

Spectrally Resolving the Phase and Amplitude of Coherent Phonons in the Charge Density Wave State of 1*T*-TaSe₂

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The excitation and detection of coherent phonons have given unique insights into the condensed matter, in particular for materials with strong electronphonon coupling. A study of coherent phonons is reported in the layered charge density wave (CDW) compound 1T-TaSe2 performed using transient broadband reflectivity spectroscopy, in the photon energy range 1.75-2.65 eV. Several intense and long-lasting (>20 ps) oscillations, arising from the CDW superlattice reconstruction, are observed allowing for detailed analysis of the spectral dependence of their amplitude and phase. For energies above 2.4 eV, where transitions involve Ta d-bands, the CDW amplitude mode at 2.19 THz is found to dominate the coherent response. At lower energies, instead, beating arises between additional frequencies, with a particularly intense mode at 2.95 THz. Interestingly, the spectral analysis reveals a π phase shift at 2.4 eV. Results are discussed considering the selective coupling of specific modes to energy bands involved in the optical transitions seen in steady-state reflectivity. The work demonstrates how coherent phonon spectroscopy can distinguish and resolve optical states strongly coupled to the CDW order and provide additional information normally hidden in conventional steady-state techniques.

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1. Introduction

The photoexcitation of coherent electronic and phonon modes by ultrashort laser pulses (<100 fs) is a very active and fascinating field of condensed matter research.[1] Over the years, fundamental discoveries such as the measurement of coherent vibrational wave packets in retinal molecules responsible for human vision,[2] the detection of coherent Bloch oscillations in coupled semiconductor quantum wells,[3] and the demonstration of THz radiation emission,[4] to name a few, have shown the versatility of the technique and the potential for opening new areas, with the ultimate aim of controlling vibrational and electronic degrees of freedom.^[5] Recently, coherent phonon spectroscopy has been extensively applied to correlated electron systems and quantum materials.^[6] These are solids showing superconductivity, Mott insulator transitions, charge density wave (CDW)

instabilities, and in general, strong coupling between electronic and spin degrees of freedom with the lattice dynamics. The widespread suitability of femtosecond spectroscopy is demonstrated in several examples of excitation and detection of coherent phonons in CDW materials.^[7]

In a CDW, strong coupling of electronic bands close to the Fermi level with specific phonon modes can be responsible for a structural phase transition, usually occurring upon cooling below some critical temperature. The phase transition results in the opening of an electronic gap in materials that normally would be metals or semimetals and the formation of a superlattice indicated by the softening of specific phonons.^[8] The superlattice structure folds the electronic and phonon dispersion into a new reduced Brillouin zone (BZ), resulting in a significant renormalization of the phonon spectrum.^[8a]

We focus on the CDW material $1T\text{-}TaSe_2$ which belongs to the family of quasi-2D layered transition metal dichalcogenides (TMDs)^[9] and is characterized by a relatively high transition temperature compared to the closely related compound $1T\text{-}TaS_2^{[10]}$ and other metallic TMDs known to exhibit CDWs.^[11] Below Tc = 470 K, a first order transition occurs from an incommensurate to a commensurate CDW with long-range order.^[10] Thus, at room temperature and below, the material is in the CDW superlattice structure characterized by Ta atoms displaced

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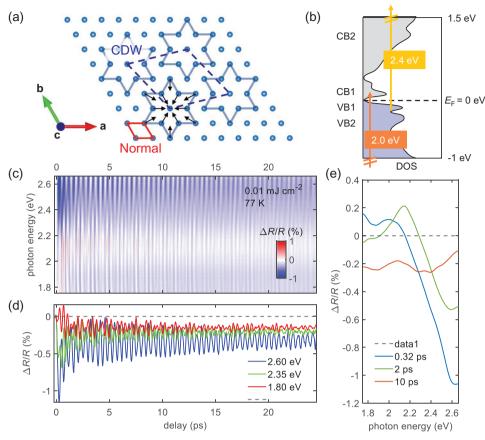


