

1 **Effects of repeated hydraulic loads on microstructure and hydraulic behaviour**  
2 **of a compacted clayey silt**

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38 **Abstract**

39

40 Soils used in earth constructions are mostly unsaturated, and they undergo frequent drying-wetting cycles (repeated  
41 hydraulic loads) due to changes in climatic conditions or variations of the ground water level, particularly at shallow  
42 depths. After compaction, changes in water content can significantly influence the hydromechanical response of the  
43 construction material, which therefore has to be assessed for repeated hydraulic loads. This research investigates the effect  
44 of such loads on the microstructure and hydraulic behaviour of a silty soil, typically used in the construction of  
45 embankments and dykes, with the aim of providing a better understanding of the consequences of drying-wetting cycles  
46 on the response of the material over time. Experimental tests were performed to study the impact of drying-wetting cycles  
47 on the water retention, hydraulic conductivity and fabric of compacted specimens. Fabric changes are documented to take  
48 place even without significant volumetric strains, promoting an irreversible increase in the hydraulic conductivity and a  
49 reduction in the capacity to retain water compared to the as-compacted soil. The fabric changes are interpreted and  
50 quantified by means of a hydromechanical model, which accounts for the evolving pore size distribution at different  
51 structural levels. The proposed model reproduces quite well the microstructural observations, together with the evolution  
52 of the water retention behaviour and of the hydraulic conductivity.

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56 **Key words:**

57 Compacted silt; drying-wetting cycle; water retention; hydraulic conductivity; microstructure; hydromechanical model

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## 79 1. Introduction

80 Earth structures are widely exposed to interactions with the environment, especially to variations in the atmospheric  
81 conditions and in the **ground** water level. When the environmental conditions become severe, failure of these structures  
82 may occur causing economic losses and casualties. Far from failure, atmospheric changes and water level oscillations  
83 constitute repeated hydraulic loads inducing drying-wetting cycles, which **significantly affect** the geotechnical behaviour  
84 of earth structures and slopes because of their impact on the distribution of water pressure (e.g. Vaughan et al., 2004;  
85 Smethurst et al. 2006; Rouainia et al., 2009).

86 Soil-atmosphere interactions are mainly due to precipitations and to seasonal variations of air relative humidity and  
87 temperature. These processes may lead to substantial changes in soil water content and suction, particularly within  
88 superficial soil layers (e.g. Croney, 1977; Albright et al., 2004; Smethurst et al., 2006). Calabresi et al. (2013) monitored  
89 the suction and **the** water content within a soil embankment **at** Viadana, along the Po River in the North of Italy. In  
90 proximity of the phreatic surface, a zone deeply influenced by the level of impounded water and by capillarity phenomena,  
91 very small variations **in suction and moisture content** occurred. **Instead**, suction and water content oscillated between  
92 extreme values in superficial layers, mainly due to Relative Humidity (*RH*) changes **in air** (between 30 % and 100 %)  
93 during the inspection time period (April to October 2001). Such *RH* oscillations represent hydraulic loads that can  
94 significantly influence the efficiency of dykes, embankments and also of covers of waste contaminant landfills consisting  
95 of soils compacted with high compaction efforts (e.g. Daniel, 1987). Benson et al. (2007) characterized the hydraulic  
96 behaviour of soils used for water balance covers at the time of construction and a few years after placement. **Over** time,  
97 generally the hydraulic conductivity increased and the air entry value decreased. Similar effects on the hydraulic  
98 behaviour of unsaturated soils have been related to desiccation cracks (Drumm et al. 1997; Albrecht and Benson, 2001),  
99 to freeze-thaw cycles (Benson et al., 1995; Chapuis, 2002), and to plant root growth (Smethurst et al. 2006). For all cases,  
100 the detected changes in the hydraulic behaviour suggest void ratio and/or fabric evolution.

101 The assessment of the long-term behaviour of low activity compacted soils is fundamental to properly understand and  
102 predict the performance of geotechnical structures, such as dykes and embankments, which are mostly built with these  
103 materials. However, little attention has been paid to the effects of hydraulic loading on the fabric and hydraulic behaviour  
104 of low activity compacted soils, while many works have studied these effects for compacted soils of moderate to high  
105 activity (e.g. Sharma, 1998; Alshihabi et al., 2002; Cui et al., 2002; **Fleureau et al., 2002**; Lloret et al., 2003; Alonso et  
106 al., 2005; Cuisinier and Masrouri, 2005; Nowamooz and Masrouri, 2009; **Airò Farulla et al., 2010**; Romero et al., 2011).  
107 This paper aims to investigate the evolution of the hydraulic behaviour and of the microstructure of **a low activity** silty  
108 soil exposed to drying-wetting cycles such as those expected to act on superficial soil layers in temperate climates.

109

## 110 2. Soil properties

111 The tested soil, collected at Viadana (North Italy), is a clayey silt (grain size distribution in Fig. 1) and it was used in the  
112 construction of an experimental embankment for the assessment of earth structures aimed at the containment of floods  
113 along the Po river. The same material has been previously studied by different authors (e.g. Nocilla et al., 2006; Vassallo  
114 et al., 2007; Calabresi et al., 2013; Azizi et al., 2017).

115 The soil retrieved from different places on site can contain different percentages of clay and sand. The Atterberg limits,  
116 grain specific gravity, calcite content, and grain size distribution of the Viadana silt samples used in this investigation are  
117 listed in Table 1. The material can be classified as low plasticity silt (ML) according to ASTM D2487, with a plasticity  
118 index equal to  $PI = 8.3\%$ . The activity index is  $A = 0.4$ , a value typical of low activity soils.

119 According to X-ray diffraction, the main mineralogical constituents are quartz, calcite and clay minerals of low to  
120 moderate activity (mainly kaolinite, chlorite and illite).

121

## 122 3. Specimen preparation and drying-wetting cycles

123 The collected material was dried and mechanically ground. The specimens were prepared by statically compacting the  
124 soil at a dry density  $\rho_d = 1680 \text{ kg/m}^3$  and at a gravimetric water content  $w = 20\%$ . For each specimen, the dry soil powder  
125 was initially sprayed and hand-mixed with demineralised water according to the target water content, sealed in plastic  
126 bags and kept hanging over distilled water in a sealed humid container for 48 hours allowing water content equilibration.

127 The wet soil was then placed in a rigid ring having a diameter of 50 mm. An axial force was gradually applied until the  
128 desired height (20 mm) was achieved. To avoid the generation of excess pore pressure that might affect homogeneity, a  
129 low rate of axial displacements (0.15 mm/min) was imposed during loading. After compression, the specimen was sealed  
130 with an impermeable plastic film and kept hanging over distilled water in a closed container for the following 24 hours  
131 to allow for water content equilibration. No significant water content changes occurred during this stage. The water  
132 content and the dry density of the specimens are similar to those adopted in situ for the experimental embankment (Rojas  
133 et al., 2010).

134 Six specimens were used to characterise the microstructure and to study the hydraulic behaviour after compaction. Their  
135 dry density ( $\rho_d$ ), water content ( $w$ ), void ratio ( $e$ ), degree of saturation ( $S_r$ ) and suction ( $s$ ) are reported in Table 2. Other  
136 eight specimens were used to study the effects of repeated hydraulic loads on the water retention, hydraulic conductivity  
137 and microstructure. These specimens were subjected to 3 and 6 drying-wetting cycles, namely, “3D/W” and “6D/W” (or  
138 generally “D/W”). Drying was imposed by placing the specimens within the holder ring above a rigid mesh. Evaporation  
139 of water occurred across the mesh and towards the laboratory environment, at controlled temperature and relative

140 humidity. The temperature was  $T = 21^{\circ}\text{C}$  ( $\pm 0.5^{\circ}\text{C}$ ). The relative humidity was double-checked and measured to be  
 141 around  $RH = 38.5\%$  (the combination of relative humidity and temperature corresponds to a total suction  $\psi = 129$  MPa  
 142 according to the psychrometric law). The weight and the height of the specimens were measured to track changes in water  
 143 content and volume. For each of the drying steps, the water content decreased until equilibrium was established after  
 144 about 120 hours, reaching the same value of  $w = 0.38\%$  at the end of all cycles. During drying, an axial stress of 10 kPa  
 145 was applied. No significant radial strains were induced and shrinkage of the specimen occurred uniaxially. ~~(for weight  
 146 measurements to be taken, the specimens being held only by the ring were moved back and forth from the mesh to a high  
 147 precision scale).~~

148 Wetting took place in the same mould used for static compaction, through a plastic porous disc at the bottom, which was  
 149 connected to a water pressure line to introduce water into the specimen. ~~An injection rate of  $0.5\text{ cm}^3/\text{h}$  was imposed. A  
 150 small pressure head of 5 kPa was imposed to~~ maintain the pressure gradient adequately low, thus minimising possible  
 151 effects of transient high water pressures on the soil volume and microstructure. Water filled the pores gradually while the  
 152 air was escaping through a tiny passage conduit at the top of the mould. The mass of water injected was measured with a  
 153 water volume indicator ~~and the average injection rate was about  $500\text{ mm}^3/\text{h}$ .~~ Wetting was stopped when the water content  
 154 of the specimens was equal to the one at compaction ( $w = 20\%$ ). Vertical displacements were allowed during wetting  
 155 while radial ones were constrained by the holder ring. After the drying-wetting cycles, the specimens were wrapped up  
 156 in plastic ~~bags~~ and kept hanging over distilled water in a closed container for at least 5 days to ensure water content  
 157 homogenization. The size of each specimen was then measured. Fig. 2 shows the evolution of water content with time  
 158 along one drying-wetting cycle.

159 The filter paper technique was used to measure suction after compaction and after the drying-wetting cycles. The  
 160 calibration curve of Leong et al. (2002) was adopted. ~~Although the filter paper technique is claimed not to be precise in  
 161 the low suction range,~~ The measurements were very consistent with the water retention data of these specimens (see  
 162 section 5.1 for comparison). The average measured suction of as-compacted specimens was  $s = 33$  kPa, whereas the one  
 163 of 6D/W specimens was  $s = 5$  kPa.

164

### 165 3.1 Mechanical response during drying-wetting cycles

166 Fig. 3 shows the volume strains  $\varepsilon_v$  and water content  $w$  of the 3D/W and 6D/W specimens as a function of the number of  
 167 cycles. First drying caused a relatively small shrinkage. The contractive volumetric strains at the end of drying increased  
 168 during the first two or three cycles, implying some irreversible volumetric strains, ~~and they were almost~~ constant at the  
 169 end of ~~the following~~ cycles during which the specimens showed ~~nearly~~ reversible behaviour. Negative strains (expansion)

170 were evident at the end of the first wetting stage, despite the water content being the same as preparation. The expansion  
 171 strains also stabilized after three cycles. However, the expansion volumetric strains at the end of the wetting stages  
 172 consistently decreased during the following two or three drying-wetting cycles, approaching a value of zero after the third  
 173 cycle.

174

#### 175 4. Experimental methods

176 The hydraulic behaviour and the fabric evolution were studied by different tests, using the techniques summarised in  
 177 Table 3. Water Retention Curves (WRC) were determined imposing matric suction  $s$  with the axis translation technique  
 178 ( $s \leq 400$  kPa) and imposing total suction  $\psi$  with the vapour equilibrium technique ( $\psi \geq 3$  MPa). The axis translation  
 179 technique was adopted in a suction controlled oedometer, where an axial net stress  $\sigma_{net} = 50$  kPa was applied. A sequence  
 180 of matric suction  $s = 10, 50, 200, 300, 350$  and  $400$  kPa was imposed over drying. The specimens were then removed  
 181 from the oedometer and placed in a desiccator with saturated salt solutions, whose total suctions at the laboratory  
 182 temperature were measured with a WP4 Dewpoint Potentiometer. The following total suctions were imposed:  $\psi = 3.6,$   
 183  $8.8, 21.8, 40.1$  and  $82$  MPa (using the saturated  $K_2SO_4, KNO_3, KCl, NaNO_3$  and  $Ca(NO_3)_2 \cdot 4 H_2O$  solutions, respectively).  
 184 An axial net stress of  $20$  kPa was imposed during this phase. For each imposed  $\psi$ , the specimens were kept in the  
 185 desiccator until equilibrium was established. Their weight and volume were sequentially measured. After equilibrium at  
 186  $\psi = 82$  MPa, wetting was induced by imposing the same suctions of the drying path in reversed order. The water retention  
 187 data are hereby presented in terms of matric suction. The matric suction  $s$  was obtained as the difference between the total  
 188 suction  $\psi$  and the osmotic suction  $\pi$  for each stage where the vapour equilibrium technique was applied. The osmotic  
 189 suction was evaluated as follows: one specimen at the initial water content and void ratio was squeezed (Iyer, 1990) to  
 190 expel a mass of pore water sufficient for electrical conductivity measurements. The electrical conductivity of the pore  
 191 water was  $E.C. = 3.70$  mS/cm, which is consistent with a molarity  $M = 0.039$  mol/l of a NaCl solution. For each stage of  
 192 the Vapour Equilibrium path, the actual molarity was evaluated assuming that only water evaporates, so that the ratio of  
 193 the dissolved salt mass to the solid fraction of the soil remains constant. This molarity was converted into electrical  
 194 conductivity - accounting for the decrease of the mobility of ions in concentrated solutions. For each stage,  $\pi$  was related  
 195 to the estimated electrical conductivity through the graph reported by Fredlund and Rahardjo (1993) (originally from  
 196 USDA Agricultural Handbook, 1950), which was fitted with the relationship  $\pi = 31.9 \times E.C.^{1.07}$  (units kPa and mS/cm).  
 197 The empirical relationship between the osmotic suction and the water content for the tested specimens was then found to  
 198 be  $\pi = 70.29 \times w^{-1.06}$  ( $w$  is non-dimensional).

199 The hydraulic conductivity of the saturated specimens was obtained by means of constant head permeability tests. The  
 200 specimens were saturated by injecting water while the hydraulic head was kept constant until a steady flow of water took  
 201 place. The hydraulic conductivity in unsaturated conditions was determined by back analysis of the water content changes  
 202 measured during the water retention tests.

