



Multiple Lines of Maximum Genus in \mathbb{P}^3

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Abstract. We introduce a notion of good cohomology for multiple lines in \mathbb{P}^3 and we classify multiple lines with good cohomology up to multiplicity 4. In particular, we show that the family of space curves of degree d , not lying on a surface of degree $< d$, and of maximal arithmetic genus is not irreducible already for $d = 4$ and $d = 5$.

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1. Introduction

By a *space curve* we mean a locally Cohen–Macaulay purely one dimensional subscheme of \mathbb{P}^3 , the projective space over an algebraically closed field. Thus a curve is allowed to have several irreducible components and a nonreduced scheme structure, but it cannot have zero-dimensional components—neither isolated nor embedded. The most important invariants of a space curve C are its *arithmetic genus* $g(C) = 1 - \chi \mathcal{O}_C$, which does not depend on the embedding of C in \mathbb{P}^3 ; its *degree* $\deg(C)$, which is defined through the Hilbert polynomial $\chi(\mathcal{O}_C(n)) = n \deg(C) + 1 - g(C)$ and depends on the invertible sheaf $\mathcal{O}_C(1)$, but not on the sections of $\mathcal{O}_C(1)$ that define the embedding of C in \mathbb{P}^3 ; and the *minimum degree* $s(C)$ of a surface that contains C , which *does depend* on the embedding in \mathbb{P}^3 . The maximum genus problem for space curves asks to determine the most basic relation between these invariants, that is, what is the maximum arithmetic genus $P(d, s)$ of a space curve of degree d in \mathbb{P}^3 that is not contained in a surface of degree $< s$ —there is a huge literature on the maximum genus problem for *smooth* space curves, but we will not be concerned with smooth curves in this paper. The problem makes sense for pairs of integers (d, s) satisfying $1 \leq s \leq d$ because there exists a space curve of degree d that is not contained in a surface of degree $< s$ if and only if $d \geq s \geq 1$.

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We now survey what is known about the maximum genus problem for (locally Cohen–Macaulay) space curves. Beorchia [2] proved a bound $B(d, s)$ for the maximum genus if the characteristic of the ground field is zero, and proved the bound is sharp if $s \leq 4$; later the author [7] gave a different proof of this bound valid in any characteristic.

Theorem 1.1. ([2, 7]) *Let C be a curve in \mathbb{P}^3 of degree d and genus g . Assume that C is not contained in any surface of degree $< s$. Then $d \geq s$ and*

$$g \leq B(d, s) = \begin{cases} (s - 1)d + 1 - \binom{s+2}{3}, & \text{if } s \leq d \leq 2s, \\ \binom{d-s}{2} - \binom{s-1}{3}, & \text{if } d \geq 2s + 1. \end{cases} \quad (\star)$$

To prove sharpness one needs to construct, for each pair $d \geq s$, a curve of genus $B(d, s)$ not lying on a surface of degree $< s$. The case $d = s$ is crucial because, if $P(s-1, s-1) = B(s-1, s-1)$, it follows that $P(d, s) = B(d, s)$ for every $d \geq 2s - 1$ —see [3]. The aim of this paper is to propose a framework for the classification of curves achieving the maximum genus $B(d, d)$ in the basic case $\text{deg}(C) = s(C) = d$, together with the computation of the first few relevant examples; even for $d = 4$ this seems to be new.

One first observes that curves of degree d are always contained in surfaces of degree d , and that those that do not lie on a surface of degree $< d$ are supported on either one line or two disjoint lines—see Proposition 3.1 below. It is, therefore, necessary to study curves supported on a line L in \mathbb{P}^3 . We denote by L_d the $(d-1)$ th neighborhood of L in \mathbb{P}^3 : the ideal sheaf of L_d is the d th power \mathcal{I}_L^d of the ideal sheaf of L . Any degree d curve C supported on L , for short a d -uple line, is contained in L_d , and such a curve does not lie on a surface of degree $s < d$ if and only if $H^0(\mathcal{I}_C(d-1)) = H^0(\mathcal{I}_L^d(d-1))$ as the latter vector space vanishes. It is clear how to strengthen this requirement to deal with the fact that the support of a curve of maximum genus in the case $d = s$ may consists of two disjoint lines, rather than only one.

Definition 1.2. Fix integers $d \geq 1$ and $\ell \geq 0$. We say that a degree d curve C supported on a line L is a $C_{d,\ell}$ if

- the genus of C is

$$g(C_{d,\ell}) = B(d, d) - \ell \binom{d}{2} = -(d - 1) - \binom{d}{3} - \ell \binom{d}{2}$$

- the only surfaces of degree $\ell + d - 1$ containing C are those containing the entire neighborhood L_d of L as well:

$$H^0(\mathcal{I}_C(\ell + d - 1)) = H^0(\mathcal{I}_L^d(\ell + d - 1)).$$

In particular, a $C_{d,0}$ is a d -uple line of genus $B(d, d)$ that does not lie on a surface of degree $< d$, and the existence of a $C_{d,0}$ implies sharpness of Beorchia’s bound $P(d, d) = B(d, d)$. But the definition is tailored so that, for each $1 \leq k \leq d - 1$, if C and D are respectively a $C_{k,d-k}$ and a $C_{d-k,k}$ whose supports are disjoint lines, then the union of C and D is also a curve of maximum genus, that is, a curve satisfying $\text{deg}(C) = d$, $s(C) = d$ and $g(C) = B(d, d)$.

It was originally an idea of Beorchia, see [3], that one should construct curves of maximum genus as $C_{d,0}$ for $d \equiv 2$ modulo 3, and adding a line to a $C_{d-1,1}$ when $d \equiv 0$ modulo 3, or a suitable double line to a $C_{d-2,2}$ when $d \equiv 1$ modulo 3. Thus the problem of sharpness of the bound $B(d, d)$ is reduced to constructing d -lines with good cohomological properties when $d \equiv 2$ modulo 3, and this construction in [3] is reduced to an algebraic statement [3, Conjecture B on p. 142]. Sammartano and the author [6] are completing the proof of this Conjecture under the additional hypothesis the ground field has characteristic zero, thus showing the existence of curves $C_{d,\ell}$ for every $d \equiv 2$ modulo 3 and proving sharpness of Beorchia’s bound in the case $s = d$.

