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(54) OPTO-MAGNETIC SENSOR DEVICE AND MOLECULAR RECOGNITION SYSTEM

OPTOMAGNETISCHE SENSORVORRICHTUNG UND MOLEKULARES ERKENNUNGSSYSTEM DISPOSITIF DE CAPTEUR OPTO-MAGNÉTIQUE ET SYSTÈME DE RECONNAISSANCE MOLÉCULAIRE

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EP 3 724 637 B1

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Description

TECHNICAL FIELD

[0001] The present invention refers to the field of sensing the presence and concentration of an analyte in a sample by means of an optical sensor.

STATE OF THE ART

[0002] According to a known technique, it is used a chip provided with optical sensors suitably functionalized to the surface thereof by probe molecules which specifically bind to analyte molecules in a molecular recognition process, for sensing the presence and concentration of said analyte molecules in a sample. Said sensing is made possible by varying the optical characteristics, generally the refraction index, upon a chemisorption of the analyte molecules on the sensor surface due to the hybridization with the probes, which causes a response optical change of the sensor itself. If the sensor is a ring optical resonator, the variation of the refraction index causes a measurable shift of the resonance, which is assumed as an indicator of the locally captured quantity of analyte molecules.

[0003] The use of microresonators is for example described in the document: "Label-Free Single Exosome Detection Using Frequency-Locked Microtoroid Optical Resonators", Judith Su, ACS Photonics 2015, 2, 1241-1245. The signal useful for having the analyte concentration is obtained by measuring the shift of the resonance wavelength upon the molecular recognition and consequently requires that, during said measure, there are not spurious phenomena causing a shift of the resonance uncorrelated to the molecular recognition and therefore causing false positives. Such spurious phenomena can be tied to temperature variations, a partial evaporation of the sample on the optical chip, variations of the optical coupling, mechanical instabilities, etcetera. From the operative point of view, this requires to provide several experimental provisions minimizing the spurious effects: stabilization of the temperature, use of a suitable microfluid cell preventing the evaporation, assembly on an antivibration optical table, the addition of further control sensor elements, etcetera. Moreover, it is necessary to acquire the signals during all the molecular recognition process, this step often requires more than 30 minutes, in order to find possible spurious variations which could make invalid the result. This makes the test burdensome in terms of the required instrumentation and less adapted to be used in the field, outside a specialized scientific laboratory, which instead is required in many applications.

[0004] Document US-A-2013/4101 A1 describes a biosensing platform by which magnetic nanoparticles which can selectively bind to the analyte, are dispensed. By acting on a magnetic static field, said particles are attracted to the chip surface, so that the interaction between the analyte and probes is promoted. Even though

this technique makes faster the test and increases the sensibility of the sensor due to the particle size greater than the analyte, it suffers from the beforehand described spurious phenomena.

⁵ **[0005]** Other similar opto-magnetic devices are described in patent document US 2013/261010 or in patent document US 9315855.

[0006] Document US-A-2016/0146798 describes an optical biosensor based on the optical measure, in light

- transmission, of the variation of the Brownian relaxing times of magnetic particles freely moving in a suspension, upon a molecular recognition on the surface thereof. The Applicant observes that such method has some limitations about the use of the optical biosensor for the par-
- ¹⁵ allel recognition of different analytes, substantially due to the requirement of having different classes of particles, one for each analyte, specifically functionalized and having a frequency response well defined to oscillating magnetic fields used for exciting them.

SUMMARY OF THE INVENTION

[0007] The present invention addresses the problem of proposing a molecular recognition technique based on
 ²⁵ an optical sensor, enabling to reduce the effect of spurious phenomena (temperature variations, partial evaporation of the sample, optical coupling variations, mechanical instabilities, for example) in comparison with the known prior art.

- 30 [0008] Particularly, the object of the present invention is an opto-magnetic device as defined in claim 1, and the preferred embodiments thereof as defined in claims from 2 to 8.
- [0009] It is also an object of the present invention an analyte recognition system as described in claim 9 and in the particular embodiments thereof defined in claims from 10 to 15.

BRIEF DESCRIPTION OF THE DRAWINGS

[0010] The present invention is specifically described in the following in a non-limiting exemplifying way, with reference to the attached drawings, wherein:

- Figure 1 schematically illustrates (by a cross-section) an example of an optical device for sensing the presence of an analyte, having a magnetic actuator;
 - Figures 2 and 3 schematically illustrate the optical sensor device in an operative step;
 - Figure 4 illustratively shows the trend of the amplitude of the magnetic field and of the square of the magnetic field, generated by the magnetic actuator;
 - Figure 5 schematically shows another embodiment of the optical sensor device;
- Figures 6a and 6b show the trend of the optical powers respectively at two different outputs of said sensor device as a function of the wavelength of the incident radiation;

- Figure 7a and Figure 7b show the variation of the intensity of the optical radiation at two different outputs of the optical sensor device subjected to an oscillating magnetic field in the presence of the molecular recognition and magnetic particles;
- Figure 8 shows an example of a molecular recognition system using said optical sensor;
- Figure 9 shows the intensity variation of the output optical signal from the optical sensor device in the presence and without the magnetic field.

DETAILED DESCRIPTION

[0011] While the invention is susceptible to different modifications and alternative constructions, some particular embodiments are shown in the drawings and will be specifically described in the following. In the present description, analogous or identical elements or components will be indicated in the figures by the same identifying symbol.

[0012] Figure 1 and Figure 2 schematically show an example of an optical sensor device 100, sensible to the presence of an analyte. The optical sensor device 100 can sense the presence of different types of substances (analytes) such as for example: proteins, antibodies, nucleic acids, explosive and health harmful chemical substances, etcetera.

[0013] The optical sensor device 100 comprises an integrated optical circuit 101 having an input IN for an input optical radiation, and an output OU for an output optical radiation. The integrated optical circuit 101 comprises at least one area 102 sensible to the variations of a local refraction index probed (or seen) by the optical radiation. Such area 102 determines a surface adapted to immobilize the probe molecules, as will be described in the following.

[0014] Advantageously, the optical sensor device 100 is associated to a suitable dispensing system adapted to dispense a sample to be analyzed, containing the analyte, at least on the surface of the area 102 of the optical device itself, for example in a solution. Such dispensing system is also adapted to dispense other reagents necessary to perform a diagnostic test, among them suitable suspensions of magnetic particles, and also possible washings. If the sample is in a liquid phase, said dispensing system can consist of a microfluidic cell connected to a suitable apparatus provided with pumps and valves for managing the flows of the reagents on the optical device 100. In suitable embodiments of the diagnostic test, which do not require multiple washings or recognitions of the "sandwich" type, the dispensing system can comprise a well for receiving the sample.

