered CHP plant, IT market,ecoinvent V3).
26 (Figure 6)

27 Moving from C1 to C2 will increase the impact to AC by 20% despite the credit coming from the
28 replaced heat. While for the impact of freshwater EP, the EDW dewatering accounts for nearly 90%
29 of the impact of C2. However, if we look at the absolute value (see Supplementary Information,
30 Figure SI-5), moving from C1 to C2 corresponds to an increase from 0.03 to 0.06 kg P-eq., which is
31 less than 6% of the land spreading cases, which is in line with the results reported in the study of
32 Lombardi et al. (2017).

33 In fact, there are discrepancies between the LCIA results reported from different publications
34 (Corominas et al., 2013; Yoshida et al., 2013), which can be attributed to the variations in the
35 following aspects: data source (WWTPs treating water of different contamination levels with different
36 removal efficiencies), process configuration, system boundaries, geographical area, functional unit

and LCIA method. This makes it difficult to compare the results from different studies. Instead, most of the studies stay with comparing scenarios.

The LCIA results give a holistic view of the sludge management scenarios. The EDW dewatering stage consumes large amount of electricity, causing significant increases in the impact indicators; on the other hand, it contributes to reduce the overall system's impacts in the downstream life cycle stages, e.g. reduced impacts in the transport stage due to sludge volume reduction and reduced impact in the disposal stage (replaced fertiliser/heat). This implies that sludge management should encompass the life cycle thinking, encouraging solutions that enable to reduce the overall environmental impacts, and avoiding shifting the environmental burdens from one life cycle stage to another.

3.1.3 Results of the sensitivity analysis

The LCIA results of the sensitivity analysis of the EDW energy consumption are listed in Table 3. Generally, the variation of the EDW energy consumption has no significant influence on the conclusions derived from comparing the scenarios (i.e. A1 versus A2 and C1 versus C2). The only exception is that if the EDW energy consumption decreases by 25%, the AC impact of C2 will be less than that of C1.

Table 3. LCIA results of the life cycle stage of EDW dewatering. The EDW energy consumption is varied by $\pm 25\%$ (corresponding to the results of Min and Max, respectively) with respect to the lab testing data (corresponding to the results of Mean).

Impact category	Unit	Min	Mean	Max	Difference from mean
GW (IPCC 100a)	kg CO ₂ -eq.	1.73E+02	2.23E+02	2.73E+02	5.00E+01
AC	mol H ⁺ -eq.	8.72E-01	1.13E+00	1.38E+00	2.53E-01
POF	kg NMVOC-eq.	3.49E-01	4.50E-01	5.51E-01	1.01E-01
Terrestrial EP	mol N-eq.	1.15E+00	1.48E+00	1.81E+00	3.32E-01
Freshwater EP	kg P-eq.	4.25E-02	5.49E-02	6.72E-02	1.23E-02
Marine EP	kg N-eq.	1.10E-01	1.42E-01	1.74E-01	3.20E-02

3.2 Economic profitability

The incremental ROI for implementing the EDW upgrade is depicted in Figure 7. Three representative points of disposal cost (20, 60 and 100 €/wet tonne) were selected to establish the relationship between the incremental ROI and the disposal cost. According to the data source (Investopedia, 2015), the average ROI of water sector is 15.1%. Thus, a reference line at ROI 15.1% is added to facilitate the evaluation. The break-even values at ROI 15.1% are reported in the annotation box in the figure. (Figure 7)

1 It was observed that most of the ROI developments are above the reference line, which implies that all
2 four cases considered can enjoy good profitability with the EDW upgrade. More specifically, an
3 attractive ROI is attainable for the low energy consumption case, EU average case, standard case and
4 high energy consumption case when the sludge disposal cost is above 30.5, 30.8, 35.0, and 39.6 €/wet
5 tonne, respectively.

6 The sludge disposal cost for agriculture use (both land spreading and composting, at DS 25%) is 47-
7 57 €/wet tonne including transport based on our recent survey of some WWTPs in the Lombardy
8 region of Italy (Díaz et al., 2015). In the case of disposal to incineration (at DS 80-90%), 66-78 €/wet
9 tonne is common. Therefore, the incremental ROI generated from the EDW upgrade is very attractive,
10 especially if one considers that the disposal cost is projected to increase, driven by the increasingly
11 stringent discharge limit on the sludge for agriculture use, and the increasing fuel price for transport
12 (Mininni et al., 2015).

13 The EU average case performs close to the low energy consumption case, suggesting that the EDW
14 upgrade is applicable to other EU markets and may give better economic performance than in Italy.

15 The results of total cost of production indicate that the cost of electricity accounts for the biggest share
16 (57%) with contributions from the annual capital charge (21%), the consumables (17%, mainly the
17 anode consumption), and the fixed cost of production (5%, for the cost of maintenance). This
18 confirms the concerns associated with using EDW, e.g. relatively high energy consumption and
19 expensive anode replacement. However, the cost saving from sludge volume reduction is much
20 greater than the total cost of production, such that the WWTP will enjoy a good profit after
21 implementing the EDW.

22 **3.3 Selecting drying methods**

23 Several environmental impact and economic performance indicators have been assessed. As seen
24 from the results, the EDW upgrade could not give a uniform performance in all these indicators. The
25 indicators can be ranked according to their importance or specific needs of a WWTP (e.g. goal to
26 reduce a specific indicator) to assist decision-making. In this case, a scoring exercise can be helpful
27 (Mills et al., 2014). Furthermore, more aspects can be incorporated in the scoring matrix to improve
28 decision-making. For example, in the studies by Bertanza et al. (2016) and Tomei et al. (2016),
29 technical feasibility (sub-categories such as reliability, flexibility/modularity, complexity and
30 integration with existing structures), administrative aspects and normative constraints, and social
31 aspects have been incorporated. In another example (Mills et al., 2014), tax incentives as a risk factor
32 has been added.

33 Regulation and policy-making in sludge management can be another important aspect to consider. In
34 terms of disposal methods, landfill will be progressively phased out in the EU (Mininni et al., 2015).

35 In recent years, opposition to direct use of sludge in agriculture has intensified due to consumer's
36 demand on food safety and quality (e.g. organic farming). This has led to growing percentage of
37 sludge being incinerated in the EU (Eurostat, 2017a).

1 In order to achieve an overall positive energy valorisation in the sludge to energy route, it is essential
2 to increase the sludge DS to have a suitable LHV (Arlabosse et al., 2012). An EDW machine is very
3 competitive for working in the DS range of 15-40%. In this range, it is more energy efficient than
4 thermal dryer, and at the same time it can maintain a higher productivity than a solar dryer (Umwelt
5 Bundesamt, 2013). Besides, it requires less space to implement the upgrading project than a solar
6 dryer, which is highly welcomed by those small WWTPs situated near big cities.

7 A thermal dryer powered by waste heat or solar dryer can be arranged after the EDW unit to go
8 beyond DS 40%, as seen from some commercial solution providers (e.g. ACE). In such way, different
9 methods can team up as a complete drying solution to provide the best energy efficiency and
10 productivity.

11 **4 Conclusions**

12 In this study, the feasibility of implementing EDW as an add-on unit to the existing conventional
13 dewatering was evaluated with environmental impact and economic profitability indicators.

