3 Selective Modulation Of A1 Astrocytes By Drug-

4 Loaded Nano-Structured Gel In Spinal Cord Injury

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23 ABSTRACT

24 Astrogliosis has a very dynamic response during the progression of spinal cord injury, with 25 beneficial or detrimental effects on recovery. It is therefore important to develop strategies to 26 target activated astrocytes and their harmful molecular mechanisms so as to promote a 27 protective environment to counteract the progression of the secondary injury. The challenge is 28 to formulate an effective therapy with maximum protective effects, but reduced side effects. 29 In this study a functionalized nanogel-based nanovector was selectively internalized in 30 activated mouse or human astrocytes. Rolipram, an anti-inflammatory drug, when 31 administered by these nanovectors limited the inflammatory response in A1 astrocytes, 32 reducing iNOS and Lcn2, which in turn reverses the toxic effect of proinflammatory astrocytes on motor neurons in vitro, showing advantages over conventionally administered 33 34 anti-inflammatory therapy. When tested acutely in a spinal cord injury mouse model it 35 improved motor performance, but only in the early stage after injury, reducing the 36 astrocytosis and preserving neuronal cells. 37

39 Spinal cord injury (SCI) is the most frequent disabling injury of the spine. SCI leads to cell 40 degeneration at the epicenter of the lesion, including neurons, astrocytes and 41 oligodendrocytes.¹ Secondary processes (e.g. inflammatory response, excitotoxicity, 42 apoptosis and oxidative stress) cause additional loss of neurons and glial cells (secondary 43 injury). The reactive proinflammatory response of the astrocyte population, with the 44 subsequent formation of scar tissue and the inhibition of axonal regrowth, seems to be pivotal. 45 After acute damage, astrocytes become reactive and undergo a spectrum of changes in their 46 phenotype, gene expression and proliferation.² It has been suggested that reactive astrocytes 47 near the lesion might have roles that are either beneficial or detrimental in central nervous 48 system (CNS) repair. ^{1,2} Several neuropathological stimuli induce a variable phenotypic 49 "state" of astrocytes that change after injury. These are commonly called stated A1 and A2^{2,3} 50 and are parallel to the terminology of macrophages M1 and M2, which was applied to the 51 microglial response in the CNS.⁴ 52 Astrocytes with A1 phenotype exert neurotoxic effects, upregulating many genes associated 53 with the synapse and neuronal degeneration, suggesting that A1 has harmful "pro-54 inflammatory" action. ^{2,3} In contrast, A2-induced reactive astrocytes exert protective effects 55 by upregulating the expression of neurotrophic factors that promote neuronal survival and 56 regrowth. ^{2,3} 57 Various approaches have been employed to counteract the negative effects of activated 58 astrocytes ⁵, blocking scar formation or pharmacologically and genetically reducing 59 upregulation of axon growth inhibitors. ^{6–8,9} However, genetic manipulation is not clinically 60 feasible, because ethical issues remain and it could have side effects, whereas 61 pharmacological treatment could act on unwanted common mechanisms without any selective 62 effect on astrocytes. Innovative biomaterial technologies have been developed to induce 63 spinal cord regeneration and improve functional outcomes after injury ^{10–14}. Polymeric

64	nanoparticles (NPs) with their versatility in size, potential surface and hydrophilic or
65	lipophilic characteristics, offer considerable advantages in drug delivery, increasing the
66	selectivity of drugs and controlling their release overtime. In the past decade a large number
67	of different NP systems have been tested in SCI, but only a few are directed to astrocytes, and
68	none are selective for them. ^{6,15,16}
69	We have focused on a specific category of NPs that, with their ability to swell, are commonly
70	referred to as nanogel (NG). 17 Their advantages, compared with NPs, lie in greater colloidal
71	stability due to higher affinity for water, together with longer retention of their cargo, and
72	easy, reproducible synthesis. ¹⁷
73	We have now developed and characterized a novel pharmacological delivery tool based on an
74	NG (polyethylene glycol (PEG) and polyethylene-imine (PEI)) coated with primary amines
75	and loaded with a drug (Rolipram) to obtain selective, controlled release for the astrocytic
76	component of the spinal cord.
77	
78	RESULTS
79	
80	1. NG synthesis and characterization
81	NG was synthesized by the CH ₂ Cl ₂ -in-water emulsification-evaporation method:
82	"Carbonyldiimidazole (CDI) activated" PEG was dissolved in the organic phase, and PEI was
83	dipped in aqueous solution. After sonication, the progressive evaporation of CH ₂ Cl ₂ in the
84	emulsion status take place. The system can ensure homogeneous dispersion of PEG chains
85	around PEI promoting the interactions among the imidazole and amine moieties of the two
86	polymers, giving rise to the formation of carbamate bonds and entanglement of the chains.
87	Then grafting the terminal amine moieties in already formed NG was done in a single step
88	through the direct addition of 3-bromopropylamine to the NG solution. A reaction scheme for

89 the NG is reported in Figure S1. The 3-bromopropylamine nucleophilic substitution occurred 90 on the residual PEI amine groups and this reaction did not affect the NG bonds, preserving 91 the structural organization of the polymeric chains. The evidence of the system stability after 92 coating relies on the fact that NGs are still present as visible from AFM (Atomic Force 93 Microscopy) (Fig.S2). Carbamate bonds are indeed the crosslinking connections among PEG 94 and PEI chains so, in case of their break, the NG structure would have been no more 95 consistent and the polymers would have been dispersed in the water medium. 96 No competition was observed between this reaction and the NG structural bonds, as 97 demonstrated by the preservation of the corresponding signals in NMR and FT-IR analyses 98 (Fig.S3, S4), after coating. The NG physical features were investigated using dynamic light 99 scattering (DLS) technique: the recorded data of NGs dissolved in PBS on size (diameter) and 100 z-potential are respectively 155 nm (PDI = 0.15) and 3.1 mV. The distributed positive charge 101 was related to the presence of -NH₂ surface groups that gave rise to NG protonation and a 102 positive charged interface.

103

104 2. Cellular uptake study of NG in murine astrocytes in vitro

105 We examined the uptake of biodegradable NG (covalently linked to Cy5) in primary co-106 cultures of microglia, astrocytes and neurons from the spinal cords of mouse embryos. A 107 specific antibody was used to detect neurons (SMI32) in co-culture with astrocytes; astrocytes 108 and microglia were analyzed in monoculture. "Pro-inflammatory" phenotype was induced by 109 treatment with lipopolysaccharide (LPS) for mono and co-cultures. After 24h exposure to NP, 110 a large amount of NG-based NPs was internalized into the cytosol on the outer nucleus in 111 astrocytes (Fig. 1; A, a). In contrast, when we analyzed activated microglia there were only a 112 few internalized NG (Fig.1; A, b), and none in neurons (Fig.1; A, c). We quantified the

- internalized NG as a ratio between the NG signal area and cell area, confirming that a largeramount of NG was taken up by activated astrocytes (Fig.1; A, d).
- 115 To confirm the reduced ability to internalize NG, we compared the amount of poly-methyl
- 116 methacrylate-(PCL), a nanovector selectively internalized in activated microglia ¹⁸, and found
- a significantly lower uptake for NG compared to PCL in the same cells (Fig.1; B, a-e). These
- 118 data suggest greater tropism of NG for activated astrocytes than microglia or neurons *in vitro*.
- 119 Time-lapse analysis was used to investigate the kinetics of internalization of NG in astrocytes.
- 120 NG uptake was already detectable after 24 hours of treatment, reaching the maximum signal,
- 121 which did not significantly change three days after the exposure. At five days the NG signal
- decreased, demonstrating degradation of the nanovector (Fig.1; A, e).
- 123 In order to investigate targeting of the NG to activated phenotypes, we compared untreated
- 124 (CTR) (Fig. S5; A, a, B, a), LPS-treated (Fig. S5; A,B, b) and FGF (A2 stimuli) (Fig. S5; A,
- 125 c) or IL-4 (M2 stimuli) (Fig. S5; B, c) treated murine astrocytes or microglia in vitro.
- 126 Quantification of the NG uptake in murine astrocytes and microglia shows higher NG
- 127 internalization in LPS treated cells compared to CTR and FGF/IL-4 (Fig. S5; A, d, B, d). This
- 128 suggests a stronger treatment for the proinflammatory phenotype enhancing the selective
- 129 action.
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- 132



