

1 **Trading off natural resources and rural livelihoods. A framework for**  
2 **sustainability assessment of small-scale food production in water-**  
3 **limited regions**

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14 **Abstract**

15 Enhancing local production is key to promoting food security, especially in rural households of  
16 low-income countries, but may conflict with limited natural resources and ecosystems preservation.  
17 We propose a framework integrating the water-food nexus and a sustainable livelihoods perspective  
18 to assess small-scale food production in water-poor regions. We demonstrate it by assessing  
19 alternative production scenarios in the Gaza Strip at different spatial scales. At the scale of a single  
20 farm, there is a clear conflict among objectives: while cash crops ensure good incomes but  
21 contribute scarcely to domestic protein supply, crops performing well from the nutritional and  
22 environmental viewpoint are among the worst from the economic one. At the regional scale,  
23 domestic production might cover an important fraction of nutritional needs while contributing to  
24 household income, but water scarcity impairs the satisfaction of food demand by domestic  
25 production alone. Pursuing food security under multiple constraints thus requires a holistic  
26 perspective: we discuss how a multidimensional approach can promote the engagement of different  
27 stakeholders and allow the exploration of trade-offs between food security, sustainable exploitation  
28 of natural resources and economic viability.

29

30 **Keywords**

31 Domestic food production; environmental impact indicators; food security; sustainable agriculture;  
32 water footprint; water-food nexus

## 33 **1. Introduction**

34 Small-scale agriculture is the principal source of food and income for rural households in  
35 developing economies (Byerlee et al., 2008; FAO et al., 2014). People living in rural areas are also  
36 among the poorest and hungriest in the world (FAO et al., 2015). Growth in agricultural  
37 productivity is argued to be key to close current and projected yield gaps and improve food security  
38 (FAO and WFP, 2007; OECD and FAO, 2015), as long as the yields currently realized in high-  
39 income countries are attained globally (Mueller et al., 2012; Rulli and D’Odorico, 2014; West et al.,  
40 2014). However, the unsustainable intensification of agricultural production can lead to  
41 overexploitation of natural resources and degradation of ecosystems (Bonsch et al., 2015; Godfray  
42 et al., 2010; Tilman et al., 2001), ultimately affecting agricultural productivity due to loss of  
43 supporting ecosystem services (Power, 2010).

44 Undergoing global change exacerbates this conflict (Gerland et al., 2014; Iglesias and Garrote,  
45 2015): the combined action of climate change and ecosystem degradation is expected to reduce the  
46 availability of freshwater and productive land, while food demand will increase due to projected  
47 population growth. This is further aggravated by the heterogeneous distribution of natural resources,  
48 which can cause mismatches between demand and availability, affecting in particular rural  
49 households in warmer regions (Butler et al., 2014; Krishnamurthy et al., 2014). Although at the  
50 global scale freshwater and land requirements by current agricultural practices might be compatible  
51 with reserves (Rost et al., 2008; Siebert and Döll, 2010), at the regional scale shortages are common  
52 and will have serious impacts on food security (Mclaughlin and Kinzelbach, 2015).

53 The spatial decoupling of food production and consumption driven by increasingly globalized  
54 international trade is expected to mitigate the effects of spatiotemporal variation in food availability  
55 worldwide (Allan, 2001; Fader et al., 2013; Gilmont, 2015). Nevertheless, the ultimate impact of  
56 trade intensification on global food security is difficult to evaluate (Marchand et al., 2016). In  
57 addition, low-income populations and, in particular, rural households in developing economies are

58 still mostly dependent upon subsistence agriculture and local resources (World Bank, 2008), taking  
59 little or no advantage from global trading (IFAD, 2011; Ortiz and Cummins, 2011; McLaughlin and  
60 Kinzelbach, 2015). Indeed, recent analyses suggest that globalization may have reduced the social  
61 resilience to water limitations by favouring the propagation of water crises (e.g. D’Odorico et al.,  
62 2010). For these reasons, solutions that both enhance rural livelihoods and preserve ecosystems and  
63 natural resources are key to pursuing food security along sustainable pathways (Biggs et al., 2015;  
64 Peng et al., 2015; UN, 2015).

65 Understanding the inextricable link between water and food security requires a nexus approach, i.e.  
66 a holistic perspective integrating the different facets of the problem within a common conceptual  
67 framework. During the last fifteen years, a large body of literature has flourished around the central  
68 concept of water-food nexus, in most cases extended to include also energy (Finley and Seiber,  
69 2014) and, sometimes, encompassing additional environmental components such as land (e.g.  
70 Kumar et al., 2012; Ringler et al., 2013; Rulli et al., 2016), climate (Beck and Villarroel Walker,  
71 2013), and ecosystems (de Strasser et al., 2016; Karabulut et al., 2016).

72 In this work, we adapt and integrate existing assessment frameworks into a nexus approach with the  
73 aim of investigating the potential of agricultural systems to ensure food security and enhance rural  
74 livelihoods in a sustainable manner (Biggs et al., 2015; UNEP, 2013), with particular reference to  
75 contexts characterized by limited availability of natural resources. We specifically focus on  
76 domestic production, intended here as the fraction of small-scale agricultural production which is  
77 directly consumed on site by households. Based on a set of quantitative indicators, we  
78 comparatively assess alternative production scenarios in terms of their contribution to (i) food  
79 supply and (ii) economic conditions of rural households, as well as (iii) their impact on natural  
80 resources.

81 We demonstrate the approach on the sustainability assessment of domestic food production in the  
82 rural Gaza Strip. This region provides an extreme, yet paradigmatic case study in this respect:  
83 agricultural production is strongly constrained by the scarcity of freshwater resources and severely

84 limited trading possibilities (Butterfield et al., 2000; EWASH, 2011). The geopolitical situation  
85 with the blockade imposed by Israeli and Egyptian authorities (OCHA, 2015) is a further pressure  
86 element. The United Nations have identified food insecurity and freshwater scarcity as the most  
87 critical issues in the Gaza Strip (UNDP, 2011). In this context, a quantitative assessment of  
88 alternative food production scenarios from an integrated perspective is a crucial step to inform  
89 policy making in the region. In our analysis, we compare a set of food production scenarios  
90 (combining horticulture, animal husbandry and aquaculture) that exemplify some of the most  
91 widely implemented small-scale practices in the Gaza Strip. First, we assess the selected scenarios  
92 at the scale of a single farm in terms of protein supply, freshwater consumption, and income. Then,  
93 we broaden the perspective of the analysis to the regional scale and use those scenarios to appraise  
94 the potential contribution of domestic food production to rural livelihoods (food supply and income)  
95 and evaluate the environmental balance between demand and supply of water for food production.

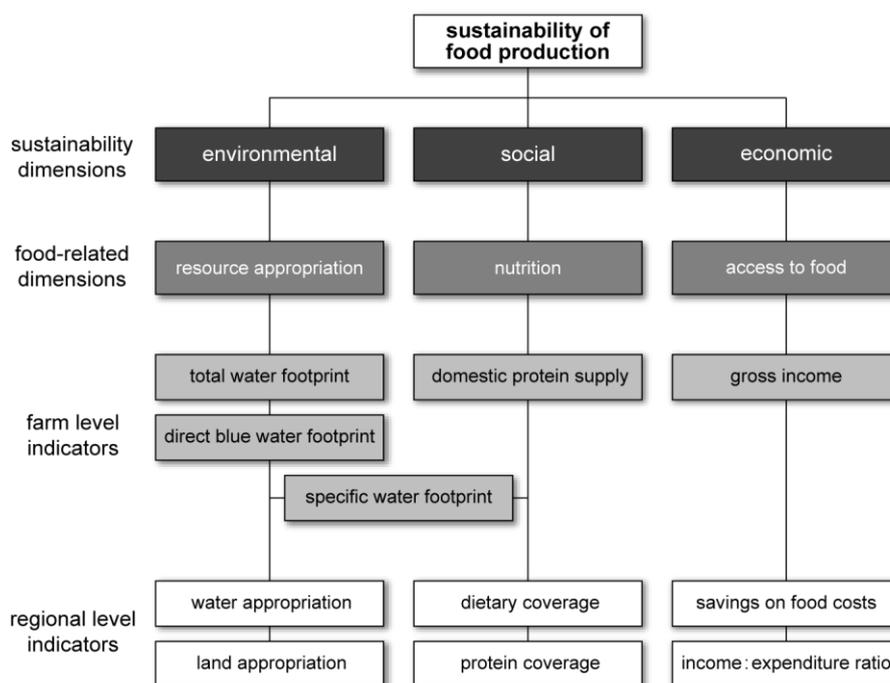
## 96 **2. Materials and methods**

### 97 **2.1. Methodological framework**

98 To assess the sustainability of domestic food production and its potential contribution to fostering  
99 food security of rural households, we evaluate the consequences of alternative production scenarios  
100 along the three basic dimensions of sustainability (environmental, social and economic) through a  
101 set of quantitative indicators defined over two spatial scales (farm level and regional level). The  
102 conceptual framework in which the indicators are organized (Fig. 1) integrates the water-food nexus  
103 (FAO, 2014a) through the environmental dimension, with a specific focus on the impacts of food  
104 production on freshwater resources, and the social dimension, by looking at the nutritional aspects.  
105 The framework incorporates also a sustainable livelihoods perspective (Biggs et al., 2015) through  
106 the economic dimension, focusing in particular on the local scale (FAO, 2014b). The last two  
107 dimensions are directly linked to food availability and access to food (FAO, 2014a), two major

108 premises to food security that are inextricably linked in rural areas where agricultural production,  
109 besides being a major source of income, is still the major source of food. The proposed approach is  
110 novel in that it integrates aspects that have never been included in a single framework before. In  
111 fact, nutrition has usually been excluded from assessments of agricultural sustainability, which have  
112 made use of a wide range of environmental indicators but of a much narrower set of economic and  
113 social indicators (Latrouffe et al., 2016). In addition, where social aspects have been considered,  
114 these have mainly included labour conditions, psychological well-being or health (e.g. FAO, 2014b;  
115 Horrigan et al., 2002; Lebacqz et al., 2013), without linking well-being directly to food security. On  
116 the contrary, dietary assessments have mainly focused on nutritional aspects (Donini et al., 2016),  
117 while putting less emphasis on the sustainability of the production process (but see, for instance,  
118 Gustafson et al., 2016). In the present framework, nutrition is put in the foreground together with  
119 the environmental and economic aspects.

