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## Role of metal 3D printing to increase quality and resource-efficiency in the construction sector

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This is a post-peer-review, pre-copyedit version of an article published in Additive Manufacturing. The final authenticated version is available online at:

<https://doi.org/10.1016/j.addma.2021.102541>

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1 **Role of metal 3D printing to increase quality and resource-efficiency in the**  
2 **construction sector**

3

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21

1 **Abstract**

2 Demand for the construction of new structures is increasing all over the world. Since the construction  
3 sector dominates the global carbon footprint, new construction methods are needed with reduced  
4 embodied carbon and high resource efficiency to realize a sustainable future. In this direction, Metal  
5 Additive Manufacturing, also known as 3D printing, can be an opportunity. Many studies are underway  
6 to answer open questions about printed metal products and processes for high-tech industries. The  
7 construction sector must join the metal 3D printing research more actively to enrich the knowledge and  
8 experience on this technology and correctly adapt the process parameters suitable to the construction  
9 sector requirements. This paper states the opinion of a research group composed of academics and  
10 practitioners from Europe, the US, Japan, and South Africa on how metal 3D printing can be a  
11 complementary tool/technology to conventional manufacturing to increase productivity rates and reduce  
12 the costs and CO<sub>2</sub> emissions in the construction industry.

13

14 **Keywords:**

15 Sustainable construction; metal 3D printing; resource-efficiency; European green deal; architectural  
16 design; standardization

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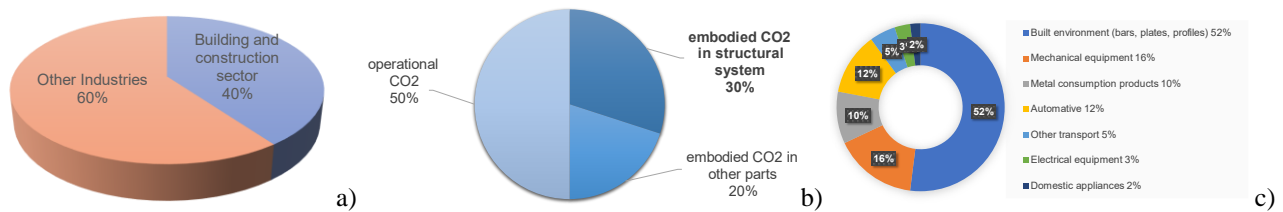
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# 1 Introduction

2 The construction sector dominates the global carbon footprint with a 40% share among all sectors [1].  
3 Half of this share is due to the CO<sub>2</sub> embodied in the building elements, and one third is covered by the  
4 structural system [2] (Figure 1.a.b). Since the operational energy emissions are dropping thanks to  
5 increased passive building design and decarbonization of electricity grids, the already large share of the  
6 structural system to the carbon footprint is expected to increase further [3] as the global population will  
7 grow by 2.5 billion by 2050. Estimates are that 230 billion square meters of new construction are needed  
8 to meet the demand for housing, workspace and more expansive infrastructure [4–6]. Therefore, the  
9 operations involved in developing new structural systems can have a vital role in reducing global CO<sub>2</sub>  
10 emissions, material and energy consumption.



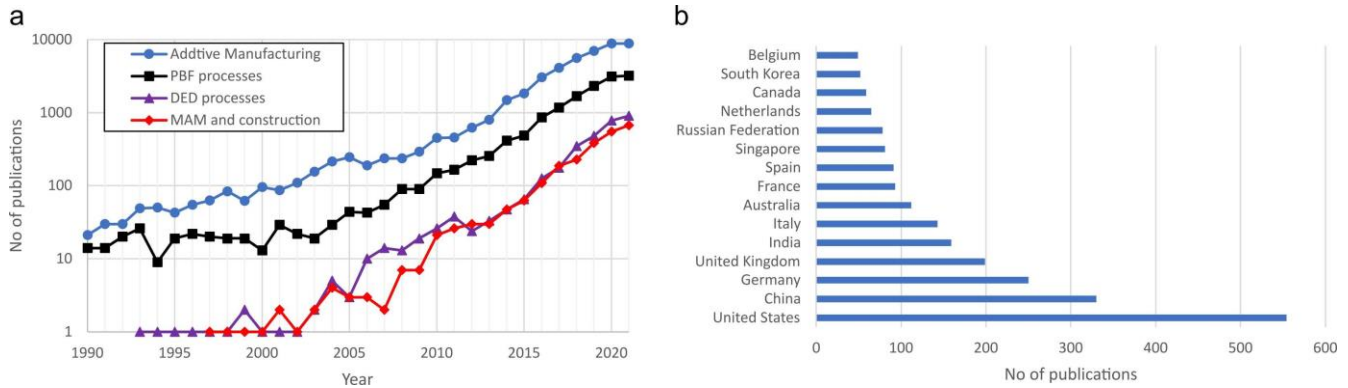
11  
12 Figure 1. CO<sub>2</sub> by sector / built environment and share of steel construction applications. a) Global CO<sub>2</sub> consumption; b)  
13 Consumption within built environment (data from [2]); c) Global use of steel (data from [7])

14 52% of global steel is used for construction as reinforcement bars, plates and structural profiles [7]  
15 (Figure 1.c), and steel structural solutions generally involve substantial manhours, material waste, and  
16 high energy consumption related to the fabrication of joints for which a significant research effort is  
17 being made worldwide [8]. A large source of CO<sub>2</sub> consumption and inefficiency of the traditional steel  
18 fabrication is related to the activities of joint fabrication (e.g., making of the holes, cutting of plates, post-  
19 weld heat treatments, accessibility issues for machines/operators, need for rat-holes when multiple welds  
20 concur to the same vertex, preheat issues and its control, the need of cleaning the weld from oxide patina  
21 before performing multiple layers, distortion induced by welding, dimension of the Heat Affected  
22 Zones). The consumption of energy could be reduced thanks to the possibility to produce complex parts  
23 in a single process.

