

1 PROCEDURE TO ASSESS THE ROLE OF RAILWAY PANTOGRAPH COMPONENTS
2 IN GENERATING THE AERODYNAMIC UPLIFT

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11 **Procedure to assess the role of railway pantograph components in generating the aerodynamic uplift**

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17 **ABSTRACT**

18 Aerodynamic forces play a big role in determining the value of the mean force acting between the collectors of a
19 railway pantograph and the contact wire, especially for speed higher than 200 km/h. The contact force has to be
20 properly calibrated in order to have a good quality collection of power and low wear of contact strips and contact wire.
21 This paper analyses the pantograph features that mainly affect its aerodynamic behaviour, and their influence on the
22 mean value of the contact force. Wind tunnel experimental tests on a full-scale pantograph and Computational Fluid
23 Dynamic (CFD) simulations in a wind tunnel scenario are carried out for different pantograph configurations, and the
24 contribution of each different part of the pantograph to the mean contact force is investigated. To this end, the feasibility
25 of using the RANS model and steady state simulations is evaluated.

26 *Keywords:* railway pantograph; wind tunnel tests; computational fluid dynamics; aerodynamic uplift.

27
28 **1. INTRODUCTION**

29
30 In pantograph-catenary operation, the contact force between the carbon strips and the contact wire
31 significantly affects the quality of current collection, as the electrical resistance being inversely
32 proportional to the contact force value. The choice of the mean value of the contact force is a
33 compromise between two different needs: on the one hand, low force values are responsible for
34 arcing, disruption of power collection, and electrical-related wear. On the other hand, high contact
35 force values are responsible for mechanical wear on strips and increased excitation of the overhead

36 contact line, leading to high dynamic oscillation of the force itself and to important stresses on the
37 interacting systems.

38 International standards for the assessment of the behaviour of the pantograph-catenary system (e.g.
39 TSI, the EU's Technical Specifications for Interoperability) prescribe limits to the mean value and
40 the standard deviation of the contact force, the latter being strongly dependent on the dynamic
41 interaction of the pantograph-catenary system. Contact force variability should be mitigated as
42 much as possible, in order to avoid low and high contact force peaks. Great efforts have been made
43 in the last decades to optimise the mechanical interaction between pantograph and catenary, by
44 means of modifications to the infrastructure, the optimisation of the pantograph dynamic response,
45 and the fine-tuning of operational parameters. Numerical simulations of the dynamic interaction
46 between pantograph and catenary were instrumental for these goals [1]. Simulations are nowadays
47 based on models and features that are shared and agreed-upon within the scientific and technical
48 international communities [2]. This is so well established today that researchers and international
49 studies have moved their focus to the issue of virtual homologation, with the aim of assessing the
50 dynamic interaction of the pantograph-catenary system by means of numerical simulations and
51 laboratory experiments, such as Hardware-In-the-Loop tests (HIL) [3].

52 Within this framework, pantograph and overhead line aerodynamics are other important factors
53 responsible for affecting the contact force, both in terms of mean value and dynamic variation [4].
54 This issue is as relevant as the dynamic interaction between pantograph and catenary, even if only
55 more recently investigated in the literature, concurrently with the spread of high-speed railway
56 networks.

57 Stationary forces acting on pantograph components are able to change the mean value of the contact
58 force, adding their contribution to the uplift force exerted by the pantograph raising mechanism at
59 the bottom of the articulated frame (normally an air spring). This effect, indicated in the following
60 as *aerodynamic uplift*, is dependent on train speed, pantograph working height [5] and orientation
61 (modern pantographs have an asymmetrical geometry generating different aerodynamic uplifts in

62 the two orientations **in which** they can operate). Moreover, **the** aerodynamic uplift varies when the
63 pantograph enters a tunnel, due to the increase of the velocity of the relative flow. The influence of
64 aerodynamic forces on the mean contact force can be so high, especially in the case of high-speed
65 trains, that it is commonly compensated in order to guarantee operational stability. Attempts to
66 balance the aerodynamic uplift by means of aerodynamic spoilers were proposed, but this was not
67 trivial **considering that it is** very difficult to optimise the spoilers for both pantograph orientations.
68 Therefore, in **recent** years, the regulation of air-spring pressure as a function of train speed and
69 pantograph orientation **has been** proposed as a means to compensate **for the** aerodynamic uplift and
70 to guarantee the best performances in both running directions and at all speeds [6].

71 Aerodynamic non-stationary phenomena also influence the performance of a railway pantograph,
72 and can be divided into two groups, related to the turbulence of the incoming flow and to vortex
73 shedding. The presence of recesses, coach separation, electrical insulators, switches and other
74 components installed on the train roof generates a turbulence wake, whose frequency spectrum is
75 likely to excite the pantograph structure also within the frequency range set by international
76 standards for the evaluation of the quality of current collection (0-20 Hz in Europe) [7]. Vortex
77 shedding is generated by collectors of the pantograph head **that**, due to their rectangular section, can
78 be regarded as bluff bodies [8], [9]. The excitation of these very high frequencies can also affect the
79 quality of current collection, as demonstrated in [10].

80 This paper proposes a methodology to evaluate the effect that **the** average drag and lift forces acting
81 on each pantograph part have on the total aerodynamic uplift, not dealing with non-stationary
82 effects.

83 Aerodynamic uplift needs to be taken into account in pantograph design, in order to minimise its
84 value and its variability in the two orientations **in which** the pantograph can operate. In this context,
85 the possibility of distinguishing the contribution of each part and the influence of different design
86 solutions to the total aerodynamic uplift is very important. In current design, railway pantographs
87 are based on a one-degree-of-freedom mechanism, named *articulated frame*, which is essentially a

88 four-bar-linkage. Drag and lift forces acting on pantograph parts tend to open or close the
89 mechanism, and have an influence on the total aerodynamic uplift, depending on the Jacobian terms
90 defining the virtual work that each force is able to produce. The effect of each force to the total
91 aerodynamic uplift can be therefore evaluated through the application of the virtual work principle.
92 In this work, CFD simulations are validated by means of the comparison with wind tunnel tests, and
93 exploited to evaluate drag and lift forces on pantograph components, to be used as an input for the
94 application of the virtual work principle. The experimental evaluation of these aerodynamic forces
95 is indeed not feasible in operating conditions on a full-scale train, due to the high number of sensors
96 needed, and not advisable in a wind tunnel, due to the several days of testing required when aiming
97 to evaluate different design solutions. CFD simulations, therefore, become a powerful instrument,
98 allowing the identification of the role played by each pantograph component in generating the
99 aerodynamic uplift, and the evaluation of the aerodynamic uplift force corresponding to different
100 pantograph configurations.

101 The numerical simulation of pantograph aerodynamics has not yet come to maturity, despite the
102 considerable research that has been developed in past years. Experimental on-track tests are still the
103 main instrument not only for the evaluation of pantograph aerodynamic performance during the
104 homologation process, but also for the fine-tuning of the best design solutions. CFD simulations
105 have been performed in literature mainly focusing on the pantograph head (pan-head) and collectors
106 model, in order to study drag and lift forces [7] and acoustic emission [11], [12], [13], [14]. With
107 regard to the possibility of estimating aerodynamic forces on the entire pantograph, some authors
108 have developed CFD models of a full-scale pantograph in a domain representing only the part of the
109 carbody roof close to the pantograph [15], or CFD models of a pantograph installed on a full-scale
110 train [16]. In [5], the authors underline the variability of the aerodynamic uplift force at different
111 heights for both pantograph orientations, but no experimental results are presented. In [17], a full-
112 scale pantograph is tested in a wind tunnel and the experimental results are compared with those of
113 CFD models. In all the mentioned works, however, a complete validation of the CFD model against

114 experimental results **is not available**, so that the capability of CFD to reproduce the aerodynamic
115 uplift in an accurate quantitative way has not yet been **completely** demonstrated [18], [5], [17]. In
116 this paper, the feasibility of using **the** RANS model is evaluated in order to seek the best trade-off
117 between the achievable results and the computational effort, **and to formulate** a proposal **that is** also
118 suitable for industrial applications.

119 The paper is organised as follows: in section two, experimental wind tunnel tests on a full-scale
120 pantograph are described, and the results of different pantograph configurations are shown. In
121 section three, the CFD modelling is outlined, together with the main modelling features and the
122 results of mesh optimisation. In section four, the model is validated against aerodynamic global
123 forces for all the pantograph configurations tested in **the** wind tunnel (section 4.1), and **thereafter**,
124 the procedure for the evaluation of the aerodynamic uplift force based on **the** virtual work principle
125 and CFD results is described and adopted for all the pantograph configurations tested (section 4.2).
126 Finally, in section five, the analysis focuses on the role played by each pantograph component in
127 generating the aerodynamic uplift.

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129 **2. WIND TUNNEL CHARACTERISATION**

130 Wind tunnel tests are a useful tool for a first assessment of the aerodynamic properties of a
131 pantograph. **Indeed**, they highlight possible criticalities and **enable the attainment of** indications **on**
132 the countermeasures needed to achieve the target contact force at every speed with a newly
133 developed pantograph, before aerodynamic on-track tests are carried out. Their drawback consists
134 in the need **to reproduce** the actual boundary layer of the train roof in order to obtain aerodynamic
135 forces comparable, also quantitatively, to those encountered in operation on a full-scale train. In
136 [19], the authors propose a feasible way to reproduce the full-train boundary layer in **a** wind tunnel.
137 **However**, the proposed method still **needs** experimental on-track tests in order to tune and validate
138 the shape of the obstacles generating the boundary layer. As an alternative, CFD simulations can be
139 used to extend wind tunnel results to the real operating scenario [20]. To this end, it is extremely

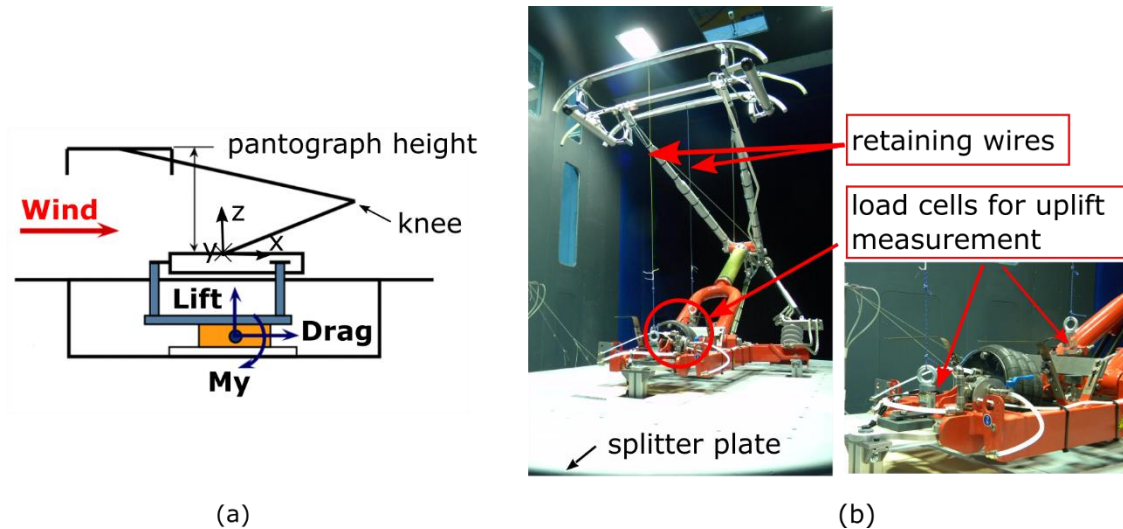
140 important to correctly reproduce the forces acting on the single elements. Wind tunnel results are
 141 used **therefore, as in** this work, for a preliminary investigation of the aerodynamic properties of the
 142 high-speed pantograph under analysis, and as a reference to tune and validate the CFD model. **The**
 143 **CFD model validated by wind tunnel experiments can then be extended to simulate the full-train**
 144 **scenario, in which the boundary layer of the train roof alters the average aerodynamic forces acting**
 145 **on the lower parts of the pantograph, mainly due to the reduced velocity of the incoming flow.**
 146 The **wind tunnel** tests were performed at **the** Politecnico di Milano in the high speed, low turbulence
 147 test section, whose main characteristics are reported in Table 1. The test section can be used for
 148 pantograph applications **in** either open or closed configuration.