120 The specific indicators used for the analysis at the two different scales are described in detail in  
121 sections 2.4 and 2.5. Here, we delineate the general framework that supports the choice of the  
122 indicators. According to ISO standards (ISO, 2006), environmental sustainability assessments  
123 should encompass both natural resources appropriation and environmental impacts caused by  
124 emissions into air, water and soil. Focusing primarily on the water dimension, we use the water  
125 footprint concept (Hoekstra et al., 2011) to include both water consumption and impacts on water  
126 quality (Pellicer-Martínez and Martínez-Paz, 2016). After quantifying the water footprint of  
127 alternative food production scenarios at the farm scale, we compare water appropriation for  
128 household production with freshwater supply at the regional scale. To broaden the scope of the  
129 analysis, we assess food production scenarios also in terms of land appropriation (another key  
130 aspect of human pressure on natural resources) and contrast the results with the current availability  
131 of agricultural land. We describe the analysis on land appropriation only in the supplementary  
132 material (sections S1.2.2 and S2.3.2), because the main focus of the work is on the water-food  
133 nexus.



135

136 Fig. 1: Framework for the sustainability assessment of alternative food production scenarios. The achievement of the  
 137 general objective (sustainable food production) is measured along three basic dimensions: environmental, social and  
 138 economic. These, in turn, are directly associated with specific components of both the water-food nexus and food  
 139 security.

140

141 As for nutrition, we consider both the dietary coverage of different food categories, and the intake  
 142 of specific nutrients such as proteins, as suggested by Gibson (2005). Domestic production is then  
 143 compared among scenarios and contrasted with national consumption statistics and nutritional  
 144 guidelines. The economic benefits of domestic food production comprise both the opportunity to  
 145 reduce household expenditures on food and the income deriving from selling the production that is  
 146 not directly consumed by the household (Singh et al., 2009). Savings on food and incomes from  
 147 crop sale under different production scenarios can eventually be compared with national statistics.

## 148 **2.2. Case study**

149 The Gaza Strip is a small, self-governing portion of the Palestinian territories along the eastern  
150 coast of the Mediterranean Sea, with an overall area of 365 km<sup>2</sup>. Despite its small dimensions,  
151 almost two million people live in the area, making it one of the most densely populated regions in  
152 the world. Limited land and freshwater availability are crucial: population density is greater than  
153 5000 person/km<sup>2</sup>, and overall freshwater supply is far below the water resources available in other  
154 countries of the Near East. In 2005, the total amount of renewable water resources was on average  
155 51 m<sup>3</sup>/yr per person (while it ranged between a minimum of 161 m<sup>3</sup>/yr in Jordan and a maximum of  
156 1259 m<sup>3</sup>/yr in Lebanon; FAO, 2017). During the last decade, however, the deterioration (in terms of  
157 both quantity and quality) of water resources, paralleled by the continuous increase in water  
158 demand caused by population growth, has further decreased water availability in the Gaza Strip to  
159 just 33 m<sup>3</sup>/yr per person (PWA, 2014). Resource scarcity strongly constrains internal food  
160 production, and high population density further aggravates the imbalance between food demand and  
161 supply. Agriculture is a key sector in the region, with smallholder farms providing a major  
162 contribution to the regional food supply (FAO, 2005). However, wages in the agricultural sector are  
163 below the average of all economic sectors (PCBS et al., 2013). In addition, restricted access to  
164 fertile land, freshwater and markets limits production and exports (FAO, 2005). As a consequence,  
165 the commercial balance of the Palestinian territories is strongly shifted toward imports, with a value  
166 of exports amounting to only 17% of that of imports (PCBS, 2015a). Despite the fact that farmers  
167 have direct access to food through domestic production, during the last decade more than 50% of  
168 the rural population has been affected by food insecurity (PCBS et al., 2013). The main causes are  
169 restrictions to movement of people and goods, impairing physical access to food (FAO, 2003), and  
170 the lack of economic access to food due to unemployment and low income (FAO and WFP, 2007).  
171 This last aspect is especially critical in the region: in 2012, Palestinian households spent 50% of  
172 their cash income on food, with a proportion attaining 55% among food insecure people (PCBS et

173 al., 2013). Economic constraints impair, in particular, access to expensive animal products, making  
174 it difficult to achieve safe levels of protein intake for the rural population (FAO, 2003).  
175 Enhancing domestic food production through sustainable agricultural techniques, which do not  
176 exacerbate the scarcity of natural resources affecting the region, is thus crucial to alleviate food  
177 insecurity in rural areas of the Gaza Strip. We applied our assessment framework (Fig. 1) to  
178 evaluate how domestic food production can contribute to secure food for the local rural population,  
179 while concurrently addressing the environmental and economic sustainability of different  
180 production scenarios. The reference unit of the assessment is an average agricultural holding in the  
181 Gaza Strip, as described in the following section.

### 182 **2.3. The farm model**

183 A model describing an "average" farm of the Gaza Strip has been built with the help of the Italian  
184 NGO Overseas ([www.overseas-onlus.org](http://www.overseas-onlus.org)), which has been active in the area since 2009. A survey  
185 conducted by Overseas among 30 farmers was used to gather information about the implementation  
186 of small-scale agriculture. Local agronomists of the Union of Agricultural Work Committees  
187 (UAWC; [uawc-pal.org](http://uawc-pal.org)) supported the development of the farm model and of food production  
188 scenarios providing field data and helping validate the literature data used to fill main knowledge  
189 gaps. The representativeness of the farm model for the whole region was then verified through an  
190 extensive review of the institutional reports periodically released by the Palestinian Central Bureau  
191 of Statistics (PCBS; [www.pcbs.gov.ps](http://www.pcbs.gov.ps)).

192 The general features of the reference farm are the following:

- 193 1. the extension is equal to 9000 m<sup>2</sup> (9 dunum), which is the average size of agricultural holdings in  
194 the Gaza Strip (PCBS, 2005), 8,500 of which are dedicated to agriculture;
- 195 2. the farm includes three family units, composed of six people each (the average size of rural  
196 households in the Gaza Strip ranged, with a decreasing trend, from 6.9 to 5.7 during the last 2  
197 decades, PCBS, 2015b);

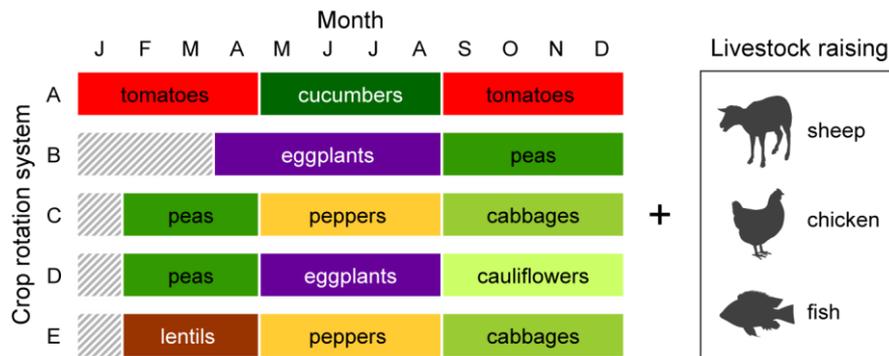
198 3. food production in the farm is based on horticulture, animal husbandry, and aquaculture.

199 Horticulture is a major income source for rural households in the Gaza Strip (68% of the  
200 agricultural holdings in the area; PCBS and PNA, 2012). The crops considered in the analysis were  
201 selected by local agronomists and Overseas operators as the most representative of the region. They  
202 include both cash crops, i.e. export-oriented crops, like tomatoes and cucumbers, and crops intended  
203 mainly for domestic consumption, such as lentils. Collectively, they represent ca. 70% in weight  
204 (PCBS, 2012b) and 75% in monetary value (PCBS, 2012a) of the overall vegetable production of  
205 the Gaza Strip. The different crops are combined in rotation systems; among these, we have  
206 considered five that are illustrative of actually implemented agricultural practices.

207 Livestock raising also contributes significantly to the rural economy of the region. The most  
208 commonly reared species include poultry, sheep and goats (PCBS, 2012a). According to data  
209 gathered from local farmers and agronomists, we consider an average animal asset of 15 hens  
210 (providing eggs and meat) and 7 sheep (providing milk and meat). Animals graze in the courtyard,  
211 which is assumed to cover an area of about 200 m<sup>2</sup>, and occasionally in the restricted area running  
212 along the Israeli border. Fish production of Nile tilapia (*Oreochromis niloticus*) from small-scale  
213 aquaculture is also included in the scenarios. The expansion of small-scale aquaculture in the  
214 Palestinian territories is fostered by aid institutions and NGOs, with the aim to compensate the  
215 decreased availability of fish proteins caused by the restrictions Palestinian fishers are subjected to.

216 *O. niloticus* is native to the Nile basin and coastal rivers of Israel and occurs in a wide variety of  
217 freshwater habitats, but tolerates brackish water and a wide temperature range; for these reasons,  
218 and thanks to its high reproductive potential, it is widely used for farming in tropical countries,  
219 although it can cause adverse ecological impacts outside its original distribution range. Nile tilapia  
220 farming can take place in irrigation ponds; in accordance with data provided by agronomists and  
221 Overseas operators, each pond has, on average, a surface area of 50 m<sup>2</sup>, is 1.6 m deep, serves an  
222 irrigated area of 3,000 m<sup>2</sup> and produces 400 fish per year.

223 We consider five alternative food production scenarios (Fig. 2), obtained by combining the five  
 224 crop rotation systems with animal production (husbandry plus aquaculture) for domestic  
 225 consumption. Further details about the farm model are given in the supplementary material (section  
 226 S1.1).  
 227



228  
 229 Fig. 2: Food production scenarios are composed by alternative crop rotation systems (A–E) plus animal production from  
 230 husbandry and aquaculture (the same for all scenarios). Crops included in system A (tomatoes and cucumbers) are  
 231 grown in greenhouse, while the others are grown in the open field. Hatched bars indicate fallow periods.  
 232

## 233 2.4. Analysis at the farm scale

234 In the first part of the analysis, we contrast alternative food production scenarios at the scale of a  
 235 single farm. Following the methodological framework described in section 2.1, we assess the  
 236 performance of each scenario with the following indicators (Table 1, *Farm scale*): *domestic protein*  
 237 *supply*, *total water footprint*, *direct blue water footprint* and *gross income*. In addition, we compare  
 238 protein-rich food products in terms of *specific water footprint* (i.e. water footprint per kilogram of  
 239 protein produced). The reference time frame for the assessment is one year.