The main contribution of this paper is to show there are other components of curves of maximum genus $B(d, d)$ by giving examples of curves $C_{d,\ell}$ in cases $d = 3$ and $d = 4$. As a consequence, we show that the family of space curves satisfying $\deg(C) = d$, $s(C) = d$ and $g(C) = B(d, d)$ is not irreducible and contains curves that are scheme theoretically very different from the one constructed in [3]. We hope this will be useful for the problem of sharpness of Beorchia’s bound in the intermediate range $s + 1 \leq d \leq 2s$, as curves of maximum genus in that range have to be constructed adding a plane curve to a curve satisfying $\deg(C) = s$, $s(C) = s$ and $g(C) = B(s, s)$ [7].

Our main theorem is the classification of the curves $C_{d,\ell}$ for $d \leq 4$. For this we need the notion of *quasiprimitive multiple structure* introduced in [1], a notion that we review in Sect. 2. A quasiprimitive d -line has an invariant, called type, that is a string of $d-1$ integers $(a; b_2, \dots, b_{d-1})$. A quasiprimitive d -line is primitive if $b_2 = \dots = b_{d-1} = 0$, so that for a primitive d -line the type is a single integer a . It is trivial to note that a line is a $C_{1,\ell}$ for any $\ell \geq 0$, and a double line is a $C_{2,\ell}$ if and only if it has genus $-1 - \ell$, or, equivalently, it is a primitive double line of type $a = \ell$. In Sect. 5 we classify $C_{d,\ell}$ ’s for $d = 3$ and $d = 4$ proving

- Theorem 1.3.** *1. A triple line is a $C_{3,\ell}$ if and only if it is quasiprimitive of type $(\ell; 1)$. The family of $C_{3,\ell}$ curves is irreducible of dimension $5\ell + 12$.*
2. A quadruple line is a $C_{4,\ell}$ if and only if it is a general quasiprimitive quadruple line of type $(\ell; 2, 2)$. The family of $C_{4,\ell}$ curves is irreducible of dimension $9\ell + 21$.

Unfortunately, for $d \geq 5$ we don’t have a classification. What we can say in general is that a $C_{d,\ell}$ is a quasiprimitive d -uple line of type $(a; b_2, \dots, b_{d-1})$ where $\ell \leq a \leq \ell + \lfloor \frac{d-2}{3} \rfloor$. When $d \equiv 2$ modulo 3, a strategy for constructing a primitive $C_{d,\ell}$ of type $a = \ell + \frac{d-2}{3}$ is proposed in [3], and a proof that this works when the ground field has characteristic zero is being written up [6]. But we do not know even for $d = 5$ whether the quasiprimitive type is determined for a $C_{5,0}$ or whether the family of $C_{5,0}$ ’s is irreducible.

As an application of Theorem 1.3 we can show in the last section of the paper that the family of degree d curves of maximum genus $B(d, d)$ that do not lie on a surface of degree $< d$ is not irreducible already for $d = 4$ and 5. Specifically

Theorem 1.4. 1. *The family of quadruple lines of maximum genus $B(4, 4) = -7$ not lying on a cubic surface is not irreducible. It contains*

- *the 22-dimensional irreducible family whose general member is the disjoint union of two double lines of genus -3 ;*
- *the 21-dimensional family whose general member is the disjoint union of a line and a $C_{3,1}$;*

the closures of these two families are different components of the Hilbert scheme $H_{4,-7}$ parametrizing space curve of degree 4 and genus -7

2. *The family of quintuple lines of maximum genus $B(5, 5) = -14$ not lying on a quartic surface is not irreducible. It contains*

- *the 30-dimensional irreducible family whose general member is a general primitive quintuple line of type $a = 1$;*
- *the 34-dimensional family whose general member is the disjoint union of a line and a general $C_{4,1}$;*
- *the 35-dimensional family whose general member is the disjoint union of a $C_{3,2}$ and a $C_{2,3}$;*

and there are no containment between the closures of these 3 families in the Hilbert scheme $H_{5,-14}$.

2. Quasiprimitive Multiple Lines in \mathbb{P}^3

By the term *d-uple line* we will mean a (locally Cohen–Macaulay) curve in \mathbb{P}^3 that has degree d and whose support is a line. The notion of *quasiprimitive* multiplicity structure on a smooth curve was introduced by Banica and Forster [1, § 3]; we recall what it means in our context.

Let C be a d -uple line with support L . Denote by C_j the subscheme of C obtained by removing the embedded points from $C \cap L_j$ —as in the introduction, L_j is the infinitesimal neighborhood of L in \mathbb{P}^3 defined by \mathcal{I}_L^j . The *Cohen–Macaulay filtration* of C is:

$$L = C_1 \subset C_2 \subset \dots \subset C_k = C \tag{2.1}$$

where $k, 1 \leq k \leq d$, is the smallest integer such that $C \subset L_k$. The quotients $\mathcal{L}_j = \mathcal{I}_{C_j} / \mathcal{I}_{C_{j+1}}$ are vector bundles on L and $d = \deg(C) = 1 + \sum \text{rank } \mathcal{L}_j$. The natural inclusions $\mathcal{I}_{C_i} \mathcal{I}_{C_j} \subset \mathcal{I}_{C_{i+j}}$ induce generically surjective multiplication maps $\mathcal{L}_i \otimes \mathcal{L}_j \rightarrow \mathcal{L}_{i+j}$ and in particular we obtain generic surjections $\mathcal{L}_1^j \rightarrow \mathcal{L}_j$.

A multiple line C is *quasiprimitive* if it has generically embedding dimension two. This is the case if and only if $\text{rank } \mathcal{L}_1 = 1$, or, equivalently, C does not contain the first infinitesimal neighborhood L_2 of its support L , so that the first filtrant C_2 has degree 2 (and C_j degree j for each j). If C is quasiprimitive, then the generic surjections $\mathcal{L}_1^j \rightarrow \mathcal{L}_j$ of invertible sheaves yield effective divisors D_j such that $\mathcal{L}_j \cong \mathcal{L}_1^j(D_j)$; the multiplication maps show that $D_i + D_j \leq D_{i+j}$.

For a quasiprimitive d -uple line C in \mathbb{P}^3 , we define the *type* $\sigma(C) = (a; b_2, \dots, b_{d-1})$ of C setting $a = \deg(\mathcal{L}_1)$ and $b_j = \deg(D_j)$; it is convenient

to set $b_1 = 0$ so that the inequalities

$$b_i + b_j \leq b_{i+j}$$

hold for every $i, j \geq 1$ such that $i + j \leq d - 1$.