High speed, low turbulence test section		
Section area (w×h)	[m x m]	4x4
Maximum power	[MW]	1.5
Maximum speed	[m/s]	55
Turbulence Intensity I_u	[%]	0.2

149 Table 1: Main characteristics of the high speed, low turbulence chamber adopted.

150 For the pantograph **used** as a reference in this work, the tests were performed in the closed test
 151 section, the blockage ratio being around 3%. **The pantograph adopted is a modern asymmetrical**
 152 **pantograph, with the lower articulated frame composed of a single cylindrical arm ending with a**
 153 **fork, and the upper part of the articulated frame consisting in a double arm trapezoid. Two**
 154 **independent collectors mounted on cylindrical supports form the pan-head, having pitch degree of**
 155 **freedom with respect to the articulated frame upper bar.**

156 The pantograph was installed in the test chamber on an aluminium structure linked to the ground
 157 through a six-component strain gage balance (RUAG 192-6I) placed right below the centre of mass
 158 (Figure 1a), so that all the forces and moments exchanged between the pantograph and the ground
 159 could be measured. The aluminium supporting structure and the measuring balance were enclosed
 160 below a splitter plate (Figure 1b), so as to cut off the boundary layer developing on the floor and
 161 **place** the pantograph in a uniform flow.



162 (a) 163 Figure 1: Pantograph test rig in the wind tunnel. (a) Scheme of the strain-gage balance and
 164 supporting structure. According to the wind arrow, the scheme represents the knee-downstream
 165 configuration. (b) Test section layout, with the pantograph held by retaining wires.

166 In pantograph operation, the mean contact force F_m exchanged between pantograph and contact
 167 wire is given by the sum of the preload F_{preload} exerted by the pantograph raising mechanism
 168 (usually an air-spring), and the aerodynamic uplift F_{uplift} . ($F_m = F_{\text{preload}} + F_{\text{uplift}}$). The contribution of
 169 aerodynamic forces to the mean contact force (aerodynamic uplift) was measured by connecting
 170 each pantograph collector, through a retaining wire, to a single axis load cell (DACELL UU-K100)
 171 placed at the base of the pantograph fixed frame (Figure 1b). The two cells measure two internal
 172 forces, whose sum corresponds to the mean contact force F_m which would be exchanged between
 173 the collectors and the contact wire. The aerodynamic uplift was computed for each wind speed as
 174 the difference between the total force measured during the tests, due to both the air spring force and
 175 the aerodynamic uplift, and the force measured in still air, representing only the air spring uplift
 176 contribution.

177 The aim of the experimental campaign was a preliminary evaluation of the aerodynamic quantities
 178 (i.e. aerodynamic uplift, global drag, lift and moment, aerodynamic coefficients, contact force
 179 unbalance of the collectors) varying the wind speed, pantograph orientation and working height,
 180 deflection of pan-head suspensions. Table 2 summarises all the configurations analysed in the
 181 paper, which are described in detail in the following (see also Figure 1, Figure 2 and Figure 4b).

Configuration	Pantograph orientation <i>(see Figure 1a for definition)</i>	Pantograph height <i>(see Figure 1a for definition)</i>	Wind velocity
Standard pantograph	Knee-upstream and knee-downstream	1.35 m and 1.58 m	33, 38, 44, 50 and 55 m/s.
Pantograph with instrumented pan-head <i>(see Figure 2)</i>	Knee-upstream and knee-downstream	1.35 m	33, 38, 44, 50, and 55 m/s.
Pantograph with shielded articulated frame <i>(see Figure 4b)</i>	Knee-upstream	1.35 m	33, 38, 44 and 50 m/s.

Table 2: Different pantograph configurations analysed.

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183 All the tests were repeated with different static deflections of the pan-head suspension, obtained
 184 with different static preload exerted by the air spring. The results showed that this aspect has no
 185 relevant impact on global aerodynamic forces, **only affecting the distribution of the mean contact**
 186 **forces between the front and rear collector (contact force unbalance [20])**. This aspect is not dealt
 187 with in this work.

188 After testing the standard pantograph, the pantograph head was instrumented with optical load cells,
 189 aimed at measuring the force acting through the pan-head suspension, and two optical
 190 accelerometers placed on each collector (Figure 2). This set-up, according to EN50317, is used
 191 during on-track tests to measure the contact force acting between the collectors and the contact
 192 wire. Wind tunnel tests were therefore aimed at identifying the effect of the measurement system on
 193 the aerodynamic performances of the pantograph. Figure 2a shows the lay-out of load cells and
 194 accelerometers in correspondence of each pan-head suspension, Figure 2b a detail of the standard
 195 design of the link between pan-head suspension and collector strip, and Figure 2c a detail of the
 196 configuration with load cell and accelerometer. The total height of 0.2 m, indicated in the figure and
 197 assumed as the characteristic height of the pan-head, was kept the same for both the standard and
 198 the instrumented configuration, thanks to the design of the measuring system.

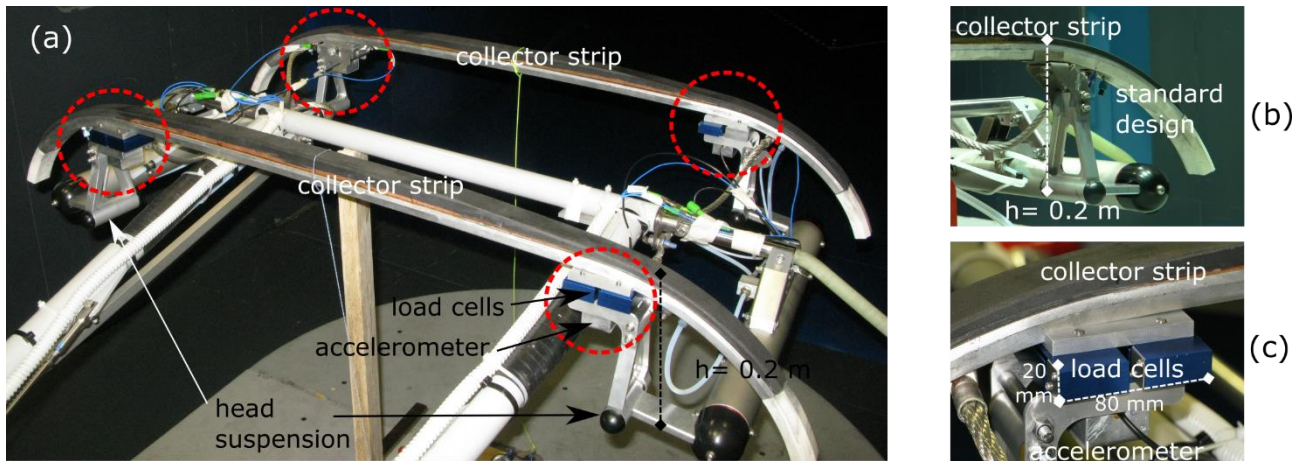


Figure 2: Optical load cells and accelerometers installed on the pantograph for the on-track measurement of contact force (according to EN 50317). (a) Lay-out of pan-head instrumentation. (b) Detail of standard design with no instrumentation. (c) Detail of load cells and accelerometer.

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The most relevant issue regarding the analysis of the aerodynamic uplift is the pantograph behaviour corresponding to its two possible orientations, either with the knee upstream or with the knee downstream (see Figure 1a for definition of orientations). Both the orientations were tested at the speeds of 33 m/s, 38 m/s, 44 m/s, 50 m/s and 55 m/s, at two working heights compatible with the actual operating range (i.e. 1.35 m and 1.58 m, measured from the top of the fixed frame to the top of the collectors, as in Figure 1a). The experimental Reynolds number, based on the height of the pan-head ($h = 200$ mm), ranged from 4.45×10^5 to 7.41×10^5 (see Figure 2 for the definition of the characteristic length h).

The measuring time of the aerodynamic forces was 40 s, with a sampling frequency of 500 Hz. The data were then averaged to obtain the steady behaviour. Figure 3 reports the total aerodynamic uplift for the standard pantograph with a non-instrumented pan-head, height 1.35 m, both for the orientations with the knee upstream (triangles) and with the knee downstream (squares). **As already discussed**, the aerodynamic uplift results were obtained by the forces measured in the retaining wires. The corresponding non-dimensional aerodynamic uplift coefficients c_F were evaluated by interpolating the experimental data with a second order polynomial, and adopting the collector width ($l = 1.3$ m) and pan-head height ($h = 0.2$ m, see Figure 2) to compute the characteristic area

$A = l \times h$ ($\rho = 1.22$ kg/m³):

$$c_F = \frac{F_{up}}{\frac{1}{2}\rho V^2 A} \quad (1)$$

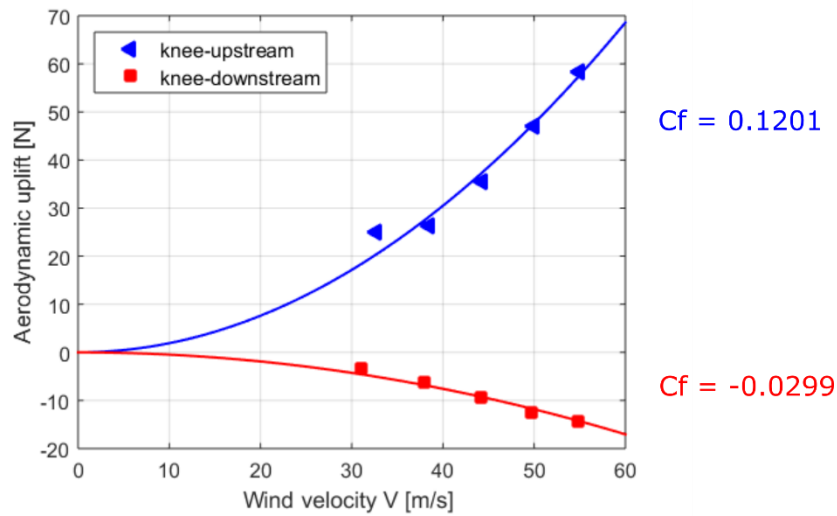


Figure 3: Total aerodynamic uplift. Pantograph working height 1.35 m.