203 Insights on the fabric of specimens at different states were provided by Pore Size Distributions (PSD) curves and by direct  
 204 observations with Environmental Scanning Electron Microscope (ESEM) pictures. The PSD curves were determined  
 205 through Mercury Intrusion Porosimetry (MIP) carried out using a Micromeritics AutoPore IV 9500, in which two different  
 206 systems were operated: a low mercury pressure system (between 0 and 0.345 MPa) and a high mercury pressure system  
 207 (between 0.345 MPa and 228 MPa). These operating systems allow mercury to intrude pores with radii ranging between  
 208 0.0035  $\mu\text{m}$  and 200  $\mu\text{m}$ . Cylindrical specimens with height and diameter of 10 mm were trimmed from the original ones  
 209 for MIP analyses. The fabric of the wet specimens was preserved by dehydration using the freeze-drying technique  
 210 (Delage and Pellerin, 1984). All specimens were then weighted and placed in the penetrometer, followed by evacuation  
 211 of gas from the chamber to generate the vacuum condition.

212

## 213 5. Effects of repeated hydraulic loads on the hydraulic behaviour

### 214 5.1 Water retention behaviour

215 Fig. 4(a) shows the water retention curves of the as-compacted specimens and of the specimens subjected to drying-  
 216 wetting cycles in terms of  $\log(s)$ - $S_r$ . A reduced capacity for retaining water of the D/W specimens was evident along both  
 217 the main drying and the main wetting paths, where the water content of the D/W specimens was always noticeably smaller  
 218 than that of the as-compacted specimen for suction  $s < 20$  MPa. Drying-wetting effects were not observed at very high  
 219 suction ( $s \geq 20$  MPa). Consistently with the reversible mechanical response after the third drying-wetting cycle, the drying  
 220 WRC of the 6D/W specimen was quite similar to the one of the 3D/W specimen. Volume changes taking place during  
 221 the water retention tests were very small.

222 The initial void ratio of the D/W specimens was slightly smaller than the one of the as-compacted specimens because the  
 223 formers had a slightly higher volume decrease under the axial net stress  $\sigma_{net} = 50$  kPa imposed in the suction controlled  
 224 oedometer. Volume changes taking place during the water retention tests were very small (Fig. 4(b)). Altogether, the  
 225 results showed that the water retention behaviour of the D/W specimens differs from the original one mainly because of  
 226 changes in the soil fabric, mostly occurring during the first cycles, rather than because of changes in the void ratio.

227 Different water retention domains can be identified: a high suction range with almost no hysteresis ( $s \geq 20$  MPa), an  
 228 intermediate suction range and a low suction range, which can be distinguished from each other by substantial changes  
 229 in the shape of the curves as shown in Fig. 4(c) in terms of water ratio ( $e_w = S_r \cdot e$ ) and suction. The size of the two latter



230 domains, which are separated by the inflection point of the WRC, evolved during the drying-wetting cycles (see also  
231 Romero and Vaunat, 2000; Aubertin et al., 2003; Romero et al., 2011; Della Vecchia et al., 2015).

232

## 233 5.2 Hydraulic conductivity

234 The average saturated hydraulic conductivity of the specimens, evaluated by constant head tests, was  $K_s = 1.1 \times 10^{-9}$  m/s  
235 for the as-compacted specimens and  $K_s = 1.3 \times 10^{-8}$  m/s for the 6D/W specimens. The hydraulic conductivity in unsaturated  
236 conditions was determined by back analysis of the water content changes measured during the water retention tests. The  
237 Finite Element code COMSOL was used to reproduce the water outflow along the suction equalization phases of the  
238 drying paths (in the range  $10 \leq s \leq 400$  kPa) and the hydraulic conductivity was then obtained relying on Richard's  
239 equation (e.g. Eching et al. 1993; van Dam et al. 1994; Fujimaki & Inoue 2003):

$$\frac{\partial \theta}{\partial t} = - \frac{\partial K_w(S_e)}{\partial z} \frac{\partial s}{\gamma_w} \left[ \frac{\partial s}{\partial z} - 1 \right] \quad (1)$$

240

241 where  $\gamma_w$  is the specific weight of water,  $\theta = \frac{e_w}{1+e}$  is the volumetric water content,  $z$  is the spatial coordinate,  $t$  is the time  
242 and  $K_w$  is the hydraulic conductivity in unsaturated conditions. The effective degree of saturation  $S_e$  is defined as  $S_e =$   
243  $\frac{S_r - S_{res}}{1 - S_{res}}$ , where  $S_{res}=0.07$  is the residual degree of saturation. For each suction increment, the decrease in water content  
244 was expressed in terms of moisture capacity  $C(s) = \frac{\partial \theta}{\partial s}$  determined from the WRC and the current hydraulic conductivity  
245 was approximated with its average constant value. The following equation was then solved:

$$C(s) \frac{\partial s}{\partial t} = - \frac{K_w(S_e) \partial^2 s}{\gamma_w \partial z^2} \quad (2)$$

246

247 An optimization procedure was implemented to determine the best values of  $K_w$  for the as-compacted and 6D/W  
248 specimens. In the experiments, the water flow rate depended also on the impedance of the bottom porous stone, through  
249 which the flow took place. Therefore, the porous stone, having height 7 mm and saturated hydraulic conductivity  $1.21 \times 10^{-9}$   
250 m/s, was also modeled. In the numerical simulation, suction values were imposed at the bottom of the porous stone,  
251 while a no flow condition was imposed at the top boundary.

252 Fig. 5(a) compares the changes in the volumetric water content  $\Delta \theta$  measured during the test with the numerical  
253 predictions. According to the back analysis, the hydraulic conductivity of the 6D/W specimen is greater than the one of  
254 the as-compacted specimen over the whole saturation range (Fig. 5(b)). This general increase of hydraulic conductivity,  
255 observed also at full saturation, is related to the fabric changes taking place at almost constant volume, which are discussed  
256 in detail in the following.

257

## 258 6. Effects of repeated hydraulic loads on microstructure

### 259 6.1 Fabric arrangement

260 ESEM pictures of the as-compacted and 6D/W specimens shown in Figs. 6(a), 6(b) and 6(c) portray the soil fabric and  
 261 the pore network. These pictures were taken while setting the temperature  $T = 10\text{ }^{\circ}\text{C}$  and the vapour pressure  $u_v = 630\text{ Pa}$   
 262 in the chamber, with a corresponding relative humidity  $R.H. = 53\%$ . The silt and clay fractions are well evident. The clay  
 263 fraction consists of single clay particles (denoted by ‘C’) and of aggregations of clay particles (clay peds). Silt particles  
 264 (denoted by ‘S’) are also evident.

265 The radii of the pores between peds and between large particles and peds, recognised at the lower magnification of Figs.  
 266 6(a), 6(b) and 6(d), are of the order of a few microns. The radii of the pores within the peds appear to be clearly below  $1\text{ }\mu\text{m}$   
 267 including those recognised at the higher magnification of Fig. 6(c) and those invisible at this magnification. This may  
 268 suggest three classes of pores including micropores and mesopores inside the peds and macropores external to the peds,  
 269 as it will be discussed in the next section.

270 Fig. 6(d) shows an ESEM image of the 6D/W dry specimen. This figure shows that single peds might break into smaller  
 271 peds, separated by fractures having the size of macropores, which suggests that drying-wetting cycles induce breakage of  
 272 peds, increasing the macroporosity. Nonetheless, neither cracks nor fissures at the surface of the specimens were evident  
 273 to the naked eye.

274

### 275 6.2 Pore size distribution

276 The pore size distributions presented in Fig. 7(a) describe the following four conditions: As-compacted ( $w = 20\%$ ), after  
 277 first drying (‘As-compacted dry’,  $w = 0.38\%$ ), at the end of the sixth drying stage (‘6D/W dry’) and at the end of the sixth  
 278 wetting stage (‘6D/W’). The PSD curves are clearly bi-modal, with a dominant peak in correspondence of a pore radius  
 279 smaller than  $1\text{ }\mu\text{m}$  and another peak in the range of  $5\text{--}10\text{ }\mu\text{m}$ . Microstructural changes from the as-compacted condition  
 280 to the 6D/W condition are evident. The as-compacted and the as-compacted dry specimens have a dominant pore radius  
 281  $r = 609\text{ nm}$ , but the size of the peak is greater for the dry specimen. The PSDs of the 6D/W dry and 6D/W specimens  
 282 overlap very well, both showing an increase of the dominant pore radius to  $r = 917\text{ nm}$ . The radius of the smaller PSD  
 283 peak shifts from about  $5\text{ }\mu\text{m}$  (as-compacted) to about  $8\text{ }\mu\text{m}$  (wet state of the 6D/W), while it remains about  $5\text{ }\mu\text{m}$  for the  
 284 6D/W dry. The evolution of the PSDs shows that the soil fabric is sensitive to repeated hydraulic loads, confirming that  
 285 fabric changes take place even without significant changes in the total volume.

286 The total intruded void ratio  $e_{mt}$  was smaller than the total void ratio  $e$  (Fig. 7(b)) since not all the available porosity was  
 287 intruded by mercury. This difference is due both to very large pores, filled by mercury at very low pressures, whose

288 volume is not measured during intrusion, and to very small pores which are not intruded even at very high pressures. The  
 289 fraction of void ratio associated to the very small pores is assumed to be equal to the water ratio corresponding to  
 290 irreducible saturation, and it is about 0.04 for all specimens. The non-intruded fraction of void ratio associated with very  
 291 large pores is then estimated as the difference between the non-intruded void ratio and 0.04.  
 292 Based on the PSDs, three classes of pores (micropores, mesopores and macropores) are identified. This allows  
 293 individuating a microstructural void ratio  $e_{Mi}$ , a mesostructural void ratio  $e_{Me}$  and a macrostructural void ratio  $e_{Ma}$ :

$$e_{Mi} = \frac{V_{vMi}}{V_s}, e_{Me} = \frac{V_{vMe}}{V_s}, e_{Ma} = \frac{V_{vMa}}{V_s} \quad (3)$$

294 where  $V_{vMi}$  is the volume of the micropores,  $V_{vMe}$  is the volume of the mesopores,  $V_{vMa}$  is the volume of the macropores  
 295 and  $V_s$  is the volume of the solid phase. The total void ratio  $e$  is then:  
 296

$$e = e_{Mi} + e_{Me} + e_{Ma} \quad (4)$$

297  
 298 Selecting a criterion to discriminate between different classes of pores always involves some degree of arbitrariness, and  
 299 the choices that were made in the elaboration of the data are presented [here](#). Micropores are defined as those pores still  
 300 saturated at very high suctions, and whose behaviour during drying-wetting cycles is completely reversible. On the basis  
 301 of the results in Fig. 4(c), the corresponding void ratio is  $e_{Mi} = 0.06$  for all conditions. The threshold pore radius separating  
 302 micropores from mesopores  $R_{Mi}$  is determined as the radius at which the following condition is satisfied:

$$e_{Mi} = \int_{3.5}^{R_{Mi}} \frac{PSD(r)}{3.5 r \cdot \ln(10)} dr + 0.04 \quad (5)$$

303  
 304 where 3.5 nm is the smallest pore radius intruded by MIP and 0.04 is the void ratio corresponding to the very small non  
 305 intruded pores. By imposing  $e_{Mi} = 0.06$  it follows  $R_{Mi} = 11$  nm. **Note that the argument function of the integral in eq. (5)**  
 306 **follows logically from the definition of the PSD as the incremental fraction of intruded void ratio with respect to the**  
 307 **logarithm of the pore radius, as discussed for instance by Della Vecchia et al. (2015).**

308 Mesopores are identified with the larger pores within the clay peds. According to the ESEM pictures (Fig. 6), their radii  
 309 are expected to be smaller than 1  $\mu$ m. MIP analyses of specimens loaded to increasingly higher net stresses showed a  
 310 progressive reduction of the volume of the pores having radii greater than the dominant peak, and no clear effects on  
 311 pores whose radius was smaller (Azizi et al., 2018). The evidence confirms the shared view that mechanical compression  
 312 mostly affects pores external to clay aggregates (or macro-pores, see e.g. Delage and Lefebvre 1984; Tarantino and De  
 313 Col, 2008, [Koliji et al., 2010](#)). Therefore, the threshold pore radius  $R_{Me}$  separating the macropores from the mesopores  
 314 was selected as the pore radius of the dominant peak of the PSD (equal to 609 nm for the as-compacted and first drying  
 315 states, and equal to 917 nm for the 6D/W dry and 6D/W wet states).  $V_{vMe}$  is the volume of pores whose entrance radius is

316 between  $R_{Mi}$  and  $R_{Me}$ ; the values of  $e_{Me}$  were obtained through Eq. (3). Finally, values of  $e_{Ma}$  for each state were determined  
 317 by applying Eq. (4).

318 The threshold pore radii separating the different classes of pores and the void ratios  $e_{Mi}$ ,  $e_{Me}$  and  $e_{Ma}$  are summarised in  
 319 Table 4.

320

### 321 6.3 Fabric evolution based on conjugate analysis of MIP and ESEM data

322 Repeated hydraulic loads induce two different effects at the mesostructural level. Firstly, the mesostructural void ratio of  
 323 the 6D/W states ( $e_{Me} = 0.32$ ) is smaller than the one of the as-compacted state ( $e_{Me} = 0.36$ ). This suggests that the peds  
 324 experience irreversible strains, and their contraction during drying is not fully recovered during wetting. Since the final  
 325 suction is smaller than the one at preparation, the behaviour of the peds cannot be elastic, otherwise drying-wetting cycles  
 326 would lead to a net expansion of the peds. Secondly, the radius of the larger mesopore increases from 609 nm to 917 nm  
 327 (Table 4).

