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Diego Arosio*, Stefano Munda, Greta Tresoldi, Monica Papini, Laura Longoni, and Luigi Zanzi

A customized resistivity system for monitoring saturation and seepage in earthen levees: installation and validation

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Abstract: This work is based on the assumption that a resistivity meter can effectively monitor water saturation in earth levees and can be used as a warning system when saturation exceeds the expected seasonal maxima. We performed time-lapse ERT measurements to assess the capability of this method to detect areas where seepage is critical. These measurements were also very useful to design a prototype monitoring system with remarkable savings by customizing the specifications according to field observations. The prototype consists of a remotely controlled low-power resistivity meter with a spread of 48 stainless steel 20 × 20 cm plate electrodes buried at half-meter depth. We deployed the newly-designed permanent monitoring system on a critical levee segment. A weather station and an ultrasonic water level sensor were also installed in order to analyse the correlation of resistivity with temperature, rainfalls and water level seasonal variations.

The preliminary analysis of the monitoring data shows that the resistivity maps follow a very reasonable trend related with the saturation/drying cycle of the levee caused by the seasonal variations of the water level in the irrigation channel. Sharp water level changes cause delayed and smooth resistivity variations. Rainfalls and, to a lesser extent, temperature seem to have an influence on the collected data but effects are apparently negligible beyond 1 m depth. The system is currently operating and results are continuously monitored.



Keywords: geophysical monitoring, permanent geoelectrical monitoring, time lapse DC-resistivity, embankment seepage, embankment monitoring, levee stability, hydrogeological risk prevention, flood prevention

1 Introduction

Failures of levees cause flooding that can pose a serious threat to human settlements and infrastructures. In many instances, assessment of earth embankments involves just visual inspection, so that the conditions of the inner structure of the levees are poorly known. Seepage is one of the main phenomena causing damages within earth embankments and can lead to ultimate failure, as it is difficult to be detected in advance. However, geophysical methodologies can be used to investigate embankment inner conditions in a non-destructive manner, with the aim of locating critical saturation areas.

Because of an increased sensitivity to environmental risk mitigation, in the last years geophysical techniques classically used for exploration tasks have been turned into valuable monitoring tools in different application fields, such as reservoir induced seismicity [1], reservoir stimulation [2], gas storage reservoirs, CO₂ sequestration pilot sites [3], clandestine underground nuclear testing, landslides [4, 5], unstable rock slopes [6–11]. Passive seismics is the most popular geophysical technique for monitoring purposes but DC resistivity has been recently gain-

***Corresponding Author: Diego Arosio:** Dipartimento di Scienze Chimiche e Geologiche, Università di Modena e Reggio Emilia, via Giuseppe Campi 103, 41125 Modena, Italy, E-mail: diego.arosio@unimore.it

Stefano Munda, Greta Tresoldi, Monica Papini, Laura Longoni, Luigi Zanzi: Dipartimento di Ingegneria Civile e Ambientale, Politecnico di Milano, Piazza Leonardo Da Vinci 32, 20133 Milano, Italy, E-mail: stefano.munda@polimi.it (S.M.), greta.tresoldi@polimi.it (G.T.), monica.papini@polimi.it (M. P.), laura.longoni@polimi.it (L.L.), luigi.zanzi@polimi.it (L.Z.)

ing more and more interest for environmental risks related to water circulation.

Resistivity is an intrinsic property indicating how strongly a material opposes the flow of electrical current and it is function of many parameters, such as salinity, temperature [12], soil grain size, porosity and water content [13–18]. For these grounds, Electrical Resistivity Tomography (ERT) methods can be used for several purposes, such as natural hazard mitigation [19–23], monitoring of contaminated sites [24, 25] and of infrastructures [26, 27], hydrology [28–31] and CO₂ monitoring [32], providing information about subsurface characteristics.

In recent years, time-lapse ERT has been employed in many studies in order to associate changes in resistivity values to variations in the monitored parameters of interests. But just in a limited number of cases an automatic integrated monitoring system has been developed [33–36]. According to the scientific literature, the most addressed application field is the one related to permanent or periodic landslide monitoring [37–40], because resistivity values are strongly affected by water content variation, which is one of the most important landslide triggering factors [41]. The work presented here discusses time-lapse ERT as a permanent monitoring tool for water saturation and infiltration in earth embankments. Areas with augmented saturation or seepage, which may evolve to ultimate dyke failure, are supposed to be detectable as zones of negative resistivity gradient. Others geophysical techniques can also be used to assess the stability of levees and dams, such as streaming potential [42], seismic tomography [42–45], Multichannel Analysis of Surface Waves (MASW) [43], refraction seismics [42, 45], Ground Penetrating Radar (GPR) [45] and Controlled Source Magnetotelluric (CSMT) [45], but these methods are not as attractive as ERT for the development of a permanent monitoring system. The streaming potential method is sensitive to water flow and can detect the occurrence of new seepage areas as well as the increase of known seepage phenomena. Nevertheless, it could not be able to monitor slow variations of saturation level and, as a consequence, it may fail to detect when a water content threshold level is exceeded, this giving poor information about the triggering factor of a possible structural failure. Besides, the streaming potential method requires non-polarizable electrodes whose durability and maintenance are likely to be unsuitable for a permanently buried spread. Active seismics can detect underground water, but cannot be used for continuous monitoring, while passive seismics is potentially suitable, but its sensitivity to saturation level variations is lower than ERT one. GPR is a promising fast scanning tool for checking the integrity of levees and for detecting new cavities, such