Finally, a d -uple line C is called *primitive* if it has embedding dimension two everywhere. This is the case if and only if $\mathcal{L}_j \cong \mathcal{L}_1^j$ for every $1 \leq j \leq d-1$, so $b_2 = \dots = b_{d-1} = 0$ and the type of C simplifies to the single integer $a = \text{deg}(\mathcal{L}_1)$.

Proposition 2.1. (Genus of a quasiprimitive multiple line) *Let C be a quasiprimitive multiple line of type $(a; b_2, \dots, b_{d-1})$ in \mathbb{P}^3 . Then $a \geq -1$ and*

$$g(C) = -(d - 1) - \frac{a}{2}d(d - 1) - \sum_{j=2}^{d-1} b_j \tag{2.2}$$

Proof. Let L be the support of C . The inequality $a \geq -1$ follows from the fact that $\mathcal{I}_{L,C_2} \cong \mathcal{O}_L(a)$ is a quotient of the conormal bundle $\mathcal{I}_{L,L_2} \cong \mathcal{O}_L(-1) \oplus \mathcal{O}_L(-1)$. By definition of the type, $\mathcal{I}_{C_j,C_{j+1}} \cong \mathcal{O}_L(ja + b_j)$. The formula for the genus follows from the fact that $g(C) = \chi(\mathcal{I}_C)$. \square

We next compute the dimension of the irreducible family of primitive d -uple lines of a given type $a \geq 0$. Let C be a primitive d -structure of type a on the line L in \mathbb{P}^3 . Given a subscheme $X \subset \mathbb{P}^3$ we denote by the symbol $\mathcal{C}_X = \mathcal{I}_X/\mathcal{I}_X^2$ its conormal sheaf. Then [1] there exists an exact sequence

$$0 \longrightarrow \mathcal{O}_L(da) \xrightarrow{\tau} \mathcal{C}_C \otimes \mathcal{O}_L \longrightarrow \mathcal{C}_L \longrightarrow \mathcal{O}_L \longrightarrow 0 \tag{2.3}$$

The morphism τ is induced by the inclusion $\mathcal{I}_L^d \hookrightarrow \mathcal{I}_C$ via the isomorphism $\mathcal{O}_L(de) \cong \mathcal{I}_L^d/\mathcal{I}_L^{d-1}\mathcal{I}_{C_2}$. If $a \geq 0$, it follows that

$$\mathcal{C}_C \otimes \mathcal{O}_L \cong \mathcal{O}_L(da) \oplus \mathcal{O}_L(-a - 2). \tag{2.4}$$

By [1, Proposition 2.3] the set of primitive $d + 1$ -structures \tilde{C} that contain C is parametrized by the set of retractions $\beta : \mathcal{C}_C \otimes \mathcal{O}_L \longrightarrow \mathcal{O}_L(da)$ of τ ; the correspondence is given by $\mathcal{I}_{\tilde{C}}/\mathcal{I}_L\mathcal{I}_C = \text{Ker}(\beta)$. Therefore, if $a \geq 0$, the set of such \tilde{C} 's is parametrized by the set of splittings of

$$0 \longrightarrow \mathcal{O}_L(da) \xrightarrow{\tau} \mathcal{O}_L(da) \oplus \mathcal{O}_L(-a - 2) \longrightarrow \mathcal{O}_L(-a - 2) \longrightarrow 0;$$

hence, by an affine space of dimension $(d + 1)a + 3$. With a little extra effort one can check that the set $\mathcal{P}_L(d; a)$ of primitive d structures on L of type a is an algebraic affine bundle over $\mathcal{P}_L(d - 1; a)$, hence inductively that $\mathcal{P}_L(d; a)$ is a smooth variety of dimension

$$\begin{aligned} (2a + 3) + (3a + 3) + \dots + (da + 3) &= \frac{a}{2}(d^2 + d - 2) + 3(d - 1) \\ &= (d - 1)\left(3 + \frac{a}{2}(d + 2)\right). \end{aligned}$$

If we let the line L vary as well, we obtain

$$\dim \mathcal{P}(d; a) = \frac{a}{2}(d - 1)(d + 2) + 3d + 1. \tag{2.5}$$

This is an interesting number as primitive d -uple line are usually the generic point of a component of the Hilbert scheme parametrizing curves of degree

d —see [4, 5] for the first relevant examples. When $d = 2$ we recover the easy and well known fact that double lines of type $a \geq 0$, that is, of genus $-a - 1 \leq -1$, form an irreducible family of dimension $2a + 7$, which is a component of the Hilbert scheme if $a \geq 1$.

By a similar argument Nollet [4, Corollary 2.6] proves that the family $\mathcal{P}(3; a; b)$ of quasiprimitive triple lines of type $(a; b)$ with $a \geq 0$ is irreducible of dimension

$$\dim \mathcal{P}(3; a; b) = 5a + 2b + 10 \tag{2.6}$$

and one can prove, more generally, that the family $\mathcal{P}(d; a; 0, \dots, 0, b)$ of quasiprimitive d -lines of type $(a; 0, \dots, 0, b)$, with $a \geq 0$, is irreducible of dimension

$$\dim \mathcal{P}(d; a; 0, \dots, 0, b) = \frac{a}{2}(d - 1)(d + 2) + 3d + 2b + 1. \tag{2.7}$$

With some extra effort Nollet and the author [5, Proposition 2.3] prove that the family $\mathcal{P}(d; a; b, c)$ of quasiprimitive 4-lines of type $(a; b, c)$, with $a \geq 0$, is irreducible of dimension

$$\dim \mathcal{P}(d; a; b, c) = 9a + 2b + 2c + 13 \tag{2.8}$$

The extra effort goes into proving [5, Lemma 2.2] that, for a quasiprimitive triple line C of type $(a; b)$ with $a \geq 0$ supported on the line L , the restriction of the conormal sheaf $\mathcal{C}_C \otimes \mathcal{O}_L$ has torsion, and modulo torsion is isomorphic to $\mathcal{O}_L(3a + b) \oplus \mathcal{O}_L(-a - b - 2)$. A similar argument works for a quasiprimitive d -line C of type $(a; 0, \dots, 0, b)$, with $a \geq 0$ and shows $\mathcal{C}_C \otimes \mathcal{O}_L$ modulo torsion is isomorphic to $\mathcal{O}_L(da + b) \oplus \mathcal{O}_L(-a - b - 2)$. We can then prove the irreducibility of the family of quasiprimitive d -uple lines of type $(a; 0, \dots, 0, b, c)$, with $a \geq 0$, and compute its dimension:

$$\dim \mathcal{P}(d; a; 0, \dots, 0, b, c) = \dim \mathcal{P}(d - 1; a; 0, \dots, 0, b) + da + 2c + 3 \tag{2.9}$$