328 While the overall void ratio remains constant upon the repeated hydraulic loads, the macrostructural void ratio evolves  
 329 from the initial  $e_{Ma} = 0.24$  of at the as-compacted state to  $e_{Ma} = 0.28$  of the 6D/W wet state. Simultaneously, the dominant  
 330 radius of macropores increases from 5030 nm to 8230 nm. Contraction of the peds is responsible for larger macroporosity  
 331 and larger individual macropores, thanks to stiffening effect of the granular silt skeleton, which is less sensitive to water  
 332 content changes (as observed also for Barcelona clayey silt by Romero et al., 2014). The lower air entry value of the peds,  
 333 the larger macrostructural void ratio and the larger pore radii of the macrostructure of 6D/W samples all concur to explain  
 334 the significant change of the water retention capacity and the increase in hydraulic conductivity.

335 The experimental WRCs and those predicted on the basis of MIP results are compared in Fig. 8. Since mercury is a non-  
 336 wetting fluid, MIP data can be used to derive a water retention curve by assuming that its penetration is equivalent to air  
 337 intrusion during a drying path. The diameter  $r$  of a pore determines both the matric suction  $s$  during drying and the pressure  
 338 of penetrating mercury  $p$  (e.g. Romero et al., 1999), so that:

339

$$s = \frac{4\sigma\cos\theta_w}{r}; p = -\frac{4\sigma_{Hg}\cos\theta_{Hg}}{r}; s = -\frac{\sigma\cos\theta_w}{\sigma_{Hg}\cos\theta_{Hg}}p \approx 0.196 p \quad (6)$$

340 where  $\sigma = 0.072$  N/m is the surface tension of water and  $\theta_w = 0^\circ$  is the contact angle for the air-water interface,  $\sigma_{Hg} =$   
 341  $0.484$  N/m is the surface tension of mercury and  $\theta_{mw} = 140^\circ$  is the contact angle between mercury and the particle surface.  
 342 The WRC is derived from MIP data at the fixed void ratio of the tested sample. On the contrary, single values of water  
 343 retention determined experimentally over drying/wetting paths reflect the concomitant shrinkage and swelling occurring  
 344 during the test. Direct comparison of the two is hardly significant in the case of active clays (Romero et al., 2011), for

345 which numerous PSDs are required to determine the complete retention domain (Della Vecchia et al., 2015). However,  
 346 in the case of Viadana silt changes in total void ratio are negligible, and the MIP derived WRCs reproduce quite well the  
 347 experimental water retention both along first drying and along drying after 6D/W cycles. The difference between the first  
 348 drying and the following drying paths shows the relevance of internal microstructural rearrangement for the tested silty  
 349 soil, though its composition include no relevant percentage of active clay minerals particularly sensitive to physico-  
 350 chemical phenomena.

351

## 352 7. Modelling macroscopic behaviour accounting for microstructural evolution

### 353 7.1 Coupled hydromechanical model

354 The experimental results show that both the hydraulic behaviour and the fabric of Viadana silt evolve with drying-wetting  
 355 cycles. The volume of the peds and the water retention properties undergo irrecoverable changes during the first cycle,  
 356 and stabilise afterwards. **To assist in the interpretation of the data and to quantify the effects of the drying-wetting cycles,**  
 357 **a microstructural framework is needed. Table 4 suggests that the microstructural void ratio  $e_m$  remained constant, so it is**  
 358 **not strictly necessary to consider the micro-pores separately from the meso-pores when formulating a microstructural**  
 359 **model, since both classes of pores are found within the peds.** A double porosity framework was then used which allows  
 360 to distinguish between the micro-meso and the macro fabric levels and to incorporate microstructural changes.

361 A model for the volumetric response is introduced to this extent. Two structural levels are defined and described based  
 362 on their respective void ratios, one pertaining to the void space within the peds (intra-peds) and the other external to the  
 363 peds (macrostructure). The overall behaviour of the material follows as the superposition of the two structural levels  
 364 where the two fabric levels are assumed to be in hydraulic and mechanical equilibrium. Two sets of hydromechanical  
 365 variables are defined: matric suction and Bishop type effective stress as stress variables, and water ratio (or degree of  
 366 saturation) and volume strain (or void ratio) as strain variables. The proposed model shares fundamental hypotheses with  
 367 double porosity models formulated for expansive soils (e.g. Gens & Alonso, 1992; Alonso et al., 1999; Mašin, 2013,  
 368 Musso et al., 2013) whereas it adopts the elastoplastic framework for the behaviour of the peds based on the  
 369 microstructural observations. The asymptotic behaviour resulting from an increasing number of drying-wetting cycles is  
 370 then reproduced as a direct consequence of the proposed hardening laws, which are discussed in the following.

371

#### 372 7.1.1 Fabric levels and strain variables

373 Micropores and mesopores belong to the intra-peds porosity, while macro-pores are associated with the porosity external  
 374 to the peds. The intra-peds void ratio  $e_m$  and the macrostructural void ratio  $e_{Ma}$  are defined as:

$$e_m = e_{Me} + e_{Mi} = \frac{V_{vMe} + V_{vMi}}{V_s} = \frac{V_{vm}}{V_s}, e_{Ma} = \frac{V_{vMa}}{V_s} \quad (7)$$

375 where  $V_{vm}$  is the volume of the intra-peds voids.

376 The intra-peds water ratio  $e_{wm}$  and the macrostructural water ratio  $e_{wMa}$  are obtained imposing that the total mass of water  
377 stored within the soil is the sum of the mass of water within the peds and the mass of water in the macroporosity:

$$e_w = e_{wMa} + e_{wm} = e_{Ma}S_{rMa} + e_mS_{rm} \quad (8)$$

378

379 with  $e_{wm} = \frac{V_{wm}}{V_s}$ ,  $e_{wMa} = \frac{V_{wMa}}{V_s}$ ,  $S_{rm} = \frac{V_{wm}}{V_{vm}}$ ,  $S_{rMa} = \frac{V_{wMa}}{V_{vMa}}$ , where  $V_{wm}$  is the volume of water within the peds,  $V_{wMa}$  is the  
380 volume of water within the macropores,  $S_{rm}$  is the intra-peds degree of saturation,  $S_{rMa}$  is the macrostructural degree of  
381 saturation.

382

### 383 7.1.2 Stress variables

384 **Two stress variables are employed including a mechanical constitutive stress and suction.** The first one is assumed to  
385 depend on the net stress ( $\sigma_{net}$ ), the effective degree of saturation ( $S_e$ ) and the suction in the form:

$$\boldsymbol{\sigma}' = \boldsymbol{\sigma}_{net} + S_e s \mathbf{I} \quad (9)$$

386 where Eq. (9) is a general expression, which can be used to describe the average mechanical stress acting on the soil  
387 skeleton or on each structural level (macrostructural stress  $\sigma'_{Ma}$  or peds stress  $\sigma'_m$ ), by using the corresponding effective  
388 degree of saturation. As in most of the previous double porosity models, the total (net) stress acting on each level is  
389 assumed to be the same (e.g. Alonso et al., 1999; Mašin, 2013; Wang et al., 2015). The second stress variable is the matric  
390 suction. Similar stress variables have been adopted by different authors (e.g. Jommi 2000; Romero and Jommi, 2008;  
391 Della Vecchia et al., 2013).

392

### 393 7.1.3 Water retention model

394 According to Eq. (8), the overall WRC in terms of water ratio  $e_w(s)$  is expressed as the superposition of the WRCs of the  
395 two fabric levels (e.g. Durner, 1994; Casini et al., 2012; Della Vecchia et al., 2015):

$$e_w(s) = e_{Ma} \left[ \frac{1}{1 + (\alpha_{Ma}s)^{n_{Ma}}} \right]^{m_{Ma}} + e_m \left[ \frac{1}{1 + (\alpha_m s)^{n_m}} \right]^{m_m} \quad (10)$$

396 where  $n_{Ma}$ ,  $m_{Ma}$ ,  $\alpha_{Ma}$  and  $n_m$ ,  $m_m$ ,  $\alpha_m$  are the parameters of the van Genuchten's model (1980) for macro-pores and intra-  
397 peds pores, respectively. The total degree of saturation is obtained by the sum of  $S_{rMa}$  and  $S_{rm}$  weighted by the  
398 corresponding volumetric fractions.

399 A relationship between water ratio and suction in the scanning domain is needed for the simulation of the transition from  
 400 drying to wetting branches (and vice versa) over the hydraulic cycles. The incremental form of equation (10) reads:

$$de_w(s) = de_{wMa} + de_{wm} = [S_{rMa}de_{Ma} + e_{Ma}dS_{rMa}] + [S_{rm}de_m + e_m dS_{rm}] \quad (11)$$

401  
 402 The scanning curves are predicted by replacing  $dS_r = -k_{sc}ds$  for each structural level:

$$de_w^{sc}(s) = \left[ \frac{e_{wMa}}{e_{Ma}} de_{Ma} - e_{Ma}k_{sc}ds \right] + \left[ \frac{e_{wm}}{e_m} de_m - e_mk_{sc}ds \right] \quad (12)$$

403 where  $k_{sc}$  controls the slope of the scanning curves. The behaviour in the scanning domain is assumed to be reversible.

404

#### 405 7.1.4 Mechanical model

406 Water retention depends on the macrostructural and intra-peds void ratios, whose evolution is predicted by a mechanical  
 407 model. Wheeler et al. (2003) proposed an elastoplastic framework that couples hydraulic hysteresis with stress-strain  
 408 behaviour. A similar framework is adopted to reproduce the mechanical behaviour of the peds coupled with the intra-  
 409 peds water retention. The peds constitutive stress ( $\sigma'_m$ ) is employed to predict the volumetric changes of the peds,  
 410 influenced by the intra-peds effective degree of saturation. On the other hand, intra-peds void ratio changes affect the  
 411 intra-peds water ratio, because both the water storage capacity and the air-entry value evolve.

412 Hardening is introduced including two yielding criteria: one associated with mechanical straining of the peds and the  
 413 other responsible for irreversible changes of the peds water ratio. As shown in Fig. 9(a), the former yielding occurs when  
 414 the stress path reaches the loading collapse (LC) curve whereas the latter is triggered if the stress path reaches the suction  
 415 increase (SI) curve during drying, or the suction decrease (SD) curve during wetting. These yield curves are expressed  
 416 as:

$$\text{LC: } \sigma'_m = \sigma'^*_m, \text{ SI: } s = s_I, \text{ SD: } s = s_D \quad (13)$$

417

418 Where  $\sigma'^*_m$  is the peds yield stress,  $s_I$  is the suction increase yield curve and  $s_D$  is the suction decrease yield curve.

419 **Increments** of volumetric strains of the peds occurring inside the elastic domain ( $\Delta\varepsilon_m^e$ ) are given by:

$$d\varepsilon_m^e = \frac{\kappa_m d\sigma'_m}{(1 + e_m)\sigma'_m} \quad (14)$$

420

421 where  $\kappa_m$  is the elastic compliance for the intra-peds void ratio. For stress paths within the elastic domain, the degree of  
 422 saturation evolves along the scanning curves.

423 If yielding occurs directly on the SI, it induces water ratio changes on the main drying WRC accompanied with hardening  
 424 of the LC, whereas direct yielding on the SD induces water ratio changes on the main wetting WRC accompanied with

425 softening of the LC. If yielding occurs on the LC, it produces plastic volumetric strains with a coupled outward movement  
 426 of the SI and inward movement of the SD. The SI and the SD evolve together:

$$\frac{ds_I}{s_I} = \frac{ds_D}{s_D} \quad (15)$$

427 When yielding occurs due to SI or SD, the hardening law is:

$$d\sigma'_m = h_{lC} \sigma'_m \frac{ds_I}{s_I} \quad (16)$$

428  $h_{lC}$  controls the coupled movement of the LC due to SI or SD yielding. **The increments of plastic** volumetric strains ( $d\varepsilon_m^p$ )  
 429 due to yielding of LC curve are

$$d\varepsilon_m^p = \frac{(\lambda_m - \kappa_m) d\sigma'_m}{(1 + e_m) \sigma'_m} \quad (17)$$

430 where  $\lambda_m$  and  $\kappa_m$  are model parameters and the hardening law in this case is given by,

$$ds_I = h_s s_I \frac{d\sigma'_m}{\sigma'_m} \quad (18)$$

431 where  $h_s$  controls the coupled movement of SI and SD.

432 The general expression for plastic strain increment can be derived through Eqs. (16) and (17):

$$d\varepsilon_m^p = \frac{(\lambda_m - \kappa_m)}{(1 + e_m)(1 - h_s h_{lC})} \left( \frac{d\sigma'_m}{\sigma'_m} - h_{lC} \frac{ds_I}{s_I} \right) \quad (19)$$

433  
 434 Two flow rules are given, respectively associated to the yielding on the SI and SD curves:

$$\frac{d\varepsilon_m^p}{de_{wm}} = 0 \quad (20)$$

435 and associated to the yielding on the LC curve:

$$\frac{de_{wm}}{d\varepsilon_m^p} = 0 \quad (21)$$

436  
 437 Changes of the intra-peds void ratio are written in the form:

$$de_m = -d\varepsilon_m(1 + e_m) = -(d\varepsilon_m^e + d\varepsilon_m^p)(1 + e_m) \quad (22)$$

438  
 439 The influence of the mechanical effects on the WRC of the peds is shown in Fig. 9(b). The specimens were subjected to  
 440 a wide range of suction and the peds were almost completely dry at the end of drying, whereas they were entirely saturated  
 441 at the end of wetting. SI yielding occurred when air entered the saturated peds (suction greater than their air-entry value),  
 442 and SD yielding occurred along wetting when the degree of saturation of the peds  $S_{rm}$  was greater than the residual one.