14 In the environmental assessment, four scenarios were setup and compared (A1, A2, C1 and C2, as
15 depicted in Figure 1). The results show that it is advantageous to implement the EDW upgrade (i.e.
16 moving from C1 to C2) when considering the GW impact for the incineration route. Though the EDW
17 itself is responsible for a big share of the impact, it enables to generate a much bigger credit to the
18 system. The effect of sludge volume reduction also helps to lower the system's impact in the transport
19 stage. The net effect is that GW impact of C2 will drop from 103 to -32 kg CO₂-eq., which is a
20 significant reduction. Additionally, the EDW upgrade also contributes to reducing other indicators,
21 e.g. POF, terrestrial and marine EP.

22 The EDW upgrade (i.e. moving from A1 to A2) will increase all the studied impact indicators for the
23 land spreading route. This is mainly because the benefits associated with the sludge volume reduction
24 (55%) are not big enough to offset the impacts induced by the EDW electricity use.

25 The economic analysis shows that under current market situation in Italy, the EDW upgrade will
26 generate very attractive ROI (>15.1%) for small WWTPs regardless of disposal routes. This is
27 because:

28 (1) the current market price for sludge disposal in Italy (47-78 €/wet tonne) is well above the break-
29 even values (30.5-39.6 €/wet tonne);

30 (2) upper limit data have been used to stress the calculation, e.g. the Italian electricity price, which is
31 30% higher than the EU average case.

32 In summary, EDW upgrade is highly recommended as it offers good environmental performance and
33 economic profitability in the case of sludge disposal for incineration. While in the case of sludge for
34 land spreading, the environmental impacts of EDW upgrade could be reduced by carefully selecting
35 an intermediate outlet DS point.

36 The present study has its limitations, such as the lab-scale EDW data (e.g. the outlet DS and the
37 specific energy consumption) were used since the prototype machine was not ready to conduct testing

1 with actual sludge samples. However, the robustness of the results was assessed with two sensitivity
2 analyses, and this allowed us to draw some solid conclusions for the EDW upgrade. Once more data
3 become available, they can be updated in the models to generate more accurate results. Also, more
4 indicators can be incorporated into the decision-making matrix, in particular the social aspects (e.g.
5 employment, income, access to services, public health and safety, etc.).

6 The EU Directive 86/278/EEC was adopted more than 30 years ago. In order to keep it updated with
7 the societal changes, it is currently under review by the European Commission to address emerging
8 issues, in particular in relation to the use of sludge for agriculture. It has been anticipated that the
9 limits of heavy metals will be lowered. In addition to that, limits for organic micropollutants and
10 microbial indicators of pathogens will be introduced. In this context, the advantage of EDW could
11 possibly be further strengthened. However, to date, there are limited data to support the effectiveness
12 of EDW on reducing these contaminants, which could constitute a future research development.

14 **Acknowledgements**

15 This research leading to these results has received funding from the European Union's Seventh
16 Framework Programme under grant agreement No. 611593 the "SLUDGEtreat" project, and the LIFE
17 Programme under grant agreement No. ENV/IT/000039 the "ELECTRO-SLUDGE" project. The
18 authors wish to thank Cap Holding Group for supplying the sludge samples and Industrie De Nora
19 Spa for providing the DSA® anodes. The valuable support of Dr. Sam Skinner in proof reading is
20 gratefully acknowledged by the authors.

22 **Supplementary information**

23 Supplementary information related to this article can be found at <https://>.

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Figure 1

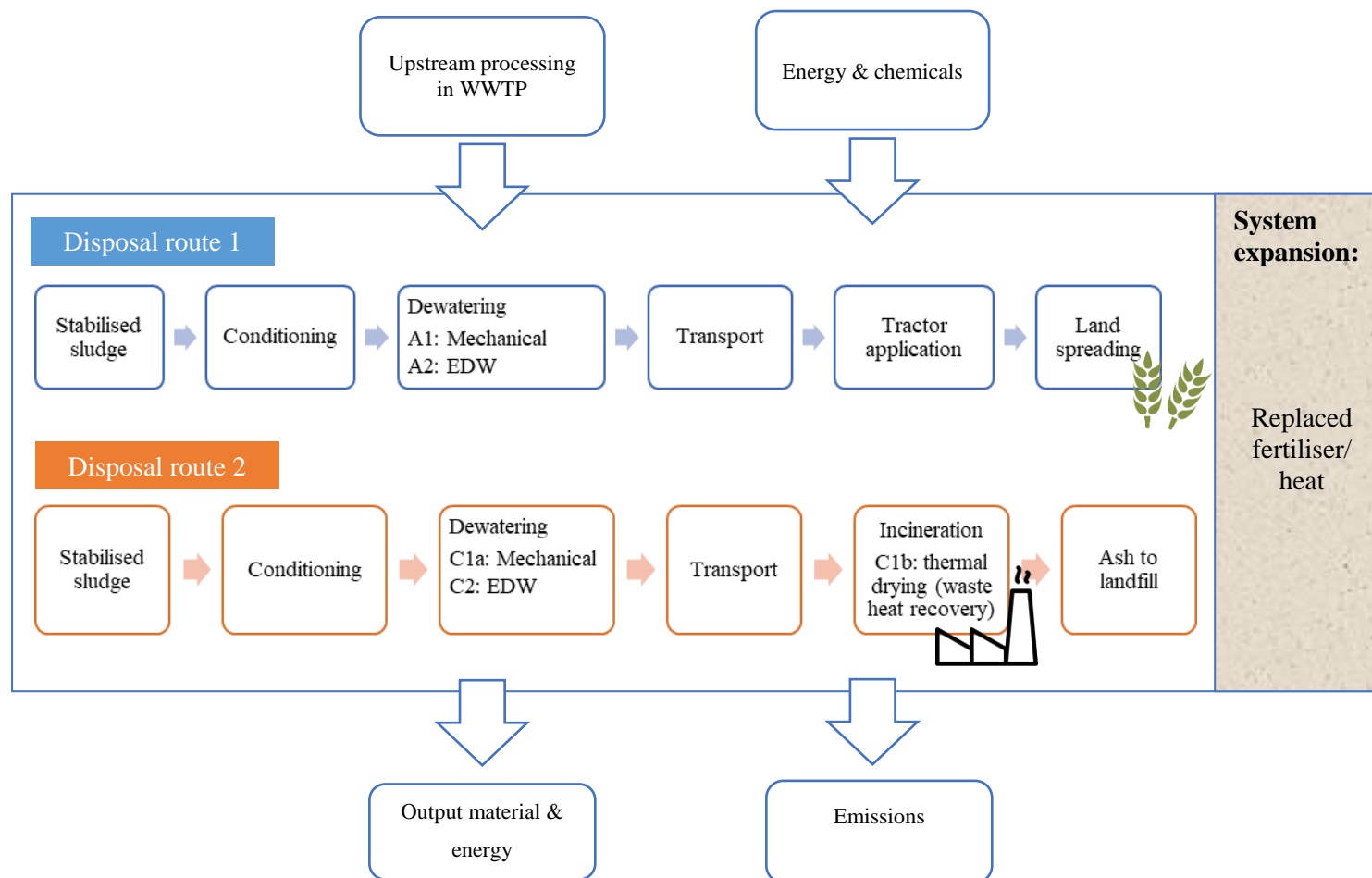


Figure 1. Overview of system boundaries. Two sludge disposal routes were considered: land spreading (scenarios A1 & A2) and centralised incineration (scenarios C1 & C2). Since the sludge dewatering in C1 occurs in different locations, it is marked with C1a and C1b for clarity. EDW dewatering means that the EDW unit is a retrofittable add-on module arranged after the existing mechanical dewatering facility.