as those excavated by rodents. However, GPR can hardly be customized into a permanent and automated monitoring system and may suffer from low penetration depth due to high-conductivity embankment materials or when the levee is highly saturated (i.e., during the irrigation season). For the above grounds, we elected DC resistivity to be the best geophysical technique to monitor levee saturation because of its high sensitivity to water content variations and because the equipment can be easily customized into a permanent monitoring system.

To test the methodology, a custom prototype of resistivity-meter, specifically designed to be cost-effective and energy-efficient, has been installed to monitor a levee close to a small village in a rural area. The electrodes have been deployed along the crest of the levee and the system collects standard 2D resistivity sections parallel to the channel main axis. Different deployment geometries could have been considered, e.g., crossline spreads or 3D configurations [46], but the small size of the levee section investigated in this study and the concrete liner protecting its internal side make the design of other configurations quite difficult. The test site has been also equipped with a weather station to record meteorological parameters for data interpretation since resistivity values are influenced by air and soil temperature as well as by rainfalls. In the last decades several studies have deeply investigated the relationship between temperature and resistivity and many approaches have been proposed to remove the effect of temperature from collected datasets [47–53]. On the contrary, only a few studies analysed how to remove the influence of rainfalls from field measurements [54].

2 Methods

2.1 Preliminary tests

Preliminary time-lapse tests were performed on a short segment of an earth levee to achieve the following objectives: a) to evaluate the effectiveness of ERT measurements to detect seepage areas; b) to determine ideal acquisition parameters (i.e., current injection, duty cycle, minimum required voltage) in order to define the specifications for the design of a low-cost permanent monitoring system customized for earth levees.

We selected a reference test-site (Figure 1), hereafter referred to as site A, located in a small village about 30 km south-east of Mantova, a city in Northern Italy in the Po Plain, where intensive farming promoted the development of a dense network of irrigation canals. The authority

managing the irrigation infrastructures has been regularly monitoring this site because it is affected by a visible water leakage pinpointed in a very small area of the levee. Although site A is located in a rural area with no houses directly threatened by a potential failure of the embankment, we were suggested to test the ERT methodology here to evaluate whether it is sensitive enough to detect small seepage paths that might slowly erode the levee and generate a collapse of the earth structure. According to this, for the preliminary measurements we have been visiting site A regularly throughout one year with a commercial resistivity meter (IRIS Syscal Pro Switch) controlling an array of 48 1-m-spaced electrodes. Dipole-dipole and Wenner configurations were initially tested, and since both were able to detect the seepage zone with satisfactory accuracy, the Wenner configuration was finally preferred in order to ensure a good signal-to-noise ratio with minimum current injection. Indeed, low-current injection is beneficial to minimize costs and power consumption of the permanent monitoring system. With the selected acquisition geometry, the minimum quadrupole separation is 1 m, while the maximum is 15 m, so that the expected maximum penetration depth is 7.5 m, with a vertical resolution of 0.5 m and a horizontal one of 1 m. As a result, the electrical survey is supposed to explore the entire structure of the levee and the foundation soil with a good level of detail. Within the levee, corresponding to the top 3 m of the resistivity section, we expect that a standard Wenner configuration with the abovementioned acquisition geometry can provide resolution and sensitivity good enough to detect water content anomalies with sizes of the order of 1 m or 2 m, as also proved by the following experimental results.



Figure 1: Earth levee and water channel (on the right) in test site A at the end of the irrigation season.

