# Statistical fluctuations in $\mathrm{HfO}_{x}$ resistive-switching memory (RRAM): Part II - Random telegraph noise 

S. Ambrogio, Student Member, IEEE, S. Balatti, Student Member, IEEE, A. Cubeta, Student Member, IEEE, A. Calderoni, N. Ramaswamy, Senior Member, IEEE, D. Ielmini, Senior Member, IEEE


#### Abstract

A key concern for resistive switching memory (RRAM) is the read noise, due to the structural, chemical and electrical modifications taking place at the localized current path, or conductive filament (CF). Read noise typically appears as a random telegraph noise (RTN), where the current randomly fluctuates between ON and OFF levels. This work addresses RTN in RRAM, providing physical interpretations and models for the dependence on the programming and read conditions. First, we explain the RTN dependence on the compliance current during set transition in terms of the size-dependent depletion of carriers within the CF. Then we discuss the bias-dependence of the RTN switching times and amplitude, which can be explained by Joule heating and Poole-Frenkel (PF) barrier modifications arising from the electrostatics of the RTN fluctuating center.


Keywords: resistive switching memory (RRAM), noise fluctuations, random telegraph noise.

## I. Introduction

Defect-based switching and conduction in resistive switching memory (RRAM) causes stochastic effects during both programming and read. The individual migration events during set and reset results in cycle-to-cycle variations of the resistance in the set and reset states, as discussed in the companion paper [1]. While set/reset variability might be controlled by proper program/verify procedures, read current fluctuations due to low-frequency noise can hardly be avoided [2]-[10]. For fast read and reliable operation of RRAM, the read noise dependence on time, programming conditions and read voltage must be carefully studied.

This work addresses random telegraph noise (RTN) in RRAM, namely a random two-level fluctuation of read current during time. We show that RTN can be understood by the charging of a trap at or close to the surface of the CF, which results in a local time-dependent depletion of the carriers within the CF. A numerical model is developed, accounting for the dependence of RTN amplitude on $I_{C}$ as a result of the different impact of depletion on the CF current. The model also accounts for 4-level complex RTN in RRAM, which is attributed to the independent fluctuation of two traps. Finally, we discuss the bias dependence of RTN switching times and amplitude. The switching times are shown to decrease with

[^0]

Fig. 1. Measured $I-V$ curve for negative voltage with relatively large RTN (a) and the corresponding $R$ as a function of voltage (b). The RRAM device was preliminary programmed in the set state at $I_{C}=5 \mu \mathrm{~A}$.
the read voltage, which is attributed to the local Joule heating in the CF accelerating the switching kinetics of the RTN trap. The relative change of resistance during RTN is shown to depend differently on bias for low and high resistance CFs, which is explained in terms of the different transport regimes in the CF, namely metallic conduction or Poole-Frenkel (PF) hopping transport. A preliminary study on RTN modeling was previously reported in [10]. In this work, we extend the analysis of [10] by reporting complex RTN due to multiple traps, the temperature-dependence of RTN and time-dependent simulations for variable programming and reading conditions.

## II. Random telegraph noise (RTN)

Fig. 1a shows the measured current-voltage ( $I-V$ ) characteristics at negative voltage for a RRAM device with $\mathrm{HfO}_{x}$ switching layer in one-transistor/one-resistor (1T1R) configuration. Details of the device structure and of the integrated select transistor can be found in [1]. A compliance current $I_{C}=5 \mu \mathrm{~A}$ was used during set operation at positive voltage by operating the select transistor at a gate voltage $V_{G}=0.7 \mathrm{~V}$. The measured current displays typical RTN at both positive


Fig. 2. Schematic picture of the RTN mechanism due to a defect with fluctuating charge at the CF surface. The CF diameter $\phi$ and the CF height, $t_{o x}$, equal to the oxide layer thickness, are shown. When the defect is negatively charged, the CF is depleted from carriers within approximately a Debye length. The reduced defect concentration causes the transition to the higher resistance level.
and negative voltage polarities in the low-resistance (set) state. RTN is further evidenced in Fig. 1b, showing the set-state resistance $R=V / I$, obtained from Fig. 1a, and the definition of the resistance change $\Delta R$, namely the difference between the resistance values in correspondence of the two RTN levels. The change of resistance in Fig. 1 can be understood by similar RTN effects taking place in planar MOSFET [11], [12], nanowire MOSFET [13] and Flash memories [14], where RTN arises from a fluctuating charge state of a single trap in the gate dielectric. If negative, the charge depletes part of the inverted channel, thus causing a change to a higher resistance. Similarly, a trap with variable charge state close to the CF can affect the carrier distribution and cause a resistance change in the RRAM, as schematically shown in Fig. 2. In the negative trap state, the CF is depleted from free electrons approximately within a Debye length $\lambda_{D}$ [2] given by:

$$
\begin{equation*}
\lambda_{D}=\sqrt{\frac{\epsilon k T}{q^{2} n}}, \tag{1}
\end{equation*}
$$

where $\epsilon$ is the CF permittivity, $k$ is the Boltzmann constant, $T$ is the temperature, $n$ is the carrier density in the CF and $q$ is the electron charge. The Debye length describes the screening length of the free-electron gas within the CF, controlling the range of Coulombic interaction. As the trap switches to the neutral state, a uniform carrier population is recovered in the CF , resulting in a resistance decrease. Note that positive/neutral states of the defect can similarly explain RTN fluctuations, where a positive defect induces local accumulation (instead of depletion) of electrons within the CF, thus enhancing the conductivity with respect to the neutral state.

## III. Size-dependent RTN

Fig. 3a shows the measured resistance as a function of time for variable resistance, obtained by changing $I_{C}$ during the set


Fig. 3. Measured (a) and calculated (b) resistance as a function of time for variable size of the CF. RTN shows a dependence on CF size, where the relative amplitude $\Delta R / R$ increases at increasing $R$, thus decreasing CF size.


Fig. 4. Measured and calculated $\Delta R / R$ as a function of $R$. Data for NiObased RRAM [2], Cu-based electrochemical RRAM [15] and Cu nanobridges [16] are also shown for comparison with $\mathrm{HfO}_{x}$-RRAM data. All data display an universal dependence on $R$, which can be understood by size-dependent depletion. Calculations were carried out at variable $N_{D}$.
transition. About 20 samples were measured for increasing $I_{C}$. RTN appeared occasionally after set transition with stochastic change of the amplitude after each set/reset cycle. RTN for reset states with $R>5 \times 10^{5} \Omega$ showed similar behavior with saturation at $\Delta R / R \approx 0.5$ (not reported in the figure). The resistance change $\Delta R$ increases with the resistance levels, as shown by the measured relative resistance change $\Delta R / R$ as a function of the average resistance $R$ in Fig. 4. The figure also reports data from NiO RRAM [2], Cu -based conductivebridge RAM [15] and $C u$ nanobridges [16]. All data display an increase of $\Delta R / R$ with $R$ below about $100 \mathrm{k} \Omega$, with a saturation at higher $R$. The RTN dependence on $R$ was previously explained by the size-dependent carrier depletion within the CF [2]. For small $R$, corresponding to a large CF where the CF diameter $\phi$ is much larger than $\lambda_{D}$, the trap-induced depletion impacts only partially on the carrier concentration. As $\phi$ decreases, the depleted portion of the CF region increases, resulting in an increasing $\Delta R / R$. For


Fig. 5. Energy as a function of a generalized coordinate for the bistable RTN defect, describing transition from low to high resistance (a) and vice versa (b). The transition from low to high resistance requires thermal excitation over a barrier $W_{o n}$ in an average time $\tau_{o n}$, while the transition from high to low resistance requires excitation over a barrier $W_{o f f}$ in an average time $\tau_{o f f}$.
$\phi<\lambda_{D}$, full depletion occurs, accounting for the saturated $\Delta R / R$ at increasing $R$. The slope of $\Delta R / R$ in Fig. 4 at low $R$ is approximately 1 in the bi-logarithmic plot, indicating a linear dependence on resistance. This is because $R$ is inversely proportional to the CF area $A_{C F}$, thus $\left|\frac{\Delta R}{R}\right| \approx\left|\frac{\Delta A_{C F}}{A_{C F}}\right| \propto R$ where $\Delta A_{C F} \approx \lambda_{D}^{2}$ is the depleted area [2].

