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1 **Real-time structural stability of domes through limit analysis:**  
2 **application to St. Peter's dome**

3 Marco Francesco Funari<sup>a\*</sup>, Luis Carlos Silva<sup>b</sup>, Elham Mousavian<sup>c</sup>, Paulo  
4 B. Lourenço<sup>a</sup>

5 *<sup>a</sup>Department of Civil Engineering, University of Minho, ISE, Guimarães, Portugal*

6 *<sup>b</sup>Department of Civil Engineering, Lusófona University, ISE, Lisbon, Portugal*

7 *<sup>c</sup> Department of Structures for Engineering and Architecture, Department of Civil  
8 Engineering, University of Naples Federico II, Naples, Italy*

9 Provide full correspondence details here including e-mail for the \*corresponding author

10

11 Marco Francesco Funari  
12 Postdoctoral Researcher in Structural Engineering  
13 ISE, Institute of Science and Innovation for Bio-Sustainability (IB-S)  
14 University of Minho, Guimarães, Portugal  
15 e-mail: marcofrancesco.funari @civil.uminho.pt

# 1 **Real-time structural stability of domes through limit analysis:** 2 **application to St. Peter's dome**

3 A digital tool is presented and made available for the rapid structural assessment  
4 of historic masonry domes. It is especially suited for masonry domes that present  
5 long meridian cracks; ergo partitioned slices governed by a pushing failure mode.  
6 The proposed procedure considers a Heyman's no-tension mechanical model that  
7 has been implemented within a user-friendly visual programming environment.  
8 The numerical approach includes parametric modelling of the failure mechanism  
9 that allows exploring the domain of solutions using the kinematic theorem of  
10 limit analysis. A heuristic search method is subsequently adopted to refine the  
11 geometry of the collapse mechanism and to compute the value of the horizontal  
12 thrust. Validation of the results has been achieved considering St. Peter's dome.  
13 As reported in the literature, the behaviour of this dome shifted from a rigid shell-  
14 type – stiffened by hoop stresses – towards a pushing type of dome partitioned by  
15 long meridian cracks. Unlike time-consuming and advanced methods of analysis,  
16 the present procedure allows the users to perform a structural assessment of a  
17 historic masonry dome in few seconds and offers the possibility of including: (i)  
18 the dome's drum in the analysis, if applicable; and (ii) rings as strengthening  
19 measure, whose number, position (dome or drum) and material (capacity) are  
20 user-defined. The goal is to make the tool easily and freely at the disposal of  
21 students, researchers, and structural engineers.

22 **Keywords:** St Peter's dome; historic masonry domes; kinematic limit analysis;  
23 easy to use tool for limit analysis

## 24 **1. Introduction**

25 Ancient masonry domes are widely spread across the world. The majority were  
26 designed based on rules of thumb (Gaetani, et al. 2016; Huerta Fernandez 1990;  
27 Brumana, et al. 2018; Di Croce, Ponzo, and Dolce 2010) and recent earthquakes raised  
28 the awareness over its significant vulnerability to horizontal loads (Silva, et al. 2018;  
29 Sorrentino, et al. 2013). Remedial actions based on structural assessment studies using  
30 scientific-based approaches shall be conducted (Fanning, and Boothby 2001). Still, the

1 structural assessment of ancient masonry domes remains a challenge. The complexity  
2 arises from the geometric arrangement of the masonry (G. Milani, and Cecchi 2013),  
3 from the phenomenological complexity of masonry mechanical behaviour (P.B.  
4 Lourenço 1997), from the uncertainty when modelling the dome's potential load paths,  
5 from the damage-induced anisotropy, and from lack of data in engineering applications.  
6 Studies that somehow allow lessening the preceding difficulties are then of prime  
7 importance, such as studies that address ancient construction methods (Gaetani, et al.  
8 2016), advances on non-destructive testing methods (López López, et al. 2019),  
9 advances on strengthening techniques, and advances on numerical strategies of  
10 structural analysis. In this scope, the present study provides a contribution to the  
11 structural analysis of masonry domes. In fact, researchers have, in the last decades,  
12 overtly sought the improvement of structural analysis tools and different strategies have  
13 enriched the literature (Como 2019; Cennamo, and Cusano 2020; Anselmi, Galizia, and  
14 Saetta 2020; Ginovart, Costa, and Fortuny 2013; Fabbrocino, et al. 2020) since the  
15 modern theory of limit analysis proposed by Heymann (Heyman 1966; 1969).  
16 Analytical or semi-analytical approaches based on limit analysis theorems can be found  
17 (Como 2019; Pepe, Pingaro, and Trovalusci 2021; Pepe, et al. 2020; Portioli, et al.  
18 2014; Chiozzi, et al. 2017; G. Milani, Lourenço, and Tralli 2006), but more attention  
19 has been given to numerical strategies based on the Finite Element (FE) (Fortunato,  
20 Funari, and Lonetti 2017) and Discrete Element (DE) (Mehrotra, Arede, and DeJong  
21 2015; Savalle, Vincens, and Hans 2020; Simon, and Bagi 2014) methods.

22 FE-based strategies have perhaps received more attention because most  
23 commercial software codes adopt the FE method. FE models allow the modelling of  
24 masonry either through a continuous and homogeneous representation of the media  
25 (macro-modelling) (Cascardi, et al. 2020; Silva, et al. 2018) or through an explicit

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1 discretization of both units and joints (micro-modelling) (Lemos 2007). Mechanical  
2 behaviour of the masonry is typically achieved through non-linear constitutive laws.  
3 Due to the high computational effort required, micro-modelling is still devoted for  
4 small-scale studies and within a non-linear static analysis. In converse, the  
5 appropriateness of a macro-modelling is well recognizable for the study of larger scale  
6 structures and within both non-linear static and dynamic types of analysis. For instance,  
7 Bacigalupo et al. (Bacigalupo, Brencich, and Gambarotta 2013) developed a  
8 macroscopic FE model and performed non-linear quasi-static analyses for the structural  
9 assessment of S. Maria Assunta's dome and drum in Carignano; and Cavagli and  
10 Gusella (Cavagli, and Gusella 2014) studied the dome of the Basilica of Santa Maria  
11 degli Angeli in Assisi through a non-linear quasi-static analysis. Bartoli et al. (Bartoli,  
12 Betti, and Borri 2015) also developed a macroscopic FE model to perform the structural  
13 analysis of Brunelleschi's Dome of Santa Maria del Fiore, in which both static and  
14 dynamic types of analysis were considered. Hejazi and Pourabedin (Hejazi, and  
15 Pourabedin 2021) analysed several domes under seismic loads by means FE non-linear  
16 models. A Willam-Warnke failure criterion was adopted to identify the crushing of  
17 brick masonry. Recently, Feizolahbeigi et al. (Feizolahbeigi, et al. 2021) developed a  
18 numerical study to analyse the influence of geometry and construction techniques on the  
19 seismic behaviour of bulbous discontinuous double shell domes in central Iran. An  
20 advanced FE model limited to the dome-drum system was considered. Diz-Mellando et  
21 al. (Diz-Mellado, et al. 2021) performed a multidisciplinary study about the Seville  
22 Cathedral. Data from non-destructive tests allowed the calibration of the FE model. The  
23 authors stated that the leading cause of damage was caused by the dome's horizontal  
24 thrusts on the lateral façades.

1 Advances on the available FE analysis tools have not eased, by itself, the  
2 structural assessment of masonry domes. These require a significant amount of data and  
3 can be both time-consuming and computationally expensive, especially when trying to  
4 model collapse and estimate the ultimate ductility level of the dome (Gabriele Milani,  
5 Simoni, and Tralli 2014). Powerful analytical tools based on the theorem of the limit  
6 analysis can be an appropriate alternative (Turco, et al. 2020; M.F. Funari, et al. 2020;  
7 Heyman 1966). Limit analysis approaches require fewer mechanical parameters input  
8 and are, therefore, more practical. Several authors developed advanced tools based on  
9 the lower and upper bound theorems of limit analysis. Cennamo et al. (Cennamo, and  
10 Cusano 2020) investigated the shape and seismic vulnerability of San Francesco of  
11 Paola's dome in Naples, whereas a lower bound theorem of limit analysis was used  
12 through a graphical approach. Similarly, Anselmi et al. (Anselmi, Galizia, and Saetta  
13 2020) proposed a computer program based on the static theorem of the limit analysis  
14 that demands only an a priori discretization of the dome in macroblocks. The Upper  
15 Bound theorem of limit analysis is extensively adopted to assess arches and domes'  
16 horizontal thrust. In this case, the computation of the load multiplier depends on the  
17 geometry of macroblocks. Multiple (theoretically infinite) failure mechanisms hence  
18 need to be considered to evaluate the minimum of the kinematically compatible load  
19 multipliers (Maria D'altri, et al. 2020). For this purpose, researchers have been adopting  
20 useful optimization routines that solve the minimization problem constrained under  
21 specific hypotheses (Marco Francesco Funari, Mehrotra, and Lourenço 2021). For  
22 instance, Milani (G. Milani 2015) proposed a numerical procedure based on a  
23 discontinuous upper bound limit analysis approach with sequential linear programming  
24 mesh adaptation to analyse masonry vaults. Furthermore, the development of limit  
25 analysis tools that are easily adapted to perform ad-hoc studies is quite convenient,

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1 especially since historic domes have intrinsic particularities. In this regard, researchers  
2 are addressing the importance of graphical interfaces and automatic procedures, as they  
3 may foster the use of these tools within engineering practice. For instance, Funari et al.  
4 (Marco Francesco Funari, et al. 2020; M.F. Funari, et al. 2020) proposed the modelling  
5 of a parametrized macro-block that, through advanced optimization and visual scripts,  
6 allowed for exploring the domain of possible solutions using the upper bound method of  
7 limit analysis. Block et al. (Block, Ciblac, and Ochsendorf 2006; Rippmann, Lachauer,  
8 and Block 2012) have also developed interactive tools based on three-dimensional  
9 thrust network analysis and implemented it in the Rhino 3D software. A strategy based  
10 on the static theorem of limit analysis, with background on the O'Dwyer works  
11 (O'Dwyer 1999), was proposed and able to describe the equilibrium of compressive  
12 funicular networks.

13 In such context, the present paper fundamentally aims to develop a numerical  
14 tool for the structural assessment of masonry domes through a limit analysis  
15 formulation. It is intended to be: (i) freely available for the use of students, scholars, and  
16 practitioners; (ii) computationally fast and efficient; (iii) implemented within a visual  
17 script (Rhino 3D) platform and easy to use; and (iv) able to provide real-time results  
18 with the geometry update. The worldwide known St. Peter's dome in Rome has been  
19 selected as a benchmark. A FE numerical model via a macro-modelling approach serves  
20 as a reference for the comparison of the horizontal thrust capacity. Because of its  
21 significance, as well as its damaged state, St. Peter's dome has been extensively studied  
22 in the past by academics (Poleni 1982; Le Seur, Jacquier, and Boscovich 1742),  
23 structural engineers ("The Dome of St Peter's: Structural Aspects of Its Design and  
24 Construction, and Inquiries into Its Stability on JSTOR" 1999; Como 2019), and  
25 architects (Baldrati 2009). Recently, Como (Como 2019) studied the history of the

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1 structural assessment of the St. Peter's dome and introduced some developments of the  
2 limit analysis applied to masonry providing an evaluation of the horizontal thrust by  
3 using the upper bound theorem of the limit analysis, which is adopted here. Baldrati  
4 (Baldrati 2009) published the result of a detailed work based on the usage of non-  
5 destructive testing for the characterization of construction techniques and processes  
6 adopted in the site. De Sanctis et al. (De Sanctis, et al. 2018) analysed the structural  
7 surveys performed between the 17<sup>th</sup> and the 18<sup>th</sup> centuries and developed a detailed  
8 three-dimensional model of St. Peter's dome. Several works exist, but an advanced  
9 numerical simulation aimed at the structural assessment of the St. Peter's dome is still  
10 lacking. Recent work by Jasiński et al. tried to cope with the latter (Jasiński, et al.  
11 2021), in which an elasto-plastic three-dimensional numerical model of the dome and  
12 drum was developed. However, only the non-strengthened configuration was  
13 considered, which does not represent the current state (strengthened with six iron rings)  
14 of the dome.