Figure 2

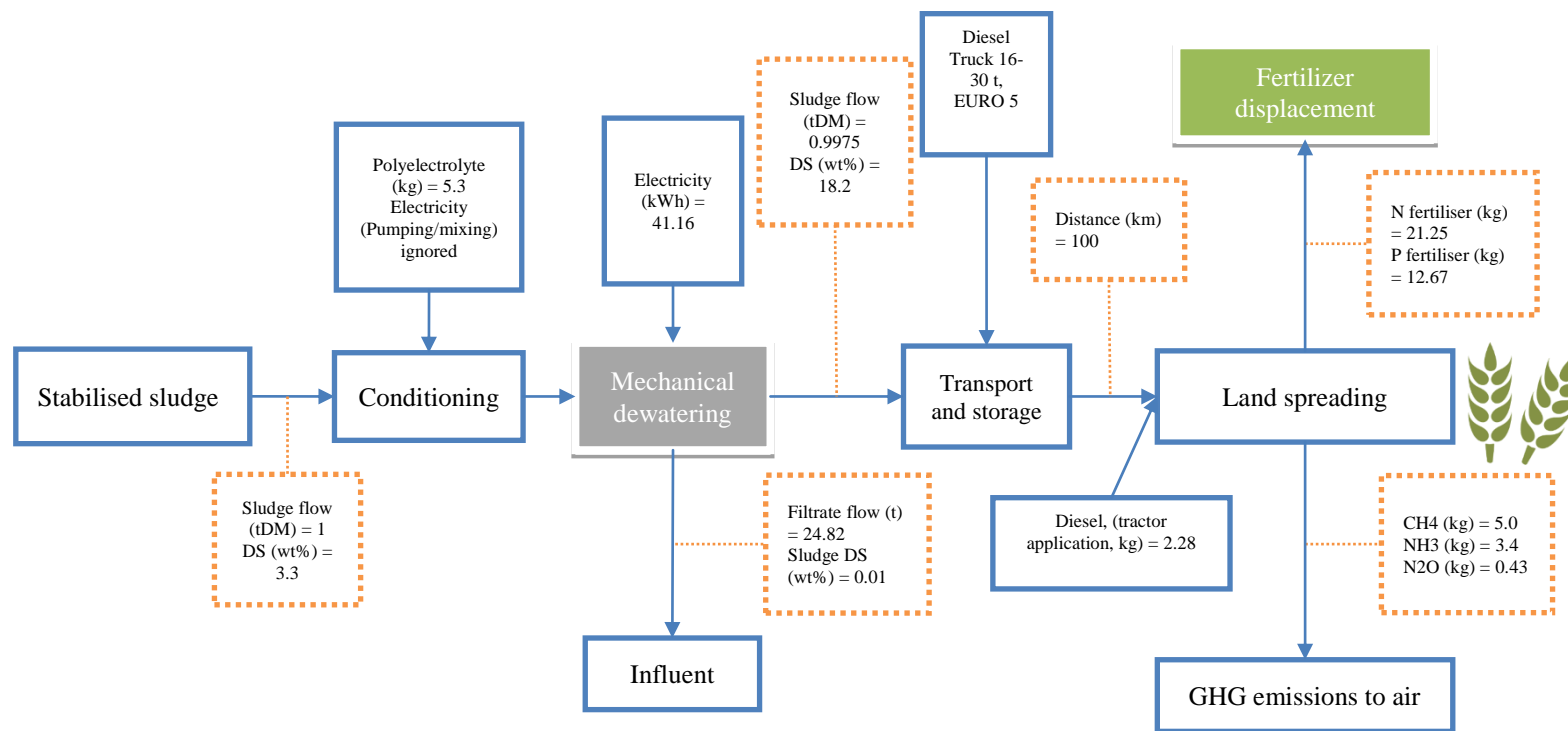


Figure 2. Mass balance of scenario A1. Mass flow is normalised to the functional unit (1 tDM).

Figure 3

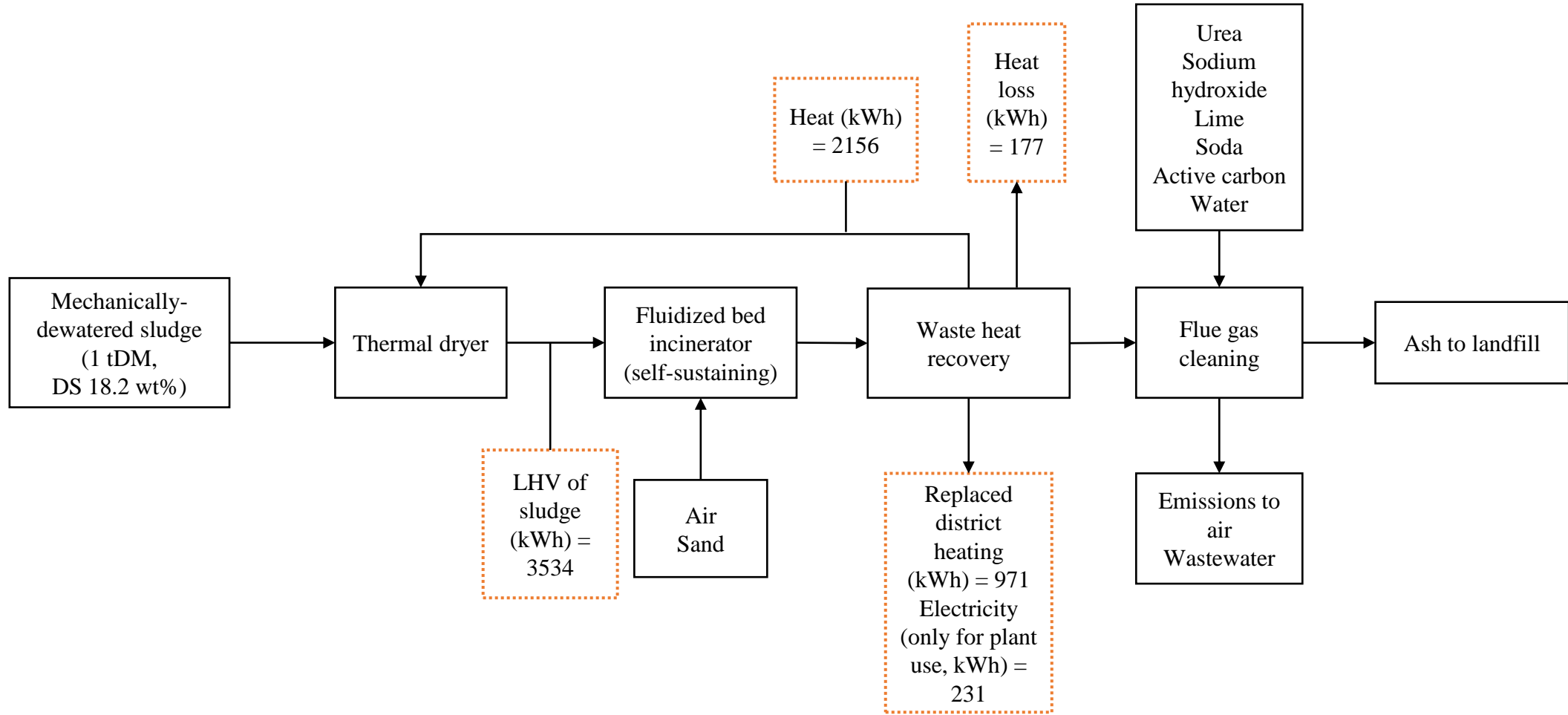


Figure 3. Energy balance of scenario C1. The data are normalised to the functional unit (1 tDM). In case of scenario C2, the heat used by thermal dryer will be diverted to the district heating.