3. Rough Classification of Curves with $s(C) = \deg(C)$

The following proposition is easy and certainly well known [8], Remark 6.8, but we include a proof for the convenience of the reader:

Proposition 3.1. *For a space curve C , the inequality $s(C) \leq \deg(C)$ holds; if $s(C) = \deg(C)$, then*

1. every subcurve $D \subseteq C$ also satisfies $s(D) = \deg(D)$;
2. the curve C_{red} is either a line or the disjoint union of two lines, and on each line in its support C has the structure of a quasiprimitive multiple line satisfying $a \geq 0$ (here $a = \deg(\mathcal{L}_1)$ is the first integer appearing in the type of C).

Proof. The inequality $s(C) \leq \deg(C)$ is proven for example in [7]. Suppose from now on that $s(C) = \deg(C)$. If $D \subseteq C$ and S is a surface of degree $s(D)$ containing D , there is an exact sequence

$$0 \rightarrow \mathcal{I}_E(-s(D)) \rightarrow \mathcal{I}_C \rightarrow \mathcal{I}_{C \cap S, S} \rightarrow 0,$$

where E , the subscheme of C residual to $C \cap S$, is a locally Cohen–Macaulay curve of degree

$$\deg(E) = \deg(C) - \deg(C \cap S) \leq \deg(C) - \deg(D).$$

Hence, $s(E) \leq \deg(C) - \deg(D)$. On the other hand, by the above exact sequence $s(C) \leq s(E) + s(D)$, therefore, $s(C) \leq \deg(C) - \deg(D) + s(D)$. This together, with the hypothesis $s(C) = \deg(C)$, implies $\deg(D) \leq s(D)$, hence equality must hold.

For an irreducible and reduced curve D , the equality $s(D) = \deg(D)$ can hold only if D is a line—cf. [7, Proposition 3.2]. Note that C cannot contain the union D of two lines meeting at one point because such a D has $s(D) = 1 < \deg(D)$. Thus, the support of C is a union of disjoint lines. Since the union of 3 disjoint lines lies on a quadric surface, the support of C consists of at most two lines. Since the first infinitesimal neighborhood of a line in \mathbb{P}^3 has degree 3 and is contained in a quadric surface, it cannot be contained in C , so C has a quasiprimitive structure on each line in its support. Finally, since any degree 2 subcurve of C is not contained in a plane while a double line of type -1 is contained in a plane, we must have $a \geq 0$. \square

4. Multiple Lines with Good Cohomological Properties

Fix homogeneous coordinates x, y, z, w on \mathbb{P}^3 so that L is the line of equations $x = y = 0$. The projection $\pi : L_d \rightarrow L$ from the line M of equations $z = w = 0$ corresponds to the inclusion of coordinate rings

$$H_*^0(\mathcal{O}_L) = k[z, w] \cong k[x, y, z, w]/(x, y) \hookrightarrow k[x, y, z, w]/(x, y)^d \cong H_*^0(\mathcal{O}_{L_d}).$$

From the isomorphism of $k[z, w]$ -modules

$$H_*^0(\mathcal{O}_{L_d}) = \frac{k[x, y, z, w]}{(x^d, x^{d-1}y, \dots, y^d)} \cong \bigoplus_{i=0}^{d-1} (k[z, w](-i))^{\oplus(i+1)} \tag{4.1}$$

it follows

$$\pi_* \mathcal{O}_{L_d} \cong \bigoplus_{i=0}^{d-1} (\mathcal{O}_L(-i))^{\oplus(i+1)}. \tag{4.2}$$

Proposition 4.1. *Let C be a curve of degree d supported on the line L , and let $\pi : L_d \rightarrow L$ denote the projection from a line M disjoint from L . Fix an integer $\ell \geq 0$. The following conditions are equivalent*

1. the genus of C is

$$g(C) = -(d - 1) - \binom{d}{3} - \ell \binom{d}{2} = B(d, d) - \ell \binom{d}{2}$$

and

$$H^0(\mathcal{I}_C(\ell + d - 1)) = H^0(\mathcal{I}_L^d(\ell + d - 1)).$$

2. the genus of C is $g(C) = B(d, d) - \ell \binom{d}{2}$ and $H^1(\mathcal{I}_C(\ell + d - 1)) = 0$.

3. the sheaf $\pi_* \mathcal{I}_{C, L_d}$ is isomorphic to $(\mathcal{O}_L(-d - \ell))^{\oplus \frac{d(d-1)}{2}}$.

Proof. If C has degree d and genus $B(d, d) - \ell \binom{d}{2} = g(C_{d,\ell})$, then the rank and the degree of the locally free sheaf $\mathcal{E} = \pi_* \mathcal{I}_{C,L_d}$ are the same as those of $\mathcal{O}_L(-d-\ell)^{\oplus \frac{d(d-1)}{2}}$. Thus $\mathcal{E} \cong \mathcal{O}_L(-d-\ell)^{\oplus \frac{d(d-1)}{2}}$ if and only if $h^0 \mathcal{E}(\ell+d-1) = 0$, which is equivalent to $h^1 \mathcal{E}(\ell+d-1) = 0$ because $\chi \mathcal{E}(\ell+d-1) = 0$. As $h^1 \mathcal{I}_{L_d}(\ell+d-1) = h^2 \mathcal{I}_{L_d}(\ell+d-1) = 0$, these vanishings are equivalent to those in the statement. \square

Definition 4.2. Given a pair of integers $d \geq 1$ and $\ell \geq 0$, we say that a d -line is a $C_{d,\ell}$ if it satisfies the equivalent conditions of Proposition 4.1.

Note that a $C_{d,0}$ is a curve of degree d , not lying on a surface of degree $< d$, of maximum genus $B(d, d)$: if a $C_{d,0}$ exists for a given d , then Beorchia’s bound $B(d, d)$ is sharp.