24 Metal additive manufacturing (MAM), also known as metal 3D printing, is a relatively novel process  
25 of creating objects in layers with melted metal powders or wires, allowing free-form geometries that can  
26 be customized locally to the internal stresses. Metal 3D printing has seen wide adoption in the aerospace  
27 and medical industries in the last decade, which is still growing [9,10]. The particular advantages for  
28 manufacturing of metal parts in the high-tech industries are the reduced lead time for parts and on-  
29 demand manufacturing (including customization and optimization of parts on-demand), the possibility

1 to combine multiple components into one with fewer joints between them, and adding complexity with  
2 new features and designs that are not possible using traditional manufacturing tools. The main niches are  
3 for critical, high-value parts, with the economics and cost-benefit analysis discussed in more detail by  
4 Leary (2021) [11]. A recent EU report [12] places 3D printing as one of the five key technologies opening  
5 up opportunities and changing decades-old mechanisms for creating and distributing value in the  
6 Construction Community, and highlights that the skills agenda must be extended to the key industries  
7 such as construction. We can accelerate the transition of the steel construction sector toward a sustainable  
8 production of structural systems by exploiting the power of metal 3D printing. Its advantages for high  
9 tech fields have already been quantified in some research projects [13–16], and such benefits would be  
10 amplified in the construction sector, whose impact on global energy and CO<sub>2</sub> consumption is the largest  
11 [1]. The construction industry is actively demanding more efficient solutions that result in reduced costs  
12 and person-hours, and less energy consumption. Metal 3D printing could unleash the construction sector  
13 from the constraints of traditional manufacturing, and enable mass customization with increased  
14 production speed and quality, by placing materials where needed and using advanced digital tools for  
15 design and production.

16 Metal 3D printing has witnessed an exponential increase in research activities, especially in the last  
17 decade. As seen in Figure 2.a, the research follows an exponential increase trend overall, where powder  
18 bed fusion techniques (PBF) have received the majority of attention. The directed energy deposition  
19 (DED) has received an increasing amount of interest from the mid-2000s, which peculiarly shows a  
20 similar trend to metal 3D printing for constructions. The metal 3D printing processes have exploited the  
21 design, software, calculation capabilities as well as reliable automation and energy sources in the last  
22 two decades, reaching a more mature state. The interest on the construction sector follows the overall  
23 maturity of the processes as well as the need for larger parts with shorter lead times. The construction  
24 sector appears to be one of the next drivers of these technologies, with the highest output coming from  
25 North America and Europe (Figure 2.b), and considerable interest from Australia and South America. It  
26 can be perceived that the necessities in product innovation and improvements of material usage, and a  
27 reduced environmental impact in the construction sector in these parts of the globe are currently driving  
28 the research.



1  
2 Figure 2. a) Number of metal 3D printing related articles in the literature concerning PBF, DED processes and metal 3D  
3 printing for the construction sector. b) Top 20 countries in terms of publications in metal 3D printing in conjunction with the  
4 construction sector. Data gathered from Scopus (date of access 15 February 2021).

5 Despite the evident trend of growth and potentialities, metal 3D printing still requires further  
6 developments to be fully exploited by the construction sector. The gaps in technology, process  
7 knowledge, design, and certification are common to all sectors adopting such solutions, which have not  
8 been thoroughly investigated elsewhere to the authors' knowledge. This article discusses how metal 3D  
9 printing can be a complementary tool/technology to conventional manufacturing to reduce the lead times,  
10 the costs and CO<sub>2</sub> emissions of the construction industry. The discussion is aimed to identify the critical  
11 issues, which can be better addressed in future research activities and industrial practice.

12

## 2 General needs and requirements of the construction industry concerning metal 3D printing

The construction sector employs more than 12 million EU citizens [17]. This sector, as others, will soon have to undergo major changes towards digitalization and robotization, which will continuously change job profiles in the construction sector. Especially in the field of manufacturing, the current manual workforce procedures will be transformed into an industrialized design process [18]. The European Commission has highlighted the need to embrace the digital transformation by the community in a manifesto published by the European Construction Industry Federation (FIEC) in June 2018. In the USA, McKinsey's June 2020 report on identified 3-D printing as an area of technological disruption by specialist contractors [19]. In 2015, The Japanese government and the Ministry of Land, Infrastructure, Transport and Tourism (MLIT) announced an initiative called the "i-construction" to enhance the productivity in construction and infrastructure industries utilizing ICT technologies.". In South Africa, the government has established a commission for 4IR technologies [20], making various recommendations, including digitalization of manufacturing and utilization of 3D printing for on-site manufacturing. Despite the good intentions, developing countries generally struggle with the practical implementation of such recommendations due to the need for jobs and sustainability in the industry. The introduction of metal 3D printing can help create modern job types in the construction sector such as metal printing and robot operators, modern engineers and architects with new digital skills. Such new jobs will both protect the workers during the new industrial transition and enhance the safety and quality of their work environment.

There are several ways to adopt metal 3D printing in the construction industry, and therefore different potential niche application areas. A major one would be to create metal parts with the right functional solutions to construction challenges, that make metal 3D printing viable despite the higher cost involved in such elements. One potential example of this is in topology optimized resource-efficient joints or brackets, allowing significantly reduced mass and material waste with the same strength or increased ductility as can be required for seismic use cases. More advanced examples include the incorporation of other functions into the same part (e.g. incorporating electronics into the part directly [21], allowing digital monitoring of the construction). Advanced manufacturing enables the incorporation of properties that were not possible using low-cost construction materials such as specifically designed porous structures for improved air-flow and thermal management, structures with vibration or shock absorption capabilities and more. These examples deliver expensive solutions but with unique capabilities not yet available in traditional constructions that could provide added value and therefore justify the increased cost. Another major benefit is the digital inventory, and distributed manufacturing of metal 3D printing



1 with short lead times, reducing transportation costs and simplifying the supply chain, while allowing  
2 customization or modification from “standard” designs according to the local requirements.

3 Separately from the challenge of producing large dimensions for structures (although metal 3D  
4 printing technology readiness level is increasing rapidly), the absence of specific design regulations and  
5 experience is currently considered by the construction industry itself to be the major barrier preventing  
6 widescale implementation of metal 3D printing. While the experimental validation costs for the  
7 qualification of high-tech industry products are justified by serial production, this is not feasible for  
8 relatively simple civil structures. Since they are not serially produced, testing efforts for each  
9 construction “product” would undermine the benefits. To place metal 3D printing in the mainstream of  
10 the EU construction sector within the next decade, the building codes and standards must be improved,  
11 and this requires the definition of specific metal 3D printing parameters (material, process) tailored for  
12 steel construction applications, the assessment of the metallurgical and mechanical properties of steel  
13 parts with case-compatible 3D printing methods, and the conception of specific methods to calculate the  
14 structural, economic and environmental impact of the new technology. Metal 3D printing can be best  
15 exploited alongside the common steel profiles produced with traditional methods; therefore, the structural  
16 integrity of the printed parts with the conventional steel parts (joined by welding or bolting) must be  
17 quantified and enhanced. Despite the important role printed metals are expected to play in the near future,  
18 the available research only scratched the surface of these mentioned topics. This article aims to support  
19 further work on this topic by providing a state of the art and perspective.