[0015] In the example of Figures 1 and 2, the integrated optical circuit 101 is a planar optical waveguide (for example of the rib, ridge, slot, strip type or similar) and comprises a substrate 103 and a guiding layer 104 of the rectangular type for example, integrated on the substrate 103.

[0016] Specifically, an upper wall of the guiding layer 104 is the surface of the area 102 and on which the sample is destined to be placed in contact.

[0017] For example, the planar waveguide 101 is made
 by one of the following technologies: silicon photonics, silicon nitride, silicon oxynitride, indium phosphide, chalcogenides.

[0018] The optical sensor device 100, in an operative step, comprises a plurality of probe molecules 105 ca-

¹⁰ pable of anchoring to the surface of the area 102 of the optical circuit 101 and exhibiting a selective affinity to an analyte 106. The selection of the type of probe molecules 105 to be used depends on the type of analyte 106 of which the presence is expected to be sensed. Examples

¹⁵ of substances useable as probe molecules 105 are: proteins, antibodies, nucleic acids, etcetera.

[0019] Moreover, the optical sensor device 100 is provided with a plurality of magnetic particles 107 capable of binding (anchoring) to the molecules 106 of the ana-

²⁰ lyte. Such bond can be obtained during a step of preparing the sample, before a dispending step on the surface of the optical sensor device 100, or directly on the surface of the area 102 of the same, after the hybridization step between the probe molecules 105 and analyte 106. It is

observed that the length of the probe molecule 105 is selected in order to obtain a good mobility of the magnetic particle 107 anchored to it by the analyte molecule 106.
 [0020] Such magnetic particles 107 can be of the ferromagnetic, ferrimagnetic or paramagnetic type. In the invention they are superparamagnetic particles. The su-

perparamagnetic particles are the preferred ones because they avoid an undesired aggregation to each other while ensuring a substantial susceptibility.

[0021] Exemplary useable magnetic particles 107 comprise: single superparamagnetic nanoparticles (Co, CoFe, NiFe, ferrites Fe_xO_y , etc.), aggregates ("beads") of said superparamagnetic nanoparticles dispersed in a polymeric matrix; antiferromagnetic synthetic particles consisting of two ferromagnetic layers separated by a

40 non-magnetic layer, having a thickness such to determine an antiparallel coupling of the magnetization in the two layers in a null field (Co/Cu/Co, CoFe/Ru/CoFe, CoPt/Ta/CoPt, etc.).

[0022] Particularly, the magnetic particles 107 in the nanometric range exhibit a diameter typically comprised from 50 to 250 nm. Greater dimensions must be avoided for limiting the disproportion between the linear dimensions of the probe-analyte complex after the molecular recognition at the surface and the dimensions of the mag-

⁵⁰ netic particles 107. The purpose of this is also for limiting the high number of anchoring points of the single particle to the surface, in order to promote the motion thereof in response to external magnetic fields.

[0023] Moreover, said magnetic particles 107 can be advantageously designed for exhibiting a shape and magneto-crystalline anisotropy enabling a more effective mechanical implementation by time-varying magnetic fields.

[0024] The optical sensor device 100 is also provided with a magnetic actuator 108 (for example an electromagnet) configured to generate a variable magnetic field and move the magnetic particles 107, causing a variation of the refraction index of the optical circuit 101 and a variation of at least one characteristic parameter of the output radiation, correlated to a concentration of the analyte 106.

[0025] The magnetic actuator 108 can be arranged in different possible configurations with respect to the optical circuit 101. According to the example of Figure 1, the electromagnet 108 faces a wall of the substrate 103 opposite to the one on which the area 102 sensible to the variation of the refraction index is placed. In Figure 1, the magnetic actuator 108 is placed below the optical sensor device 100 but can be placed above, juxtaposed, or in other positions.

[0026] In the embodiment illustrated in Figure 1, the electromagnet 108 comprises a magnetic core 111 and a solenoid conductor 110 (with n windings) for circulating an electric current. Other modes of generating variable magnetic fields can provide to move permanent magnets in proximity of the optical sensor device 101.

[0027] During the operation of the optical sensor device 100, a sample suspended in the analyte substance 106 is suitably disposed on the surface of the area 102 of the planar waveguide 101, sensible to the variations of the refraction index. In this case, the molecules 106 of the analyte specifically bind (by chemical bonds) to the probe molecules 105 (anchored to the area 102 of the guiding layer 104) and, then, the magnetic particles 107 bind to the molecules 106 of the analyte.

[0028] According to another possible mode, the sample placed on the surface of the area 102 of the guiding layer 104 is an analyte-particle complex comprising the molecules 106 of the analyte, already bound to the magnetic particles 107. According to this further mode, the already formed analyte-particle complex is specifically bound to the probe molecules 105.

[0029] Upon such bonds, and without a variable magnetic field, the magnetic particle 107 is disposed at a fixed distance Z_0 from the upper wall of the guiding layer 104, defined by the dimensions of the probes 105.

[0030] An optical radiation is injected in the input IN of the planar waveguide 101 and the optical mode thereof propagates along the direction y (indicated in Figures from 1 to 3).

[0031] The magnetic actuator 108 is capable of producing a distribution of the magnetic field with a high gradient in a direction normal to the surface (axis z). Figure 3 illustratively shows the lines of force of the magnetic field.

[0032] When the magnetic field is generated by the electromagnet 108, the magnetic particles 107 are in a gradient of the field and are subjected to a force directed towards the areas having a higher field (in other words towards the electromagnet 108 itself).

[0033] For magnetic particles 107 of the ferromagnetic

type, the associated force is proportional to the gradient of the field ∇H . By using in the invention magnetic particles 107 of the superparamagnetic type, having a high susceptibility and a substantially null residual magnetism, in order to avoid the aggregation and anyway obtain high forces, the force exerted to the magnetic particle 107 is proportional to the gradient of the square of the magnetic field ∇H^2 . The magnetic particles 107 subjected to such force, therefore, tend to move along the axis z.