## IV. Numerical model of RTN

To quantitatively account for the size-dependence of $\Delta R / R$ in Figs. 3 and 4, we developed a numerical model for RTN, where we implemented both semiconductor transport equations and switching kinetics through the finite-element method (FEM) using COMSOL ${ }^{\circledR}$.

## A. Transport model

In the model, the CF is described as an n-doped semiconductor nanowire surrounded by an oxide layer as shown in Fig. 2. The RTN trap is modeled as a fixed point charge located in the dielectric. The current density $\vec{J}$ is obtained by the driftdiffusion equation:

$$
\begin{equation*}
\vec{J}=q n \mu_{n} \vec{F}+k T \mu_{n} \vec{\nabla} n \tag{2}
\end{equation*}
$$

where $n$ is the electron density, $\mu_{n}$ is the electron mobility and $\vec{F}$ is the electric field [17]. All calculations were performed under steady-state conditions, thus current continuity reads:

$$
\begin{equation*}
\vec{\nabla} \cdot \vec{J}=0 . \tag{3}
\end{equation*}
$$

The electrostatic potential $\varphi$ was obtained by solving the Poisson equation:

$$
\begin{equation*}
\vec{\nabla} \cdot(-\epsilon \vec{\nabla} \varphi)=q\left[N_{D}-n+\chi(x, y, z)\right] \tag{4}
\end{equation*}
$$

where $N_{D}$ is the concentration of dopant atoms, assumed totally ionized, and $\chi(\mathrm{x}, \mathrm{y}, \mathrm{z})$ describes the fixed charge. In addition, the electric field $\vec{F}$ was linked to the electrostatic potential $\varphi$ through the equation:

$$
\begin{equation*}
\vec{F}=-\vec{\nabla} \varphi . \tag{5}
\end{equation*}
$$



Fig. 6. Map of calculated carrier density for $N_{D}=10^{18} \mathrm{~cm}^{-3}$ and $\phi=10$ $\mathrm{nm}\left(\right.$ a), for $N_{D}=10^{20} \mathrm{~cm}^{-3}$ and $\phi=10 \mathrm{~nm}$ (b), for $N_{D}=10^{20} \mathrm{~cm}^{-3}$ and $\phi=1 \mathrm{~nm}$ (c), and their corresponding cross-section map of electrostatic potential (d, e, f). The applied read voltage was $V_{\text {read }}=50 \mathrm{mV}$. Calculations were carried out assuming a negatively charged defect at the CF surface in correspondence of $t_{o x} / 2$. The negative defect causes depletion of carrier concentration ( $\mathrm{a}, \mathrm{b}, \mathrm{c}$ ) and a local potential decrease ( $\mathrm{d}, \mathrm{e}, \mathrm{f}$ ). Depletion can be partial or full depending on the CF size.


Fig. 7. Calculated $\Delta R / R$, same simulation results as in Fig. 4, as a function of CF diameter $\phi$, evaluated at different doping densities $N_{D}$.

The Joule-heating effect due to the flowing current was taken into account by solving the steady-state Fourier equation:

$$
\begin{equation*}
\vec{\nabla} \cdot\left(k_{t h} \vec{\nabla} T\right)+\frac{J^{2}}{q n \mu_{n}}=0, \tag{6}
\end{equation*}
$$

where $k_{t h}$ is the thermal conductivity. It is equal to $16 \mathrm{Wm}^{-1} \mathrm{~K}^{-1}$ in the CF , which is close to the bulk Hafnium thermal conductivity ( $23 \mathrm{Wm}^{-1} \mathrm{~K}^{-1}$ [18]), while it is $0.68 \mathrm{Wm}^{-1} \mathrm{~K}^{-1}$ in the oxide layer [19]. Self-consistent solution of Eqs. (2)-(6) in 3D allows to evaluate the electrostatic potential, the carrier density, the temperature and the total current for variable charge state of the RTN trap, e.g., neutral and negative. From these results, the resistance change $\Delta R$ can be estimated for variable CF size and doping.


Fig. 8. Measured (a) and calculated (b) current as a function of time featuring complex RTN, the map of calculated carrier density for the four state configurations (c), and the correlation plot of $I_{n+1}$ as a function of $I_{n}(\mathrm{~d})$, where $I_{n}$ and $I_{n+1}$ are the currents at time $t_{n}$ and $t_{n+1}$, respectively.