20

1 **3 Metal 3D printing material and process availability for large parts**

2 The metal 3D printing processes vary in terms of functional principles, feedstock types, geometrical  
 3 capabilities, and size. Civil construction sectors currently face four significant issues in metal 3D  
 4 printing:

- 5 • Material availability: the available metals for 3D printing are not necessarily compatible with the civil  
 6 construction requirements.
- 7 • Machine size restrictions: the machines are mainly made for small to medium-sized products.
- 8 • High cost: low productivity and expensive feedstocks increase the production costs.
- 9 • Finishing requirements: the produced parts may require post-processing and heat treatments for the  
 10 surface finish and the mechanical properties.

11 The following paragraphs aim to provide the reader an overview of the technological readiness of the  
 12 metal 3D printing processes from the civil construction perspective.

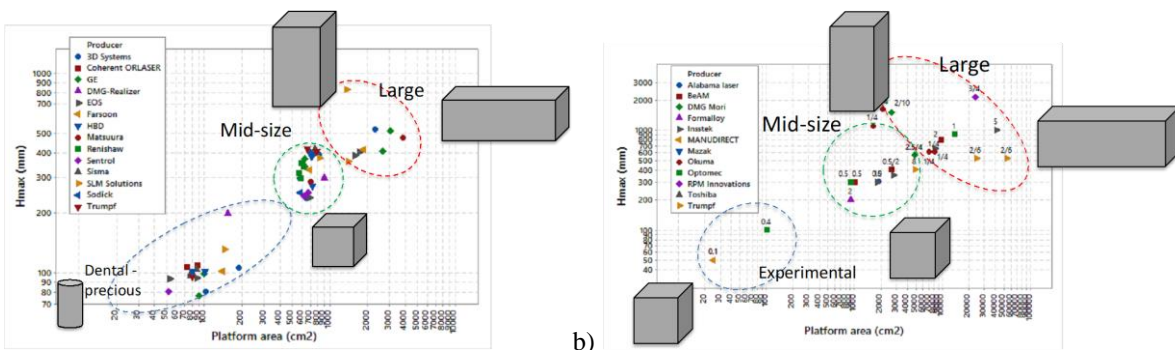
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Criteria	LPBF	EBPBF	LMD	LMWD	WAAM	BJ	FDM
<b>Materials</b>	Construction steels not available	Construction steels not available	Construction steels not available	Construction steels processing not ready	Construction steels already processed	Early-stage	Early-stage
<b>Typical dimensions</b>	300 x 300 x 300 mm <sup>3</sup>	Ø250 mm x 400 mm	>1500 x 1500 x 1500 mm <sup>3</sup>	>1500 x 1500 x 1500 mm <sup>3</sup>	>1500 x 1500 x 1500 mm <sup>3</sup>	400 x 250 x 250 mm <sup>3</sup>	300 x 200 x 200 mm <sup>3</sup>
<b>Precision</b>	High	High	Medium	Medium/Low	Low	High	High
<b>Build rate</b>	Low	Low	Medium	Medium	High	Medium/High	Low
<b>Safety requirements</b>	Laser and powder	Electron beam and powder	Laser and powder	Laser	Process glare	Powder	
<b>Cost</b>	+++	+++	+++	+++	+	++	++
<b>Target</b>	High value, aesthetics	High value, aesthetics	Large parts with geometrical flexibility	Large parts with geometrical flexibility	Large parts with geometrical flexibility	Small and aesthetic parts	Small and aesthetic parts

14 Table 1. A basic comparison of some of the main metal 3D printing processes for use in the construction sector [22–28]

15 Table 1 shows a generic view of the main metal 3D printing processes exploitable by the construction  
 16 sector. Powder bed fusion (PBF) and directed energy deposition (DED: LMD, LMWD, WAAM) process  
 17 families are the main techniques used for the production of metal parts, where fused deposition modelling  
 18 (FDM) and binder jetting (BJ) alternatives are today being developed. FDM is now being adapted to  
 19 metals by incorporating debinding and sintering phases. BJ is a highly promising 3D printing process  
 20 being developed with high build rates for metals that also requires debinding and sintering phases. The  
 21 PBF (LPBF and EBPBF) and DED (LMD, LMWD, WAAM) processes have been shown to possess

1 adequate mechanical properties provided by low porosity levels (<0.5%) and tailored heat treatments  
 2 developed over time. Arguably the most mature metal 3D printing process stands out as the laser powder  
 3 bed fusion (LPBF) technique [29]. In LPBF, a laser beam selectively melts the powder bed with adjacent  
 4 melt tracks of the scanned geometry, repeated by layer. The process lends itself to highly detailed  
 5 products and fine features mainly required by aerospace, tooling, medical, and energy sectors. The  
 6 machine sizes and material availabilities are limited to the expectations of these driver sectors.  
 7 Concerning civil constructions, the use of low carbon steels is not readily available by conventional  
 8 machine manufacturers, while the high-end materials such as Ti-, Ni-, Al-alloys and stainless steels are  
 9 among the most widely used ones (see Table 2). The material scarcity is both due to the limited process  
 10 development required by the limited sectors and the low processability of most of the conventional alloys  
 11 during the fast cooling phase of the process. The electron beam powder bed fusion (EBPBF) variant  
 12 operates under vacuum as the electrons require such conditions. EBPBF is today mainly used for Ti-  
 13 alloys, where recent advancements have been made towards new Ni-, and Cu-alloys. In particular the  
 14 construction steels are not amongst those already processed by the PBF processes [25] with recent  
 15 developments around similar chemical compositions [30].



16 a) LPBF and b) LMD system dimensions and build volume geometries (numbers on the symbols represent the  
 17 laser powers in kW).  
 18