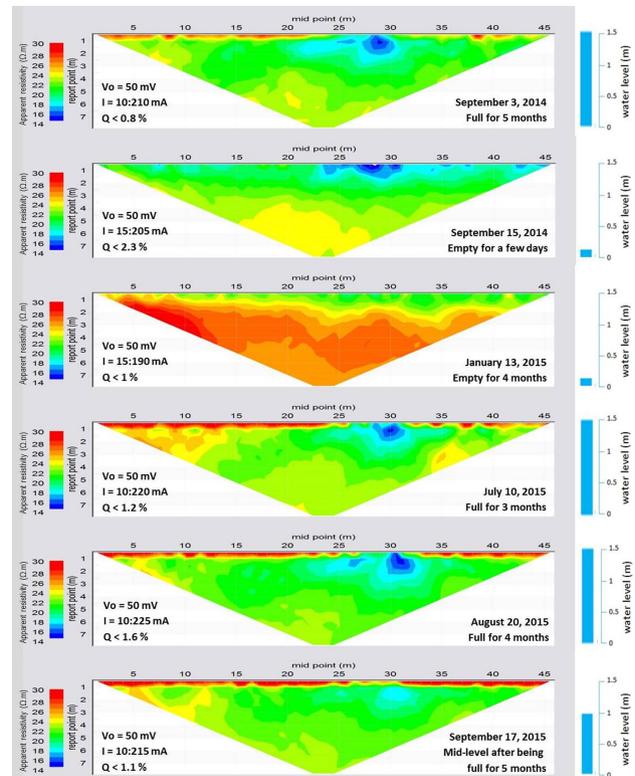


Figure 2: Apparent resistivity maps obtained in the preliminary measurements with a commercial portable equipment in test site A. V_0 is the required minimum voltage, I is the range of the injected current and Q is the standard deviation of the measurements. The label “report point” of the vertical axis indicates the pseudo-depth of the measured resistivity and is proportional to the electrode distance. The light blue bar on the right side of each map indicates the water level in the canal during the measurement.

The preliminary tests provided good results as we observed a high correlation with the irrigation periods (Figure 2). Resistivity increases during autumn and winter, when the water level in the channel is very low, and decreases in summertime, when the water level is maximum. We also checked that resistivity values are only affected by dyke saturation and that they are not influenced by 3D lateral effects due to the presence of the water mass in the canal. This is proved by comparing the top three apparent resistivity maps in Figure 2. During winter (resistivity map collected on January 13), remarkable higher resistivity values are measured as a result of the drying of the levee earth structure. Since the drying process takes some time to occur, the resistivity map measured on September 15, i.e. a few days after the channel was emptied, is very similar to the map measured on September 3 when the water level was still very high. If the difference between resistivity maps measured in early September and in January could be directly related to the presence of the water mass

in the canal, resistivity values collected in mid-September should have been similar to those collected in winter time.

The known seepage in site A was first detected as a shallow low resistivity anomaly during summer 2014, then it vanished during the following winter season when the canal was empty, and finally it was again detected in spring-summer 2015 as soon as the irrigation season started. Nonetheless, an unexpected result was observed in the last survey performed on September 17 (bottom map in Figure 2). While during July and August the low resistivity anomaly is clearly noticeable on the apparent resistivity maps, the map measured in mid-September, when water level started to decrease, hardly shows the low resistivity spot. By carefully inspecting the levee close to the seepage, we observed a 2 m-wide 0.5 m-deep ground subsidence (Figure 3). Thus, we interpreted the lack of the resistivity anomaly as the result of a temporarily stop of the seepage caused by a sudden soil compaction event.



Figure 3: Small levee subsidence observed in test site A.

Based on the results obtained in the preliminary study carried out in test site A, we could conclude that ERT sensitivity and resolution are high enough to pinpoint seepage zones along earth levees and that DC resistivity equipment could be used as a permanent monitoring tool to reveal potential failures. Preliminary measurements were also important to define the specifications of the custom resistivity meter according to the measured resistivity range, which was found to vary from 5 to 40 Ωm , and to the electrode contact resistance spanning from 300 to 800 Ω . We also checked the highest current injection required to measure a voltage of at least 50 mV at the potential electrodes with standard deviation lower than 2.5% and we determined that a current of 200 mA would be enough to ensure reliable results. Finally, we calculated that the energy consumption for a 48 electrode system exploring the full

depth range with the Wenner configuration is lower than 8 Wh and this value was used to design the power supply system of the prototype.

