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7 Abstract

8 Alloys based on the Al-Sn system are Miscibility Gap Alloys consisting of two phases: a low-melting 9 Sn phase and a high-melting Al phase. The two phases are almost immiscible in solid state, with 10 minimal miscibility of Al in liquid phase just above the Sn-phase melting temperature. This situation 11 allows the alloy to be used as a composite Phase Change Material, where the low-melting (active) 12 phase (Sn) acts as the actual Phase Change Material, storing/releasing latent heat at each thermal 13 cycle across its activation temperature, and the high-melting (passive) phase (AI) plays its main 14 role in fast driving heat within the composite material, thanks to its high thermal conductivity. 15 Thermal response of PCM composites is expected to be faster if the active phase is finely combined 16 to the matrix. Moreover, if the active phase is embedded in the passive phase, leakage phenomena 17 can be prevented and the continuity of the passive phase, acting as matrix, also allows residual 18 structural properties to the composite above the temperature at which the low-melting phase is 19 activated. In these conditions, the composite PCM can be considered as a form-stable composite 20 material. 21 In this paper, the effect of rapid solidification has been investigated as a tool to get suitable 22 microstructure of an Al-Sn alloy in view of its application as a composite PCM. The rapid 23 solidification has been obtained by a Power Bed Laser Melting (also known as Selective Laser 24 Melting) process. In the case of positive results for microstructure, the process will allow to 25 overcome critical points in the manufacturing of composite PCM parts by powder metallurgy, 26 mainly consisting in limitations on size and geometrical features of the parts. 27

28 **Keywords:** Composite Phase Change Material, Al-Sn, Miscibility Gap Alloys, Metal matrix 29 composite, Rapid solidification, Power Bed Laser Melting

30 Highlights

- 31 • An Al-Sn based Phase Change Material was produced through Selective Laser Melting.
- 32 Alloy microstructure is very fine and partially oriented in building direction. •
- 33 • The anisotropy in thermal conductivity can be beneficial for the final application.
- 34 Thermal response agrees with that of Al-Sn alloys produced by other PM methods. •

1. Introduction 35

36 The generation of a heat surplus under specific conditions is a critical issue in many engineering 37 applications as well as in many industrial plants. In both cases, a convenient way to deal with it is 38 by using Phase Change Materials instead of active cooling systems [1]. These materials are suitable 39 to perform the so-called 'Thermal Energy Storage', when as much as possible of the heat surplus 40 of the system must be stored to be used later (e.g., solar energy power plants). Alternatively, heat 41 can be used for 'Thermal Energy Management', when at least part of the heat surplus generated

42 during transients has to be removed to avoid to reach excessive temperatures or excessively rapid

- 43 heating or cooling rates (e.g. portable electronics) [1]. Phase Change Materials (PCMs) are
- 44 functional materials, that exploit a phase transition which changes one or more material
- 45 properties. In the case of thermal applications, PCMs can store the thermal energy associated to a
- 46 phase transition, i.e., the latent heat of transition, and release it when the transition is reversed. A
- 47 significant advantage with respect to an active cooling system is that their action depends only on
- 48 the material itself and not on the applied heat flow or external flow source [1].
- 49 The range of application of a PCM is mainly defined by latent heat and temperature of the
- 50 transition. Other properties must be considered to meet project requirements, concerning
- 51 thermal, physical, dynamic, chemical, economic and technical performance, as discussed by Wei et
- al. [2]. In this framework, the most studied and applied PCMs are organic materials and inorganic
- 53 compounds (e.g., molten salts). On the other hand, metals are usually less considered; according
- to Fleisher [1] and Mohamed et al. [3], this is due to their low latent heat per unit mass. However,
 metals have several advantages, like high application temperatures, high thermal conductivity and
- high density, which results in high heat per unit volume [2–4].
- 57 Metallic PCMs can be pure metals or alloys, which usually undergo solid-liquid or solid-solid
- 58 transitions [5,6]. According to Wei et al. [2], the most promising metallic PCMs are Al alloys, due to
- 59 their transition temperatures, suitability for many applications, and good corrosion resistance. In
- 60 the case of solid-liquid transition, it is necessary to choose a proper container material to avoid
- 61 liquid corrosion and consequent PCM contamination as well as container damage [2]. For this
- reason, the metallic PCM is usually encapsulated through a mechanical or electroplating approach
 [5]. However, oxidation or corrosion due to interactions between PCM and container can occur
- 64 especially at high temperature, compromising performance and functionality of the system;
- 65 moreover, thermal conductivity of the system can be low [5]. Another way to obtain PCM relies on
- 66 designing composite materials (C-PCM), consisting of an active phase undergoing the transition
- 67 (i.e. the actual PCM) and a passive matrix which does not change in the entire range of working
- temperature [7]. The passive phase can have several roles, like enhancing thermal conductivity,
- 69 embedding the active phase without the need of encapsulation and providing structural or other
- 70 functional properties [7]. When the matrix has the last two roles, the PCM can be defined as
- 71 'form-stable' [8]. To obtain a metallic C-PCM exploiting a solid-liquid transition, the constitutive
- 72 phases must not interact in solid state as well as when the active phase is molten, to keep a
- constant composition. An effective solution is the use of Miscibility Gap Alloys (MGAs), as
 suggested by Sugo et al. [9]. These systems are often applied in bearing materials and, so,
- 75 production processes are well known.
- An ideal PCM candidate based on MGA is the Al-Sn alloy, which was proposed by Sugo [9] and is
 considered also in this study. The phase diagram of this alloy shows an eutectic point with around
- 78 99.5% in mass of Sn at 228°C [10]; since the eutectic composition is almost pure Sn and the
- 79 eutectic temperature is very close to pure Sn melting temperature (232°C), it is possible to assume
- 80 that the alloy has a miscibility gap. Thanks to the frequent use of Al-Sn as the base alloy system for
- 81 bearing materials, several production processes were considered and studied. Similarly to form-
- 82 stable PCMs, bearing materials require a homogeneous dispersion of the soft, lower melting phase
- 83 (e.g. Sn or Pb) in the Al matrix; this microstructure proved to improve hardness, friction and wear
- 84 behaviour [11]. However, a common issue with MGAs is that conventional casting results in the
- high melting phase surrounded by the low melting phase, which is opposite to the desired
 microstructure [9,12]. High density difference and mutual immiscibility of system components are
- 87 possible causes of this phenomenon [12]. Therefore, it is important to select carefully a suitable
- 88 production process; in the following, a brief review of production process applied to Al-Sn based
- 89 systems is presented.