240 *Domestic protein supply* measures the contribution of domestic production to household nutrition.  
 241 Proteins play a crucial role with respect to nutritional aspects (Latham, 1997), and the minimum  
 242 safe level of protein intake is difficult to achieve in the Gaza Strip (FAO, 2003): for this reason,  
 243 protein intake is considered a key indicator of food security.

244 *Water footprint* (Hoekstra et al., 2011) is chosen as a comprehensive measure of water resources  
245 appropriation. Its value is the sum of three terms: (i) blue water footprint (surface- and  
246 groundwater), (ii) green water footprint (rainwater), and (iii) grey water footprint (freshwater  
247 required to dilute the pollutant load to meet water quality standards). In our analysis, we use the  
248 *total water footprint* (sum of the freshwater used on site, and of that used along the life cycle of all  
249 production inputs), as well as the *direct blue water footprint*, to specifically focus on freshwater  
250 withdrawn and consumed for food production within the Gaza Strip.

251 Then, we combine nutritional and environmental aspects by calculating the *specific water footprint*  
252 of protein-rich food products (characterized by protein content >5%, hence animal products and  
253 legumes). As sheep and chicken provide more than one product (milk and meat, eggs and meat,  
254 respectively), water consumption is allocated according to a mass criterion.

255 *Gross income* provides a measure of the importance of domestic food production for the household  
256 economy. It is calculated as the income deriving from the sale of vegetables produced within the  
257 farm, i.e. the fraction which is not directly consumed by the household, on the basis of market  
258 prices provided by the Palestinian Central Bureau of Statistics. A detailed description of how the  
259 different indicators used for the assessment at the farm scale were calculated is provided in the  
260 supplementary material (section S1.2).

## 261 **2.5. From the single farm to the regional scale**

262 In the second part, we broaden the perspective to the regional scale and assess the potential  
263 contribution of domestic food production to secure food to the rural population of the whole Gaza  
264 Strip, along with its impact on natural resources. Indicators calculated at the farm scale are  
265 transformed into values per capita by dividing them by the number of persons living in the farm (18,  
266 i.e. 3 families  $\times$  6 people per family). According to the proposed framework (section 2.1), we use  
267 the following indicators encompassing the three dimensions of the analysis (Table 1, *Regional*

268 *scale*): *dietary coverage*, *protein coverage*, *water appropriation*, *savings on food costs* and *income-*  
269 *to-expenditure ratio*.

270 As regards the nutritional dimension, *dietary coverage* contrasts the fraction of domestic production  
271 allocated to household consumption, disaggregated by food category, with average consumption  
272 patterns in the Gaza Strip (PCBS, 2011), while *protein coverage* compares domestic protein supply  
273 with minimum safe levels of protein intake recommended by international agencies (FAO et al.,  
274 1991; SINU, 2014). As for the environmental dimension, *water appropriation* is used to compare  
275 requirements of water to the availability in the region. This indicator is measured as the direct blue  
276 water footprint per capita for domestic food production, and is contrasted with the availability of  
277 renewable freshwater in the Gaza Strip (PWA, 2014). Regarding the economic dimension, *savings*  
278 *on food costs* are calculated as the difference between average expenditures on food in the Gaza  
279 Strip (PCBS, 2011) and the monetary value of domestic production that is consumed by the  
280 household, while the *income-to-expenditure ratio* is the ratio between gross income, obtained by  
281 selling the production not consumed by the household on local markets, and the residual  
282 expenditure on food, net of savings guaranteed by domestic production. Details about the estimation  
283 of the indicators used for the assessment at the regional scale are given in the supplementary  
284 material (section S1.2).

285

Table 1: Indicators used for the analysis at the farm scale and at the regional scale

Indicator (units)	Short description	Data (source: see notes)
<i>Farm scale</i>		
Domestic protein supply (kg/year)	annual production of proteins from domestic horticulture and husbandry	crop yield <sup>1</sup> ; protein content <sup>2</sup>
Total water footprint (m <sup>3</sup> /year)	total water consumption for domestic food production (including the life cycle of external inputs)	water consumption <sup>1</sup> ; water footprint of products <sup>3</sup>
Direct blue water footprint (m <sup>3</sup> /year)	annual withdrawal from local surface and groundwater sources for domestic food production	water consumption <sup>1</sup> ; water footprint of products <sup>3</sup>
Specific water footprint (m <sup>3</sup> /kg)	water footprint per unit of protein	domestic protein supply <sup>1,2</sup> ; total water footprint <sup>1,3</sup>
Gross income (USD/year)	annual income from crop sale (net of the portion consumed by the household)	crop yield <sup>1</sup> ; price of food commodities <sup>4</sup>
<i>Regional scale</i>		
Dietary coverage (%)	proportion of dietary needs covered by domestic production	crop yield <sup>1</sup> ; average consumption per food type <sup>5</sup>
Protein coverage (%)	proportion of protein requirement covered by domestic production	domestic protein supply <sup>1,2</sup> ; recommended protein intake <sup>6</sup>
Water appropriation (m <sup>3</sup> per capita)	per capita water footprint for domestic food production	water footprint <sup>1,3</sup>
Savings on food costs (USD/year)	difference between average expenditure on food and value of domestic production	price of food commodities <sup>4</sup> ; average expenditure on food <sup>5</sup>
Income-to-expenditure ratio (%)	ratio between gross income and expenditure on food	gross income <sup>1,4</sup> ; average expenditure on food <sup>5</sup>

287 <sup>1</sup> (Overseas and UAWC agronomists)288 <sup>2</sup> (FAO and USDA, 1982)289 <sup>3</sup> (Mekonnen and Hoekstra, 2011)290 <sup>4</sup> (PCBS, 2016a, 2016b)291 <sup>5</sup> (PCBS, 2011)292 <sup>6</sup> (FAO et al., 1991; SINU, 2014)

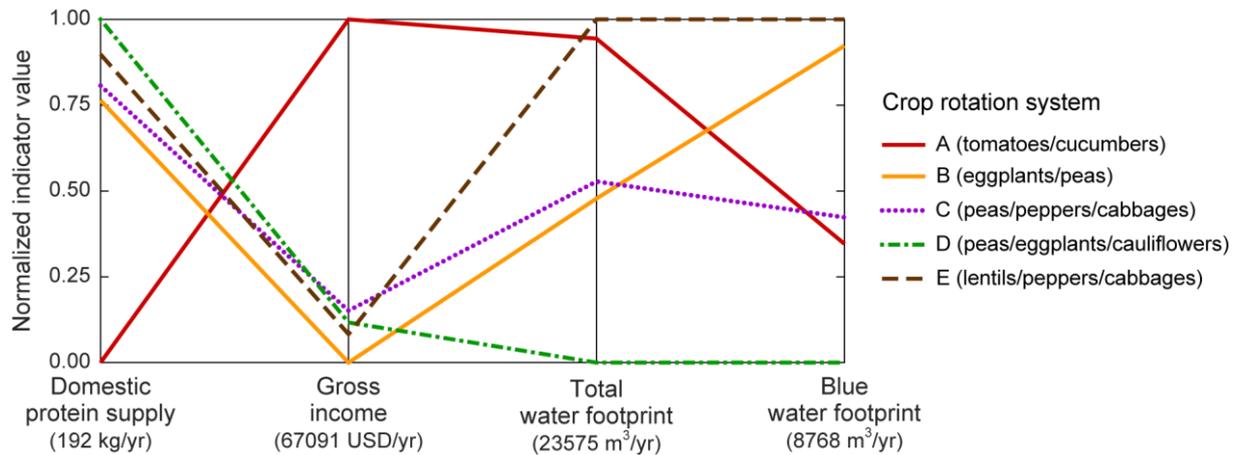
## 293 **3. Results**

### 294 **3.1. Comparing food production scenarios at the farm scale**

295 The results of comparing alternative food production scenarios are summarized in Fig. 3, and  
296 presented in further details in section S2.2 of the supplementary material. In the parallel coordinate  
297 plot, each axis represents a different objective, and each scenario is indicated by a line: the  
298 intersection between an axis and the line identifying a specific scenario indicates the relative  
299 performance of that scenario with respect to the objective represented by the axis. Performances are  
300 normalized, i.e. the original values of each indicator are mapped between 0 and 1. The normalized  
301 value of an indicator is hence calculated as  $z = (x - x_{\text{worst}}) / (x_{\text{best}} - x_{\text{worst}})$ , where  $x$  is the raw value of  
302 the indicator for the specific scenario, while  $x_{\text{worst}}$  and  $x_{\text{best}}$  are the raw values corresponding to the  
303 worst and best-performing scenarios, respectively. Thus, the best value is the maximum one for  
304 economic income and protein supply, while it is the minimum one for the two water footprints. In  
305 this way, the direction of preference for each indicator is always upward (i.e. the ideal solution  
306 would be a horizontal line running along the top of all the axes).

307 Fig. 3 points out a clear conflict emerging among nutritional, environmental and economic domains.  
308 For instance, scenario A, a greenhouse crop rotation of tomatoes and cucumbers, combined with  
309 animal production, is the best in economic terms, due to the high yield of cucumbers and tomatoes.  
310 It performs very well also in terms of total water footprint, being only slightly worse than scenario  
311 E (lentils, peppers and cabbages). However, it provides by far the lowest domestic protein supply  
312 due to the absence of legumes. On the contrary, scenario E is the best alternative in terms of water  
313 footprint and is second only to scenario D (peas, eggplants and cauliflowers) in terms of protein  
314 supply, but the associated income is among the lowest. Finally, while scenario B (rotation between  
315 eggplants and peas) performs very well with respect to blue water footprint, it is the worst in terms  
316 of gross income.

317



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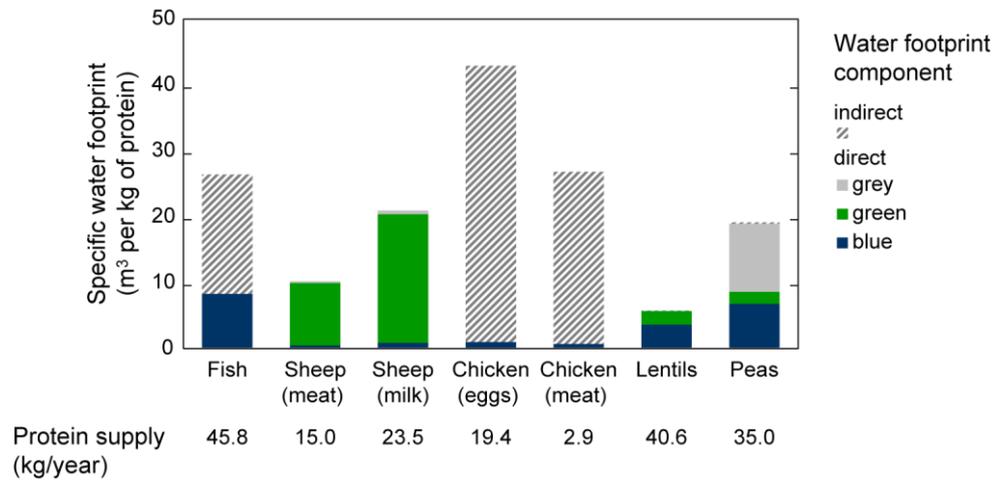
319 Fig. 3: Comparison of five alternative food production scenarios (see Fig. 2). Indicators (see Table 1 for more details)  
 320 are normalized over their minimum-maximum range, with 0 corresponding to the worst scenario and 1 corresponding to  
 321 the best one. The best raw value of each indicator is indicated below the corresponding label.