136 Figure 1

137 A) Characterization of NG uptake in primary cultures of (a) astrocytes, (b) microglia (c)

neurons. A large amount of NG is located in the cytosol of astrocytes after 1 day (1D) of

- 139 exposure. a) astrocytes or b) microglia stained by fluorescein (F, green); c) neurons stained
- 140 by SMI32 (SMI32, green); NG conjugated with Cy5 (NG-Cy5, red); cell nuclei stained by
- 141 Hoechst (H, blue). Scale bar 25 µm. (d) Quantification of the NG uptake in activated
- 142 astrocytes and microglia shows higher NG internalization in astrocytes. (e) Quantification of
- 143 NG uptake in astrocytes 1, 3 or 5 days after exposure. At 5 days the NG signal is reduced by
- 144 *degradation of the nanovector.*
- 145 B) Quantification of NG uptake (a, d, red) vs PCL (b, d, green) in activated microglia. (e)
- 146 significantly lower uptake was for NG than PCL in activated microglia.
- 147 Data are mean \pm SD. Mann-Whitney test (A, d, B, e) and one-way ANOVA followed by
- 148 Bonferroni's post hoc test (A, e). Statistical significance: $(***) p \le 0.001$; $(****) p \le 0.001$
- 149 *0.0001*.
- 150

151 3. NG internalization and degradation in mouse astrocytes in vitro

- 152 To investigate the mechanisms of NG uptake into the astrocytes, we studied NG
- 153 internalization after 2h pretreatment with chlorpromazine (CPZ, a clathrin-mediated
- 154 endocytosis inhibitor). Quantification of the NPs in the cytosol showed a significant reduction
- 155 of the Cy5 signal in CPZ treated astrocytes compared to cells not treated with CPZ (Fig.2; A)
- 156 suggesting that a mechanism of clathrin-mediated endocytosis was involved in NG uptake.
- 157 Clathrin-mediated endocytosis can lead to degradation by lysosomes. To study the
- 158 involvement of lysosomes in the degradation after NG internalization we used a fluorescent
- 159 indicator (lysosensor, Lys) to test the activity of the lysosomal acidification machinery. Three
- 160 days after NG exposure we detected evident lysosomal enzymatic activity (fluorescent green
- 161 signal), closely colocalized with NG (fluorescent red signal) (Fig.2; B, a-c; C, a, b)
- 162 confirming degradation by lysosomes in astrocytes.

163 To verify whether the small number of NG in the microglial cells were degraded through 164 lysosomal activity, we studied the colocalization of the NG and Lys signals in microglia. NPs 165 did not show any sign of colocalization with Lys in microglia and in fact the NG signal was 166 dispersed in the cytosol (Fig.2; B, d-f; D, a,b); this was also demonstrated by quantitative 167 analysis where no colocalization was detected with Pearson's coefficient (Fig.2; B, g). This 168 suggests that the amount and mechanism of internalization/degradation in the microglia were 169 different from astrocytes, without any involvement of the clathrin-mediated endocytosis and 170 lysosomal degradation machinery that is instead normally involved in the NP 171 internalization/degradation of microglia.



177 *Figure 2*

- 178 A) Pretreatment with chlorpromazine (CPZ) inhibits NG uptake (red) into LPS-activated
- 179 astrocytes (b) compared to untreated LPS-activated cells (a) stained with fluorescein (F,
- 180 green) Scale bar A 5 µm.
- 181 B) A colocalized signal between NG and the lysosensor indicates that NG is degraded by
- 182 lysosomal activity in astrocytes (a, b, c). NG (red) showed no colocalization with lysosomes
- 183 (green) in microglia (d, e, f). This was confirmed by quantitative analysis, using Pearson's
- 184 *coefficient* (g) Scale bar B 15μm.
- 185 *C)* Time-lapse analysis demonstrates colocalization of NG (a, b red) with lysosomes (a, b,
- 186 green) only in astrocytes (arrow indicates entrapment of NG into a lysosome vesicle during
- 187 *the interval)* D*) but not in microglia* (s=seconds) Scale bar C ,D 3 μ m.
- 188 Data are mean \pm SEM. Mann-Whitney test. Statistical significance: (****) $p \leq 0.0001$.
- 189

190 4. Cellular uptake and degradation study of NG in "iPS-derived" human astrocytes in vitro 191 To demonstrate that our nanovector-based delivery strategy is applicable in human cells, we 192 treated "iPS derived" human astrocytes with NG. Human astrocytes were prestimulated with 193 LPS for 18 hours then exposed to NP for 24 hours. A large amount of NG-based NPs was 194 internalized in the cytosol, with a distribution comparable to murine astrocytes (Fig. 3 a). 195 Time-lapse analysis was used to record the internalization of NG in human astrocytes. NG 196 was already taken up after 24 hours, reaching the maximum signal, which completely 197 disappeared three days after the exposure (Fig.3 b).

198 In order to investigate targeting of the NG to activated phenotype, we compared untreated

- 199 (CTR) (Fig. S5; C, a), LPS-treated (Fig. S5; C, b) or FGF (A2 stimuli) (Fig. S5; C, c) treated
- 200 human astrocytes in vitro. Quantification of the NG uptake shows higher NG internalization
- in LPS treated cells compared to CTR and FGF groups (Fig. S5; C, d). This in line with the

202 treatment of murine astrocytes, where the pro-inflammatory phenotype will receive a stronger 203 treatment.

204 To demonstrate that the NG degradation was lysosomal as in murine astrocytes, we used the 205 lysosensor to test the activity of the lysosomal acidification machinery. One day after the NG 206 treatment we found remarked lysosomal activity (green fluorescent signal) colocalized with 207 NG (red fluorescent signal) (Fig.3 c,d) and quantified by Pearson's coefficient (Fig.3 e), 208 confirming that degradation by lysosomes occurred in human astrocytes.

- 209
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- 212
- 213 Figure 3
- 214 a) NG uptake in iPS human-derived astrocytes. There was a large amount of NG in the
- cytosol of cells one day (1D) after the exposure. Astrocytes stained by CFSE (green); NG 215

- conjugated with CY5 (NG-CY5, red); cell nuclei stained with Hoechst (H, blue). Scale bar
 5µm.
- 218 b) Quantification of NG uptake into LPS-activated human astrocytes indicates high NG
- 219 internalization after one day (1D) of exposure. By three days (3D) NG are completely
- 220 *degraded*. Scale bar 2µm.
- 221 *c,d*) *Time lapse analysis shows colocalization of the NG signal with the lysosensor (Lys,*
- 222 green), confirming that the nanovector degradation involves lysosomes. Colocalization is
- 223 quantified by Pearson's coefficient. Each of the points refers to Lys/NG-RhB signal ratio of
- 224 *individual cells (e). Data are mean* ± SEM. Student's T-test. Statistical significance: (****) p
- 225 ≤ 0.0001. Scale bar 1µm
- 226

227 5. In vitro drug delivery by NG in murine astrocytes

228 To investigate the ability of NG to deliver molecules into the astrocyte cytosol, NG were

loaded with a "drug mimetic" compound (To-pro3, a cell membrane impermeable

230 fluorophore with far-red emission). After 24 hours from exposure to To-pro3-loaded-NG, a

231 clustered signal was seen in the astrocyte cytosol. The colocalization of fluorescent

232 Rhodamine B-positive NG (NG-RhB red signal) with To-pro3 (green signal) showed that the

233 mimetic drug was efficiently encapsulated (Fig.4 a,b,d). In addition to the colocalized signal,

a diffused To-pro3 staining was found in the cytosol suggesting that a delivery of this

compound occurred (Fig.4 a-d).

236 To confirm the delivery of To-pro3, we reconstructed the isosurface of the red (RhB-positive

NG) and green (To-pro3) signals and quantified their colocalization by Pearson's coefficient:

- 238 part of the To-pro3 signal diffused into the cytosol, overlapping RhB-positive NG (Fig.4 e-f).
- 239 To exclude any free crossing of To-pro3 in cells permeabilized due to damage of the

- 240 membrane, we evaluated only viable cells that were also impermeable to propidium iodide,
- 241 used to test the integrity of the extracellular membrane.