- 90 Powder metallurgy processes are widely applied to produce bearing materials, since powder
- 91 mixing followed by compression and sintering can embed Sn in the Al matrix. Zhu et al. conducted
- 92 several studies on the effect of ball milling and sintering temperature and time on Al-Sn alloys [11–
- 93 14]. They observed that this approach allows to obtain a fine microstructure with nanometric Sn
- 94 particles embedded in Al, resulting in very good hardness and wear resistance. Powder metallurgy
- 95 processes to obtain Al-Sn based PCMs were applied by Sugo et al. [9] as well as in previous works
- 96 by the authors [4,15,16]. This method proved to be quite effective to produce form-stable metallic
- 97 PCMs, with isolated active phase particles.
- 98 Cold-spray deposition has been applied to deposit the Al-Sn layer directly on components, usually
- 99 made of steel or Al alloys. For some aspects, this process can be considered similar to powder
- 100 metallurgy, since the powder blend is compacted without melting and then sintering is necessary.
- 101 For example, Ning et al. applied a cold-spray deposition process, in which gas atomized Al-Sn alloy
- 102 powders (5-10 mass% Sn) were deposited on the steel substrate at high speed and temperature
- 103 lower than melting one [17]. Deposition was followed by annealing at different temperatures,
- between 150°C and 300°C for 1 hour. Low porosity, good bonding and high hardness (73-74 HV)
 were obtained.
- 106 Another possible approach is using casting processes which involve specific strategies to avoid Sn
- 107 segregation at Al grain boundaries, like rapid solidification of the melt and the addition of grain
- 108 refiners and alloying elements. Stuczyński (1997) developed a foundry technique to produce Al-Sn
- 109 ingots to be used as input material for bearings [10]. His method is based on stirring continuously
- 110 the metal bath during melting in order to avoid segregation and selecting a suitable ingot casting
- 111 method to ensure fast solidification. Regarding the latter, Stuczyński observed that continuous or
- semi-continuous casting gives better results than die casting, if economic conditions are satisfied.
- 113 In addition, a grain refiner (AlTi5B1) was added to improve Sn homogeneous distribution and the
- 114 metal bath was degasified to reduce the formation of solid non-metallic inclusions. Final steps in
- Al-Sn ingot production were cold work and annealing at 350°C for 4 hours. More recently, in 2013,
 Chikova et al. studied how to improve a traditional casting method for an Al-50 mass% Sn based
- on heating molten Al-Sn alloy at 700°C and then cooling rapidly (0.2°C/s) [18]. Overheating the
- 118 melt induces an irreversible transformation of the molten alloy in an homogeneous state, which is
- 119 kept in the solid state thanks to rapid solidification [18]. The proposed new method involved
- 120 increasing the overheating temperature to 1150°C and the cooling rate to 4°C/s, as well as adding
- 121 Ti (0.06 mass%) or Zr (0.1 mass%) as alloying elements. The best results were obtained for heating
- 122 at 1150°C with cooling at 4°C/s and addition of Zr, reducing Young modulus, hardness and residual
- 123 stresses, so improving metal forming of the alloy ingot.
- 124 Rapid solidification is exploited also in thermal spray processes, which are used to deposit Al-Sn
- 125 layer directly on component. The difference with respect to the above-mentioned cold-spray
- 126 process is that powders are melted before deposition. Makhatha et al. investigated rapid
- 127 solidification through a laser alloying technique, applied on Al-Sn alloys with 75-50-25 mass % of
- 128 Sn [19]. Laser scanning speed was 0.6-0.8 m/min. Very good performances were observed for
- 129 hardness (above 100 HV), wear and corrosion resistance. On the other hand, Marrocco et al.
- 130 tested a high-velocity oxyfuel (HVOF) thermal spray process [20]. The alloy contained also 1 mass%
- 131 Cu and 2 mass% Ni or 7 mass% of Si. Rapid solidification resulted in the deposition of an Al-Sn
- 132 based layer with low porosity directly on a steel strip. Post deposition heat treatment at 300°C was
- 133 conducted for 1 to 5 hours, followed by air cooling. Sn particles of micrometric and
- 134 submicrometric size were observed. The sample containing Si showed the best wear resistance.
- 135 Another conventional rapid solidification process is melt spinning. An ingot with homogeneous
- 136 composition is molten and injected on the outer surface of a cooled rotating roller, usually under
- 137 Ar atmosphere. The cooling rate is in the order of 10^{5} - 10^{6} K/s [21]. This process is frequently used

138 in industrial production of thin sheets of amorphous or quasi-crystalline metals, known also as 139 metallic glasses. On laboratory scale, many authors, like Kim and Cantor [22] and Zhang et al. [23], 140 produced ribbons of Al-Sn alloys to study heterogeneous nucleation of Sn particles embedded in 141 Al matrix. The resulting microstructure consist of a fine columnar structure of α -Al and Sn particles 142 that can be "bulk" (> 10 μ m), agglomerated at grain boundaries or nanometric and 143 homogeneously distributed in the Al matrix [21-24]. 144 In this paper, a rapid solidification approach has been considered as a method to obtain an Al-Sn 145 alloy suitable to be applied as PCM. The selected process was Selective Laser Melting (SLM, also 146 referred as Powder Bed Laser Melting). In this process, a simple mixture of powders (in the 147 present case, 60% Al and 40% Sn in mass) is locally melted and then rapidly solidified, leading to 148 building and shaping specimens of alloy by stacking layers of consolidated alloy along a specific 149 direction. The potential of this approach is the possibility to obtain a metallic C-PCM, characterized 150 by a fine microstructure thanks to the rapid solidification, with the design freedom allowed by an 151 additive manufacturing process. The authors also aimed at comparing its thermal response and 152 microstructural stability during simulated service as C-PCM materials with their previous results of 153 [4,15,16] on an Al-Sn MGA with the same composition produced by powder metallurgy methods 154 not involving solidification. Material characterization focused on i microstructural and mechanical 155 properties, as well as thermal and functional properties that are important for the application as 156 PCMs.

157

158 **2. Materials and Methods.**

159 Pure AI (ECKA Granules Germany GmbH) and pure Sn (STAGNO 106, Metalpolveri S.r.I) powders 160 were mixed to obtain a blend with 20% volume of Sn, corresponding to about 40% in mass. 161 Previous works by the authors focused on alloys with the same composition, but different 162 production processes [4,16,25]. Both powders had high purity (>99.7% in mass). The purchased Al 163 powder had grain size approximately <45 µm, while Sn powder had a wider size distribution with 164 also coarser particles (>106µm: 0.1%, >45µm: 15-45%, <45µm: 55-85%). Before the mixing 165 processes, powders were sifted with a 63 µm sieve, to remove coarse particles and agglomerates. 166 Then, they were mixed for 1 hour at 20 rpm at room temperature, in mass ratio 60/40 Al/Sn 167 (corresponding to volume ratio 80/20). Two sets of samples have been produced, using a 168 Renishaw additive manufacturing system AM 250 equipped with a Reduced Build Volume 169 apparatus (powder bed 90 mm x 90 mm), operated in argon atmosphere (O < 1000 ppm). 170 Among a series of tentative samples produced by varying process conditions [26], the two with the 171 following parameters (called S1 and S2) were considered for the present paper, focused on the 172 microstructural features and on its development during service as Phase Change Materials. The 173 laser power was fixed to 200 W and the exposure time to 160 µs. The layer thickness of the 174 powder bed was 25 µm. Meander scanning strategy was applied, rotating the scanning direction 175 of 67° after each layer completion. The two samples of Al-Sn MGA showed in this work were 176 produced with the same point distance (100 µm), i.e., distance between two consecutive laser 177 pulses. On the other hand, the distances between the parallel adjacent scanned tracks, i.e., hatch 178 distance, were different (80 µm for S1 and 100 µm for S2) and, as a result, also the specific energy 179 (E) applied to the powders changed. The specific energy (also referred as volumetric energy) 180 density, and expressed in J/mm³) was calculated using the following equation, approximating the 181 scanning speed + as the ratio between point distance (i.e., distance between two neighbour points 182 exposed to the pulsed laser) and exposure time (i.e., time of a laser pulse) since a pulsed laser was 183 used (Equation 1):

$$\underline{E} = \frac{P}{d_h \cdot v \cdot l} \approx \frac{P \cdot t_{exp}}{d_h \cdot d_p \cdot l}$$

(Equation 1)

185 where *P* is the laser power, d_h is the hatch distance, *v* is the scanning speed, *l* is the layer thickness, 186 t_{exp} is the exposure time and d_p is the point distance. Values for <u>E</u> were thus 160 and 128 J/mm³ for 187 S1 and S2 samples, respectively.