322

323 Looking at the specific water footprint of each protein-rich food product (Fig. 4), it emerges that  
 324 fish proteins have the highest blue water footprint, due to the large amount of water evaporating  
 325 from ponds (estimated to be about 125 m<sup>3</sup> per year per pond). Proteins from peas also have a high  
 326 blue water footprint, due to irrigation, and a relatively high grey water footprint caused by the use  
 327 of fertilizers. Sheep proteins have the highest green water footprint, which is associated to rainwater  
 328 falling on grazing grounds. In terms of total direct water footprint, proteins from sheep milk and  
 329 peas have the highest environmental impacts, while proteins from chicken and lentils have the  
 330 lowest.

331 The inclusion of the indirect footprint allows accounting for water consumption associated to  
 332 products used as inputs to the production process. They are all imported from outside the Gaza  
 333 Strip, so their production does not have a direct impact on local water resources. The indirect water  
 334 footprint is relevant for chicken and fish products (ranging between ca. 20 and 40 m<sup>3</sup> of water per  
 335 kg of protein), due to the use of concentrate feeds, while it is negligible for sheep products and  
 336 legumes.

337



338

339 Fig. 4: Comparison between specific water footprints (disaggregated by component) of protein-rich food products. The  
 340 total contribution of each product to protein supply is also indicated.

341

### 342 3.2. Food production vs. resource scarcity from a regional perspective

343 Table 2 compares dietary coverage under the different production scenarios considered, i.e. the  
 344 fraction of the main food groups covered by domestic production, with average food consumption  
 345 patterns in the Gaza Strip. Results highlight that rural households could potentially rely on domestic  
 346 production for the supply of some major food groups (eggs, dairy, vegetables and legumes), while  
 347 the demand for meat and fish would not be entirely satisfied. Note that some components of the  
 348 diet, such as fruits, cereals, fats and tubers, were not included in the analysis. Cereals, in particular,  
 349 were not considered, despite being an important source of proteins in many Mediterranean countries  
 350 (Halkjaer et al., 2009), because in the Gaza Strip they are generally imported from abroad (FAO,  
 351 2005).

352 Results regarding protein coverage are reported in Table 3, which shows that domestic production  
 353 would guarantee about half of the recommended intake, with most of the proteins being of animal  
 354 origin (from 55% to 81%, depending on the scenario). The main contributions come from fish (25–  
 355 35% of the total supply) and legumes, whose inclusion into the crop rotation allows a remarkable

356 increase of domestic protein supply with respect to scenario A (between 36% and 47%, depending  
 357 on the scenario) that includes only cash crops.

358

359 Table 2: Comparison between estimated domestic production of the model farm and average consumption patterns of  
 360 selected food groups in the Gaza Strip (PCBS, 2011).

Food group	Estimated domestic production per capita (kg/year)	Average consumption per capita in the Gaza Strip (kg/year)	Dietary coverage (%)
Meat and Fish	21.0	50.4	42
Eggs	8.9	8.6	103
Dairy <sup>1</sup>	22.1	15.2	145
Fresh vegetables	146.0	131.1	111
Fresh legumes <sup>2</sup>	27.4	2.0	1337
Dried legumes <sup>3</sup>	9.1	5.7	161

361 <sup>1</sup> Estimated domestic production includes only sheep milk, while the classification used by PCBS  
 362 and PNA (PCBS, 2011) includes milk (>80%), cheese and yoghurt from different sources (cow,  
 363 goat, sheep).

364 <sup>2</sup> Included only in scenarios B, C and D.

365 <sup>3</sup> Included only in scenario E.

366

367 As concerns environmental sustainability, results outline a critical picture with respect to freshwater  
 368 in the Gaza Strip (Fig. 5). Water appropriation, i.e. freshwater demand for food production, would  
 369 be higher than supply (33 m<sup>3</sup>/year per capita; PWA, 2014) under all production scenarios. The  
 370 amount of freshwater used to self-produce the vegetables and to raise animals and fish consumed by  
 371 one person would, in fact, exceed (by 15% up to 163%) the average availability in the region (Fig.  
 372 5a).

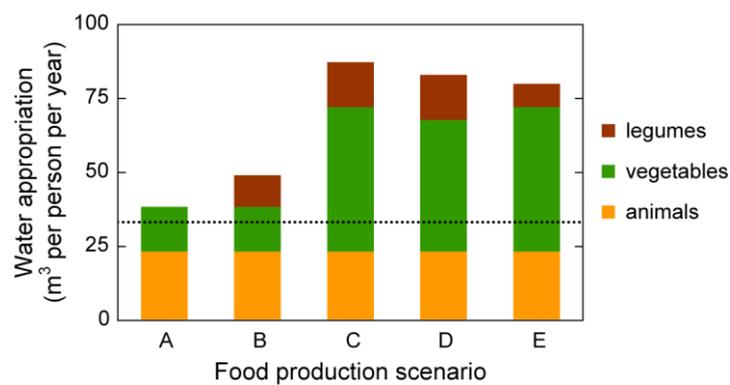
373

374 Table 3: Estimated domestic protein supply compared with the recommended protein intake (50 g per person per day).

Crop rotation system	Per-capita protein supply (g/day)	Protein coverage (%)	Protein source	
			animal (%)	vegetal (%)
A	20.0	40	81	19
B	27.1	54	60	40
C	27.5	55	59	41
D	29.3	59	55	45
E	28.4	57	57	43

375

376 As for the economic dimension, domestic production has the potential to guarantee savings on food  
 377 costs from about 52 USD/month per capita reported by PCBS reports, to about 33 USD/month. The  
 378 main savings are those related to meat and fish (about -40%, from 16.4 to about 9.6 USD/month per  
 379 capita), dairy and eggs (no expenditure, instead of 3.9 USD/month per capita), and vegetables and  
 380 legumes (depending on the crop rotation considered, from 9.7 to about 1.7–2.0 USD/month per  
 381 capita, with an average saving of about 80%). Overall, the income-to-expenditure ratio for food  
 382 ranges between a minimum of 11% (scenario A) and a maximum of 47% (scenario B). More  
 383 detailed results are reported in section S2.3.3.



384

385 Fig. 5: Water appropriation for domestic food production. Letters indicate the different production scenarios (see  
 386 Fig. 1). The dotted horizontal line indicates current water availability in the Gaza Strip (33 m³ per person per year).

387

## 388 **4. Discussion**

### 389 **4.1. Case study**

390 The application of the assessment framework presented in section 2.1 to the Gaza Strip case study  
391 allowed us to perform a sustainability assessment of domestic food production at two different  
392 scales. The comparison of food production scenarios at the farm scale highlights a critical,  
393 multidimensional nexus between preserving natural resources and enhancing rural livelihoods. The  
394 choice to grow high-yield cash crops has the potential to improve incomes, but performs poorly, at  
395 least in relative terms, when assessed from an environmental or a nutritional perspective, while  
396 other crop rotation systems have lower economic performance but show lower impacts on  
397 freshwater demand and higher performance from a nutritional viewpoint. With specific reference to  
398 protein-rich food products (i.e. animal products and legumes), it is interesting to point out their  
399 different impacts on water resources at different geographic levels. For instance, legumes have a  
400 direct water footprint (hence affecting local water resources) related to irrigation (blue footprint)  
401 and the use of fertilizers (grey footprint), sheep products (meat and milk) have a relevant green  
402 footprint associated to grazing, while aquaculture has a direct blue footprint due to water  
403 evaporating from ponds, where a minimum water level must be maintained to ensure fish survival.  
404 On the contrary, the use of imported concentrate feeds contributes to the indirect water footprint  
405 (thus resting on alien water resources) of both aquaculture and poultry rearing.

406 When the scope of the analysis is broadened to the regional scale, results suggest that domestic  
407 production has the potential to provide a considerable contribution to rural livelihoods in the Gaza  
408 Strip, benefitting both food availability (by enhancing dietary coverage) and access to food (by  
409 increasing income and reducing expenditures on food). In particular, locally produced food would  
410 completely cover the current demand for vegetables, legumes, eggs and dairy, and a significant  
411 fraction of the demand for meat and fish. Between 40 and 60% of the recommended protein intake  
412 (depending on the considered production scenario) would be guaranteed by protein-rich food

413 produced on site. From the economic viewpoint, besides providing an important source of income,  
414 domestic food production may reduce expenditures for food by about 35% compared to current  
415 expenditures in rural households. However, while pursuing food security in the region appears to be  
416 economically viable, the environmental balance is critical with respect to the current availability of  
417 freshwater. The annual withdrawal needed to provide Gazan people with the food products listed in  
418 Table 2 alone would largely exceed, by up to 160%, the total freshwater availability, making the  
419 unbalance between demand and supply severe. A similar conclusion can be reached with respect to  
420 agricultural land, since most scenarios would require the appropriation of an area larger than the one  
421 actually available in the region (see results reported and discussed in section S2.3.2, supplementary  
422 material).

423 It is important to note that our assessment is based on several simplifying assumptions. The food  
424 production scenarios considered in the analysis exemplify agricultural and husbandry practices that  
425 are actually and widely implemented in the Gaza Strip, but their extension to the regional scale is  
426 merely illustrative. Given the relatively limited number of food products involved, our results  
427 should be considered as an underestimate of the actual burden that the pursuit of food security  
428 through domestic production would impose on the natural resources of the Gaza Strip. In addition,  
429 there are other competing uses of water (domestic and industrial) that are not accounted for in the  
430 analysis, hence the actual amount of freshwater available for agricultural uses would necessarily be  
431 lower than our reference point set to 33 m<sup>3</sup>/yr per person. Nevertheless, even if all the available  
432 water were allocated to agriculture, it would not be sufficient to cover the entire demand. In  
433 addition, data regarding the productivity of crops and animals, their water demand, as well as their  
434 economic value, albeit realistic and based on local knowledge and evidence from the literature, may  
435 be subject to variation over space and time that could affect results significantly. In particular,  
436 uncertainty affecting yield and price dynamics might influence the robustness of our conclusions.  
437 Extensive changes in the composition of the crop mix on a wide geographical scale may lead to

438 changes in market prices and a different economic equilibrium; the analysis of the socio-economic  
439 consequences of these changes, however, is outside the scope of this work.