15 In this regard, the paper addresses the implementation of an upper bound limit  
16 analysis tool that will be freely available. Its validation will be achieved using the St.  
17 Peter's dome as a benchmark and the results provided, given in terms of the dome's  
18 horizontal thrust, will be put against those obtained with a macroscopic FE model. Both  
19 non-strengthened and strengthened (iron rings) configurations of St. Peter's dome will  
20 be considered. Important remarks are also addressed for a rational computation on the  
21 tension force of iron rings within the FE model, which seems to be a contentious issue  
22 in the literature. The paper is organized as follows: section 2 includes the framework  
23 adopted and the objectives; section 3 describes, in brief, the history of the case study;  
24 section 4 includes the description of the FE numerical modelling for both the non-  
25 strengthened and strengthened configurations of St. Peter's dome; section 5 addresses



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1 the implementation of the kinematic limit analysis tool; section 6 reports the discussion  
2 of the results found, in terms of horizontal thrust and damage pattern, between the  
3 kinematic limit analysis and FE model; section 7 provides an overview on the static  
4 efficiency of St. Peter's dome and a comparison with other well-known ancient domes;  
5 and finally, section 8 reports the main conclusions.

## 6 **2. Framework and Objectives**

7 The primary goal of the study is the implementation and validation of a structural  
8 analysis tool for masonry domes. The tool is based on the limit analysis and within an  
9 upper-bound (kinematic) formulation given by Como (Como 2019). To accomplish the  
10 latter, the framework described next has been followed:

- 11 (1) Modelling the geometry of St. Peter's dome, which may be subsequently used  
12 for the structural analysis. The modelling of the dome may be developed in the  
13 software Rhino 3D + Grasshopper ("Rhino - Rhinoceros 3D" 2020;  
14 "Grasshopper - Algorithmic Modeling for Rhino" 2020) to fully take advantage  
15 of the automatic procedure concerning the limit analysis tool. See Section 3.
- 16 (2) Development of an advanced FE numerical model to serve as a reference for the  
17 validation of the limit analysis tool. A three-dimensional numerical model based  
18 on the Finite Element (FE) method and following a macro-modelling approach  
19 was assumed. Such choice is supported by an extensive literature that used FE  
20 model to numerical assess masonry structures in general, and domes in  
21 particular (Gabriele Milani, et al. 2019; Scacco, Milani, and Lourenço 2020;  
22 Jasiński, et al. 2021; Fanning, and Boothby 2001; Bacigalupo, Brencich, and  
23 Gambarotta 2013; Bartoli, Betti, and Borri 2015; Cavalagli, and Gusella 2014).  
24 See Section 4.

1 (3) Implementation of the kinematic limit analysis strategy within a Rhino 3D +  
2 Grasshopper (“Rhino - Rhinoceros 3D” 2020; “Grasshopper - Algorithmic  
3 Modeling for Rhino” 2020) plugin. Such a plugin includes Grasshopper (GH)  
4 components to analyse the non-strengthened and strengthened dome  
5 configurations. By strengthening, it is meant the retrofit through iron rings, but  
6 ultimately the tool may be able to represent other materials. The plugin will be  
7 developed using Python programming language. As output, the tool may provide  
8 the dome’s horizontal thrust and the position of the failure mechanism (position  
9 of the rotational hinge of the failure mechanism). See Section 5.

10 (4) Comparison of the results obtained by the kinematic limit analysis and the FE  
11 numerical model. Maximum horizontal thrust will be compared, together with  
12 the expected failure mechanism for the studied non-strengthened and  
13 strengthened configurations. See Section 6.

14 The novelties of the study are twofold and are addressed next:

- 15 • Develop a limit analysis tool based on the upper bound theorem that is fast and  
16 easy to use. The tool may allow the computation of the thrust force and expected  
17 failure mechanism for a given dome in few seconds. Additionally, it will be  
18 made available online as a shareable Grasshopper (“Grasshopper - Algorithmic  
19 Modeling for Rhino” 2020) component.
- 20 • Application of the developed kinematic limit analysis tool and a numerical FE  
21 model or the assessment of the structural behaviour and static efficiency of St.  
22 Peter’s dome, one of the most well-known and iconic domes of the world.

23 The intrinsic features required to perform the structural assessment of St. Peter’s dome  
24 also constitute, by itself, key points and are recognized to be valuable contributions. For

1 instance:

- 2 • The kinematic tool can be used within a sequential type of analysis. The  
3 structural assessment of domes typically requires the assessment at different  
4 stages to follow its historic evolution and related modifications. Herein, three  
5 stages are especially addressed, whose differences are given in terms of the  
6 number and position of iron rings. The tool includes the structural effect of the  
7 iron rings by prescribing the tension force of these members as input parameters.
- 8 • Modelling the contribution of the iron rings in a FE model within a rational  
9 basis. The computation of the tension force provided by the confinement effect  
10 of the latter rings is based on a mechanistic formulation and is addressed in  
11 section 4.3.

### 12 **3. St. Peter's Dome**

#### 13 ***3.1. Historical overview***

14 The Papal Basilica of St. Peter, also known as St. Peter's Basilica and given in Figure  
15 1a, is located in the Vatican City, which is by itself a UNESCO world heritage cultural  
16 site ("Vatican City - UNESCO World Heritage Centre" 2021). The Basilica is a prime  
17 example of Renaissance style and one of the most famous and important monumental  
18 sites of the world, as it gathers invaluable historical, religious, and cultural values. St.  
19 Peter's dome is presented in Figure 1b and was built between 1506 and 162 , being  
20 worldwide known for its remarkable size and architectural features. The dome was  
21 selected as a case study aiming to employ and prove the capabilities of the proposed  
22 limit analysis tool.

23 The original concept for the Basilica's dome was idealized by Michelangelo,  
24 being then slightly modified by G. Della Porta that: (i) redefined its profile aiming to

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1 achieve an alike shape with the Florence domes designed by Brunelleschi, and (ii)  
2 introduced two iron rings. The latter elements were revealed to be essential, as allowed  
3 to sustain the dome in the aftermath of the seismic events that occurred in 1703 and  
4 1730 (Como 2019).

5         Some damage was reported only fifty years after its completion and Pope  
6 Innocenzo XI commissioned Carlo Fontana to perform a new structural survey.  
7 Although a favourable conclusion on the dome stability was retrieved and retrofit  
8 interventions considered to be unnecessary (Fontana 1694), the election of Pope  
9 Benedict XIV brought the opportunity to a new structural assessment conducted by L.  
10 Vanvitelli. Such study, performed in the mid-18<sup>th</sup> century, revealed that the drum and  
11 the attic were rotating outwards causing the onset of meridian cracks that lead to the  
12 split of the dome in several pushing arches. This is undesirable from a structural  
13 standpoint as these arches behave independently and the membrane effect is lost. A  
14 possible cause for such damage is the poor capacity of the foundations, as addressed in  
15 (Macchi 2001), that led to settlements.

16         At the same time (around 1742), three famous mathematicians (Le Seur,  
17 Jacquier, and Boscovich 1742) published two reports that included both a detailed  
18 survey of the dome and an experimental campaign to assess the mechanical properties  
19 of materials. A simple graphical-based analysis (similarly to a one-dimensional bar  
20 problem) was also developed to identify the dome's thrust. Three main conclusions  
21 were stated: (i) the base of the drum was damaged, (ii) the buttresses had a significant  
22 crack pattern, and (iii) the drum and the buttresses were undersized. The Three  
23 Mathematicians proposed a strenuous strengthening intervention, which would have  
24 modified Michelangelo and Della Porta's original architectural concept. In this regard,  
25 Pope Benedict XIV decided to follow the recommendations of another brilliant scholar,

1 Giovanni Poleni (Poleni 1982). In *Memorie istoriche* (Poleni 1982), Poleni assessed the  
2 previous works and presented, in a detailed way, the main outcomes. It was concluded  
3 that St. Peter's dome was adequately designed, and non-invasive interventions were  
4 proposed to keep the original architecture. During the retrofitting works, Vanvitelli  
5 realized that one of the original iron rings was broken and, possibly, a second one could  
6 be damaged too. Although initially unplanned, a total of six iron rings encircling the  
7 dome were added. Figure 2 presents the structural modifications conducted in the St.  
8 Peter's dome by Poleni.

### 9 *3.2. Geometrical features*

10 Geometrical and structural details necessary to complete a three-dimensional model  
11 were obtained from existing data collected during the surveys from the 17<sup>th</sup> and 18<sup>th</sup>  
12 centuries. Such data was also used to produce accurate drawings for the damage  
13 assessment of the dome, as given in (Poleni 1982; Le Seur, Jacquier, and Boscovich  
14 1742). The dome has a height, from its base to the outer extrados shell, of 28.8 m and a  
15 diameter of 42.7 m. It is composed of two interconnected shells stiffened by 16 ribs.  
16 The thickness of the internal and external shells are, respectively, 2.0 m and 1.0 m, and  
17 the total thickness of the dome ranges from 3.00 m. at its base, up to 5.40 m at the  
18 crown.

19 More recently, Bussi et al. (Bussi, Carusi, and Rocchi 2009) performed a three-  
20 dimensional survey of the Vatican dome's intrados, through a terrestrial laser scanning  
21 procedure that validated the historical drawings. The works from Bucci et al. (Bussi,  
22 Carusi, and Rocchi 2009) lacked, however, information over the dome's extrados, as it  
23 is covered by a lead cladding that made it undetectable to trace with the later  
24 technology. In this context, the geometrical modelling of the St. Peter's dome, which  
25 includes the attic-drum-buttresses structural system, was achieved through information

1 gathered from the terrestrial laser scanning and Carlo Fontana's historical drawings  
2 (Fontana 1694).

3 St. Peter's dome features double symmetry that enables the use of a  
4 representative reduced model. The most rational choice is the study of 1/16 slice  
5 defined by the existing 16 ribs, as demonstrated in Figure 3a. Following the available  
6 literature data, the ribs were considered to have an angular span of 5 degrees (Figure  
7 3b). Furthermore, both the intrados and extrados profiles follow the perimetral  
8 configuration of the auxiliary circles that are depicted in Figure 3b. The centres of such  
9 arches lie outside the vertical symmetry axis, which is of particular interest for the  
10 parametrization of the geometry.

#### 11 **4. Numerical Finite Element (FE) Model**

12 This section includes the development of a finite element (FE) model of St. Peter's  
13 dome. The required tasks are addressed next and include the parametrization of the  
14 geometry, FE mesh discretization, the description of the mechanical behaviour for  
15 structural materials, the definition of the boundary conditions, and the application of the  
16 loading conditions.

##### 17 **4.1. FE mesh**

18 St. Peter's dome symmetry enables the use of a reduced model that allows saving both  
19 computational time and resources. The 1/16 dome slice used to represent the dome's  
20 global mechanical behaviour is given in Figure 4a. Geometrical modelling and  
21 parametrization were developed using the software Rhino 3D ("Rhino - Rhinoceros 3D"  
22 2020) since it allows to efficiently represent spatial geometries through a non-uniform  
23 rational B-splines (NURBS) approach. Attention was given to remove potential  
24 imperfections within the NURBS model, such as self-intersections and voids, as it may

1 affect the consistency and quality of the FE mesh. A tolerance-based criterion was used  
2 to correct such imperfections.

3 The FE model was prepared in the advanced commercial software ABAQUS  
4 CAE (Abaqus 2014). Although any advanced structural analysis software could be used,  
5 as it serves only for validation purposes for the limit analysis tool, ABAQUS CAE was  
6 adopted since it has been largely used for the study of quasi-brittle materials, see  
7 (Lubliner, et al. 1989).

8 At this stage, and following the framework described in section 2, the  
9 interoperability between the software's used for the geometric modelling (Rhino 3D)  
10 and structural analysis (ABAQUS CAE (Abaqus 2014)) is explored (via IGES file  
11 format).

12 Concerning the boundary conditions, symmetry has been respected by fixing the  
13 base of the slice, given by the interface with the drum/buttresses system, and by  
14 restricting the horizontal displacements to zero at the crown of the dome (Figure 4b).  
15 Furthermore, an important modelling remark is that the existing damage – given by  
16 meridian cracks – leads to the loss of the membrane effect at an ultimate limit state.  
17 These slices act as flying buttresses and hoop stresses can be disregarded; hence it is  
18 possible to study only 1/16 slice of the dome. Another modelling consequence is that  
19 restricting the lateral displacements through the dome profile becomes unnecessary.