10 [0034] The described method of operation provides to generate, by the electromagnet 108, an oscillating field, causing a spatial oscillation of the magnetic particles 107 with a total amplitude Δz , shown in Figure 3. Particularly, the electromagnet 108 generates a sinusoidal magnetic

15 field modulated at frequency f₀. In this case, the frequency of the square H² of the field is twice, 2f₀, as schematically shown in Figure 4. It is also observed that the gradient of the square of the field, and therefore the force and displacement, are modulated at frequency 2fo. Since

20 the force is a quantity defined as positive, in other words always directed towards the magnet 108, the magnetic particle 107 oscillates between an equilibrium position and one nearer to the electromagnet 108. Both such positions are also determined by biomechanical properties

25 of the probe-analyte complex, by the gravity and by the Archimedes force, since the magnetic particle 107 is dispersed in the liquid of the sample.

[0035] The displacement of the magnetic particles 107 modifies the interaction with the optical radiation OF (or 30 optical field) guided by the guiding layer 104 and shown in Figure 2, causing a variation of the effective refraction index n_{eff} probed by the evanescent electromagnetic field associated to the optical mode propagating in the wave guide optical circuit 101. The variation of the interaction between the magnetic particle 107 and electromagnetic field associated to the optical radiation, determines a change of at least one parameter of such optical radiation, such as for example the propagation losses, the propagation speed, the backreflections, with a time trend 40 determined by the oscillating magnetic field produced by the electromagnet 108.

[0036] Therefore, sensing the changes of at least one parameter of the optical radiation caused by the oscillating magnetic field produced by the electromagnet 108

enables to obtain information regarding the concentra-45 tion of the molecules 106 of the analyte in the used sample solution.

[0037] It is observed that the optical circuit 101 can be also of a type different from the above described planar 50 wave guide. For example, Figure 5 schematically shows another version of the optical sensor device 100 wherein the optical circuit 101 comprises, in addition to the guiding layer 104 integrated in the substrate 103, also at least one optical microresonator 109. The optical microreso-55 nator 109 is optically coupled to the guiding layer 104 for receiving a portion of the optical radiation propagating in such guiding layer 104.

[0038] Moreover, the microresonator 109 is also cou-

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pled to a further planar optical guide 112, integrated on the substrate 103, having an additional output OU_D for the optical radiation.

[0039] If the wavelength of the optical radiation injected in the optical circuit 101 is a submultiple of the optical path of the ring of the microresonator 109, the resonance condition is attained and the transmission of the guiding layer 104 towards the output OU is substantially decreased since is destined to the additional output OU_D . Figure 6a illustratively shows the trend of the optical power at the output OU, sensed by a photodiode, as a function of the wavelength of the incident radiation. Figure 6b shows the trend of the optical power at the additional output OU_D .

[0040] The oscillation of the magnetic particles 107 bound to the probe-analyte complex 105-106 on the surface of the area 102 of the resonator 109, causes a modification of the effective refraction index of the optical mode, causing a variation of the resonance frequency of the microresonator 109.

[0041] During the operation of the optical sensor device 100 of Figure 5, an optical radiation with a wavelength proximate to the one of the resonance one λ_0 of the integrated optical microresonator 109 is injected, and particularly at the maximum slope point of the transmission function. Therefore, an oscillating magnetic field generated by the electromagnet 108 is applied, causing a movement of the magnetic particles 107, as already described, with an associated variation of the effective refraction index of the microresonator 109, in order to also modify the resonance conditions. This causes a modulation of the intensity of the optical radiation at the outputs OU and OU_D . Figure 7a refers to the trend of an electric signal obtained by sensing the optical radiation at the output OU of the optical circuit 101, as the applied magnetic field varies: the amount of the variation of the electric signal is a function of the time trend of the force acting on the magnetic particle 107, proportional to the gradient of the square of the magnetic field, ∇H^2 . In the same way, a complementary signal is obtained by sensing the radiation present at the additional output OU_D, as shown in Figure 7b.

[0042] If the transmission as a function of the wavelength is assumed linear about the considered work point (this is a small-signal approximation which does not jeopardize the principle on which this invention is based), a shift Δz of the magnetic particle 107 causes a resonance shift equal to $\Delta \lambda$ and therefore an intensity modulation $l(\lambda)$ transmitted to the output OU and to the additional output OU_D, expressible as:

$$\Delta I(\lambda) = I(\lambda + \Delta \lambda) - I(\lambda) = I'(\lambda)\Delta \lambda$$

wherein:

 $I(\lambda)$ is the optical intensity transmitted at the output OU or OU_D;

 $\Delta I(\lambda)$ is the variation of the transmitted optical intensity;

 $\Delta \lambda$ is the shift of the resonance;

 $I'(\lambda)$ is the first derivative of the optical intensity.

[0043] From the above written expression it is evident that the trend of an electric signal, obtained by the optoelectric conversion of the radiation transmitted by the optical circuit 101, is maximized at the most sloping point

¹⁰ of the transmission curve shown in Figure 7a, at the side of the resonance.

[0044] For the superparamagnetic-type magnetic particles 107, whose force oscillates at a frequency twice the one of the magnetic field H, the electric signal ob-

¹⁵ tained by the opto-electric conversion of the radiation outputs by the optical circuit 101, has a frequency equal to $2f_0$, wherein fo is the oscillation frequency of the magnetic field.

[0045] According to a particular embodiment practical example of the optical circuits 101 in Figures 1 and 5, the following illustrative dimensional values are given:

- the guiding layer 104 has a thickness t = 300 nm and is made by the silicon photonics technology;
- the electromagnet 108 has a radius R = 0.5 cm and an axial length L = 2.2 cm;
- the electromagnet 108 is placed at a distance $\delta = 6$ from the substrate 103 and is capable of generating a magnetic field of intensity H = 0,625 A/m and gradient H² equal to 2×10⁹ A²/m³;
- the magnetic particle 107, chemically bound to the analyte in turn bound to the probe particles 105, is at a distance $Z_0 = 20-100$ nm from the surface of the area 102 of the guiding layer 104.

[0046] Figure 8 refers to a particular example of a molecular recognition system 200 comprising: an optical radiation source 201 (OS), the optical sensor device 100, an opto-electric conversion module 202 (PD), and a processing electronic apparatus 203 (PROC).