188

Both S1 and S2 samples were produced as parallelepipeds, with dimensions of 8x4x8 mm and
 40x5x9 mm respectively; Once removed from the building (not heated) platform, samples were
 cut in smaller regular pieces for further testing. Mounted samples were ground with abrasive
 papers and then polished with diamond suspensions and silica suspensions down to 0.5 μm.
 The specimens were tested with the same simulated service conditions used in previous

investigations on Al-Sn alloys used as composite PCMs. This simulated service consisted of thermal
 cycles between 180°C and 280°C, with heating/cooling rate of about 25-28°C/min. 100 thermal
 cycles were carried out with Ar flux, to avoid Sn oxidation in the temperature range where it is

197 molten.

198 Characterization of the MGA alloy produced by SLM was carried out before and after simulated

service, including the analysis of microstructure, mechanical properties and thermal behaviour.

200 In microstructural characterization, both Optical Microscopy (OM, Nikon Eclipse LV150NL) and 201 Scanning Electron Microscopy (SEM) were used to evaluate materials features at different scale-202 length. Since in both cases phase contrast is sufficiently high, no chemical etching of the polished 203 surfaces was performed. a SEM analysis were carried out using either a W-SEM (Zeiss EVO 50) and 204 a high-resolution Field Emission Gun SEM (FEG-SEM, Zeiss Sigma 500). Further, Electron Back 205 Scattered Diffraction (EBSD), using an Oxford Instrument C Nano EBSD detector in the FEG-SEM, 206 allowed to analyse grain orientation of S2 sample in as-produced conditions; an area of 38.0x15.8 207 µm was scanned with step size of 58.3 nm.

Quantitative evaluation and analyses of microstructural features has have been carried out using
 ImageJ software (in the Fiji distribution) [27], under the assumption suggested by Underwood that

the volume fraction of a phase is equal to its area fraction [28]. An analysis of pore morphology

211 was conducted on OM images of the whole central section parallel to building direction. The

- 212 considered shape descriptors are particle area, equivalent diameter, circularity and aspect ratio.
- The equivalent diameter is computed from the area considering the particle as circular. Circularity shows if the particle is round and smooth (value tends to 1) or it has irregular surface and/or
- elongated shape (value tends to 0). Finally, aspect ratio is the ratio between the major and minor
- axis of the fit ellipse [29,30]. Sample density was calculated from porosity fraction measured from
- 217 OM images of the whole section parallel to the building direction, considering that pores increase
- 218 material volume with a negligible increase in mass.
- 219 The preliminary analysis of the mechanical behaviour of the material was carried out by means of
- Vickers microhardness (HV). HV was measured along the sample direction parallel to the building direction using a Future tech EM 700 microhardness tester with 4.01 N applied load and 15 c
- direction, using a Future-tech FM-700 microhardness tester with 4.91 N applied load and 15 s
 dwell time, with 5 repetitions.
- 223 Thermal energy storage for the manufactured C-PCMs was evaluated through Differential
- 224 Scanning Calorimetry (DSC) tests, using a TA Instruments DSC 2010 V4.4E. Samples of mass of
- about 10 mg corresponding to about 3.3 mm³ (slightly lower for thermally cycled specimen),
- 226 placed in Al crucibles were thermally cycled twice in inert Ar atmosphere. These were heated at
- 227 20°C/min from -50°C to 320°C, held 1 min at 320°C and then cooled to RT with cooling rate set to
- 228 20°C/min. This thermal history should have ensured complete solid/liquid phase transition of Sn.

229 Experimental values of density and thermal properties were compared with theoretical values for 230 Al-40%Sn (mass percentage) obtained with thermodynamic calculations (Thermo-Calc Software 231 TCAL5 Al-alloys database, [31,32]). 232 Finally, the effective thermal conductivity of different regions in the alloy was estimated with 233 Lattice Monte-Carlo (LMC) approach, using a software developed by our research group and 234 presented in a dedicated paper (Li [33]). The same numerical method was applied previously on 235 Al-Sn alloys by the authors [34] and by Rawson et al. [35]. Its advantage is the possibility to 236 simulate the effective thermal conductivity of a multiphase material taking into account the actual 237 microstructure. In the case of a binary system (like Al-Sn), a micrograph of suitable magnification is 238 binarized, i.e., each pixel is converted to black or white according to a threshold defined with the 239 Otsu's method [36], resulting in a matrix of size n x m with elements 0 and 1 only, the so-called 240 lattice. Nodes with value 0 belong to the matrix and nodes with value 1 belong to the inclusions, in 241 the present case Al and Sn respectively; each phase is characterized by its thermal conductivity 242 (237 W m⁻¹ K⁻¹ for Al, 66.6 W m⁻¹ K⁻¹, [37]). Thermal conductivity is related to the probability of a 243 "virtual" energy *particle* to move in the lattice randomly with a set of successful or unsuccessful 244 jumps into the near-neighbour node in a certain time, i.e., time for each jump attempt multiplied 245 by the total number of jumps. The calculation of this probability is repeated for a specified number 246 of particles. At the end, three values of conductivity are calculated: in vertical direction (k 1), in 247 horizontal direction (k 2) and the average between the two (k av). In the present paper, areas of 248 size 110*110 pixel, corresponding to 143 μ m², were chosen to analyse thermal conductivity in 249 representative regions; a high magnification was necessary to have a good resolution of phases 250 and the sampling of 10 small areas per each sample allowed to have a statistical analysis as well as 251 a relatively short simulation time (around 1:30 hours). The number of particles was set equal to 252 the number of nodes (110*110 = 12100) and the number of jumps was set to 10000, which is a 253 suitable value for a lattice of this size according to Li [25]; the latter assumption was verified 254 repeating the simulation for all areas of S1 sample increasing the number of jumps (5, 100, 1000, 255 10000) without modifying the other parameters. The simulation was repeated 3 times for each 256 area to ensure statistically representative results. In the present work, the use of LMC simulation 257 aimed to understand the local effect of different phase distributions on isotropy of the thermal 258 conductivity of the composite. Results are compared with upper and lower bounds for thermal 259 conductivity (k_{c,u} and k_{c,l}, respectively) obtained with the rule of mixture (Equation 2 and Equation 260 3 [38]) as function of Sn volume fraction (v_{sn}):

261 a) upper-bound expression

262

 $k_{c,u} = k_{Al}v_{Al} + k_{Sn}v_{Sn} = k_{Al} + (k_{Sn} - k_{Al})v_{Sn}$ (Equation 2)

263

264

b) lower-bound expression $k_{c,l} = \frac{k_{Al}k_{Sn}}{k_{Al}v_{Sn} + k_{Sn}v_{Al}} = \frac{k_{Al}k_{Sn}}{k_{Sn} + (k_{Al} - k_{Sn})v_{Al}}$ (Equation 3)

where k_{Al} (237 W m⁻¹ K⁻¹) and k_{Sn} (66.6 W m⁻¹ K⁻¹) represent thermal conductivity of Al and Sn 267 268 respectively [37], while v_{Al} and v_{sn} are the volume fractions of Al and Sn, the sum of which is equal 269 to 1.