Preliminary measurements were also carried out on other embankments with the purpose of selecting a test site where to install the prototype of the newly-designed monitoring system. We finally selected a site, hereafter referred to as site B, just 2 km west of site A. Despite their small distance, site B is very different from site A because in this area the channel is very close to nearby houses and it is protected by a concrete liner that covers the floor as well as the inner sides (Figure 4a). In the past, this section was highly affected by structural problems and required frequent maintenance so that concrete lining was necessary to improve waterproofing and stability by reducing seepage and erosion. Nevertheless, erosion of the earth structure behind the liner can still take place because seepage may occur both along joints between different sections of the liner (Figure 4b) and behind concrete slabs at the beginning/end of the lined section (Figure 4c). In the long term, seepage can progressively increase and large cavities behind the liner can undermine the stability of the structure. In addition, concrete lining may also prevent a prompt detection of erosional activity (Figure 4c) so that dyke failures may occur unexpectedly and with potentially serious consequences. During preliminary measurement sessions, we found out that site B is also characterized by a quite large low resistivity anomaly during the irrigation season, as depicted in Figure 5, which shows the apparent resistivity map measured in mid-September, a few days after the canal was emptied. The large local minimum of apparent resistivity located on the left side of the map may indicate significant under seepage flow. As in site A, the resistivity anomaly progressively disappears throughout autumn and winter, but is again detected in spring at the beginning of the irrigation season.

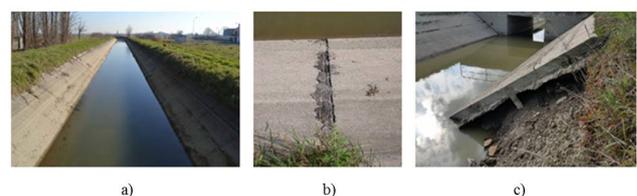


Figure 4: (a) Water channel and earth levees in test site B; (b) Examples of open joint between liner sections; (c) Example of a section where erosion is taking place behind the liner.

For all the above mentioned grounds, we deemed site B to be a suitable test site were to install the prototype permanent monitoring system.

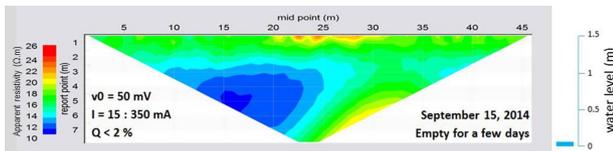


Figure 5: Apparent resistivity map obtained in the preliminary measurements with a commercial portable equipment in test site B. v_0 is the required minimum voltage, I is the range of the injected current and Q is the standard deviation of the measurements. The label “report point” of the vertical axis indicates the pseudo-depth of the measured resistivity and is proportional to the electrode distance. The light blue bar on the right side of the map indicates the water level in the canal during the measurements.

2.2 Prototype design and installation

Commercial resistivity meters are general purpose equipment designed to explore a large range of depths, from a few meters with short cables and small electrode spacing to some hundreds of meters by using long cables and by injecting high currents. This feature is needless when ERT is employed as a permanent monitoring tool on earth structures such as embankments and levees. Besides, commercial systems are portable devices that are generally conceived neither for remote control nor for automatic long-distance data transmission. As a result, the use of a commercial resistivity meter for a levee long-term monitoring system is not cost-effective because the equipment is oversized in terms of penetration depth. In addition, it would also require the development of hardware and software to connect the monitoring system to the solar panels providing battery recharge and to the mobile network to ensure remote control and data transmission. Thus, we decided to design a new prototype system according to the main specifications listed in Table 1.

Actually, the very first prototype was designed to have a maximum injection current of 100 mA but this value was increased to 200 mA with no need for changing any hardware components once we observed that doubling the current improved the stability of the deeper measurements and that the power supply system (battery and solar panel) was not critically affected by the increase in energy consumption.

For the deployment of the prototype we prepared a spread consisting of two multi-conductor cables with 24

Table 1: Main specifications for the prototype monitoring system.

Number of electrodes	48
Max injection current	200 mA
Default electrode configuration	Wenner
Lightning protection	Yes
Contact resistance check	Yes
Power system for battery recharge	Solar panel
Remote control and transmission	Via mobile network
Default measurement interval	24 hours
Default data transmission interval	24 hours
Data file parameters	Voltage, Current, Standard Deviation, Resistivity

1 m-spaced take-outs each. Therefore, the spread allows for the levee to be investigated down to a maximum depth of about 7 m which is far beyond the 3 m thickness of the earth embankment in site B. Because of the spacing of 1 m between the electrodes, measurement horizontal resolution is 1 m, while vertical resolution is about 0.5 m.

The spread was thought to be buried in the middle of the levee cross-section so that we had to adopt a proper electrode design and a method to protect the cables from rodents. We installed 20 × 20 cm stainless-steel plate electrodes to ensure an efficient long-term coupling, cables were enclosed within a robust dielectric plastic case (Figure 6a) and the connections with the electrodes were sealed by means of a bi-component resin. A box hosting the electronics of the resistivity meter was placed in the middle of the array (Figure 6b), and cables and electrodes were buried in a 0.5 m-deep trench (Figure 6c). A weather station, equipped with air and soil temperature sensors, hygrometer, rain gauge, ultrasonic water level sensor and 1m Time Domain Reflectometry (TDR) probe was also installed in order to correlate resistivity values with the variations of temperature, rainfall and water level in the canal. As the TDR probe can monitor soil permittivity, we may be able to tune a curve relating resistivity and water content.