443 A similar framework may also be adopted also for the macrostructural fabric level. However, changes of the  
 444 macrostructural void ratio were evaluated here as the difference between the changes of the total void ratio and that of  
 445 the intra-peds void ratio. A simple elastic expression was used for the increments of overall volume strains ( $d\varepsilon_v$ ):

$$d\varepsilon_v = \frac{\kappa \cdot d\sigma'}{(1+e)\sigma'} \quad (23)$$

446 where  $\kappa$  is the elastic logarithm compressibility. Hence, the total void ratio changes are:

$$de = -d\varepsilon_v(1+e) \quad (24)$$

447 and the macrostructural void ratio changes are:

$$de_{Ma} = de - de_m \quad (25)$$

448 Eqs. (22) and (25) give the evolution of the void ratio of macro- and intra-peds pores which contribute to the coupled  
 449 water retention model (Eq. (10)).

450

## 451 7.2 Calibration of the parameters

452 The parameters calibration was mostly based on independent tests with respect to the WRCs reproduced. An oedometer  
 453 test was used to determine the elastic compliances of the overall soil  $\kappa$  and the one of the peds  $\kappa_m$ , which were assumed  
 454 to have the same value of 0.003, and the preconsolidation stress imposed during compaction,  $\sigma'_m = 657$  kPa, which  
 455 identifies the initial position of the LC (see details in Azizi, 2016). The initial air entry value of the peds  $1/\alpha_m = 236$  kPa  
 456 was determined by means of the MIP measurements, introducing the radius of the larger mesopore ( $r = 609$  nm) into the  
 457 first one of Eq. 6. According to the model, the air entry value also provides the initial position of the SI, while the SD is  
 458 set equal to the suction imposed at the beginning of the water retention test (SD = 10 kPa). The parameters for the WRC  
 459 of the macro-structure were determined imposing the best fit between the experimental water ratio of the macrostructure  
 460 ( $e_{wMa} = e_w - e_m$ ) along the first drying branch for suction values smaller than the air entry value of the peds (Table 5). The  
 461 elasto-plastic compliance  $\lambda_m$  was calibrated to capture the amount of contraction of the peds during the first drying stage,  
 462 as determined with MIP. The scanning parameter  $k_{sc}$  was calibrated upon water retention tests presented by Azizi et al.  
 463 (2017). The parameters  $h_{LC}$  and  $h_s$  were calibrated to obtain a reversible behaviour after three cycles.

464 The van Genuchten's parameters of both structural levels evolve along the hydraulic cycles to adequately reproduce the  
 465 evolution of the water retention. Simple relationships were chosen relating  $1/\alpha_{Ma}$  and  $1/\alpha_m$  to the saturated water ratios  
 466 of the macropores  $e_{wMa}^{sat}$  and of the peds  $e_{wm}^{sat}$ :

$$1/\alpha_{Ma} = (e_{Ma0}/e_{wMa}^{sat})^4 / \alpha_{Ma0} \text{ and } 1/\alpha_m = (e_{wm}^{sat}/e_{m0})^{8.2} / \alpha_{m0} \quad (26)$$

467

468 where  $e_{Ma0}$  and  $e_{m0}$  are the initial macrostructural and intra-peds void ratios of the as-compacted specimen, and  $1/\alpha_{Ma0}$   
 469 and  $1/\alpha_{m0}$  are the initial air-entry values of the respective drying curves. The empirical laws described by Eq. (26) were  
 470 used both for the main drying and the main wetting curves. The parameters of the mechanical model are listed in Table  
 471 6.

472 The model was employed to predict the final values of  $\alpha_M$  and  $\alpha_m$ , which determine the WRC after 6 cycles, together with  
 473 the final values of the **hydraulic conductivity** and of the relative permeability.

474

### 475 7.3 Simulation of drying-wetting cycles: mechanical behaviour and water retention

476 The void ratio and suction measured during the water retention tests are compared to the model predictions in Fig. 10(a).  
 477 Six drying-wetting cycles were simulated. The evolution of the intra-peds and macrostructural void ratios is presented in  
 478 Fig. 10(b). Void ratios evaluated on basis of the MIP data are nicely captured. Adopting an elastoplastic framework for  
 479 modeling the behaviour of the peds allowed properly simulating the decrease of the intra-peds void ratio and the increase  
 480 of the macro-structural void ratio occurring during the first 3 hydraulic cycles.

481 The modelled changes in intra-peds and macrostructural void ratios rule the evolution of the water retention, whose  
 482 simulation is introduced in Fig. 11. Fig. 11(a) shows the experimental results and the model predictions for the first  
 483 drying and wetting cycle in terms of  $S_r$ - $\log(s)$ . The predictions of the degree of saturation of the macropores and of the  
 484 peds are also provided. Since different air-entry values are defined for the two structural levels, in the suction range  $64$   
 485  $\text{kPa} < s < 236 \text{ kPa}$  the peds are still saturated while the macro-pores are desaturating. A similar condition occurs during  
 486 wetting in the suction range  $8 \text{ kPa} < s < 34 \text{ kPa}$ . The changes in the WRCs of the two structural levels due to drying-  
 487 wetting cycles are given in Fig. 11(b). At the end of each wetting stage, the intra-peds pores are always fully saturated,  
 488 while, for the same suction, the degree of saturation of the macropores  $S_{rMa}$  decreases during the first three cycles. The  
 489 water retention behaviour of the 6D/W specimens was predicted by simulating 6 drying-wetting cycles imposed to the  
 490 as-compacted specimen, and it is plotted in Fig. 11(c). The good match between experimental data and predictions  
 491 shows the capability of the conceptual model to reproduce the evolution of the water retention behaviour over hydraulic  
 492 cycles.

493 Experimental water retention data of the first drying-wetting cycle and model predictions are re-plotted in Fig. 12(a) in  
 494 terms of  $e_w$ - $\log(s)$ . The water ratio of peds  $e_{wm}$  at the end of the first wetting is smaller than the original one, since the  
 495 intra-peds void ratio reduces due to plastic strains. On the contrary, the water ratio of macropores  $e_{wMa}$  increases. During  
 496 the first three drying-wetting cycles  $e_m$  progressively reduces while  $e_{Ma}$  progressively increases, affecting the air entry  
 497 values of both peds and macropores (Eq. 26). Fig. 12(b) shows the experimental results and model predictions for the

498 6D/W specimen. For both structural domains, the water ratio at the beginning of drying and at the end of wetting is the  
 499 same since the mechanical behaviour became reversible and the water retention curves cannot evolve further.

500

501

#### 502 7.4 Model validation exploiting the hydraulic conductivity analysis

503 The hydraulic conductivity of compacted soils having bimodal pore size distribution is mainly related to the volume and  
 504 distribution of the larger pores (e.g. Cuisinier et al., 2011, Romero 2013). As a result, the increase in the saturated  
 505 hydraulic conductivity  $K_s$  evidenced in Section 5.2 is justified by the increase of the macrostructural void ratio and can  
 506 be modeled using a Kozeny-Carman like equation based on  $e_{Ma}$  (Romero, 2013):

$$K_s = B \frac{e_{Ma}^5}{1 + e_{Ma}} \quad (27)$$

507

508 where  $B = 5 \times 10^{-6}$  m/s was found to adequately simulate the experimental data. The hydraulic conductivity under  
 509 unsaturated condition  $K_w$  is defined as the product of a relative permeability  $k_r$  and  $K_s$ :

$$K_w = k_r K_s \quad (28)$$

510

511 The relative permeability  $k_r$  is controlled by the size of the pores and the shape of PSD. It can be predicted either from  
 512 the relationships between suction and effective degree of saturation (Mualem, 1976):

$$k_r^{(Mualem)} = \frac{K_w}{K_s} = S_e^{1/2} \left[ \frac{\int_0^{S_e} dS_e / s}{\int_0^1 dS_e / s} \right]^2 \quad (29)$$

513

514 or as a power law function of the degree of saturation (e.g. Bear 1972), whose exponent is often assumed equal to 3. By  
 515 admitting that the contribution to flow of the intra-peds pores is negligible, it follows that:

$$k_r^{(Macro)} = \left( \frac{S_r - \frac{e_{wm}}{e}}{1 - \frac{e_{wm}}{e}} \right)^3 \quad (30)$$

516

517 The values of  $S_r$ ,  $e_{wm}$  and  $e$  given by the simulations were introduced in both Eq. (29) and Eq. (30) to obtain the predictions  
 518 presented in Fig. 13(a), where they are compared to the experimental data based on the back-analysis of Richards'  
 519 equation. Both expressions captured the experimental data well, although the prediction of the power law expression was  
 520 found to better match the experimental results.

521 The evolution of  $K_w$  during hydraulic cycles is obtained by combining the hydromechanical model with Eq. (27) and Eq.  
522 (30). The predictions are compared to the data obtained by the inverse analysis of Richard's equation in Fig. 13(b), where  
523 a good match is observed. It is worth noting that since the total void ratio changed reversibly during drying-wetting cycles,  
524 the Kozeny-Carman equation, as well as any other model giving an estimate of the hydraulic conductivity based on the  
525 total void ratio, would not be able to predict any change in the hydraulic conductivity. On the contrary, the framework  
526 developed is able to predict the irreversible increase in macroporosity during drying and wetting cycles, which resulted  
527 in the observed relevant increase in the hydraulic conductivity of the investigated soil specimens.

528

## 529 **8. Summary and conclusions**

530 While the influence of fabric and fabric evolution on the hydromechanical behaviour of compacted active clays has been  
531 studied in detail in the recent years, its relevance on the hydromechanical behaviour of low activity clays and silts has  
532 been less explored. These materials are widely used to build earth structures exposed to intensive interaction with water  
533 and the atmosphere. Assessment of their response to environmental actions is then relevant for the long-term stability and  
534 serviceability of engineering works. This study was aimed to evaluate the impact of typical drying-wetting cycles,  
535 occurring at relatively low stresses in earth structures after compaction, on the hydraulic behaviour of a low activity  
536 compacted clayey silt used for the construction of water defenses, and to explain the observed behaviour with the aid of  
537 microstructural evidences.

538 While the overall volume strains were negligible and became reversible after a limited number of drying-wetting cycles,  
539 the hydraulic behaviour was dramatically affected by the hydraulic history in which water retention capacity reduced and  
540 hydraulic conductivity increased. The fabric of the tested soil consists of peds of clay particles mixed among silt grains,  
541 with larger pores external to the peds and smaller pores within the peds. Microstructural investigation, based on MIP  
542 analyses, indicated that the porosity and the volume of the peds decreased mainly during the first cycles. Meanwhile, the  
543 fraction of porosity external to the peds increased since the overall volume remained almost constant. Thus, the repeated  
544 hydraulic loads induced a sort of hydraulic fabric degradation associated to the development of larger pores.

545 A double porosity model, calibrated on the microstructural observations and accounting for coupling between the  
546 hydraulic and mechanical responses, was developed. An important feature of the model was introducing an elasto-plastic  
547 behaviour for the clay aggregates, which is usually neglected in similar formulations. Good reproduction of the  
548 experimental results was obtained by imposing the dependency of the water retention on the intra-peds and  
549 macrostructural void ratios, whose evolution is governed by suction and degree of saturation. Besides, the substantial  
550 change in hydraulic conductivity observed at the sample level was explained by means of the fabric changes predicted by  
551 the proposed modelling framework. As the soil tested is a low plasticity clayey silt, its behaviour is hardly affected by the

552 sensitivity to water of the constituent minerals, contrarily to active clays. Nonetheless, this investigation shows that the  
 553 irreversible hydromechanical behaviour of the peds causes substantial changes in the water retention capacity as well as  
 554 in the hydraulic conductivity of this material. These changes should be considered when the assessment of the  
 555 hydromechanical behaviour of soils used in earth constructions is required for the design lifetime, since the response of  
 556 the construction will not be governed only by the soil fabric at the time of compaction.

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719 Figure 13. **Hydraulic conductivity** predicted by the proposed model: (a)  $k_r$ - $S_e$  (b)  $K_w$ - $S_e$

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Table 1. Properties of the tested soil

Variables	Value
Liquid limit $LL$ (%)	32.6
Plastic limit $PL$ (%)	24.3
Plasticity Index $PI$ (%)	8.3
Activity $A$ (-)	0.4
Specific Density $G_s$	2.735
Clay fraction ( $d < 2\mu\text{m}$ , %)	20.4
$\text{CaCO}_3$ content (%)	17.3

Table 2. Properties of the as-compacted specimens

Condition	$\rho_d$ (kg/m <sup>3</sup> )	$e$ (-)	$w$ (%)	$S_r$ (-)	$s$ (kPa)
As-compacted	$1650 \pm 10$	$0.66 \pm 0.01$	$20 \pm 0.2$	$0.83 \pm 0.02$	33

Table 3. List of hydraulic and microstructural tests carried out with reference to figures

Type of test and figure	Method	Sample type
Water retention (Fig. 5)	Axis Translation, Vapour Equilibrium	As-compacted, 3D/W, 6D/W
Saturated hydraulic conductivity (Fig. 6, Fig. 14)	Constant head	As-compacted, 1D/W, 3D/W, 6D/W
Unsaturated hydraulic conductivity (Fig. 6, Fig. 14)	Back analysis of Richard's equation	As-compacted, 6D/W
Micro-photograph (Fig. 7)	Environmental Scanning Electron Microscopy	As-compacted, 6D/W
Pore Size Distribution and Pore Size Density (Fig. 8)	Mercury Intrusion Porosimetry	As-compacted, as compacted dry, 6D/W dry, 6D/W

Table 4. Threshold pore radii and micro-structural, meso-structural and macro-structural void ratios

void ratio	Lower threshold pore radius (nm)				Void ratio			
	As-compacted	First drying	6D/W dry	6D/W wet	As-compacted	First drying	6D/W dry	6D/W wet
$e_{Mi}$	-	-	-	-	0.06	0.06	0.06	0.06
$e_{Me}$	11	11	11	11	0.36	0.35	0.32	0.32
$e_{Ma}$	609	609	917	917	0.24	0.23	0.25	0.28
$e = e_{Ma} + e_{Me} + e_{Mi}$	-	-	-	-	0.66	0.64	0.63	0.66