Figure 4

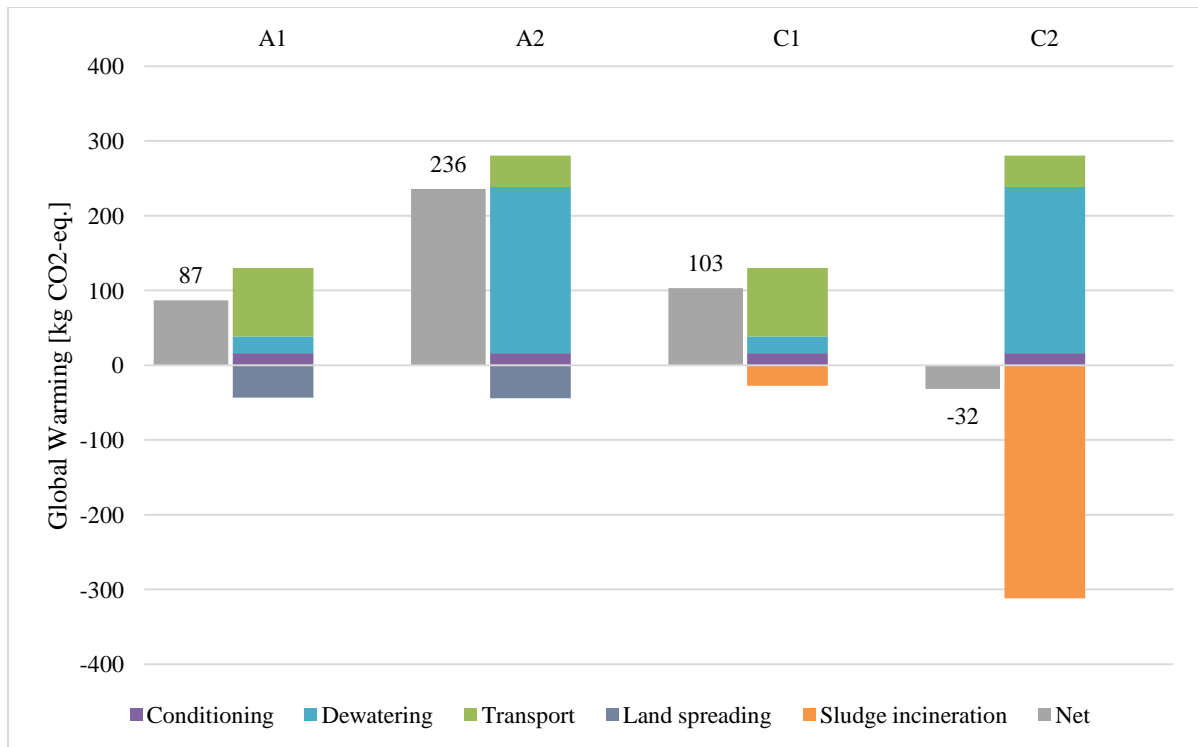


Figure 4. Global Warming (IPCC 2013, 100a) for the four scenarios considered. Refer to the text for detailed scenario descriptions.

Figure 5

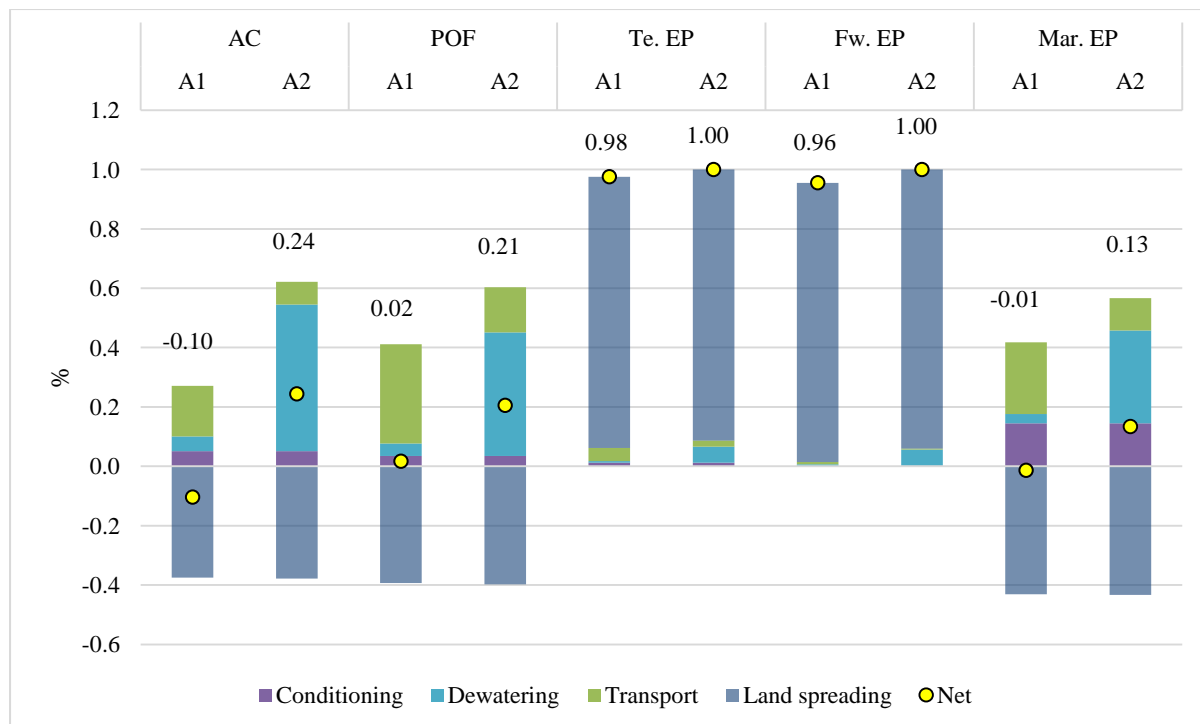


Figure 5. LCIA results (normalised to percentage) for the scenarios of A1 & A2. Refer to the text for detailed scenario descriptions. Acidification abbreviated as AC, Photochemical Ozone Formation as POF, Terrestrial, Freshwater and Marine Eutrophication as Te. EP, Fw. EP and Mar. EP, respectively. Data labels over the bar end indicate the net impact values.