243

244 *Figure 4*

- 245 *To-pro3 (b, d, e, green) delivery from NG (a, d, e, red) after internalization in astrocytes.*
- 246 Astrocytes give a colocalized signal for To-pro3 and RhB positive NG in the cytosol (a–d).
- 247 Hoechst was used to stain the astrocyte nucleus (H, blue). A marked diffused signal of To-
- 248 pro3, not colocalized with NG, is evident in the cytosol of astrocytes five days after NP
- 249 *exposure (d). (e) Isosurfaces reconstruction of the red (RhB-positive NG)/green (To-pro3)*
- 250 signal and (f) quantification of their partial colocalization. Individual data points are
- 251 referred to To-pro3/NG-RhB signal ratio of individual cells. Scale bar 5µm.
- 252
- 253

254 6. Cellular uptake of NG in vivo

255 To validate and characterize the distribution of NG in vivo, we injected the nanovector into 256 the parenchyma of the damaged spinal cord 24h after the trauma (Fig.5 A). The six injections 257 into the spinal cord distributed over a longitudinal distance of $1320 \pm 266 \,\mu\text{m}$ (Fig.5 B, a-c). 258 Three days after the injection, we analyzed the tissue to examine the internalization of the 259 NG, with double staining, with markers for astrocytes (GFAP) or microglia (CX3CR1-GFP 260 mice) or neuronal cells (neurotrace), to demonstrate the cellular distribution of the 261 nanovector. Some hypertrophic astrocytes and activated microglial cells were detected in the 262 damaged spinal cord, with an early inflammatory response in the injured site (Fig.5 C, 263 b,c,d,f.g,h). In the epicenter of the lesion NG was mostly internalized into astrocytes, as 264 evident from the colocalization signal of GFAP and Cy5 conjugated with NG (Fig.5 C, a-h); 265 no signal was detected in neurons (Fig.5 D, a-h). Only a few microglia were positive for some 266 NG in the more damaged part of the spinal cord, suggesting that these cells might have more 267 permeabilized membranes (data not shown). These data validated in vivo the previous in vitro 268 experiments, demonstrating again the diffuse uptake in activated astrocytes in this SCI animal 269 model, whereas internalization was limited in a few microglia, but not in neurons. 270



276 *Figure 5*

A) Microscopy of the whole spinal cord 2h after the injection of NG and relative signal

278 amplitude (microglia, CX3CR1 GFP-positive in green; NG-Cy5, red). B) Colocalization

analysis quantified by Pearson's coefficient (nine sampled sections in the site of injection

- 280 were analyzed). NG-Cy5 markedly colocalized with GFAP, whereas NG-Cy5 vs GFP was
- 281 much less or no detected. Insert shows NG injections with a distance of 0.75 mm from each
- other in the lumbar tract of the spinal cord (T12-L1). C,D) High magnification of spinal

cord sections show NG internalized into astrocytes (C; a,c,d,e,g,h), but not into microglia (C;

a,b,d,e,f,h) or neurons (D; a,b,d,e,f,h). Scale bar C, D 10 μ m.

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287 7. Pharmacological activity of Rolipram delivered by NG in murine A1 astrocytes in vitro 288 To demonstrate the ability of NG to deliver functional drugs, we studied Rolipram, an anti-289 inflammatory drug, acting on the NF-kB pathway in astrocytes in vitro. First we characterized 290 the drug release kinetic in vitro. Rolipram is released from NG with a characterized biphasic 291 pattern (Fig. S6). An initial burst release followed by a slower sustained release phase was 292 seen in 14 days (Fig. S6). The percentage of Rolipram released in the first 2 hours (around 293 30%) can be attributed to the unloaded Rolipram and to the high initial concentration gradient 294 present (Fig. S6). 295 In order to demonstrate the pharmacological activity, we treated astrocytes with three factors

296 (C1q 400 ng/mL, TNF α 30 ng/mL and IL1 α 3ng/mL; hereafter termed pro-inflammatory, PI),

that stimulate a specific pro-inflammatory response in astrocytes (A1 status). ² Rolipram was

- 298 tested at 0.14 mg/mL, 0.033 mg/mL, 0.023 mg/mL and 0.014 mg/mL (Fig.S7). Real time
- analysis of the homogenate of astrocytes exposed for 24h with PI showed that inducible nitric
- 300 oxide synthase (iNOS), a proinflammatory cellular signaling molecule, and Lipocalin 2

301 (Lcn2), an inducible factor that is secreted by reactive astrocytes, that is toxic to neurons, 302 were significantly higher than in untreated culture (CTR) (Fig.S7). Significant differences 303 were found in the expression of iNOS compared to the CTR when we cotreated astrocytes 304 with PI+Rolipram at the lowest concentration (0.014 mg/mL), whereas co-treatment with 305 Rolipram at higher concentrations (starting from 0.023 mg/mL) was able to counteract the PI 306 status. Significant differences were found for Lcn2 for the highest concentration of Rolipram 307 (0.14 mg/mL) compared to CTR. To demonstrate the ability of NG to load Rolipram and 308 exploit its anti-inflammatory effect, we used the concentration of the drug that had no 309 significant effect on both iNOS and Lcn2. We evaluated 0.014 mg/mL Rolipram loaded into 310 NG, and found that drug internalized by NG reduced iNOS and Lcn2 transcript in vitro in PI 311 treated astrocytes compared to PI alone (Fig.6). However, when we tested the single treatment 312 with NG, iNOS was also reduced, but not comparably to Rolipram-loaded NG treatment. This 313 suggests that NG can effectively deliver compounds into activated astrocytes and maximize 314 their pharmacological effects.

315



- *Figure 6*
- 320 Quantitative mRNA analysis of iNOS (a) and Lcn2 (b) expressed by astrocyte cultures after
- 321 treatment with PI (C1q, IL1α and TNFα), used as positive control, or PI and NG or PI and
- 322 Rolipram loaded in NG. Data are mean ± SD. Mann-Whitney test. Statistical significance: (*)
- p < 0.05.

8. Rolipram-loaded NG reverses the toxic effect of proinflammatory murine astrocytes on motor neurons in vitro

328 We examined whether conditioned medium (CM) from pro-inflammatory astrocytes 329 pretreated with NG or Rolipram-loaded NG improved the damage response on cultured motor 330 neurons. A proinflammatory astrocyte phenotype (A1) was induced by 24h treatment with PI 331 stimuli defined above.² Stereology for unbiased cell counting of motor neurons was done 332 after neurofilament immunostaining (SMI32).¹⁹ First, we demonstrated the susceptibility of 333 motor neurons to CM from astrocytes treated for 24h with PI; they showed more - but not 334 significant -, neuronal death after 24h than with control medium (untreated astrocytes, CTR) 335 (Fig.S8 a,b,e). Rolipram-loaded NG (NG 0.0005 mg/mL, Rolipram 0.014 mg/mL) 336 significantly preserved motor neuron viability compared to PI (Fig.S8; b,d,e), whereas NG 337 treatment did not give any significant difference from the PI treated motor neurons (Fig.S8; 338 b,c,e). 339 To see whether a few internalized NG or NG-Roli found in microglia acted on the pro-340 inflammatory status of the microglia, we compared CM harvested from untreated microglia 341 (CTR), microglia treated with LPS, microglia co-treated with LPS and NG (LPS-NG) or 342 microglia co-treated with LPS and Rolipram-loaded NG (LPS-NG_Roli) on cultured motor 343 neurons (Fig.S9). We found no differences among the treatments, with comparable amounts 344 of motor neurons. Overall these data suggest that only Rolipram-loaded NG pre-treated 345 astrocytes improve neuronal survival reducing the deleterious inflammatory paracrine effect. 346

347

348 9. Rolipram-loaded NG improves functional recovery only early after SCI in vivo

349 To test the effect of Rolipram delivered by NG in SCI, we ran *in vivo* experiments. Mice were

350 randomly distributed into three groups and subjected to SCI: untreated (INJ), treated with NG

351 or Rolipram-loaded NG (NG-Roli) one day post-injury. Six injections with a glass capillary 352 were made into the damaged spinal cord to administer NG (0.025 mg/mL) or NG-Roli 353 (Nanogel 0.025 mg/mL, Rolipram 0.7 mg/mL) (Fig.7 a). We rated behavior with the Basso 354 mouse scale (BMS) weekly up to 35 DPI (Fig.7 b). 355 In the NG-Roli treated group, there was significant motor functional improvement from 7 to 356 21 DPI compared to the INJ group (Fig.7 b). After 28 DPI up to 35 DPI motor performance 357 was no longer different from the untreated injured group (Fig.7 b). We also noted a significant 358 behavioral improvement for the NG-Roli treated group compared to the NG group at 7 DPI, 359 that became no longer different from 14 to 35 DPI (Fig.7 b). The NG treated group showed 360 some motor recovery at 21 DPI compared to the untreated injured mice (Fig.7 b). These 361 results suggest that Rolipram had an effect only at 7 DPI after the treatment, in an initial 362 acute-subacute phase, compared to NG treated mice, and from 7 to 21 DPI compared to 363 untreated mice. NG also served to regain partially motor control at 21 DPI, suggesting an 364 effect on astrocytes.