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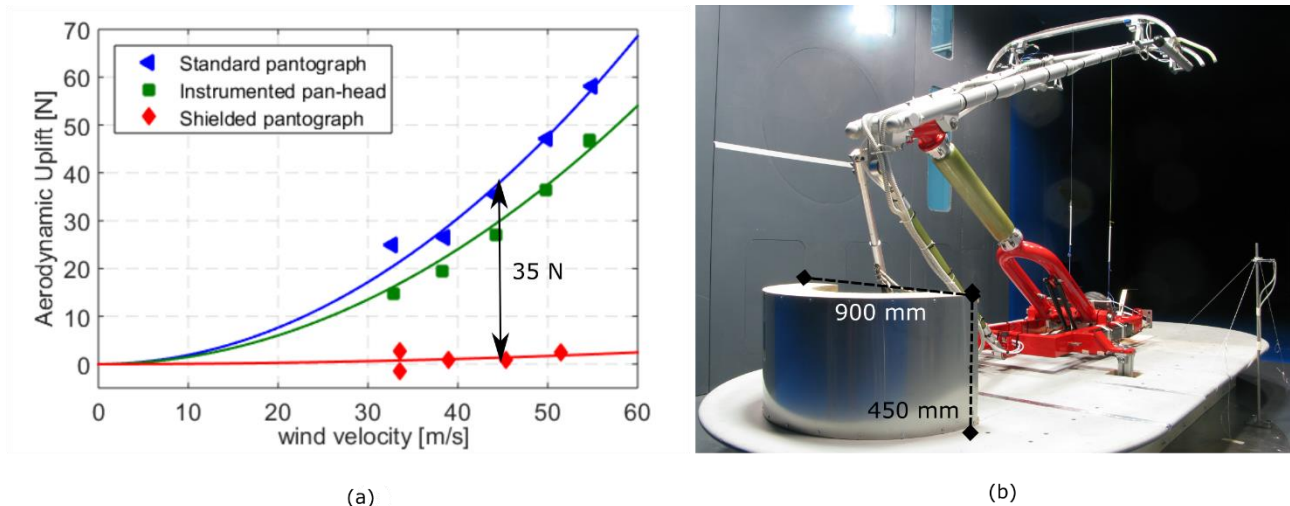
A positive aerodynamic uplift, which would increase the mean contact force, is observed in the case with the knee upstream, and a negative uplift with the knee downstream. Aerodynamic uplifts are not symmetrical, with the positive values higher than the corresponding negative values (e.g. 58 N vs. -15 N for the speed of 55 m/s). Moreover, in the case of knee-upstream (triangles) the forces are very relevant: the experimental tests, carried out up to 55 m/s, show a trend that leads to the prediction of an aerodynamic uplift equal to 130 N at the speed of 83 m/s (300 km/h). This would **certainly** be critical for the considered pantograph, since **the** aerodynamic force on its own would be close to the maximum mean contact force prescribed by specifications (e.g. 157 N at 300 km/h according to the Technical Specifications for Interoperability, TSI, 25 kV). This aspect confirms that, when installing the pantograph on a train car-body roof, the need to use shields or shrouds should be considered.

The above results are strongly related to pantograph geometry and kinematics, and can sensibly vary when different pantographs are considered. As an example, the pantograph analysed during the studies reported in [7] shows an opposite trend in a wind tunnel, with a negative aerodynamic uplift in the case of the knee-upstream configuration and a positive aerodynamic uplift in the knee-

237 **downstream** case. The pantograph analysed in [17] shows a positive aerodynamic uplift for both
 238 orientations.

239 Furthermore, the non-dimensional uplift coefficients are constant within the speed range tested in
 240 **the** wind tunnel (Figure 3). This result cannot be taken for granted *a priori*, since some of the
 241 pantograph components could be sources of speed-dependent aerodynamic behaviour: some
 242 literature results [19] have shown pantograph lift coefficients depending on **the** Reynolds number.

243 Figure 4a compares the aerodynamic uplift result of the knee-upstream configuration of the
 244 standard pantograph (already shown in Figure 3) with the results obtained for the pantograph with
 245 **an** instrumented pan-head, and for the pantograph with **an** instrumented pan-head and a shield at the
 246 bottom of the articulated frame. The shield (see **the** test configuration represented in Figure 4b) was
 247 designed to understand how the presence of the recess area in the car-body roof of the full-scale
 248 train could mitigate the significant aerodynamic uplift result highlighted in the knee-upstream
 249 configuration.



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(a)

(b)

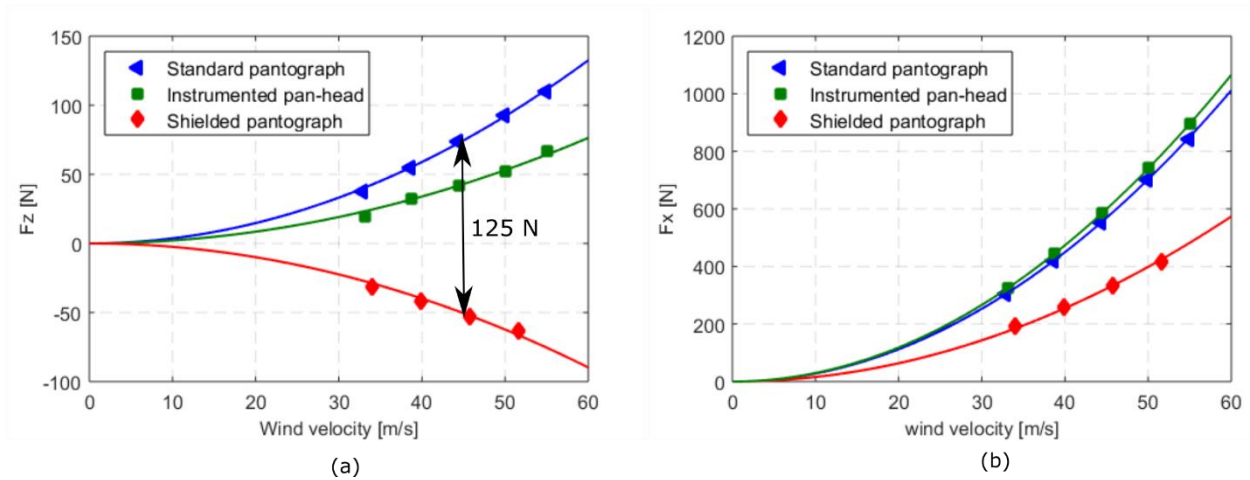
251 Figure 4: (a) Total aerodynamic uplift, knee-upstream configuration, working height 1.35 m.
 252 Comparison between standard pantograph with **non**-instrumented pan-head (triangles), pantograph
 253 with instrumented pan-head (squares), shielded pantograph with instrumented pan-head (diamonds).
 254 (b) Picture of the shielded pantograph.

255 With respect to the standard pantograph (triangles), a slight reduction of the aerodynamic uplift is
 256 obtained in the case **of** the instrumented pan-head (squares), whereas a sensible decrease can be
 257 observed for the shielded pantograph (diamonds), which shows an almost neutral behaviour. It is

258 worth **noting** that the global measure of the aerodynamic uplift carried out in the wind tunnel does
259 not **enable the discernment of** the contribution of the single pantograph components to the global
260 aerodynamic uplift. Nevertheless, the strong variation of the results obtained by shielding the lower
261 part of the articulated frame suggests that the lower arm has a very relevant role in determining the
262 aerodynamic uplift.

263 Consistent indications are given by the total vertical force F_z measured at the strain-gauge balance
264 connecting the pantograph to the ground. The results are reported in Figure 5a: the introduction of
265 pan-head instrumentation (squares) slightly reduces the vertical force, whereas in the case of the
266 shielded pantograph (diamonds) the vertical force dramatically changes **and becomes** negative. The
267 aerodynamic lift exerted on a skew cylinder is positive when the cylinder is **sloping downwards** in
268 the wind flow direction, **yet** negative when it is **sloping upwards**. Therefore, by shielding the lower
269 arm of the articulated frame in the knee-upstream configuration as in Figure 4b, the positive lift
270 force exerted on that component is partially cancelled and, as far as the articulated frame is
271 concerned, the dominant lift contribution remains the negative one due to the upper arms. It is worth
272 **noting** that while the presence of the shield generates a strong reduction of the global force F_z (-125
273 N at 45 m/s between the standard and the shielded pantograph), the aerodynamic uplift remains
274 slightly positive, with a reduction of - 35 N at 45 m/s between the standard and the shielded
275 pantograph (Figure 4a, diamond markers). In fact, as discussed **hereunder**, there is no one-by-one
276 correspondence between **the** lift forces on pantograph components and the aerodynamic uplift.

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279 Figure 5: Pantograph height 1.35 m, knee-upstream orientation. Forces measured by the strain
 280 gauge balance. (a) Global lift forces (b) Global drag forces.

281 Figure 5b shows the drag forces measured by the strain gauge balance. Only the knee-upstream
 282 results are reported, the drag forces being essentially equal in the two orientations (drag and lift
 283 forces for the knee-downstream orientation will be shown [hereunder](#) when comparing experimental
 284 to CFD results). Once again, the values reported correspond to the standard pantograph (triangles),
 285 the pantograph with [an](#) instrumented pan-head (squares) and the shielded pantograph (diamonds).
 286 As expected, the increase of the frontal section due to the presence of load cells and accelerometers
 287 leads to a slight increase of the drag force (+6%). Besides, the shield at the bottom of [the](#) articulated
 288 frame results in a significant reduction (-40 %) of the drag force.

289 As for the remaining test configurations, the results obtained for the height of 1.58 m showed an
 290 increase in the drag force, due to the greater pantograph frontal area, and low variations of the lift
 291 force and aerodynamic uplift.

292

3. CFD MODELLING

293 The CFD simulation of a railway pantograph is a complex task, due to the multi-part geometry
 294 generating a complex flow around the pantograph, and fluid dynamic interaction between adjacent
 295 bodies. Most of [the](#) pantograph components are bluff bodies, with [a](#) rectangular section in the case
 296 of pan-head collectors [and](#) with [a](#) circular section in the case of the articulated frame's [arms](#). The

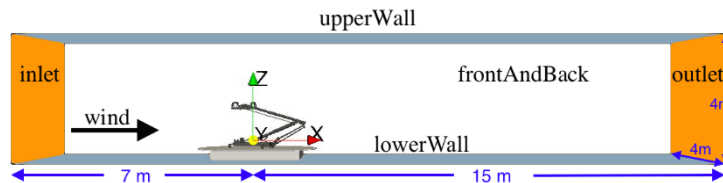
297 latter, being inclined cylinders, are very complex to model. For these reasons, the CFD simulation
298 of a railway pantograph requires accurate modelling and good mesh quality.