20 The loading conditions are given also in Figure 4b and include two load cases:  
21 (i) the self-weight of the dome; and (ii) the weight of the lantern. Although both load  
22 cases are of permanent nature, its distinction is required considering the type of analysis  
23 performed next. A quasi-static analysis is planned, meaning that the dome's weight is  
24 firstly applied, and after the lantern's weight is incrementally applied, i.e. by following  
25 the so-called push-down analysis.

1           The three-dimensional FE model enforces the use of three-dimensional (solid)  
2 FE's and, therefore, the mesh discretization was achieved using tetrahedron (Delaunay)  
3 FE's due to its adaptability to more complex geometries. The so-called TETC3D4 finite  
4 elements in ABAQUS CAE (Abaqus 2014), based on a tetrahedral geometry with linear  
5 interpolation, were used. The final FE numerical model has a total of 149,058  
6 tetrahedral elements and, considering interelement continuity, the number of nodes is  
7 equal to 30,526 (Figure 4c).

#### 8           **4.2. Mechanical behaviour for the masonry**

9 St. Peter's dome was constructed within a lime-based mortared masonry, which was  
10 largely used in the Basilica, designated as *Fabbrica di San Pietro* (according to historic  
11 documents (Baldrati 2009)). The so-called macro-modelling approach was followed,  
12 meaning that the masonry arrangement is smeared out in a homogeneous material. This  
13 is especially convenient for the analysis of large-scale structures (Paulo B. Lourenço,  
14 and Silva 2020). ABAQUS (Abaqus 2014) offers the possibility to reproduce the  
15 macroscopic masonry mechanical behaviour through several models, e.g. the smeared  
16 crack concrete, the brittle crack concrete, and the concrete damage plasticity (CDP)  
17 models. Herein, the CDP model was used since it is suitable for quasi-brittle materials  
18 in general (Lubliner, et al. 1989). It couples plasticity with a scalar-based damage model  
19 and, as it was originally developed for concrete, an elastic isotropic behaviour is  
20 assumed. This is a limitation when adapting CDP to masonry, as orthotropy may have  
21 an important role, especially in presence of a periodic masonry arrangement (Sharma, et  
22 al. 2021; Willis, Griffith, and Lawrence 2004). The fact that masonry orthotropy is lost  
23 does not constitute a contentious issue for the present case study. It is important to note  
24 that in large-scale structures as St. Peter's dome, well-marked and fixed orthotropy  
25 directions are hard to find as a plethora of masonry arrangements may exist. The



1 importance of orthotropy is somehow lessened, and isotropic behaviour is an acceptable  
2 simplification. CDP can also account for different strength values, post-peak  
3 behaviours, and damage descriptions to be assigned for the tensile and compressive  
4 regimes and include a cohesive frictional behaviour for the shear regime. CDP has been  
5 extensively used for the study of large masonry structures (Cundari, Milani, and Failla  
6 2017; Fortunato, Funari, and Lonetti 2017), and the results indicate that it offers a good  
7 compromise between computational time and accuracy.

8 Material response is described in terms of effective stress and strain curves, see  
9 Figure 5a. The quasi-brittle nature of masonry was represented by a linear type of  
10 softening in tension. In compression, a plateau exists after the compressive strength,  
11 being followed by a linear type of softening. Damage variables are adopted when  
12 softening is active and aim at reducing the initial (undamaged) elastic modulus through  
13 the following expressions:

$$\begin{aligned} \sigma_c &= (1 - d_c)E_0(\varepsilon_c - \varepsilon_c^{pl}) \\ \sigma_t &= (1 - d_t)E_0(\varepsilon_t - \varepsilon_t^{pl}) \end{aligned} \quad (1)$$

15 In which  $\sigma_i$  is the effective stress value;  $d$  is the damage parameter relating the  
16 effective stress with the corresponding inelastic strain;  $\varepsilon_i$  is the total strain value; and  
17  $\varepsilon_i^{pl}$  is the inelastic (plastic) strain value. The subscript  $i$  reads as  $c$  or  $t$ , if associated  
18 with the compressive or tensile regime, respectively.

19 A scalar-based damage model describes the damage in tension  $d_t$  (cracking) and  
20 compression  $d_c$  (crushing). Parameters  $d_t$  and  $d_c$  are eligible to be a value between zero  
21 (no damage) and one (fully damaged) and are useful for the better understanding of  
22 damage progression in the masonry. The main purpose is provided, however, when  
23 cyclic loading is applied, as it allows describing the loss of stiffness in the unloading  
24 phase due to cracking and crushing. CDP assumes a non-associated flow rule given as a  
25 Drucker-Prager hyperbolic function and requires the definition of several physically

1 based parameters. The Drucker-Prager strength domain criterion was modified through  
2 a parameter  $K_c = 2/3$  to approximate it with a Mohr-Coulomb criterion. The  
3 eccentricity parameter, which expresses the rate at which the plastic flow potential  
4 approaches the Drucker-Prager function for high confining pressure levels, was set to  
5 the default value of 0.1 (Lubliner, et al. 1989). A dilation angle of 10 degrees and a ratio  
6 between the bidirectional and unidirectional compressive strengths of masonry of 1.16  
7 (default value (Lubliner, et al. 1989)) were assumed. A viscosity parameter of 0.002  
8 was adopted and the analysis indicated it is an appropriate choice. These parameters are  
9 described in Table 1 and the reader is referred to (Cundari, Milani, and Failla 2017;  
10 Fortunato, Funari, and Lonetti 2017) for further details on the CDP model.

11         The absence of specific in situ test data enforce the use of local normative  
12 recommendations for the masonry mechanical properties. Hence recommendations  
13 reported in the Italian Building Code (Mordà, and Mancini 2018) and corresponding  
14 annex (e dei Trasporti 2019) were considered, in which a level of confidence inherent to  
15 the knowledge level is set to be as LC1. A LC1 level requires the use of a confidence  
16 factor equal to 1.35. A factor of 1.0 is however prescribed since the present study  
17 intends to provide a structural evaluation through different strategies, rather than  
18 providing a conservative assessment over the structural integrity of the Vatican's dome.  
19 The adopted mechanical properties are summarised in Table 2 : Geometrical and  
20 mechanical properties of the iron rings..

21         Post-peak responses are presented in Figure 5b for tension and compression  
22 regimes and serve as input for the CDP model. These curves were calibrated  
23 considering the damage for the non-strengthened configuration reported in the existing  
24 literature (Como 2019) in the aftermath of earthquake events of 1703 and 1730. In this  
25 regard, the tensile and compressive post-peak responses were tuned, aiming to reach the

1 following damage at collapse: extensive cracking at the dome's rib, diagonal cracking at  
2 the buttresses, and followed by the onset of a rotational hinge at the dome's extrados.

### 3 ***4.3. Numerical FE models: non-strengthened and strengthened***

4 Historical structures may suffer recurrent structural modifications during their life span,  
5 which can be caused by adaptive reuse (Sharifi 2020), degradation of structural  
6 elements, or damage caused by extreme events such as earthquakes, floods, among  
7 other causes (Prieto, et al. 2019). A sequential type of analysis may be then required  
8 when assessing its structural behaviour. In this scope, different stages were considered  
9 for the structural analysis of the Vatican dome, and Figure 6 comprehends the three  
10 studied configurations, whose major differences include the position and number of the  
11 iron rings. In specific:

- 12 • Configuration C1 (Figure 6a): absence of iron rings, as it represents the state of  
13 the dome after the earthquake of 1703;
- 14 • Configuration C2 (Figure 6b): presence of two iron rings, as it is coincident with  
15 the original design by Della Porta in the 16<sup>th</sup> century;
- 16 • Configuration C3 (Figure 6c): presence of six iron rings, as it reproduces the  
17 dome's structural behaviour after the retrofitting interventions designed by  
18 Poleni and Vanvitelli in the 18<sup>th</sup> century. Note that the iron ring in the position  
19 defined by (a) in Figure 6c was disregarded as it coincides with the position of  
20 the supports, having thus no structural role.

21 The iron rings presented in the C2 and C3 configurations increased the radial stiffness  
22 of the structural system and decreased the dome's thrust. Although the structural  
23 importance of such type of retrofit is well established, most of the literature works still  
24 adopt specious strategies for the representation of its structural role within numerical

1 models. Some researchers neglect the confinement effect provided by the rings aiming  
2 to improve the practicability of the analysis (Bacigalupo, Brencich, and Gambarotta  
3 2013; Cavalagli, and Gusella 2014). When considered, iron rings are typically modelled  
4 via an ‘equivalent’ approach, in which the elastic modulus of the masonry is locally  
5 increased (Bacigalupo, Brencich, and Gambarotta 2013). Although convenient from a  
6 practical standpoint, it may blur the representativeness of the solution since the local  
7 improvement for the masonry elastic modulus lacks objectivity.

8         Here, the iron rings were explicitly modelled aiming to include both its axial  
9 stiffness and the corresponding confinement effect. The former is represented by  
10 assuming appropriate cross-section and material property values and modelled via FE  
11 trusses embedded in the masonry by a segment of the circle considering an angle span  
12 equal to slice’s arch angle ( $\pi/8$ ). The latter, which is mathematically more demanding, is  
13 to consider that the confinement effect is provided by FE springs connected from the  
14 idealized centre of the dome (Figure 7).

15         It is worth noting that the confinement effect could be simulated through trusses  
16 elements rather than linear springs, i.e. introducing an elastoplastic material behaviour.  
17 However, it is outside the scope of this paper, and such an assumption will be  
18 considered in a future study aimed to also investigate the seismic behaviour of the St.  
19 Peter’s dome.

20         The confinement effect is thus mimicked through spring FEs linked with the  
21 iron rings that are modelled via truss FEs embedded within the masonry. A perfect  
22 connection between the rings-masonry is assumed, being the interaction defined to  
23 follow a tie type of constraint (Figure 7a). The corresponding stiffness for the springs’  
24 FEs is conditioned by the translation equilibrium of a ring’s arch, as given in Figure 7c.  
25 In this regard, the static equilibrium can be written as:

1 
$$\int_{-\frac{d\theta}{2}}^{\frac{d\theta}{2}} p \cdot r \cdot h \cdot \cos(\theta) d\theta = 2 \cdot N \cdot \sin\left(\frac{d\theta}{2}\right) \quad (2)$$

2 In which  $p$  is the radial pressure that tends to enlarge the ring;  $r$ ,  $h$ , and  $t$  are the  
3 ring's radius and the cross-section dimensions, respectively; and  $N$  is the internal axial  
4 force of the rings. Through mathematical simplification, it is possible to express the  
5 internal axial force as:

6 
$$N = p \cdot r \cdot h \quad (3)$$

7 By considering that the ring's thickness is significantly smaller than its radius  
8 ( $t \ll r$ ), then a uniform stress state along the rings thickness can be assumed (Belluzzi  
9 1946). So, the stress of the rings reads as:

10 
$$\sigma_{ring} = \frac{N}{t \cdot h} = E_I \cdot \varepsilon_c \quad (4)$$

11 In which,  $E_I$  is the iron elastic modulus and  $\varepsilon_c$  the circumferential strain, which  
12 equals to the radial one:

13 
$$\varepsilon_c = \varepsilon_r = \frac{\Delta r}{r} \quad (5)$$

14 Therefore, by replacing in Equation 4 the expression given for the internal axial  
15 force of the rings and the value of the circumferential strain, it may be deduced that:

16 
$$p = k_d \cdot \Delta r \quad (6)$$

17 where  $k_d$  is defined as the distributed radial stiffness per unit of area and  
18 computed as:

19 
$$k_d = \frac{E_I \cdot t}{r^2} \quad (7)$$

1           As the angle span  $d\theta$  is assumed to be  $\frac{\pi}{16}$  (for the present study), then the  
2 resultant tangential stiffness value  $K_T$  (in the x-direction, see Figure 7c) can be  
3 computed as:

$$4 \qquad K_T = k_d \cdot \frac{\pi}{16} \cdot r \cdot h \qquad (8)$$

5           From a numerical point of view and to ensure a uniform stress distribution of the  
6 iron rings, the stiffness value computed in Equation 8 is simulated through four radial  
7 springs. Table 2 summarises the geometrical and mechanical properties of the iron  
8 rings considered in both C1 and C2. It should be noted how the cross-section area and  
9 the rings' location have been deduced by historic reports and from the recent state-of-  
10 the-art surveys.