Material	Renishaw	EOS	SLM Solutions	3DS	Sisma	GE Concept Laser	Applications
Stainless steel	316L	316L, CX, GP1, PH1, 17-4PH	316L, 15-5, 17-4PH	316L, 17-4PH	316L	316L, 17-4PH, 91RW	Food, biomedical, consumer
Ni-alloys	In625, In718	In625, In718, Hastelloy X, In939	In625, In718, In939, Hastelloy X	In718, In625	Hastelloy X	In625, In718	Energy, motorsport
Al-alloy	AlSi10Mg	AlSi10Mg	AlSi10Mg, AlSi7Mg0.6, AlSi9Cu3	AlSi12, AlSi10Mg, AlSi7Mg0.6	AlSi12, AlSi10Mg	AlSi10Mg, AlSi7Mg	Lightweight, aerospace, aviation
CoCr-alloy	CoCrMo	CoCrMo	CoCrMo	CoCrMo	CoCrMo	CoCrW	Dental, biomedical
Ti-alloys	Ti6Al4V	Ti6Al4V, CP Ti	Ti6Al4V, CP Ti, TA15	Ti6Al4V, CP Ti	Ti6Al4V	Ti6Al4V, CP Ti, Ti5Al5V5Mo3Cr, Ti6Al2Sn4Zr2Mo	Biomedical, lightweight, aerospace
Tool steel	Maraging 18Ni300	Maraging 18Ni300, 1.2709	H13, Maraging 18Ni300, Invar36, 1.2709	Maraging 18Ni300, 1.2709	Maraging 18Ni300	Maraging 18Ni300	Tooling, aerospace, automotive
General-purpose steels		20MnCr5					General-purpose engineering applications
Cu-alloys		99.6% pure Cu	CuSn10, CuNi2SiCr		Bronze		Energy, heat exchange
Precious					Au, Ag, Pt		Jewellery, design
Tungsten		W1					Energy, nuclear

1 Table 2. Material availability by some of the main LPBF system providers declared in their websites.

2 Concerning the producible part sizes, Figure 3.a provides a perspective comparing some of the  
3 industrial LPBF machines in terms of the build platform area and build height. It can be seen that the  
4 most common machine size is a cubic shape with approximately 300 mm length at all dimensions. More  
5 specialized systems go over 800 mm build height, but an overall increase in the build volume is not easily  
6 scalable. This is due to the issues in managing a large amount of powder that has to be stored on the  
7 machine silo, in the powder bed, and recycled throughout the process. Such conditions generate safety  
8 issues concerning explosivity, especially when highly reactive metals such as Ti and Al are concerned.  
9 Moreover, a larger build volume requires more laser sources to match the build time requirements. The  
10 laser scan path management, the thermal load on the machine structure, and the conjunction points of the  
11 different lasers are among the factors that increase the machine design complexity. Despite such  
12 difficulties, large system concepts emerge. The GE Atlas project [31] provides a powder bed with 1100  
13 x 1100 x 300 mm<sup>3</sup> build volume. The Adira Tiled Laser Melting system [32] is composed of a 1000 x  
14 1000 x 500 mm<sup>3</sup> build volume and a mobile scanner head over the entire build platform [32]. The custom

1 made LPBF system of Aerosud [33] provides a build volume of 2000 x 600 x 600 mm<sup>3</sup>. The recently  
2 announced SLM Solutions NXG XII 600 [34] will operate with simultaneously working 12 laser sources  
3 on a 600x600x600 mm<sup>3</sup> build volume [34]. While these are important technological demonstrations, each  
4 system is destined to a high-end application to work with expensive Ti-, Ni, and Al-alloys.

5 Concerning the DED processes, different process solutions emerge as a function of the energy source  
6 and the feedstock type used. Electric arcs, lasers, and electron beams can be employed as the heat sources  
7 while powder or wire feedstocks are used. The union of powder and laser corresponds to the laser metal  
8 deposition (LMD) process, which appears to be the most widely available one in terms of the commercial  
9 machine types. The powder feedstock is blown through a coaxial nozzle via a carrier gas into a melt pool  
10 opened by the laser beam. The material availability is highly dependent on the end-user's experience as  
11 standard material types are scarcer in this case. Figure 3.b provides the overview of machine dimensions  
12 concerning commercially available systems. LMD systems can be larger as a powder bed is not required,  
13 while robotic and cartesian systems can be employed to manipulate the deposition head. Hence, the  
14 machine size depends on the laser and powder safety requirements and automation capacity (size of robot  
15 arm). Wire feedstocks in DED provide a safer operating and stocking conditions as opposed to powder  
16 feedstocks, and they can reduce the material cost and improve productivity. So far electron beams, lasers  
17 and arcs have been used in combination with wire feedstocks. The Sciaky EBAM 300 system uses an  
18 electron beam in a vacuum build chamber of 7620 x 2743 x 3353 mm<sup>3</sup> to deposit wires with higher  
19 deposition rates [35]. However, the operating costs are a better fit for high-value components used  
20 especially in aerospace. The laser metal wire deposition (LMWD) technique uses a laser beam to melt  
21 the wire feedstock, where coaxial deposition systems have been commercialized too. The material  
22 availability of LMWD, in terms the development of process parameters for a stable process, is still limited  
23 as the process development is still underway for new alloys. Indeed, similar or same steel wires are  
24 expected to be used in LMWD and WAAM, which ensures the feedstock availability. In terms of optical  
25 and thermophysical properties construction steels are expected to be relatively easy to process by  
26 LMWD. On the other hand, the wire and arc additive manufacturing (WAAM) process is the evolution  
27 of highly automatized arc welding processes (MIG metal inert gas or TIG tungsten inert gas). WAAM  
28 exploits the recent advancements in process automation, path programming and the existing material  
29 availability in welding consumables [23]. Therefore WAAM can intrinsically produce parts in  
30 construction steels [36,37]. The size and the geometrical complexity of the WAAM produced parts  
31 depends on the machine configuration, which commonly is based on the single end-user's preferences.

1 An important factor concerning the part cost is related to the low productivity of the metal 3D printing  
2 processes. In PBF systems for a single beam source, the productivity is <0.5 kg/h for steels. The  
3 productivity issue is mainly tackled by increasing the number of beam sources. In LPBF, commercial  
4 systems with up to 12 sources have been introduced. With DED processes the productivity relies both on  
5 the power available and the material feed rates. Concerning steels, for LMD and LMWD value of up to  
6 1 kg/h can be exploited with moderate part quality and precision. Recent works showed the use of novel  
7 beam shaping approaches based on scanning optics and large area beams in LMD [38][39]. With such  
8 approaches higher laser powers can be used (>10 kW). Combined with dedicated powder nozzles, the  
9 productivity levels can reach up to 2 kg/h [40]. While such processes show great promise for improved  
10 productivity, further development of the processes for the required detail and precision should still be  
11 addressed. On the other hand, WAAM can reach between 5 to 10 kg/h build rates, giving it a significant  
12 advantage.