Figure 1. a) Projection of the Tantalum atoms in a single layer of 1T-TaSe₂ along the c- axis, Selenium atoms have been removed for clarity. The hexagonal unit cell of the normal structure is indicated in red, while the $\sqrt{13} \times \sqrt{13}$ CDW superstructure unit cell is identified by the dashed blue line. 13 atoms star clusters are shown for the CDW unit cell only. b) Scheme of energy bands showing a DOS adapted from ref. [13b] in proximity of the Fermi level, E_F , together with an illustration of the proposed final and initial bands involved in interband transitions for photons with energy in the range of our probe 1.75–2.65 eV (vertical arrows). c) Transient reflectivity map of $\Delta R/R$ as a function of pump-probe time delay and probe photon energy. d) Time traces extracted from panel (c) at specific probe photon energies. e) $\Delta R/R$ spectra at specific time delays extracted from panel (c).

from their normal lattice sites and clustering into 13 atom stars as indicated in Figure 1(a). In terms of electronic structure, the CDW superlattice results in band folding and the opening of gaps, which are localized on specific portions of the Fermi surface. This leaves states at the Fermi level, which contribute to conduction and can explain the overall metallic behavior seen in resistivity. [8a,12] Together with the CDW, other electronic correlations arising from Mott physics have been reported in experiments sensitive to the surface electronic structure or in monolayer samples. [7d,13] Figure 1(b) is an approximate sketch of the local density of states (DOS) drawn considering the recent data obtained by Crommie and coworkers on trilayer 1T-TaSe₂.[13b] The vertical arrows give an idea of the energy bands possibly involved in optical transitions within the photon energy interval used in our experiments. The photon energies used in our experiments are well above the energy separation between the empty and occupied electronic bands close to the Fermi level, here indicated as VB1 and CB1. The DOS at VB2 and lower energies has a strong contribution from the chalcogen 2p band^[7d] similar to 1T-TaS₂,^[14] while VB1 and CB1 are mainly due to Ta *d* orbitals.

Recent experiments on $1T\text{-TaSe}_2$ by femtosecond time- and angle-resolved photoemission spectroscopy (TR-ARPES) $^{[7d,12,15]}$

have highlighted how the binding energy of VB1 is modulated by a phonon corresponding to the breathing mode of the star cluster. This is revealed by long-lasting oscillations seen in the TR-ARPES signal at ≈2.2 THz. However, the CDW superlattice reconstruction ($\sqrt{13} \times \sqrt{13}$) not only results in the breathing mode, but several acoustic and optical phonon branches of the normal lattice becoming optically active because of zone folding, as seen in time-resolved reflectivity (TRR) and spontaneous Raman experiments.^[7d,16] Thus, it remains unclear why only some of the phonon modes of the superlattice are coupled with the CDW order probed directly in TR-ARPES. In addition, the nature of the coherent excitation process, that is, displacive versus impulsive, remains undetermined. Phase information, crucial to understanding the phonon excitation process, relies on the quality of time-resolved data and exact determination of the temporal overlap between pump and probe pulses. TRR is highly suitable for this purpose because of the availability of very short pulses (<20 fs) and the methods required to monitor and characterize pulses in the visible and NIR range, in contrast to deep UV used for TR-ARPES.

Here, we report high-quality TRR data on 1T-TaSe₂ single crystals at 77 K. Benefitting from a broadband visible probe pulse, spanning $1.75 < h\nu < 2.65$ eV, we are able to track the

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dynamics of different optical interband transitions, study the selective phonon modulation of transient reflectivity signal, and retrieve important information about the phase of coherent phonon oscillations. In contrast to TR-ARPES, we are able to detect several coherent phonons linked to the CDW superlattice reconstruction and investigate their spectral dependence. Interband transitions above 2.4 eV are coupled mainly, to the CDW amplitude breathing mode, while below this photon energy beating of several comparably intense modes is observed. Phase analysis can distinguish modes excited via a displacive or impulsive process, with the former preferentially coupled to optical interband transitions. We observe a π phase shift of the displacive CDW amplitude modes in correspondence to an optical transition at 2.4 eV. Our results show how broadband coherent phonon TRR spectroscopy can combine high energy and time resolution to provide unique insights into the electron and lattice dynamics of CDW materials. In addition, by comparing TRR with the available TR-ARPES data we discuss the differences in the detection of coherent phonons in the two techniques and how these can be related to the electronic bands involved in the CDW formation.