299 The purpose of the CFD model developed in this paper is the evaluation of the mean drag and lift
300 forces acting on each single part of the pantograph, to be used as input data for a procedure to assess
301 the contribution of each component to the total aerodynamic uplift. This procedure, based on the
302 application of the virtual work principle, is described in the following paragraph 4.2. Experimental
303 wind tunnel results allow the validation of the CFD model in terms of global forces and moments,
304 and the validated model can then be exploited to numerically identify how each pantograph
305 component affects the aerodynamic uplift, and to detect the differences between several pantograph
306 configurations.

307 The modelling choices are subject to contrasting needs, and have to be taken as a trade-off between
308 the achievable results and the cost of the simulations, intended as both hardware resources and
309 computational time. The need to model the forces acting on a complex geometry with several
310 components, bluff bodies and inclined cylinders would lead to approaches such as Detached-Eddy
311 simulation (DES) or Large Eddy Simulation (LES). However, DES simulations require a
312 computational time in the order of weeks [15], even with a High Performance Computing (HPC)
313 external system. They are not, therefore, the best option in a procedure intended to be suitable in
314 industrial contexts, such as pantograph design, planning of experimental tests, and the preliminary
315 assessment of the aerodynamic forces acting on the pantograph. LES simulations for the entire
316 pantograph are not even possible for the time being, and are only possible for a part of it [12]. On
317 the other hand, Reynolds-Averaged Navier-Stokes (RANS) solutions, although less accurate in
318 predicting the forces acting on the single parts [21], allow a sharp decrease in computational time,
319 and can therefore be a suitable solution for a first assessment of each pantograph component's
320 weight on the aerodynamic uplift, especially during the design process.

321 Steady-state RANS simulations were adopted with the aim of verifying the suitability of the RANS
322 approach for the purpose of this work, i.e. the evaluation of each pantograph component's weight to

323 the total aerodynamic uplift. Steady-state simulations are considered an adequate choice, since
 324 experimental global forces showed limited fluctuations. The maximum ratio between the standard
 325 deviation and the average force in experimental data is equal to 4% (less than 1.5% in most cases).
 326 Simulations were performed in a few hours (from 4 to 9 hours) on an HPC system (72 CPUs) and,
 327 therefore, the model could be effectively applied to the analysis of several variants and
 328 configurations of the same model, as required for pantograph orientations and working heights.
 329 Steady RANS simulations were carried out with the Open-Source code *Open Foam*, which is a code
 330 based on the Finite Volume Method. The turbulence model adopted is the $k-\omega$ SST, which presents
 331 a good behaviour in the presence of adverse pressure gradients and flux separation [22], largely
 332 occurring on various pantograph components such as cylinder and bluff bodies.
 333 Figure 6 represents the computational domain used for simulating the wind tunnel tests, while Table
 334 3 encompasses the boundary conditions adopted.



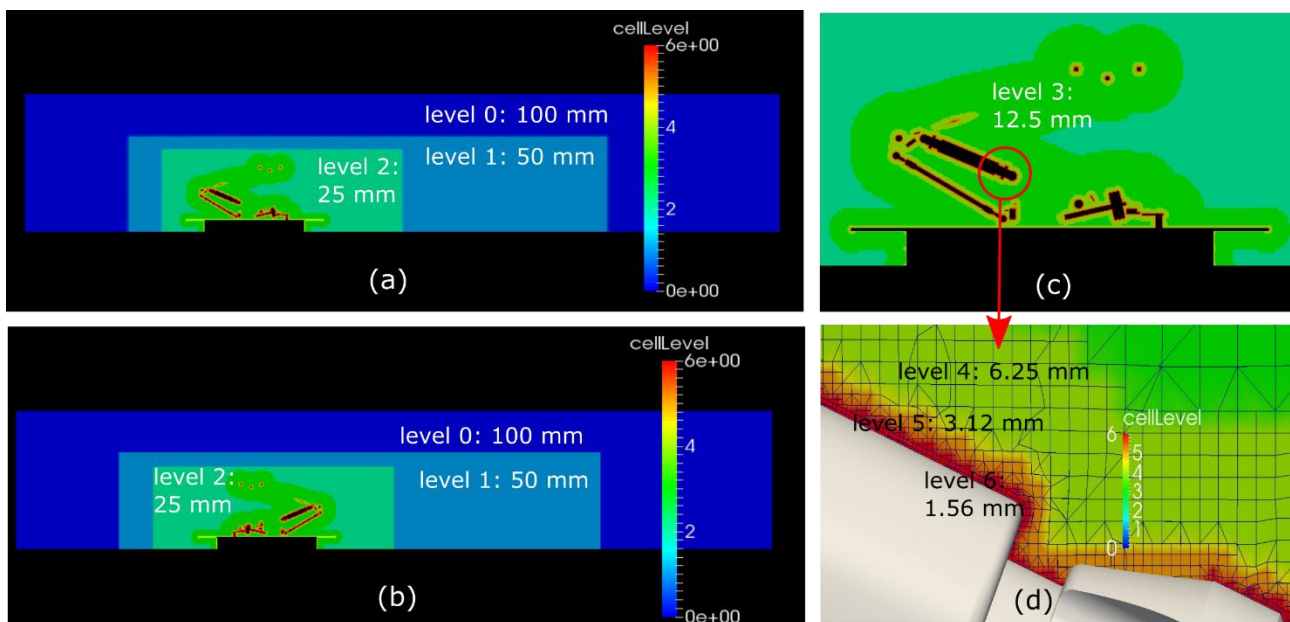
335 Figure 6: Computational domain for simulating the wind tunnel tests.

Boundary conditions		
Patch Name	p	U
Inlet	$\nabla p = 0$	U_∞
Outlet	0	$\nabla U = 0$
Lower wall	$\nabla p = 0$	0
Upper wall	Symmetry plane	
Front and Back	Symmetry plane	
Pantograph surfaces	$\nabla p = 0$	0
Splitter plate	$\nabla p = 0$	0

336 Table 3: Boundary conditions adopted for the CFD simulation (pressure p and velocity U)

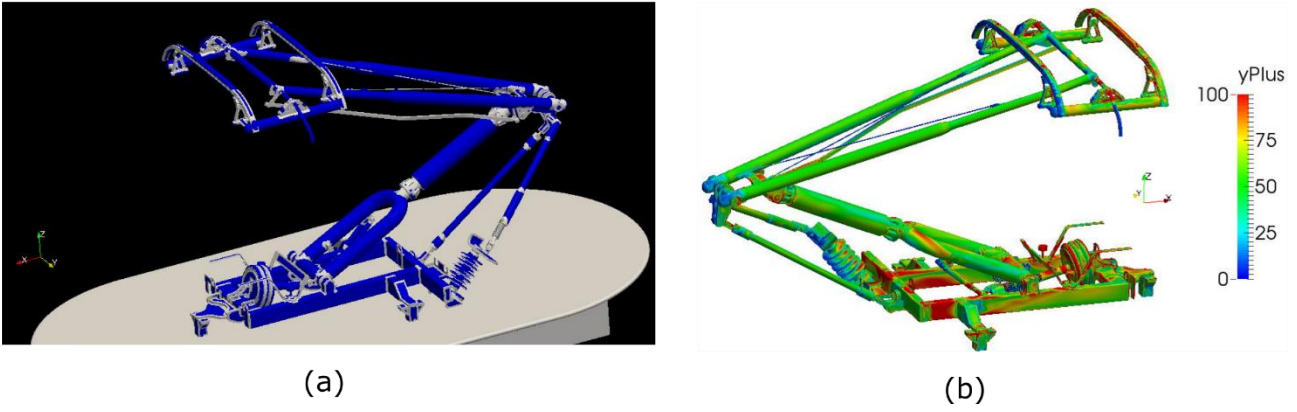
337 The mesh was built with the mesh generation utility *snappyHexMesh*, supplied with *OpenFOAM*,
 338 which creates 3-D unstructured grids starting from a perfectly structured grid (Hexahedra). The
 339 mesh refinement was carried out through subsequent mesh and simulation steps, so as to obtain the
 340 convergence of the solution with as dense a mesh as necessary. Grid independence on pantograph

341 forces was studied with different refining levels, corresponding to the total amount of 6, 10 and 13
 342 million cells. The most relevant result of this process was the ascertainment of the numerical
 343 results' significant sensitivity to the presence of cell layers close to pantograph surfaces, which need
 344 to have high regularity to get accurate results (see Figure 7d and Figure 8a). When the layers are not
 345 present, or their coverage on the pantograph is not uniform, the force and moment results are rather
 346 inaccurate and are unable to yield the trends occurring for different test configurations. The final 20
 347 million cell mesh was obtained as the result of the presence of one or two layers close to the walls
 348 of the pantograph components. No relevant differences were obtained by using a 30 million cell
 349 mesh, obtained by extending the zone with thick mesh in the proximity of the pantograph
 350 components. Figure 7 shows the mesh refinement levels of the entire domain, and the average
 351 dimension of the cells in each level (Figure 7a knee-upstream case, Figure 7b knee-downstream
 352 case). For both orientations, the pantograph's origin is set at 7 m from the inlet and at 15 m from the
 353 outlet, for a correct development of the wind wake behind the pantograph. Figure 7c shows an
 354 enlargement of the mesh refinements adopted in the proximity of the pantograph, Figure 7d is an
 355 example of mesh in the proximity of pantograph surfaces, with pantograph layers visible at level 6.



356
 357 Figure 7: Mesh refinement levels. (a) Entire domain, knee-upstream. (b) Entire domain, knee-
 358 downstream. (c) Cell levels in proximity of the pantograph. (d) Detail of pantograph lower arm.

359 Even if the $k-\omega$ SST model can also be used without wall functions within the fine mesh close to the
360 solid surfaces of the pantograph, the use of wall functions was preferred to contain the
361 computational effort and to benefit industrial applicability. In principle, the use of wall functions to
362 describe the speed profiles in proximity of the solid surfaces is not preferable when modelling
363 cylindrical geometries ([23], [24]) since it leads to an underestimation of the aerodynamic
364 coefficients. Nevertheless, for pantograph applications, the forces generated on the components
365 composing the articulated frame are significantly affected by the geometries connected to the
366 extremities of the skewed cylinders, (e.g. the revolute joints and the fork visible in Figure 4b), so
367 that the use of wall functions does introduce an acceptable error. The two approaches (use of wall
368 functions and $y^+\approx 50$ vs. direct solution of the boundary layer and $y^+\approx 1$) were initially compared by
369 simulating a single arm of the pantograph, and the differences in results were limited to 10-20%,
370 with lower force results obtained by using wall functions. The increase of cells needed to get $y^+\approx 1$
371 on a single pantograph arm was very significant, i.e. 11 million instead of 4 million when wall
372 functions are used. In the complete pantograph case, therefore, the boundary layer was not solved,
373 but modelled with wall functions. The average y^+ values obtained in correspondence of the main
374 pantograph surfaces varied between 48 and 78 (for the simulation at 40 m/s), in agreement with the
375 requirements of the wall functions used (*nutkWallFunction*). Higher y^+ values (average value 214)
376 were adopted for the splitter plate wall, where a coarser mesh can be used. Figure 8a gives an
377 overview of the percentage of the pantograph surfaces covered by cell layers in the final mesh,
378 while Figure 8b shows an example of y^+ values obtained. Details of the final mesh are given in
379 Table 4.