13 The post-processing phase can also be an important limitation to the process. As the productivity  
14 increases, the feature resolution is decreased as a rule of the thumb. The complexity of the component  
15 produced can generate the post-processing phase more difficult. The organic forms, undercuts, and  
16 internal channels achievable via PBF processes require non-conventional finishing operations such as  
17 abrasive flow jet or electrochemical machining, increasing the product's final cost [41]. The DED  
18 produced parts are characterized by irregular surfaces with high surface roughness [42]. Combined with  
19 the large size, their finishing operation may be best fit to be carried out during the deposition phase in a  
20 hybrid manufacturing scheme. Heat treatments are often required to remove the internal stresses and  
21 improve mechanical performance [43,44]. For metal BJ and FDM the sintering phase in a furnace is  
22 mandatory to achieve the final densification. For PBF products, heat treatment can be mostly required to  
23 avoid part distortions as they are released from the baseplate. The large DED products are also difficult  
24 to manage for possible heat treatments. Opportunities for tailored deposition strategies should be sought  
25 to minimise internal stresses during the deposition process.

26 Finally, as shown in Figure 3, today's metal 3D printing means should be evaluated as a function of  
27 the targeted application. From this point of view, aesthetics, function, time to market, maintenance,  
28 assembly and disassembly of the components should also be analysed along with the other metrics. The  
29 value, which is different from the cost, is much harder to quantify, involving the life cycle assessment  
30 and the use of the resources.

31 Concerning the cost of the products produced by metal 3D printing processes, it has been shown that  
32 the design phase plays a critical role. A direct transfer of the component from a conventional

1 manufacturing process is expected to cost more, while a mere adaptation is expected to be not competitive  
2 overall [45]. The components should be designed for metal 3D printing to exploit not only the  
3 geometrical capabilities but also to facilitate production and reduce the manufacturing time. The part  
4 types and geometries desired by the civil construction sector are few of their kind. Hence the adaptation  
5 of design for additive manufacturing rules in part design is expected to be much more straightforward  
6 compared to other sectors where larger batches are required, such as automotive. While the processes  
7 still require improvements in terms of their productivity and cost, the overall economic benefit is  
8 expected to be drawn from a more digitalized approach. In civil construction, the parts are often produced  
9 in the field and may require extensive manual labour both in production and assembly. The use of a  
10 digital manufacturing platform based on metal 3D printing is expected to ensure quality throughout the  
11 manufacturing chain and allow for a “first time right” approach. Accordingly, the economic benefits can  
12 be through the better use of resources thanks to shorter lead times and less amount of scrap.

13 The future trends in metal 3D printing equipment will presumably move towards a consolidation phase  
14 in the upcoming years in the most developed processes such as LPBF. The expansion towards very large  
15 machines will continue; however, it will also be limited to safety and productivity issues. The  
16 construction sector can better exploit existing design flexibility and reduce production costs by increasing  
17 productivity rates and utilizing cheaper feedstocks. However, new concepts for large area processing by  
18 laser beam shaping in LPBF development are still laboratory-scale [46,47]. The DED systems will move  
19 towards more standardized architectures improving usability and settling of design rules for the  
20 processes. These factors can be better exploited to integrate these relatively less developed processes to  
21 a complete digital platform and integrate with the design and calculation tools of the construction sector  
22 [48]. Newer metal 3D printing processes, namely BJ and FDM, will be further explored, enhancing the  
23 process and material knowledge base, allowing to allocate them better in the applications of the  
24 construction sector. Overall for all processes, the future holds the development of process monitoring  
25 and control systems, which will ensure product quality [49]. With ensured quality, the variability in the  
26 static and fatigue properties could be reduced between products, build jobs, and also machines. This  
27 would be exploited by the construction sector by reduced safety margins in the design phase. Multi-  
28 material processing and high temperature preheating systems are also under development, which can  
29 open up to newer functions through novel materials with gradient properties [50–53].

#### 1 **4 Structural integrity and fatigue aspects**

2 One of the major difficulties of metal 3D printing is the inconsistent mechanical behaviour of the parts  
3 produced using this technology, being highly dependent on various factors such as microstructural  
4 differences of the material, possible defect types within the produced parts, surface roughness effects,  
5 residual stresses, and more. Due to the rapid cooling rates, thermal reheating during the metal 3D printing  
6 process and directional solidification, metallic components represent microstructures and three-  
7 dimensional multiscale architectures different from their cast and wrought conventional counterparts  
8 [54][55]. 3D printed metals commonly have fine grains, and anisotropic microstructures elongated along  
9 the printing direction. Internal porosities are among the distinguishing bulk microstructural features of  
10 metallic components fabricated by metal 3D printing [56]. These porosities can be classified into two  
11 major categories of gas pores and lack of fusion. While gas pores normally form during solidification of  
12 metals and can be entrapped from surrounding gas, lack of fusion defects develops due to the low energy  
13 density of the heat source (i.e., laser, electron beam, electric arc), leading to insufficient melting bonding  
14 between the melted layers. As a result of the layer-wise nature of metal 3D printing technology and  
15 partially melted powders (in the case of powder-based additive manufacturing), the produced  
16 components commonly have high surface roughness in as-built condition. During the metal 3D printing  
17 process, the appearance of the large thermal gradients in the neighbourhood of the melt pool, rapid and  
18 uneven cooling of the melted material, and repetition of this process leads to localized residual stresses  
19 in the 3D printed metallic components. These residual stresses are reported to be detrimental to the  
20 mechanical properties of the produced parts and can possibly result in warping or cracking of the 3D  
21 printed metal part during or after the fabrication process.

22 The mentioned factors (i.e. anisotropic microstructures, internal porosity, surface roughness, residual  
23 stress) directly influence the structural integrity of the 3D printed metal parts. Therefore, numerous  
24 research studies have focused on tailoring the process parameters, quality control efforts, non-destructive  
25 testing in-process and inspection of final parts, and post-processing of the parts to remove and mitigate  
26 many of the defects causing detrimental failures [57][58]. The common goal in most of these research  
27 studies in the literature is to improve the mechanical properties of 3D printed metal parts to have  
28 comparable mechanical behaviour with the components produced by the conventional techniques.