## B. Kinetic model

Fig. 5 shows the defect energy as a function of a generalized coordinate describing the defect configuration. To pass from state 0 to state 1 , the trap must overcome a barrier $W_{o n}$ (Fig. 5a), which requires an average time $\tau_{o n}$ given by:

$$
\begin{equation*}
\tau_{o n}=\tau_{0} e^{\frac{W_{o n}}{k T}} \tag{7}
\end{equation*}
$$

where $\tau_{0}$ is the pre-exponential factor. Similarly, the reverse transition from 1 to 0 is achieved by overcoming a barrier $W_{\text {off }}$ (Fig. 5b), in a corresponding time constant $\tau_{\text {off }}$ given by:

$$
\begin{equation*}
\tau_{o f f}=\tau_{0} e^{\frac{W_{o f f}}{k T}} \tag{8}
\end{equation*}
$$

Generally, the two barriers $W_{o n}$ and $W_{\text {off }}$ are different, thus resulting in different time constants. The random switching from high to low resistance and viceversa was modeled by a Monte Carlo approach. For every time instant, equal to $t_{\text {sample }}$, a random number is extracted from a uniform distribution between 0 and 1 . In the case the number is superior to $t_{\text {sample }} / \tau_{\text {on }}$ and the current is high, the transition to the low current state happens. The same procedure is employed in the low current state, switching to the high current state if the extracted value is above $t_{\text {sample }} / \tau_{\text {off }}$.

## C. Simulation results

Fig. 3b shows the calculated RTN characteristics for different CF diameters $\phi$ and Fig. 4 reports the calculated $\Delta R$ obtained with the model for variable $N_{D}$. The doping concentration reflects the local concentration of defects, such as oxygen vacancies, typically acting as n-type dopants in transition metal oxides [20], [21]. The resistance change is shown to depend on both doping and CF size, hence resistance. The simulation results in Fig. 4 closely account for the observed $\Delta R / R$ in different material systems.

Fig. 6 provides a closer look at the simulations, showing the calculated carrier density $n$ (a), (b), (c) and electrostatic potential $\varphi$ (d), (e), (f) in the CF for an applied voltage $V_{\text {read }}=50 \mathrm{mV}$. In the calculations, a negative charge $-q$ was assumed in the RTN trap on the surface of the CF with diameter $\phi=10 \mathrm{~nm}$, length $L=10 \mathrm{~nm}$ and doping concentration $N_{D}=10^{18} \mathrm{~cm}^{-3}(\mathrm{a})$, resulting in a resistance $R=130 \mathrm{k} \Omega$. The trap induces a local lowering of the electrostatic potential (d), causing a local depletion according to Eq. (2). The radius of the depletion region is approximately 3 nm , close to the Debye length $\lambda_{D}=5 \mathrm{~nm}$ according to Eq. (1), assuming $\epsilon_{r}=$ 15.6 [22] and $N_{D}=10^{18} \mathrm{~cm}^{-3}$. Since $\lambda_{D}$ is smaller than $\phi$, partial depletion takes place, thus causing $\Delta R / R \approx 0.15$ in the linear regime of Fig. 4. Note that the total image charge induced by the defect within the CF is of the order of $+q$. This is comparable to the total number of electrons in the CF, which can be evaluated as $N_{D} L \pi \phi^{2} \approx 3.1$ electrons in Fig. 6a. This highlights the relevance of single-charged defect fluctuations for RRAM current noise.

Fig. 6 also shows the calculated $n$ (b) for the same CF properties of Fig. 6a, except for a doping concentration $N_{D}=10^{20} \mathrm{~cm}^{-3}$. The resulting resistance was $R=1.2 \mathrm{k} \Omega$. Due to the increased doping, the depleted region (see Fig. 6e) reduces to about $\lambda_{D}=0.5 \mathrm{~nm}$, thus causing a resistance change $\Delta R / R \approx 0.02$, thus significantly smaller than the relative resistance change in Fig. 6a. To study the size dependence of RTN, Fig. 6 shows the calculated $n$ (c) and $\varphi$ (f) for a smaller diameter $\phi=1 \mathrm{~nm}$, assuming a doping concentration $N_{D}=10^{20} \mathrm{~cm}^{-3}$. As the CF diameter becomes comparable to $\lambda_{D}$, carriers are fully depleted in the whole CF cross section, resulting in a large resistance change $\Delta R / R \approx 0.8$. The regimes of partial depletion (Figs. 6a and 6 b ) and full depletion (Fig. 6c) account for the linear and saturated $R$-dependence of RTN in Fig. 4 respectively. Note that, for the same $N_{D}$, the CF size also affects the potential profile within the dielectric,