Figure 6

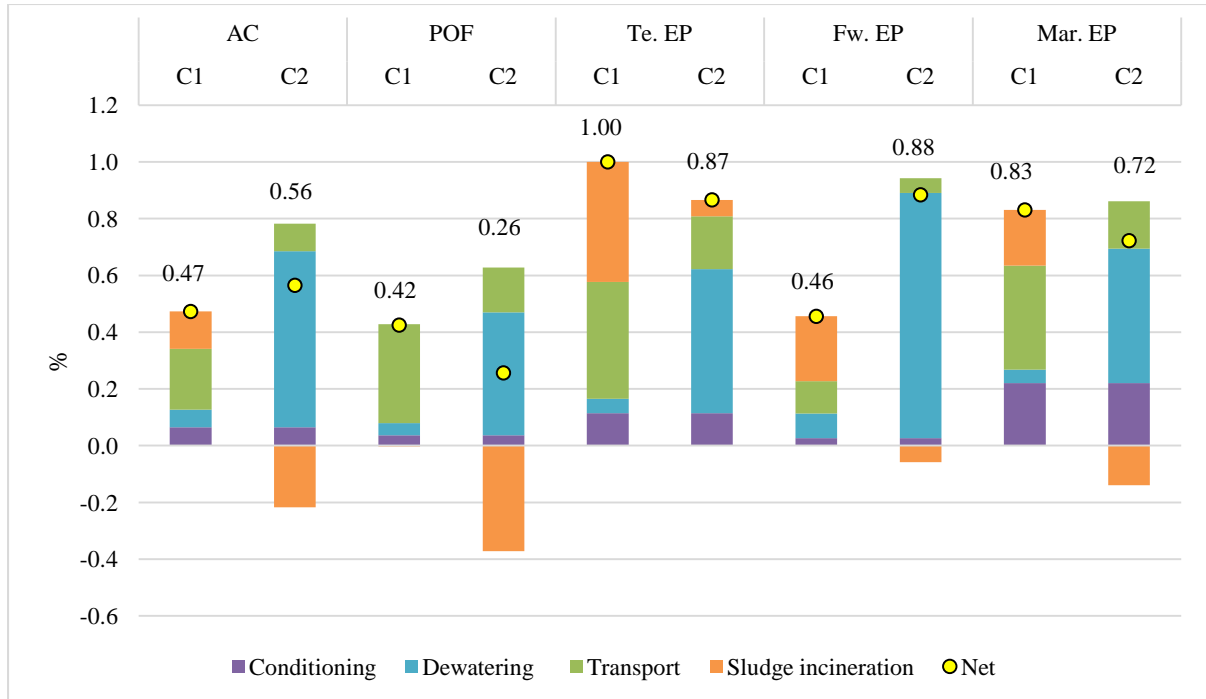


Figure 6. LCIA results (normalised to percentage) for the scenarios of C1 and C2. Refer to the text for detailed scenario descriptions. Acidification abbreviated as AC, Photochemical Ozone Formation as POF, Terrestrial, Freshwater and Marine Eutrophication as Te. EP, Fw. EP and Mar. EP, respectively. Data labels over the bar end indicate the net impact values.

Figure 7

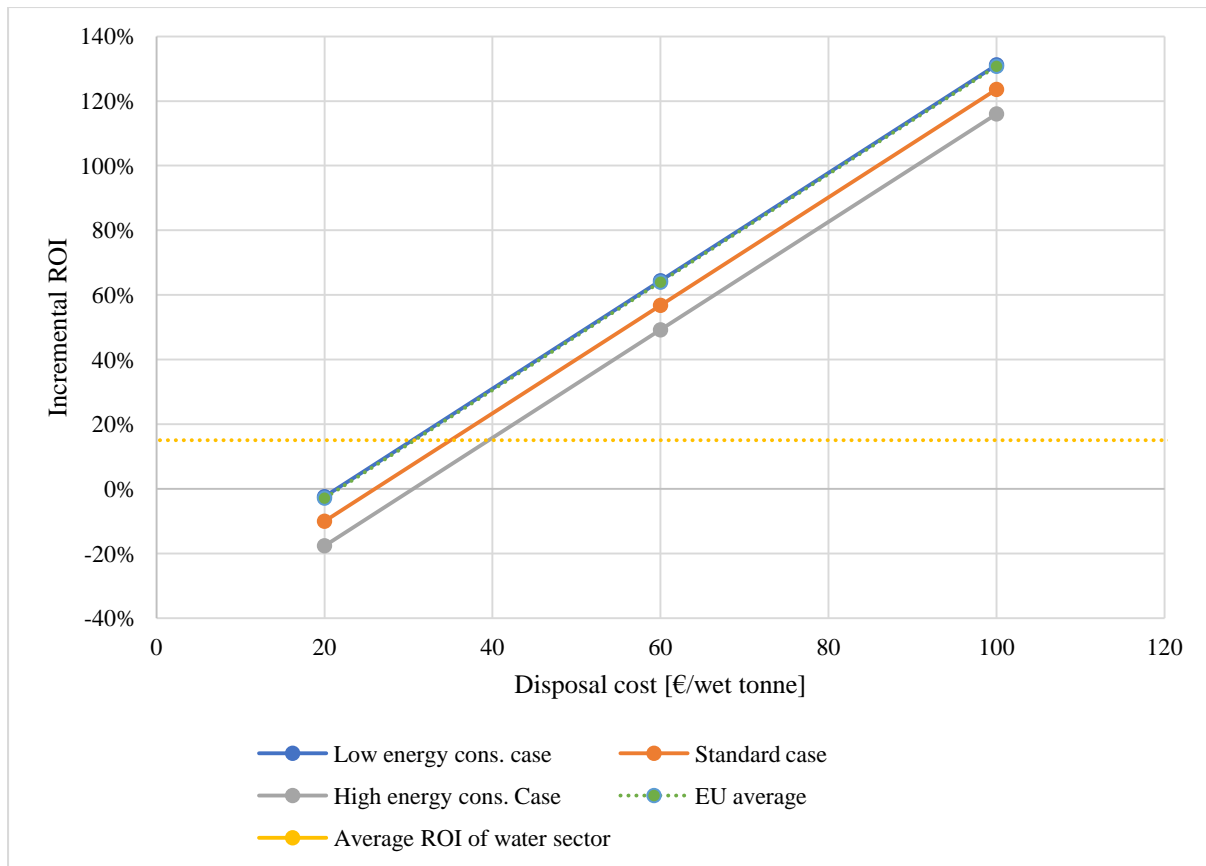


Figure 7. Incremental Return On Investment (ROI) as a function of disposal cost at 20, 60, 100 €/wet tonne. The reference line at ROI 15.1% represents the average ROI of water sector. Refer to the text for detailed case descriptions.

Highlights:

- A case study was carried out by focusing on a small WWTP in Italy
- The EDW upgrade enables significant reduction in global warming in one scenario
- Good economic profitability can be attained for the case study WWTP
- EDW upgrade is recommended for small WWTPs disposing sludge to incineration

Supplementary Information

Environmental and economic assessment of electro-dewatering application to sewage sludge: A case study of an Italian wastewater treatment plant

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SI-1. LCIA methods

The LCIA methods used in this study are summarised in Table SI-1.

Table SI-1. Impact categories and the corresponding LCIA methods used.

Impact category (ILCD midpoint)	What the impact indicator measures	LCIA method	Reference
GW	The radiative forcing over a time horizon of 100 years	IPCC 2013, 100a	(IPCC, 2013)
AC	The change in critical load exceedance of the sensitive area in terrestrial and main freshwater ecosystems, to which acidifying substances deposit	Accumulated Exceedance	(Posch et al., 2008; Seppälä et al., 2006)
POF	The potential contribution to photochemical ozone formation	ReCiPe 2008 V1.05	(Van Zelm et al., 2008)
Terrestrial EP	The change in critical load exceedance of the sensitive area, to which eutrophying substances deposit	Accumulated Exceedance	(Posch et al., 2008; Seppälä et al., 2006)
Freshwater EP	The degree to which the emitted nutrients reaches the freshwater end compartment (phosphorus considered as limiting factor in freshwater)	ReCiPe 2008 V1.05 (EUTRENO model)	(Struijs et al., 2009)
Marine EP	The degree to which the emitted nutrients reaches the marine end compartment (nitrogen considered as limiting factor in marine water)	ReCiPe 2008 V1.05 (EUTRENO model)	(Struijs et al., 2009)

SI-2. Lab-scale EDW test

The EDW test was carried out at DICA, Politecnico di Milano from January through February of 2017. Mechanically-dewatered sludge was sampled from the case study WWTP. The EDW test was performed with a lab-scale device following the method described in our previous publication (Visigalli et al., 2017). The operating parameters were set as cake thickness 15 mm and electrical potential 20 V. The dewatering results are summarised in Table SI-2.