Table 5. Parameters of the water retention model

Parameters	$1/\alpha_{Ma0}$ (kPa)	$n_{Ma}$	$m_{Ma}$	$1/\alpha_{m0}$ (kPa)	$n_m$	$m_m$
Drying	64	1.75	0.83	236	2.86	0.14
Wetting	8	2.37	0.97	34	2.61	0.12

Table 6. Parameters of the mechanical model

Parameters	$\kappa$	$\lambda_m$	$h_{lc}$	$h_s$	$\sigma'_m$ kPa	$s_I$ kPa	$s_D$ kPa
Values	0.003	0.032	4.3	0.25	657	236	10

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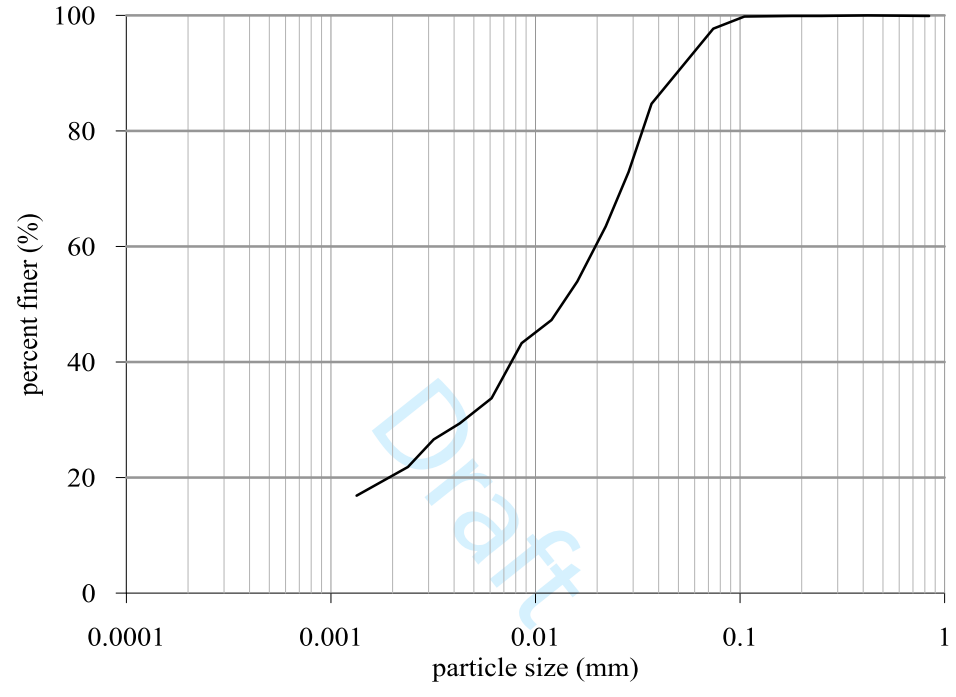


Figure 1. Grain size distribution of the tested material

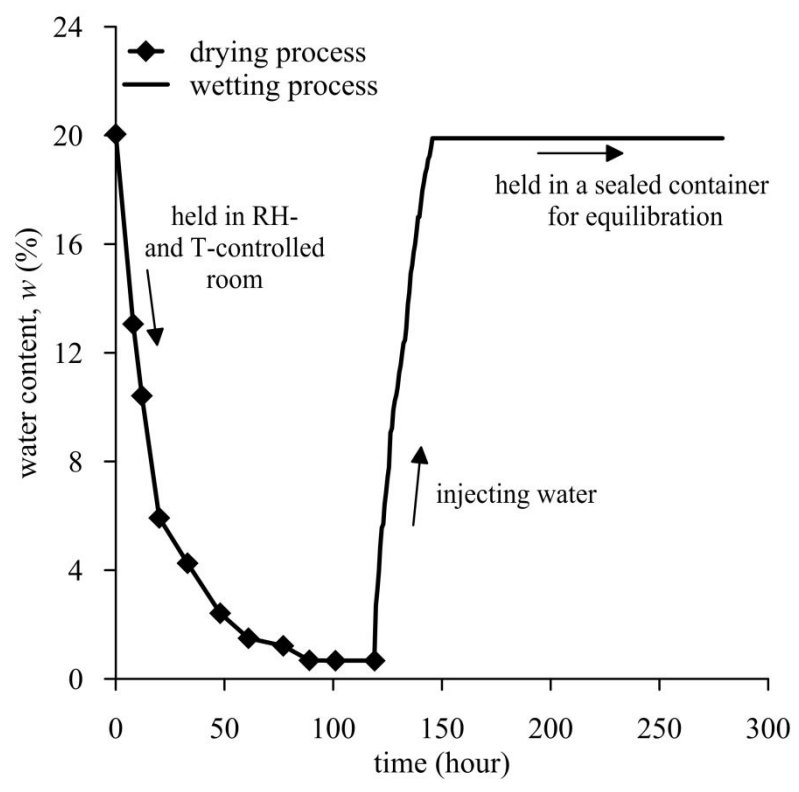


Figure 2. Water content changes during drying and wetting paths

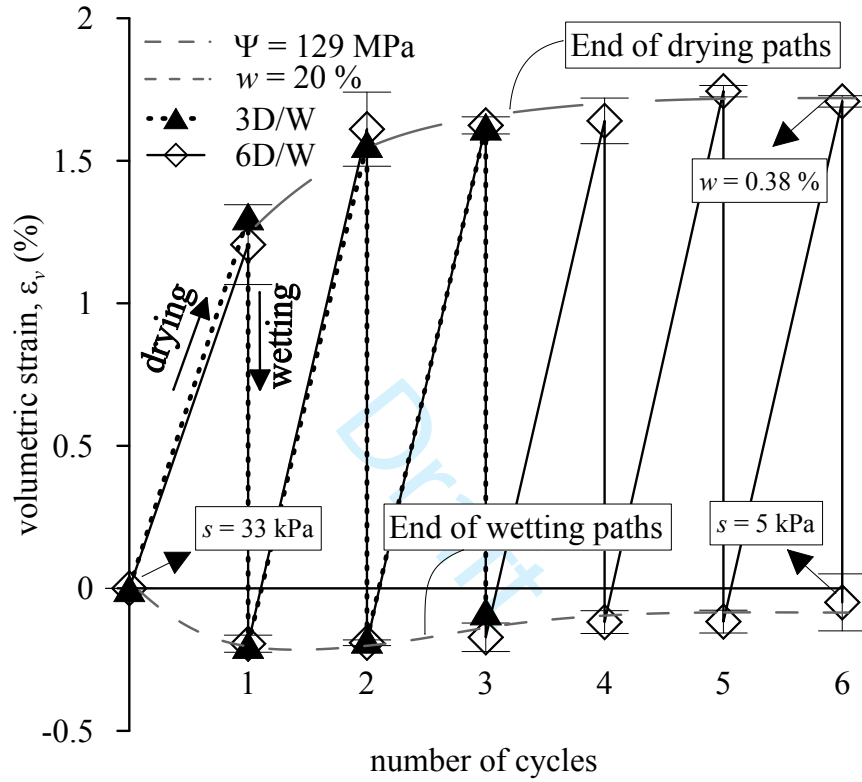
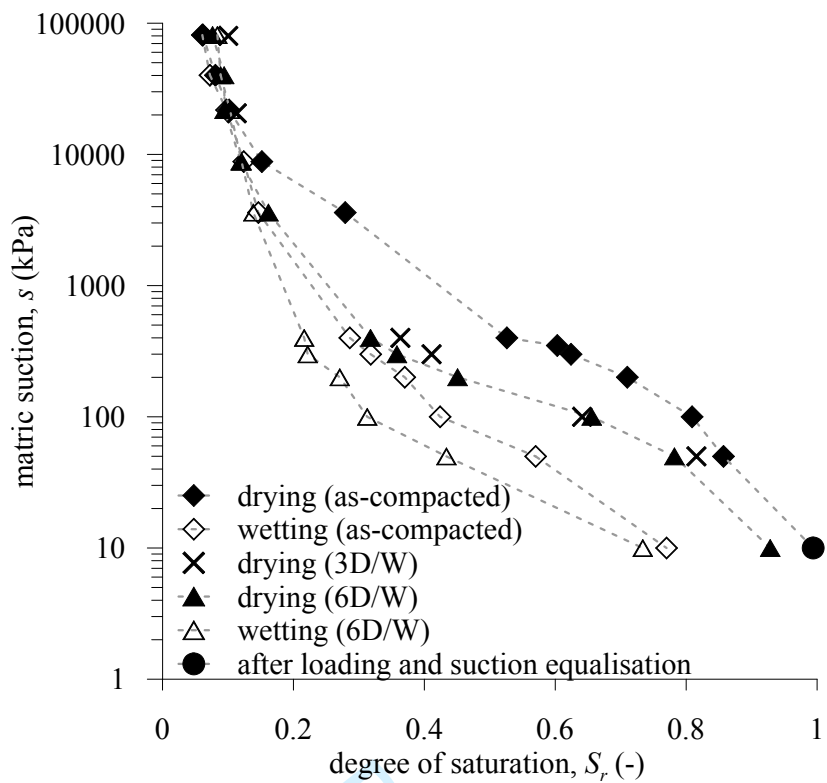
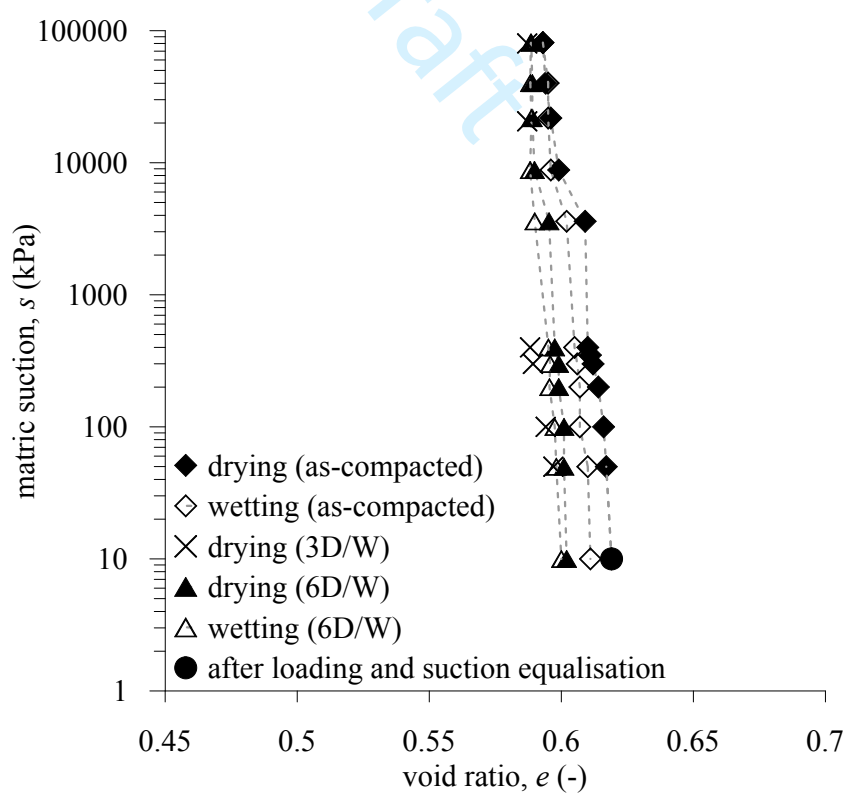


Figure 3. Mechanical response to repeated hydraulic loads: Volumetric strain with number of cycles



(a)



(b)