440 Despite all these caveats, the picture emerging from the analysis clearly points out the complexity  
441 of the food-water nexus in the region, and shows that there is no single optimal solution for this  
442 multi-constrained problem. Moreover, the present geopolitical context makes it impossible to  
443 guarantee food security through the import of food and/or resources from abroad. If the current  
444 situation does not change toward improved mobility of goods and people, and enhanced access to  
445 water resources and agricultural land of good quality, there are very little chances to achieve food  
446 security in the Gaza Strip. Projected future scenarios of sustained population growth, rapid  
447 urbanization, resource depletion and ecosystem degradation will further exacerbate the problem  
448 (Al-Yaqubi et al., 2007).

449 Expanding the current water capacity (e.g. via seawater or brackish water desalination, or through  
450 water transfer) would contribute to fill the significant gap between the overall freshwater demand  
451 and its availability in the region, but appears extremely difficult in the short term given the  
452 geopolitical context and the financial investments required (Hilles and Al-Najar, 2011). For this  
453 reason, at least in the short term, the annual availability of renewable freshwater represents a  
454 critically limiting factor for any human activity in the Gaza Strip. Water scarcity strongly limits the  
455 enhancement of food security and the reduction of the dependence on imports. The internal  
456 production of basic inputs to food production processes, such as fertilizers and concentrate feeds, as  
457 well as that of other food commodities like cereals, would further contribute to fostering food  
458 security and self-sufficiency, but would cause an additional pressure on freshwater resources in the  
459 area.

## 460 **4.2. General remarks and conclusions**

461 Enhancing self-sufficient food production in rural households is crucial to guarantee food  
462 availability and direct access to food, which are major conditions for food security (FAO et al.,

463 2014; Godfray et al., 2010). However, rural households often experience a controversial situation in  
464 regions characterized by scarce natural resources and/or constrained trading possibilities. Although  
465 farmers have direct access to their domestic food production, the majority is affected by food  
466 insecurity due to impaired access to natural and/or economic resources. Hence, a nexus approach is  
467 needed to explore the trade-offs between food security, sustainable exploitation of natural resources  
468 and economic viability.

469 Our analysis allows pinpointing two key aspects that are common to a range of situations where  
470 natural resource scarcity is a major constraint to food security. First, the need to look at the problem  
471 from a multidimensional perspective in order to take properly into account conflicts emerging  
472 between different objectives. In fact, while techniques such as cost-benefit analysis can be effective  
473 when objectives can be expressed in monetary terms and reduced to maximizing economic  
474 efficiency alone, multi-criteria approaches can be more appropriate when the social implications  
475 and the environmental impacts of decisions are also important to decision makers (Castelletti and  
476 Soncini-Sessa, 2006; Gatto and De\_Leo, 2000; Gregory and Slovic, 1997). Multi-criteria analysis  
477 [see Köksalan (2013) for an historical perspective, and Cinelli et al. (2014) for a critical review of  
478 the potentials of multi-criteria analysis to support sustainability assessment] provides decision  
479 makers with a set of instruments to explore the range of effective choices and assess their expected  
480 consequences with respect to several viewpoints at a time, promoting the engagement of  
481 stakeholders and usually generating a wider range of alternatives than those produced by single-  
482 objective analyses.

483 Second, the need to investigate the water-food nexus at different spatial scales. Assessments at the  
484 micro-scale allow small-scale food producers to compare alternative agricultural practices with  
485 respect to their contribution to household livelihoods, and provide them with useful information to  
486 take decisions. For instance, the choice of the most appropriate allocation of agricultural land  
487 between cash-oriented crops and those aimed to satisfy basic dietary needs, or the opportunity to  
488 increase the number of animals raised to ensure a wider coverage of protein requirements. However,

489 land use planning at the regional scale must also rely on a wider knowledge base, allowing decision  
490 makers to allocate limited natural resources such as freshwater and land from a sustainability  
491 perspective, and to trade off among possibly conflicting objectives.

492 Although the geopolitical context makes the Gaza Strip a peculiar and extreme example of the  
493 water-food nexus, we believe that the case study has a general interest. The proposed approach is  
494 flexible and can be adapted to assess the sustainability of strategies aimed to foster food security in  
495 different contexts. Since the Gaza Strip suffers from particularly stringent limitations in both  
496 freshwater availability and trade opportunities, we specifically focused on the local water balance.  
497 However, in regions where trade is less severely constrained, direct and indirect flows of natural  
498 resources among countries may become relevant. In those situations, the virtual displacement of  
499 water through trade can be effectively investigated by virtual water analysis. Such an assessment is  
500 crucial to evaluate the possible global effects of local water crises. For example, some studies (e.g.  
501 Gilmont, 2015) indicate that water resources decoupling (i.e. the substitution of domestic food  
502 production for increasing food imports) is an effective measure to reduce pressure on scarce water  
503 resources, while other studies (e.g. Tamea et al., 2016) pointed out that global vulnerability to water  
504 crises has increased over the last decades and that countries with low food (and water) availability  
505 suffer most from water crises.

506 The indicator set used to conduct the analysis has to be tailored to the specific case study while  
507 remaining general enough to allow comparisons. Indicators are a vital component of sustainability  
508 assessments, and the selection of the indicator set is a critical step of the assessment process  
509 (Niemeijer and de Groot, 2008). Several selection criteria have been proposed in the literature (see  
510 e.g. Lebacqz et al., 2013; Niemeijer and de Groot, 2008; Pires et al., 2017; van Oudenhoven et al.,  
511 2012), but no general consensus has been reached up to now on the guiding principles for the  
512 selection process.

513 In our analysis, we developed an assessment framework built around the food-water nexus and  
514 encompassing the three major dimensions of sustainability. We selected two relatively small sets of

515 indicators, one for each level of the analysis, that we deemed suitable to capture the key aspects of  
516 the problem in comparison with available data. Comprehensive assessments will greatly benefit  
517 from the availability of more detailed data (accounting explicitly for spatial heterogeneity and/or  
518 temporal variability of the processes under investigation) that would support the evaluation of a  
519 wider range of indicators (which may include, in addition to those proposed in the present work,  
520 economic indicators such as net income and environmental impact categories such as climate  
521 change) and future scenarios (such as demographic and climatic projections).

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531

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738

# 1 **S1. Supplementary materials**

## 2 **S1.1. The farm model**

3 The farm model (main text, section 2.3) was built by integrating information supplied by local  
4 farmers, local agronomists and operators of Overseas (an Italian NGO involved in cooperation  
5 projects in the Gaza Strip) with data from the literature. Here we provide further details regarding  
6 data sources and modelling assumptions that were omitted from the main text for the sake of brevity.

### 7 **S1.1.1. Horticulture**

8 Data about the considered crop rotation systems (main text, Fig. 2) and the yield of each crop were  
9 provided by local agronomists and are reported in Table S1, along with basic statistics about their  
10 production in the Gaza Strip and the corresponding economic value (from PCBS, 2009).

11 **Table S1.** Annual yield of different crops, total production in the Gaza Strip and corresponding  
12 economic value. Letters between parentheses indicate the rotation system (for crops considered in  
13 more than one).

<b>Crop</b>	<b>Yield</b>	<b>Production</b>	<b>Value</b>
	(kg/ha)	(t/year)	(10 <sup>3</sup> USD)
cabbage	45,000	7,951	4,569
cauliflower	25,000	6,209	4,504
cucumber	90,000	37,117	24,740
eggplant (B)	60,000	10,668	6,508
eggplant (D)	40,000		
pepper	20,000	4,197	4,197
tomato	150,000	89,912	59,766
lentil	1,800	34	30
peas (B)	10,000	712	560
peas (C and D)	7,000		

14 **S1.1.2. Sheep**

15 Sheep are assumed to graze in the farm courtyard and occasionally in the restricted area running  
16 along the Israeli border. The considered breed is the Awassi sheep, which is the most common in  
17 south-west Asia and in the arid and semi-arid areas of Asia. On the basis of the information  
18 provided by local agronomists and data gathered from the literature (Epstein, 1982; FAO, 1989), the  
19 following assumptions are made:

- 20 – the flock is composed of 7 ewes (female sheep), while the ram (male sheep) needed for mating is  
21 borrowed from outside the farm;
- 22 – ewes deliver 8 lambs every year. Tex ratio at birth is equal to 1:1;
- 23 – male lambs are slaughtered during their first year of life; female lambs are raised and slaughtered  
24 after their first (25%) or second (75%) lambing. No animal dies before slaughter;
- 25 – overall, four male lambs, one ewe aged 2, and three ewes aged 3 are slaughtered every year.  
26 Their average weight (total and carcass) is reported in Table S2.

27 **Table S2.** Total and carcass weight of sheep at slaughter.

<b>Age class</b>	<b>Total weight</b>	<b>Carcass weight</b>	<b>Animals slaughtered</b>
	(kg)	(kg)	<b>per year</b>
male lamb (age <1)	22.0	11.0	4
ewe (age ≤ 2 years)	40.0	18.0	1
ewe (age ≤ 3 years)	45.0	20.2	3

28  
29 Summing up the amount of meat obtained from each age class, the total annual meat production is  
30 ca. 123 kg. Awassi sheep have a high milk production potential, up to 230 kg per year (Epstein,  
31 1982). In this work, we considered an annual yield of 103 kg per ewe (obtained by averaging  
32 FAOSTAT data for the Palestinian territories from 2000 to 2013). Considering that about one-third  
33 of the milk is consumed by lambs (Epstein, 1982) and assuming that ewes produce between 70%

34 and 100% of their potential production (depending on their age), we estimated an overall amount of  
35 398 kg of milk per year available for the household.

### 36 **S1.1.3. Poultry**

37 Fifteen hens are assumed to graze in the farm courtyard to provide eggs and meat for household  
38 consumption. According to the information gathered from local agronomists and farmers, chickens  
39 are fed with concentrates (100 g per day per animal), and each animal produces 1 kg of meat and  
40 10.8 kg of eggs (60 g each) per year. We assumed that the whole stock is renewed every year.

