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4th INTERNATIONAL FORUM OF DESIGN AS A PROCESS

SCIENTIFIC THEMATIC MEETING OF THE LATIN NETWORK FOR THE DEVELOPMENT OF DESIGN PROCESSES

















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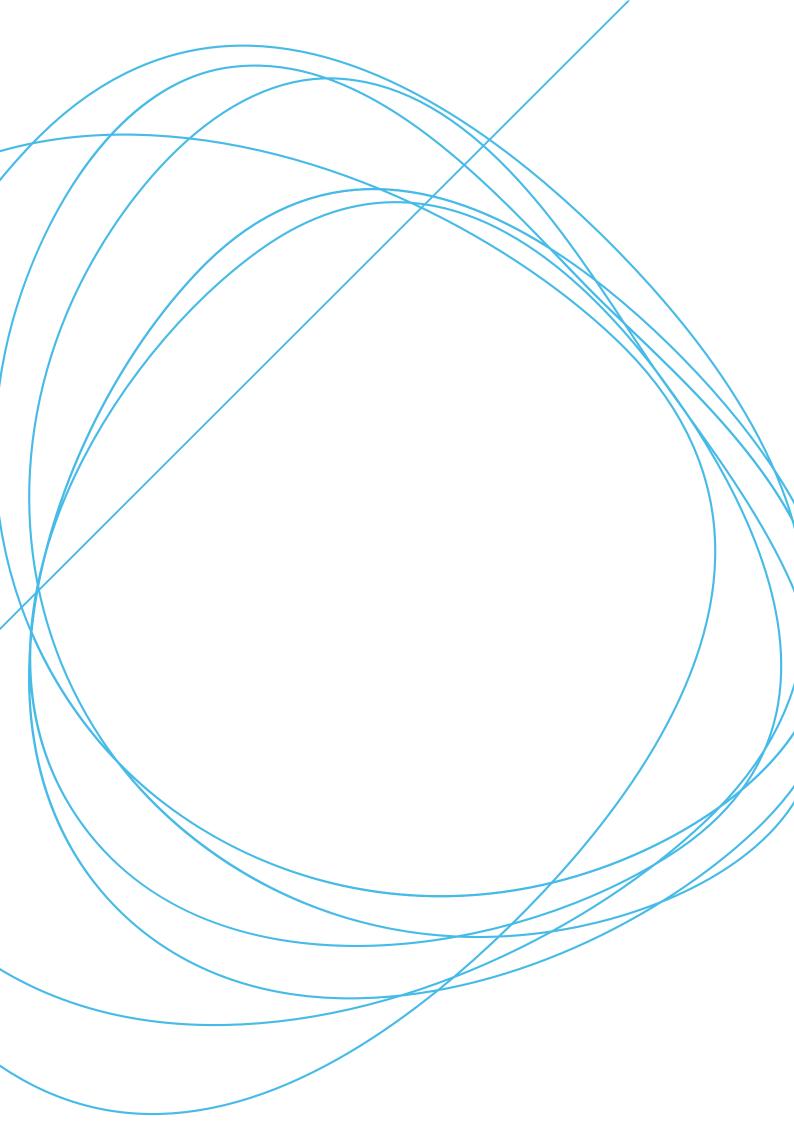
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Design as inventive process:

The contribution of design semiotics

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Abstract

Intended as planning tension – that is to say an intentional act moved by a state of necessity and oriented towards the achievement of a goal – design has a role always more important in the construction of the "idea of the future". But the future not only needs to be constructed, but firstly it needs to be interpreted. The sense of the future (what we desire being) influences the sense of the present (what we are able to be). But through which possibile means is it possibile to elaborate a plausibile hypothesis as a response to a problematic condition (lack, inadequacy, desire)? What does it mean in semiotic terms, to interpret the sense of the future?