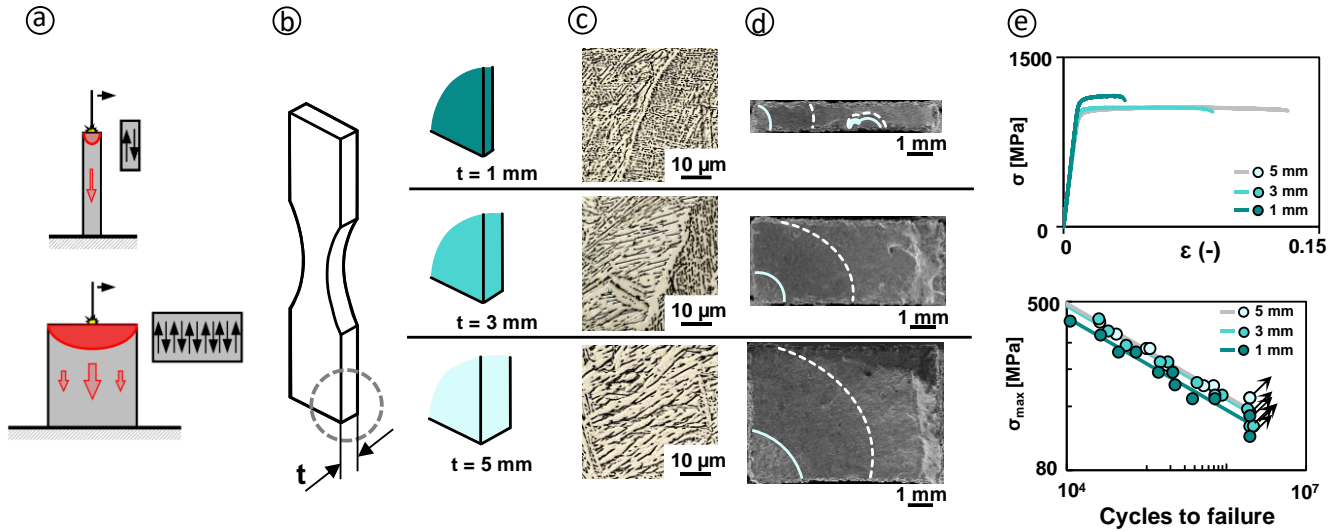
29 In general, the quasi-static mechanical properties of 3D printed metallic components are on par with  
30 their wrought counterparts and depend on the process and post-process conditions, often even exceeding  
31 them. The higher strength of 3D printed metals is mostly correlated with the finer microstructural features



1 compared to their wrought counterparts. On the other hand, due to the presence of brittle phases or  
2 internal defects, 3D printed metal parts can experience lower ductility [59].

3 Dealing with the structural integrity of 3D printed metallic components and structures, the major  
4 concern is focused on fatigue loading. Fatigue failure has a local nature meaning that the presence of any  
5 geometrical discontinuities can raise the stress level in the part resulting in fatigue failure initiation in the  
6 vicinity of these discontinuities [60]. In this scenario, the effect of surface roughness and internal defects  
7 in the 3D printed metal parts would be intensified, making them more susceptible to fatigue failure [61–  
8 63]. Hence, a comprehensive understanding of the fatigue failure mechanisms and their dependency on  
9 the material microstructure, internal defects, and surface roughness is vital to enhance the durability of  
10 3D printed metallic components and structures [64].

11 On the other hand, the mechanical behaviour of 3D printed metal parts under static and fatigue loading  
12 is reported to be closely related to the input geometry of the component in a way that any change in  
13 geometry of part can alter the manufacturing strategy and consequently the microstructure, surface  
14 condition, residual stresses and internal porosities [65][66]. For the specific case of metal 3D printing for  
15 the construction industry, the structural components are significantly larger than the parts studied by  
16 high-tech industries. This change of scale needs to be widely studied. The data from the literature shows  
17 that the microstructures of the 3D printed metals are highly dependent on the scale or thickness of the  
18 fabricated part. In this scenario, as reported in [67–70], larger and thicker parts show larger  
19 microstructures, lower hardness and higher ductility compared to thinner or smaller parts produced with  
20 the same process parameters (see Figure 4). This dependency has only been studied on the lab-scale, and  
21 there is a large knowledge gap for exploring the scale effect of construction applications. The scale-effect  
22 research is still at its early stage because metal 3D printing bulky parts are expensive, lots of residual  
23 stresses occurs, and the crack growth is hardly predictable. The high costs and the great research still  
24 needed can be supported by peculiar projects looking for resource-efficient solutions for applications  
25 where traditional design is extremely expensive, unsafe or even unfeasible.



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Figure 4. The dependency of mechanical behaviour of Ti-6Al-4V alloy to the thickness of the produced parts. (a) the thermal gradient in the specimens with different build thicknesses; thicker parts are reported to experience a higher average temperature during the fabrication. (b) the geometry of the produced parts. (c) the thickness-dependent microstructure of the fabricated material. increasing the build thickness of the part has resulted in coarsening of the microstructure. (d) the fatigue fracture surface of the tested specimens. A larger area of stable crack growth can be seen for the thicker parts with a lower surface to volume ratio. (e) mechanical properties of the tested specimens under quasi-static and fatigue loading. Significantly higher ductility (elongation at failure) was obtained for the thicker parts of 5mm thickness. These parts also revealed higher fatigue endurance [70].

10 In the specific case of large and complex civil structures the fluctuating, time-dependent wind loads  
11 or the load applied by the fluid flow to the bridge structures can be categorized as variable amplitude  
12 fatigue loading conditions [71]. As one of the main goals for design of large structures, weight  
13 optimization techniques have been proposed and used in the past [72–75]. Due to the high flexibility of  
14 metal 3D printing in producing geometrically optimized parts, one of the advantages of using this  
15 technology in construction would be the weight reduction of the structure. At the same time, the weight  
16 optimized structures are more prone to high-cycle wind-induced fatigue collapse, making this topic a  
17 complex case of finding the perfect link between the design, printability, and mechanical performance  
18 and durability.

19 According to the published research in this field, the quality assurance and fatigue assessment of  
20 geometrically complex 3D printed metallic components cannot yet be precisely accomplished due to an  
21 absence of advanced practices which can incorporate the effect of the microstructural features (grains  
22 and internal defects), surface condition, residual stresses, and complex loading conditions to effectively  
23 model specific mechanical behaviour of 3D printed metals. To date, the evaluation of the quality  
24 assurance of printed components has been the topic of numerous articles evaluating the effect of process  
25 parameters on the microstructure of resulting material, geometrical accuracy, and mechanical behaviour  
26 of the parts. Besides, limited attempts have been made to assess the mechanical behaviour of

1 geometrically complex 3D printed metallic parts using the available theoretical models developed for  
2 components and structures produced by conventional techniques. Nevertheless, to the best of the authors'  
3 knowledge, no specific design and failure assessment criteria have been in place considering stress  
4 concentration arising from geometrical discontinuities in 3D printed metallic parts and their interaction  
5 with the complex loading conditions in various scales of the components and structures.