## 11 **5. Kinematic-Based Limit Analysis Tool for Domes**

12 Masonry domes tend to develop meridional cracks due to their low hoop tensile strength  
13 (Heyman 1966). Such cracking leads to the formation of discretized slices and a weak  
14 membrane effect. Heyman (Heyman 1966) stated that the expected failure mechanism  
15 of a hemispherical dome slice, under its self-weight, is composed of four rotational  
16 hinges. However, the expected failure mechanism for the St. Peter's ribbed dome may  
17 be alike to a semi-circular arch collapse pattern rather than a hemispherical type of  
18 dome (Como 2013). Following this observation of Como (Como 2013), the  
19 computation of the minimum thrust through limit analysis is relevant.

20           A formulation based on the static theorem of limit analysis allows tracing the  
21 statically admissible funicular thrust network of the load. In the incipient collapse state,  
22 the thrust line passes by the extrados of the key section and a rotational hinge is  
23 expected to appear. The point at which the thrust line intersects the dome's intrados  
24 defined the second hinge location. From a computational implementation standpoint, a

1 kinematic theorem of limit analysis was, however, assumed in this study. In particular,  
 2 the theoretical background of the developed digital analysis tool is based on Como  
 3 (Como 2013). According to Como (Como 2019), the failure mechanism that allows  
 4 describing the kinematic problem is presented in Figure 8a. The principle of virtual  
 5 work is, therefore, adopted and the mechanism can be kinematically described through a  
 6 unique parameter, the virtual displacement  $\delta\vartheta$ . Multiple failure mechanisms – defined  
 7 by the rotational hinge position along the dome’s intrados – need to be considered to  
 8 evaluate the minimum of the kinematically compatible load multipliers, for which an  
 9 optimization routine is used. The equation of the virtual work for the considered failure  
 10 mechanism reads as:

$$11 \quad W_C \cdot \frac{\delta\vartheta}{h_t} \cdot (d_t - d_C) + W_L \cdot \frac{\delta\vartheta}{h_t} \cdot (d_t - d_L) - \sum_{i=1}^n R_i \cdot \frac{\delta\vartheta}{h_t} \cdot h_i - \sum_{j=1}^m R_j \cdot \delta\vartheta - HT^D \cdot \delta\vartheta = 0 \quad (10)$$

12 in which the position of the hinge (red circle in Figure 8a) is defined through the  
 13 variable  $t$ ;  $W_C$  includes the inertial forces arising from the self-weight of the dome  
 14 above the position of the hinge  $t$ ;  $W_L$  is the inertial force arising from the weight of the  
 15 lantern;  $R_i$  are the forces arising from the iron rings located above the position of the  
 16 hinge  $t$  and  $R_j$  are the forces arising from the iron rings that are below the actual hinge  
 17 position  $t$ ; and  $\delta\vartheta$  is the horizontal virtual displacement that describes the collapse  
 18 mechanism of the dome (Como 2013). From Equation 10, one can find the horizontal  
 19 thrust of the dome only ( $HT^D$ ) value:

$$20 \quad HT^D = W_C \cdot \frac{(d_t - d_C)}{h_t} + W_L \cdot \frac{(d_t - d_L)}{h_t} - \sum_{i=1}^n R_i \cdot \frac{h_i}{h_t} - \sum_{j=1}^m R_j \quad (11)$$

21 It is noteworthy to stress again that the  $HT^D$  value depends on the geometry of  
 22 the failure mechanism, which is defined by the position of the hinge parametrized in  
 23 terms of the length value  $m$  (Figure 8). For each position  $m$  it is possible to compute a

1 value for  $HT^D$  through Equation 11; in which the hinge position is constrained to occur  
 2 between  $m_a \leq m \leq m_L$  as presented in Figure 8. The latter computations lead to a set  
 3 of dome's horizontal thrust values, in which the desired one is found by solving the  
 4 following constraint maximization problem:

$$5 \quad \{\max HT^D: [m_a \leq m \leq m_L] \quad (12)$$

6 After the computation of the dome's horizontal thrust, it is required to  
 7 demonstrate if the initial assumption given by the failure mechanism reported in Figure  
 8 8b is valid. For this purpose, one must assure that the stability of the retaining system  
 9 (attic-drum-buttress) caused by an overturning type of mechanism is guaranteed. In this  
 10 regard, Figure 8b represents the kinematic mechanism that produces the collapse  
 11 mechanism caused by the slender retaining system of the Vatican's dome. The  
 12 expressions for the stabilizing and overturning virtual works read, respectively, as:

$$13 \quad \delta W_S = V \cdot \frac{\delta \vartheta}{h_a} \cdot d_V + W_B \cdot \frac{\delta \vartheta}{h_a} \cdot d_B + W_D \cdot \frac{\delta \vartheta}{h_a} \cdot d_D + \sum_{k=1}^o R_k \cdot \frac{\delta \vartheta}{h_a} \cdot h_k \quad (12)$$

$$14 \quad \delta W_O = HT^D \cdot \delta \varphi \cdot \frac{\delta \vartheta}{h_a} \quad (13)$$

15 In which,  $R_k$  are the forces arising from the iron rings acting along with the  
 16 retaining system. The ratio  $\delta W_S/\delta W_O$  defines the safety factor of the dome with respect  
 17 to the condition of incipient collapse ( $\frac{\delta W_S}{\delta W_O} = 1$ ) (viz. unstable equilibrium position).

18 Note that the iron rings forces that can exist due to strengthening are accounted in the  
 19 formulation and eligible to be located above the hinge, in between the hinge and dome's  
 20 centre position, and below the centre of the dome, as depicted in (Figure 8b). In the case  
 21 that an attic-drum-buttress system exists, the horizontal thrust that is transmitted by the  
 22 whole system needs to be re-evaluated. This is simply given as per Equation 14:



$$HT = HT^D - \sum_{k=1}^o R_k \quad (14)$$

In which  $HT$  is the horizontal thrust of the whole system, which is transmitted to the sub-structure underneath (as the altar pendentives and piers, which are not represented herein);  $HT^D$  is the horizontal thrust of the dome and, again,  $R_k$  are the forces arising for the potential iron rings that exist in the so-called retaining system.

The limit analysis strategy was implemented within a Rhino 3D + Grasshopper (GH) plugin, as it enables both the geometric modelling and structural analysis to be fully placed and conducted together. The plugin was developed within a GhPython (“The Python Language Reference — Python 3.9.5 Documentation” 2021) script coupled with a heuristic solver based on the Nelder-Mead method (Lagarias, et al. 1998) to solve the optimization problem. It can automatically account with: (i) any dome’s slice configuration and geometry; (ii) existence of iron rings whose number and position are user-defined, of particular interest when analysing different strengthening solutions; and (iii) existence of the so-called retaining system (Figure 8b) composed by attic-drum-buttress. Therefore, the study of the non-strengthened dome (configuration C1), the strengthened dome with two iron rings (configuration C2), and the strengthened dome with six iron rings (configuration C3) can be analysed using the same tool and the associated safety factors estimated in a matter of seconds.

## 6. Results and Discussion: FE Model vs Kinematic Limit Analysis

Validation of the limit analysis procedure is presented next. Horizontal thrust ( $HT$ ) values for C1, C2, and C3 configurations are reported and compared with those got from a non-linear FE numerical model. A reduced model is given by a 1/16 slice of the dome – as addressed in section 4– due to the revolving symmetry feature of St. Peter’s dome. Before delving into the discussion of the results, it is noteworthy stressing that an

1 incremental non-linear FE analysis was performed following a push-down type of  
2 analysis. In specific, the dome's self-weight was firstly applied, being followed by the  
3 application of the lantern weight conditioned by the load multiplier  $\lambda_{WL}$ .

#### 4 ***6.1. Configuration C1: horizontal thrust of the dome***

5 C1 configuration represents the condition of the dome after the earthquake events of  
6 1703 and 1730, in which the existing iron rings u and n (Poleni 1982) have failed. The  
7 stability of the dome without tension rings is assessed, and the obtained results are given  
8 in Figure 9. FE results provide the system horizontal thrust (HT) evolution as a function  
9 of the vertical displacement at the dome's crown (set as control point). Damage patterns  
10 are characterized by the damage parameter in tension  $d_t$  have been also plotted for  
11 different instants associated with different lantern load multipliers  $\lambda_{WL}$ . Results show no  
12 evidence of tension damage when the dome is subjected to its self-weight ( $\lambda_{WL} = 0$ ).  
13 The continuous increment of the lantern weight until  $\lambda_{WL} = 1.0$  leads to the increase of  
14 the horizontal thrust up to a value of 2289 kN. It is rather clear that for this load level, a  
15 rotational hinge is already active and at the dome's intrados. A maximum force capacity  
16 of 1.02 is obtained with the non-linear FE model in which the existing previous damage  
17 is further propagated.

18 The strategies show an excellent agreement since the maximum horizontal thrust  
19 got via FE analysis is alike with the value of 2270 kN provided by the kinematic limit  
20 analysis tool. The dome's stability is guaranteed in the absence of iron rings, yet with a  
21 very low safety factor (1.06). The good agreement between limit and FE non-linear  
22 analysis is also extended to the expected failure mechanisms, as Figure 10 shows that  
23 the location of the rotational hinge is coincident for both strategies. It is also important  
24 to remark that the observed damage with the FE model corroborates the observations

1 reported by Vanvitelli (Como 2013; Le Seur, Jacquier, and Boscovich 1742). In  
2 specific, the well-marked and inclined cracking developed in the wall that connects the  
3 drum and the buttresses.

#### 4 ***6.2. Configuration C2: horizontal thrust of the dome***

5 C2 configuration represents the condition of the dome for which the original iron rings  
6 designed by Della Porta were still present. Therefore, the main difference with the  
7 previous C1 configuration is the effect of tension iron rings, which provide an  
8 increment of stiffness to the system. The computation of the radial stiffness term for  
9 both the iron rings, designated as  $n$  and  $u$ , was described in Section 4.3 and the values  
10 found inserted within the Finite Element (FE) model. For the limit analysis tool, the  
11 confinement forces are computed by multiplying the radial stiffness of the iron rings  
12 ( $K_T$ ) computed in section 4.3, by the radial displacement  $\Delta r$  considering the  
13 circumferential strain at the yield point. Thus, the confinement forces for the iron rings  
14  $u$  and  $n$  are equal to 122 kN and are associated with axial stress and elastic modulus  
15 equal to 150 MPa and 200 GPa. This stress value corresponds to the assumed iron  
16 uniaxial strength, which seems an appropriate initial assumption when assessing ancient  
17 iron (Bacigalupo, Brencich, and Gambarotta 2013). Note, however, that the user could  
18 adopt any other value of strength, for instance, to represent a current standardized steel  
19 class (or other material).

20 Figure 11 shows the system's horizontal thrust (HT) evolution with the vertical  
21 displacement at the dome's crown. Cracking patterns (tension,  $d_t$ ) have been also  
22 plotted for four points, each one associated with a lantern load multiplier  $\lambda_{WL}$ . The FE  
23 results are plotted until the load multiplier  $\lambda_{WL} = 1.02$  is reached. The simulation was  
24 stopped for such load level, as it represents the yielding of the iron ring positioned in  $u$ .

1 For a  $\lambda_{WL} = 1.02$ , the cracking is almost absent as reported in Figure 11. It is important  
2 to address that the FE analysis could be further developed for increasing values of the  
3 load multiplier  $\lambda_{WL}$ , as both the non-linear behaviour of the iron rings and masonry  
4 could be further explored. However, such an investigation is out of the scope of the  
5 present work to ensure the representativity of the comparison between the FE model and  
6 the limit analysis tool. The limit analysis tool simulates the confinement effects of the  
7 iron rings through a-priori defined and fixed force values according to the formulation  
8 reported in section 4.3, hence neglecting the stiffness degradation of the iron rings. In  
9 this context, the horizontal thrust of the FE model (2154 kN) is in good agreement with  
10 the one delivered by the kinematic limit analysis (2033 kN) tool, with a difference  
11 ranging 6%. The dome faces a lower horizontal thrust when compared with the non-  
12 strengthened configuration, which proves the importance of the iron rings as reported in  
13 Della Porta's design (Como 2013).