270 **3. Results**

271 **3.1.** Macrostructure



272 273

274

Figure 1. Low-magnification SEM micrographs of as-produced samples: S1 (a) and S2 (b). Metallographic sections are parallel to building direction (here vertical).

275

276 The microstructure of the Al-40mass%Sn alloys can be clearly observed in relatively low 277 magnification SEM micrographs shown in Figure 1a and Figure 1b for S1 and S2 samples, 278 respectively. Both specimens were are in as-produced condition and cut on metallographic section 279 parallel to the building direction (vertical in images). Due to Z-contrast, Al is dark-grey and Sn is 280 white, while the darkest areas correspond to discontinuities (pores or cracks). For both samples, 281 especially for S1, tracks of molten pools are visible as lighter horizontal bands in these low-282 magnification micrographs. Further, the macrographs show almost spherical pores and cracks 283 developing vertically. The latter have more or less separated surfaces (compare Figure 1a to Figure 284 1b) and, in some cases, part of the crack is filled with Sn (e.g., the right-side one in Figure 1b). 285 Cracks develop along building direction with small deviations when they cross porosities, mainly in 286 the central part of the sample. The crack network observed on horizontal sections of the samples 287 suggests a columnar macrostructure with hexagonal section. On the other hand, pores formed all 288 over the sample volume, some of them located at crack tip or along a crack. 289 The presence of pores and cracks on the sample was characterized by Image J. The cracks 290 distribution was is quantified by means of their average distances, considering 5 parallel lines 291 along the thickness of the sample, perpendicularly to building direction, and measuring the mean 292 crack distance. Even if cracks tend to concentrate at the centre of the sample, their average 293 distance is 0.918 mm in S1 and 0.677 mm in S2. S2 sample is thus characterized by a higher crack 294 density. Pores were are quite uniformly distributed in S2, but in S1 their number and size increase, 295 especially close to the lateral surfaces, with an overall lower porosity area fraction (7.4%) 296 compared to S2 (13.3%). Qualitatively, the pore density in S1 sample increases with build-up 297 height. The specimen density was densities computed from these values as are 3.36 g/cm³ and 298 3.23 g/cm³ for S1 and S2 samples, respectively. 299 Comparing results of image analysis shown in Table 1, it is possible to observe that aspect ratio 300 and circularity are quite similar for both samples. The values indicate that pores are quite circular 301 and smooth; considering that they have similar shape also in transversal micrographs, it is possible 302 to assume that in 3D they have spherical shape. Concerning size, pores in S1 sample are generally 303 bigger than in S2 sample (+ 9.38% considering the mean area); this difference is more significant 304 for big pores (about 5%) than for small pores (less than 1%). On the other hand, S2 sample has 305 more pores: $73/mm^2$ compared to the $51/mm^2$ for S1. 306

Pores in S1 sample Pores in S2 sample	Pores in S1 sample	Pores in S2 sample
---------------------------------------	--------------------	--------------------

_	Area [μm²]	Equivalent diameter [µm]	Circularity	Aspect ratio	Area [μm²]	Equivalent diameter [µm]	Circularity	Aspect ratio
Mean	1743.28	47.11	0.73	1.72	1579.81	44.85	0.72	1.76
Max	50523.14	253.63	1.00	7.35	48109.38	247.50	1.00	7.70
Min	150.82	13.86	0.20	1.00	149.84	13.81	0.20	1.00
Mode	150.82	13.86	1.00	1.37	149.84	13.81	1.00	1.37

323 324

Table 1. Size and shape descriptors of pores

308 Higher-magnification micrographs in Figure 2a-b show the fine distribution of the two phases,

309 where Sn is found at Al grain boundaries. Both micrographs show the melting pool inner and

boundary regions.

311 Focusing on Sn distribution, it can be found both in a fine network around Al and in coarse isolated 312 particles. The high-energy S1 sample (Figure 2a) clearly displays coarser structure. Sn phase has a 313 minimum thickness of the order of 0.5-1 µm, surrounding Al grains 2-3 µm thick and elongated up 314 to the extension of the melting pool (in Figure 2a, the maximum vertical length is about 25 μ m). Sn 315 particles tend to coarsen close to or in inter-pass regions. Further, there are regions in which Sn 316 particles are still fine, but not elongated in a specific direction in the micrograph, resulting in a more 317 isotropic structure. Finer and slightly different features for Sn particles can be observed in Figure 2b 318 for S2 sample. Elongated particles are present as well, but they are thinner and less in number. In 319 the same regions, Sn particles with size around 1 µm can be found; higher magnification micrograph 320 of this area is shown in Figure 4b. Further, coarser Sn particles, mainly located close to inter-pass

region and slightly elongated in solidification direction, with maximum length up to 3 μ m are observed.



325Figure 2. FEG-SEM micrographs of as-produced samples: S1 (a) and S2 (b). Metallographic sections326are parallel to building direction (here vertical).





335

Figure 3. Pores with Sn inside from S1 (a) and S2 sample (b)

338 EBSD analysis of as-produced samples were carried out on selected areas to investigate presence

339 and orientation of both Al and Sn grains and the existence of orientation relationship between 340

- them, as shown by Kim and Cantor for an Al-Sn alloy produced through melt spinning [22].
- 341 Representative results are here shown for S2 sample, in a narrow analysis area of the
- 342 metallographic section shown in the same Figure 4a.





344 Figure 4. Small area of S2 sample shown tilted of 70° for EBSD analyses (a), containing both fine 345 and coarse Sn particles as well as a thick elongated region of accumulated Sn. High magnification of a Sn-filled crack (b). EBSD results in Y₁ direction: phase map (c) with Sn in blue and Al in red, 346

Inverse Polar Figures (IPF) for Sn (d) and Al (e). In the sample reference system, Y₁ axis is parallel to
 building direction and Z₁ is perpendicular to sample surface.

349 Even if the phase identification in Figure 4c shows that indexing is not complete, it can be clearly 350 observed that Sn (in blue colour) lays in coarse particles, in a thick elongated region and partly in 351 particles of sub-micrometric size. The orientation maps of Sn phase show that sub-micrometric Sn 352 particles have in general similar orientations (Figure 4d), while particles with equivalent diameter 353 greater than 1 µm, typically include multiple grains with widely different orientation, for which no 354 inter-correlation nor correlation to the surrounding Al structure was observed. The same can be 355 observed for the Sn-elongated region in the middle of Figure 4d. In addition, a very high 356 magnification micrograph of one Sn-filled cracks (Figure 4b) shows that Sn can display both cracks 357 at Al interface and inner cracks resulting in elongated particles with thickness lower than 100 nm. 358 Concerning AI grains orientation (Figure 4e), EBSD map consists of "vertical stripes", each 359 corresponding to a cellular structure. The presence of hues inside of the stripes indicates grain 360 inhomogeneities. Moreover, it can be noticed that the crack filled with Sn lays between two

361 differently oriented elongated grains.