6 Reflecting all the mentioned challenges regarding the use of metal 3D printing for fabricating large  
7 and geometrically complex structures, a mechanistic knowledge of mechanical strength and failure  
8 modes of these parts under specific loading conditions is of great importance for developing a design  
9 protocol and failure prediction tool which are expected to be highly demanded in the near future. On the  
10 other hand, the proposal of a fatigue model that incorporates all the mentioned attributes by capturing  
11 material, geometry, and scale-dependent properties of 3D printed metallic components is a difficult task.  
12 Therefore, a more practical and feasible fatigue prediction tool may rely on a combination of a wide  
13 range of experimental data, theoretical computations, and machine learning [76]. Recent machine  
14 learning algorithms can learn highly complicated nonlinear relationships between predictor and target  
15 variables; this is even true for highly stochastic environments. Considering a large number of variables  
16 when dealing with metal 3D printing and the scatter caused by the uncertainty in each of these variables,  
17 machine learning is expected to provide more reliable predictions of structural performance and facilitate  
18 the process of design against failure. Up to now, a limited number of research studies have focused on  
19 the application of machine learning to metal 3D printing. These studies mainly focus on quality detection  
20 during and after the production process, optimization of the process parameters, and assessment of the  
21 final design [77–81]. The use of machine learning and data-driven approaches for fatigue and fracture  
22 mechanics problems is nowadays one of the hot research topics in the field. The results from preliminary  
23 studies have shown a unique potential for future applications [82–87]. The knowledge from the use of  
24 this technique is expected to provide useful information in the future about the correlation of fatigue  
25 performance of 3D printed metallic components and structures, process parameters, microstructural and  
26 geometrical features, and topological optimization.

27

## 1 **5 The exploitation of metal 3D printing for innovative design**

2 In terms of strength, reliability, formability and ductility, steel has much better properties than other  
3 construction materials, making it an irreplaceable material in the modern construction industry. Even  
4 when metal 3D printing is adopted as a production method, and some severe issues need to be solved,  
5 such as material anisotropy and defect generation specific to the printing process, the potential superiority  
6 of the material would not be shaken. On the other hand, the cost-effectiveness of metal 3D printing (e.g.,  
7 the unit cost per weight) may be inferior to other construction materials and technologies. Therefore, a  
8 favourable use of metal 3D printing for building is in printing components (e.g., nodes) where freedom  
9 of shape, strength and reliability are expected. The production through traditional techniques would be  
10 difficult [88]. This is in contrast to 3D concrete printing (3DCP), which is often used to build walls that  
11 bear forces with the whole plane. 3DCP is a technology seeing huge growth in the construction sector at  
12 present, and metal 3D printing might benefit from this development in the context of improved adoption  
13 of digital design and manufacturing, automation, and new design approaches being adopted in the  
14 construction industry [89,90].

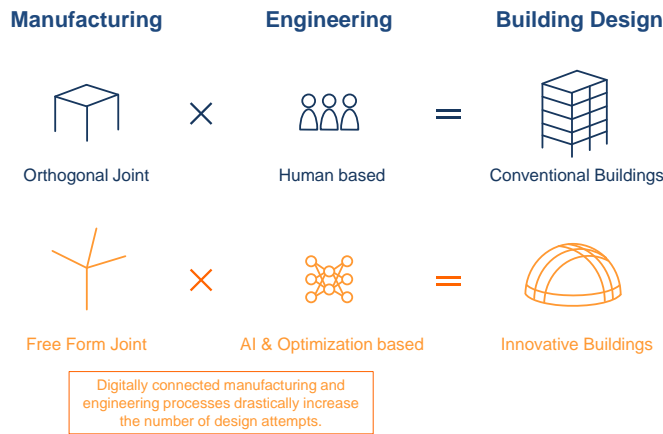
15 Leaving the material issues to another section, what could be the preferred approach to deploy this  
16 challenging concept of the metal 3D printing node in actual structures? We have to focus on the technical  
17 aspects of a structural joint: quality, cost and resource efficiency, lead time, CO<sub>2</sub> emissions, aesthetics,  
18 digital readiness, and customizability. Metal 3D printed nodes give advantages that are not reached by  
19 other techniques (Figure 5). Traditionally assembled joints are economical and have sufficient  
20 customizability, especially for small cross-section parts, but they are often neither aesthetic nor resource-  
21 efficient. On the other hand, cast steel offers high quality and pleasing aesthetics. Still, it requires long  
22 lead times due to highly specialized manufacturers, which can be reduced to 60% by optimising the part  
23 geometry and producing it through metal 3D printing [91].

24 Another advantage in line with building design is the digital connection between the metal 3D printing  
25 process and computer-aided engineering processes (e.g., AI-based engineering process). The full  
26 integration with building information modelling (BIM) allows the collaboration between the structural  
27 design and all the production phases (e.g., production scheduling, logistic, cost and time estimation and  
28 long term management). Indeed, the design process includes the geometry definition, the production and  
29 assembling needs, giving the designer more responsibilities and greater design opportunities [92]. Metal  
30 3D printing allows for a drastic increase in the number and breadth of design attempts, expanding the  
31 nature of architectural design (Figure 6). Nevertheless, a suitable design workflow is still missing. When

1 a provocatively designed work that takes full advantage of this feature will emerge, the exploitation of  
 2 metal 3D printing would enter a novel dissemination phase.

	Traditionally assembled nodes	Cast steel nodes	3D printed nodes
Structural safety	✓	✓	✓
Surface finish quality	✓	✓	
Reduced stress concentrations		✓	✓
Optimized welding quantity		✓	✓
Material usage efficiency			✓
Automation (robotization)			✓
Current cost efficiency	✓		
Lead time	✓		✓
Aesthetics and architectural freedom		✓	✓
Digital readiness			✓
Customizability	Customizable mainly in case of small sections	Depends on the mould condition	Highly customizable, but depends on printability

3  
4 Figure 5. Pros and cons of traditional and 3D-printed structural nodes.