2. Results

The ultrafast optical response of 1T-TaSe₂ is characterized by intense long lasting coherent oscillations. Figure 1(c) reports the temporal evolution of the broadband differential reflectivity signal ($\Delta R/R$) of the probe pulse following the excitation of a 1T-TaSe₂ single crystal held at 77 K. The 2D data map is dominated by oscillations that remarkably last longer than our experimental time window of 25 ps. Time traces at specific probe photon energies are reported in Figure 1(d) and show how at 2.6 eV the dynamics are dominated by a single strong oscillatory mode. This is in contrast to traces at energies of 2.35 and 1.80 eV, where the beating of modes is detected.

Figure 1(e) shows $\Delta R/R$ spectra extracted at specific time delays. At early positive delays of 0.32 ps and of 2 ps spectra show a negative band peaking around 2.6 eV, which develops into a positive $\Delta R/R$ signal as photon energy decreases. The spectra also feature maxima at positive signal in the region below 2.3 eV. It is important to mention that the spectral position at which the $\Delta R/R$ changes sign oscillates in time. This can be seen by the two short delay spectra and also the border between red and blue regions in the 2D map of Figure 1(c). A closer inspection of the spectral dynamics for all the delays reveals that the positive peak in $\Delta R/R$ at \approx 2.1 eV oscillates mainly in intensity, while the negative peak at 2.6 eV oscillates also in energy with a shift of as much as \pm 0.2 eV. Such behavior already suggests that the two spectral regions above and below 2.4 eV have a different coupling with the oscillatory modes and likely a different nature. This separation is more evident after subtracting the fast incoherent decay from the data (details in the Experimental Section) in order to isolate the oscillatory component as shown in Figure 2(a). Here, the presence of a dominant mode at energies above 2.4 eV and of a beating below becomes clearer (Figure 2(b)). The nodes in Figure 2(a) arising from beating appear as white vertical stripes at regular intervals, which do not extend to the region of probe photon energy above 2.4 eV.

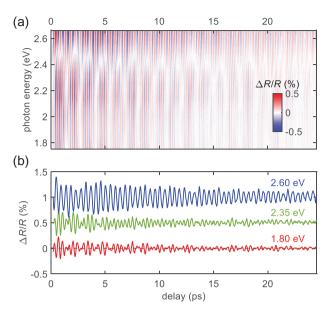


Figure 2. a) Oscillatory component of the $\Delta R/R$ signal as a function of pump-probe time delay and probe photon energy after subtraction of the incoherent dynamics. b) Time traces extracted from panel (a) at specific photon energies. The traces have been vertically offset for clarity.

We have performed a detailed analysis of the oscillations by a Fourier transform of the time traces at the different probe photon energies as reported in Figure 3(a). There are at least four distinct oscillation frequencies clearly visible which contribute to the dynamics. From Figure 3(b) their frequencies are 1.61, 1.82, 2.19, and 2.95 THz and correspond to Raman active phonon modes from the CDW superstructure.[16] The most prominent oscillation at 2.19 THz is a totally symmetric A_o breathing mode of the star cluster, also known as the amplitude mode of the CDW superlattice. The 2.95 THz feature has been also assigned to an A_g mode of the CDW, while the remaining two at lower frequency are close in energy to two almost degenerate CDW phonons with A_{σ} and E_{σ} symmetry.^[17] The A_{σ} mode is the more likely assignment given that the TRR signal is dominated by such modes because of symmetry reasons.^[18] A scheme illustrating the vibrations of the 13 atoms in the star cluster for the two most intense modes is shown in the inset. These were obtained from density functional theory (DFT) calculations on a 1T-TaSe₂ monolayer in the CDW phase. The Supporting Information outlines the details of the calculations together with the assignment of phonon modes. Differences between the frequency of calculated and measured phonons are due to the harmonic approximation used in DFT and possibly because of the monolayer input structure compared to the bulk, as measured in the experiments.