### 41 **S1.1.4. Aquaculture**

42 Small-scale aquaculture takes place within irrigation ponds. According to local agronomists, each  
43 pond produces 400 fish (Nile tilapia, *Oreochromis niloticus*) per year; as the farm includes 3 ponds,  
44 the overall fish production corresponds to 1,200 fish per year. Overseas data (gathered through a  
45 survey among ca. 30 farms) indicate an annual demand of 0.45 kg per fish of concentrate feed and a  
46 total production of edible fish equal to 240 kg.

47 A fundamental requirement for guaranteeing fish survival is the maintenance of a minimum water  
48 level in the ponds. Overseas operators reported a requirement of 1 m<sup>3</sup> of water per kilogram of fish,  
49 which corresponds to a minimum water depth of 1.60 m. Due to the high evaporation rates  
50 characterizing the climate of the study area (Martens et al., 2016; Miralles et al, 2011), freshwater  
51 must be pumped from the aquifer when rainfall is not sufficient to guarantee this condition.

## 52 **S1.2. Indicator definition and assessment**

53 The methodological assessment framework is presented in section 2.1 and summarized in Fig. 1  
54 (main text). The motivations of the choice of the two sets of indicators used for the analyses at the  
55 farm scale and at the regional scale are explained in sections 2.4 and 2.5, respectively (see Table 1  
56 for the complete list and a synthetic description). At the farm scale, we compared alternative  
57 scenarios of vegetal and animal food production in terms of domestic protein supply, water

58 appropriation and gross income; at the regional scale, benefits and impacts of domestic food  
59 production were compared with the current situation of the Gaza Strip in terms of nutritional,  
60 environmental and economic sustainability. The following sections provide additional details to  
61 those given in the main text on methods and data sources.

### 62 **S1.2.1. *Nutritional analysis***

63 The contribution of domestic production to household food supply was evaluated by assuming the  
64 following consumption patterns:

- 65 – as vegetable production widely exceeds household needs, domestic consumption was set to  
66 400 g per day per capita (excluding legumes), which is the minimum portion suggested by WHO  
67 & FAO guidelines for fruits and vegetables (FAO and WHO, 2005). For the sake of simplicity,  
68 we assumed that the entire portion is covered by vegetables;
- 69 – legumes were differentiated between fresh (peas) and dried (lentils). Recommended serving  
70 portions are 150 g and 50 g, respectively (SINU, 2014). Since different nutritional guidelines  
71 suggest a weekly consumption between 3 and 4 portions (HHS and USDA, 2015; INRAN, 2003),  
72 we assumed an average consumption of 3.5 portions per week per capita;
- 73 – animal production (i.e. chicken and sheep meat, eggs, sheep milk, and fish) was considered to be  
74 entirely consumed by the household.

75 In the first part of the analysis, we compared alternative food production scenarios in terms of  
76 domestic protein supply. Each production scenario includes the totality of animal production plus a  
77 portion of vegetables and legumes (see above) depending on the crop rotation system considered  
78 (Fig. 2, main text). The achievement of safe levels of protein intake is a priority for the rural  
79 population of the region (FAO, 2003). To assess protein intake, we used protein contents for  
80 different food items, as reported by FAO and USDA (1982) specifically for the Near East (Table  
81 S3).

82 Then, we used the results of the first part of the analysis to calculate the potential contribution of  
 83 domestic food production to food security in the Gaza Strip. For each scenario, we calculated the  
 84 fraction of domestic production per capita destined for household consumption and compared it  
 85 with average consumption levels of major food groups in the whole Gaza Strip. In addition, we  
 86 compared domestic protein supply per capita with the minimum safe intake level of proteins  
 87 recommended by international agencies (FAO, WHO, and UN 1991; SINU 2014;).

88 **Table S3.** Protein content of considered products.

<b>Product</b>	<b>Protein content</b> (%)	<b>FAO data name</b>
fish	19.1%	Nile tilapia, raw
sheep meat	12.2%	carcass, raw
sheep milk	5.9%	milk sheep, fluid, whole
chicken egg	12.1%	whole, raw
chicken meat	19.4%	whole, raw
cabbage	1.6%	leaves, raw
cauliflower	2.5%	flower, raw
cucumber	0.8%	fruit, unpeeled, raw
eggplant	1.4%	fruit, peeled, raw
pepper	1.4%	pepper sweet, fruit, raw
tomato	1.1%	fruit, raw
lentil	24.7%	mature seed, raw
pea	7.1%	immature seed, raw

89

90 **S1.2.2. Environmental analysis**

91 The Gaza Strip is strongly affected by water and land scarcity; we mainly focused on water  
 92 appropriation, but we also carried out a simple, exploratory assessment of land appropriation to  
 93 broaden the discussion regarding the environmental sphere.

94 *Water appropriation*

95 The water footprint is a comprehensive indicator of freshwater resources appropriation, accounting  
96 for both water consumption and water pollution along the whole supply chain of products. Despite  
97 the recent release of a specific International Standard (ISO, 2014), a unique methodology for  
98 assessing water footprint does not exist yet (Bayart et al., 2010; Hoekstra et al., 2011). The most  
99 widely used methodology is the one proposed by the Water Footprint Network, which calculates the  
100 total water footprint ( $WF_{tot}$ ) as the sum of three terms (Hoekstra et al., 2011):

101 
$$WF_{tot} = WF_{blue} + WF_{green} + WF_{grey} \quad (\text{eq. S1})$$

102 where

- 103 –  $WF_{blue}$  is the blue water footprint and measures the consumption of surface- and groundwater;
- 104 –  $WF_{green}$ , the green water footprint, refers to the consumption of rainwater;
- 105 –  $WF_{grey}$ , the grey water footprint, accounts for the amount of water consumed due to pollution.

106 In this study, we considered both the direct (associated to local water consumption) and the indirect  
107 (associated to imported input materials) water footprint.

108 The water footprint of crops was assessed following Mekonnen and Hoekstra (2011). The blue  
109 water footprint accounts for the groundwater evaporated during the cultivation period and for that  
110 incorporated into products. We estimated the blue water component on the basis of data on water  
111 demand (groundwater pumped from the aquifer for irrigation) of each crop, as provided by  
112 Overseas operators (Table S4). A fraction of groundwater recharge equal to 20% (Dentoni, 2013)  
113 was subtracted from the amount of withdrawn water: since this flows back into the same catchment,  
114 it is not considered as an actual consumption.

115

**Table S4.** Water demand for irrigation of the different crops.

<b>Crop</b>	<b>Water demand</b> (m <sup>3</sup> /year)
cabbage	3,060
cauliflower	4,080
cucumber	5,100
eggplant	6,800
lentil	1,700
pea	4,250
pepper	5,950
tomato	8,500

116

117 The green water footprint accounts for rainwater evaporated or incorporated into products. The  
 118 footprint of crops was estimated from monthly precipitation data (2000–2010 average, from Al-  
 119 Najar, 2011). The reference area for the calculation of the green water component encompasses  
 120 fields (8,500 m<sup>2</sup>) and water ponds (150 m<sup>2</sup>) for open field crops, while for greenhouse crops only  
 121 the surface of ponds was considered. Rainwater evaporating from the ponds (where fish are also  
 122 raised) was allocated to crops, since the primary purpose of ponds is to collect rainfall for crop  
 123 irrigation. Similarly to what was done for the blue contribution, a 20% groundwater recharge was  
 124 subtracted from precipitation (Dentoni, 2013).

125 The grey water footprint is defined as the volume of freshwater that is required to dilute the load of  
 126 pollutants to meet existing water quality standards ( $c_{\max}$ , kg/m<sup>3</sup>) given the natural background  
 127 concentration ( $c_{\text{nat}}$ , kg/m<sup>3</sup>). The pollutant load ( $L$ ) is calculated by multiplying the application rate  
 128 ( $AR$ ) of fertilizers applied to the reference unit (e.g. 1 ha of field surface) by the leaching/run-off  
 129 fraction  $\alpha$ , which represents the fraction of chemicals reaching freshwater bodies (Hoekstra et al.,  
 130 2011):

$$131 \quad WF_{\text{grey}} = L / (c_{\max} - c_{\text{nat}}) = (AR \cdot \alpha) / (c_{\max} - c_{\text{nat}}) \quad (\text{eq. S2})$$

132 Application rates of fertilizers were provided by local agronomists (Table S5). As specific data for  
 133 the estimation of the other parameters of the equation were not available,  $\alpha$  was set to 10% for all  
 134 fertilizers (Hoekstra et al., 2011), while for  $c_{\max}$  and  $c_{\text{nat}}$  we used default values proposed by  
 135 Mekonnen and Hoekstra (2011), reported in Table S5.  
 136 Finally, the indirect water footprint (related to imported input materials) associated with the  
 137 production of seeds, fertilizers and pesticides was calculated with the software SimaPRO 8.0 based  
 138 on data from the Ecoinvent 3.0 database (Weidema et al., 2013).

139 **Table S5.** Application rates (per unit area) of fertilizers applied to the different crops and  
 140 corresponding water quality standards ( $c_{\max}$  and  $c_{\text{nat}}$ ).

Crop	Application rate			
	(kg/ha)			
	N	K	P	Mg
cabbage (B and E)	150	120	120	–
cauliflower	150	120	120	–
cucumber	700	450	–	450
eggplant (B and E)	860	250	600	–
lentil	–	–	–	–
pea (C and D)	25	500	50	–
pepper (C and E)	580	260	240	–
tomato	1,000	230	125	–
$c_{\max}$ (mg/l)	50	10	5	150
$c_{\text{nat}}$ (mg/l)	0	0	0	0

141  
 142 To estimate the water footprint of animal products, we followed the approach proposed by  
 143 Mekonnen and Hoekstra (2012), according to which the total water footprint is calculated as the  
 144 sum of three terms:

145 
$$WF_{\text{tot}} = WF_{\text{feed}} + WF_{\text{drink}} + WF_{\text{services}} \quad (\text{eq. S3})$$

146 where

147 –  $WF_{\text{feed}}$  is the water consumed for the production of animal feed;

148 –  $WF_{\text{drink}}$  is the water drunk by the animals;

149 –  $WF_{\text{services}}$  is the water consumed for different services, like cleaning the farmyard, washing the  
150 animals and carrying out the activities necessary to maintain the environment.

151 According to Mekonnen and Hoekstra (2012), 98% of the total water footprint is associated to feed  
152 production, and only 2% is consumed for drink and services. For this reason, we calculated the  
153 water footprint of fish and poultry by taking into account the footprint of the main feed ingredients  
154 (Mekonnen & Hoekstra, 2011).