Keywords

Semiotics, Inventive, Abduction, Future

1. Design as interpretative activity

The roots of semiotic thought lie in the medicine of Hippocrates and in the art of navigation. In these and other activities, the guiding role is played by the mind's capacity to interpret a present fact, object or event: the symptoms of disease, the position of the stars in the sky. This is practical and design-related knowledge, expression of a thought capable of adapting to the changing, obscure and problematic reality. And obviously also capable of overcoming this reality and finding ways of dominating its enigmas. Medicine and navigation can be defined as techniques of finding, or inventive techniques. We live to find: an explanation, the meaning and the sense of things, the con-nections between one event and another, the overcoming of a problem, a destination, the conclusion of a physical or mental journey, a pur-pose.

This practical knowledge has been called by historians Jean Pierre Vernant and Marcel Detienne (1974) ruse de l'intelligence, a "trick of intelligence". This form of knowledge differs due to certain aspects concerning design semiotics: because it is a knowledge with a purpose; because this purpose is aimed at a future action, such as healing, searching for resources, orientation; because in this practical knowledge, thought is always stimulated by an object-related reality which presents a problem.

Design and design-related activity in general, is a practical knowledge; and design implicates knowing how to find, knowing how to seek and knowing how to interpret. Design is moved by the aware-ness that we live in a problem-world, because the environment itself is a problem.

This means that design operates within the space of a double ob-servation: between dissatisfaction and the search for pleasure, between feeling inadequate and creating a balance, between suffering a state of discomfort and preparing a state of wellbeing. This double observation is what Peirce called abduction, the form of reasoning that allows us to create a possible absent. Every product, before being designed, is absent and possible: and if we are able to think about them, it is only because of abduction.

2. Abduction and inventive

Abduction is a form of prefiguration and implicates the capacity to see beyond appearances (which can be obstacles, problems) and to create associations between experiences we already know about and those that could still occur. The capacity to prefigure possible scenes is one of the conditions of inventive thought. As sustained by Johann–Heinrich Füssli at a conference in 1801 at the Royal Academy of London, inventive consists in knowing how to combine what we know with what we think is possible and likely, plausible. At that conference, entitled "Invention", the Swiss painter traced a clear dif–ference between creation and invention:

[...] the term invention never ought to be so far misconstrued as to be confounded with that of creation, incompatible with our notions of limited being, an idea of pure astonishment, and admissible only when we mention Omnipotence: to invent is to find: to find some-thing, presupposes its existence somewhere, implicitly or explicitly, scattered or in a mass (Füssli 1801)

This passage was later summarised by Füssli in a well-known aphorism: "Creation gives, invention finds existence".

Inventing, therefore, meaning finding, discovering. But in order to find, we have to act, start using our hands and mind, rummaging and digging deep down, physically and intellectually. This

means dividing what we select from what we reject; making choices by following the idea of a purpose. Again, Füssli:

Form in its widest meaning, the visible universe that envelops our senses, and its counterpart the invisible one that agitates our mind with visions bred on sense by fancy, are the element and the realm of invention; it discovers, selects, combines the possible, the probable, the known, in a mode that strikes with an air of truth and novelty, at once. (*ibidem*)

Invention therefore is the identification of a possible object within the knowledge available, where reality – the physical and psychic world – become a field for constant interrogation and therefore of in-terpretation. Not for nothing, the logical form of inventive is abduction which, starting from a question, seeks the answer where it has never been sought before (cf. Bonfantini, 1987).

Abduction as a form of inventive

One of Pierce's most significant definitions of abduction is that contained in A Syllabus of Certain Topics of Logic, dated 1903:

An Abduction is a method of forming a general prediction with-out any positive assurance that it will succeed either in the special case or usually, its justification being that it is the only possible hope of regulating our future conduct rationally, and that Induction from past experience gives us strong encouragement to hope that it will be successful in the future. (EP 2:299)

Among the many definitions, I've chosen this one because it reveals two very fertile aspects for design: (i) abduction as "a method of forming a general prediction"; (ii) but, at the same time, uncertain in nature and lacking guarantees of success, seeking all the time; (iii) and the fact that abduction is "the only possible hope of regulating our fu-ture conduct rationally".