Fig. 9. Measured current as a function of time for read voltage $V_{\text {read }}=50$, 200 and 350 mV (a) and corresponding calculations (b). The RTN switching times, namely $\Delta t_{\text {on }}$ and $\Delta t_{\text {off }}$ shown in the figure, decrease with $V_{\text {read }}$.


Fig. 10. Calculated temperature as a function of the applied $V_{\text {read }}$ in the center of the CF $T_{\text {max }}$, solid line, and in proximity of the trap $T_{\text {trap }}$, dashed line.
as a result of the dipole of the negative trap charge and of the positive image charge induced in the CF. Note that, for any given CF size, the change of resistance increases at decreasing $N_{D}$. This is demonstrated in Fig. 7, reporting the calculations of Fig. 4 as a function of the CF diameter $\phi$. For a given CF size, e.g., 5 nm , the relative change of resistance $\Delta R / R$ decreases with $N_{D}$ due to the increased screening within the CF.

## V. Complex RTN

The kinetic model for trapping/detrapping in Fig. 2 allows to simulate not only two-level RTN, as in Fig. 3, but also complex RTN due to multiple defects. Fig. 8a shows the measured current as a function of time displaying four discrete levels, which can be understood by two independent defects both affecting the carrier concentration in the CF [23]. Fig. 8b shows the calculated current as a function of time, while Fig. 8c shows the map of calculated carrier concentration within the CF. Here, the two traps can display two charged states, namely neutral (0) or negative ( - ), thus resulting in four CF states, namely $0 / 0$, corresponding to the highest current level, $0 /-,-/ 0$ and $-/-$, corresponding to the lowest current


Fig. 11. Distributions of $\Delta t_{o n}$ and $\Delta t_{o f f}$ for increasing read voltage, namely $V_{\text {read }}=50 \mathrm{mV}$ (a), 200 mV (b) and 350 mV (c). The distributions of both measured and calculated times are shown, showing an exponential shape which is consistent with the random switching in RTN. The average values of the distribution correspond to the average transition times $\tau_{o n}$ and $\tau_{o f f}$ in Fig. 5.
level. The activation energies were properly chosen to yield a relatively fast trap and a relatively slow trap as apparent from the RTN switching characteristics.

Fig. 8d shows the correlation plot of read current $I_{n+1}$ at time $t_{n+1}$ as a function of the current $I_{n}$ measured at the previous read time $t_{n}$ [9], [23]. The two squares appearing in the correlation plot represent the two independent fluctuators [23], while the additional scattered points at the top/right corners of the squares result from background 1/f noise. The two squares have different size, since the RTN amplitude of one trap depends on the state of the other trap, e.g., the change of the current from $0 / 0$ to $0 /-$ is larger than the change of the current from $-/ 0$ to $-/-$. This is due to the partial overlap between the depletion regions of the two defects in the negatively-charged state, as shown in Fig. 8c. Note that data points can be found along the sides of the square in Fig. 8d, which is due to the integration time in our current measurements being comparable to $\tau_{o n}$ and $\tau_{o f f}$. As the current switches from one level to the other during integration, the resulting value of the current is intermediate between the extreme values in the RTN. As a result, the corresponding point in the scatter plot falls on one of the four sides of the square in Fig. 8d. Complex RTN was found with relatively low probability in our samples, since it requires two fluctuating defects near the same CF. For this reason, it might be expected that complex RTN can be seen more easily in states at relatively low $R$, due to the relatively large surface of the CF .