A line is a $C_{1,\ell}$ for every ℓ . A double line of genus $-\ell - 1 < 0$ is a $C_{2,\ell}$, and conversely. Indeed, all non planar double lines arise as follows—see for example [4]: take a smooth surface S containing L of degree $\ell + 2 \geq 2$ and let C be the divisor $2L$ on S . By adjunction

$$\mathcal{I}_{L,C} \cong \mathcal{O}_S(-L) \otimes \mathcal{O}_L \cong \mathcal{O}_L(\deg(S) - 2) = \mathcal{O}_L(\ell).$$

From the exact sequence

$$0 \rightarrow \mathcal{I}_{C,L_2} \rightarrow \mathcal{I}_{L,L_2} \rightarrow \mathcal{I}_{L,C} \cong \mathcal{O}_L(\ell) \rightarrow 0,$$

we see

$$\mathcal{I}_{C,L_2} \cong \mathcal{O}_L(-\ell - 2),$$

that is, C is a $C_{2,\ell}$. Since $\mathcal{I}_{L,C} \cong \mathcal{O}_L(\ell)$, the double line C is primitive of type $a = \ell \geq 0$.

To analyze higher degree cases, we introduce an intermediate notion.

Definition 4.3. Given integers $d \geq 1$ and $\ell \geq 0$, we say that a d -uple line C satisfies condition $\mathcal{C}_{d,\ell}$ if

$$h^0(\mathcal{I}_{C,L_d}(\ell + d - 1)) = 0 \tag{\mathcal{C}_{d,\ell}}$$

or, equivalently, $H^0(\mathcal{I}_C(n)) = H^0(\mathcal{I}_L^d(n))$ for every $n \leq \ell + d - 1$.

For $\ell = 0$, the condition $\mathcal{C}_{d,0}$ means simply that C is not contained in any surface of degree $< d$, but for $\ell > 0$ the condition $\mathcal{C}_{d,\ell}$ is stronger as it says that the only surface containing C of degree up to $\ell + d - 1$ are those containing the whole infinitesimal neighborhood L_d . By Proposition 4.6, a $C_{d,\ell}$ is a d -uple line of maximum genus among those satisfying condition $\mathcal{C}_{d,\ell}$. Note that a double line C of type a , that is, of genus $-a - 1$, satisfies condition $\mathcal{C}_{2,\ell}$ if and only if $a \geq \ell$ because $\mathcal{I}_{C,L_2} \cong \mathcal{O}_L(-a - 2)$.

Lemma 4.4. *If C satisfies condition $\mathcal{C}_{d,\ell}$ and $D \subset C$ is a locally Cohen–Macaulay subcurve of degree k , then D satisfies condition $\mathcal{C}_{k,\ell}$.*

Proof. This follows from $I_L^{d-k} \mathcal{I}_D \subseteq \mathcal{I}_C$. \square

Remark 4.5. Unfortunately, it is not true that a degree k subcurve D of a $C_{d,\ell}$ is a $C_{k,\ell}$; the point of the previous lemma is that at least D satisfies condition $\mathcal{C}_{k,\ell}$.

A $C_{d,\ell}$, assuming it exists, has maximum genus among degree d multiple lines whose ideal agrees with that of L_d up to degree $\ell + d - 1$:

Proposition 4.6. *Suppose C is d -uple line with support L . If $\ell \geq 0$ and*

$$H^0(\mathcal{I}_C(n)) = H^0(\mathcal{I}_L^d(n)) \quad \text{for } n \leq \ell + d - 1,$$

then $g(C) \leq -(d - 1) - \binom{d}{3} - \ell \binom{d}{2}$.

Proof. By hypothesis, $H^0(\mathcal{I}_C(d-1)) = 0$, hence C is a curve of degree d that does not lie on a surface of degree $< d$. It follows $H^1(\mathcal{O}_C(n)) = 0$ for $n \geq -1$ by [7, Proposition 3.2]. Hence,

$$\begin{aligned} d(\ell + d - 1) + 1 - g(C) &= h^0(\mathcal{O}_C(\ell + d - 1)) \\ &\geq h^0(\mathcal{O}_{\mathbb{P}^3}(\ell + d - 1)) - h^0(\mathcal{I}_L^d(\ell + d - 1)) \end{aligned}$$

which is equivalent to $g(C) \leq g(C_{d,\ell})$ because

$$d(\ell + d - 1) + 1 - g(C_{d,\ell}) = h^0(\mathcal{O}_{\mathbb{P}^3}(\ell + d - 1)) - h^0(\mathcal{I}_L^d(\ell + d - 1)).$$

□

In [3, p. 141], with a different terminology, it is noted that one could prove sharpness of Beorchia’s bound in the case $d = s$ by constructing curves $C_{d,\ell}$ for all $d \equiv 2$ modulo 3 and $\ell = 0, 1, 2$: indeed for $d \equiv 2$ modulo 3, a $C_{d,0}$ has genus $B(d, d)$; when $d \equiv 0$ modulo 3 the disjoint union of a line and a $C_{d-1,1}$ has genus $B(d, d)$; finally, when $d \equiv 1$ modulo 3 the disjoint union of a $C_{d-2,2}$ and a double line of genus $1 - d$ has genus $B(d, d)$. We introduced the notion of a $C_{d,\ell}$ to formalize and generalize this remark as follows:

Proposition 4.7. *Suppose $1 \leq k \leq d - 1$ and C and D are, respectively, a $C_{k,d-k}$ and $C_{d-k,k}$ whose supports are disjoint. Then the disjoint union of C and D is a curve of degree d and genus $B(d, d)$ that does not lie on a surface of degree $d - 1$.*

Proof. A direct calculation shows

$$g(C \cup D) = g(C_{k,d-k}) + g(C_{d-k,k}) - 1 = B(d, d).$$

Thus, we only need to show that $C \cup D$ is not contained in a surface of degree $< d$. By way of contradiction, suppose F is the equation of a degree $d - 1$ surface S containing $C \cup D$. We can assume the support of C is the line of equations $x = y = 0$ and the support of D is the line $z = w = 0$. By assumption, the polynomial F must lie in $(x, y)^k$ because $C \subset S$ and in $(z, w)^{d-k}$ because $D \subset S$, but this contradicts $\deg(F) = d - 1$. □

A d -uple line C that is a $C_{d,\ell}$ is quasiprimitive, and there are some obvious numerical constraints on the type of C :

Proposition 4.8. *Suppose $d \geq 2$ and C is a $C_{d,\ell}$. Then C is quasiprimitive. If the type of C is $(a; b_2, \dots, b_{d-1})$, then $\ell \leq a \leq \ell + \lfloor \frac{d-2}{3} \rfloor$ and*

$$\sum_{j=2}^{d-1} b_j + (a - \ell) \binom{d}{2} = \binom{d}{3}.$$

In particular, if C is primitive, then $d \equiv 2$ modulo 3 and $a = \ell + \frac{d-2}{3}$.