367 *Figure 7*

- 368 Early treatment with NG loaded with Rolipram improved locomotor performance in SCI
- 369 mice: a) injection of NG loaded with Rolipram in SCI mice at 1 DPI. b) SCI mice untreated
- 370 *(INJ) or treated with NG (NG) or NG loaded with Rolipram (NG_Roli), examined weekly*
- 371 starting 7 days post-treatment, rated on the Basso Mouse Scale BMS (score 0, complete
- 372 *paralysis, score 9, complete mobility, compared to healthy mice). Locomotor performance*
- 373 significantly improved in NG_Roli mice compared to the INJ group from 7 to 21 DPI (*) and
- 374 compared to NG at 7 DPI (#). NG treatment gave significative improvement in locomotor
- 375 *performance at 21 DPI compared to the INJ group (§).*
- 376 Data are mean ± SEM. One-way ANOVA followed by Bonferroni's post hoc test was applied.
- 377 Statistical significance: (* and §) p<0.05, (**) p<0.01, (####) p<0.0001; N =12 mice/ group.
- 378

379 10. Rolipram-loaded NG preserved neurons and reduced astrocytosis in vivo

380 Recovery after treatment with Rolipram-loaded NG was assessed by evaluating neuronal 381 preservation and the level of astrocytosis in the injured spinal cord. We used quantitative 382 stereological analysis to record the number of neurons (NeuN positive cells) (Fig.8 A) and 383 astrocytes (GFAP positive cells) (Fig.8 B) in the tissue. We examined an untreated injured 384 group (INJ) or Rolipram-loaded NG (NG_Roli) treated mice at 14 DPI. An area around the 385 epicenter of the lesion (-1.3/+1.5 mm rostro-caudal) was examined (Fig.8 A, a, B, a). Loss of 386 nervous tissue, impairing recovery ability and functional activity was recorded in the 387 epicenter of the lesion in the INJ group (Fig.8 A, b,d,e). With the NG_Roli treatment, neurons 388 were more preserved, and quantitative analysis indicated a significantly larger number of 389 neurons compared to INJ mice (Fig.8 A,b,c,d,e). Neuronal survival in relation to their 390 distance from the injured epicenter have been showed (Fig.8 A, d). This suggests that more 391 neuronal cells are preserved in the caudal tract that rostral part of the spinal cord.

Neuronal survival was also investigated at 63 DPI. We analyzed the motor behavior up to
63DPI. In this paper we showed up to 35 DPI because from 35 to 63 DPI no difference was
found. On the contrary to 14 days post injury, we did not found a significantly difference of
neurons by comparing untreated injured mice (INJ) with Rolipram-loaded NG treated mice
(NG_Roli) (Fig. S10). This in line with the results in vivo confirming a lack of a long lasting
effect of the Rolipram-loaded NG treatment.
After injury, astrocytes respond to the lesion, becoming hypertrophic. Activated astrocytes

400 and their paracrine response contribute to scar formation, limiting regeneration of the 401 surviving axons and their functional activity. To demonstrate an effect of the NG_Roli 402 treatment on the response of these cells, we quantified GFAP staining by a stereological 403 approach. There was a significant reduction of hypertrophic astrocytes in NG_Roli treated 404 mice compared to untreated mice in the injured spinal cord (Fig.8 B, b,c,d), as demonstrated 405 by quantitative assessment of the GFAP staining (Fig.8 B, d). The neuronal preservation and 406 the reduced activation of astrocytes correlate with the improvement of motor recovery in the 407 acute-subacute phase after the damage in SCI mice. This suggests that NG_Roli acted on the 408 pro-inflammatory event orchestrated by activated astrocytes (demonstrated here *in vitro*), as 409 well as counteracting the hypertrophic response of the astrocytes following the trauma.

410



414 Figure 8

415 Spinal cord sections stained with NeuN (neuronal marker) (A) or GFAP (astrocyte marker) 416 (B) of untreated injured mice (INJ) vs NG-Roli treated mice. Cartoon shows the tract of the 417 spinal cord investigated (A,a;B,a). Neuronal survival is showed from the injured epicenter (-418 1.3/+1.5 mm) (A, d). The total number of neurons (A,b,c e) or astrocytes (B, b,c,d) in INJ 419 mice compared to the NG-Roli treated group showed a significant proportion of preserved 420 neurons and a significant reduction of hypertrophic astrocytes in treated mice. Data are 421 mean \pm SD. Mann-Whitney test. Statistical significance: (*) p<0.05. N =4/5 mice/ group. 422

424 **DISCUSSION**

425 The importance of the reactive glial cells during the progression of SCI has been recently 426 recognized, but alternative approaches to reduce their pro-inflammatory response, preserving 427 more tissue and neuronal connections after the primary injury, remain a challenge. Our group 428 developed and characterized a selective controlled pharmacologic delivery system for 429 activated astrocytes in the spinal cord based on NP polymers. We tested the selectivity of a 430 nanovector towards the astrocytic component in vitro and in vivo, and demonstrated its ability 431 to pharmacologically modulate the proinflammatory response of astrocytes after the primary 432 injury. In vitro experiments indicated that of a larger amount of nanovectors were internalized 433 into the pro-inflammatory astrocytes compared to A2 stimulated astrocytes. The 434 internalization was mediated by a clathrin-dependent endocytotic pathway, as demonstrated 435 by inhibition after CPZ treatment. Smaller amounts of NG were detected in LPS stimulated 436 microglia, even less in M2 stimulated microglia, and no one in neurons. 437 After internalization into the cytoplasm of astrocytes, NG undergoes lysosomal degradation 438 releasing the compounds loaded into it (To-pro3 or Rolipram), demonstrating its capacity for 439 internalization, degradation and pharmacological release in vitro. Although NG were detected 440 in microglia the amount found was very small, and it seems that the mechanisms of 441 internalization and degradation were different than for astrocytes. NG was not addressed to 442 the lysosome for degradation once internalized in the microglia. 443 Many types of cells use the clathrin- and caveolae-mediated endocytosis pathways to 444 internalize nanoscale materials.^{20,21} These endocytic pathways are the most important ones for 445 the internalization of NP and clathrin-mediated endocytosis with lysosome-oriented 446 degradation was also seen here for astrocytes. The lack of colocalization with lysosomes in 447 microglia suggests alternative uptake mechanisms for NG, such as caveolae-mediated

448 endocytosis pathways, which avoid lysosomal degradation or clathrin- and caveolae-

449 independent endocytosis.²⁰

450 Microglia also internalize NG differently from other nanovectors previously tested in our 451 group, such as PCL-based NPs that were taken up by clathrin-dependent endocytosis and 452 degraded by lysosomes by the microglia. ^{18,22} These experiments showed a limited uptake for 453 NG compared to the amount of PCL internalized in activated microglia. 454 Human iPS cells lend themselves to many applications, but to our knowledge no studies have 455 reported their use to evaluate the delivery ability of nanovectors. We demonstrate that NG, as 456 formulated here, were internalized and degraded in iPS human-derived astrocytes, as 457 demonstrated in murine astrocytes, suggesting potential translability to the clinic. 458 We also demonstrated *in vivo* and *ex vivo* the validity of NG as a nanovector, whose 459 internalization occurred almost exclusively on astrocytes in the SCI mouse model. Some 460 microglia in the injured site showed a few internalized NG, but very few compared to 461 astrocytes, quite likely because of the effects on the membrane of these cells in the more 462 damaged part of the spinal cord. 463 The ability of this tool to deliver compounds was further evaluated *in vitro* and *in vivo*, and an 464 anti-inflammatory drug (Rolipram) when administered by NG modulated the response of the 465 astrocytic component by reducing the production of specific inflammatory molecules such as 466 iNOS and Lcn2. CM collected from microglia treated with Rolipram-loaded NG did not 467 influence motor neuron survival. Thus, we can consider the amount of NG internalized into

the microglia irrelevant for the treatment.