155 The ingredients composing concentrates for poultry (Daghir, 2008) and their associated water  
156 footprint (Mekonnen & Hoekstra, 2011) are reported in Table S6. We assumed that feed is imported  
157 from Israel (since Israel is the main source of imported products in the Gaza Strip; PCBS, 2015).

158 However, according to FAOSTAT the necessary ingredients are imported by Israel from other  
159 countries (food balance sheet and trade matrix from FAO, 2016): barley is mainly imported from  
160 Switzerland (80% of the total import), sesame seeds are mainly imported from Ethiopia (70%),  
161 while sunflower seeds are mainly produced in Israel (86%). Therefore, for each ingredient we used  
162 the water footprint value regarding the main country of origin. Since two different products are  
163 obtained from poultry (meat and eggs), the total water footprint of chicken was split according to a  
164 mass criterion: given an annual production of 15 kg of chicken meat and 160 kg of eggs, the  
165 corresponding allocation factors were set to 9% and 91%, respectively. The direct contribution of  
166 chicken was set to 2% of the total water footprint, as suggested by Mekonnen and Hoekstra (2012).

167

**Table S6.** Ingredients of concentrated feed for poultry and associated water footprint.

Ingredient	Origin	Proportion (%)	Water footprint (m <sup>3</sup> /kg)		
			green	blue	grey
barley	Switzerland	76	0.38	–	0.18
sunflower seeds	Israel	15	0.57	3.37	0.26
sesame seeds	Ethiopia	9	6.36	0.02	–
total			0.95	0.51	0.17

168

169 As for fish, concentrated feed used in aquaculture was assumed to be imported from Israel.  
170 Concerning the main ingredients, the FAOSTAT database reports that 70% of yellow corn is  
171 imported from Switzerland, 70% of soybean meal from the U.S.A. and 55% of corn oil from  
172 Argentina (FAO, 2016). Feed ingredients (Aquamax, 2008), along with their associated water  
173 footprints (Mekonnen & Hoekstra, 2011) are reported in Table S7. Minor ingredients (accounting  
174 for ca. 15% of the feed mass) were not included in the analysis due to the difficulty of retrieving  
175 reliable data. Besides the contribution of concentrate feeds, the water footprint of fish includes a  
176 further contribution due to water pumped from the aquifer to maintain the required minimum water  
177 level in the ponds. This contribution is estimated from monthly precipitation and evaporation data  
178 (2000–2010 average, from Al-Najar, 2011; Martens et al., 2016; Miralles et al, 2011) considering a  
179 minimum water requirement of 1 m<sup>3</sup> of water per 1 kg of living fish.

180

**Table S7.** Ingredients of concentrated feed for fish and associated water footprint.

Ingredient	Origin	Composition (%)	Water footprint (m <sup>3</sup> /kg)		
			green	blue	grey
yellow corn	Switzerland	15	0.44	0.00	0.20
soy bean meal	U.S.A.	50	1.84	0.11	0.01
corn oil	Argentina	20	2.16	0.03	0.16
others	–	15	not considered		
total			1.40	0.06	0.07

181

182 Unlike fish and poultry, sheep consume a negligible amount of feed: in West Asia and North Africa,  
183 feed concentrates account only for 4% of sheep diet, with an even lower share (1.1%) in grazing  
184 systems (Mekonnen & Hoekstra, 2012). The remaining fraction of the diet is represented by grass  
185 growing in the grazing area, crop residues, and hay produced during the rest period of crop  
186 cultivation. The water footprint of sheep was estimated on the basis of the figure reported by  
187 Mekonnen & Hoekstra (2012) for a tonne of live sheep at the end of life, equal to 4,519 m<sup>3</sup>/t  
188 (grazing systems, global average). To calculate the water footprint on an annual basis, we  
189 considered that consumption by lambs (through milking) is already included in that of their mothers,  
190 and that, of the 7 adult ewes, 3 are kept alive throughout the year, while 4 (1 aged 16 months and 3  
191 aged 28 months) are slaughtered and replaced by the 4 female lambs born in the same year.  
192 Assuming an average weight of 42.5 kg per animal (as reported in section S1.1.2) and a lifespan of  
193 2.1 years (average of 1 ewe living 16 months and 6 ewes living 28 months), we obtain an overall  
194 footprint of 91.5 m<sup>3</sup>/yr per sheep.

195 To disaggregate the total water footprint value into its three components (Table S8), we assumed  
196 that 94% of the total footprint is associated with the green contribution, while the blue and grey  
197 components contribute to 3.6% and 2.4%, respectively (Mekonnen and Hoekstra, 2012). Like for

198 poultry, the water footprint of sheep was allocated to the obtained products on the basis of a mass  
199 allocation criterion (76.4% to milk and 23.6% to meat).

200 **Table S8.** Water footprint of a sheep.

<b>Water footprint component</b>	<b>Share (%)</b>	<b>Estimate (m<sup>3</sup>/yr)</b>
green	94.0	86.0
blue	3.6	3.3
grey	2.4	2.2

201

202 After estimating the water footprints of single food items, we aggregated them to calculate the water  
203 footprint of each production scenario. Then, we compared alternative scenarios at the farm scale in  
204 terms of blue and total water footprint. In the second part of the analysis, we compared water  
205 appropriation with available freshwater resources in the Gaza Strip (Table S9) as reported by PWA  
206 (2014). For each scenario, per capita water appropriation was obtained by dividing water footprints  
207 at the farm scale by the average household size (18 people). As in this second analysis we focused  
208 specifically on the water resources of the Gaza Strip, only the direct component of water footprints  
209 (i.e., local consumptions) was considered.

210 **Table S9.** Water availability in the Gaza Strip.

Average aquifer recharge	57,500,000 m <sup>3</sup> /year
Population (2013)	1,730,737
Water availability per capita	33.22 m <sup>3</sup> /year

211

### 212 *Land appropriation*

213 To complement the analysis of the water balance at the regional scale, we assessed land  
214 requirements for domestic food production, to be compared with the current availability of  
215 agricultural land. Only direct land appropriation was considered (i.e. we excluded land use

216 associated with the production of imported products). Land appropriation for domestic production  
 217 of vegetables was estimated on the basis of crop productivity (see Table S1) and household  
 218 consumption (see section S1.2.1) following the approach of Goedkoop et al. (2009). The area  
 219 occupied by irrigation ponds (150 m<sup>2</sup>) was allocated to crops. As for animal production, we  
 220 allocated the area dedicated to grazing (200 m<sup>2</sup>) to poultry and sheep. For each scenario, per capita  
 221 land appropriation was obtained by summing up land appropriated for crops and land for grazing  
 222 and by dividing the total by the average household size. Results were then compared with per capita  
 223 availability of agricultural land in the Gaza Strip (Table S10; data from PCBS, 2011).

224 **Table S10.** Land availability in the Gaza Strip.

Total area	365 km <sup>2</sup>
Cultivated area (2011)	88 km <sup>2</sup>
Population (2013)	1,730,737
Total area per capita	210.9 m <sup>2</sup>
Cultivated area per capita	50.8 m <sup>2</sup>

225

### 226 **S1.2.3. Economic analysis**

227 With respect to the economic dimension, we estimated the contribution of domestic production to  
 228 economic access to food, both in terms of decreased expenditures on food and in terms of increased  
 229 household income. To this end, we used price data reported by the Palestinian Central Bureau of  
 230 Statistics (PCBS, 2016a, 2016b), averaged over the period 2008–2013. While in PCBS reports  
 231 consumer prices (necessary to assess the benefits on expenditures for food) are provided separately  
 232 for the Gaza Strip and the West Bank, producer prices (used to assess incomes) are provided only as  
 233 averages for the whole Palestinian territories and for selected food commodities. To estimate  
 234 producer prices specific to the Gaza Strip, we calculated the average ratio between producer and  
 235 consumer prices in Palestine (equal to 44%) and used it to derive producer prices from consumer

236 prices in the Gaza Strip (Table S11). Prices, originally reported in New Israeli Shekels (NIS), were  
 237 converted into US dollars (USD) using an average exchange rate of 1 NIS = 0.257 USD calculated  
 238 over the last decade. Note that our analysis does not include the costs related to food production  
 239 (neither capital investments nor operational costs), so it provides only an estimate of the gross  
 240 contribution of domestic production to the income of rural households.

241 **Table S11.** Prices of food commodities in the occupied Palestinian territories and in the Gaza Strip.

	Palestinian territories			Gaza Strip	
	Consumer price (NIS/kg)	Producer price (NIS/kg)	PP:CP ratio (%)	Consumer price (NIS/kg)	Producer price (NIS/kg)
greenhouse tomato	3.41	2.35	46	2.32	1.25
greenhouse cucumber	3.15	2.40	32	2.00	1.37
eggplant	3.18	2.10	52	2.23	0.60
cauliflower	3.54	2.72	30	2.56	2.15
cabbage	3.15	2.01	58	2.39	0.97
average			44		
lentil				6.58	3.69
pepper				3.82	2.14
pea	5.60			5.10	3.48

242

243

## 244 **S2. Supplementary results**

### 245 **S2.1. Water footprint assessment**

#### 246 **S2.1.1. Crops**

247 The water footprints of the crops included in the alternative rotation systems are reported in Table  
248 S12.

249 **Table S12.** Direct (by component) and indirect water footprint of crops. Letters between  
250 parentheses indicate crop rotation systems.

<b>Crop</b>	<b>Blue</b> (m <sup>3</sup> /t)	<b>Green</b> (m <sup>3</sup> /t)	<b>Grey</b> (m <sup>3</sup> /t)	<b>Indirect</b> (m <sup>3</sup> /t)
cabbage	62.4	28.7	86.7	2.9
cauliflower	149.8	51.6	156.0	6.1
cucumber	52.0	0.0	68.9	2.3
eggplant (B)	104.0	1.0	270.3	4.0
eggplant (D)	156.0	0.0	405.5	6.0
lentil	866.7	507.0	0.0	22.0
pea (B)	390.0	129.0	605.0	17.0
pea (C and D)	557.1	130.4	864.3	16.6
pepper	273.0	0.0	428.0	11.8
tomato	52.0	0.06	45.3	2.14

251

252 Lentils are the crop with the highest blue and green water footprint per tonne of product. However,  
253 a comparison between lentils and the other crops on a weight basis can be misleading, because they  
254 are weighed dry, while all the other products are weighed wet. On the other hand, lentils have no  
255 grey footprint, as they do not require fertilizers nor pesticides. Peas have also high green and blue  
256 footprints (note that their water content is <80%, while that of most vegetables is >90%), but have a  
257 high grey footprint too. Summer crops (cucumbers, eggplants and peppers) have a green footprint

258 equal or close to zero, as they are cultivated in the dry season. In contrast, their grey footprint is  
 259 among the highest, due to the use of fertilizers and pesticides. Tomatoes have also a negligible  
 260 green water contribution, as they are grown in greenhouses (the small amount of green footprint is  
 261 due to the use of rainwater collected in the irrigation ponds).