Proof. If d -uple line C is a $C_{d,\ell}$, then in particular it does not lie on a surface of degree $< d$, hence it is quasiprimitive. By Lemma 4.4 the double line C_2 contained in C satisfies condition $\mathcal{E}_{2,\ell}$ and has type a , hence $a \geq \ell$.

Comparing the genus of a $C_{d,\ell}$ with the formula for the genus of a quasiprimitive multiple line, we obtain the equality:

$$a \binom{d}{2} + \sum_{j=2}^{d-1} b_j = \ell \binom{d}{2} + \binom{d}{3}.$$

If C is primitive, that is, all the b_j 's are zero, it follows $d \equiv 2$ modulo 3 and $a = \ell + \frac{d-2}{3}$. For an arbitrary C , the integers b_j 's are nonnegative, and the above equality implies $a \leq \ell + \lfloor \frac{d-2}{3} \rfloor$. □

5. Examples of Low Degree

Triple lines have been studied by Nollet [4]. In particular, he shows that the set of quasiprimitive triple lines of type $(a; b)$ with $a, b \geq 0$ is nonempty and irreducible of dimension $5a + 2b + 10$ [4, Corollary 2.6].

Proposition 5.1. (Triple lines) *Fix an integer $\ell \geq 0$. A triple line C satisfies condition $\mathcal{E}_{3,\ell}$ if and only if it is quasiprimitive of type $(a; b)$ and either $a = \ell$ and $b \geq 1$, or $a \geq \ell + 1$. Furthermore, C is a $C_{3,\ell}$ if and only if $a = \ell$ and $b = 1$. In particular, the family of $C_{3,\ell}$ curves is irreducible of dimension $5\ell + 12$.*

Proof. If C satisfies condition $\mathcal{E}_{3,\ell}$, then C is quasiprimitive because it does not lie on a surface of degree 2. Suppose the type of C is $(a; b)$. Then C contains a unique double line C_2 , $\mathcal{I}_{L,C_2} \cong \mathcal{O}_L(a)$, $\mathcal{I}_{C_2,C} \cong \mathcal{O}_L(2a + b)$. By Lemma 4.4 the double line C_2 satisfies condition $\mathcal{E}_{2,\ell}$, therefore, $a \geq \ell$. Consider the exact sequence of \mathcal{O}_L -modules

$$0 \rightarrow \frac{\mathcal{I}_C}{\mathcal{I}_L \mathcal{I}_{C_2}} \rightarrow \frac{\mathcal{I}_{C_2}}{\mathcal{I}_L \mathcal{I}_{C_2}} \rightarrow \mathcal{O}_L(2a + b) \rightarrow 0.$$

As $\frac{\mathcal{I}_{C_2}}{\mathcal{I}_L \mathcal{I}_{C_2}} \cong \mathcal{O}_L(2a) \oplus \mathcal{O}_L(-2 - a)$, we conclude $\frac{\mathcal{I}_C}{\mathcal{I}_L \mathcal{I}_{C_2}} \cong \mathcal{O}_L(-2 - a - b)$. Then note that there is an obviously surjective map of \mathcal{O}_L -modules

$$\alpha : \mathcal{I}_{L,L_2} \otimes \mathcal{I}_{C_2,L_2} \rightarrow \frac{\mathcal{I}_L \mathcal{I}_{C_2}}{\mathcal{I}_L^3}$$

The sheaf on the left is isomorphic to $\mathcal{O}_L(-3 - a)^{\oplus 2}$, while the sheaf on the right is locally free of rank two, thus α must be an isomorphism and $\frac{\mathcal{I}_L \mathcal{I}_{C_2}}{\mathcal{I}_L^3} \cong \mathcal{O}_L(-3 - a)^{\oplus 2}$. Finally, from the exact sequence

$$0 \rightarrow \frac{\mathcal{I}_L \mathcal{I}_{C_2}}{\mathcal{I}_L^3} \rightarrow \mathcal{I}_{C,L_3} \rightarrow \frac{\mathcal{I}_C}{\mathcal{I}_L \mathcal{I}_{C_2}} \rightarrow 0$$

we conclude $\pi_* \mathcal{I}_{C,L_3} \cong \mathcal{O}_L(-3 - a)^{\oplus 2} \oplus \mathcal{O}_L(-2 - a - b)$ so that C satisfies condition $\mathcal{E}_{3,\ell}$ if and only if either $a = \ell$ and $b \geq 1$ or $a \geq \ell + 1$, and C is a $C_{3,\ell}$ if and only if it has type $(\ell; 1)$. □

The case of quadruple lines is more difficult because the type of a quasiprimitive quadruple line C does not determine its postulation, that is, the sequence $n \mapsto h^0(\mathcal{I}_C(n))$. We show that a quadruple line is a $C_{4,\ell}$ if and only if it is a *sufficiently general* quasiprimitive quadruple line of type $(\ell; 2, 2)$.

Theorem 5.2. (Quadruple lines) *If a quadruple line C satisfies condition $\mathcal{C}_{4,\ell}$, then C is quasiprimitive of type $(a; b_2, b_3)$ and either $a = \ell$ and $b_2 \geq 2$, or $a \geq \ell + 1$.*

Furthermore, if a quadruple line C is a $C_{4,\ell}$, then C is quasiprimitive of type $(\ell; 2, 2)$; and a sufficiently general quasiprimitive quadruple line of type $(\ell; 2, 2)$ is a $C_{4,\ell}$. Such curves form a nonempty irreducible family of dimension $9\ell + 21$.