The Fourier map in Figure 3(a) clearly shows that for energies greater than 2.4 eV, the 2.19 THz amplitude mode is dominant with a small contribution from the 1.82 THz phonon. The intensity of the amplitude mode is negligible for a small interval close to 2.4 eV and remerges with a relative maximum at \approx 2.2 eV. The phonon at 2.95 THz, in contrast, is almost absent above 2.4 eV and becomes dominant with a peak at probe energy of 2.17 eV. The amplitude of the four modes as a function of probe photon energy, corresponding to vertical cuts

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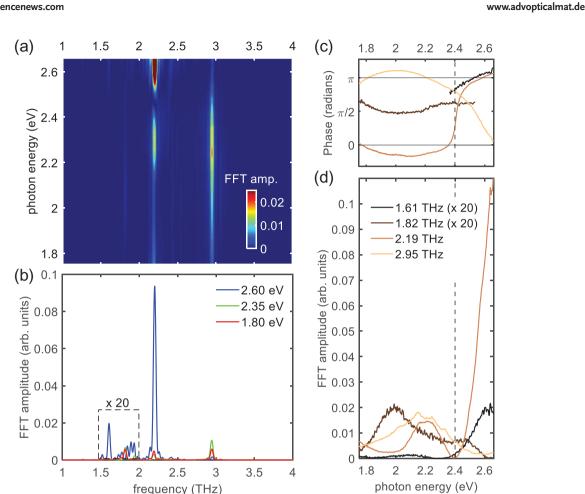


Figure 3. a) Fast Fourier Transform (FFT) amplitude map. b) FFT amplitude as a function of frequency for specific photon energies; data between 1.5 and 2.0 THz have been multiplied by a factor of 20 for clarity. c) Phase of coherent phonon modes as a function of probe photon energy. d) Spectral dependence of the FFT amplitude of the four most prominent modes. The amplitude of the 1.61 and 1.82 THz modes has been multiplied by a factor of 20. The vertical dashed line corresponds to 2.4 eV.

on the map, can be seen in Figure 3(d) providing an immediate identification of 2.4 eV as the energy where a change in the optical properties occurs.

One of the unique advantages of coherent phonon spectroscopy compared to the spontaneous Raman scattering is the possibility to retrieve phase information. We report in Figure 3(c) how the modes' phases change as a function of probe photon energy. Interestingly, the amplitude mode shows an abrupt phase shift of π occurring in the proximity of 2.4 eV; this is a clear signature of an optical transition involving at least one electronic band with a binding energy that changes in time as we explain in the following. The high-frequency mode at 2.95 THz shows also a π phase shift, albeit less abrupt and occurring over a wider energy range. The phase behavior of the two modes at lower frequency is different, since the phase of the 1.61 THz is very close to π , while for the 1.82 THz mode phase is almost constant across the spectrum at $\pi/2$, implying an impulsive excitation for the latter

To gain insight into the relationship between coherent phonons and optical transitions we have compared the timeresolved data with the steady state optical reflectivity of 1*T*-TaSe₂. The reflectivity spectrum of a single crystal is reported in **Figure 4**(a). The spectrum displays two clear minima centered at 3.2 and 2.7 eV. In addition, the increasing reflectivity towards low photon energy features a shoulder at 2.1 eV. To the best of our knowledge, this is the first time that the reflectivity in the visible spectral range for this material is reported. The overall shape reproduces features theoretically predicted by Reshak and Auluck^[19] and is similar to the one of 1*T*-TaS₂ measured by Beal et al.^[20] despite a small rigid energy shift. The reflectivity increase observed when going towards the infrared could be due to an interband transition centered just outside our spectral window superimposed to the long tail of a Drude free carrier response, given that the compound shows metallic behavior down to 4 K.