Both the resistivity meter and the weather station are powered by solar panels and a modem is set for daily data transmission to a web site where the data are stored and can be analysed.

The file transmitted from the resistivity meter (one file per day) contains apparent resistivity values, injected current, measured voltage and a quality factor (percentage standard deviation) of the measurements, while the one from the meteorological station provides air and soil temperatures, air humidity, rainfall, water level and dielectric constant measured with a sampling interval of 10 minutes.

All the acquisition parameters, such as the maximum injected current, the minimum requested voltage, the requested quality factor, the measurement interval and the acquisition sequence, can be remotely managed through the mobile network connection.

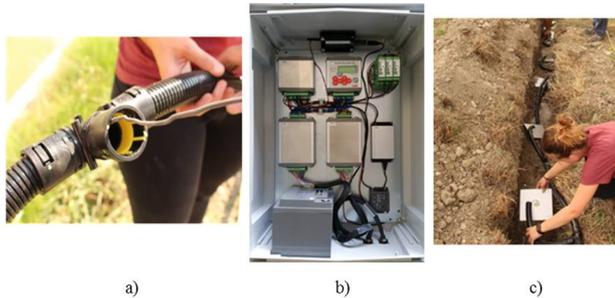


Figure 6: (a) Anti-rodent dielectric protection; (b) Resistivity meter box; (c) Deployment of the permanent plate electrodes.

2.3 Prototype validation

To analyse the performance of the prototype and to check the reliability of the collected datasets, we planned comparison sessions between the prototype and a Syscal Pro Switch resistivity meter. More in detail, we performed joint measurements on the day the prototype was deployed at site B and two weeks later, namely on September 17, 2015. Each system was connected to its own electrode spread, i.e. 48 buried plate electrodes and 48 stainless steel rods planted on the levee surface for the prototype and the commercial equipment respectively.

The results of the comparison performed on the day of the installation were very encouraging as the apparent resistivity values measured by the two systems have the same trend down to a pseudo-depth of 5 m, with a difference of 2-3 Ωm , corresponding to a misfit of 10%-20%. At first we occasionally observed unsatisfactory standard deviations in the prototype deeper measurements, but this issue was solved by optimizing the acquisition parameters (especially the duty cycle) in the following days. The second comparison session was planned to check the prototype system was working flawlessly and to allow for soil compaction above the permanent buried electrodes. Figure 7 shows apparent resistivity values at different depths, while Fig. 8 compares the apparent resistivity pseudo-sections: data consistency is good and trends are very similar with maximum differences of about 3 Ωm . However, we observed that apparent resistivity measured by the prototype is systematically lower with a mean difference of

about 1-2 Ωm . This is due to different electrode depths of the two systems. Contrary to the custom system, the commercial equipment employed surface electrodes planted in the high-resistivity top soil (see Figure 8) resulting from a long period of dry weather. Since measured apparent resistivities are influenced by the real resistivities of the materials in between the electrodes and the maximum penetration depth of the injected current, Syscal apparent resistivities are higher, as a result of the high-resistivity top soil which is not affecting the apparent resistivities measured by the prototype electrodes buried at 0.5 m depth. Thus, the validation of the prototype installed in test site B was considered successful and we completed the set-up of the monitoring system by also calibrating and validating the weather station equipped with the abovementioned sensors.

3 Results and Discussion

The datasets obtained with the customized monitoring system during a few months have been analysed in order to produce preliminary interpretations and to study the correlation of the resistivity maps with water level variations in the canal and with other parameters such as rainfalls and temperature.

The reliability of the apparent resistivity maps daily generated by the prototype is supported by different grounds. First of all, measured values are found to be extremely stable and repeatable (within $\pm 0.5 \Omega\text{m}$) when weather conditions are constant, i.e., absence of rainfalls and of sudden temperature variations. This is mainly due to the robustness of the Wenner configuration offering a high signal-to-noise ratio, and to the favourable requirements in terms of maximum depth of the investigation. Secondly, the parameters associated to the resistivity measurements reveal that with a maximum injected current of 200 mA (actually injected only to investigate the deepest layers) the measured voltages range between 40 and 145 mV. As a consequence, voltage readings can be considered very reliable since the prototype voltage sensitivity is 25 μV . Finally, daily logs report that percentage standard deviation values are always lower than 1%, this indicating that data are statistically reliable. Indeed, the equipment has been designed to adjust the injected current and to repeat each measurement until a sufficiently small standard deviation is obtained.