So abduction means interpretation of the present state and the in-ventive of a future state; abduction is the logical road taken by every interpretative process. This logical road starts with the acknowledge-ment of a surprising fact, the cause of which we seek. This is Pierce's summary:

The surprising fact, C, is observed; But if A were true, C would be a matter of course, Hence, there is reason to suspect that A is true. (CP 5.189)

Looking at this double passage and applying it to a tangible exam-ple, the formula that expresses abduction is:

C I see that the ground is wet (C);

 $A \rightarrow C$ If it had rained (A), the ground would be wet (C),

A So I'm right to think that it has rained (A).

As you can see, the conclusion is just a possibility, but not a cer-tainty. But this is the strength of

abduction. That the ground is wet because it has rained is one of the many possible reasons, not the only one. It isn't a necessary reason, it's only sufficient. This is why the conclusion of abduction is a hypothesis, a thesis which is still under-ground and temporary. Abduction is the only inference that is a may-be. And while being uncertain and subject to verification, abduction is the only explicative inference: not only does it explain the facts, it also enables us to uncover them and find them.

The macro-argument

The formation of a hypothesis is, after all, the initial act of every design process. In a text dated 1901, Peirce writes, On the Logic of Drawing History from Ancient Documents Especially from Testimo-nies:

Abduction, on the other hand, is merely preparatory. It is the first step of scientific reasoning, as induction is the concluding step. (CP 7.218)

And further ahead:

Abduction seeks a theory. Induction seeks for facts. In abduction the consideration of the facts suggests the hypothesis. In in-duction the study of the hypothesis suggests the experiments which bring to light the very facts to which the hypothesis had pointed. (*ibidem*)

Adapting this step to the design process, we could say: abduction is the first step (merely preparatory), while induction is its conclusion (the concluding step). While induction seeks facts in order to draw up a theory, starting from a hypothesis, abduction seeks a hypothesis, en-couraged by facts, by something which the mind sees a question that still has no answer. It stems from a surprising fact and leads towards its possible cause; instigated by what happens in our mind, starting with what surprises it or arouses its curiosity, which interests it be-cause it stimulates it to acquire knowledge.

But as far as abduction is conceivable as the first step in a design process, by itself it leads nowhere. Its adventurous inference, which proceeds in uncertainty and moves forward by attempts, exposes it to a risk: abduction can fail. No design can be entrusted to abduction alone; inventive can be the dawning phase of the design process, but not its only path.

So, while there is a clear distinction between the three inferences (deduction, induction, abduction), in the flow of though they are amalgamates like the different elements of a chemical composition. If, according Peirce, "An 'Argument' is any process of thought reasona-bly tending to produce a definite belief" (CP 6.456), this composition of the three inferences is a macro-argument. In this passage, taken from the same text of 1901, we have a description which adapts well to the design process:

Now the only way to discover the principles upon which any-thing ought to be constructed is to consider what is to be done with the constructed thing after it is constructed. That which is to be done with the hypothesis is to trace out its consequences by deduc-tion, to compare them with results of experiment by induction, and to discard the hypothesis, and try another, as soon as the first has been refuted; as it presumably will be. How long it will be before we light upon the hypothesis which shall resist all tests we cannot tell; but we hope we shall do so, at last. (CP 7.220)

The macro-argument can be considered as the logical path ("the only way") of every design, marked by the abduction-deduction-induction movement. It is an open process, a largely unlimited semi-osic cycle. While it is plausible to state that thought always tends to-wards the hypothesis – for example in the form of hope or suspicion, of scientific doubt or even of the fear of something new

-, it is neces-sary to acknowledge that a permanent state of hypothesis makes us feel as though we are always waiting and never departing. Always with a plan in mind and never in the thick of the implementation pro-cess.

The macro-argument is an inferential cycle which can always re-commence from the start, destined to begin again and again, until at least a design hypothesis, to quote Peirce, "shall resist all tests" and shall open the way to the executive and productive phase.

Being an inferential cycle, the macro-argument is a sort of "design life" which acts before, during and after the design, involving every player: from the designer to the end user, from production to disposal, from the buyer to technical assistance. Not only is the user the addressee of the design, he is often the new subject of the design activity. Every user completes and continues the design by using it.