The reflectivity data on single crystals of the isostructural CDW compound 1T-TaS $_2$, measured from 25 meV to 14 eV, indicate that the increased reflectance towards low energy is indeed due to free carriers, [20] while the optical transitions in the region above 2.4 eV are assigned to interband transitions involving bands with Ta d character. Since Ta bands are close to the Fermi level, it is very likely that optical transitions in the spectral range of our probe involve the promotion of electrons

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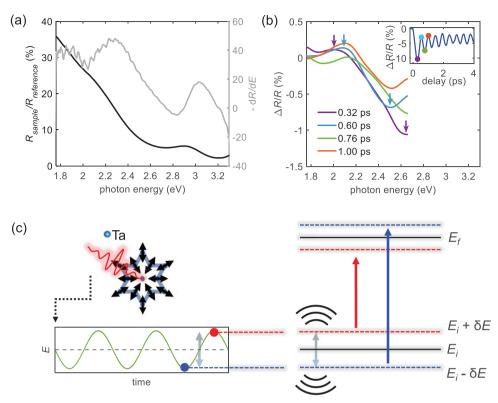


Figure 4. a) Steady-state reflectivity spectrum of 17-TaSe₂ at 77 K (black curve, left axis) The grey curve (right axis) is the negative first derivative of the steady-state reflectivity. b) $\Delta R/R$ spectra at selected pump-probe time delays indicated in the legend corresponding to the maxima and minima in the coherent oscillations of the time trace at 2.6 eV shown in the inset. The arrows indicate maxima and minima of the spectral features. c) Oscillations in the binding energy of initial and final states in an interband transition. The right panel shows how an optical transition involving such bands is affected and the corresponding out of phase oscillations in the optical response at photon energies below (red arrow) and above (blue arrow) the center energy.

from the VB1 (initial state) or to the CB1 (final state), as shown in the energy scheme of Figure 1(b).

The first derivative of the steady state reflectivity spectrum, $\frac{-\partial R(E)}{\partial E}$, in Figure 4(a) highlights the spectral features with increased clarity. Interestingly the greatest change in reflectivity is observed at 2.3 eV, which is very close to the energy where the most abrupt changes in phonon dynamics are observed. A comparison with the $\Delta R/R$ spectra at different delays in Figure 4(b) shows the similarity of spectral features with the first derivative of the steady state reflectivity spectrum.

3. Discussion

One of the goals of our experiments is to understand the coupling of coherently excited phonons with the optical transitions observed in 1T-TaSe $_2$. We stress that our pump-probe experiments are in the weakly perturbative regime, since a laser fluence of $0.01~\rm mJ~cm^{-2}$ corresponds to an excitation density well below the threshold for photoinduced melting of the CDW order and phase transition to an incommensurate CDW or metastable states reported in ref. [15].

We interpret the interesting behavior seen in the phonon oscillations benefitting from the DFT calculations performed on a monolayer 1*T*-TaSe₂ in the CDW structure, that is, with star clusters arranged in a ($\sqrt{13} \times \sqrt{13}$) hexagonal superlattice as

shown in Figure 1(a). The vectors representing the atomic displacement in the star cluster for different phonon modes are shown in the insets of Figure 3. A full list of phonon modes and their symmetries is provided in the Supporting Information. The 2.19 THz mode corresponds to the expansion and contraction of the 12 atoms surrounding the central Ta atom of the star, thus modulating the CDW superlattice structure. In addition, the mode assigned to the 2.95 THz oscillation shows radial displacements, but predominantly involves the six atoms at the tips of the star and only small amplitude motions for the six nearest neighbors to the center. The assignment of the oscillations at 1.61 and 1.82 THz is non-trivial based on their frequency but is close to the $A_{\rm g}$ modes seen in Raman. $^{[17]}$ We propose the assignment given in the Supporting Information considering that calculated low-frequency $A_{\rm g}$ modes start with 1.4 THz and then 1.82 THz.