After 100 thermal cycles simulating service, the microstructure changes significantly in the phase
 distribution. The nearly hexagonal columnar structure suggested by the crack network is clearly

visible in these samples. Formation of new cracks with respect to as-build conditions was not
 observed. The comparison between metallographic sections parallel to building direction before

366 (Figure 1a-b) and after thermal cycles (Figure 5a-b) shows that deposition tracks are less evident

367 after cycling. Further, a tendency of Sn to form coarser structures and fill discontinuities is clear 368 for both the investigated camples

368 for both the investigated samples.

369 Micrographs of sample sections perpendicular to building direction (Figure 5c-d) show that Sn

370 filled to higher amount cracks and pores. Further, a sort of "beachmarks" underlying a wavy 371 concentration of Sn can be observed at the external part of the coarse columnar structure

372 surrounded by cracks. Within them, Sn content is generally low with extremely fine Sn particles

373 (Figure 6a). The inner regions of columnar structures are characterized by Sn-rich areas where

374 microstructural features, even if coarser, are similar to those of as-produced samples (Figure 6b).

This phenomenon can be noticed also in sections parallel to building direction (Figure 5a-b)

especially for S2 sample. Moreover, thermal cycles caused some Sn leakage from the sample, and
 the corresponding formation of almost spherical particles in external surfaces which progressively

100 µm

b)

378 increased in size becoming visible at very low magnifications.

379





381 382

380





385Figure 5. W-SEM micrographs of S1 and S2 samples after simulated service: section parallel to386building direction (a, b) and section perpendicular to building direction (c, d)



390Figure 6. W-SEM micrographs of S1 sample (section perpendicular to building direction) after391simulated service at high magnification: (a) Sn-poor region, (b) Sn-rich region.

3.2. Vickers microhardness

Results of Vickers microhardness tests before and after simulated service are reported in Figure 7.
Hardness values are generally around 30 HV, except for S2 sample in as-produced conditions,
which reaches more than 40 HV. Standard deviation is quite large, especially for S1 sample after
thermal cycles.

399Figure 7. Vickers microhardness (HV) results for both samples, before and after 100 cycles400simulating service (average over 5 measurements).

401 **3.3.** Thermal properties

402 **3.3.1** Thermal storage

403 The thermal storage response of the materials was derived from DSC curves showing heat flow as 404 function of temperature and presented in Figure 8 and Figure 9 for S1 and S2 sample, respectively.

405 The endothermic (downward in plots) melting peaks of the two sample always occur at

406 temperature (T_p) very close to that of pure Sn melting temperature (232°C). In addition to this

407 peak, the only observed for the two DSC cycles performed on as-produced samples, another peak

- $408 \qquad \text{appears a couple of degrees before the main peak in DSC cycles performed on specimens}$
- 409 previously subjected to 100 thermally cycles. Notwithstanding this, the transition temperature
- 410 interval is always about 20°C.
- 411 The exothermic (upward) solidification peak occurs at lower temperature and in a more complex
- 412 way. In both samples, the curves referred to the two DSC runs on as-produced samples display the
- 413 first peak at T_p of about 220°C. The second broad peak is observed in the temperature range from
- 414 140°C to 113°C for S1 sample, and from 156°C to 70°C or 100°C in the first and second cycles for S2
- 415 sample, respectively. After 100 thermal cycles simulating service, the solidification conditions
- 416 become more complex: the height of the solidification peak at 220°C clearly decreases with only
- 417 slightly changes from the first to second cycle. The low-temperature region is smoother for S1
- 418 sample, while S2 sample shows changes in the number of peaks as well as in their temperature.





419

Figure 8. DSC curves of S1 sample: melting peak (a) and solidification peaks (b)



422 423 424

Figure 9. DSC curves of S2 sample: melting peak (a) and solidification peaks (b)

The above DSC curves were compared to additional ones (Figure 10) carried out on pure Al and

426 pure Sn powders in order to check if the second solidification peak was related to an instrumental

427 error, some impurities or to the fine microstructure of the Al-Sn alloy. Al curve did not show any
428 peak, as expected. On the other hand, Sn had a single melting peak and multiple solidification

429 peaks, two starting around 215°C and a broad one with T_p at about 140°C.





Figure 10. DSC curves of pure AI and pure Sn powders

432 The energy associated to the phase transition was calculated as the area of melting/solidification

433 peaks in the curves of heat flow as function of time. Results for each sample, before and after

434 thermal cycles, are reported in Figure 11; these values are the average of the enthalpy measured

in each of the two cycles of the DSC test. When multiple solidification peaks were observed (both

in case of Al-Sn alloy and pure Sn), if the area of all the solidification peaks is summed, the

437 resulting value is close to the value of melting enthalpy. The reduction of stored energy after

438 thermal cycles simulating service is around 30% for both samples.



447 448

Figure 11. Energy associated to the phase transition (melting and cooling) for each sample before
and after thermal cycles simulating service; values are the average of the enthalpy measured in
each of the two cycles of the DSC test

443 **3.3.2** Thermal conductivity

- 444 The selected areas for the calculation of thermal conductivity with LMC method are shown in
- Figure 12 for both samples. Ten areas per micrograph were selected, either in regions where Sn particles are elongated and in regions characterized by more isolated Sn particles.



Figure 12. Selected areas for the calculation of thermal conductivity with LMC method for S1 (a)
 and S2 (b) samples.

451 To check if the number of jumps suggested by Li [33] for lattices of size 100*100 was suitable to 452 obtain meaningful results for the present microstructures, the simulation was repeated for all 453 areas of S1 sample increasing the number of jumps (5, 100, 1000, 10000) without modifying the 454 other parameters. The trend of the average thermal conductivity as function of jump number 455 (Figure 13) suggests a horizontal asymptote at high values of jump number for most of the curves. 456 According to these results, it seems reasonable to consider thermal conductivity computed with 457 10000 jumps as the actual value. Therefore, thermal conductivity for S2 sample was computed 458 with this jump number only.



460 Figure 13. Average thermal conductivity calculated for each area in S1 sample with increasing jump
 461 number

- 462 Effective thermal conductivity values obtained in each area are presented for S1 sample (Figure
- 463 14a) and S2 sample (Figure 14b) as function of the actual Sn content (volume fraction); the
- reported values are thermal conductivity in direction 1 (parallel to building direction, k_1), in
- direction 2 (perpendicular to building direction, k_2) and the average between the two directions
- 466 (k_av). All values lie between the lower and upper bounds defined by the rule of mixtures.



467 468

Figure 14. Effective thermal conductivity (in $W m^{-1} K^{-1}$) of each area in S1 (a) and S2 (b) samples in direction 1 (k_1), in direction 2 (k_2) and average between the two directions (k_av), as function of Sn content in volume fraction. Also, the upper and lower bounds of effective thermal conductivity computed with the rule of mixtures are shown.