469 We also demonstrated that reducing the paracrine inflammatory response of activated

- 470 astrocytes by Rolipram-loaded NG reversed motor neuron toxicity in vitro. The
- 471 neuroprotective effect was detected in the acute-subacute phase after the lesion and confirmed
- 472 by motor functional improvement from 7 to 21 DPI. NG treatment alone also served to

partially regain motor control at 21 DPI. NG treatment *in vivo* suggests an effect on astrocytes
due to the polymeric structure, but this requires further study. We also showed *ex vivo* that
Rolipram-loaded NG preserved neurons and reduced astrocytes at 14 DPI; these neurons were

476 not preserved at 63 DPI, supporting the behavioral findings.

477 The post-injury astrocyte response is recognized an important contributor to functional

478 recovery after traumatic SCI. ^{23,24} Recent studies have made important progress in astrogliosis

479 after CNS injuries, identifying specific roles and marker genes for different astrocyte

480 subtypes. ^{3,23–25} Astrocytes activated by molecular mediators released in the environment,

481 acting as pro-inflammatory or anti-inflammatory stimuli³, in turn play an important role in

482 the inflammatory response.³ Reactive astrocytes after activation increase GFAP^{1,2,26} and

483 release many molecules. One of them, Lcn2, can promote neuronal death ^{27,28} and acts as an

484 inflammatory molecule contributing to the secondary injury damage in SCI. ²⁷ In the chronic
485 phase, the reactive astrogliosis lead to extracellular matrix deposition and formation of glial

486 scar. ^{1,26}

487 Here we demonstrated that a nanovector tool such as NG can treat pharmacologically 488 activated astrocytes with an anti-inflammatory drug (Rolipram), to reduce the amount of Lcn2 489 and iNOS produced by these cells. The secretion of Lcn2 and iNOS contributes to 490 inflammation in many CNS pathologies, including SCI. 27,29 iNOS produces a large amount of 491 NO that causes pathological changes in various biological substrates (peroxidation of the 492 cellular lipid components), resulting in cellular damage.²⁹ Lcn2 secreted by astrocytes further 493 sustains inflammation which in turn promotes neuron death. ^{27,28,30} Lcn2 is activated by 494 signaling pathways such as NF-kB or STAT3. ^{31–33} We found that Rolipram acted on NF-kB 495 to reduce the mRNA levels of Lcn2 and concomitantly iNOS, potentially limiting the 496 negative effect of a pro-inflammatory response of astrocytes. Deletion of Lcn2 limits the pro-497 inflammatory phenotypes of activated astrocytes *in vitro* and *in vivo*^{30,34}, giving greater

498	recovery in mouse models of SCI. ²⁷ Lcn2 knock-out mice had consistent neuronal survival
499	and myelin sparing after SCI. ²⁷ Acting on iNOS and Lcn2 looks like a promising therapeutic
500	approach that could be associated to other treatments to strengthen the effect.

502 CONCLUSION

503 Different nanovectors are internalized into astrocytes, but none in a selective way for treating 504 the astroglial pro-inflammatory response. ³⁵ Because astrogliosis is closely interlaced and 505 dynamic depending on the injury phase, it may have beneficial or detrimental effects on SCI 506 recovery. It is important therefore to develop strategies to target individual cellular and 507 molecular mechanisms. This study demonstrated the selective efficacy of Rolipram delivered 508 by biodegradable NG in limiting the pro-inflammatory response mediated by astrocyte 509 activation in a mouse model of SCI, but other diseases with an astrocyte-based glial response 510 may gain too from this selective therapeutic approach. This delivery strategy could also be 511 considered for other molecules able to promote neuroprotective astrocytes (A2 phenotype), 512 opening the way to a new cell-specific therapeutic treatment to ameliorate SCI and other 513 neurological diseases.

515 MATERIALS AND METHODS

516

526

517 NANOGEL DESIGN AND CHARACTERIZATION

The experimental procedures required the following polymers in the nanogel (NG) design: polyethylene glycol 8000 (Mw 8 kDa, from Merck KGaA, Darmstadt, Germany) and linear polyethyleneimine 2500 (Mw 2.5 kDa, from Polysciences Inc., Warrington, USA). All other chemicals were purchased from Merck (Merck KGaA, Darmstadt, Germany) and used as received, without any further purification. Solvents were of analytical-grade purity. All the Cy-5 derivatives were stored at -20°C.

524 NG was synthesized according to this procedure: PEG hydroxyl groups were modified with

525 imidazole moieties and PEI functionalized with Cy5 (molar ratio PEI:Cy5 1:0.025) using

copper-catalyzed azide-alkyne Huisgen cycloaddition (CuAAC) reaction. Then two solutions

527 were prepared separately: in the first, the resulting PEG (200 mg, 0.025 mmol) was dissolved

528 in CH₂Cl₂ (3 mL), and the second one was obtained by dissolving PEI conjugated Cy5 (52

529 mg, 0.017 mmol) in distilled water (5 mL). The organic solution was added dropwise to the

aqueous system, under vigorous stirring, and the final mixture was sonicated for 30 min. The

531 polymeric mixture was then stirred for 17 h at 25°C (room temperature, r.t.) with the gradual

532 evaporation of CH₂Cl₂. This aqueous system was purified by dialysis against slightly acid

533 water and lyophilized, resulting in a green solid.

534 The primary amines were grafted around the NG surface. NG (15 mg, 0.566 mol) were

535 dissolved in distilled water (1 mL) and kept under stirring at r.t. 3-bromopropylamine

hydrobromide, the chemical carrying -NH2 groups, (4.95 mg, 22.64 μ mol) was dissolved in

537 distilled water (0.5 mL) and added dropwise to the NG solution. The mixture was stirred for

538 17h, in the dark, at r.t.

539 Dialysis against distilled water (1000 mL) using a regenerated cellulose membrane (MW cut-540 off 6-8 kDa) was done for two days, with daily water exchange, to remove unreacted species 541 and any by-products. The system was frozen at -80°C and the product was recovered by 542 lyophilization. These NG coated with primary amine moieties will be indicated as NG. 543 Polymer functionalizations were evaluated by NMR and FT-IR analyses. 1H-NMR spectra 544 were run on a Bruker AC (400 MHz) spectrometer, using deuterated chloroform (CDCl3) for 545 PEG and NG samples, and deuterium oxide (D₂O) for PEI derivatives as solvents, and 546 chemical shifts were reported as δ values in parts per million, tetramethylsilane (TMS) as 547 internal reference. FT-IR spectra were recorded using the KBr pellet technique for the 548 analyzed samples and a Thermo Nexus 6700 spectrometer coupled to a Thermo Nicolet 549 Continuum microscope equipped with a 15× Reflachromat Cassegrain objective, at r.t. in air 550 in the wave range 4000–500 cm⁻¹, with 64 accumulated scans and a resolution of 4 cm⁻¹. The 551 nanogel size, polydispersity index (PDI) and z-potential were recorded using Dynamic Light 552 Scattering (DLS) and a Zetasizer Nano ZS from Malvern Instruments. The samples were 553 dissolved in distilled water and the solution was equilibrated for 60 s before data analysis at 554 37°C. Data are the mean of three measurements for each NG. NG dimensions were studied 555 with Atomic Force Microscopy (AFM). The samples were prepared by dropping nanogel 556 latexes onto silicon substrate and then drying. AFM images on $1 \times 1 \mu m$ areas were recorded 557 for the preliminary morphologic evaluation; 500 × 500 nm images were cropped and a height 558 line profile was drawn for each single gel. Surface morphology was evaluated by flattening 559 the images (first order) using NTMDT software.