The CDW amplitude mode at 2.19 THz is directly linked to the lattice reconstruction, and thus coupled to the physics of Ta-d bands VB1 and CB1 as suggested for the similar compound 1T-TaS₂. [21] Our data showing a π phase shift for this mode at 2.4 eV, can be interpreted considering an optical transition occurring at this energy and involving either VB1 or CB1. Oscillations of VB1 are consistent with our TR-ARPES data, where we have observed a 2.19 THz modulation of the band edge energy. [7d]

The oscillations of either bands lead to a $\Delta R/R$ signal above 2.4 eV being one half-cycle (π shift) out of phase from the signal

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just below, as illustrated in Figure 4(c). Similar arguments can explain the phase behavior of the 2.95 THz oscillation, which at t=0 is out of phase by π with the amplitude mode and shows a more progressive change of π as a function of photon energy. Its amplitude is enhanced for optically coupled states at energies below 2.4 eV (cf. Figure 3(c)), thus we speculate it may be linked to a different transition, possibly involving the CB1.

The oscillations at 1.82 THz have a phase of $\frac{\pi}{2}$ indicating an

impulsive excitation. Their intensity should be proportional to the Raman tensor and its dependence on photon energy.^[22] The negligible amplitude of the 1.61 THz mode below 2.4 eV is indicative of preferential coupling with optical transitions similar to those of the amplitude mode.

In general, coherent phonon oscillations seen in TRR of absorbing materials depend on the derivative of the complex susceptibility with respect to the atomic coordinates. [22] Thus the coherent phonon oscillations probed in our experiments here are not solely linked to the dynamics of electronic bands probed by TR-ARPES, [7d,15] but rather a convolution of energy shifts in bands and changes in electronic susceptibility. TR-ARPES studies on 1T-TaSe2 have so far focussed on the dynamics of VB1 close to the Γ point and little is known about CB1 and other empty bands which are suspected to also contribute to the signal detected in TRR experiments.

In TR-ARPES the signal from VB1 is dominated by the amplitude mode at 2.19 THz, $^{[7d]}$ while TRR shows at least four different modes. Our analysis of phase in Figure 3c shows that together with the amplitude mode also the 1.61 and 2.95 THz have a displacive nature and are thus excited in connection to an interband transition. Of these two, only the 2.95 THz shows a sluggish π phase shift occurring over a broad energy range between 2.2 and 2.6 eV.

We can interpret the TRR results considering that the amplitude mode at 2.19 THz directly modulates the binding energy of VB1 and that this band is likely the initial state of an optical transition at 2.4 eV. According to TR-ARPES the 1.61 and 2.95 THz do not modulate the binding energy of VB1, but they may modulate other empty bands which are the final states of other optical transitions, for example for optical transitions at energies below 2.4 eV. Conduction bands (CBs) are not easily seen in TR-ARPES because of their fast depopulation by relaxing electrons. Often this does not allow to follow the influence of coherent phonons on the binding energy of CBs on time scales sufficiently long to measure oscillatory signals. Last we stress again that coherent phonon oscillations in TRR are not necessarily linked to changes in the binding energy of electronic bands, but arise from the modulation of the electronic susceptibility by specific phonon modes. Therefore, the oscillations at 1.61 and 2.95 THz may be hard to detect by a technique sensitive mainly to binding energy shifts and electron density such as TR-ARPES. Figure 4(c) is a schematic illustration of how the CDW amplitude mode can modulate the binding energy of an initial state at energy E_i and final state at energy, $E_{\rm f}$, involved in an optical transition. Optical signals at photon energies above (blue arrow) and below (red arrow) $|E_f - E_i|$ will oscillate with a difference in phase of half-cycle, π . As shown in Figure 4(b) changes in the spectral position of the $\Delta R/R$ minimum at ≈2.6 eV can be up to 0.2 eV. Less dramatic shifts