473 For both samples, effective thermal conductivity values ranged from about 150 W m⁻¹ K⁻¹ to 200 W 474 m⁻¹ K⁻¹. Nevertheless, the standard deviation in the three repeated simulation on the same image 475 is generally lower than 1.5%, with a peak of 3.29%. The local Sn volume fraction clearly affects 476 thermal conductivity: higher values of thermal conductivity are found for areas with lower Sn 477 content, corresponding to higher Al content, which is the phase providing the higher thermal 478 conductivity. On the other hand, comparing k av values for the area 3 with elongated Sn particles 479 in the micrograph and the area 6 with equiaxial Sn particles in S1 sample, both having Sn volume 480 fraction around 22%, average thermal conductivity is almost the same, about 177 W m⁻¹ K⁻¹. For S1

- 481 sample, the difference between k_1 and k_2 in areas with elongated particles ranges from 6% to
- 482 more than 13%, while for zones with equiaxial particles it is less than 3%. For the former, the
- 483 higher conductivity value is found in direction 1, that is the direction in which particles are
- 484 elongated. Considering S2 sample instead, the difference between conductivities in the two
- directions is generally below 5%, with only one peak of about 10% in area 4. In this case, the effect
 of the two kinds of regions (elongated or equiaxial in the micrograph) on the anisotropy of thermal
- 487 conductivity seems to be less evident.
- 488 As far as the overall effective thermal conductivity of the two materials is concerned, the average
- 489 values of Sn volume fraction and effective thermal conductivity per each sample were computed
- 490 (Table 2). Considering the standard deviations, either the overall Sn content and the effective
- 491 thermal conductivity values are close in the two samples. For both, conductivity in building
- 492 direction (direction 1) is higher than conductivity in direction 2; this difference is slightly bigger for
- 493 S1 sample: 4.87% with respect to 3.88% for S2 sample.

	So volume fraction (y^{0})	Effective thermal conductivity [W m ⁻¹ K ⁻¹]			
	Sir volume fraction (v%)	Average (k_av)	in direction 1 (k_1)	in direction 2 (k_2)	
S1	21.24 ± 6.05	180.01 ± 14.12	184.49 ± 10.86	175.52 ± 18.01	
S2	23.66 ± 7.87	174.90 ± 17.78	178.36 ± 17.53	171.44 ± 18.37	

510 511 Table 2. Average values of Sn volume fraction and effective thermal conductivity

495 **4. Discussion**

496 **4.1.** Microstructural features

The microstructural features observed in the analysed samples and their correlation to the specific
manufacturing process have some peculiarities that deserve in-depth consideration: phase
distribution, cracks, pores and microstructural changes induced by simulated service. They will be
here described separately, even if some aspects are interlinked.

501 Phase distribution after rapid solidification of both samples resulted, as expected, in a very fine 502 microstructure where AI and Sn are clearly evident. A comparison between these microstructures 503 and the set of microstructures obtained by the authors for the same alloy composition through 504 different powder metallurgy methods showed that the fine size and distribution of the two phases 505 displays some similarities with those obtained by ball-milled powders [4,16] (Figure 15a) than to 506 the coarser simple-mixed ones [15] (Figure 15b). In more detail, focusing the attention on the 507 distribution of Sn phase, to which the PCM effects are correlated, ball-milled samples include both 508 submicrometric particles and slightly elongated particles of a few µm mainly perpendicular to

509 compression direction.



Figure 15. Representative microstructural features for Al-40%mass alloy produced by ball-milled or
 simple-mixed powders then compressed and sintered (a and b, respectively). Both sections are
 parallel to compression direction (vertical).

515 In SLM, the coarseness and morphological features of Sn particles are affected by the high cooling

- 516 rates. In addition, heat flow should be directed mainly downwards along the building direction,
- 517 toward the Al building platform. Due to its lower specific energy, S2 sampleexperienced a faster
- 518 cooling and, consequently, its microstructure is generally finer, with smaller and more isolated
- 519 particles. The elongated Sn particles visible in 2D images of both samples, particularly for
- 520 specimen S1, are reasonably part of a cellular structure where Sn can be found at boundaries of Al
- 521 grains growing in an almost parallel manner, as shown in EBSD analysis (Figure 4). In this way, Sn
- forms an almost interconnected network around Al and the fine distribution cannot create small,isolated Sn particles.
- 524 Moreover, the presence of the elongated Sn particles in solidification direction clearly highlights
- 525 the position of the molten pool regions (Figure 1a). In such cases, it is possible to verify that the 526 distance between two overlaid molten pools is about 20-30 μm, which corresponds approximately
- 527 to the powder bed layer thickness considering that a layer deposition affects also the layers below
- 528 of through re-melting or heat treatment. Further, the thin layer which is observed at the boundary 529 of molten pools (identified by dashed line in Figure 2a) is generally richer in Al, with nanometric Sn
- 530 particles, as result of the early solidification.
- 531 In regions where Sn particles do not appear elongated, it is not so easy to distinguish molten pools
- according to Sn distribution and microstructure is too fine to obtain EBSD images with sufficient
- quality to verify grain orientations. In order to explain the formation of this microstructure, it is
- 534 possible to suppose that it resulted from recrystallization of Al and remelting of Sn, due to
- repeated thermal cycles during deposition of following layers. However, this assumption does not match with the distribution of the regions, which do not occur between every overlaid molten
- 537 pool, and whose high thickness can correspond to one or even two layers. Therefore, these
- 538 regions reasonably belong to molten pools, and the absence of elongated grains is due to the
- angle formed by the cell orientation with the metallographic section plane. According to
- 540 Underwood [28], 2D structures (elongated grains) can appear like 1D structures (more or less
- 541 equiaxial grains) on a plane cutting the volume in which they are contained. The shape of the 542 section that is "seen" on the plane (the micrograph) depends on the angle between the particle
- 542 section that is "seen" on the plane (the micrograph) depends on the angle between the particle 543 and the plane itself. SLM deposition can be considered as a series of overlapping welded spots
- 544 (i.e., solidified molten pools), each of them displaying cellular growth along heat removal
- 545 directions, which on the farthest regions of the molten pool are oriented almost perpendicularly
- 546 to the building direction. As a result, cellular structures should appear as highly elongated only
- 547 when the metallographic section plane cuts the cellular structure along its growth direction, which
- 548 is the case with cells formed at the centre/bottom of the molten pool, which are elongated on 549 building direction. In all the other cases, cells appear as less elongates or even almost equiaxial
- 550 structures. Moreover, the used scanning strategy was meander rotated of 67° every layer,
- 551 therefore when cutting the sample perpendicularly to its surfaces, every molten pool can be
- sectioned along a different plane, due to both different orientations of the laser path with respect
- 553 to the cut and the rotation between consecutive layers.
- 554 This above interpretation was developed from S1 sample micrographs, but it can be reasonably 555 considered also for S2 sample, where Sn is much finely distributed due to higher solidification rate.
- 556 Moreover, the higher cooling rate in S2 could also be responsible for the formation of small
- 557 discontinuities and coarse vertical cracks completely filled by Sn visible at low magnification, that
- 558 will be considered later. The presence, in both samples, of Sn accumulation in the lower portions
- of the molten pools, where Al shrinkage is advanced, is ascribed to the Sn network, which prevents a complete isolation of Sn particles allowing its flow when it is molten.
- 561 Specifically focusing on cracks, they were observed both before and after service, at interfaces
- 562 between cellular structures and Sn can be found inside them, in higher amount after service. As