560

561 PRIMARY CELL CULTURES

562 Primary cultures of microglia, astrocytes, or astrocyte/neuron co-cultures were obtained from
563 the spinal cord of 13-days-old C57BL/6J mouse embryos (Charles River Laboratories

564 International, Inc.) by adapting protocols previously described ³⁶.

565 Ventral horns were isolated from the embryonic spinal cord and treated with DNAse and

566 trypsin (Sigma-Aldrich). After centrifugation using a cushion of bovine serum albumin

567 (BSA), a mixed population of neurons/glia was obtained. A second centrifugation (800 x g for

568 15 min) was done through a 6% iodixanol pillow (OptiPrepTM; Sigma-Aldrich). At the top of

the iodixanol pillow a narrow band was obtained, corresponding to the fraction enriched with

570 motoneurons, and a yellow pellet. The glial feeder layer was prepared by plating the glial

571 fraction at a density of 25,000 cells/cm2 in flasks pre-coated with poly L-lysine (Sigma-

572 Aldrich).

573 From the flask containing confluent mixed glial cultures, purified microglia were obtained 574 after shaking at 275 rpm overnight in incubators. The floating cells (mostly microglia) were 575 collected and seeded at a density of 40,000 cells/cm2 on poly-L-lysine pre-coated 24-well 576 plates.

577 To obtain astrocyte-enriched cultures, glial cultures from which the microglia had previously 578 been collected were treated with 60 mM L-leucine methyl ester (Sigma-Aldrich) for 90 579 minutes. To derive purified cultures and to establish a glial feeder layer for neuron/astrocyte 580 co-cultures, the astrocytes were collected and seeded at a density of 40,000 cells/cm² on 24-581 well plates pre-coated with poly-L-lysine.

Finally, to establish neuron/astrocyte co-cultures, the motor neuron-enriched fraction (from the iodixanol-based separation) was seeded at a density of 15,000 cells/cm² onto a mature astrocyte layer. In these co-cultures, about 84±5% of the neurons were SMI32-positive, with the typical motor neuron morphology, as previously reported. ³⁶

586

587 IPSc-DERIVED ASTROCYTE CULTURES

588 Episomal human iPSC (hiPSC) were obtained from GibcoTM (Life Technologies, CA, US,

589 Lot V2.0). The hiPSC line was cultured and expanded in feeder-free conditions by passaging

- 590 every 3–5 days when they reached 70–80% confluence in a xeno-free culture medium
- 591 formulation (StemMACS[™] iPS-Brew XF, Miltenyi Biotec S.r.l.). Neural stem cells (NSC)
- 592 were derived from hiPSC using a commercial manufactured culture medium in a monolayer
- 593 protocol ³⁷. Briefly, hIPSCs cultured in feeder-free conditions were split into six-well plates in
- a 1:3 ratio. The day after plating, culture medium was replaced with Gibco PSC Neural
- 595 Induction Medium (Life Technologies) containing Neurobasal medium and Gibco PSC neural
- 596 induction supplement. On day seven of neural induction, primitive NSCs (pNSCs) were
- 597 dissociated with Accutase (Life Technologies), passed through a 100-µm strainer and plated

598 on Geltrex-coated dishes at a density of $0.5-1 \times 10^5$ cells/cm² in an NSC expansion medium,

- 599 composed Neurobasal medium and Advanced DMEM/F12 (1:1), with 2% neural induction
- 600 supplement. NSC were expanded for different passages before the induction of astrocyte
- 601 differentiation.
- To obtain differentiated astrocyte cultures, dissociated pNSCs in the sixth through the tenth passage (P6-10) were plated onto Geltrex-coated 24-well plates at a density of 5x10⁴ cells/
- 604 cm² in an astrocyte differentiation medium (DMEM supplemented with 1% N2, Glutamax
- and fetal bovine serum (FBS); Life Technologies) for twenty-one days.
- 606 On the day twenty-one of astrocyte differentiation, cultures were exposed to LPS (10 ug/mL)
- 607 for 18h or A1 phenotype was induced by treatment with FGF (100 ng/mL) for 18h in medium
- 608 with 10% serum. Empty NG was then added to activate cultures and time-lapse analysis was
- done for up to three days to establish the degradation time of NG. To assess the NG
- 610 degradation by lysosomes, human astrocytes were marked with Lyso sensor dye (1:20.000
- 611 dilution, Life Technologies, cat. n. L7535) 24h after exposure. Culture samples were

612 processed in parallel to verify the expression of astrocyte markers and the absence of stem613 cells.

614

615 CULTURE TREATMENTS

616 Microglia activation was induced by exposing purified microglia cultures to 1 µg/mL of LPS

617 (from Escherichia coli 0111:B4; Sigma-Aldrich), as previously reported ³⁶, or IL-4 10 ng/mL

618 for 18h. The murine astrocytes was activate by LPS 1 μg/mL, A1 phenotype was induced by

619 treatment with C1q 400 ng/mL, TNFα 30 ng/mL, IL1α 3 ng/mL for 24h³ and A2 phenotype

620 was induced by treatment with FGF (100 ng/mL) for 18h. Empty NG, NG loaded with

621 Rolipram loaded with To-pro3, or free Rolipram, were then added to the activated cultures.

622 To investigate NG uptake, we treated astrocytes with chlorpromazine hydrochloride (CPZ;

623 Sigma-Aldrich), CPZ (40 μ M) 2h before NG exposure.

624 Astrocyte/neuron co-cultures were treated with CM from microglia or astrocytes incubated

625 with different treatments for 24h: after microglial activation, cells were incubated with fresh

626 medium or NG or NG loaded with Rolipram for 24h, while astrocytes were induced toward

A1 and treated with NG or NG loaded with Rolipram for 24h. At the end of the treatment,

motor neuron cultures were stained with SMI-32 antibody (Biolegend; 1:1000) and

629 stereologically counted (see below).

630

631 NG INTERNALIZATION

To quantify the internalization of NG in murine and human cells, images were randomly

633 selected and acquired 24h, three and five days after the NG exposure with a Cell R

634 microscope (Olympus) equipped with 60X magnification and an ORCA camera

635 (Hamamatsu).

The fluorescent signal was quantified using the free Fiji software (http://fiji.sc/Downloads). The NG signals in single cells (about 30-50) were evaluated as the ratio between the Cy5 signal area and the cell area. To overcome the limits associated with a change of the shape seen after treatment with FGF (for in vitro astrocytes) or IL-4 (for in vitro microglia) we investigated the NG signal for single cells (region of interest determined by fluorescein staining) for comparing these treatments.

642

643 REAL TIME RT-PCR

644 Total RNA was extracted from astrocytes or microglial cultures using a miRNeasy Mini Kit 645 (Qiagen, Valencia, CA, USA). Briefly, cells were collected in QIAzol Lysis Reagent and 646 lysed with a pipette. Chloroform was added to the homogenate and a phase extraction was 647 done. A small volume of the aqueous phase (0.3 mL) was added to 450 mL of ethanol and 648 loaded onto an RNeasy column. The column was washed and RNA eluted following the 649 manufacturer's recommendations. RNA was quantified by a spectrophotometer at 260 nm for 650 all samples. To remove any contaminating genomic DNA, total RNA was digested with 651 DNase (Applied Biosystems) and reverse-transcribed with random hexamer primers using 652 Multi-Scribe Reverse Transcriptase (Taq-Man Reverse transcription reagents; Applied 653 Biosystems). Realtime RT-PCR was run using 4 uL of cDNA, 200 nmol of each primer and 654 SYBR Green chemistry (Applied Biosystems) in a total volume of 22 uL. After completion of 655 qPCR, a melting curve of amplified products was plotted. Data were collected using the 656 SYBR Green fluorescence during Real-Time RT-PCR on an Applied Biosystems 7300 657 system. The expression of the following genes was analyzed: 658 iNOS (Fw: GACGAGACGGATAGGCAGAG; Rev: GTGGGGTTGTTGCTGAACTT) 659 Lcn2 (Fw: TTTGTTCCAAGCTCCAGGGC; Rev: TGGCGAACTGGTTGTAGTCC)

 β -Actin (Fw: CGCGAGCACAGCTTCTTT; Rev: GCAGCGATATCGTCATCCAT)

- β -Actin was used as the reference gene and relative expression levels were evaluated
- according to the manufacturer's DDCt method (Applied Biosystems). Data are expressed as

the fold change from uninjured spinal cord (healthy condition).