[0047] The optical radiation source 201 can be, for example, a tunable laser having an output port coupled (e.g. by an optical fiber or by suitable lenses) to the inlet IN of the optical circuit 101. The optical radiation source 201

⁴⁵ is capable of generating a continuous radiation at the wavelength at which the guiding layer 104 is transparent to the radiation itself. Typically, the used wavelengths are the telecommunications ones (from 1500 to 1600 nm and from 1200 to 1400 nm), the near infra-red (750, 850, 980 nm), medium infra-red (from 2 to 8 microns), and the

visible range (from 400 to 650 nm). **[0048]** As it is well known to the persons skilled in the field, the photodetector and/or the laser source can be directly integrated on the chip by using technologies such

⁵⁵ as indium phosphide for photodiodes and lasers, the germanium or silicon/germanium for the photodiodes, hybridally or monolithically added to the integrated optical sensor device 100.

[0049] The optical sensor device 100 can be one of the devices beforehand described with reference to Figures from 1 to 7. Moreover, the recognition system 200 comprises a pilot signal generator 204 (DS-G) such to generate a pilot electrical signal Sdr (preferably a sinusoidal voltage and/or current signals) destined to supply the magnetic actuator 108. According to an embodiment example, the pilot electric signal has a peak-to-peak voltage comprised between 0 and 5 Vpp and a frequency to 100 Hz.

[0050] The magnetic actuator 108 comprises an electromagnet in which a current of 0-2 A flows, for example, to which a magnetic field of intensity 0-5 mT corresponds, which supplies the optical circuit 101, at a distance δ .

[0051] The opto-electric conversion module 202 can comprise at least one photodiode and has an input optically coupled to the output OU and/or to the additional output OU_D of the optical circuit 101 and an output for an electric signal SE, proportional to the intensity of the output optical radiation, to be supplied to the processing electronic apparatus 203.

[0052] The electronic processing apparatus 203 is capable of receiving the electric signal Se and from which, calculating data regarding the concentration in the analyzed sample of the molecules 106 of the analyte.

[0053] By considering the case in which the electric signal Se represents an intensity modulation of the optical radiation as described with reference to Figures from 5 to 7 (by the microresonators 109), the electronic processing apparatus 203 can be selected for performing a coherent demodulation of the electric signal Se. For example, such processing apparatus includes a lock-in demodulator capable of extracting the amplitude of the modulated electric signal Se.

[0054] The operation of the molecular recognition system 200 is based on the operation of the optical sensor device 100, as described. In summary, the generation of the optical radiation is provided by the source 201 coupled to the optical circuit 101 and the variable magnetic field is generated by the magnetic actuator 108, commanded by the pilot electric signal Sdr. The low-frequency (preferably from 10 Hz to 100 Hz, or more broadly from 1 Hz to 1 kHz) variable magnetic field generates the oscillating motion of the magnetic particles 107 anchored to the surface of the area 102 of the circuit 101 by one or more probe molecules 105, which causes a variation of the intensity of the optical radiation transmitted at the output OU and/or OU_D , at the same excitation frequency or at a double frequency.

[0055] Specifically, it is observed that the optical radiations at outputs OU and/or OU_D are converted in one or more electric signals Se having an amplitude function of several experimental parameters, among which:

(i) the wavelength λ_0 of the incident optical radiation, (ii) the amplitude of the oscillating magnetic field H, (iii) the distance of the electromagnet 108 from the optical circuit 101, which determines the entity of the gradient ΔH of the magnetic field,

(iv) the length and the rigidity of the probe-analyte complex,

 (v) the number of magnetic particles 107 bound to the surface of the area 102 and oscillated by the magnetic field H,
 (vi) the optical characteristics of the resonator 109

(vi) the optical characteristics of the resonator 109(the width and depth of the resonant peak).

10 [0056] All the parameters (in other words the above listed ones) being equal it is possible to establish a relationship between the number of magnetic particles 107 bound to the area 102 of the microresonator 109, in turn function of the molecular recognition events on said area,

¹⁵ and the entity of the electric signal Se at the output. This is the physical principle for quantifying the concentration of an analyte in the used sample, by comparing a suitable calibration curve, beforehand measured, in response to samples having titrated concentrations of the analyte.

²⁰ **[0057]** The electric signal Se, supplied by an opto-electric conversion module 202, is demodulated at the frequency $2f_0$ by the electronic processing circuit 203, such as a lock-in amplifier, using, as a reference, the pilot signal Sdr at the input of the electromagnet 108.

²⁵ [0058] By the amplitude of the electric signal Se, obtained by a demodulation, a computing module (for example included in the processing electronic device 203) determines the concentration in the analyzed sample of the molecule 106 of the analyte, by a comparison with a suitable calibration curve.

[0059] It is observed that calibration steps made on known samples, enabling to determine a calibration curve for each considered specific analyte, are performed before using the optical sensor device 100 and recognition system 200,

[0060] Due to the times required to measure the concentration of the analyte, it is observed that the sensor optical device 100 and recognition system 200 enable to quantify the molecular recognition events at the surface

40 of the sensor optical device 100 in a particularly short time. For example, it is sufficient to acquire signals in an interval of few tens of seconds. This can be performed in a step following the probe-analyte hybridization step, without requiring to continuously monitor the signal which

can cause the risk of errors generated by spurious fluctuations. On the other hand, the short quantifying time is independent from the time required for the molecular recognition between the probe and the analyte which is dictated by the association and dissociation constants of
 the complex.

[0061] According to a particular embodiment, the optical sensor device 100 enables to implement a kind of "magnetic color" for the magnetic particles 107. More particularly, using pairs of magnetic particles 107 and probe molecules 105 having suitable dimensions, shape and mechanical properties, it is possible to have on a same area sensible to the optical circuit 101, magnetic nanoparticles having a different frequency response to the

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magnetic fields. Measuring the signal modulation at different frequencies makes consequently possible to obtain the concentrations of different anlytes on the optical sensor device 100 itself.

[0062] This enables to reliably quantify relative concentrations also in case of a competitive molecular recognition, without the problem of the different sensibility of nominally identical sensors on which it is possible to simultaneously measure different analytes.

[0063] With reference to the examples of the optical circuit 101, beforehand described, it is observed that this latter can comprise, in addition, or as a substitution, at least one of the following integrated optical devices: any interferometers (a Fabry-Perot interferometer, a resonant ring interferometer, a Mach-Zehnder interferometer, a Sagnac interferometer, an all-pass type Gires-Tournois interferometer, a Michelson interferometer, a diffraction grating, a Bragg grating, an apparatus exploiting the Surface Plasmon Resonance (SPR), the Localized Surface Plasmon resonance (LSPR) or the Surface Enhanced Raman Spectroscopy (SERS). The electric signal Se will have a trend function of the characteristic parameter of the optical radiation correlated to a concentration of the molecules 106 of the analyte in the sample.