## VI. Bias dependence of RTN switching rates

Data in Fig. 1 show that RTN transition rate increases with the read voltage $V_{\text {read }}$, while $\Delta R$ decreases for increasing $V_{\text {read }}$. To understand the voltage dependence of RTN, Fig. 9a shows the measured read current as a function of time at room temperature ( $T_{0}=30^{\circ} \mathrm{C}$ ) for increasing voltage, namely $V_{\text {read }}$ $=50 \mathrm{mV}, 200 \mathrm{mV}$ and 350 mV . The three measurements were done at three different initial times and are referred to the same time interval only for the purpose of comparison. The switching rates between the high and low current states clearly increase with $V_{\text {read }}$. To better characterize the switching times, we defined $\Delta t_{o n}$ as the time for which the current remains high, and $\Delta t_{\text {off }}$ as the time for which the current remains low


Fig. 12. Measured and calculated $\tau_{o n}$ (a) and $\tau_{o f f}$ (b) as a function of read bias for increasing ambient temperature $T_{0}$ from 30 to $70{ }^{\circ} \mathrm{C}$, and Arrhenius plot of the experimental and calculated $\tau_{o n}$ and $\tau_{o f f}$ as a function of $1 / k T$, where the local temperature at the RTN defect was calculated by the numerical model. The straight lines indicate Arrhenius behavior with energy barriers $W_{o n}=0.71 \mathrm{eV}$ and $W_{\text {off }}=0.88 \mathrm{eV}$.
(see examples in Fig. 9a). Both $\Delta t_{o n}$ and $\Delta t_{\text {off }}$ decrease for increasing $V_{\text {read }}$. Fig. 11 shows the probability distributions of measured $\Delta t_{\text {on }}$ and $\Delta t_{\text {off }}$ for $V_{\text {read }}=50 \mathrm{mV}, 200 \mathrm{mV}$ and 350 mV . The distributions display an exponential shape, consistent with the random switching model in Fig. 5, where the time constants $\tau_{o n}$ and $\tau_{\text {off }}$ correspond to the average values in the distributions of Fig. 5. The distributions clearly show an acceleration of RTN switching for increasing $V_{\text {read }}$.

The RTN was calculated with our model at variable $V_{\text {read }}$ to study the voltage dependent RTN. Fig. 9b shows the calculated current as a function of time at increasing $V_{\text {read }}=50,200$ and 350 mV . Fig. 11 shows the calculated $\Delta t_{o n}$ and $\Delta t_{\text {off }}$ for $V_{\text {read }}=50 \mathrm{mV}, 200 \mathrm{mV}$ and 350 mV . In both cases, the model accurately captures the $V_{\text {read }}$ dependence. In the model, the acceleration of RTN switching kinetics is due to Joule heating induced by the read voltage and current according to the Fourier equation in Eq. (6). For increasing $V_{\text {read }}$, Joule heating enhances the temperature at the defect site as shown in Fig. 10, thus accelerating the transition time according to the Arrhenius law in Eqs. (7) and (8). Note that migration might lead to voltage-dependent changes of resistance due to a change of shape of the CF. However, these changes are expected to be monotonic, or multistable, since migration involves the transfer of defects in one direction only, as opposed to the bistable fluctuation of RTN.

To further confirm the Joule heating model for voltagedependent RTN, experiments were conducted at variable $V_{\text {read }}$, between 0 V and 0.4 V , and variable room temperature $T_{0}$, between 30 and $70{ }^{\circ} \mathrm{C}$. Fig. 12 shows the measured and calculated results for $\tau_{o n}$ (a) and $\tau_{o f f}$ (b), namely the average switching times for RTN, as a function of $V_{\text {read }}$ for increasing $T_{0}$. For any given $T_{0}$, both time constants decrease for increasing $V_{\text {read }}$, in agreement with results in Figs. 9 and 11. On the other hand, for any given $V_{\text {read }}$, the time constants decrease with $T_{0}$. Both dependences can be understood by the impact of Joule heating on the transition kinetics of Eqs. (7) and (8), where the switching times decrease with the local temperature $T$, which is enhanced by both the ambient temperature $T_{0}$ and by $V_{\text {read }}$. Fig. 12c shows the Arrhenius plot of $\tau_{\text {on }}$ and $\tau_{\text {off }}$ taken from Figs. 12a and b. In this plot, $T$ was calculated from the numerical model assuming a CF with diameter $\phi=0.77 \mathrm{~nm}$ and a defect located at a distance of 0.5 nm from the CF surface and at a distance of 2.5