### 262 **S2.1.2. Animals**

263 Table S13 summarizes the results of the water footprint assessment of animal products. The water  
 264 footprint of poultry and fish is mainly due to concentrate feed (indirect contribution), while for  
 265 sheep it is due to the direct green water footprint (rainfall over the grazing area). The direct blue  
 266 water footprint contribution of fish (about 30% of the total) is due to the water evaporated from the  
 267 pond.

268 **Table S13.** Water footprint of animal products.

Product	Water footprint				
	green	blue	grey	indirect	total
fish	0.00	1.50	0.00	3.50	5.00
chicken eggs	0.00	0.10	0.00	5.10	5.20
chicken meat	0.00	0.10	0.00	5.10	5.20
sheep meat	1.16	0.04	0.03	0.00	1.23
sheep milk	1.16	0.04	0.03	0.00	1.23

269

### 270 **S2.2. Comparison of food production scenarios at the farm scale**

271 Table S14 shows the annual productivity of the different crop rotation systems. A fraction of the  
 272 annual production is considered to be consumed directly by the household (see section S1.2.1),  
 273 while the rest can be sold. Domestic consumption covers a small share of the production (between 1  
 274 and 5%), confirming the trade-oriented nature of horticulture in the Gaza Strip.

275 Table S15 compares different food production scenarios in terms of protein supply (assuming the  
 276 consumption patterns defined in section S1.2.1), gross income, and water footprint (blue and total).  
 277 Production scenarios including legumes (B–E) contribute the most to protein supply. On the other  
 278 hand, scenario A (which does not include legumes) provides a much lower contribution, but  
 279 guarantees the highest gross income, as it is based on the production of cash crops (tomatoes and  
 280 cucumbers). Finally, Table S16 shows the specific water footprint (expressed in m<sup>3</sup> of water  
 281 consumed per kilogram of protein produced) of the different protein-rich food products (animal  
 282 products and legumes) included in the production scenarios.

283 **Table S14.** Total production of vegetables and legumes for the model farm (as obtained with  
 284 different crop rotation systems, see Fig. 2 in the main text), fraction potentially consumed by the  
 285 household and fraction available for sale.

<b>Crop rotation system</b>	<b>Total production</b> (kg/year)	<b>Domestic consumption</b> (%)	<b>Available for sale</b> (%)
A	204,000	1	99
B	59,500	3	97
C	61,200	5	95
D	61,200	5	95
E	56,780	5	95

286 **Table S15.** Comparison of food production scenarios in terms of protein supply, annual income,  
 287 water footprint (blue and total). Indicators are calculated over a time horizon of one year.

<b>Crop rotation system</b>	<b>Protein supply (kg/year)</b>	<b>Gross income (USD/year)</b>	<b>Total water footprint (m<sup>3</sup>/year)</b>	<b>Blue water footprint (m<sup>3</sup>/year)</b>
A	131.6	67,091	24,419	11,022
B	178.4	14,786	31,456	9,033
C	181.0	22,700	30,706	10,757
D	192.8	20,897	38,675	12,215
E	186.6	19,117	23,575	8,768

288

289 **Table S16.** Specific water footprint (direct contribution only) for protein-rich food products.

<b>Product</b>	<b>Specific water footprint (m<sup>3</sup>/kg)</b>			
	blue	green	grey	total
Fish	7.90	–	–	7.90
Sheep meat	0.36	9.47	0.24	10.07
Sheep milk	0.75	19.58	0.50	20.83
Chicken eggs	0.84	–	–	0.84
Chicken meat	0.52	–	–	0.52
Lentils <sup>1</sup>	3.51	2.05	–	5.56
Peas <sup>2</sup>	6.67	1.83	10.35	18.85

290 <sup>1</sup> Only scenario E. <sup>2</sup> Average of the values obtained for scenario B (cultivation in autumn)  
 291 and scenarios C and D (cultivation in late winter-early spring).

292

## 293 **S2.3. Contribution of domestic production to food security in the Gaza Strip**

### 294 **S2.3.1. Nutritional analysis**

295 Per capita food supply (total and proteins) covered by domestic production, classified by food group,  
296 is reported in Table S17.

297 **Table S17.** Contribution of domestic production to food supply on a daily basis. Animal products  
298 (meat, fish, eggs and milk) and vegetables are produced in all the considered production scenarios,  
299 while legumes are included only in some (indicated between parentheses).

<b>Food group</b>	<b>Supply</b> (g day <sup>-1</sup> person <sup>-1</sup> )	<b>Protein supply</b> (g day <sup>-1</sup> person <sup>-1</sup> )
meat	21.0	2.7
fish	36.5	7.0
eggs	24.4	2.9
milk	60.6	3.6
vegetables	400.0	3.8 – 9.5
fresh legumes (B, C and D)	50.0	3.55
dried legumes (E)	15.0	3.7

300

### 301 **S2.3.2. Environmental sustainability**

302 Per capita water appropriation for the different production scenarios, estimated in terms of direct  
303 blue water footprint, is reported in the main text (Fig. 5).

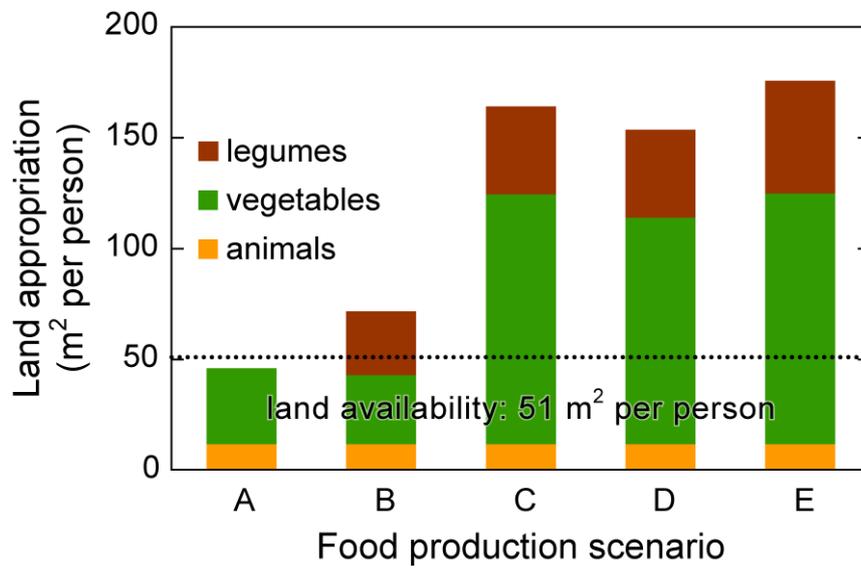
304 Per capita land appropriation for domestic food production is shown in Table S18 separately for the  
305 different crops. Results for the different production scenarios are summarized in Fig. S1, which  
306 shows that the area available for agriculture (ca. 51 m<sup>2</sup> per capita) would not be sufficient in the  
307 majority of the analysed scenarios, with land appropriation ranging between 89% (scenario A,  
308 which, however, does not include legumes and guarantees, therefore, a lower protein supply) and  
309 345% of the corresponding availability.

310

**Table S18.** Land appropriation for domestic production of different crops.

<b>Product</b>	<b>Area</b> (m <sup>2</sup> per capita)
cabbage	36.3
cauliflower	62.2
cucumber	19.0
eggplant (B)	31.4
eggplant (D)	40.3
pepper (C and E)	76.8
tomato	15.3
lentil	50.9
pea (B)	28.7
pea (C and D)	39.8
sheep and poultry	11.1
fish	0.0

311



312

313

**Fig. S1.** Per capita land appropriation for domestic food production.

314

315 **S2.3.3. Economic analysis**

316 Table S19 compares the average monthly expenditure on food in the Gaza Strip with the economic  
 317 value of domestic food production (estimated in terms of savings on food costs thanks to household  
 318 production). Results show that domestic production allows a reduction of expenditures on food  
 319 from 51.6 to about 33 USD per month per capita. In particular, domestic production of vegetables  
 320 and legumes allows to save ca. 80% of the expenditures on this food group. Animal production has  
 321 also a relevant importance for household economy, as it allows covering more than half the  
 322 requirement of meat and fish, and 100% of the requirement of dairy products and eggs.

323 **Table S19.** Comparison between average monthly expenditure on food in the Gaza Strip and the  
 324 economic value of domestic food production.

<b>Food group</b>	<b>Average expenditure</b> (USD per capita)	<b>Domestic production</b> (USD per capita)
meat and fish	16.4	6.8
dairy and eggs	3.9	3.9
vegetables, legumes and tubers	9.7	7.7 – 8.0 <sup>1</sup>
fruits and nuts	5.2	0.0 <sup>2</sup>
bread and cereals	9.6	0.0
oils and fats	3.0	0.0
sugar and confectionery	3.9	0.0
total	51.6	18.4 – 18.7

325 <sup>1</sup> Depending on the specific crop rotation system adopted.

326 <sup>2</sup> This category is included even if the recommended portion of fruits and vegetables (400 g per day  
 327 per capita) is considered to be fully covered by vegetables.

328  
 329 Table S20 compares the residual monthly expenditure on food (calculated as the difference between  
 330 the average expenditure on food in Gaza and the economic value of domestic production) and the  
 331 gross income deriving from selling the production that is not dedicated to domestic consumption.

332 Depending on the crop rotation system included in the production scenario, the ratio between  
 333 residual expenditure and gross income varies between 11% (for scenario A, based on the cultivation  
 334 of cash crops) and 47% (for scenario B, which is based on the less remunerative crop rotation  
 335 system).

336 **Table S20.** Comparison between monthly expenditure on food (net of the economic value of  
 337 domestic production) and gross income.

<b>Crop rotation system</b>	<b>Expenditure on food</b> (USD per capita)	<b>Gross income</b> (USD per capita)	<b>Expenditure-to-income ratio</b> (%)
A	33.2	310.6	11
B	32.8	69.2	47
C	32.8	105.7	31
D	32.8	97.4	34
E	33.1	88.8	37

338

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