563 reported by DebRoy et al. [39], thermal stresses generally arise in the sample during additive 564 manufacturing production processes. Those stresses are caused by both solidification shrinkage as 565 well as thermal strain due to thermal gradient (relatively high for AI) and all this can lead to crack 566 formation. In addition, the fact that Sn has a much lower solidification temperature (~232°C) with 567 respect to AI (liquidus temperature in AI-40Sn m%: 615.47°C [31,32]) could have played a major 568 role in crack formation, since solid and liquid phase coexist for a relatively long time [40]. 569 According to Saito et al. [41], during solidification of casting Al-Sn alloys, a liquid Sn film forms at 570 the boundary of primary Al grains and rupture can occur in it due to excessive strain/stress caused 571 by shrinkage during solidification. The same mechanism is likely to have occurred during SLM 572 process, as shown in Figure 4b. Moreover, the presence of thermal stresses, small defects, and the 573 above-mentioned cellular structure could have enhanced crack formation. This phenomenon is 574 expected to occur especially where thermal stresses are particularly high (such as those arising 575 perpendicularly to the building direction) and in lower-resistance regions, such as the interfaces 576 between cellular grains vertically elongated (see Figure 6) or within the less resistant Sn phase in 577 cellular structures elongated in the building direction (Figure 4b), where stress transfer to Al phase 578 is not possible. When the region containing a crack is heated up in a later stage of the process, 579 molten tin from the upper parts of the molten pool or from later overlaying molten pools fill the 580 formed gaps which can extend due to thermal stresses. Depending on the actual location of the 581 crack and the presence of previously existing defects, the newly flown Sn can fill cracks or simply 582 broaden the interface between Al cells. The two samples here considered were produced using 583 two different combinations of the parameters selected for the two sets of produced samples, 584 when point distance was decreased, the vertical crack density increased, while the pore size 585 reduced.

586 The morphological analysis of pores in both cutting directions (parallel and perpendicular to 587 building direction) suggests that they have a nearly spherical shape, with mode aspect ratio ~1.4 588 and mode circularity 1. Their presence can be due to concurrent causes. The first one is the 589 presence of gas which, in SLM process, could be related to shielding gas, alloy vapours inside 590 molten pool or evaporated moisture initially entrapped in powders [39]. The fact that S1 sample 591 has larger pores with respect to S2 is attributed by the authors to the higher energy density (160 592 J/mm³ vs. 128 J/mm³) which resulted in higher temperature of the melting pool and so higher 593 expansion of entrapped gas volume. Further, some pores showed traces of Sn inside and some Sn 594 particles are spherical: therefore, it is possible that Sn partially or completely filled pores. The 595 formation process could have been the following: during cooling, when the alloy was partially 596 solidified, the reduction of temperature caused a reduction of gas pressure in the pore without 597 significantly changing its volume in the already solidified Al phase; the pressure difference should 598 have allowed molten Sn to flow inside the pore in subsequent steps as the inner pressure reduced. 599 This hypothesis is supported also by the shape of Sn traces inside pores (Figure 3). Moreover, 600 considering EBSD analysis of S1 sample in as-produced conditions, the horizontal elongation of 601 grains observed inside coarse Sn particles suggests that they formed due to the stepwise Sn flow 602 during solidification, otherwise, a mainly vertical grain orientation would be expected according to 603 grain growth along the main cooling direction (parallel to building direction). In addition, high 604 magnification micrographs (Figure 2) showed some pores which are more irregular and are 605 probably due to process issues, like short feed and lack of fusion due to spattering [39]. Lastly, a 606 further reason for Sn filled pores could be the presence of big Sn agglomerates in the powder and 607 that the absence or partial presence of Sn within pores could be due to sample metallographic 608 preparation. The authors have not the possibility to verify these hypothesis. 609 The presence of pores is of course detrimental to mechanical properties, even if most of them

610 have a spherical shape which is not critical for crack formation. Moreover, porosity affects two of

611 the major properties of metallic PCMs: high density and high thermal conductivity [5]. The 612 reduction of thermal conductivity was neither tested experimentally nor simulated, but it is 613 strongly expected. Process parameters optimization as well as careful drying of powers should 614 help to reduce porosity. Nevertheless, a small amount of spherical pores ready to be filled by Sn 615 can have a positive effect too, since they can trap molten Sn during phase transition avoiding 616 leakage and reducing stresses caused by solid/liquid expansion of Sn during thermally cycled 617 service of these PCM materials. 618 As far as the microstructural changes taking place during simulated service are concerned, the 619 experimental results showed that Sn is mostly located at the low-melting and interconnected parts

620 of cellular structure. During simulated service, molten Sn can flow through them towards

621 discontinuities and even toward the external surface. The "beachmarks" observed in section

perpendicular to building direction after simulated service (Figure 5) are attributed to the above
 flow of liquid Sn occurring in every thermal cycle. On one hand, this situation can be positive since

flow of liquid Sn occurring in every thermal cycle. On one hand, this situation can be positive since
 Sn can fill pores and/or cracks formed in production process as well as during service. On the other

- hand, this is detrimental for the possibility of avoiding leakage from the external surface, i.e., the
- 626 structure is not "form-stable".

627628 4.2. Mechanical properties

629 In as-produced conditions, hardness of the S1 sample is about 28% lower than the one of S2

- 630 sample. This significant difference mainly results from the finer microstructure of S2 even if the
- 631 role of porosity in the first sample cannot be neglected. The finer microstructure of S2 sample also
- revealed the presence of isolated Sn particles of sub-micrometric size. Accordingly to Liu et al.
- 633 dispersion-hardening strengthening effect can be obtained when homogeneous distribution of Sn
- nanoparticles in nanocrystalline Al matrix occurs, in contrast with the presence of Sn networkaround Al grains [13].
- 636 Focusing on standard deviation of average hardness values, both samples have a standard
- 637 deviation around 10% of the hardness value, with a peak of more than 40% for sample S1 after
- 638 100 cycles. These significant variations can be ascribed to the greater presence of defects (i.e.,
- 639 porosities and cracks) and different local microstructures, that can reduce or increase the
- 640 hardness value pointwise. As a matter of fact, regions with different microstructures are generally
- 641 indistinguishable using an optical microscope; therefore, during the microhardness test, it not
- 642 possible to know exactly where the indentation is done.
- 643 After simulated service, S2 sample shows a decrease in hardness of about 30% after thermal
- 644 cycles, while the average hardness of S1 can be considered constant. However, S1 sample has a
- 645 wide standard deviation and, if its average hardness is computed excluding the highest measured
- values, a hardness reduction of 28% is found. As shown before, during thermal cycles, this sample
- 647 underwent microstructural changes with the formation of Sn-rich and Sn-poor regions. Therefore,
- 648 a wider hardness scattering results for serviced samples.
- 649 The above hardness values can be considered for a comparison between the samples investigated 650 in the present paper and those of samples produced with other methods. While hardness of S1
- in the present paper and those of samples produced with other methods. While hardness of S1
 sample in different conditions are fully within the range of cast Al-Sn alloys, i.e. ~30 HV [14], those
- of S2 sample are similar to the ones obtained in a previous study of the authors using powder
- 653 metallurgy process with simple mixed powders. Here, values of about 40 HV in as produced
- 654 conditions reduce to 30 HV after simulated service [15].
- 655 On the other hand, hardness values of both samples are significantly lower than the ones obtained
- by Liu et al. [14] using powder metallurgy (ball milling), i.e. ~90HV, and the ones obtained by Ning
- et al. [17] using cold spray, i.e. ~70HV. With respect to the works by Liu et al. [13. 14], the
- 658 microstructure of the presently obtained samples does not include extensive homogeneous