Fig. 13. Measured $R$ for $I_{C}=6 \mu \mathrm{~A}$ (a) and $\Delta R / R$ for $I_{C}=6 \mu \mathrm{~A}$ and $I_{C}=120 \mu \mathrm{~A}$ (b) as a function of $V_{\text {read }}$. The decrease of $R$ and of $\Delta R / R$ with $V_{\text {read }}$ for $I_{C}=6 \mu \mathrm{~A}$ is consistent with PF conduction and its response to a fluctuating charge as shown in Fig. 14. The relative resistance change $\Delta R / R$ remains constant for $I_{C}=120 \mu \mathrm{~A}$ due to metallic transport in large CFs.
nm from the top electrode. Data display a universal Arrhenius dependence with energy barriers $W_{o n}=0.71 \mathrm{eV}$ and $W_{\text {off }}=$ 0.88 eV for $\tau_{o n}$ and $\tau_{\text {off }}$, respectively. These energy barriers should not be viewed as characteristic values for $\mathrm{HfO}_{x}$, since other defects with smaller/larger barriers may be expected. In fact, significantly larger/smaller RTN times than the $0.1-1 \mathrm{~s}$ time range considered in our work may be possible for RTN switching.

## VII. Bias dependence of $\Delta \mathrm{R}$

Fig. 1b also shows that the resistance change $\Delta R$ decreases for increasing $V_{\text {read }}$. This is particularly evident for relatively small $I_{C}$, as shown by the measured $R$ as a function of $V_{\text {read }}$ for $I_{C}=6 \mu \mathrm{~A}$ in Fig. 13a. Note the symmetric and non-linear behavior of $R$, which is consistent with PF transport in the CF. Also, the switching rates display the same dependence for positive and negative $V_{\text {read }}$, since $T$ only depends on the absolute value of $V_{\text {read }}$. Fig. 13b shows the corresponding $\Delta R / R$ for $I_{C}=6 \mu \mathrm{~A}$ (from Fig. 13a) and $I_{C}=120 \mu \mathrm{~A}$. Data at $I_{C}=6 \mu \mathrm{~A}$ clearly show a decrease of $\Delta R / R$ with $V_{\text {read }}$, while $\Delta R / R$ negligibly depends on $V_{\text {read }}$ for $I_{C}=120 \mu \mathrm{~A}$.


Fig. 14. Schematic illustration of the PF transport in a few-defect CF. For a neutral defect, $R$ is controlled by an energy barrier $E_{C}$ (b), while the transition to a negative charge causes an increase of energy barrier by $\Delta E_{C}$.

This behavior can be understood by the different conduction regimes taking place in small and large CFs. A small CF can consist of only few defects, acting as localized states for PF hopping of electrons, while a large CF contains many more defects resulting in a doped-semiconductor behavior or even a metallic-like conduction for extremely large defect concentration, e.g., $N_{D}=10^{21} \mathrm{~cm}^{-3}$. Fig. 14 schematically shows the PF conduction picture and the impact of a RTN switching defect close to the CF. When the RTN defect is in the neutral state (Fig. 14a), electrons migrate in response to the applied voltage $V_{\text {read }}$, and the CF resistance can be written as:

$$
\begin{equation*}
R=R_{0} e^{\frac{E_{C}-\alpha q V}{k T}}, \tag{9}
\end{equation*}
$$

where $R_{0}$ is a pre-exponential factor, $\alpha$ is the barrier lowering coefficient and $E_{C}$ is the characteristic energy barrier for electron hopping. For a negatively-charged defect (Fig. 14b), the defect potential influences the electrostatic potential in the CF, thus enhancing the hopping barrier by an additional contribution $\Delta E_{C}$. Therefore, the resistance increases according to:

$$
\begin{equation*}
R=R_{0} e^{\frac{E_{C}+\Delta E_{C}-\alpha q V}{k T}} \tag{10}
\end{equation*}
$$