14         Some cracking in the rib near the crown occurs for a  $\lambda_{WL} = 1.0$ . Still,  
15 significantly lower cracking levels are experienced by the dome if compared with that  
16 given for the non-strengthened configuration (see Figure 11 and Figure 12). The safety  
17 factor found with limit analysis is automatically provided by the tool and is around 1.33,  
18 which clearly demonstrates how the two iron rings allow a 33% extra capacity for the  
19 dome when compared to the non-strengthened case.

20         Such a result validated the results reported in Figure 12, in which the position of  
21 the red hinge detected by the limit analysis tool does not reveal an incipient collapse  
22 mechanism according to the FE crack patterns.

23         Furthermore, the dome's thrust ratio was decreased by 11% (from 0.199 to  
24 0.178) allowing the increase of the static efficiency of the system. Note that such

1 efficiency is evaluated as the ratio between the dome's weight and the resisting  
2 horizontal thrust.

3 At last, it is highlighted that the predicted failure mechanisms by both the  
4 kinematic limit analysis and FE analysis are similar, as the formation of a rotational  
5 hinge occurs at the same location, specifically on the position where the iron ring that  
6 first yields in the FE model (highlighted in a thicker line in Figure 11). A closer  
7 observation on the evolution of the stress levels in both iron rings allows concluding  
8 that a balanced behaviour is found, despite the different positioning in height. For a  
9  $\lambda_{WL} = 1$ , Figure 13 shows that the ring  $u$  has yielded, and the ring  $n$  has just near 5% of  
10 extra capacity, which clearly advocates the key role that these elements have in  
11 lessening the dome's horizontal thrust. Even for  $\lambda_{WL} = 0$ , the elastic user percentage  
12 (EUP) is around 60%. The EUP is computed as the ratio between the axial stress of the  
13 iron ring and its axial strength and, therefore, represents the percentage of the iron ring  
14 capacity that is being explored.

### 15 ***6.3. Configuration C3: horizontal thrust of the dome***

16 C3 is the last configuration being analysed and represents the current state of the  
17 Vatican dome. Six iron rings were introduced in the 18<sup>th</sup> century (cross-section of  $56 \times$   
18  $93 \text{ mm}^2$ , see Table 2 ) according to recommendations given by Poleni and Vanvitelli.  
19 The contribution of the iron rings in the FE model was modelled by introducing six  
20 beam elements embedded in the masonry linked with four springs FEs, as addressed in  
21 section 4.

22 Figure 14 describes the horizontal thrust for C3 structural system as a function  
23 of the dome's vertical displacement. The corresponding FE curves for configurations  
24 C1 and C2 are also plotted (with grey colour) for comparison purposes. The favourable

1 confinement effect of the retrofitting system is rather evident. A decreased of the  
2 system's horizontal thrust (HT) given as 21% and 18% can be reported with respect to  
3 the results from C1 and C2 configurations, respectively. Figure 15 shows that the FE  
4 model does not show relevant cracking in the masonry, which proves the effectiveness  
5 of the current strengthening system. The iron ring located in the position Z is the one  
6 that is subjected to a higher stress level, as depicted in Figure 16, but still has 15% of  
7 extra capacity for a lantern weight multiplier of  $\lambda_{WL} = 1$ . In converse to the C2  
8 configuration, it seems there is a clear unbalance on the effectiveness of the iron rings,  
9 caused by a non-optimal position or by a non-optimal cross-section design. It matters  
10 addressing that the latter conclusions are valid for the considered loading cases.

11 To what concerns the results from the limit analysis, a direct comparison with  
12 the FE curve may mislead the reader to conclude on the validity of the limit analysis  
13 tool, as the difference in terms of the system's horizontal thrust is significant (around  
14 34% for  $\lambda_{WL} = 1$ ). A detailed analysis of Figure 16 shows that the comparison lacks  
15 representatively. This is so because the confinement forces arising from the iron rings  
16 are, within the limit analysis, equal to 305 kN. This value is associated with the iron  
17 strength (assumed to be 150 MPa), meaning that an EUP=100% was considered for the  
18 limit analysis. The capacity of the rings is being totally explored hence able to decrease  
19 the horizontal thrust of the system, which explains why the latter value is below the one  
20 provided by the FE model ( $\lambda_{WL} = 1$ ). In such a context, and for the sake of the limit  
21 analysis tool validation, the EUP values found in Figure 16 for the FE analysis ( $\lambda_{WL} =$   
22 1) have been directly defined as input for the limit analysis tool. The updated value for  
23 the system's horizontal thrust is obtained within 1 second (real-time) and is now equal  
24 to  $HT = 1625$  kN, which represents a 11% difference with the value from the FE  
25 analysis ( $HT = 1820$  kN).

#### 1        **6.4. An Overview of the Static Efficiency of St. Peter's dome**

2        In 1540, Michelangelo Buonarroti, amazed by the Santa Maria del Fiore's dome  
3        proportions, confided: "I'm going to Rome to make your sister, bigger than you, but not  
4        more beautiful". St. Peter's dome was indeed built by the drive, knowledge, and passion  
5        of Buonarroti and Della Porta. After more than five centuries, there is still an open  
6        debate about the most beautiful ancient dome from the Roman Empire. A more  
7        objective discussion concerns the static efficiency of such ancient domes. As reported in  
8        Como (Como 2013), the domes' efficiency is indirectly proportional to the thrust ratio  
9         $\chi$ , i.e. the ratio between the horizontal thrust and the total weight of the dome.

10        Como (Como 2013) computed the thrust ratio for both Santa Maria del Fiore  
11        (Florence) and Pantheon (Rome) domes, which are equal to 0.11 and 0.08, respectively  
12        (for a unitary lantern load multiplier). The static efficiency of San Peter's dome is  
13        characterized by a thrust ratio of 0.185. This value is clearly higher, hence indicating a  
14        lower efficiency. It is noteworthy to address that the static efficiency is inversely  
15        proportional to the thrust ratio value. Furthermore, one can highlight the fact that the  
16        latter value is conditioned by the significant lantern weight of St. Peter's dome. In  
17        converse, Pantheon does not present a lantern in his architectural layout and Santa  
18        Maria del Fiore's has a larger lantern, yet its total weight is just half of St. Peter's dome.

19        Figure 17 presents the relationship between the lantern weight multiplier  $\lambda_{WL}$   
20        and the thrust ratio for St. Peter's dome, Brunelleschi's dome, and the Pantheon. Results  
21        show that St. Peter's dome has the lowest static efficiency, even for the most favourable  
22        scenario characterized by a negligible lantern weight, i.e.  $\lambda_{WL} = 0$ . Figure 18 allows  
23        concluding that a linear function can, for the range of values analysed, describe the  
24        variation of the thrust ratio with  $\lambda_{WL}$ . This can be explained since the total weight of the  
25        lantern represents a small percentage of the total weight of the dome.

1 Another parametric study was herein conducted to give an insight into the  
2 dome's static efficiency for different values of the dome's height. To this aim, the  
3 parameter  $\beta$  was defined to update the geometry and it can be described as a scaling  
4 parameter that affects only the radius of the extrados shell of St. Peter's dome. Other  
5 geometrical dimensions, as the thickness of the shells, thickness of the dome, dimension  
6 of the ribs, etc., were kept fixed.

7 Figure 18a shows the computed relationship between  $\beta$  and the dome's thrust  
8 ratio  $\chi$ , which is non-linear and well approximated by a second-order polynomial. The  
9 results demonstrate that the dome's thrust ratio is decreased by increasing the height of  
10 the dome (inherent consequence due to the increase of the extrados radius). A direct  
11 comparison was also established between the static efficiency of the current geometrical  
12 configuration of the dome and the one obtained by a parameter  $\beta = 1.4$  (maximum  $\beta$   
13 value admitted). Figure 18b proves the preceding conclusion, in which the thrust ratio  
14 decreases from 0.199 to 0.130. A curious remark is that, even for a  $\beta=1.4$  value, the  
15 dome assumes a thrust coefficient equal to 0.13 that still resorts to be higher than the  
16 Brunelleschi dome and the Pantheon. At last, this also highlights that such analysis is  
17 easily performed using the developed limit analysis tool because the dome's geometry  
18 was initially parametrized.

## 19 **7. Final Remarks**

20 A kinematic limit analysis procedure based on the works of Como (Como 2019; 2013)  
21 was implemented in an easy to use tool. The tool provides an automatic procedure for  
22 the pre- to the post-processing stage. For the modelling stage, in which the geometry  
23 can be parametrized, the commercial software Rhino 3D is recommended (still other  
24 pre-processor can be used). For the processing stage, the visual programming tool



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1 Grasshopper was used, as it is quite convenient for integrated and real-time simulations  
2 (Block, Ciblac, and Ochsendorf 2006; Rippmann, Lachauer, and Block 2012). The  
3 developed Limit Analysis tool aims to support the structural assessment of load carrying  
4 capacity of domes. Its mathematical simplicity goes in hand with the ease of use. The  
5 resisting horizontal thrust of domes can be computed in a fast and automatic manner  
6 and requiring few input parameters.

7         The worldwide known St. Peter's dome was selected as a case study. It gathers  
8 invaluable cultural, historical, and architectural values, but limited studies exist aiming  
9 at the comprehension of the structural behaviour within a three-dimensional analysis. It  
10 is important to remark that a discussion on the improvement offered by the structural  
11 retrofitting of 1743 using six iron rings is valuable and was performed. A FE numerical  
12 model based on a macro-modelling approach was adopted for comparison purposes.  
13 The CDP model, available in the software ABAQUS (Abaqus 2014), was used and the  
14 required material inputs were retrieved from normative recommendations and calibrated  
15 to reproduce the reported damage state of the dome after the earthquake events of 1703  
16 and 1730 (Como 2019; 2013). The analyses were conducted on a 1/16 representative  
17 slice of the dome. Results include a comparison between the horizontal dome thrust and  
18 failure mechanism for both non-strengthened and strengthened configurations. A good  
19 agreement was reported, as the results from the limit analysis are slightly lower (related  
20 with the no-tension assumption of Heymann theory) when compared with those from  
21 the FE model, but still below 4% of difference only. In this regard, it is paramount to  
22 acknowledge that a rational approach was developed to compute and simulate the  
23 confinement effect of hoop rings in the FE model. Such effect is typically not included  
24 in the literature (Bacigalupo, Brencich, and Gambarotta 2013) and was herein suggeste

1 to be modelled through spring elements whose axial stiffness is dependent on  
2 geometrical parameters (ring members and dome) and material properties (ring  
3 members). On the other hand, it is also important to address that St. Peter's dome  
4 features enables the use of a representative reduced model, i.e. a representative slice of  
5 the dome. Note, however, that such modelling assumption is also valid for other domes  
6 if the latter symmetry is respected (most of the cases). In a near collapse limit state,  
7 meridional cracks tend to appear and cut the dome within slices. This leads to weak  
8 transfer of hoop stresses, only possible if strengthening elements are present. Such  
9 failure mode is recurrent on domes (Foraboschi 2014; Ventura, et al. 2014) and well-  
10 established in other studies (Bartoli, Betti, and Borri 2015; Bacigalupo, Brencich, and  
11 Gambarotta 2013) guaranteeing, therefore, the representativity and generalization of the  
12 limit analysis strategy.

13         The importance of the tool is especially noted when the knowledge of a proper  
14 reference value for the dome's horizontal thrust is useful; even in the case in which  
15 results from a more advanced strategy, as the CDP model addressed in this study, need  
16 further validation. The quasi-brittle nature of masonry offers a challenge when  
17 characterizing its non-linear mechanical behaviour, as mode-I fracture energies  
18 significantly affect the capacity often requiring full-scale testing (Fanning, and Boothby  
19 2001). Although a complex and detailed analysis may provide valuable information – as  
20 the load capacity, the expected cracking pattern, and the ductility level of the structure –  
21 , it may be addressed that the input uncertainty is high in most of the cases and the  
22 computational effort is significant. The proposed limit analysis tool requires only 1-2  
23 seconds per analysis (real-time results).

24         The proposed tool is made available online as supplementary material aiming to  
25 cope with the uncertainty associated when analysing masonry domes, but also to give a

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1 reasonable estimation on the static horizontal thrust of domes for a structural safety  
2 evaluation study. Future research may include further development of the strategy to  
3 make it eligible for the study of other strengthening techniques, such as the use of  
4 Textile Reinforced Matrix (TRM) or Fiber Reinforced Polymers (FRP) reinforcement  
5 and considering both shape and material optimization.