Table SI-2. Lab-scale EDW test results: DS improvement and specific energy consumption.

Inlet DS [%]	Outlet DS [%]	DS improvement [%]	Specific energy consumption [Wh/kg water removed]
16.3	38.1	21.8	116

SI-3. Mass balance of scenario A2

The mass balance of scenario of A2 is depicted in Figure SI-1.

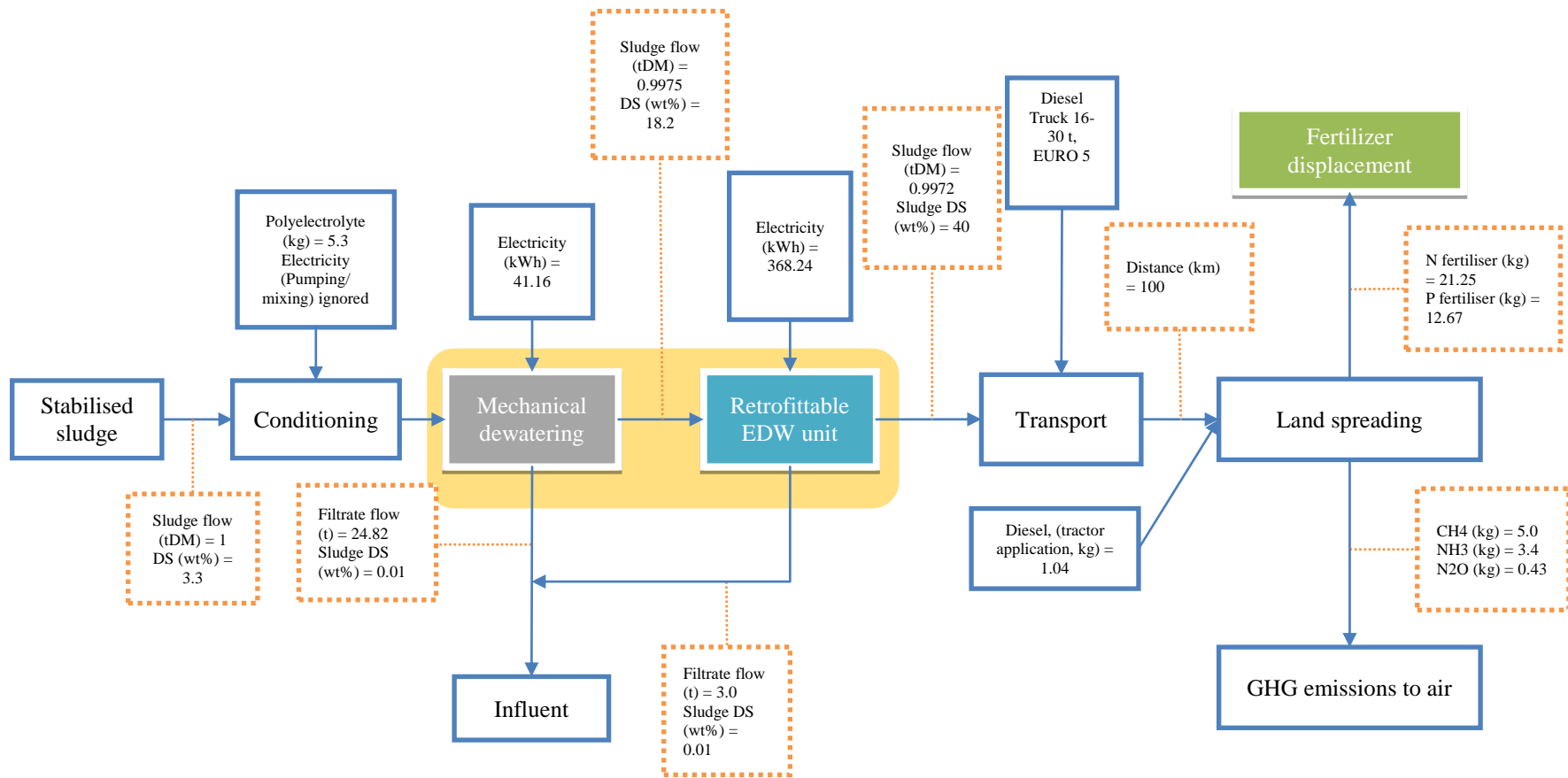


Figure SI-1. Mass balance of scenario A2. Mass flow is normalised to the functional unit (1 tDM).

SI-4. Sludge cake lower heating value (LHV) calculation

In accordance with the reference (Sanin et al., 2011), assuming the composition of Volatile Solids (VS) of sludge to be C5: H7: O2: N1: S0.03, the total molecule weight of the VS (i.e. combustible elements), TM , is $TM = 12 \times 5 + 7 \times 1 + 2 \times 16 + 14 \times 1 + 0.03 \times 32 = 114$.

Carbon percentage, %C, in kg C/kg wet sludge, is given by Equation SI.1:

$$\%C = 60/TM * VS/DS * (1-U) \quad (SI.1)$$

where

U = moisture content

VS/DS = volatile solids content

In this case study, the DS is set as 40%, i.e. U is 60%.

Based on our lab test, the average VS/DS is 70%.

So, the carbon content C is $C = \%C \times 100$

The content of H , O , N and S can be calculated in the same way.

The sludge cake LHV (in kcal/kg of wet sludge) can be estimated with the Dulong equation (Equation SI.2; Water Environment Federation - Incineration Task Force, 2009):

$$LHV = 81C + 28.7(H - O/8) + 22.1S - 6U \quad (SI.2)$$

So, substituting in the data gives the LHV of 1216.4 kcal/kg wet sludge, i.e. 5.09 MJ/kg of wet sludge.

Normalising the LHV value to the FU gives $5.09 \times 1/40\% = 12.725$ MJ/kgDM = 3534 kWh/tDM.

For sludge mono-incineration, the combustion temperature must be in compliance with the EU regulation, to be in the range of 850-950 °C (Umwelt Bundesamt, 2013). On the other hand, to ensure complete combustion and avoid generating higher emission of NO_x, the supplied combustion air must be 40% greater than the oxidation stoichiometry (Water Environment Federation-Incineration Task Force, 2009). The excess air percentage, e , is given by Equation SI.3 (Water Environment Federation - Incineration Task Force, 2009):

$$e = \left[\frac{0.97LHV - 40}{T_f - T_0} - 0.38V_{fs} - 0.0332 \right] / (0.0033 \cdot V_{as}) \quad (SI.3)$$

where

T_0 = inlet air temperature

T_f = flue gas temperature in the freeboard area of the incinerator

V_{fs} = volume of flue gas

V_{as} = stoichiometry air volume for complete combustion

Hence, set e as 140% and then it gives

$T_f = 900$ °C

This result suggests that the supplied sludge cake can maintain self-sustaining incineration at the assumed DS value (40%), and no preheating unit is needed.

SI-5. LCIA results

The LCIA results for the scenarios considered are presented in Figures from SI-2 to SI-6.