The deployment of the prototype took place at the end of the irrigation season so that the data of the following months monitor the progressive drying of the levee

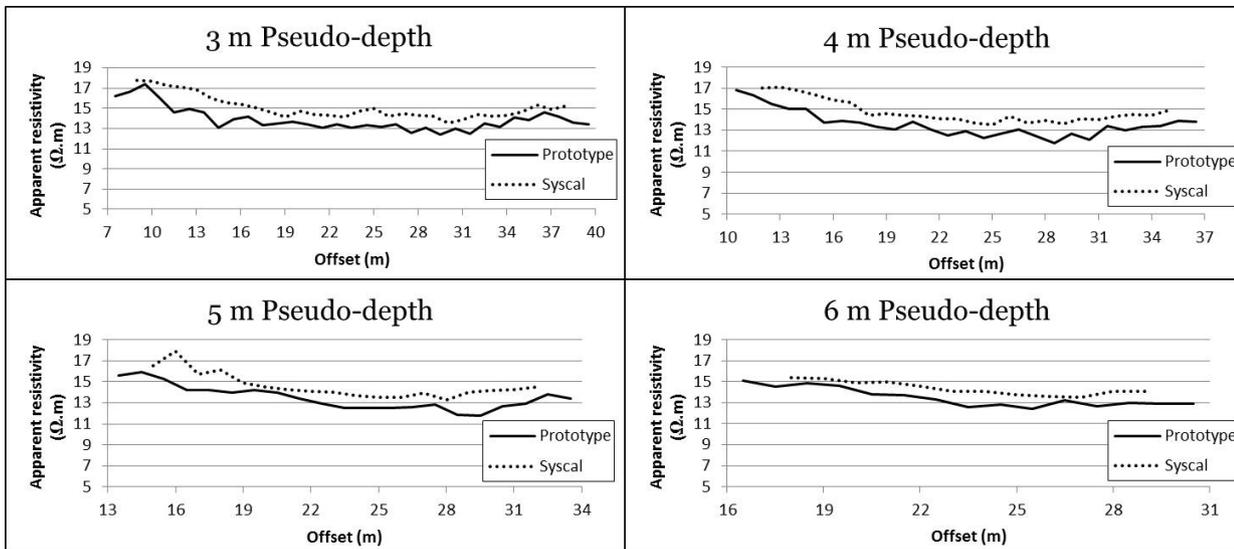


Figure 7: Comparison of apparent resistivity values measured at different pseudo-depths by the prototype and the commercial system on September 17, 2015.

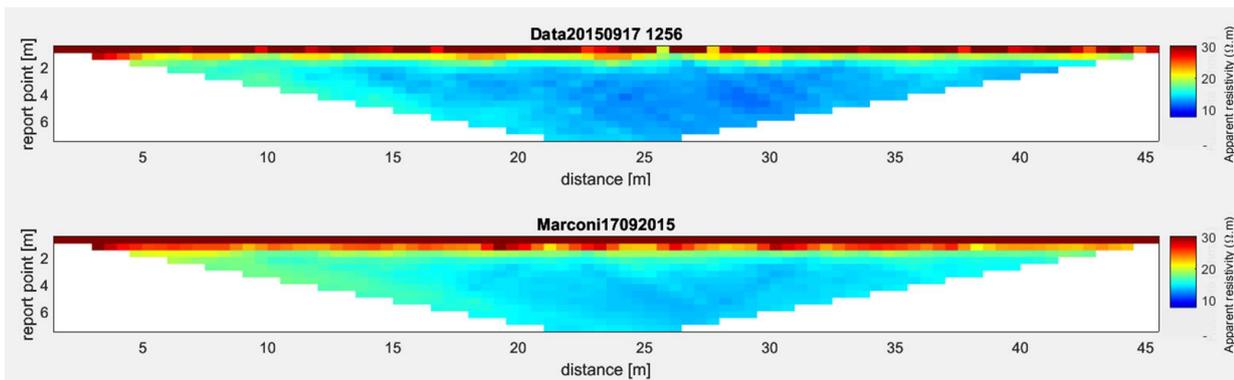


Figure 8: Apparent resistivity maps generated by the prototype (top) and the commercial system (bottom). The label “report point” of the vertical axis indicates the pseudo-depth of the measured resistivity and is proportional to the electrode distance.