6

7 Competing interest: The authors declare no competing interest

8

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Table 1: Parameters adopted in the simulations for the CDP model.

<b><i>Material properties</i></b>	<b>Values</b>
Density	1850 Kg.m <sup>-3</sup>
Elastic Modulus	1950 N/mm <sup>2</sup>
Poisson ratio	0.2
Tensile strength	0.22425 N/mm <sup>2</sup>
Compressive strength	4.485 N/mm <sup>2</sup>
Dilation angle	10 degrees
Eccentricity	0.1
$f_b/f_c$	1.16
$K_c$	0.667
Viscosity Parameter	0.002

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Table 2 : Geometrical and mechanical properties of the iron rings.

Ring	radius [m]	$E_I$ [N/m <sup>2</sup> ]	t [m]	H [m]	Area [m <sup>2</sup> ]	$K_T$ [N·m <sup>-1</sup> ]
u	23.2	$200 \times 10^9$	0.037	0.056	0.002	7021094
n	24.3	$200 \times 10^9$	0.037	0.056	0.002	6714572
A	24.3	$200 \times 10^9$	0.056	0.093	0.005	14545843
B	24.3	$200 \times 10^9$	0.056	0.093	0.005	16738173.
C	24.3	$200 \times 10^9$	0.056	0.093	0.005	16738173
D	19.7	$200 \times 10^9$	0.056	0.093	0.005	20668079
E	5.7	$200 \times 10^9$	0.056	0.093	0.005	71629968
Z	23.7	$200 \times 10^9$	0.056	0.093	0.005	17226311

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This paper can be found at: <http://dx.doi.org/10.1080/15583058.2021.1992539>

- 1 Figure 1. St. Peter's (a) Basilica and (b) geometrical model of the dome, which was  
2 selected as a case study. Figure 1a by Alvesgaspar - Own work, CC BY-SA 4.0,  
3 <https://commons.wikimedia.org/w/index.php?curid=43509289>.
- 4 Figure 2. Timeline with the main structural modifications conducted in St. Peter's dome  
5 (the iron rings nomenclature added in 1743 follow the original drawings from Poleni).
- 6 Figure 3. Geometry of St. Peter's dome (a) representation of a 1/16 slice; and (b)  
7 parametrization.
- 8 Figure 4. St. Peter's dome: (a) full three-dimensional geometry, (b) three-dimensional  
9 geometry of the analysed 1/16 slice with a description of the applied boundary and  
10 loading conditions; and (c) FE numerical mesh.
- 11 Figure 5. (a) Stress-strain non-linear relationship reproduced by the CDP model. (b)  
12 Input for the tension and compression regimes for the CDP model: stress vs inelastic  
13 strain.
- 14 Figure 6. Schematic representation of the three analysed configurations of the dome.
- 15 Figure 7. FE-modelling of the iron rings for the configuration (a) C1 and (b) C2. (c)  
16 Internal equilibrium on the studied 1/16 slice to compute the confinement effect of the  
17 iron rings.
- 18 Figure 8. Kinematic description of the failure mechanism for the (a) dome and (b)  
19 retaining system (attic-drum-buttress).
- 20 Figure 9. Obtained results for the configuration C1 in terms of dome's horizontal thrust  
21 and damage evolution.
- 22 Figure 10. (a) Comparison of the failure mechanism for the configuration C1 obtained  
23 with the kinematic limit analysis tool and the FE non-linear analysis, (b) Vanvitelli,  
24 damage detected on the buttresses (1743-1748)
- 25 Figure 11. Obtained results for the configuration C2 in terms of dome's horizontal  
26 thrust and damage evolution

- 1 Figure 12. Comparison of the failure mechanism for the configuration C2 obtained with  
2 the kinematic limit analysis tool and the damage pattern found with the FE non-linear  
3 analysis for a  $\lambda_{WL} = 1$ .
- 4 Figure 13. Effectiveness of the iron rings for the configuration C2 given by the elastic  
5 usage percentage (EUP, given as the elastic stress/strength).
- 6 Figure 14. Obtained results for the configuration C3 in terms of dome's horizontal  
7 thrust and damage evolution.
- 8 Figure 15. Comparison of the failure mechanism for the configuration C3 obtained with  
9 the kinematic limit analysis tool and the damage pattern found with the FE non-linear  
10 analysis for a  $\lambda_{WL} = 1$ .
- 11 Figure 16. Effectiveness of the iron rings for the configuration C3 given by the elastic  
12 usage percentage (EUP, given as the elastic stress/strength).
- 13 Figure 17: Relationship between the lantern load multiplier and the static efficiency for  
14 St. Peter's dome, Brunelleschi's dome, and the Pantheon.
- 15 Figure 18: (a) Comparison between thrust ratio values for St. Peter's dome,  
16 Brunelleschi's dome, and the Pantheon; and (b) thrust ratio for St. Peter's dome for  $\beta =$   
17 1.0 (current geometry) and for  $\beta = 1.4$  (hypothetical geometry).  
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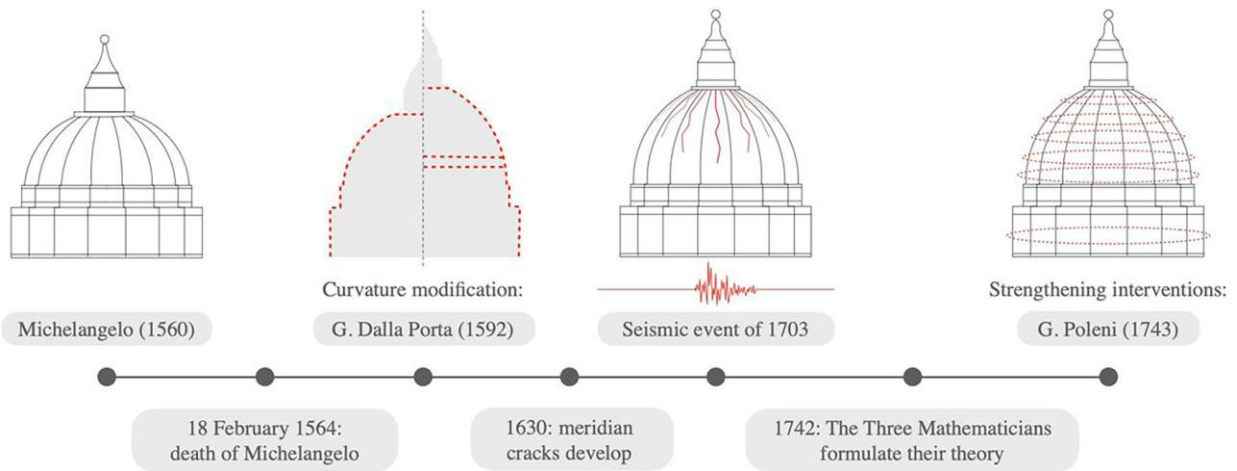
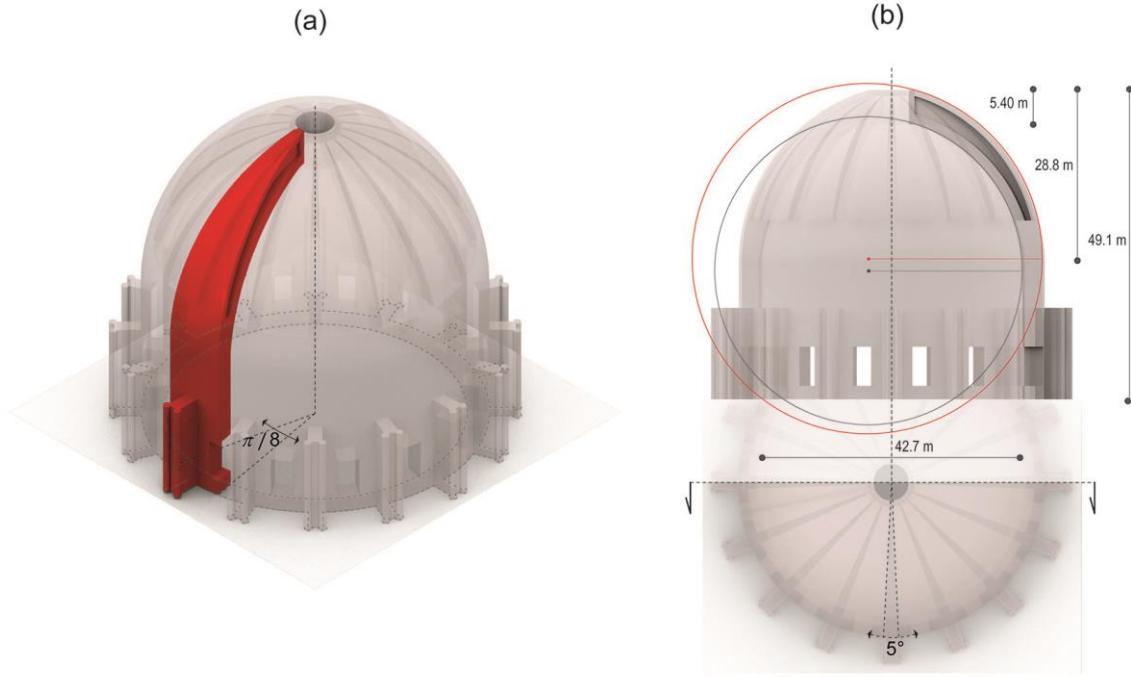


Figure 2: Timeline with the main structural modifications conducted in St. Peter's dome (the iron rings nomenclature added in 1743 follow the original drawings from Poleni).

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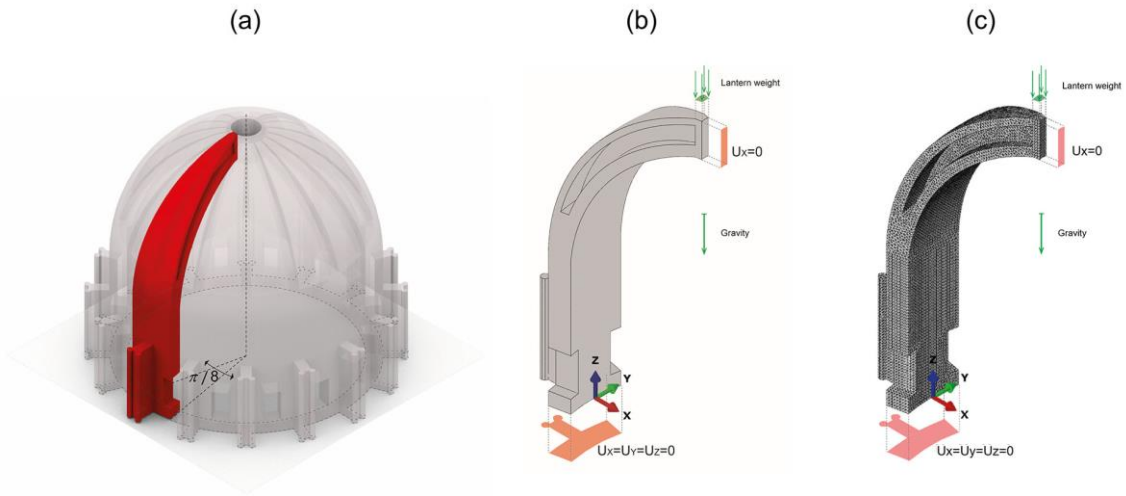


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Figure 3: Geometry of St. Peter's dome (a) representation of a 1/16 slice; and (b) parametrization.

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49 Figure 4: St. Peter's dome: (a) full three-dimensional geometry, (b) three-dimensional geometry of the analysed 1/16  
50 slice with a description of the applied boundary and loading conditions; and (c) FE numerical mesh.