380

(a)

(b)

381

382

383

384

Figure 8: Details of pantograph mesh and simulation results. (a) Pantograph surfaces covered by layers. (b) Example of y^+ on pantograph surfaces, simulation 40 m/s, Knee-upstream. Height 1.35 m

Cell count	~20 million
Element types	Hexahedra (86%)
Minimum Refinement level	Level 0 Mean cell dimension 100 mm
Maximum Refinement level	Level 6 Mean cell dimension 1.56 mm
Surface Layers	1 or 2 layers on pantograph components
Mesh quality	Maximum aspect ratio 32.8
	Average non-orthogonality 9.7
	Maximum non orthogonality 74 (10 faces)
	39 faces with skewness > 4 (Max 9.6)

385

Table 4: Details of the mesh.

386

387

388

389

The *SimpleFoam* solver, which is based on the standard SIMPLE algorithm, was used. Table 5 reports the main modelling features. **Convergence was evaluated by considering both residuals and force coefficients. Two thousand iterations were performed for each simulation, and stable results for residuals were achieved after about five hundred iterations.**

Simulation approach	Steady RANS
Turbulence model	k- ω SST
Solver Algorithm	SIMPLE
Gradient Discretisation scheme	Gauss Linear
Divergence Discretisation scheme	U Gauss Linear Upwind
	k Gauss upwind
	ω Gauss upwind

390

391

Table 5: Main modelling features.

4. MODEL VALIDATION

392

4.1. CFD validation against strain-gauge balance forces and moments

393

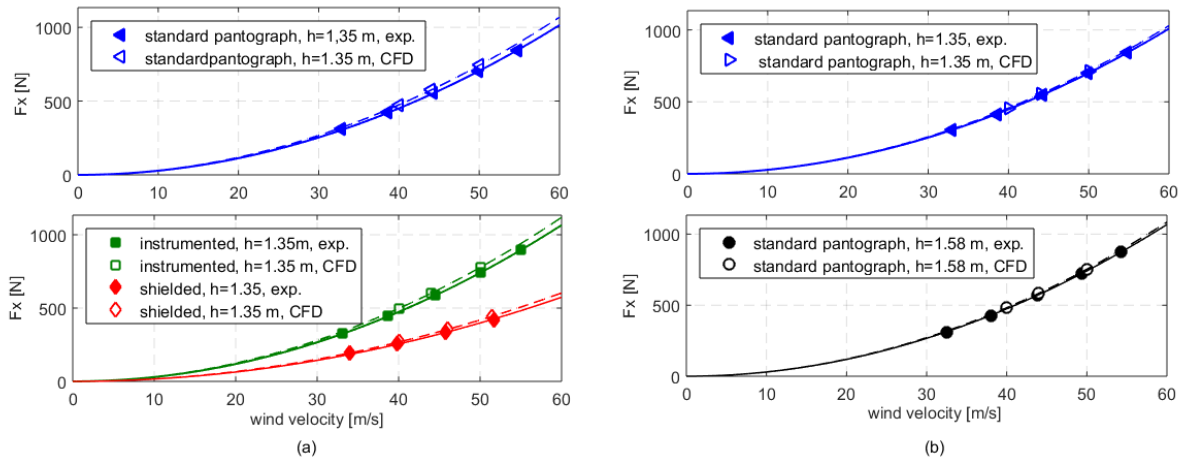
394 The global forces and moments measured by the strain-gauge balance, even if not directly affecting
395 the quality of current collection, are of relevant interest in the procedure proposed in this work,
396 since they can be used to validate the numerical results obtained by CFD analysis.

397 Figure 9, Figure 10 and Figure 11 report the comparisons between numerical and experimental
398 forces and moments, for both the knee-upstream and knee-downstream configurations and the
399 heights of 1.35 m and 1.58 m. With reference to the coordinate system of Figure 1a and Figure 6,
400 attention is focused on drag force (F_x), lift force (F_z), and pitch moment (M_y).

401 Figure 9 shows the drag force F_x for knee-upstream (a) and knee-downstream (b) orientations. For
402 the sake of clarity, the set of results **has not been wholly** reported. For the knee-upstream case, the
403 height of 1.35 m is considered **together with** the drag forces corresponding to the standard
404 pantograph (triangle), **the** pantograph with **an** instrumented pan-head (square), and **the** shielded
405 pantograph (diamond). The experimental results are represented with filled markers in the figures,
406 **and the** CFD results with empty markers. For the knee-downstream case (Figure 9b), the results
407 corresponding to the standard pantograph are compared for the height of 1.35 m and 1.58 m. Table
408 6 reports the evaluated drag coefficients, based on the interpolating second order curve for all the
409 configurations tested.

410 The numerical drag results are **sufficiently** accurate. They are able to catch the drag increase due to
411 the presence of pan-head instrumentation (Figure 9a **and Table 6**), the significant drag decrease due
412 to the shield effect (Figure 9a **and Table 6**), and the slight drag increase corresponding to the higher
413 height of 1.58 m (Figure 9b **and Table 6**), in which the pantograph frontal section exposed to the
414 flux is increased. The maximum errors between numerical and experimental drag results for the
415 knee-upstream orientation are 5.3% for the standard pantograph, 5.1% for the shielded pantograph,
416 and 4.9% for the instrumented pantograph. As for the knee-downstream orientation, the error for the
417 standard pantograph case is 1.9% for the height **of** 1.35 m, and 1.6% for the height **of** 1.58 m. **The**

418 error is equal to -2.9% for the instrumented pantograph, knee-downstream orientation, whose
 419 results are not reported in the figure.



420

421 Figure 9: Drag force F_x . Numerical and experimental results. (a) Knee-upstream. (b) Knee-
 422 downstream.

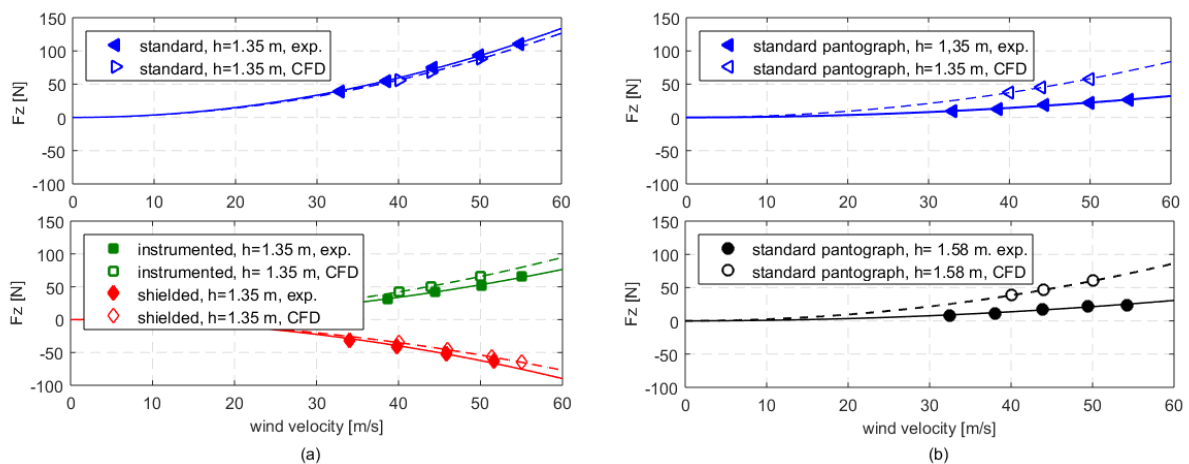
Drag coefficients $c_D = \frac{F_x}{\frac{1}{2}\rho V^2 A}$ $A = w \times l, w=0.2 \text{ m}, l=1.3 \text{ m}$						
Pantograph configuration	Knee-upstream			Knee-downstream		
	Experimental	CFD	Error [%]	Experimental	CFD	Error [%]
Standard, h 1.35 m	1.778	1.872	+5.3 %	1.769	1.803	+1.9 %
Standard, h 1.58 m	1.854	1.952	+5.3%	1.870	1.9	+1.6 %
Instrumented, h 1.35 m	1.866	1.959	+4.9 %	1.894	1.839	-2.9 %
Shielded, h 1.35 m	1.005	1.056	+5.1 %	-	-	-

423

Table 6: Comparison between experimental and CFD drag coefficients (C_D).

424 Figure 10 reports the total lift force F_z , measured and evaluated by CFD. The corresponding lift
 425 coefficients C_L are reported in Table 7. Also in this case, the knee-upstream simulation results
 426 (Figure 10a) can get the trends of experimental data corresponding to different pantograph
 427 configurations. CFD results correctly reproduce the lift force in the standard pantograph, knee-
 428 upstream case (error 5.3%), the decrease of lift force due to the presence of the pan-head
 429 instrumentation, and the drastic decrease due to the shield at the bottom of the articulated frame.
 430 Some discrepancies are obtained for the knee-downstream configuration. Figure 10b shows the
 431 results related to the different working heights for the standard pantograph, in which the numerical
 432 results over-estimate the experimental ones. The error between CFD and experimental lift

433 coefficients is 161% for the height of 1.35 m and 181% for the height of 1.58 m, corresponding
 434 respectively to 43 N and 46 N at the velocity of 55 m/s. The origin of this lack of accuracy in the
 435 knee-downstream orientation is likely to be a model shortcoming in representing the turbulent wake
 436 generated by the air spring at the base of the articulated frame, and its interaction with the lower
 437 parts of the pantograph in the knee-downstream case (see section 5). This hypothesis is
 438 corroborated by the analysis of lift forces on each pantograph component. The lift contributions of
 439 the pan-head and the upper arms of the articulated frame are consistent in the two orientations, with
 440 knee-upstream and knee-downstream orientation, as will be shown hereunder (see section 5, Figure
 441 14). On the contrary, the lift forces introduced by the lower arm of the articulated frame and by the
 442 fixed frame are significantly different. The origin of lift surplus in the knee-downstream simulation
 443 must therefore be identified in the simulation forces on these components, arising from the
 444 interaction with a turbulent wake. In this condition, CFD results are less accurate. However, it is
 445 worth remarking that the fixed frame contribution does not influence the aerodynamic uplift, and
 446 the incident flow on the lower parts of the pantograph in real operation is very limited due to the
 447 train-roof boundary layer, as shown in [20].



448
 449 Figure 10: Lift force F_z . Numerical and experimental results. (a) Knee-upstream. (b) Knee-
 450 downstream.