From Eqs. (9) and (10), the ratio $\Delta R / R$ reads:

$$
\begin{equation*}
\frac{\Delta R}{R}=\frac{R^{\prime}-R}{R}=e^{\frac{\Delta E_{C}}{k T}}-1 \tag{11}
\end{equation*}
$$

where an increase of $T$, due to a larger $V_{\text {read }}$, leads to a decrease of $\Delta R / R$. Fig. 15 shows the $1+\Delta R / R$ from Fig. 14b for both $I_{C}=6 \mu \mathrm{~A}$ and $I_{C}=120 \mu \mathrm{~A}$. In the calculations, the temperature was simply evaluated by:

$$
\begin{equation*}
T=T_{0}+\frac{R_{t h}}{R} V^{2} \tag{12}
\end{equation*}
$$

which is the analytical solution of Eq. (6) for a cylindrical CF with resistance $R$ and an effective thermal resistance $R_{t h}=t_{o x} /\left(8 k_{t h} A_{C F}\right)^{2}$ [24], where an effective thermal conductivity $k_{t h}=16 \mathrm{Wm}^{-1} \mathrm{~K}^{-1}$ was assumed to describe heating at the RTN defect site. Data at small $I_{C}$ in Fig. 15 display an Arrhenius behavior with slope $\Delta E_{C}=4.1 \mathrm{meV}$


Fig. 15. Arrhenius plot of $1+\Delta R / R$ for $I_{C}=6 \mu \mathrm{~A}$ and $I_{C}=120 \mu \mathrm{~A}$, for both positive and negative $V_{\text {read }}$. The defect temperature was estimated from Eq. (12). The straight line for $I_{C}=6 \mu \mathrm{~A}$ is in agreement with the PF model of Eq. (11), while the constant $\Delta R / R$ for $I_{C}=120 \mu \mathrm{~A}$ can be explained by metallic conduction in the CF .
for $V_{\text {read }}<0$ and 5.6 meV for $V_{\text {read }}>0$, which is consistent with Eq. (11). The polarity-dependent $\Delta E_{C}$ might be due to different position of the energy maximum between two localized states with respect to the RTN defect for positive and negative $V_{\text {read }}$. For $I_{C}=120 \mu \mathrm{~A}, \Delta R / R$ is almost constant, which can be explained by conduction being due to dopedsemiconductor or metallic transport. For PF-type CFs achieved at low $I_{C}$, the immunity to RTN increases for increasing $V_{\text {read }}$. This is due to the increased switching rate, which allows for a better averaging between the two resistance levels, and to the reduced RTN amplitude. Therefore, reading at relatively large $V_{\text {read }}$ may considerably reduce read error in RRAM. However, a practical limit for the increase of $V_{\text {read }}$ is given by read disturb, where the high read voltage may induce migration because of excessive heating and field. The optimum read voltage thus arises from a careful tradeoff between RTN reduction and read disturb.

## VIII. Conclusions

RTN in RRAM devices is studied through a 3D numerical model, where the random fluctuation between two levels is explained by the change of charge state in a bistable defect close to the CF. The model provides a physical quantitative description of both the electron transport in presence of a fluctuating defect and the temperature-dependent switching kinetics. The model accounts for the size dependence of RTN amplitude, which is due to the partial or full depletion of carriers depending on the CF diameter, and for bias dependence of RTN switching. The average switching times are shown to decrease with voltage, which is explained by Joule heating induced by $V_{\text {read }}$. The relative change of resistance also decreases with $V_{\text {read }}$ for relatively large $R$, which is due to the impact of the negatively-charged defect potential on the energy barrier for PF conduction. In support of this interpretation, metallic CFs are shown to display no $V_{\text {read }}{ }^{-}$ dependence of the relative resistance change. These results suggest that increasing $V_{\text {read }}$ may significantly reduce the impact of RTN on read errors.

## IX. Acknowledgments

The authors gratefully acknowledge K. Hasnat, K. S. Min and P. Spadini for several fruitful discussions.

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[^0]:    S. Ambrogio, S. Balatti, A. Cubeta and D. Ielmini are with the Dipartimento di Elettronica, Informazione e Bioingegneria and Italian Universities Nanoelectronics Team (IU.NET), Politecnico di Milano, piazza L. da Vinci 32, 20133 Milano, Italy. E-mail: daniele.ielmini@polimi.it. A. Calderoni and N. Ramaswamy are with Micron Technology Inc., Boise, Idaho. The work at Politecnico di Milano was supported in part by Intel under Project 55887 and in part by the Fondazione Cariplo under Grant 2010-0500.