Experimental results

[0064] The Applicant has made a prototype of the optical sensor device 100 by using optical microresonators 109 by which double DNA helixes, of 60 bases (about 20 nm) were immobilized, which expose a molecule of biotin. The immobilization step was performed by different concentrations of DNA, for evaluating the dependency of the sensed signal from the concentration of the immobilized molecules, simulating the results of a real molecular recognition experiment.

[0065] Then, a solution of magnetic nanoparticles Micromod®Nanomag®-D having a diameter of 250 nm and coated by streptavidin, was dispensed on the surface of the area 102 of the optical sensor device 100. Due to the strong chemical affinity between biotin and streptavidin, the magnetic nanoparticles 107 bind to the surface thanks to the concentration of the immobilized double helixes. The unbound surplus magnetic particles 107 were removed by suitably washing them in PBS, and the optical sensor device 100 is positioned on a sample-holder of the measure station, by always maintaining the particles in a wet environment for maintaining their stability. [0066] The rigidity of the double helix, having a length less than the typical persistence length of DNA, does not enable substantial elongations or inflections, but a DNA rotation with respect to the anchoring point, which causes a net shift of the particles in a direction perpendicular to the surface of the optical sensor device 100.

[0067] For a magnetic field H on the surface of the optical circuit 101 with a maximum amplitude of 0.6 A/m and ∇ H² equal to 2 × 10⁹ A²/m³, and a sinusoidal modulation of 10 Hz, at a DNA concentration of 1 μ M used

for the immobilization on the optical circuit 101, it is obtained a percentage modulation of the intensity at the output at frequency 2fo equal to about 0.7%, as shown in Figure 9.

⁵ [0068] As can be seen in Figure 9, the electric signal is only present under ON conditions of the magnetic field H ("H on"), while it is null without the magnetic field ("H off"). This is an important result, because the quantification does not require a time acquisition of all the dynamics
 ¹⁰ of the molecular recognition.

[0069] The signal/noise ratio for the signal in Figure 9, evaluated as a ratio between the average value of the signal in the presence of an oscillating magnetic field and the variance of the signal in the H-off condition is greater

 15 than 200. Such value was obtained from a DNA concentration equal to 1 μM used for the immobilization, however other not shown data indicate that it is possible to obtain detection limits (LOD) in the order of pM.

[0070] The described approach is particularly advantageous because the used sensing technique, based on magnetic particles bound to the analyte and probe molecules and based on the use of a variable magnetic field, enables to have a high variation of the local refraction index, entailing the availability of an optical signal by

which the impact of the spurious fluctuations is reduced, substantially increasing the signal/noise ratio. Moreover, the quantification according to the described technique is performed within tens of seconds, without requiring to continuously record the signal during the molecular recognition, which typically requires few tens of minutes for

obtaining a saturation. **[0071]** Specifically, it is observed that the optical sensor device 100 and system 200 enable to asynchronously measure the analyte with respect to a molecular recognition reaction which leads to the bonds with the analyte, provided that the stability of the particles and bonds between it and the optical circuit surface is ensured. This enables to substantially relax the stability requirements of the different measure parameters (temperature, opti-

40 cal coupling, etcetera) with respect to the standard techniques using optical devices such as microresonators, without a magnetic particle-induced modulation.

[0072] In an embodiment of the present invention it is also possible to demodulate the differential signal ob-

tained by electrically subtracting the outputs OU and OU_D in order to cancel the common mode noises or without requiring possible normalizations of the signal itself. In this case, the electronic processing apparatus 203 is configured to demodulate the differential signal obtained by
the subtraction (e.g., between electric signals obtained by corresponding opto-electronic converters) of the radiation at the output OU and at the additional output OU_D, or by other analogous normalization forms.

Claims

1. Opto-magnetic device (100) sensitive to the pres-

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ence of an analyte in a sample, comprising:

an integrated optical circuit (101) having an input (IN) for an input optical radiation and at least one output (OU; OU_D) for an output optical radiation; said optical circuit defining an area (102) sensitive to the variations of a local refraction index probed by the optical radiation, to come in contact with the sample;

a plurality of probe molecules (105) such to anchor to at least said sensible area (102);

a plurality of magnetic particles (107) such to anchor to molecules (106) of the analyte, bound to the probe molecules (105) upon a molecular recognition;

a magnetic actuator (108) configured to generate a variable magnetic field according to a first frequency (f_0) and move in oscillating way said magnetic particles (107) according to a second frequency ($2f_0$) bound to the molecules (106) of the analyte which are bound to the probe molecules (105) anchored to said sensible area in order to cause a variation of the refraction index probed by the optical radiation in the sensible area (102) and a variation of intensity of the output optical radiation correlated to a concentration of the molecules (106) of the analyte in the sample,

wherein:

a) said magnetic particles (107) are superparamagnetic particles;

b) the magnetic actuator (8) comprises an electromagnet;

c) said first frequency is comprised between ³⁵ 1Hz and 1kHz;

d) the magnetic actuator (108) is configured to cause a modulation of the intensity of the output optical radiation at the second frequency (2fo) double the first frequency (fo).

- 2. Optical device (100) according to claim 1, wherein said intensity being modulable as a consequence of a variation of the refraction index probed by the optical radiation in the sensible area (102) of the optical circuit (101).
- Optical device (100) according to claim 1, wherein said integrated optical circuit (101) comprises at least one integrated optical waveguide (104; 112) 50 configured to receive the input optical radiation and transmitting the output optical radiation.
- **4.** Optical device (100) according to claim 2, wherein:

the integrated optical circuit (101) comprises at least one microresonator (109) configured to define the sensible area (102); said at least one microresonator (109) being associated with a resonance frequency which is function of a distance of said magnetic particles (107) from the sensible area (102);

the magnetic actuator (108) is configured to generate an oscillating force on the magnetic particles (1047) anchored to the sensible area (102), upon the molecular recognition between the probe molecules (105) and the analyte molecules (106), with a consequent oscillation of the refraction index probed by the optical radiation such as to cause a modulation of the intensity of the output optical radiation.