are observed for the peak at 2.1 eV, confirming the different nature of optical transitions in this region. An energy shift up to 0.2 eV is inconsistent with a modulation of VB1 binding energy of only $\approx\!50$ meV seen in TR-ARPES for a higher pump fluence. [7d] This observation rules out that oscillations in VB1 binding energy are solely responsible for what is seen in TRR and coherent oscillations in final states in the CB and other phenomena shifting spectral weight must be at play. A more complete description requires models beyond single particle energy bands and considering electronic correlations.

In summary, we have performed a detailed study of coherent phonons in 1T-TaSe2 by femtosecond TRR spectroscopy. The results show several coherently coupled phonons, some of them giving a strong periodic modulation to the optical signal in the visible range. The spectrally resolved phonon dynamics including both amplitude and phase allow for better identification of optical transitions compared to steady state optical reflectivity, where for CDW materials based on TMDs the signal of interband transitions is often merged with a broad background due to Drude-type intraband optical phenomena. [23] The results are also useful towards the interpretation of recent TR-ARPES experiments claiming a shift in the phase between oscillations in band binding energy and electronic temperature^[24] as well as calling for a better understanding of the occupied and empty bands close to the Fermi level which have been linked to Mott physics and electronic correlations in this material. [13b]

4. Experimental Section

Single crystals of 17-TaSe₂ were grown using the lodine vapor transport method in vacuum sealed quartz ampules. Rapid quenching from 920 °C to room temperature in a water/ice bath ensured the stabilization of the octahedral 1T polytype. Further sample details and basic structural characterization of the single crystals were reported in a previous publication. [7d] A freshly cleaved single crystal was mounted inside an optical flow cryostat and held at 77 K. Transient reflectivity experiments were performed using a setup based on a Ti:sapphire amplified laser (Coherent Libra) operating at 2 kHz repetition rate. The pump beam was generated by a non-collinear optical parametric amplifier (NOPA) which outputs tunable broadband pulses.[25] The pump was centered around 2.25 eV and compressed to ≈ 20 fs using chirped mirrors. The pump fluence was set to 0.01 mJ cm⁻². The probe beam was obtained by white light continuum generation in a sapphire plate. Pump and probe beams were incident on the sample at near-normal incidence on the surface corresponding to the (001) crystallographic plane and cross-polarized to avoid scattering artifacts. All spectra were dechirped taking into account the dispersion in the probe beam. The measured pump-probe data included both the coherent and incoherent dynamics, that is, the total transient response of the sample. To isolate only the oscillatory (coherent) component for further analysis, a monoexponential fit of the incoherent dynamics was made to each wavelength (photon energy) with free parameters for the 512 data rows of each wavelength bin of the CCD. The monoexponential decays were then subtracted from the measured data to produce the map in Figure 2a. The FFT analysis in Figure 3 was performed on the exponential subtracted data in Figure 2a. Steady-state reflectivity was performed with a custom modified setup^[26] based on an Agilent Cary 5000 spectrophotometer with single crystals held in vacuum at 77 K. A UV-enhanced aluminum mirror was used as a reference. Density Functional Perturbation Theory (DFPT)[27] with a plane wave basis was used to calculate the frequencies of the phonon modes at the BZ center. The QUANTUM ESPRESSO package^[28] was used with ultrasoft^[29] or projector augmented-wave^[30] pseudopotentials, and the local density www.advancedsciencenews.com



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approximation (LDA) was used with a Perdew–Zunger exchange-correlation functional.^[31] Comparison of different exchange-correlation functional choices showed that this was likely to be an adequate level of approximation, especially for the lattice dynamics.^[32] Full details of the DFT calculations are reported in the Supporting Information.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Keywords

charge density waves, coherent phonons, TaSe₂, transition metal dichalcogenides, ultrafast spectroscopy

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