Proof. Quadruple lines have been studied in [5]. In particular, the dimension of the family of quasiprimitive quadruple lines of type $(a; b, c)$ is computed in [5, Proposition 2.3]) and we summarized the argument on page 6. Let C be a quasiprimitive 4-uple line of type $(a; b_2, b_3)$. Then C contains a unique double line C_2 and a unique triple line C_3 , and

$$\mathcal{I}_{L,C_2} \cong \mathcal{O}_L(a), \quad \mathcal{I}_{C_2,C_3} \cong \mathcal{O}_L(2a + b_2), \quad \mathcal{I}_{C_3,C} \cong \mathcal{O}_L(3a + b_3).$$

If C satisfies condition $\mathcal{C}_{4,\ell}$, then C is quasiprimitive and C_3 satisfies condition $\mathcal{C}_{3,\ell}$, hence by 5.1 either $a = \ell$ and $b_2 \geq 1$ or $a \geq \ell + 1$.

It remains to exclude the case $a = \ell$ and $b_2 = 1$. By [5, Lemma 2.2], if one defines $\mathcal{J} = \mathcal{I}_L \mathcal{I}_{C_3} + \mathcal{I}_{C_2}^2$, then $\mathcal{I}_{C_3}/\mathcal{J} \cong \mathcal{O}_L(3a + b_2) \oplus \mathcal{O}_L(-a - b_2 - 2)$. When $b_2 = 1$, it follows $h^0((\mathcal{I}_{C_3}/\mathcal{J})(a + 3)) = 4a + 6$. On the other hand, by the proof of 5.1, $\pi_* \mathcal{I}_{C_3,L_3} \cong \mathcal{O}_L(-3 - a)^{\oplus 3}$ hence

$$h^0(\mathcal{I}_{C_3}(a + 3)) = h^0(\mathcal{I}_{L_4}(a + 3)) + 4(a + 1) + 3.$$

Now suppose $a = \ell$ and let $p = d + \ell - 1 = a + 3$: then

$$h^0(\mathcal{I}_C(p)) \geq h^0(\mathcal{J}(p)) \geq h^0(\mathcal{I}_{C_3}(p)) - h^0((\mathcal{I}_{C_3}/\mathcal{J})(p)) = h^0(\mathcal{I}_{L_4}(p)) + 1.$$

We conclude that C does not satisfy condition $\mathcal{C}_{4,\ell}$ when $a = \ell$ and $b_2 = 1$.

Now suppose C is a $C_{4,\ell}$, then looking at the genus C we see C must be quasiprimitive of type $(\ell; 2, 2)$. Let us show that a sufficiently general quasiprimitive quadruple line C of type $(\ell; 2, 2)$ is a $C_{4,\ell}$.

Using the same notation as above, in this case $\mathcal{I}_{C_3,C} \cong \mathcal{O}_L(3a + 2)$ and $\mathcal{I}_{C_3}/\mathcal{J} \cong \mathcal{O}_L(3a + 2) \oplus \mathcal{O}_L(-a - 4)$, hence $\mathcal{I}_C/\mathcal{J} \cong \mathcal{O}_L(-a - 4)$, thus it is enough to show that, for a general choice of C_3 , the sheaf $\mathcal{F} = \pi_* \mathcal{J}/\mathcal{I}_L^4$ is isomorphic to $\mathcal{O}_L(-a - 4)^{\oplus 5}$. To prove this, we recall Nollet’s description [4] of the ideal of a quasiprimitive 3-line C_3 of type $(a; 2)$: there exist forms

- $f, g \in k[z, w]$ of degree $a + 1$ with no common zero;
- $p, q \in k[z, w]$ of degree 2 and $3a + 4$ respectively, with no common zero;
- $r, s, t \in k[z, w]$ of degree $a + 2$ such that $q = rf^2 + sf g + tg^2$;

such that

(i) in the exact sequence

$$0 \rightarrow \frac{\mathcal{I}_{C_3}}{\mathcal{I}_L \mathcal{I}_{C_2}} \rightarrow \frac{\mathcal{I}_{C_2}}{\mathcal{I}_L \mathcal{I}_{C_2}} \cong \mathcal{O}_L(2a) \oplus \mathcal{O}_L(-a - 2) \rightarrow \mathcal{I}_{C_2,C_3} \cong \mathcal{O}_L(2a + 2) \rightarrow 0$$

the last map is given by $[p, q]$;

(ii) the homogeneous ideal of C_3 is

$$I_{C_3} = I_L^3 + \langle xF, yF, G \rangle$$

where $F = xg - yf$ and $G = pF - rx^2 - sxy - ty^2$.

Let $H_1 = xG$ and $H_2 = yG$, and consider the map β of \mathcal{O}_L -modules

$$\mathcal{E} = \mathcal{O}_L(-2a-4) \oplus \mathcal{O}_L(-a-5)^{\oplus 2} \oplus \mathcal{O}_L(-a-4)^{\oplus 3} \xrightarrow{\beta} \mathcal{G} = \pi_* \frac{\mathcal{I}_{C_3}}{\mathcal{I}_L^4}$$

that sends the generators of \mathcal{E} to the classes of $F^2, H_1, H_2, x^2F, xyF, y^2F$; as all these polynomials are in $I_C^2 + I_L I_{C_3}$, the map β factors through the inclusion $\mathcal{F} = \pi_* \mathcal{J} / \mathcal{I}_L^4 \hookrightarrow \mathcal{G}$. By the proof of Proposition 5.1 $\pi_* \frac{\mathcal{I}_{C_3}}{\mathcal{I}_L^4}$ is isomorphic to $\mathcal{O}_L(-a-3)^{\oplus 2} \oplus \mathcal{O}_L(-a-4)$, and so

$$\mathcal{G} = \pi_* \frac{\mathcal{I}_{C_3}}{\mathcal{I}_L^4} \cong \mathcal{O}_L(-3)^{\oplus 4} \oplus \mathcal{O}_L(-a-3)^{\oplus 2} \oplus \mathcal{O}_L(-a-4)$$

with generators corresponding to $x^3, x^2y, xy^2, y^3, xF, yF$ and G . With respect to the chosen basis, the matrix of $\beta : \mathcal{E} \rightarrow \mathcal{G}$ is