664

665 *IMMUNOCYTOCHEMISTRY*

- Motor neurons were fixed with 4% paraformaldehyde for 40 min then incubated for 1h at r.t.
- 667 in the blocking solution (PBS, 0.2% Triton X-100 (Sigma-Aldrich) and 1% FBS (Sigma
- Aldrich). Anti-SMI-32 primary antibody (mouse; BioLegend) was diluted (1:1000) in PBS
- and incubated at r.t. for 4h. The sections were washed with PBS and the appropriate
- 670 fluorescent secondary antibody was diluted in PBS and incubated for 1h at r.t.
- 671

672 CELL STAINING

- 673 Cells were fixed with 4% paraformaldehyde for 40 min and stained with Fluorescein (0.1
- 674 ug/mL; Sigma-Aldrich) for 30 min at r.t. For evaluation of live cells, they were incubated
- 675 with CellTraceTM CFSE Cell proliferation kit (1:1000 dilution, Life Tecnologies, cat
- n.C34554) for 30 min. To measure lysosomal activity we used lysosensor dye (1:20.000
- dilution, Life Technologies, cat. n. L7535). Cell nuclei were labeled with Hoechst 33258
- 678 (Invitrogen) by incubation with a 250 ng/mL solution.
- 679
- 680

681 NUMBER OF MOTOR NEURONS IN VITRO

- 682 Images of the entire wells were acquired with a Cell R microscope (Olympus) equipped with
- an ORCA camera (Hamamatsu) using a mosaic imaging protocol with 20X magnification.
- For frame sampling a grid (1000*1000 μ m single frame) was superimposed on the images
- and alternate frames were examined with stereological probes (unbiased fractionator probe

686 dimension, $307*235 \,\mu$ m). The total number of motor neurons was calculated with the 687 following formula: N = $\Sigma Q * 1/asf*1/ssf$, where Q was the number of neurons counted in the 688 frame, the area probe $307*235\mu$ m, the area frame $1000*1000 \mu$ m, asf (area probe/area 689 frame), and ssf (sampling fraction of every 2nd frame). Intra-animal coefficient of error (CE) 690 and inter-animal coefficient of variation (CV) for neuronal counts ^{38,39} were calculated as 691 follows: mean CE for co-culture motor neurons/astrocytes treated with PI and astrocyte 692 conditioned medium: CTR-CM 0.082, PI 0.080, PI-NG 0.058, PI-NG-Roli 0.071. CV: CTR-693 CM 0.243, PI 0.126, PI-NG 0.343, PI-NG-Roli 0.197. Mean CE for co-culture motor 694 neurons/astrocytes treated with PI and microglia conditioned medium: CTR-CM 0.075, PI 695 0.068, PI-NG 0.075, PI-NG-Roli 0.073 and CV: CTR-CM 0.178, PI 0.216, PI-NG 0.197, PI-696 NG Roli 0.300.

697

698 ANIMAL CARE

699 The IRCCS adheres to the principles set out in the following laws, regulations, and policies 700 governing the care and use of laboratory animals: Italian Governing Law (D.lgs 26/2014; 701 Authorisation n.19/2008-A issued March 6, 2008 by Ministry of Health); Mario Negri 702 Institutional Regulations and Policies providing internal authorization for persons conducting 703 animal experiments (Quality Management System Certificate - UNI EN ISO 9001:2015 -704 Reg. Nº 6121); the NIH Guide for the Care and Use of Laboratory Animals (2011 edition) and 705 EU directives and guidelines (EEC Council Directive 2010/63/UE). The Statement of 706 Compliance (Assurance) with the Public Health Service (PHS) Policy on Human Care and 707 Use of Laboratory Animals was been recently reviewed (9/9/2014) and will expire on 708 September 30, 2019 (Animal Welfare Assurance #A5023-01).

709

710 SURGERY

711 C57BL/6J mice (Charles River Laboratories International, Inc.) or B6.129P-Cx3cr1tm1Litt/J 712 mice (The Jackson Laboratory) were used for *in vivo* studies. Before surgery, the animals 713 received an antibiotic and analgesic, with respectively subcutaneous injection of ampicillin 714 (50 mg/kg) and buprenorphine (0.15 mg/kg). The entire surgical procedure was carried out in 715 deep anesthesia under ketamine hydrochloride (IMALGENE, 100 mg/kg) and medetomidine 716 hydrochloride (DOMITOR, 1 mg/kg) intraperitoneally. Animals were placed on a 717 Cunningham Spinal Cord Adaptor (Stoelting, Dublin, Ireland) mounted on a stereotaxic 718 frame, and laminectomy of the T12 vertebra was done to uncover the lumbar spinal cord. 719 Mechanical trauma of the spinal cord at T12 was induced using an Mann-Whitney test with a 720 closing force of 30g (left in place for 1 min, then removed). After spinal cord compression, 721 dorsal muscles were juxtaposed using absorbable sutures and the skin was sutured. Two hours 722 (for NG distribution experiment) or one day (for behavioral evaluation) after surgery, the 723 spinal cord of SCI mice was exposed and injected intraparenchymally with NG, NG-Cy5 724 loaded with Rolipram or free Rolipram. Six 0.250 uL injections were done with a glass 725 capillary (outer diameter $40\pm 2 \mu m$), to cover the injured area. The capillary was positioned 726 ± 0.5 mm from the midline, then it was deepened into the parenchyma to 0.6 mm below the 727 pia mater. After treatment, dorsal muscles were juxtaposed using absorbable sutures and the 728 skin was sutured and disinfected.

729

730 BEHAVIORAL EVALUATIONS

Mice after SCI were evaluated by testing hind-limb locomotor performances using the Basso
Mouse Scale (BMS) once a week from seven to thirty-five DPI. The BMS is a 10- point scale
(9 = normal locomotion; 0 = complete hind limb paralysis). Video acquisition of the
locomotor performances (5 min) was done with camera (Denver, ACG-8050W) and evaluated

by two independent observers, blinded to the treatment. Individual hind-limb scores wereaveraged for each animal group at each time point.

737

738 SPINAL CORD TRANSCARDIAL PERFUSION

739 For histological analysis, under deep anesthesia with ketamine hydrochloride (IMALGENE,

100 mg/kg) and medetomidine hydrochloride (DOMITOR, 1 mg/kg), the mice were

transcardially perfused with 40 mL of phosphate buffer saline (PBS) 0.1 mol/L, pH 7.4 for 4

min, followed by 50 mL of paraformaldehyde solution (4%) in PBS for 5 min. Spinal cords

743 were carefully removed and post-fixed overnight in the same fixative at 4°C, then transferred

to 30% sucrose in 0.1 mol/L phosphate buffer overnight for cryopreservation and stored at

745 4°C until use.

746

747 IMMUNOFLUORESCENCE

748 The spinal cord was embedded in OCT compound, frozen by immersion in N-pentane at -749 45°C for 3 minutes, then stored at -80°C until use. Frozen tissues were sectioned at 30 um using a cryostat at -20°C, starting from the rostral edge (about 6 mm rostral to the epicenter), 750 751 collected in PBS and stored at 4°C until use. Twenty µm thick serial sections (one section 752 every five) were separated and immunofluorescence was done. Sections were incubated with 753 primary antibodies directed against astrocytes (Glial Fibrillary Acidic Protein (GFAP); 1:500 754 dilution, Millipore) or neurons (NeuN, 1:500 dilution, Millipore) dissolved in PBS, 1% 755 normal goat serum (NGS; Sigma Aldrich) and 0.1% Triton X-100 and incubated overnight at 756 4°C under constant shaking. Primary antibody staining was detected using secondary 757 antibodies conjugated to fluorophores (Alexa Fluor 647, 1:500; Invitrogen). Spinal cord 758 sections were coverslipped with a 50% glycerol solution in PBS before acquisition at 10X 759 magnification by confocal microscopy (Olympus Fv1000, Laser 594).