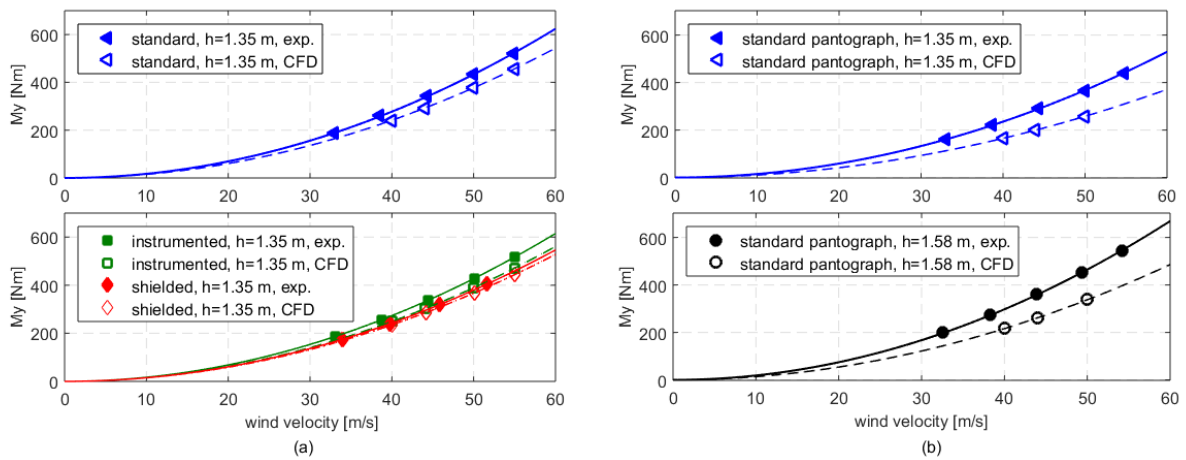
451

452

Lift coefficients $c_L = \frac{F_z}{\frac{1}{2}\rho V^2 A}$, $A = w \times l, w=0.2 \text{ m}, l=1.3 \text{ m}$						
Pantograph configuration	Knee-upstream			Knee-downstream		
	Experimental	CFD	Error [%]	Experimental	CFD	Error [%]
Standard, h 1.35 m	0.235	0.222	-5.4 %	0.056	0.147	43 N@55m/s 161 %
Standard, h 1.58 m	0.213	0.209	-2.1 %	0.054	0.151	46 N@55m/s 181 %
Instrumented, h 1.35 m	0.134	0.166	+ 24.0 %	0.096	0.097	1 %
Shielded, h 1.35 m	-0.157	-0.135	-14.2 %	-	-	-

453 Table 7: Comparison between experimental and CFD lift coefficients (C_L).

454 Finally, the pitch moment M_y is reported in Figure 11, and the corresponding aerodynamic
 455 moments coefficients C_{M_y} in Table 8. The numerical results **correlate** with the experimental ones,
 456 allowing a validation of the numerical model. With reference to Figure 11a (knee-upstream
 457 orientation), the error between experimental and CFD moment coefficients is equal to -13% for the
 458 standard pantograph, -8.8 % for the instrumented pantograph, and -3.4% for the shielded
 459 pantograph. **As in the case of the lift force**, the results related to the knee-downstream orientation
 460 are less accurate, both **for** the standard and **for** the instrumented pantograph, being the maximum
 461 error equal to -29.9% for the standard pantograph **and** -32% for the instrumented pantograph.
 462 Nevertheless, as shown in Table 8, also in this orientation the model is able to catch the trends
 463 corresponding to different heights and the presence of pan-head instrumentation.



464 Figure 11: Pitch moment M_y . Numerical and experimental results. (a) Knee-upstream. (b) Knee-
 465 downstream.
 466

My pitch moment coefficients $c_{My} = \frac{M_y}{\frac{1}{2}\rho V^2 A l}$, $A = w \times l$, $w=0.2 \text{ m}$, $l=1.3 \text{ m}$						
Pantograph configuration	Knee-upstream			Knee-downstream		
	Experimental	CFD	Error [%]	Experimental	CFD	Error [%]
Standard, h 1.35 m	0.841	0.732	-13%	0.713	0.500	-29.9%
Standard, h 1.58 m	1.000	0.881	-12%	0.902	0.655	-27.4%
Instrumented, h 1.35 m	0.829	0.756	-8.8%	0.773	0.526	-32%
Shielded, h 1.35 m	0.736	0.711	-3.4%	-	-	-

467 Table 8: Comparison between experimental and CFD pitch moment coefficients (C_{My}). The distance
468 l adopted is the collector width $l=1.3 \text{ m}$.

469 4.2. CFD validation against aerodynamic uplift force

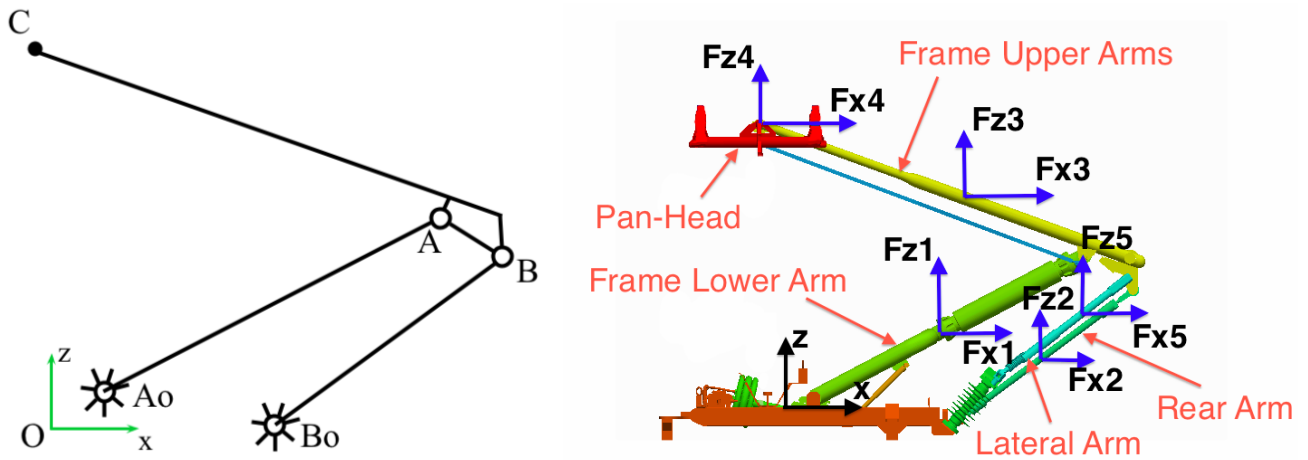
470 CFD simulations allow the calculation of the aerodynamic forces and moments acting on each
471 single component of the pantograph, but do not allow the direct computation of the resulting
472 aerodynamic uplift. From a mechanical point of view, the aerodynamic uplift corresponds to the
473 Lagrangian component of all the aerodynamic forces over the pan-head displacement, and cannot
474 therefore be directly estimated from the CFD model, in which the pantograph is regarded as a rigid
475 body and the kinematic links are not taken into account. A procedure for the estimation of the
476 aerodynamic uplift force from the aerodynamics forces on single pantograph parts was described
477 and validated in [20]. It is based on the forces resulting from the CFD simulation of the pantograph,
478 and the Jacobian terms calculated from the kinematic analysis.

479 In the virtual work principle equation (2), the virtual work done by all the drag ($F_{x,i}$) and lift ($F_{z,i}$)
480 forces acting on each i -th component of the pantograph is equal to the virtual work of the
481 aerodynamic uplift F_{uplift} .

$$482 \quad \sum_i F_{x_i} \delta x_i + \sum_i F_{z_i} \delta z_i = F_{Uplift} \delta z_h \quad (2)$$

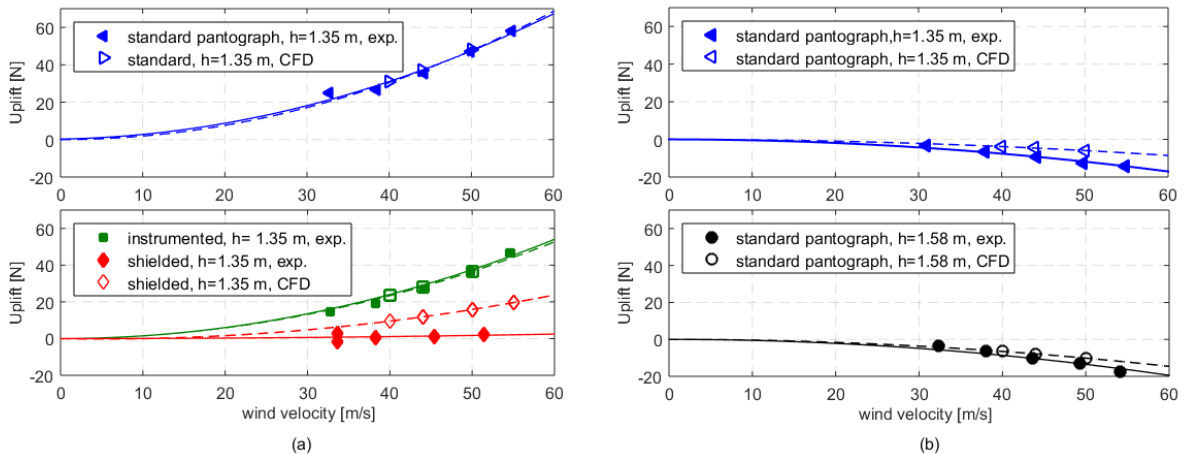
483 All the forces $F_{x,i}$ and $F_{z,i}$ are obtained from CFD simulations. The Jacobian terms, relating the
484 virtual vertical displacement of the pan-head (δz_h) to the virtual horizontal (δx_i) and vertical (δz_i)
485 displacements of the application points of the aerodynamic forces are calculated by considering the
486 pantograph as a single degree of freedom system, based on the four bar linkage of the articulated
487 frame.

488 Figure 12 reports a scheme of the kinematic model (Figure 12a) and a scheme of all the forces
 489 considered in the aerodynamic uplift computation (Figure 12b).



490 Figure 12: Pantograph kinematic scheme. (a) Articulated frame as a four bar linkage. (b) Forces
 491 taken into account for the aerodynamic uplift computation.

492 The estimation of the aerodynamic uplift obtained by the method summarised above and fully
 493 described in [20] can be considered very satisfying: Figure 13 compares the experimental wind
 494 tunnel results with the corresponding numerical results, for both the pantograph orientations and
 495 heights. Figure 13a refers to the knee-upstream orientation and Figure 13b to the knee-downstream
 496 orientation. Table 9 reports the aerodynamic uplift coefficients for all the configurations tested.



497
 498 Figure 13: Pantograph aerodynamic uplift: comparison of numerical and experimental results. (a)
 499 knee-upstream pantograph, height 1.35 m. (b) knee-downstream standard pantograph, height 1.35 m
 500 and 1.58 m.