- 5. Optical device (100) according to claim 1, wherein the magnetic actuator (108) is configured to generate an oscillating magnetic field causing a modulation of the intensity of the output optical radiation at the second frequency, when the frequency of the optical radiation injected in the optical circuit is close to the resonance frequency of the microresonator (109).
- **6.** Optical device (100) according to claim 1, wherein said frequency of the optical radiation injected in the optical circuit is close to the resonance frequency of the microresonator (109) at the maximum of the slope of a transmission function of the microresonator.
- Optical device (100) according to claim 1, wherein said optical circuit (101) is made according to one of the following technologies: silicon photonics, silicon nitride, silicon oxynitride, indium phosphide, chalcogenides.
 - 8. Optical device (100) according to claim 1, wherein said optical circuit (101) comprises one of the following integrated optical devices: an interferometer, a Fabry-Perot interferometer, a resonant ring interferometer, a Mach-Zehnder interferometer, a Sagnac interferometer, an all-pass type Gires-Tournois interferometer, a Michelson interferometer, a diffraction grating, a Bragg grating, an apparatus exploiting the Surface Plasmon Resonance (SPR), the Localized Surface Plasmon resonance (LSPR) or the Surface Enhanced Raman Spectroscopy (SERS).
 - **9.** Recognizing system of an analyte of a sample (200) comprising:

- an optical radiation source (201) configured to generate an input radiation,

- an opto-magnetic device (100) sensitive to the presence of the analyte in the sample and made according to at least one of the proceeding claims;

- an optical-electric conversion module (202) configured to convert the output optical radiation

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into an electric signal (Se) proportional to said intensity of the optical radiation;

- an electronic processing apparatus (203) connected to said optical-electric conversion module (202) and configured to process the electric signal and determining the concentration of the molecules (106) of the analyte.

- Recognition system (200) according to claim 9, wherein the magnetic actuator (108) is configured to generate a variable magnetic field at the first frequency comprised between 10Hz and 100Hz.
- 11. Recognition system (200) according to claims 4 and 9, wherein:
 the electronic processing apparatus (203) is configured to perform an amplitude demodulation of the electric signal at the second frequency (2f₀).
- **12.** Recognition system (200) according to claim 10, ²⁰ wherein:

said electromagnet comprises an electric conductor (110) and a magnetic core (111); the recognition system (200) further comprises ²⁵ a pilot signal generator (204) configured to supply a pilot electric signal (Sdr) to the electric conductor (110), having a sinusoidal trend.

13. Recognition system (200) according to claim 9, 30 wherein:

the optical-electric conversion module (202) comprises at least one photodiode; said optical radiation source (201) comprises a ³⁵ laser.

- 14. Recognition system (200) according to claim 9, wherein the optical-electric conversion module (202) and/or said optical radiation source (201) are integrated on an optical chip, on which said optical sensor device (100) is integrated.
- **15.** Recognition system (200) according to claim 12, wherein:

the integrated optical circuit (101) is provided with a further output (OU_D) for an output optical radiation complementary to said output optical radiation (OU);

the optical-electric conversion module (202) is configured to convert the complementary output optical radiation into a further electric signal; the electronic processing apparatus (203) is configured to perform a demodulation of a differential signal obtained by a difference between the electric signal and the further electric signal.

Patentansprüche

 Optomagnetische Vorrichtung (100), die empfindlich auf das Vorhandensein eines Analyten in einer Probe ist, umfassend:

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Eine integrierte optische Schaltung (101) mit einem Eingang (IN) für eine optische Eingangsstrahlung und mindestens einem Ausgang (OU; OUD) für eine optische Ausgangsstrahlung; wobei die optische Schaltung einen Bereich (102) definiert, der empfindlich ist für die Änderungen eines lokalen Brechungsindexes, der durch die optische Strahlung gemessen wird, um mit der Probe in Kontakt zu kommen; eine Vielzahl von Sondenmolekülen (105), die so beschaffen sind, dass sie sich zumindest in dem empfindlichen Bereich (102) verankern; eine Vielzahl von magnetischen Partikeln (107), die so beschaffen sind, dass sie sich an Molekülen (106) des Analyten verankern und bei einer molekularen Erkennung an die Sondenmoleküle (105) gebunden werden;

einen magnetischen Aktuator (108), der so konfiguriert ist, dass er ein variables Magnetfeld gemäß einer ersten Frequenz (f_0) erzeugt und die magnetischen Partikel (107), die an die Moleküle (106) des Analyten gebunden sind, die an die Sondenmoleküle (105) gebunden sind, die in dem empfindlichen Bereich verankert sind, auf oszillierende Weise bewegt, um eine Änderung des Brechungsindexes, der durch die optische Strahlung in dem empfindlichen Bereich (102) gemessen wird, und eine Änderung der Intensität der ausgegebenen optischen Strahlung, die mit einer Konzentration der Moleküle (106) des Analyten in der Probe korreliert, zu bewirken, wobei:

a) die magnetischen Partikel (107) superparamagnetische Partikel sind;

b) der magnetische Aktuator (8) einen Elektromagneten umfasst;

c) die erste Frequenz zwischen 1Hz und 1kHz liegt;

d) der magnetische Aktuator (108) so konfiguriert ist, dass er eine Modulation der Intensität der optischen Ausgangsstrahlung bei der zweiten Frequenz ($2f_0$), die doppelt so hoch wie die erste Frequenz (f_0), bewirkt.

- Optische Vorrichtung (100) nach Anspruch 1, wobei die Intensität als Folge einer Änderung des Brechungsindexes, der durch die optische Strahlung in dem empfindlichen Bereich (102) des optischen Schaltkreises (101) gemessen wird, modulierbar ist.
- 3. Optische Vorrichtung (100) nach Anspruch 1, wobei

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die integrierte optische Schaltung (101) mindestens einen integrierten optischen Wellenleiter (104; 112) umfasst, der so konfiguriert ist, dass er die optische Eingangsstrahlung empfängt und die optische Ausgangsstrahlung überträgt.