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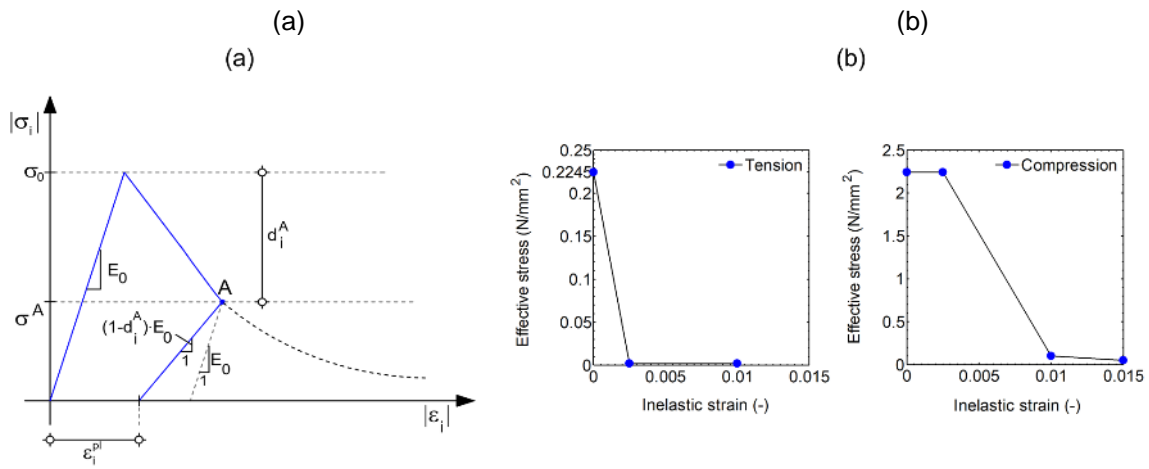
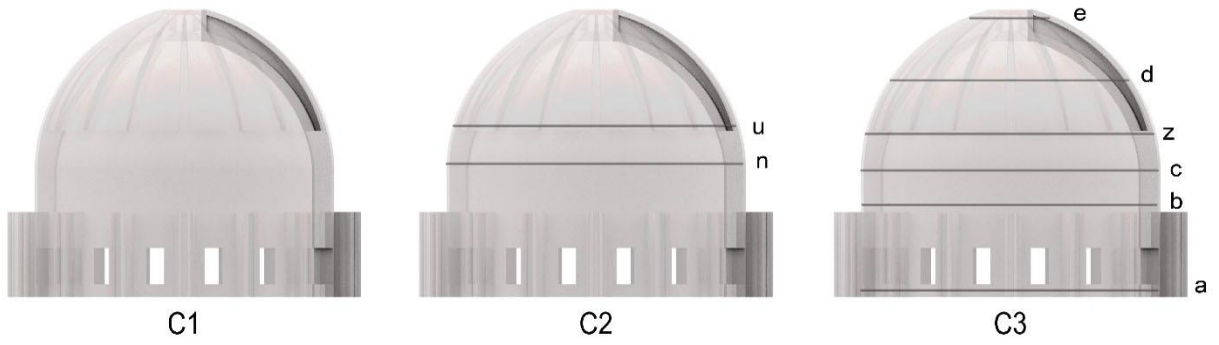


Figure 5: (a) Stress-strain non-linear relationship reproduced by the CDP model. (b) Input for the tension and compression regimes for the CDP model: stress vs inelastic strain.

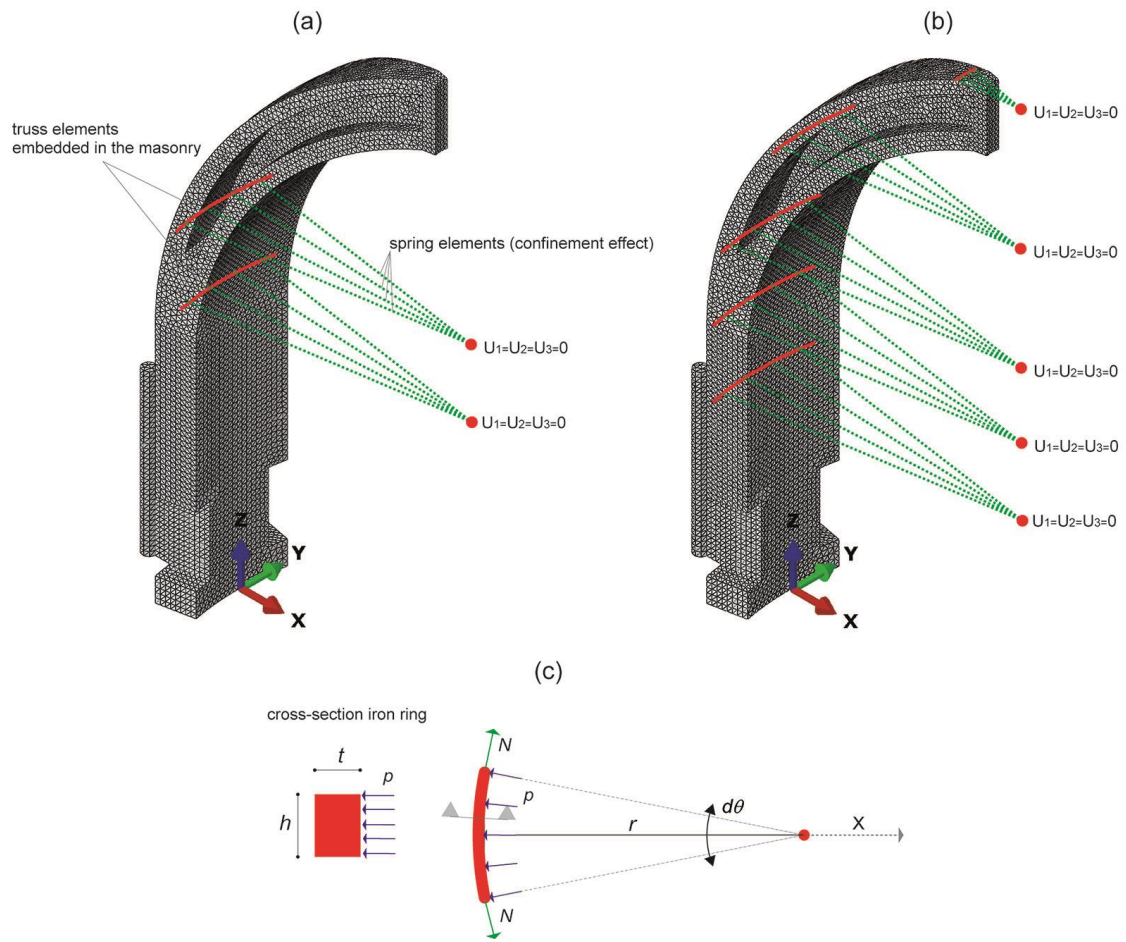
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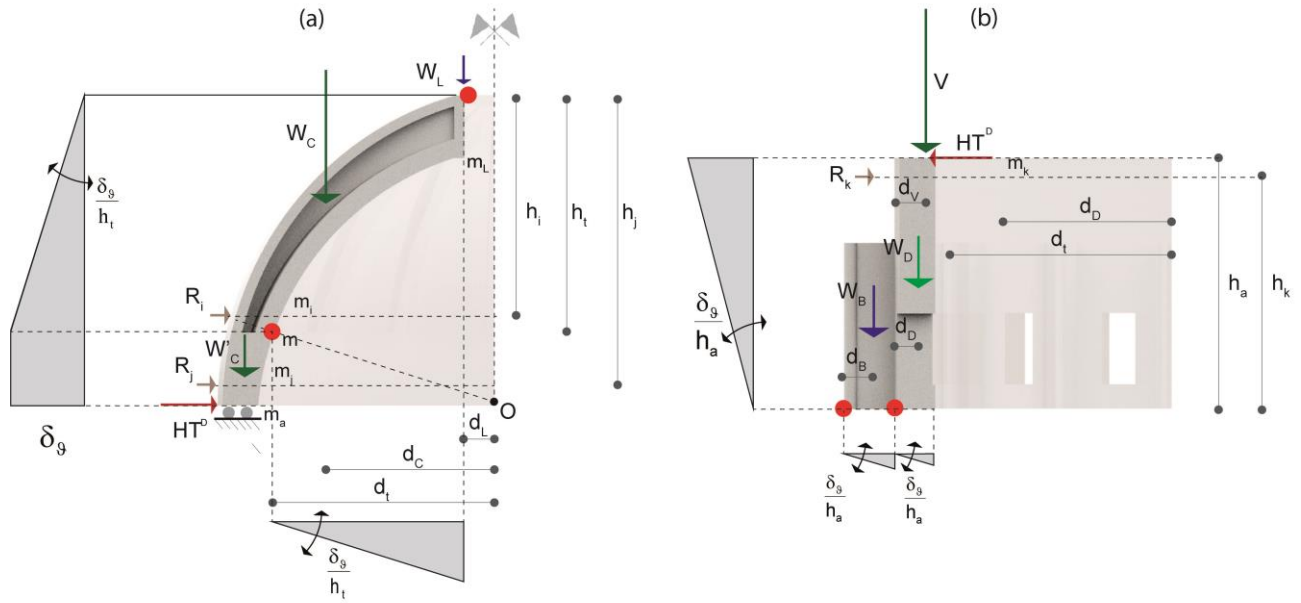
Figure 6: Schematic representation of the three analysed configurations of the dome.

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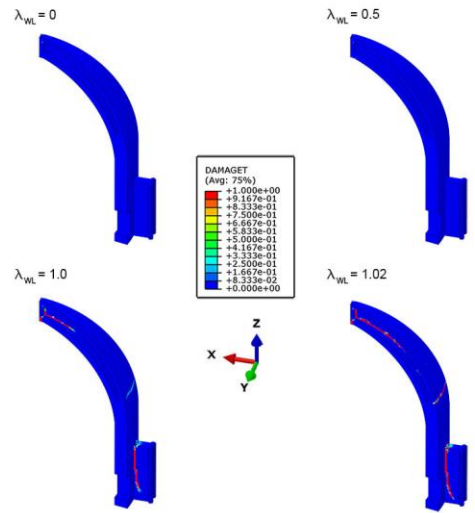
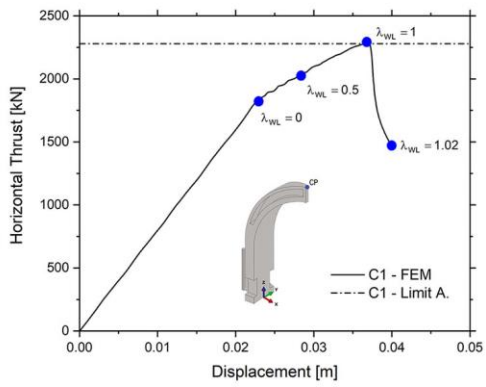
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Figure 7: FE-modelling of the iron rings for the configuration (a) C1 and (b) C2. (c) Internal equilibrium on the studied 1/16 slice to compute the confinement effect of the iron rings.



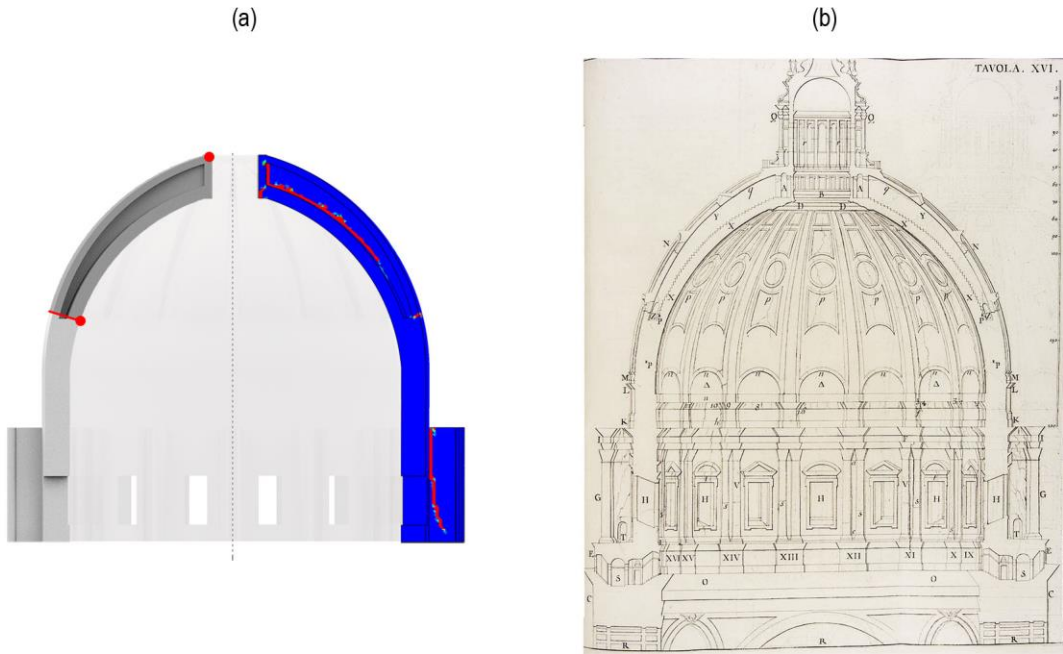
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Figure 8: Kinematic description of the failure mechanism for the (a) dome and (b) retaining system (attic-drum-buttress).