throughout autumn and winter, when the canal is practically empty. We interpreted the gradual increase in resistivity in the dyke, as well as below it, as the result of an ongoing drying process of the sand and clay mix that constitutes the local soil and that was also used to build the embankment. Emptying the channel network is usually a speedy process triggered by the managing authority during the first intense rainfall event at the end of the irrigation season to prevent any risk of flooding. The datasets confirm the slow drying process of the levee that starts immediately after the channel was drained and continues for several months. Figure 9 compares the apparent resistivity maps recorded in September, with channel half full but after five months of intense irrigation activity, and in November, after approximately two months the channel was voided. Figure 9c is the difference between the November and the September maps and depicts a general in-

crease in resistivity with the exception of the very shallow subsurface. The increase is more evident, up to 5 Ωm, within the levee structure, i.e. down to 3 m pseudo-depth, but a minor increase, up to 2 Ωm, is also observed underneath as a result of a probable slow drying of the sandy clay underneath the levee. According to historical time series of piezometers installed nearby test site B, the water table is normally 5-6 m below ground level so that the water content in the soil beneath and nearby the levee is mainly controlled by rainfalls and by the water level in the canal. Thus, a good correlation between resistivity values at 4-6 m pseudo-depth below the levee top surface and water level in the canal is not surprising. As already observed in the preliminary time-lapse measurements in site A (Figure 2), monitoring data confirm that the presence of the water mass in the canal does not directly affect the apparent resistivity maps. Abrupt water level decrease in the canal

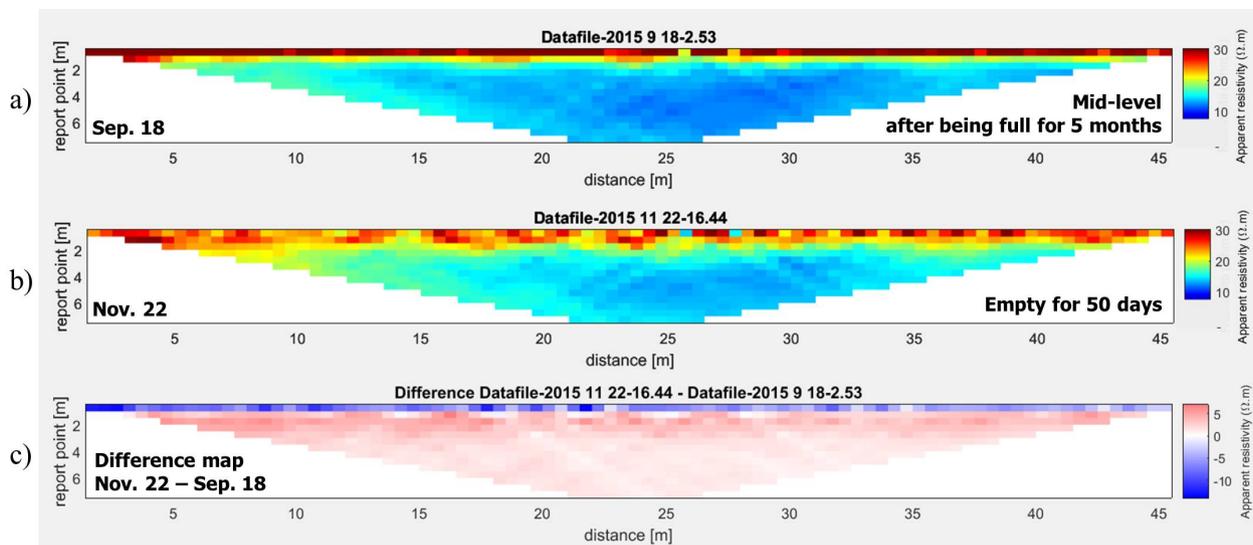


Figure 9: Comparison between apparent resistivity maps: (a) 18/09/15; (b) 22/11/15; (c) November 22 – September 18 difference map. The label “report point” of the vertical axis indicates the pseudo-depth of the measured resistivity and is proportional to the electrode distance.

causes a delayed and smooth increase in apparent resistivity values (due to the levee getting dryer) rather than a sharp increase due to the absence of the water mass in the canal. The resistivity decrease, down to about $14 \Omega\text{m}$, for the shallower layer down to 1 m pseudo-depth, which is opposing to the usual increasing trend observed in Figure 9, is due to rainfalls (the weather station, recorded 20mm of rainfalls during November 21 and 22). This example demonstrates that rainfalls influence resistivity measurements down to about 1m depth, but they do not affect the saturation of the deeper layers of the levee where the drying process seems to be ongoing during autumn and winter. Of course, this preliminary conclusion needs to be corroborated by detailed analysis of other rainfall events, considering both frequency and magnitude.