501

Aerodynamic uplift coefficients $C_F = \frac{F_{up}}{\frac{1}{2}\rho V^2 A'}$ $A = w \times l, w=0.2 \text{ m}, l=1.3 \text{ m}$						
Pantograph configuration	Knee-upstream			Knee-downstream		
	Experimental	CFD	Error	Experimental	CFD	Error
Standard, h 1.35 m	0.120	0.118	-1.9 %	-0.030	-0.015	-7 N @55m/s 49.8 %
Standard, h 1.58 m	0.118	0.117	-0.6 %	-0.034	-0.026	-4 N @55m/s -24.9 %
Instrumented, h 1.35 m	0.095	0.092	-2.6 %	-0.033	-0.043	-5 N @55m/s (28.7%)
Shielded, h 1.35 m	0.004	0.042	+18N @55m/s	-	-	-

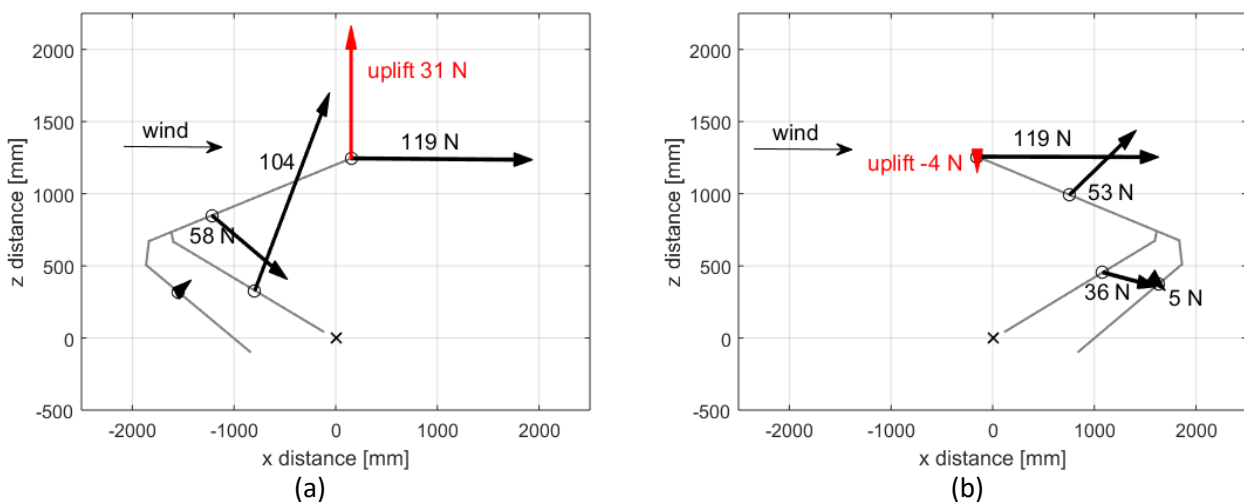
502 Table 9: Comparison between experimental and CFD aerodynamic uplift coefficients (C_F). The
503 adopted length l is the collector width $l=1.3 \text{ m}$.

504 The model can correctly reproduce the trend of the aerodynamic uplift force corresponding to
505 different test conditions, with the most significant error in the case of the shielded pantograph. With
506 reference to the knee-upstream orientation (Figure 13a), the error between experimental and
507 numerical aerodynamic uplift coefficients is -1.9% for the case of the standard pantograph
508 (triangles) and -2.6% for the case of the pantograph with an instrumented pan-head (squares), which
509 shows a reduction of the aerodynamic uplift. A slightly positive aerodynamic uplift is numerically
510 estimated for the shielded pantograph (diamonds), whereas the corresponding experimental results
511 show an almost null aerodynamic uplift (error 18 N at 55 m/s). For the knee-downstream case of
512 Figure 13b, the results referring to two different heights of the standard pantograph are reported
513 (1.35 m and 1.58 m). The trend shows a slight increase of the down-lift force corresponding to the
514 higher pantograph height and is properly captured by numerical results, even if with an
515 underestimation (almost negligible) of the force magnitude.

516 The results reported in paragraph 4.1 and paragraph 4.2 lead to the conclusion that the developed
517 model, based on the RANS equations, is able to obtain the trend corresponding to different
518 pantograph configurations. The procedure, consisting in CFD simulations and the application of the
519 virtual work principle can, therefore, be used as a tool in pantograph design, to be exploited to
520 develop solutions with a small aerodynamic uplift in which the contact force is as neutral as
521 possible to aerodynamic forces.

522 **5. ROLE OF PANTOGRAPH COMPONENTS IN GENERATING AERODYNAMIC**
 523 **UPLIFT**

524 Figure 14 reports the forces obtained on each single component of the pantograph for the CFD
 525 simulation case corresponding to the standard non-instrumented pantograph with a height of 1.35
 526 m. Figure 14a refers to knee-upstream while Figure 14b refers to knee-downstream orientation.
 527 When comparing the two orientations, the most significant difference in the aerodynamic forces on
 528 the pantograph parts is the lift force component on the lower arm.

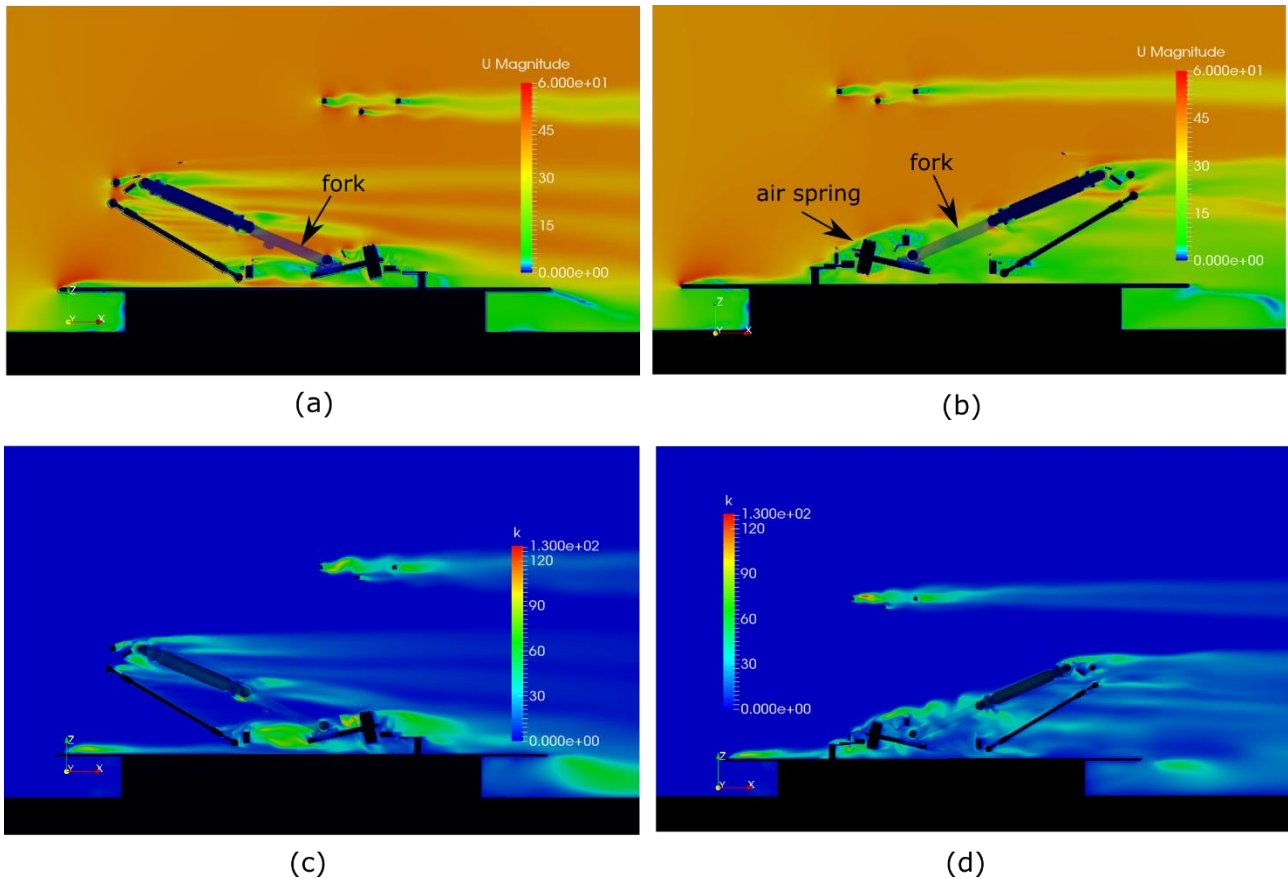


529 Figure 14: CFD forces on pantograph components, wind velocity 40 m/s, standard pantograph,
 530 height of 1.35 m. (a) Knee-upstream. (b) Knee-downstream.

531 This force appears to be the most relevant reason for the asymmetry experimentally observed in the
 532 aerodynamic uplifts corresponding to the two orientations (see Figure 3 and Figure 13). Moreover,
 533 the high magnitude of the force (Figure 14a, 104 N) explains why the aerodynamic uplift is strongly
 534 decreased by shielding the lower part of the articulated frame in the knee-upstream orientation
 535 (Figure 13a).

536 A deeper investigation of the reason for the different forces acting on the lower arm of the
 537 articulated frame can be found by looking at the air flow. Figure 15 reports the velocity field
 538 (magnitude) and the kinetic energy K for both the knee-upstream and knee-downstream orientation.
 539 The images refer to a cutting plane passing through the middle of the pantograph (see dashed line in
 540 Figure 16), and therefore the fork of the lower arm and the upper arms of the articulated frame are
 541 not visible. The fork is reported in transparency in Figure 15 and is indicated with an arrow. The

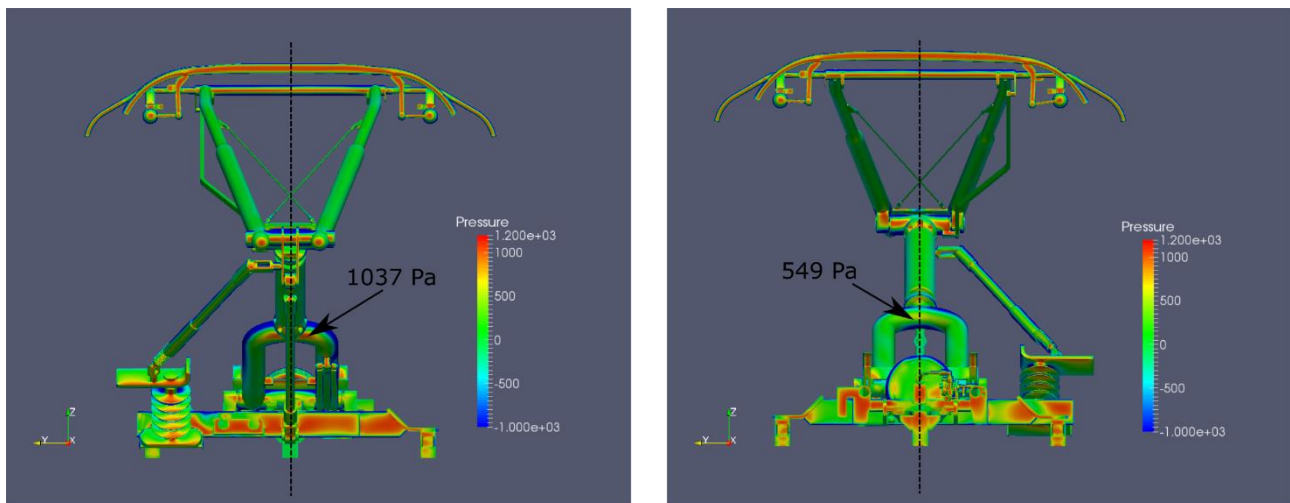
542 main differences in the wind flow blowing over pantograph components can be observed in the
 543 stream around the lower arm of the articulated frame. In the knee-upstream case (Figure 15a and
 544 Figure 15c) an unperturbed flow impacts on the pantograph's articulated frame, whereas in the
 545 knee-downstream case (Figure 15b and Figure 15d) a shielding effect is created by the air spring
 546 (highlighted in the figure), which generates some turbulence in the flow interacting with the lower
 547 arm. Consequently, the force acting on the lower arm in the knee-downstream case is lower in
 548 magnitude, and the application point of the force is moved upward with respect to the knee-
 549 upstream case.