761 COLOCALIZATION STUDY

Study of colocalization was carried out *in vitro* (9-19 cells) and *ex vivo* around the site of injection 1 day post-injury (9 sections, 30 μ m thickness, sampled one every two sections, evaluated area 5355 μ m²). Colocalization was quantified by Pearson's coefficient (Imaris software, Bitplane).

766

767 TOTAL NUMBER OF NEURONS IN DAMAGED SPINAL CORD

768 Acquisition was set using a Cell R microscope (Olympus); Every 5th section (30 um

thickness) in a tract of spinal cord of +1.5/-1.3 mm from the injury site was acquired using a

3D mosaic imaging technique with 40x magnification. For frame sampling a grid (200*200

 μ m) was superimposed on the acquired section and all frames of the grid containing grey

matter were examined in a fractioned height of 5 μ m. An unbiased counting probe (216*165

 μ m) was used to count neurons in each frame, with Image j software and a homemade plugin-

macro. The total number of neurons was calculated using the formula: $N = \Sigma Q^*$

t/h*1/asf*1/ssf, where Q is the number of neurons counted in the section, t (section thickness,

30 μ m), h (fractionator height, 5 μ m), area probe (216*165 μ m), area frame (200*200 μ m),

asf (area probe/area frame), ssf (sampling fraction of every 5th section). The precision of the

number of neuronal cells was established by the intra-animal coefficient of error, CE and

inter-animal coefficient of variation CV. ^{38,39} Mean CE: INJ 0.050, NG_Roli 0.044 and CV:

780 INJ 0.283, NG_Roli 0.122.

781

782 ASTROCYTOSIS IN SPINAL CORD

783 The acquisition was set using a Cell R microscope (Olympus). Every 5th section (30 um

thickness) in a tract of spinal cord of +1.5/-1.3 mm from the injury site was acquired using a

- 3D mosaic imaging technique with 40x magnification. For frame sampling a grid (200*200
- μ m) was superimposed on the acquired section and all frames of the grid containing
- astrocytes marked with GFAP were examined in a fractioned height of $2 \mu m$. An unbiased
- counting probe (216*165 μ m) was used to quantify GFAP⁺ staining in each frame, with
- Image j software and by the Cavalieri method. Volume was calculated as: $V=\sum P^*A^*T$ (V
- volume, P number of points hitting white matter, A, grid spacing ($200*200 \mu m$) and T,
- distance between each sampled section (150 μ m)). CE and CV were calculated ^{38,39}: mean CE:
- 792 INJ 0.067, NG_Roli 0.085. CV: INJ 0.265, NG_Roli 0.285.
- 793
- 794 STATISTICAL ANALYSES
- 795 We used Prism software (Graphpad) for statistical analyses. Mann-Whitney test and one-way
- ANOVA followed by Bonferroni's post hoc test were used see relative captions.
- 797

798 Acknowledgment.

- Authors' research is supported by Politecnico di Milano.
- 800

801 Supporting Information Available:

- 802 The Supporting Information is available free of charge via the Internet at <u>http://pubs.acs.org</u>
- 803 Scheme of NG synthesis; AFM images of NG-NH₂; FT-IR spectra of NG and NG-NH₂;

¹H-NMR (D₂O) spectra of NG and NG-NH₂; NG uptake in untreated, LPS-treated and FGFIL-4 treated cell of murine astrocytes and microglia, and human astrocytes in vitro; in vitro

806 release profile of Rolipram delivered from NGs; quantitative mRNA analysis of iNOS and

- 807 Lcn2 expressed by astrocyte cultures after treatment with three factors C1q, IL1α and TNF,
- 808 (PI) or PI and Rolipram; neuron culture exposed to conditioned medium of untreated
- 809 astrocytes or conditioned medium of astrocytes pre-incubated for 24h with PI, PI + NG or PI+
- 810 Rolipram-loaded NG; neuron culture exposed to conditioned medium of untreated microglia
- 811 or conditioned medium of LPS or LPS + NG or LPS +Rolipram-loaded NG pre-treated

812	microglia	number c	of neurons	of untreated	d injured	mice con	nnared to	Rolinram	loaded	NG at
012	microgna,	number (n neurons	or unucated	u mjureu		iipaieu io	копртант	Ioaucu	nu ai

- 63 DPI.

- 818



825 Scheme of nanogel synthesis. PEI residual amine groups are in blue, and structure of the

- *coating in red.*





831 Fig. S2





835 Fig. S3

836 FT-IR spectra of NG (orange line) and NG-NH₂ (blue line).



841 Fig. S4

 ${}^{1}H$ -NMR ($D_{2}O$) spectra of NG (A) and NG-NH₂ (B).



- 845
- 846



- 847 848
- 849 Fig. S5
- A) NG uptake in untreated (CTR) (a), LPS-treated (activation stimuli) (b) and FGF (A2
- 851 *stimuli*) (c) treated murine astrocytes in vitro. Quantification of the NG uptake in astrocytes
- 852 shows higher NG internalization in LPS treated astrocytes compared to CTR and FGF group
- 853 (d). B) NG uptake in untreated (CTR) (a), LPS-treated (activation stimuli) (b) and IL-4 (M2
- 854 stimuli) (c) treated murine microglia in vitro. Quantification of the NG uptake in microglia
- 855 shows higher NG internalization in LPS treated astrocytes compared to CTR and Il-4 group.

- 856 *C)* NG uptake in untreated (CTR) (a), LPS-treated (activation stimuli) (b) and FGF (A2
- stimuli) (c) treated human astrocytes in vitro. Quantification of the NG uptake in astrocytes
- 858 shows higher NG internalization in LPS treated astrocytes compared to CTR and FGF group
- 859 (d). Data are mean ± SD. One-way ANOVA followed by Bonferroni's post hoc test. Statistical
- 860 significance: (*) p < 0.05, (**) p < 0.01, (***) p < 0.001, (***) p < 0.0001. Scale bar
- 861 *10μm*.
- 862
- 863
- 864



866 Fig. S6

867 In vitro release profile of Rolipram delivered from NGs.



872 Fig. S7

Quantitative mRNA analysis of iNOS (a) and Lcn2 (b) expressed by astrocyte cultures after

874 treatment with three factors, C1q, IL1α and TNFα (PI) or PI and Rolipram, at the

875 concentrations indicated. Data are mean ± SD. One-way ANOVA followed by Bonferroni's







- 885 Fig.S8
- 886 Neuron culture exposed to conditioned medium of untreated astrocytes (CTR) (a) or
- 887 conditioned medium of astrocytes pre-incubated for 24h with C1q, IL1α and TNFα (PI) (b),
- 888 *PI* + nanogel (*PI*-NG) (c), or *PI*+ Rolipram-loaded nanogel (*PI*-NG_Roli) (d). Cells are
- stained for SMI32 and quantified by unbiased counting. PI-NG_Roli significantly reversed
- 890 *the toxicity of the conditioned medium of PI treated astrocytes, effectively protecting motor*
- 891 *neurons in vitro (e). Data are mean* ± SD. One-way ANOVA followed by Bonferroni's post
- 892 *hoc test. Statistical significance:* (*) p < 0.05.
- 893





896 Fig.S9

- 897 Neuron culture exposed to conditioned medium of untreated microglia (CTR) or conditioned
- 898 medium of LPS or LPS + nanogel (LPS-NG) or LPS + Rolipram-loaded nanogel (LPS-
- 899 NG_Roli) pre-treated microglia. Cells were stained with SMI32 and quantified by unbiased
- 900 counting. The neuron counts show no significant differences. Data are mean ± SD. One-way
- 901 ANOVA followed by Bonferroni's post hoc test.
- 902
- 903





905 Fig.S10

906 Number of neurons of untreated injured mice (INJ) compared to Rolipram-loaded NG

907 (NG_Roli) at 63 DPI. We found not significant difference between INJ and NG_Roli mice (a).

- 908 Graphical representation of neuronal survival in relation to their distance from the injured
- 909 epicenter at 63 DPI (b).

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