The triadic rhythm of the macro-argument could be represented as follows:

In all three moments, the abduction-deduction-induction triadic rhythm is the same, but with partially different contents.

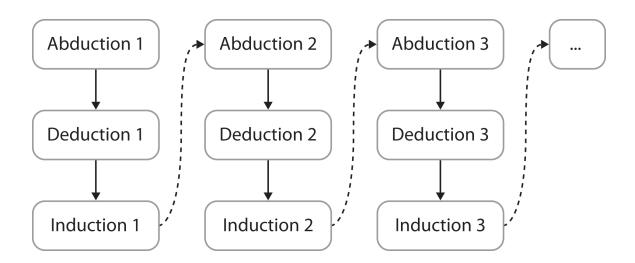


FIG. 1. The triadic rhythm of the macro-argument.

Abduction and the idea of the future

Abduction doesn't only look at the past through. It should be con-ceived as an oscillating movement of the mind, which shifts its vision between past, present and future, between what is and what could be.

[...] according to Pragmaticism, the conclusion of a Reasoning power must refer to the Future. For its meaning refers to conduct, and since it is a reasoned conclusion must refer to deliberate con-duct, which is controllable conduct. But the only controllable con-duct is Future conduct. (CP 5.461)

Any future reality will be the result of our present action and the consideration that we have of the past. This isn't a forecast but, once again, an interpretation: the germ of the sense of an action that

can occur lies in an existing reality. In this case, past and future cannot be separated:

To say that the future does not influence the present is untenable doctrine. It is as much to say that there are no final causes, or ends. The organic world is full of refutations of that position. (CP 2.86)

Between present and future there is a relationship of reciprocal in-terpretation: if the present is subject to interpretation (e.g.: I want to understand why I find myself in a crisis), its interpreter can but be a future action (the decision as to what to do to overcome the crisis). If, on the other hand, the subject of interpretation is the future (what I want to be), I have to seek the answer in the present (in the conditions that determine the desire). The idea of the future has effects on the present. Tomorrow's plan doesn't leave today indifferent. The sense of actions in the present is determined by the sense that we are able or that we wish to plan.

But how does the future influence the present? Peirce responds shortly afterwards:

But it is true that the future does not influence the present in the direct, dualistic, way in which the past influences the present. A machinery, a medium, is required. (*ibidem*)

The thought of the future guides the actions of the present, but to design future actions we need a mediating device. This device is the design activity. Design is machinery and medium towards the future.

In another passage, Peirce writes:

How does the Future bear upon conduct? The answer is that fu-ture facts are the only facts that we can, in a measure, control; and whatever there may be in the Future that is not amenable to control are the things that we shall be able to infer, or should be able to in-fer under favorable circumstances. (CP 5.461)

We can have control only over what we are able to design because only what we want to design is already in our hands. Design is the connection between our beliefs in the world and our future conduct, because "the only controllable conduct is Future conduct" (*ibidem*).

Projective abduction

So abduction allows us also, and in many cases especially, to look ahead. It doesn't just reveal what has been: its observation is perspec-tive and allows the portrayal of what can be, interpreting a future sense. Abduction also allows us to see what has still to be, or which is far away and beyond our horizon; it can also be metaphorically thought of as a mental view (to quote Hippocrates), which extends the visual field of purely phenomenal dimension (what we see) to the im-aginative dimension (what we could see). Abduction allows us to see through the phenomenal data – so we can find, even before we seek.

This also means that abduction does not seek probability as much as possibility. Abduction does not calculate what should reasonably happen, but poses questions and seeks answers. «Abduction starts from a question, the subject-interpreter remembers or invents a possible answer, an interpretant, and assumed it in a hypothesis» (Bonfantini, 2000: 109).

Now, this assuming in a hypothesis is a prefiguration: a figure placed in front of itself – taking into account that "figure" comes from pretence, meaning moulding and forming, like in the visual arts. Pre-figuring is pretending, staging a scene: it's "what if". The prefiguration is a model of what could be and is not impossible: it's maybe.