4. Optische Vorrichtung (100) nach Anspruch 2, wobei:

Der integrierte optische Schaltkreis (101) mindestens einen Mikroresonator (109) umfasst, der so konfiguriert ist, dass er den empfindlichen Bereich (102) verfeinert;

wobei der mindestens eine Mikroresonator (109) mit einer Resonanzfrequenz verbunden ist, die eine Funktion des Abstands der magnetischen Partikel (107) von dem empfindlichen Bereich (102) ist;

der magnetische Aktuator (108) so konfiguriert ist, dass er bei der molekularen Erkennung zwischen den Sondenmolekülen (105) und den ²⁰ Analytmolekülen (106) eine oszillierende Kraft auf die an dem empfindlichen Bereich (102) verankerten magnetischen Partikel (107) erzeugt, mit einer daraus folgenden Oszillation des durch die optische Strahlung gemessenen Bre-²⁵ chungsindexes, um eine Modulation der Intensität der ausgegebenen optischen Strahlung zu bewirken.

- 5. Optische Vorrichtung (100) nach Anspruch 1, wobei der magnetische Aktuator (108) so konfiguriert ist, dass er ein oszillierendes Magnetfeld erzeugt, das eine Modulation der Intensität der ausgegebenen optischen Strahlung mit der zweiten Frequenz bewirkt, wenn die Frequenz der in den optischen Schaltkreis injizierten optischen Strahlung nahe der Resonanzfrequenz des Mikroresonators (109) liegt.
- 6. Optische Vorrichtung (100) nach Anspruch 1, wobei die Frequenz der in die optische Schaltung injizierten optischen Strahlung nahe der Resonanzfrequenz des Mikroresonators (109) beim Maximum der Steigung einer Übertragungsfunktion des Mikroresonators liegt.
- Optische Vorrichtung (100) nach Anspruch 1, wobei die optische Schaltung (101) nach einer der folgenden Technologien hergestellt ist: Silizium-Photonik, Silizium-Nitrid, Silizium-Oxinitrid-, Indium-Phosphid, Chalkogenide.
- Optische Vorrichtung (100) nach Anspruch 1, wobei die optische Schaltung (101) eine der folgenden integrierten optischen Vorrichtungen umfasst: Ein Interferometer, ein Fabry-Perot-Interferometer, ein resonantes Ringinterferometer, ein Mach-Zehnder-Interferometer, ein Sagnac-Interferometer, ein Gires-Tournois-Interferometer vom Allpass-Typ, ein Mi-

chelson-Interferometer, ein Beugungsgitter, ein Bragg-Gitter, eine Vorrichtung, die die Oberflächenplasmonenresonanz (SPR), die lokalisierte Oberflächenplasmonenresonanz (LSPR) oder die oberflächenverstärkte Raman-Spektroskopie (SERS) nutzt.

9. System zur Erkennung eines Analyten in einer Probe (200), umfassend:

- Eine optische Strahlungsquelle (201), die so konfiguriert ist, dass sie eine Eingangsstrahlung erzeugt,

eine optomagnetische Vorrichtung (100), die für das Vorhandensein des Analyten in der Probe empfindlich ist und nach mindestens einem der vorhergehenden Ansprüche hergestellt ist;
ein optisch-elektrisches Umwandlungsmodul (202), das so konfiguriert ist, dass es die optische Ausgangsstrahlung in ein elektrisches Signal (Se) umwandelt, das proportional zu der Intensität der optischen Strahlung ist;
ein elektronisches Verarbeitungsgerät (203), das mit dem optisch-elektrischen Umwandlungsmodul (202) verbunden und so konfiguriert ist, dass es das elektrische Signal verarbeitet und die Konzentration der Moleküle (106) des

- Analyten bestimmt.
- **10.** Erkennungssystem (200) nach Anspruch 9, wobei der magnetische Aktuator (108) so konfiguriert ist, dass er ein variables Magnetfeld mit der ersten Frequenz zwischen 10 Hz und 100 Hz erzeugt.
- ³⁵ 11. Erkennungssystem (200) nach den Ansprüchen 4 und 9, wobei: Die elektronische Verarbeitungsvorrichtung (203) so konfiguriert ist, dass sie eine Amplitudendemodulation des elektrischen Signals mit der zweiten Frequenz (2f₀) durchführt.
 - 12. Erkennungssystem (200) nach Anspruch 10, wobei:

Der Elektromagnet einen elektrischen Leiter (110) und einen Magnetkern (111) umfasst; das Erkennungssystem (200) ferner einen Pilotsignalgenerator (204) umfasst, der so konfiguriert ist, dass er dem elektrischen Leiter (110) ein elektrisches Pilotsignal (Sdr) mit einem sinusförmigen Verlauf zuführt.

13. Erkennungssystem (200) nach Anspruch 9, wobei:

das optisch-elektrische Wandlermodul (202) mindestens eine Fotodiode umfasst; die optische Strahlungsquelle (201) einen Laser umfasst.

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- 14. Erkennungssystem (200) nach Anspruch 9, wobei das optisch-elektrische Umwandlungsmodul (202) und/oder die optische Strahlungsquelle (201) auf einem optischen Chip integriert sind, auf dem die optische Sensorvorrichtung (100) integriert ist.
- 15. Erkennungssystem (200) nach Anspruch 12, wobei:

Die integrierte optische Schaltung (101) mit einem weiteren Ausgang (OUD) für eine zu der ¹⁰ optischen Ausgangsstrahlung (OU) komplementäre optische Ausgangsstrahlung versehen ist;

das optisch-elektrische Umwandlungsmodul (202) so konfiguriert ist, dass es die komplementäre optische Ausgangsstrahlung in ein weiteres elektrisches Signal umwandelt;

die elektronische Verarbeitungsvorrichtung (203) so konfiguriert ist, dass sie eine Demodulation eines Differenzsignals durchführt, das durch eine Differenz zwischen dem elektrischen Signal und dem weiteren elektrischen Signal erhalten wird.

Revendications

 Dispositif opto-magnétique (100) sensible à la présence d'un analyte dans un échantillon, comprenant :

> un circuit optique intégré (101) ayant une entrée (IN) pour un rayonnement optique d'entrée et au moins une sortie (OU ; OU_D) pour un rayonnement optique de sortie ; ledit circuit optique définissant une zone (102) sensible aux variations d'un indice de réfraction local sondé par le rayonnement optique, pour entrer en contact avec l'échantillon ;

> une pluralité de molécules sondes (105) destinées à s'ancrer à au moins ladite zone sensible (102) ;

une pluralité de particules magnétiques (107) destinées à s'ancrer à des molécules (106) de l'analyte, liées aux molécules sondes (105) lors d'une reconnaissance moléculaire ;

un actionneur magnétique (108) configuré pour générer un champ magnétique variable, selon une première fréquence (f_0), et déplacer de manière oscillante lesdites particules magnétiques (107), selon une seconde fréquence ($2f_0$), liées aux molécules (106) de l'analyte qui sont liées aux molécules sondes (105) ancrées à ladite zone sensible de façon à provoquer une variation de l'indice de réfraction sondé par le rayonnement optique dans la zone sensible (102) et une variation d'intensité du rayonnement optique de sortie corrélée à une concentration des molécules (106) de l'analyte dans l'échantillon, dans lequel :

a) lesdites particules magnétiques (107) sont des particules superparamagnétiques ;

b) l'actionneur magnétique (8) comprend un électroaimant ;

c) ladite première fréquence est comprise entre 1 Hz et 1 kHz ;

d) l'actionneur magnétique (8) est configuré pour provoquer une modulation de l'intensité du rayonnement optique de sortie à la seconde fréquence $(2f_0)$ double de la première fréquence (fo).