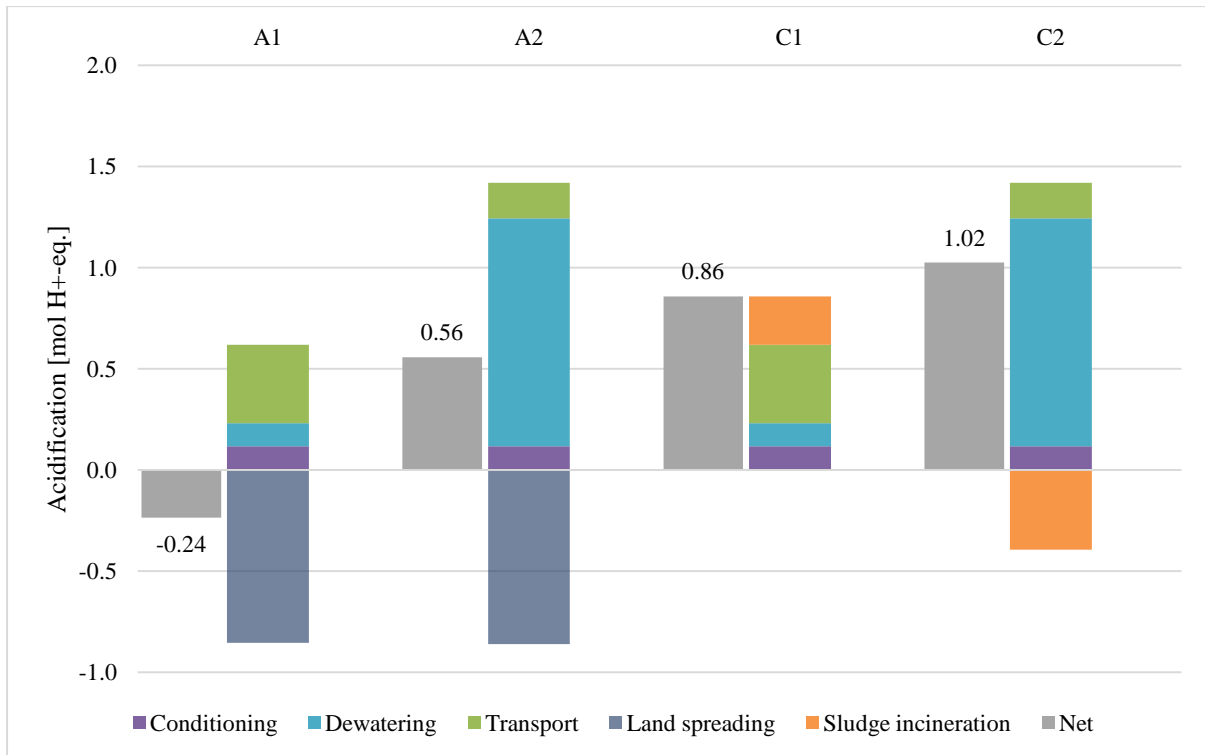


Figure SI-2. Acidification for the four scenarios considered.

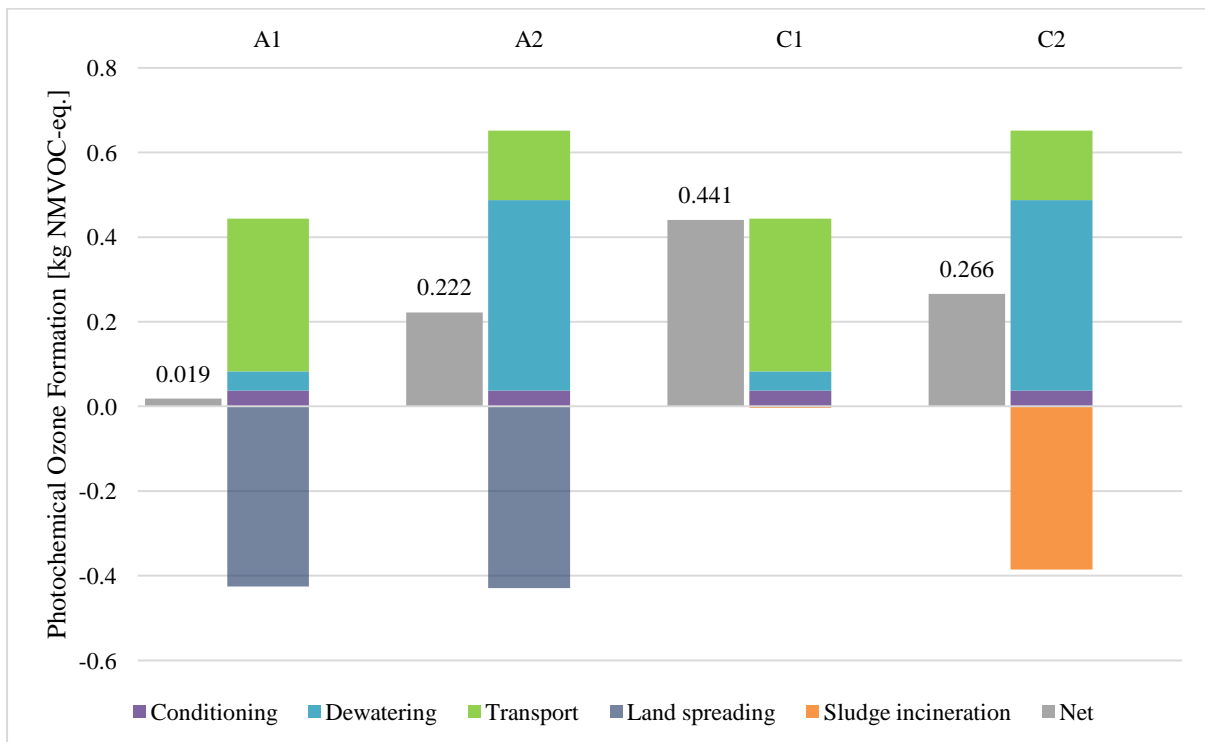


Figure SI-3. Photochemical Ozone Formation for the four scenarios considered.