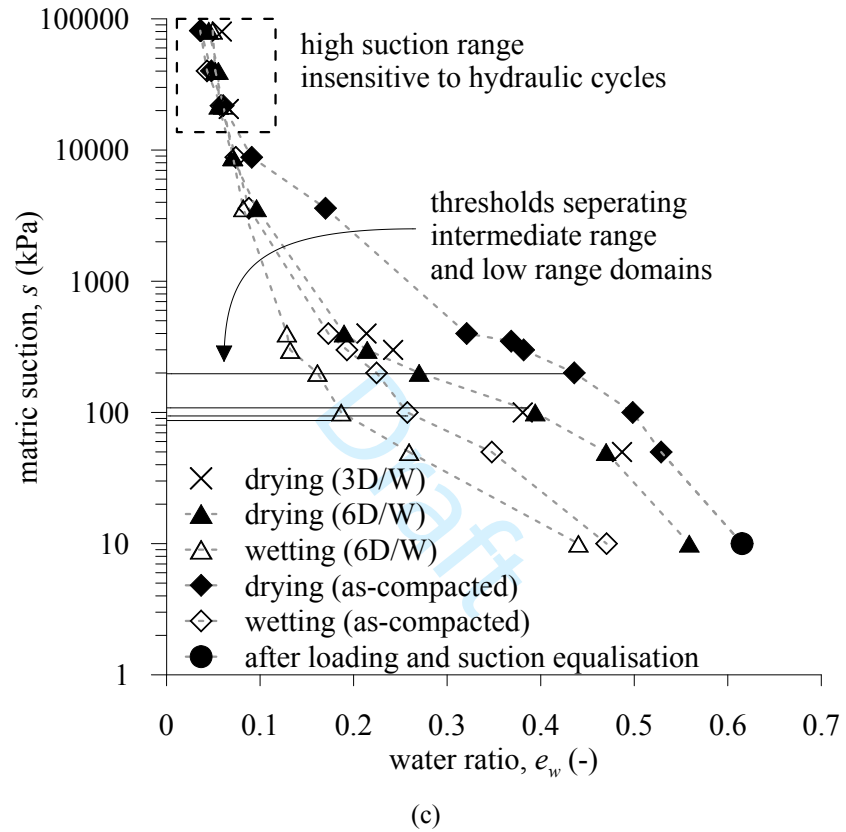
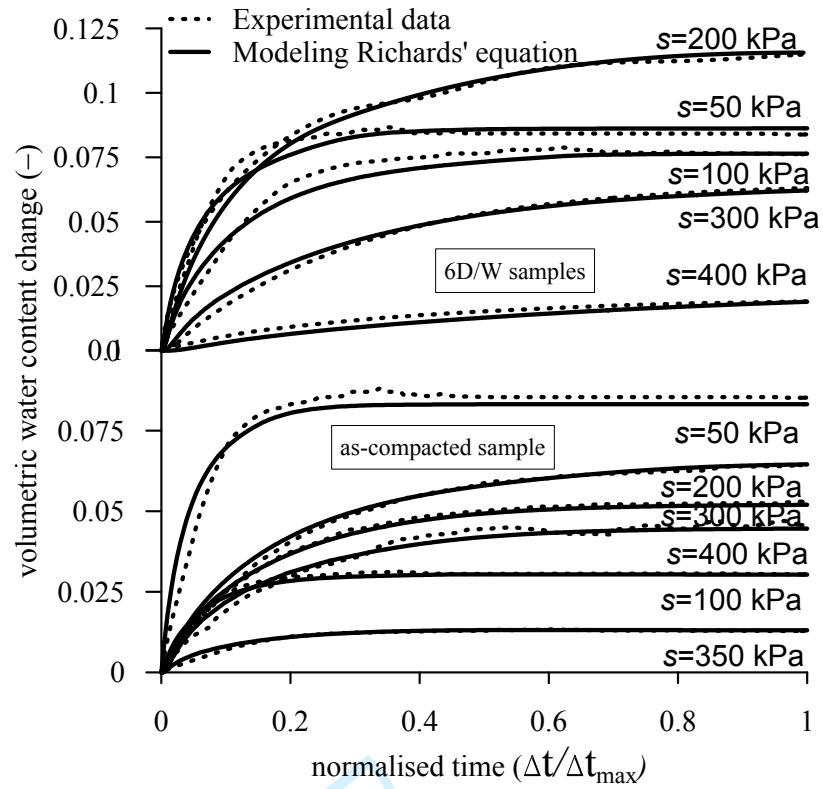
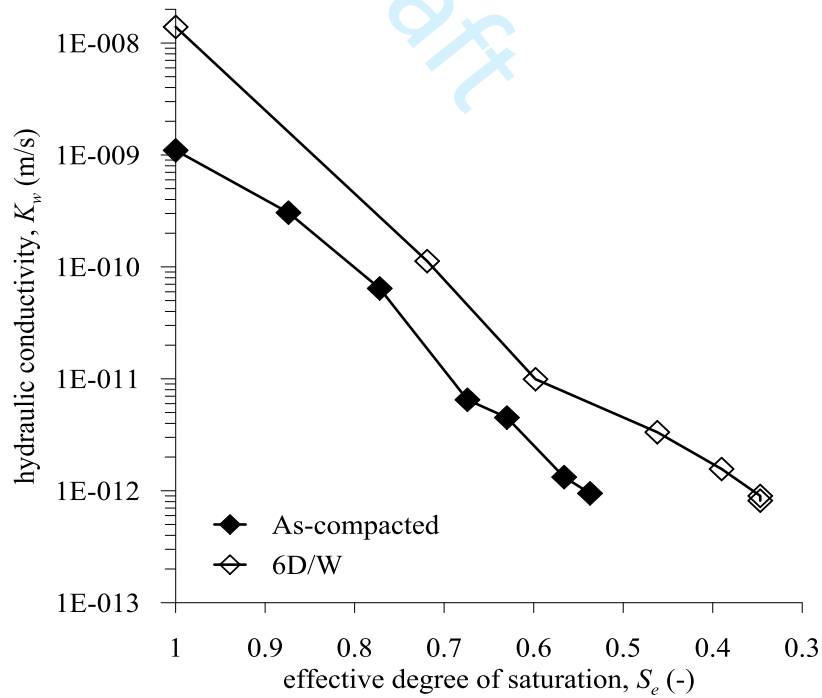


Figure 4. Effect of repeated hydraulic loads on the water retention behaviour: (a)  $S_r$ - $\log(s)$  (b)  $e$ - $\log(s)$  (c)  $e_w$ - $\log(s)$



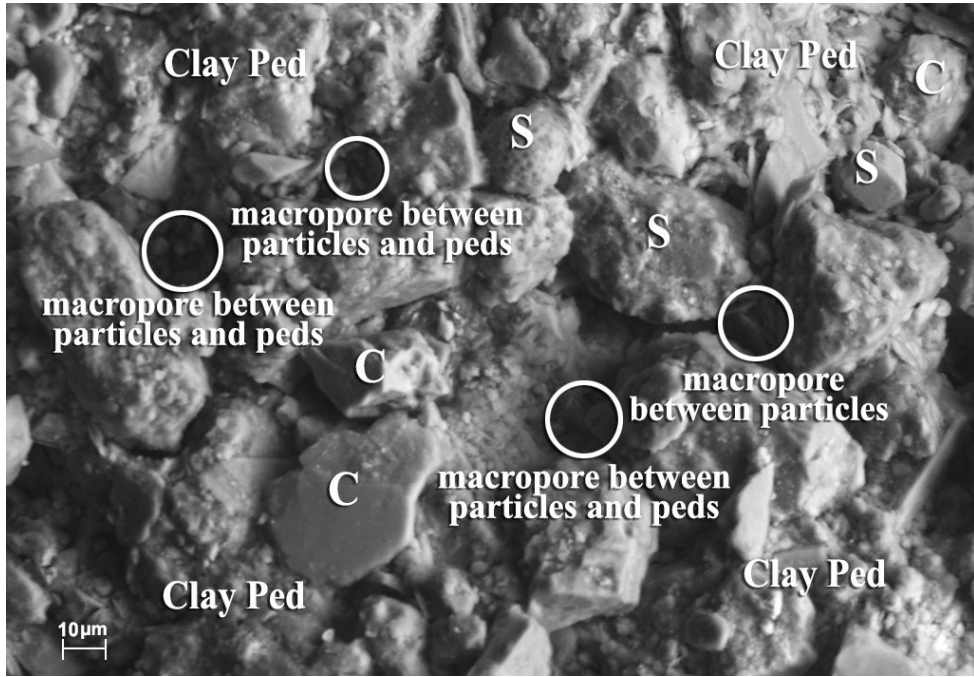


(a)

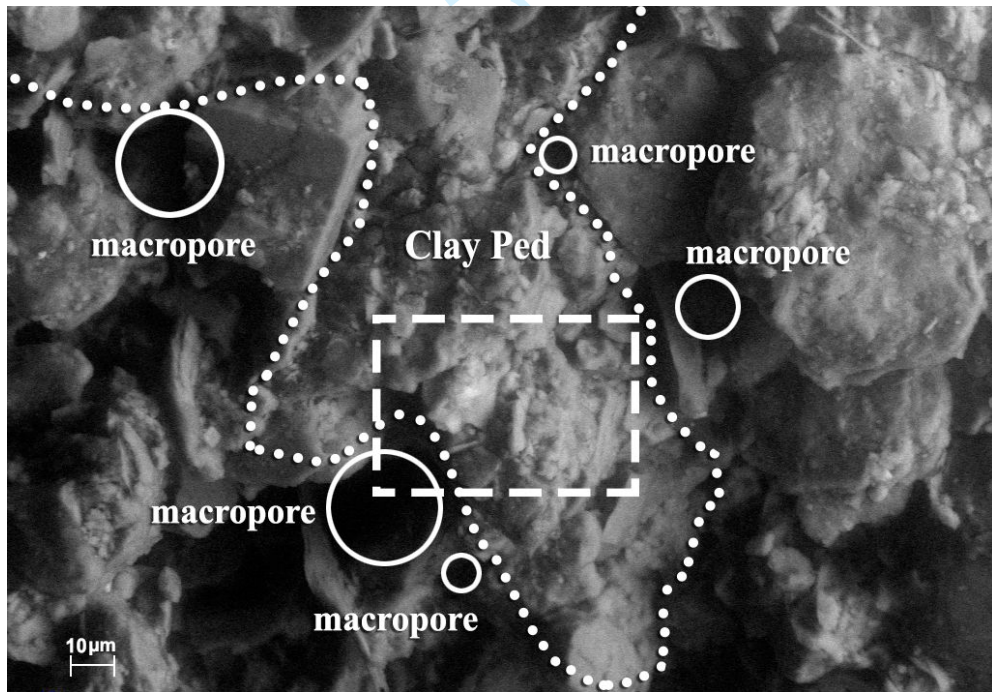


(b)

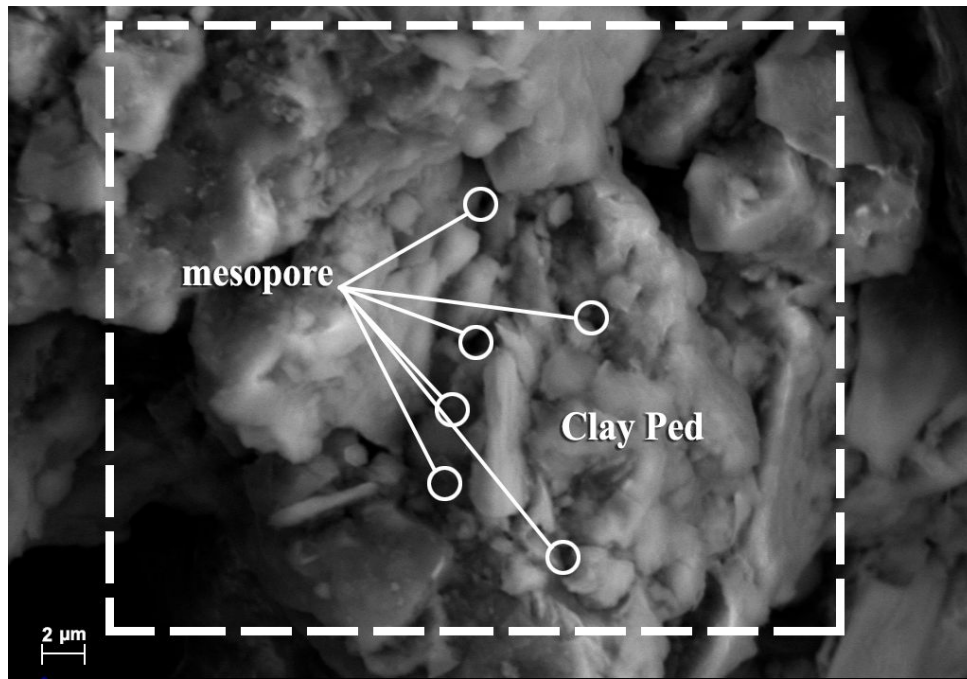
Figure 5. (a) Changes in volumetric water content: experimental data and inverse modeling (b) Unsaturated hydraulic conductivity of the as-compacted and 6D/W specimens



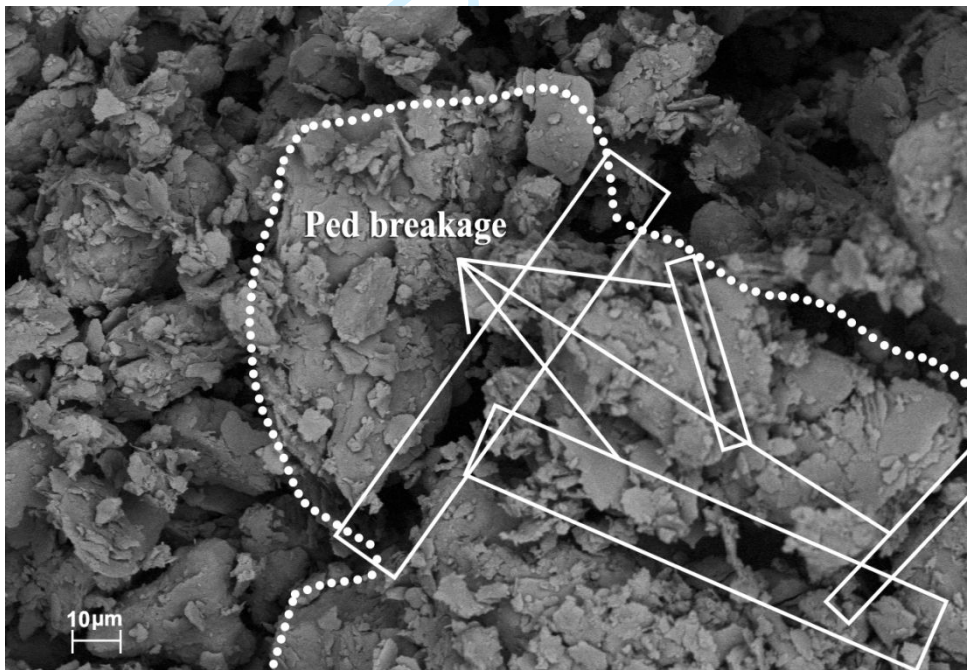
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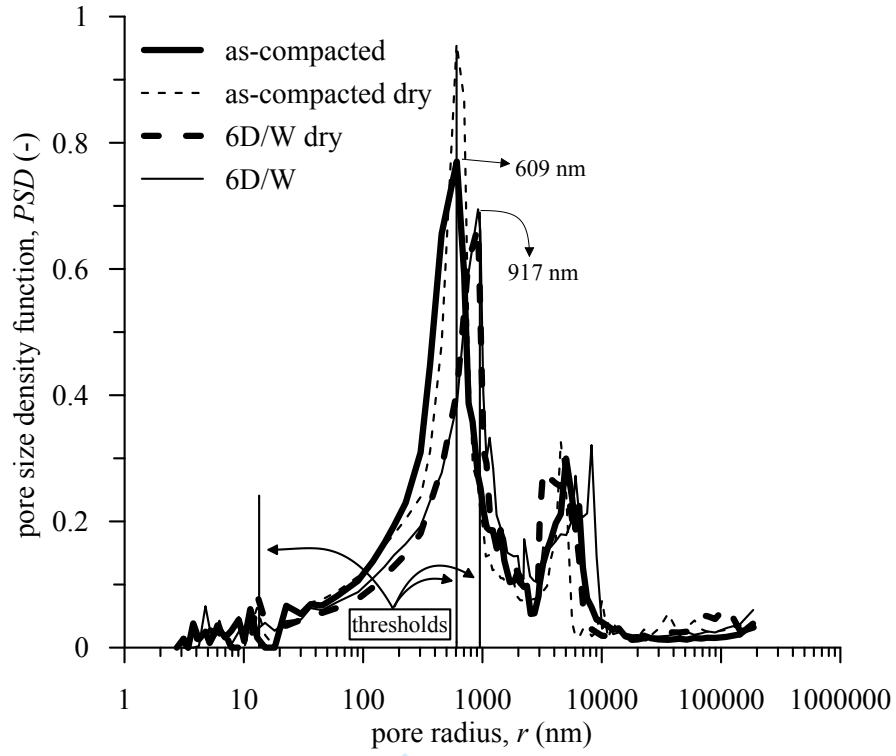