$$\begin{bmatrix} 0 & -r & 0 & g & 0 & 0 \\ 0 & -s & -r & -f & g & 0 \\ 0 & -t & -s & 0 & -f & g \\ 0 & 0 & -t & 0 & 0 & -f \\ g & p & 0 & 0 & 0 & 0 \\ -f & 0 & p & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Generically, the map β has rank 5 as one can see for example by computing the 5×5 minors of its matrix. On the other hand, the section $[p, -g, f, -r, -s, -t]^T$ of $H^0(\mathcal{E}(2a+6))$ is in the kernel of $H^0(\beta(2a+6))$ by a direct check or because

$$pF^2 - gH_1 + fH_2 - rx^2F - sxyF - ty^2F = 0.$$

As f and g have no common zeros on L , we conclude that the kernel of β is isomorphic to $\mathcal{O}_L(-2a-6)$. As we have already observed, the image of β is contained in $\mathcal{F} = \pi_* \mathcal{J} / \mathcal{I}_L^4$ hence we have an exact sequence

$$0 \rightarrow \mathcal{O}_L(-2a-6) \rightarrow \mathcal{E} \xrightarrow{\beta} \mathcal{F}.$$

Finally, from the exact sequence $0 \rightarrow \frac{\mathcal{J}}{\mathcal{I}_L^4} \rightarrow \frac{\mathcal{I}_{C_3}}{\mathcal{I}_L^4} \rightarrow \frac{\mathcal{I}_{C_3}}{\mathcal{J}} \rightarrow 0$ we compute that $\mathcal{F} = \pi_* \mathcal{J} / \mathcal{I}_L^4$ has the same rank 5 and the same degree $-5a - 20$ as the image of β , hence $\mathcal{E} \xrightarrow{\beta} \mathcal{F}$ is surjective.

We can now show that $\mathcal{F} \cong \mathcal{O}_L(-a-4)^{\oplus 5}$ if C_3 is general. As \mathcal{F} is locally free of the same rank and degree as $\mathcal{O}_L(-a-4)^{\oplus 5}$, it is enough to prove $H^0 \mathcal{F}^\vee(-a-5) = 0$. Dualizing and twisting the above exact sequence we obtain

$$0 \rightarrow \mathcal{F}^\vee(-a-5) \rightarrow \mathcal{O}_L(-1)^{\oplus 3} \oplus \mathcal{O}_L^{\oplus 2} \oplus \mathcal{O}_L(a-1) \rightarrow \mathcal{O}_L(a+1) \rightarrow 0.$$

Thus, what we need is that the map

$$H^0 \mathcal{O}_L^{\oplus 2} \oplus H^0 \mathcal{O}_L(a-1) \xrightarrow{[f, -g, p]} H^0 \mathcal{O}_L(a+1)$$

be injective. Now this is certainly the case if f, g and p are chosen general, as it is injective if we choose $f = z^{a+1}, g = w^{a+1}$ and $p = zw$. \square

6. Families of Maximum Genus Curves of Low Degree

To summarize, the curves $C_{d,\ell}$ of which we know existence are:

1. when $d = 3$, quasiprimitive multiple lines of type $(\ell; 1)$ —this paper, Proposition 5.1;
2. when $d = 4$, quasiprimitive multiple lines of type $(\ell; 2, 2)$ —this paper, Theorem 5.2;
3. when $d = 3m - 1 \leq 119$, primitive multiple lines of type $a = \ell + \frac{d-2}{3}$ —these are constructed in [3], with the aid of *Macaulay2* for $m \geq 4$; at least in characteristic zero, in [6] we will show how to extend this result to all degrees $d \equiv 2$ modulo 3.

One would be tempted to guess from these examples that the family of $C_{d,\ell}$'s supported on a line L is irreducible, but there might be counterexamples already for $d = 5$: I do not know if there are quasiprimitive quintuple lines of type $(0; 2, 2, 6)$ or of type $(0; 2, 3, 5)$ that do not lie on a quartic surface, or whether, if they exist, they lie in the closure of the family of primitive 5 lines of type $a = 1$.

We close the paper enumerating the known examples of degree d curves of maximum genus $B(d, d)$ not lying on surfaces of degree $< d$ for small d , thereby proving Theorem 1.4 in the introduction.

For $d = 2$, the maximum genus $B(2, 2)$ is -1 and every curve of degree 2 and $g = -1$ is not contained in a plane; the 7 dimensional irreducible family of double lines of genus -1 , that is of $C_{2,0}$'s, is contained in the closure of the family of two disjoint lines, which is the general member of the 8 dimensional Hilbert scheme $H_{2,-1}$.

For $d = 3$, the maximum genus $B(3, 3)$ is -3 ; Proposition 4.7 provides two irreducible families of degree 3 curves of maximum genus -3 not lying on a quadric: the 12 dimensional family of quasiprimitive triple lines of type $(0; 1)$, and the 13 dimensional family whose general member is the disjoint union of a line and a double line of genus -2 ; the first family is in the closure of the second by [4, Proposition 3.3].

For $d = 4$, the family of quadruple lines of maximum genus $B(4, 4) = -7$ not lying on a cubic surface is not irreducible. It contains by Proposition 4.7

- the 22-dimensional irreducible family F_1 whose general member is the disjoint union of two double lines of genus -3 ;
- the 21-dimensional family F_2 whose general member is the disjoint union of a line and a quasiprimitive triple line of type $(1; 1)$;
- the 21-dimensional family F_3 whose general member is a general quasiprimitive quadruple line of type $(0; 2, 2)$.

It is clear the second family F_2 cannot be in the closure of family F_1 , and the closure of these two families are in fact a component of the Hilbert scheme $H_{4,-7}$ by [5, Theorem 6.2], while the family F_3 is in the closure of F_1 by [5, Proposition 3.3].

For $d = 5$, the family of quintuple lines of maximum genus $B(5, 5) = -14$ not lying on a quartic surface is not irreducible. It contains

- the 35-dimensional irreducible family G_1 whose general member is the disjoint union of a $C_{3,2}$ and a $C_{2,3}$;
- the 34-dimensional irreducible family G_2 whose general member is the disjoint union of a line and a general $C_{4,1}$;
- the 30-dimensional irreducible family G_3 whose general member is a general primitive quintuple line of type $a = 1$.

and there are no containment between the closures of these 3 families in the Hilbert scheme $H_{5,-14}$: one reason for which G_3 is not in the closure of G_1 or G_2 is that its general member is a curve that has embedding dimension two at each of its points, a property that does not hold for any curve in G_1 and G_2 .

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