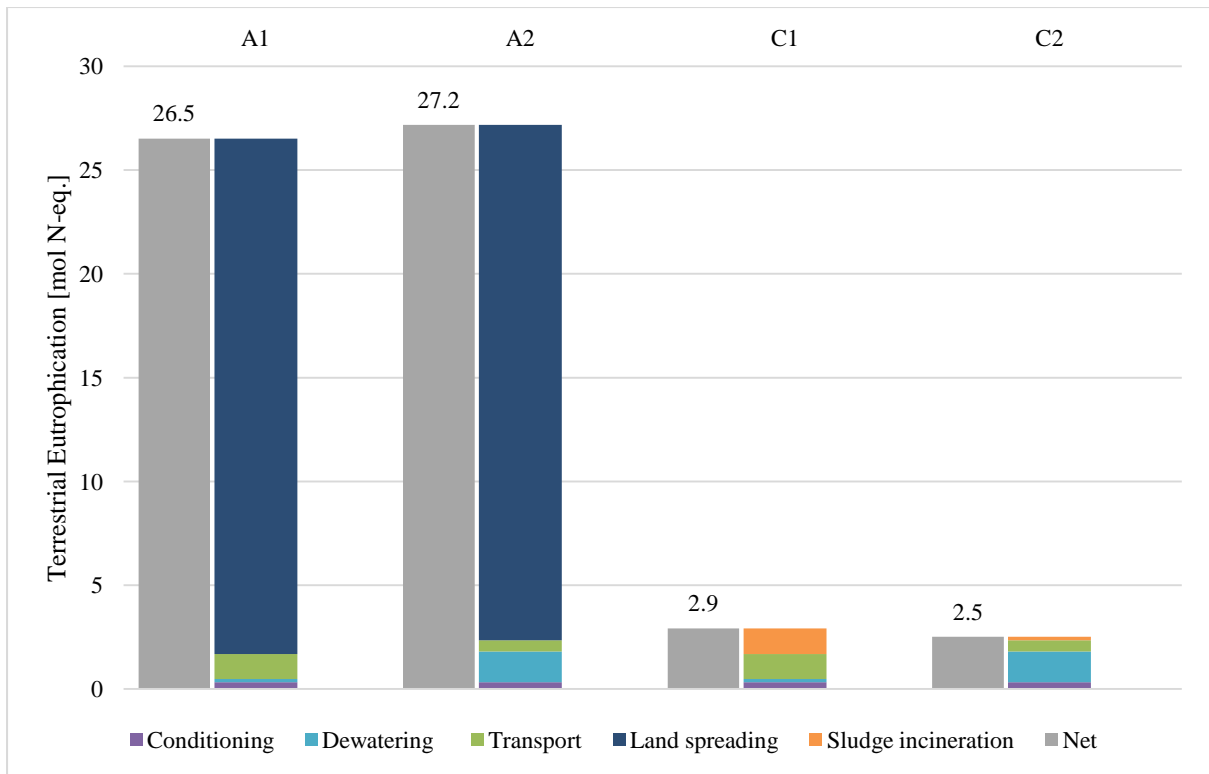


Figure SI-4. Terrestrial Eutrophication for the four scenarios considered.

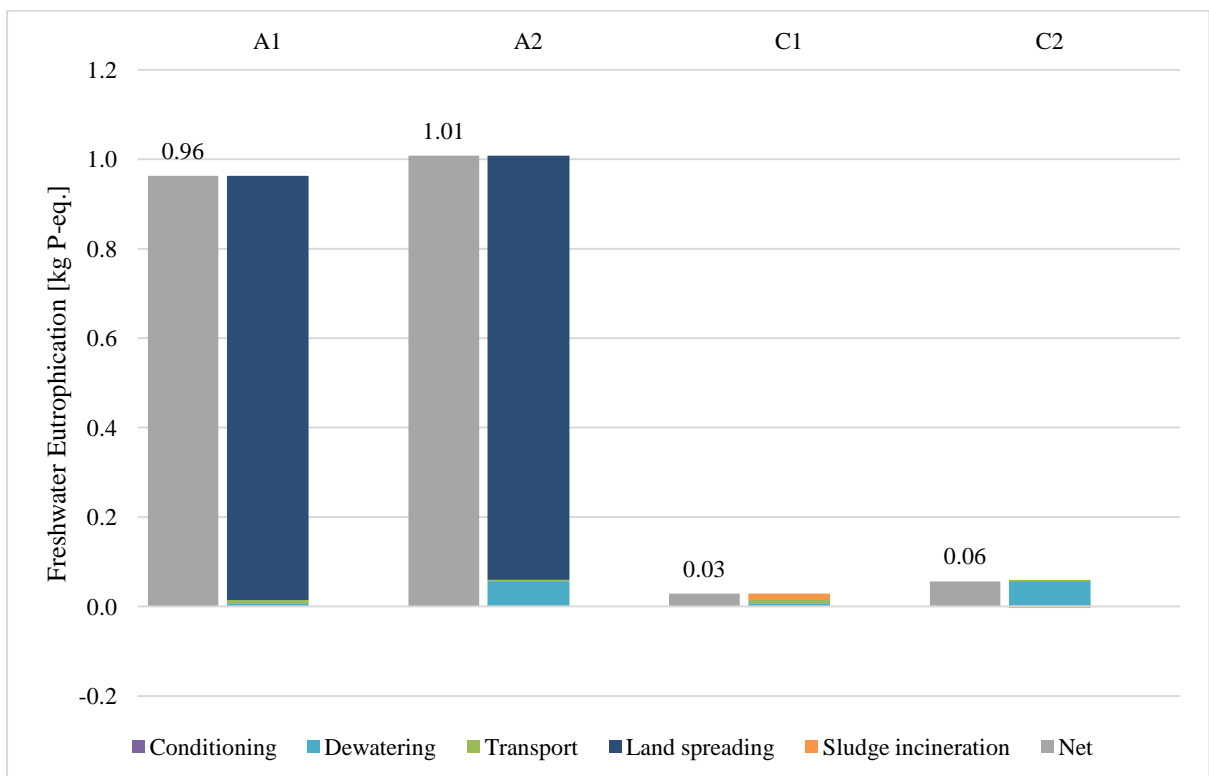


Figure SI-5. Freshwater Eutrophication for the four scenarios considered.

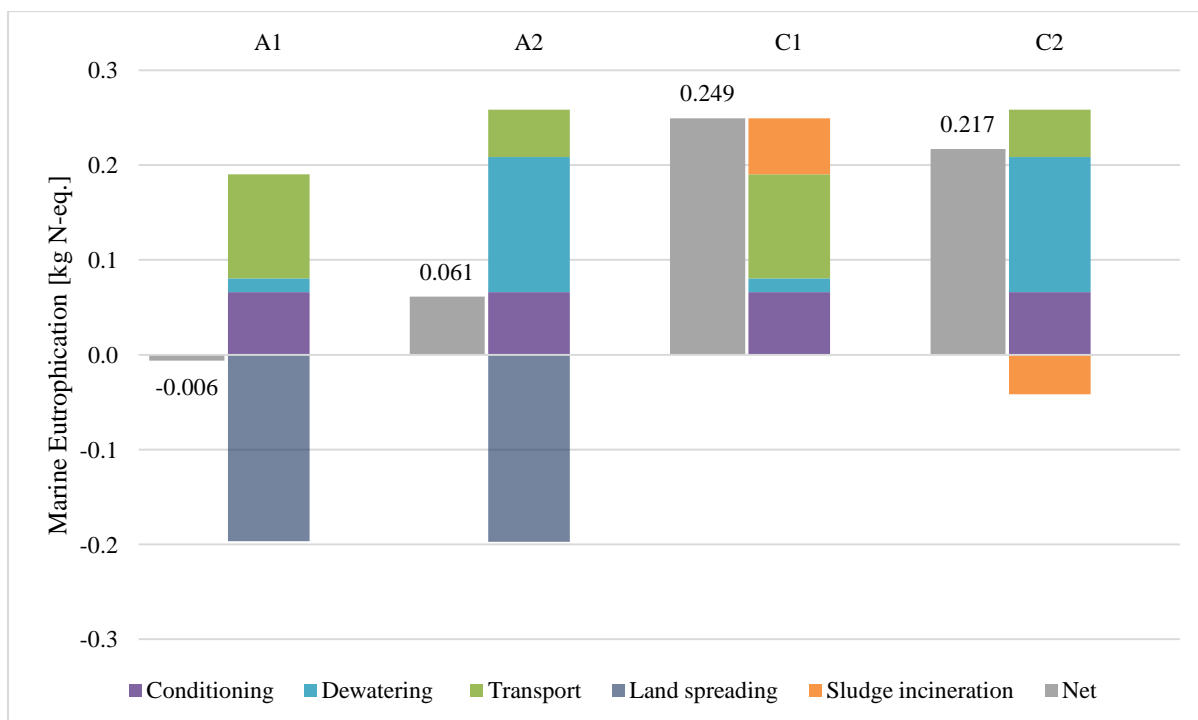


Figure SI-6. Marine Eutrophication for the four scenarios considered.

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