To study the possible influence of temperature on the measured apparent resistivity values, we tried to analyse collected datasets in which the temperature effect could be isolated from resistivity variations induced by rainfalls and water level in the canal. Figure 10a and Figure 10b show resistivity measurements performed at the same time of the day on November 10 and 15, respectively. In between the two surveys neither rainfalls occurred nor water level in the channel changed, nonetheless a significant temperature drop from 17°C to 10°C was recorded. As expected from theory [42, 45], Figure 10c confirms that the higher the air temperature, the lower the soil resistivity, at least when the soil is not completely dry. However, according to the monitoring data, the resistivity difference below the shallower layer is negligible so that our preliminary conclusion is that temperature affects just the first upper me-

ter while resistivity values measured at greater depths do not need to be corrected for temperature variations in order to be able to interpret with confidence the resistivity maps in terms of drying-saturation cycles occurring within the main body of the levee structure.

4 Conclusions

Preliminary time-lapse tests proved that ERT can be successfully applied for monitoring seepage phenomena occurring in earth structures such as levees or embankments and that this technique could be used as an early warning system along critical or strategic sections of channel networks.

A new prototype of resistivity meter was developed with specifications tailored to make the system cost-effective and suitable for a permanent installation with a power supply system based on solar panels and with wireless remote control of data acquisition and transmission.

The prototype was installed in a test site affected by seepage and close to a group of houses, this increasing the consequences of a potential failure, and a commercial resistivity meter has been used to validate the newly-designed monitoring system.

The preliminary analysis of the collected datasets shows that the resistivity maps seem to follow a very reasonable trend related with the saturation/drying cycle of the levee structure and of the basement of the levee caused by the seasonal variations of the water level in the irrigation channel. The response of the resistivity maps to sharp

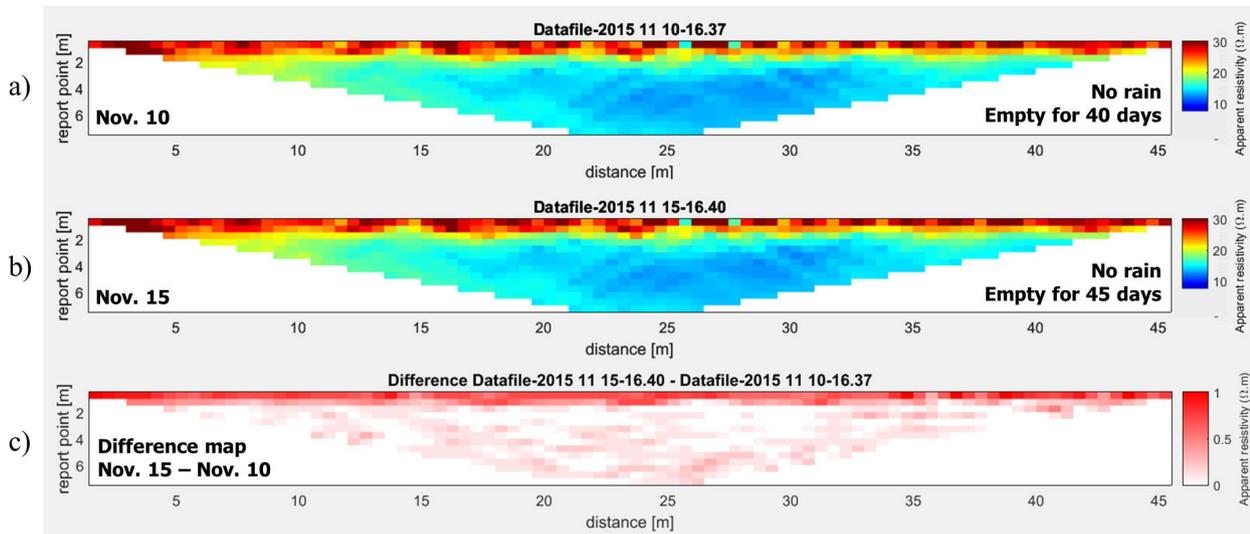


Figure 10: Comparison between apparent resistivity maps recorded before and after a 7 °C temperature drop: (a) 10/11/15; (b) 15/11/15; (c) November 15 – November 10 difference map. The label “report point” of the vertical axis indicates the pseudo-depth of the measured resistivity and is proportional to the electrode distance.

water level variations is delayed and smooth. The water mass in the canal is not directly affecting the measured resistivity values, i.e., no 3D lateral effects have been observed. Rainfalls and, to a lesser extent, temperature have some influence on the collected data but the effects are apparently negligible below 1 m depth.

The system is currently operating and results are continuously monitored. New data will be used to validate or to update our preliminary interpretations and conclusions.

Since the results are encouraging and consistent with the expectations, the research is still in progress and new calibration experiments are planned in order to transform the inverted resistivity maps into quantitative estimates of the soil water content. Our ultimate goal is to use these data as input for models that can predict levee structural stress conditions and instabilities.

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