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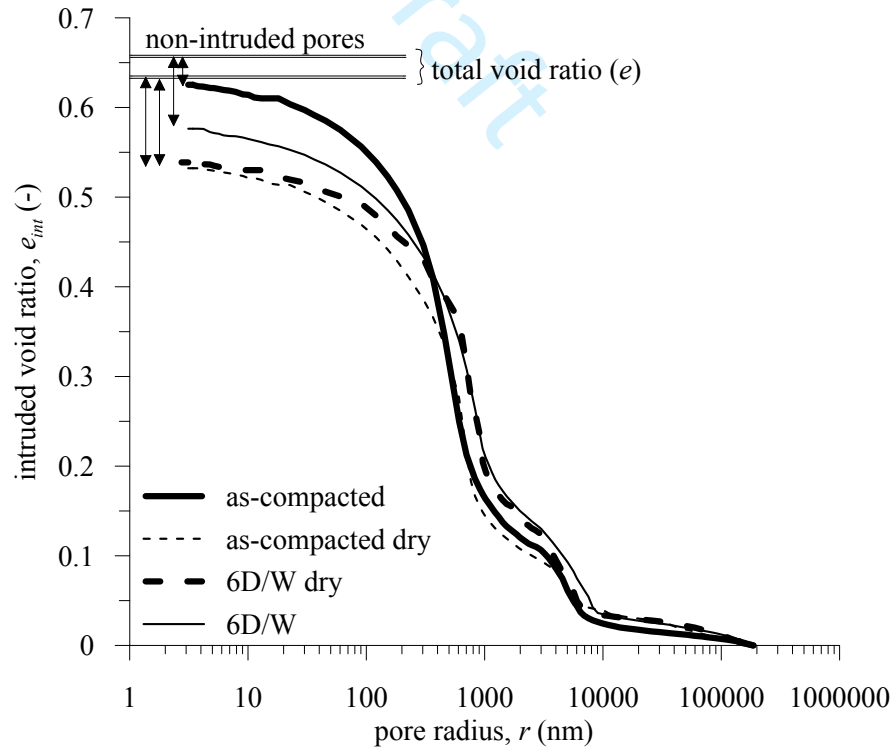


(d)

Figure 6. ESEM photomicrographs: (a) Particles, peds and macropores (b) Macropores and ped (c) Mesopores  
(d) Ped breakage after drying-wetting cycles



(a)



(b)

Figure 7. Effect of repeated hydraulic loads on MIP results: (a) Evolution of pore size density function (b) Evolution of intruded void ratio

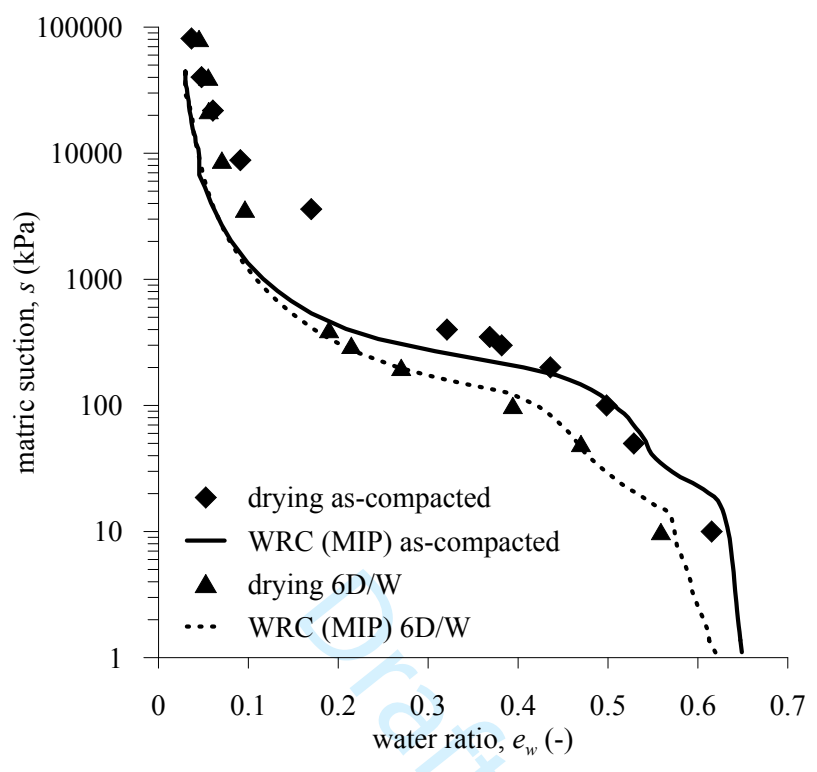
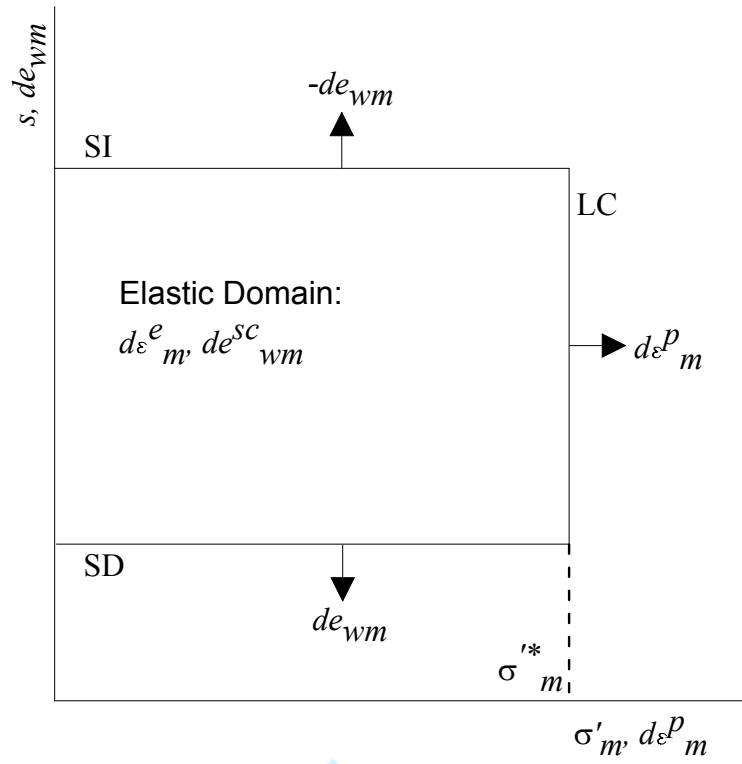
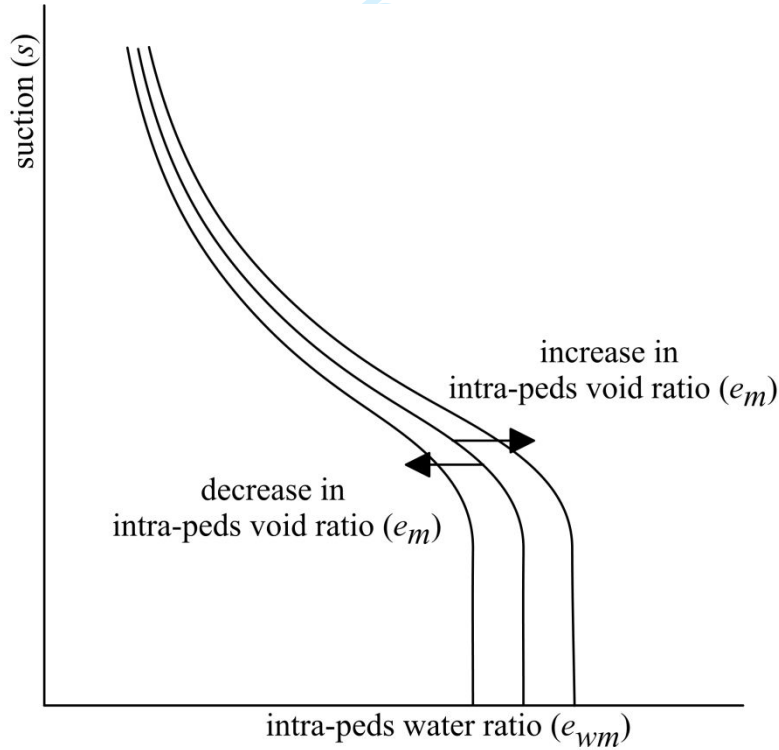


Figure 8. Comparison between the experimental and MIP derived WRCs



(a)



(b)

Figure 9. Hydromechanical model proposed to reproduce the behaviour of the peds: (a) Yield surfaces in  $\sigma'_m - s$  plane (b) Influence of plastic strains on the water retention curve

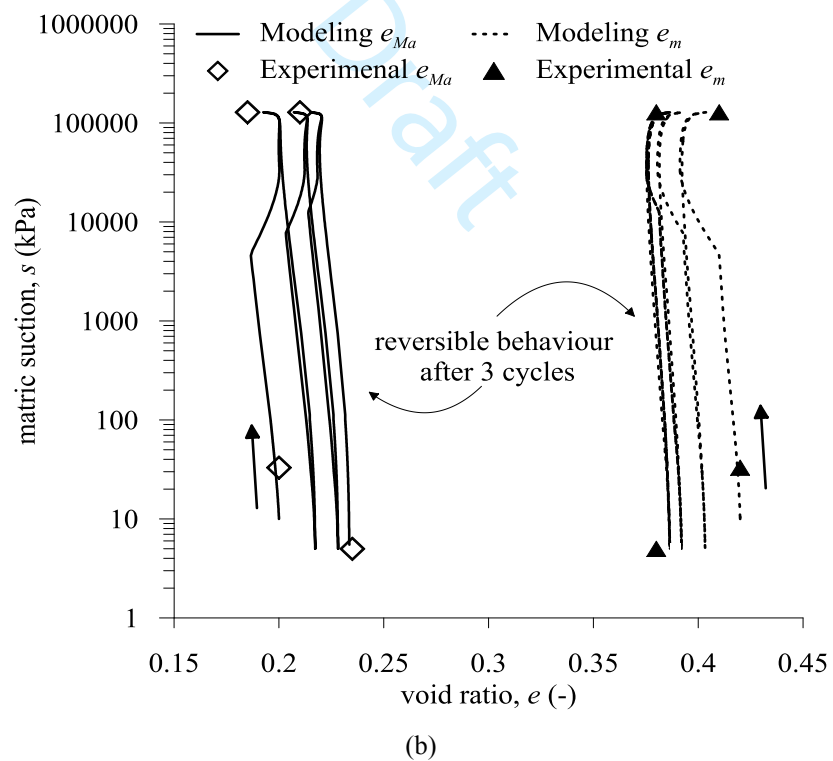
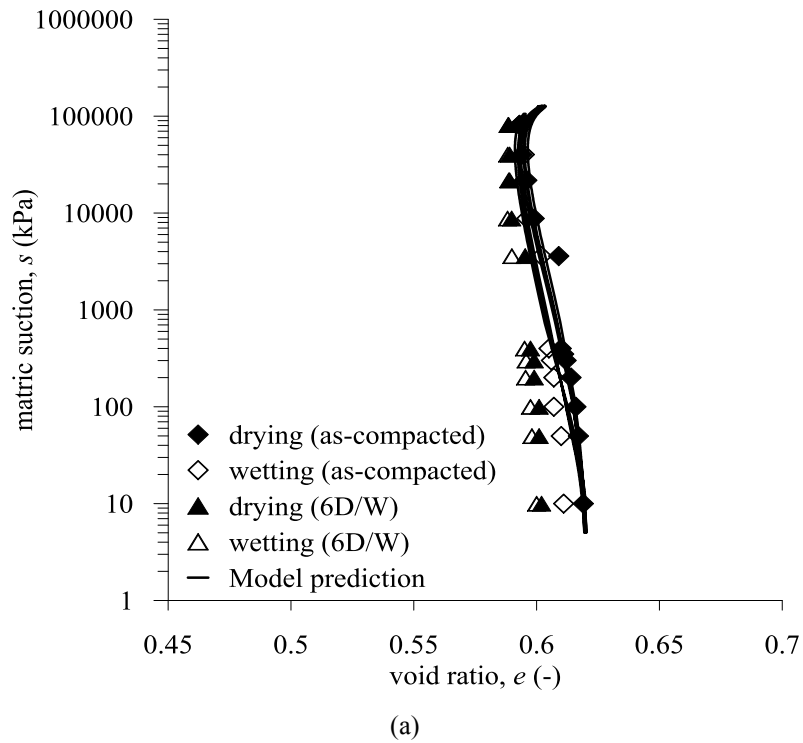
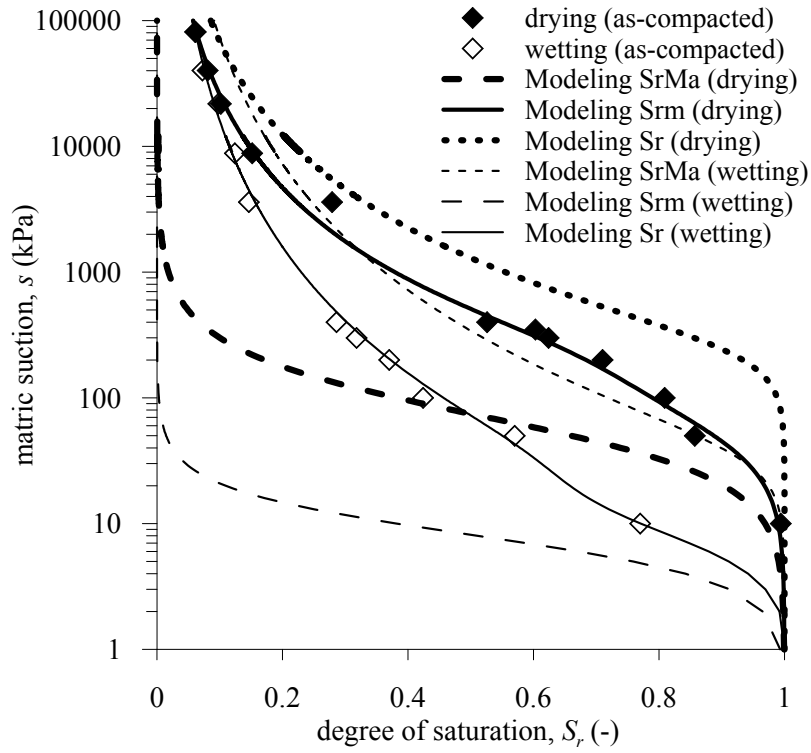
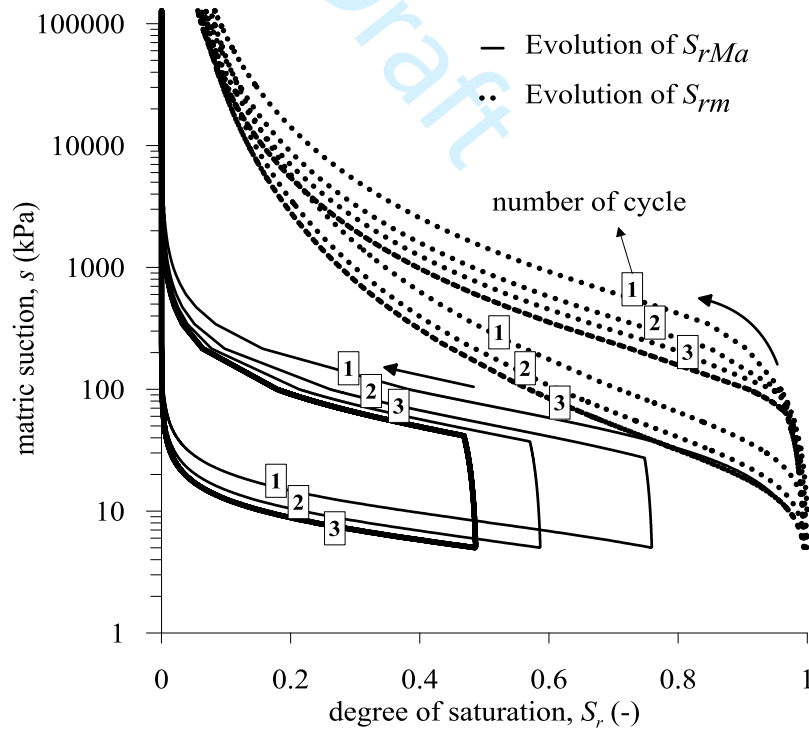