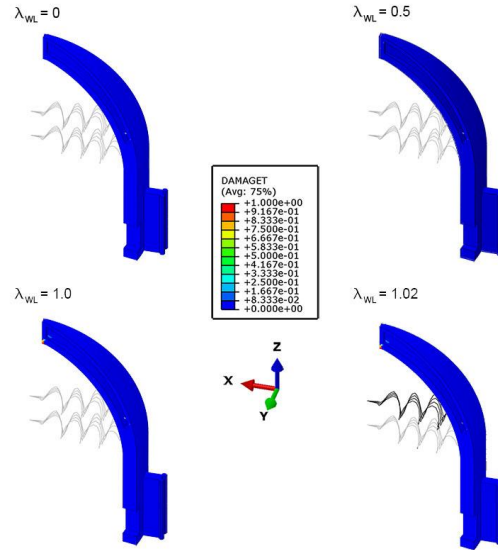
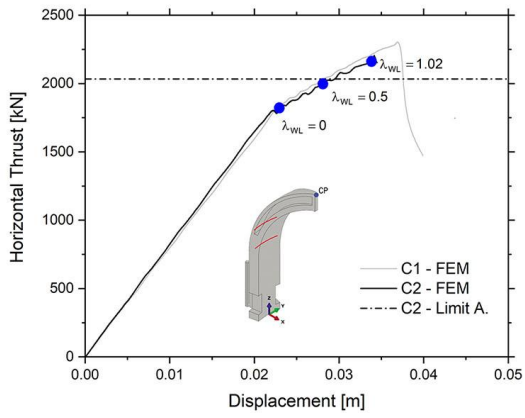
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Figure 9: Obtained results for the configuration C1 in terms of dome's horizontal thrust and damage evolution.



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Figure 10: (a) Comparison of the failure mechanism for the configuration C1 obtained with the kinematic limit analysis tool and the FE non-linear analysis, (b) Vanvitelli, damage detected on the buttresses (1743-1748)



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Figure 11: Obtained results for the configuration C2 in terms of dome's horizontal thrust and damage evolution.

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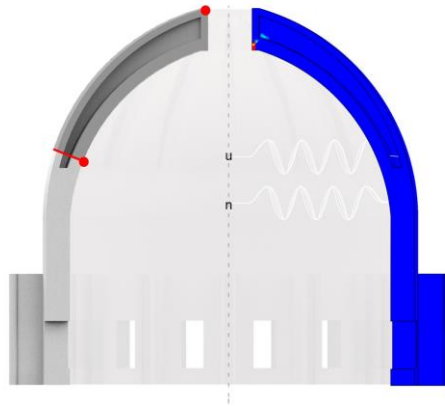
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191 Figure 12: Comparison of the failure mechanism for the configuration C2 obtained with the kinematic limit analysis

192 tool and the damage pattern found with the FE non-linear analysis for a  $\lambda_{WL} = 1$ .

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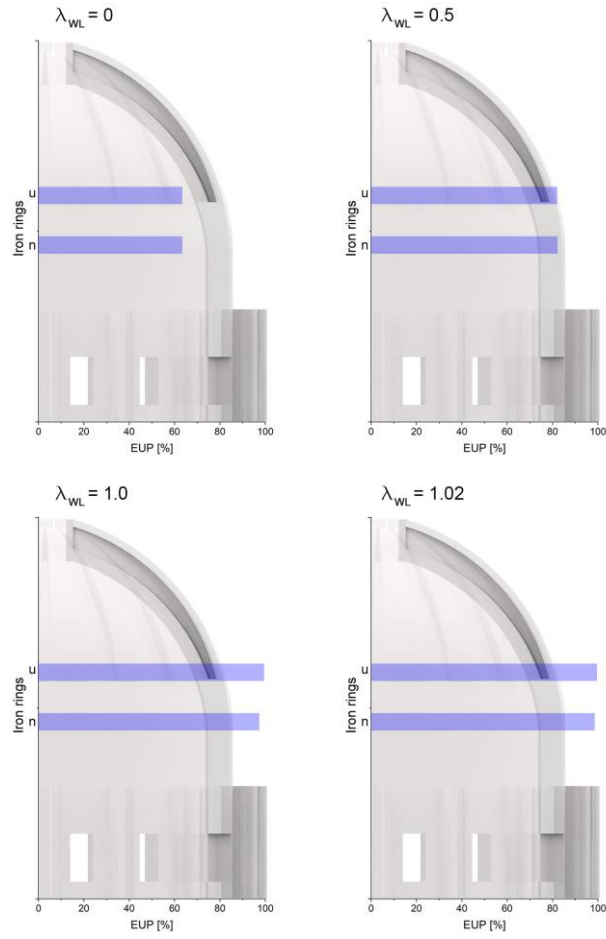
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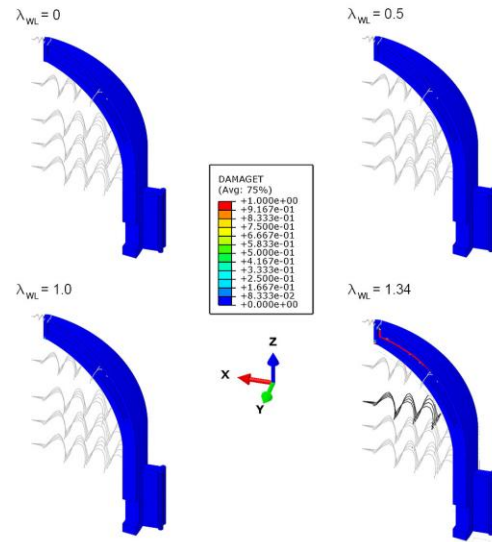
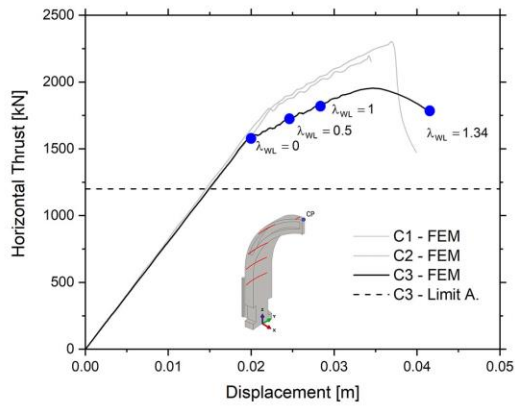


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199 Figure 13: Effectiveness of the iron rings for the configuration C2 given by the elastic usage percentage (EUP, given  
 200 as the elastic stress/strength).

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Figure 14: Obtained results for the configuration C3 in terms of dome's horizontal thrust and damage evolution.

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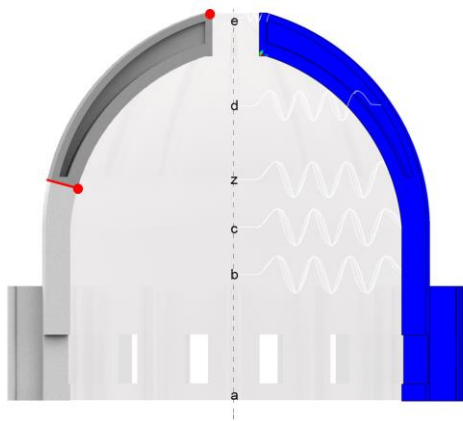
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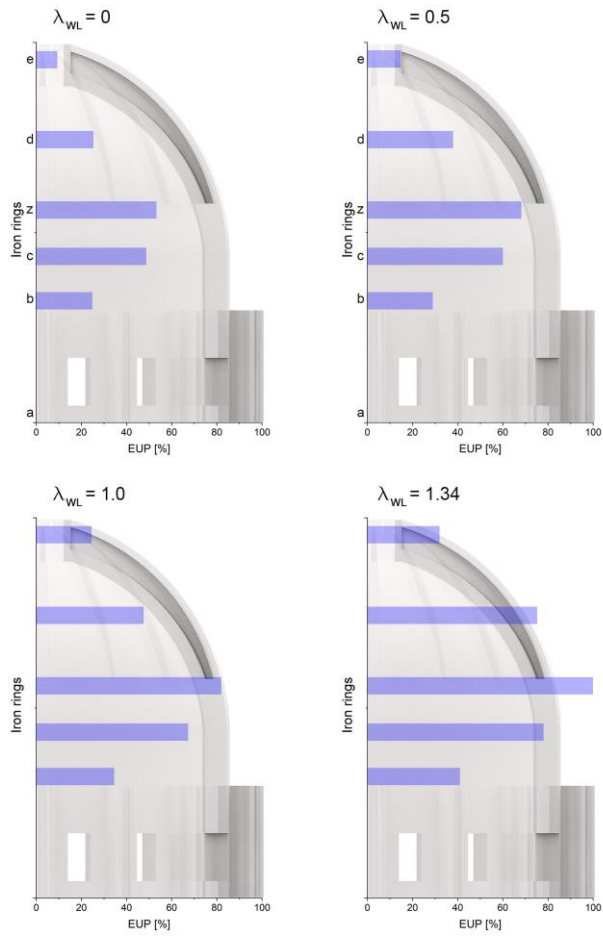
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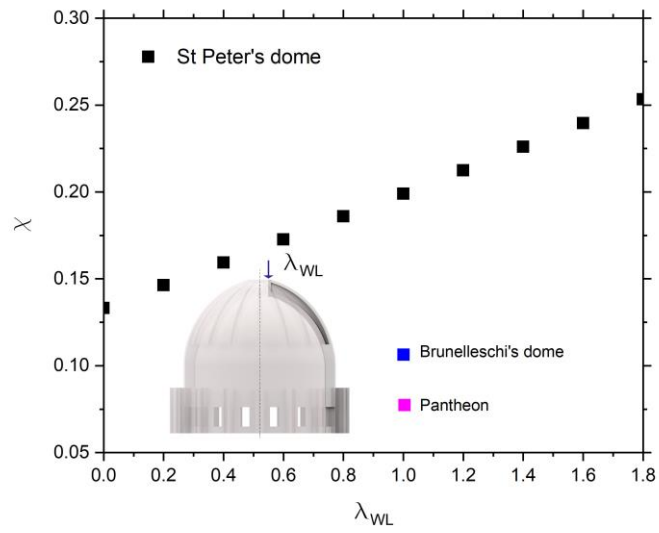
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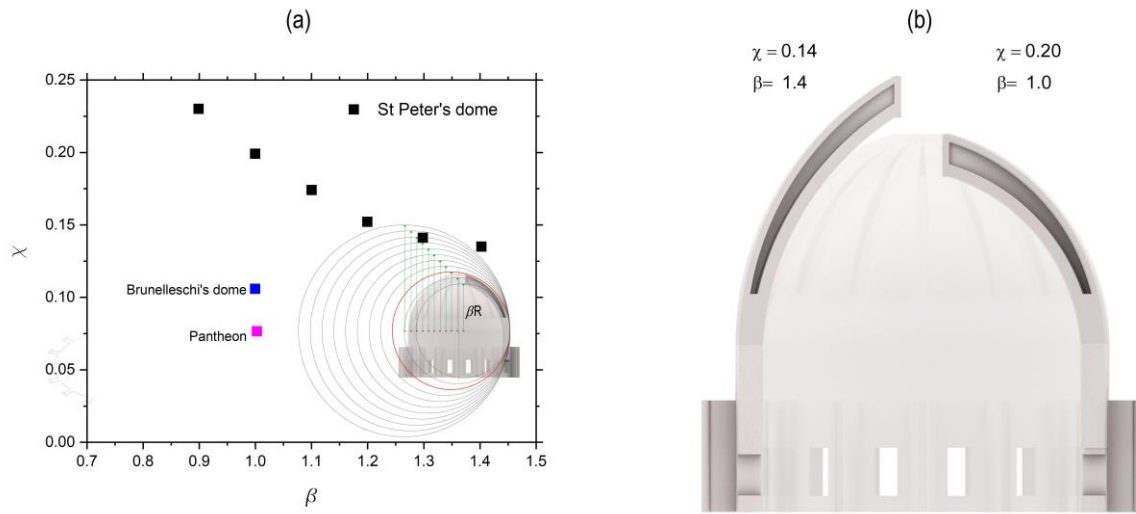
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