550
 551 Figure 15: Velocity fields (magnitude) and Kinetic Energy K of the simulations of the pantograph in
 552 the wind tunnel. Wind velocity 40 m/s, standard pantograph, height of 1.35 m. (a) knee-upstream,
 553 velocity. (b) knee-downstream, velocity. (c) Knee-upstream, K . (d) Knee-downstream, K .

554 The shielding effect generated by the air spring is also clearly visible by looking at the static
 555 pressures on the pantograph components. Figure 16 reports the pressure values. Figure 16a refers to
 556 the knee-upstream while Figure 16b refers to the knee-downstream orientation. The maximum

557 pressure detected on the lower arm fork is 1037 Pa for the knee-upstream case (Figure 16a), and
 558 549 Pa for the knee-downstream case (Figure 16b).



(a)

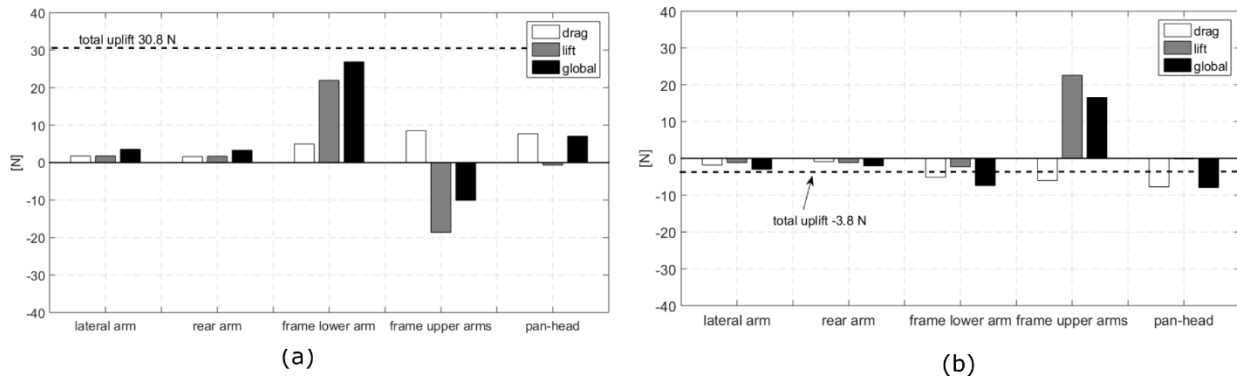
(b)

559

560 Figure 16: Pressures on pantograph components. (a) knee-upstream orientation. (b) Knee-
 561 downstream orientation.

562 As already mentioned, the definition of the forces acting on the pantograph is not sufficient to
 563 assess the contribution of each component to the total aerodynamic uplift. In fact, the role played by
 564 each part is determined not only by the force magnitudes and directions, but also by the Jacobian
 565 terms defining the virtual work that each force is able to produce. Due to the x-direction component
 566 of each virtual displacement, also drag forces can have a remarkable impact on the aerodynamic
 567 uplift.

568 The bar diagram in Figure 17 represents, for each i-th pantograph component labelled in Figure
 569 12b, the contributions to the global aerodynamic uplift due to the drag force (white bars) and to the
 570 lift force (grey bars), representing respectively the terms $Fx_i \frac{\delta x_i}{\delta z_h}$ and $Fz_i \frac{\delta z_i}{\delta z_h}$ in the summation of
 571 the equation (2). In addition, the black bars represent the aerodynamic uplift contribution due to the
 572 total force (sum of drag and lift components). The reported results refer to the non-instrumented
 573 standard pantograph, height of 1.35m and wind velocity of 40 m/s. The value of the total
 574 aerodynamic uplift (from CFD) is reported with the dashed line, in order to show the weight of each
 575 component in generating the total aerodynamic uplift.



576
 577 Figure 17: Analysis of the contribution of each component of the pantograph to the total uplift force
 578 (standard pantograph, height of 1.35 m, wind velocity of 40 m/s). (a) Knee-upstream. (b) Knee
 579 downstream.

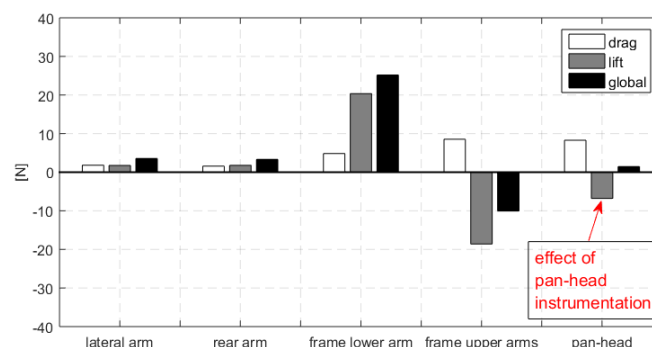
580 Due to the kinematic relationships, all the drag forces tend to open the pantograph and to increase
 581 the aerodynamic uplift in the case of knee-upstream orientation (Figure 17a), and they show the
 582 opposite behaviour for the knee-downstream orientations (Figure 17b), tending to close the
 583 pantograph and giving a negative contribution to the aerodynamic uplift. It is worth stating that the
 584 contribution of the pan-head to the total aerodynamic uplift (last bar on the right of each figure) is
 585 quite relevant, even if the force acting on the pantograph head is essentially drag force, as already
 586 pointed out in Figure 14. This is because the Jacobian term $\frac{\partial x_h}{\partial z_h}$ (indicating the horizontal
 587 displacement of the pan-head δx_h corresponding to a vertical displacement δz_h) is non-null. The
 588 Jacobian terms, therefore, play a role as important as the forces on the pantograph components in
 589 generating the aerodynamic uplift. The pan-head's role that emerges from this analysis is, however,
 590 less dominant than in [25], where it is indicated as the component that mainly determines the
 591 pantograph's aerodynamic performance. Another difference with the results of [25] is related to the
 592 flow's angle of attack on the pan-head in the knee-upstream orientation. In [25] the authors state
 593 that, for this orientation, the upper arms deviate the flow upwards, thus inducing a high effective
 594 angle of attack with respect to the pan-head. To the contrary, the results of the CFD simulations
 595 performed in this work showed a non-relevant deviation of the flow due to the articulated frame's
 596 upper arms, and the same angle of attack on the pan-head for both the knee-upstream and knee

597 downstream orientations. This is consistent with the fact that the force acting on the pan-head does
 598 not change in the two orientations, and has no relevant lift component (see Figure 14).

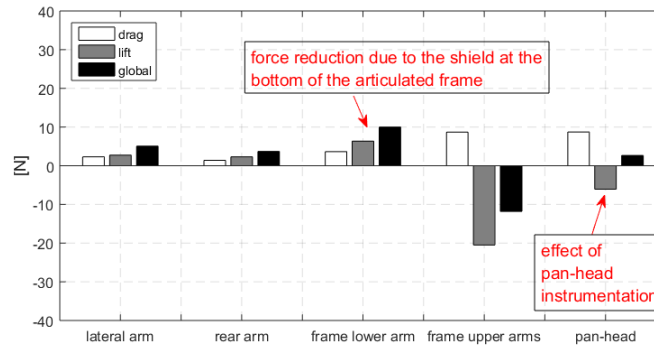
599 Figure 18 compares the contributions to the aerodynamic uplift considering the instrumented pan-
 600 head (Figure 18a) and the shield at the bottom of the articulated frame (Figure 18b). The results
 601 refer to the pantograph height of 1.35 m at 40 m/s, knee-upstream orientation, and can therefore be
 602 compared to the results of Figure 17a, referring to the standard pantograph. The presence of the
 603 instrumentation mounted on the pan-head generates a negative lift force, which adds to the
 604 aerodynamic uplift contribution due to the drag force, already **discussed** in the standard pantograph
 605 case. This results in an almost null aerodynamic uplift contribution generated by **the** pantograph
 606 head, which is the reason why the experimental and numerical total aerodynamic uplift is lower in
 607 the case of **the** instrumented pantograph than in the case of **the** standard pantograph (see Figure
 608 13a).

609 By comparing the aerodynamic uplifts generated in the presence and absence of the shield at the
 610 bottom of the articulated frame (Figure 18b against Figure 18a), it is clearly visible that the **shield's**
 611 presence reduces the **lower arm's** contribution to the total aerodynamic uplift, mainly due to the
 612 reduction of its lift force. This shielding effect can be achieved in the full train application by means
 613 of a recess in the car body roof, leading to a mean contact force **that is** more independent from train
 614 speed and travelling direction.

615



(a)



(b)

616 Figure 18: Comparison of the contribution of pantograph components to the total aerodynamic
 617 uplift for different test configurations (instrumented pantograph and pantograph with a shield at the
 618 bottom of **the** articulated frame). Knee-upstream orientation, height of **of** 1.35 m, 40 m/s.

619 6. CONCLUSIONS

620
 621 The paper investigated the influence of aerodynamic forces on a railway pantograph's **aerodynamic**
 622 **uplift**, which significantly affect the mean value of the contact force exerted by the pantograph on
 623 the overhead line. The analysis was carried out by means of both wind tunnel tests on a full-scale
 624 pantograph and CFD simulation in **a** wind tunnel scenario. The CFD model can be usefully adopted
 625 to evaluate numerical drag and lift forces acting on each single pantograph component, which is not
 626 **easily performed** experimentally, even in the wind tunnel. These forces can be used as **the** input of a
 627 procedure based on the virtual work principle, **in order** to evaluate the contribution of each different
 628 pantograph component to the total aerodynamic uplift. Due to the pantograph kinematics, the uplift
 629 is affected by both lift and drag forces acting on the single elements.

630 Steady RANS simulations appear sufficiently accurate to qualitatively **reproduce** the behaviour
 631 corresponding to different pantograph configurations, also giving satisfactory quantitative results,
 632 with computational effort compatible with their use at design stage. The most significant
 633 discrepancies were observed for pantograph components that are usually shielded, at least partially,
 634 in real line operation.

635 The proposed methodology can, therefore, **be** usefully adopted as an aid for the pantograph design
 636 and for the preliminary assessment of the pantograph's aerodynamic behaviour, keeping on-line
 637 tests only for final verification and eventual fine-tuning. **The obtained results do not account for the**

638 train roof boundary layer, which has the main effect of reducing the forces exerted on the lower
 639 parts of the pantograph due to the reduced velocity of the incoming flow. The presence of the train
 640 roof boundary layer should be considered (and simulated through the CFD calculation of the full
 641 train) for the evaluation of the actual aerodynamic uplift in the final operating condition.

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