Figure 10. Comparing model predictions and experimental data in terms void ratio and suction: (a) Total void ratio suction (b) Evolution of  $e_{Ma}$  and  $e_m$  with suction



(a)



(b)



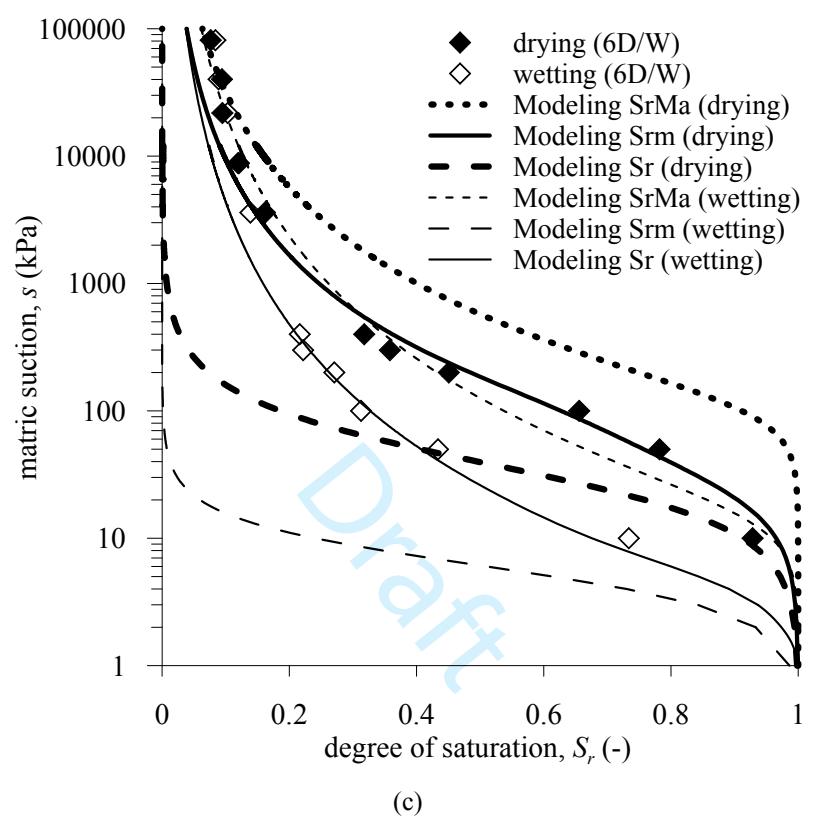
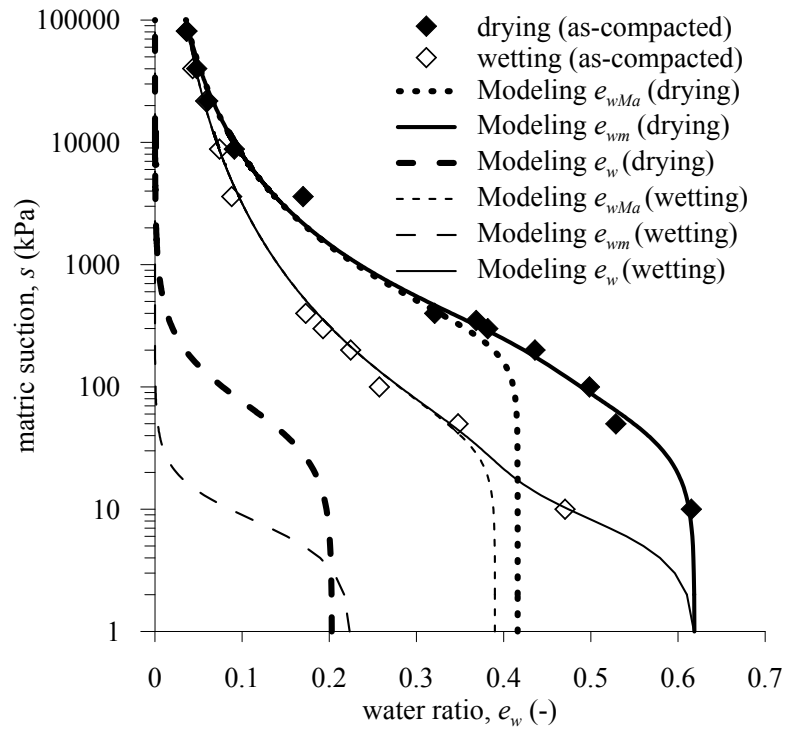
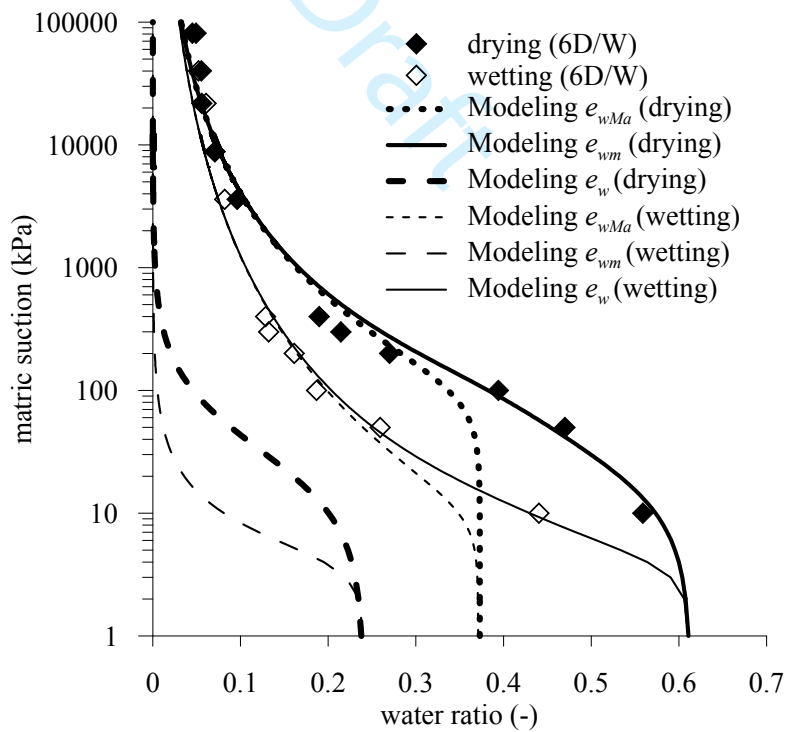


Figure 11. Comparing model predictions and experimental data in terms of  $S_r$ - $\log(s)$ : (a) for the as-compacted specimen (b) evolution of  $S_{rMa}$  and  $S_{rm}$  during repeated hydraulic loads (c) for the 6D/W specimen

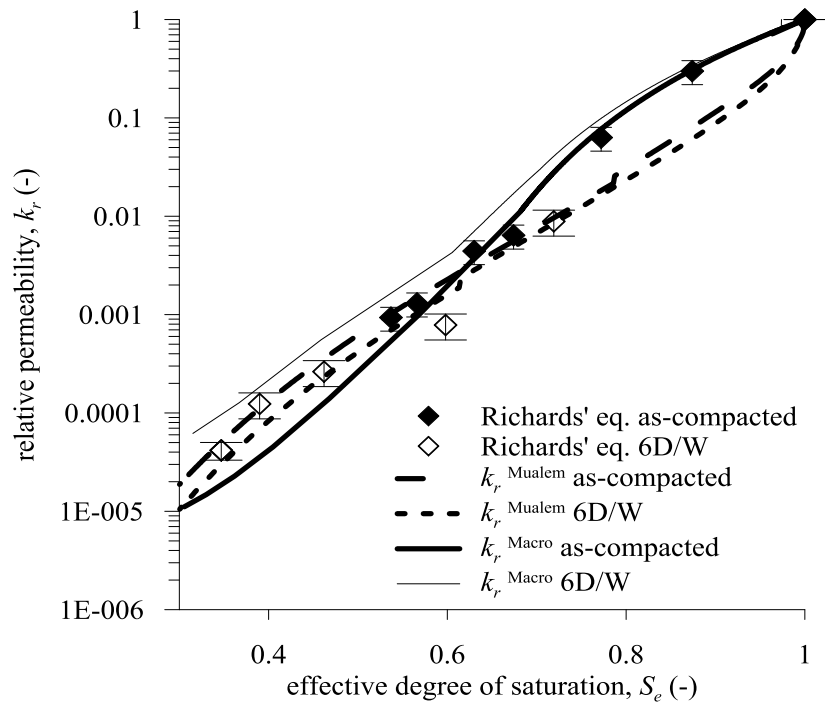


(a)

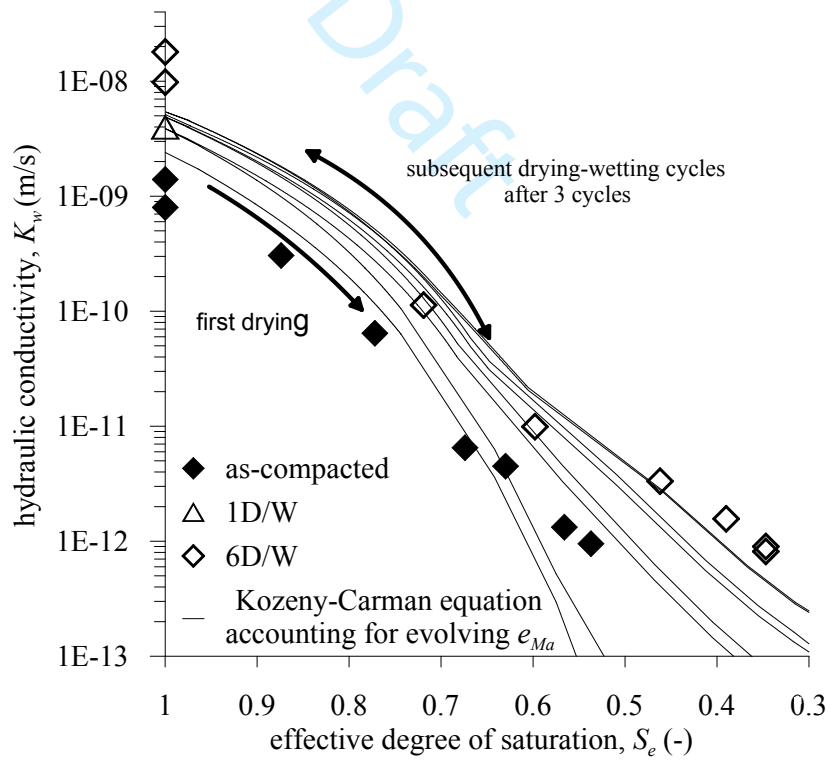


(b)

Figure 12. Comparing model predictions and experimental data in terms of  $e_w$ - $\log(s)$  for: (a) as-compacted specimen (b) 6D/W specimen



(a)



(b)

Figure 13. Permeability predicted by the proposed model: (a)  $k_r$ - $S_e$  (b)  $K_w$ - $S_e$