dispersion of Sn, and the presence of defects further decreases the average sample hardness. An
improvement of the hardness of these Al-20Sn (volume %) alloys produced by SLM process to
meet the properties observed for the same alloy obtained with different production methods
[4,16,42] could be achieved by process optimization in view of defect reduction and increase of
the amount of isolated Sn nanoparticles.

664

665 **4.3.** Thermal behaviour

666 Even if for PCM materials classically designed for thermal storage devices latent heat of melting is 667 the most important parameter, manufacturing processes can also lead to microstructures where 668 the thermal conductivity can be slightly modified and show some degree of anisotropy. The 669 metallographic analyses of phase distribution associated to LMC simulations clearly showed the 670 inhomogeneity in the Sn amount in different regions of the sample. As far as the thermal 671 conductivity is concerned, regions with particles "oriented" in the solidification direction result in 672 anisotropic thermal conductivity, with higher conductivity along Al grain growth direction. This is 673 particularly evident in S1 sample which has a more significantly oriented microstructure, while the 674 effect is minimal for S2 sample. In conclusion, LMC simulations of effective thermal conductivity 675 confirm that the SLM sample microstructure can affect the thermal response of the system. 676 Although further numerical and experimental studies are required, the present results suggest 677 that fine combination of phases as well as this anisotropy in building direction obtainable with 678 SLM could be considered to promote or inhibit heat flow in specific directions. 679 Thermal response of the material, is analogous to the ones observed for powder metallurgy Al-Sn 680 alloys in previous studies [4,16,42]: a single narrow peak or two close overlapping peaks in melting 681 at about 230°C and multiple peaks in cooling spanning a relatively wide temperature range. The

- 682 presence of two close overlapping peaks in melting after simulated service is due to eutectic 683 reaction occurring at a slightly lower temperature with respect to pure Sn melting (228°C, [43]). 684 Regarding the thermal energy associated to these peaks, the latent heat measured for S1 sample 685 is higher than the value obtained for S2 sample, the former being almost equal to the theoretical 686 value for an Al-Sn alloy containing 20% of Sn in volume (23.6 J/g). As shown by Perrin and 687 Gariboldi [15], the thermal energy stored in Al-Sn alloys due to Sn melting is directly proportional 688 to the actual Sn content in the sample. Even if there are no reasons to expect an overall sample 689 composition different from the initial powder blend (i.e., 20% volume of Sn), micrographs and
- 690 especially LMC analysis showed that Sn fraction varies locally inside the sample regions. In more 691 detail, Sn volume in LMC areas ranged from 11% to 33% in volume corresponding to a computed
- latent heat between 15 J/g and 34 J/g; experimental energy values for both samples fall in this
- 693 range. Therefore, in the present DSC analysis, it is more interesting to consider peak positions and
- relative latent heat values, than the exact values which are dependent on the selected volume in
- the sample. Nevertheless, the reduction of stored energy after thermal cycles simulating service
 (~30% for both samples) is supposed to be significantly affected also by the observed Sn leakage
- 697 (par. 4.1).
- Further, the fact that the sum of all solidification peaks is almost equal to the melting peak proves that all the peaks observed in cooling are related to Sn solidification. The explanation for this behaviour involves the occurrence of undercooling. Undercooling is a metastable state in which a material remains liquid when cooled below its melting point temperature and it is generally taken onto into account in PCM applications, such as in salt hydrates [44,45]. This happens when the spontaneous formation of the thermodynamically stable solid phase is prevented by a nucleation barrier [44]. During the initial cooling process, a part of the heat is released (first peak), but the
- remaining phase transition energy can be stored for extended periods of time (even hours for salt
- 706 hydrates) without further loss of energy [45]. In the case of Al-Sn alloy, undercooling can happen

707 because Sn does not wet Al well, so there are few sites for heterogeneous nucleation, hindering 708 the overall nucleation [44]; this situation occurs both inside the alloy and when Sn powders are 709 tested, since the crucible is made of Al (see par. 3.3.1). Studies about heterogeneous nucleation of 710 Sn particles in Al matrix proved that solidification depends on the specific nucleation site, that is 711 on the interfacial energy between the particle and the substrate or matrix [46]. Kim and Cantor 712 [22] and Zhang et al. [23] demonstrated that solidification peaks with a low undercooling (onset 713 temperature around 220°C) are associated to bulk or micrometric Sn particles, while peaks at 714 lower temperature are characteristic of Sn particles embedded in Al matrix. In the presented 715 samples, embedded particles are present, although they are relatively few, and, consequently, 716 they have short peaks at low temperature. According to Singh et al. [46], being in contact with 717 several matrix grains, each particle can have more than one possible nucleation site that is 718 kinetically selected: so, in repeated thermal cycles, a particle can solidify with different orientation

- 719 every time, resulting in the little variations observed in DSC peaks in different cycles.
- 720

721 **5.** Conclusions

722 In the present paper, a first attempt of producing Al-Sn alloys using rapid solidification through

- 723 Selective Laser Melting was carried out in view of its application as composite Phase Change
- 724 Material. This approach resulted in an extremely fine microstructure, providing a thermal
- response similar to the one obtained with samples with fine microstructure previously produced
- by powder metallurgy. Mechanical properties, evaluated through Vickers microhardness, are close
- to properties obtained in casting and simple powder metallurgy processes. This process proved to
 have a good potentiality to produce composite metallic PCMs, since this technique can overcome
- 729 complexity and geometrical limitations faced in powder metallurgy processes keeping comparable
- 730 properties. A certain degree of anisotropy along the building direction has been observed for
- 731 microstructural features as well as for thermal conductivity. A careful optimization of process
- parameters, and maybe of matrix composition too, should help to reduce the presence of
- 733 macroscopic defects, with beneficial effect on mechanical properties and thermal stability

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- 742

743 Author contributions

744 <u>Chiara Confalonieri</u>: Methodology, Investigation, Formal analysis, Writing – Original Draft, Writing
 745 – Review and Editing.

746 <u>Elisabetta Gariboldi</u>: Conceptualization, Methodology, Investigation, Writing – Review and Editing.

747 **Declaration of interests**

748 None.

749 **Data availability**

- 750 The raw data required to reproduce these findings cannot be shared at this time as the data also
- 751 forms part of an ongoing study. The processed data required to reproduce these findings cannot
- be shared at this time as the data also forms part of an ongoing study.

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