Prefiguration is one of the objectives attributed to design, together with the capacity to exhibit or display the nature of problems. Good luck and the applications of the scenario-based design concept (cf. Carrol, 1995) are indirect confirmation.

Abduction and the projective future

How can we portray a projective abduction? We can look again at the formula that we traced at the beginning of this essay. We assume that the consequent is a problem, considered as an object or event that obstructs and prevents proceeding towards a target. It is necessary to take into consideration the existence of a form of abduction can pre-figures a state of things such that an object-problem found may no longer exist. The antecedent is therefore placed in the projective future: the subject of the abduction is what will be designed.

To portray the projective abduction it is possible to switch, using a negation operator, the values of what is consequent (an existing state) and what may be antecedent (a state to be hypothesised). The inten-tion is no longer to seek the origin of the problem but to overcome it by intervening. Here are three possible variants:

First variant of projective abduction:

Second variant of projective abduction:

Third variant of projective abduction:

C C is an object-problem.

In all three cases, the consequent is always an object-problem, an existing and problematic reality, an obstacle or an absence. It is the subject of the interpretative activity that prepares the design activity.

An oscillating movement

So a looking to the past makes abduction a retroduction and looking to the future makes abduction projective. In the first case, abductive reasoning presumes the hypothesis of the possible antecedent: it is an act of effective "presumption", not only in the sense of the conjecture, but also in that of self-confidence and faith in the capacity to see clearly. In the second case, abduction in an "assumption" of re-sponsibility: because it accepts the challenge and because it projects its future action on what it assumes as a hypothesis.

We can display this double observation with a graph – showing the oscillating movement between past, present and future – of abduction:

In the centre we place any fact that surprises the mind, a fact that aims towards our attention and our interest because it contains some-thing that equips it with relevance and meaning. In general, every fact can be surprising or can be a "curious circumstance". The "surprise" lies in the possible sense that it lets us glimpse.

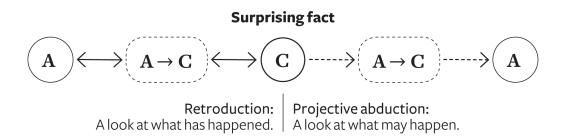


FIG. 2. Retroduction and projective abduction

Like in one of the numerous cases of best known and analysed ser-endipity, that of the invention of the Biro, an idea which (is said) was had by László József Bíró at the end of the 1930's, after watching some children playing marbles on the road – and noticing that the marbles left a wet line after rolling though a puddle. But, as the biolo-gist Louis Pasteur would say, abduction requires not only capacity for observation – or oriented perception – but also a "ready mind". A mind that is already implicated in research. A mind that knows how to seek even if it doesn't know what it might find. Bíró knew he was seeking a type of pen that left no marks on paper, the way expensive fountain pens did; and watching those kids play, he understood how the pen he was seeking had to be.

Design, training in interpretation

In conclusion, we ought to remember that inventive isn't an excep-tional action. It mustn't be confused with an act of general "creativi-ty". Inventing is completely different from creating; inventing is out everyday capacity to find something, but also high tension in terms of awareness and poetics. Inventive capacity is training in interpretation; it is the most acute moment of semiotics, considered as an interpretative activity, semiotic which interpret the world in order to know about it and transform it.

The semiotics of design should then grasp design as the semiosic act that draws connections, relationships and interdependence between an idea and its tangible form, via an act of prefiguration. Without this vision that embraces the entire "design", from the intention to the end, without this regulative skill which is design, designare and disegnare, the Latin terms from which the word "design" comes, meaning the attribution of a name and form and sense to things, without all this, every project risks remaining unrealised or risks limiting its effective-ness to an act of mere repetition.

Hence the inventive nature of design: the form found by design is the form of a content that design portrays through the form. This then leads to the connection between design and abduction. Design is the invention of a form capable of making an inventive step forward and shedding light on the unexplored aspects of our practical and mental universe: the imaginative step forward of abduction.

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