- Dispositif optique (100) selon la revendication 1, dans lequel ladite intensité est modulable en raison d'une variation de l'indice de réfraction sondé par le rayonnement optique dans la zone sensible (102) du circuit optique (101).
- Dispositif optique (100) selon la revendication 1, dans lequel ledit circuit optique intégré (101) comprend au moins un guide d'ondes optique intégré (104 ; 112) configuré pour recevoir le rayonnement optique d'entrée et transmettre le rayonnement optique de sortie.
- 30 **4.** Dispositif optique (100) selon la revendication 2, dans lequel :

le circuit optique intégré (101) comprend au moins un microrésonateur (109) configuré pour définir la zone sensible (102), ledit au moins un microrésonateur (109) étant associé à une fréquence de résonance qui est fonction d'une distance desdites particules magnétiques (107) à partir de la zone sensible (102) ;

l'actionneur magnétique (108) est configuré pour générer une force oscillante sur les particules magnétiques (1047) ancrées à la zone sensible (102), lors de la reconnaissance moléculaire entre les molécules sondes (105) et les molécules d'analyte (106), avec une oscillation résultante de l'indice de réfraction sondé par le rayonnement optique de façon à provoquer une modulation de l'intensité du rayonnement optique de sortie.

5. - Dispositif optique (100) selon la revendication 1, dans lequel l'actionneur magnétique (108) est configuré pour générer un champ magnétique oscillant provoquant une modulation de l'intensité du rayonnement optique de sortie à la seconde fréquence, lorsque la fréquence du rayonnement optique injecté dans le circuit optique est proche de la fréquence de résonance du microrésonateur (109).

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- 6. Dispositif optique (100) selon la revendication 1, dans lequel ladite fréquence du rayonnement optique injecté dans le circuit optique est proche de la fréquence de résonance du microrésonateur (109) au maximum de la pente d'une fonction de transmission du microrésonateur.
- Dispositif optique (100) selon la revendication 1, dans lequel ledit circuit optique (101) est réalisé conformément à l'une des technologies suivantes : photonique sur silicium, nitrure de silicium, oxynitrure de silicium, phosphure d'indium, chalcogénures.
- Dispositif optique (100) selon la revendication 1, dans lequel ledit circuit optique (101) comprend l'un des dispositifs optiques intégrés suivants : un interféromètre, un interféromètre de Fabry-Perot, un interféromètre en anneau résonant, un interféromètre de Mach-Zehnder, un interféromètre de Sagnac, un interféromètre de Gires-Tournois de type passetout, un interféromètre de Michelson, un réseau de diffraction, un réseau de Bragg, un appareil exploitant la résonance plasmonique de surface (SPR), la résonance plasmonique de surface localisée (LS-PR) ou la spectroscopie Raman exaltée de surface
- **9.** Système de reconnaissance d'un analyte d'un échantillon (200) comprenant :

 - une source de rayonnement optique (201) configurée pour générer un rayonnement d'entrée ;
 - un dispositif opto-magnétique (100) sensible à la présence de l'analyte dans l'échantillon et fabriqué selon au moins l'une des revendications
 35 précédentes ;

- un module de conversion optique-électrique
 (202) configuré pour convertir le rayonnement
 optique de sortie en un signal électrique (Se)
 proportionnel à ladite intensité du rayonnement
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 optique ;

 - un appareil de traitement électronique (203)
 connecté audit module de conversion optiqueélectrique (202) et configuré pour traiter le signal électrique et déterminer la concentration des ⁴⁵ molécules (106) de l'analyte.

- 10. Système de reconnaissance (200) selon la revendication 9, dans lequel l'actionneur magnétique (108) est configuré pour générer un champ magnétique variable à la première fréquence comprise entre 1 Hz et 100 Hz.
- 11. Système de reconnaissance (200) selon les revendications 4 et 9, dans lequel :
 l'appareil de traitement électronique (203) est configuré pour effectuer une démodulation d'amplitude du signal électrique à la seconde fréquence (2fo).

12. - Système de reconnaissance (200) selon la revendication 10, dans lequel :

> ledit électroaimant comprend un conducteur électrique (110) et un noyau magnétique (111) ; le système de reconnaissance (200) comprend en outre un générateur de signal pilote (204) configuré pour fournir un signal électrique pilote (Sdr) au conducteur électrique (110), ayant une tendance sinusoïdale.

- **13.** Système de reconnaissance (200) selon la revendication 9, dans lequel :
- le module de conversion optique-électrique (202) comprend au moins une photodiode ; ladite source de rayonnement optique (201) comprend un laser.
- 14. Système de reconnaissance (200) selon la revendication 9, dans lequel le module de conversion optique-électrique (202) et/ou ladite source de rayonnement optique (201) sont intégrés sur une puce optique, sur laquelle ledit dispositif capteur optique
 (100) est intégré.
 - **15.** Système de reconnaissance (200) selon la revendication 12, dans lequel :
 - le circuit optique intégré (101) comporte une autre sortie (OU_D) pour un rayonnement optique de sortie complémentaire audit rayonnement optique de sortie (OU) ;

le module de conversion optique-électrique (202) est configuré pour convertir le rayonnement optique de sortie complémentaire en un autre signal électrique ;

l'appareil de traitement électronique (203) est configuré pour effectuer une démodulation d'un signal différentiel obtenu par une différence entre le signal électrique et l'autre signal électrique.



FIG.1











FIG.4



EP 3 724 637 B1



EP 3 724 637 B1



Intput Optical Signal



Output Optical Signal



REFERENCES CITED IN THE DESCRIPTION

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