7 Figure 6. Relation diagrams of manufacturing, engineering, and building design.

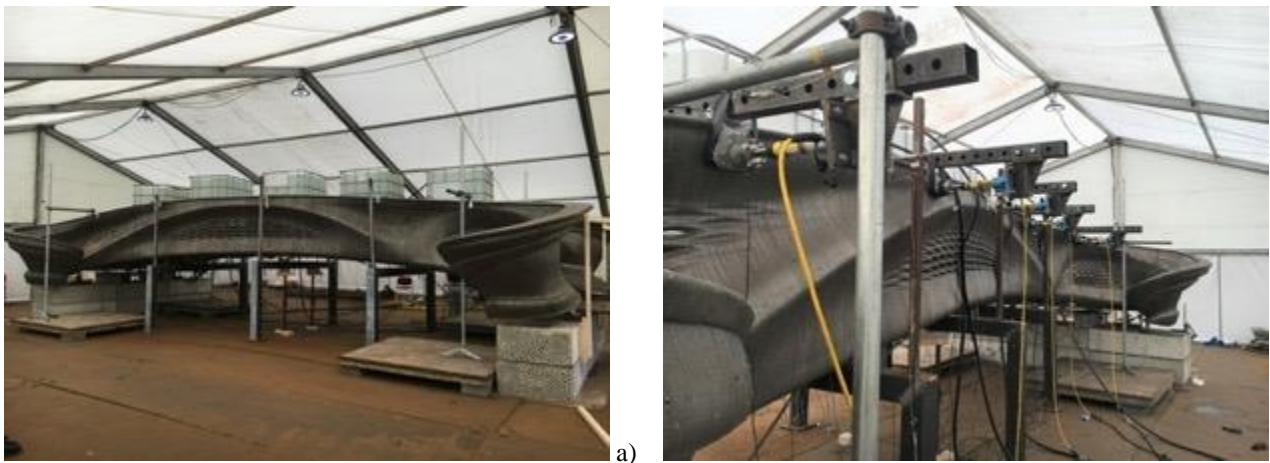
## 8 **6 Design opportunities employing different metal 3D printing processes**

9 Many aspects of the steel construction sector are standardised. For example, there are standard  
 10 dimensions for hot-finished profiles and standard joint details. Furthermore, prismatic sections and  
 11 simple details are typically favoured to minimise fabrication costs. Such an approach is efficient,  
 12 economical and facilitates ease of design and construction but does not, in general, minimise material  
 13 use, wastage or embodied energy. A recent study concluded that the average capacity utilization of steel  
 14 structural components is below 50% [94][95]. It means that, on average, half of the steel in the structures  
 15 is not used to bear the design loads. Smaller sections should be employed to have an efficient structure  
 from a structural and material use point of view, but rationalisation is generally more expensive than

1    oversize the structure [94]. A significant potential advantage of using metal 3D printing in construction  
2    is that material can be placed in the optimal configuration to resist the applied loading without the penalty  
3    of excessive fabrication costs associated with manual operations and bespoke geometries. Hence, close  
4    to optimal utilisation of the material could be achieved, as well as a reduction in energy consumption and  
5    CO<sub>2</sub> emissions up to 60% [14,15,96,97]. A few comparative Life Cycle Assessments have been  
6    performed between conventional and 3D printed metallic components, highlighting that the  
7    environmental benefits from using metal 3D printing increase if the components' shape can be highly  
8    optimised, due to a change in the mindset from design for production to design for function [92].

9    In addition to geometric optimisation, there is also greater scope for harnessing the benefits of (I)  
10   mixed material properties (e.g., higher strength material in heavily stressed regions and lower strength  
11   material where ductility demands are greater [92]), (II) anisotropy (e.g., orientating the print layer  
12   direction such that the stiffness of the structure is maximised [98]), and (III) thermal prestressing (e.g.,  
13   using a scanning strategy that results in residual stresses that are opposite in a sense to the stresses that  
14   will arise from the subsequent application of load [92]).

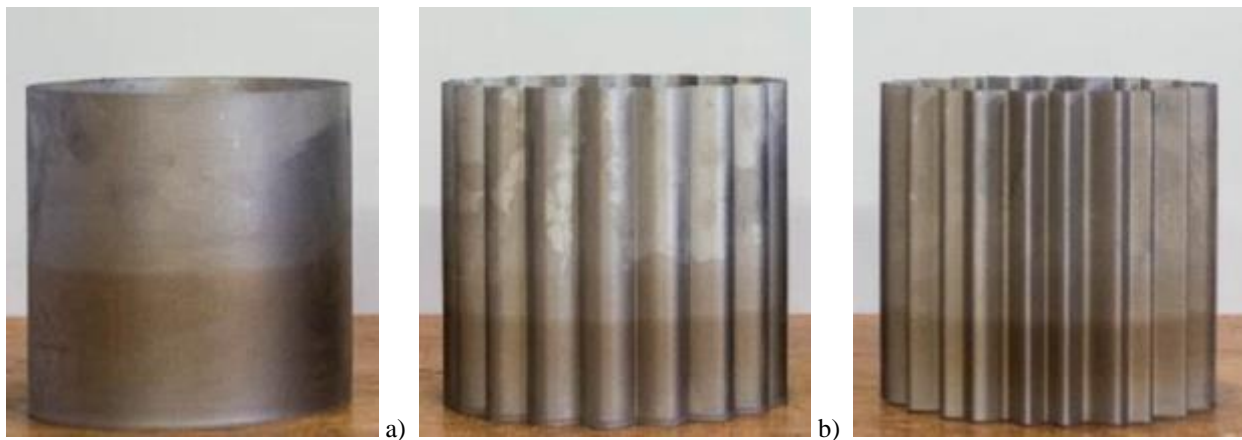
15   The landmark MX3D bridge has shown that it is possible to print, using WAAM, 308LSi austenitic  
16   stainless steel elements on a scale that allows meaningful use in construction. It has also been shown,  
17   following a comprehensive program of physical testing [99] (Figure 7), and numerical modelling, that  
18   the required structural performance to satisfy the demands of ultimate limit state loading specified in  
19   design standards can be achieved.



20  
21    Figure 7. Physical testing of the 3D printed MX3D bridge. a) Vertical load testing; b) horizontal load testing

22   The steel construction industry frequently uses steel tubular elements to build high performance and  
23   architecturally appealing structures. Thanks to high multidirectional axial and bending inertia, they are  
24   an excellent choice to achieve high strength with minimum weight [100]. In addition, steel tubular frames

1 require less corrosion and fire protection than other frames types with similar mechanical properties  
2 [101]. For tubular structures, one of the main issues is the local buckling of compression members, which  
3 are widely used in the construction industry as columns, in trusses and as bracing elements [102]. On this  
4 basis, recent works have demonstrated the feasibility and significant benefits derived through the  
5 structural optimisation of stainless steel tubular elements, 3D printed at a smaller scale using powder bed  
6 fusion [103]. In the studied scenario, the axial load-bearing capacity of optimised ‘Aster’ and ‘wavy’  
7 shells was assessed relative to a reference circular shell of essentially the same volume. The tested  
8 geometries are shown in Figure 8. Increases in the capacity of up to about 40% were observed  
9 experimentally, while ever greater benefits, with further geometrical refinement, were predicted  
10 numerically (Figure 9) [103].



11  
12 Figure 8. Reference stainless steel circular shell and optimised Aster and wavy shells 3D printed by powder bed fusion  
13 [103]. a) Circular shell; b) aster shell; c) wavy shell

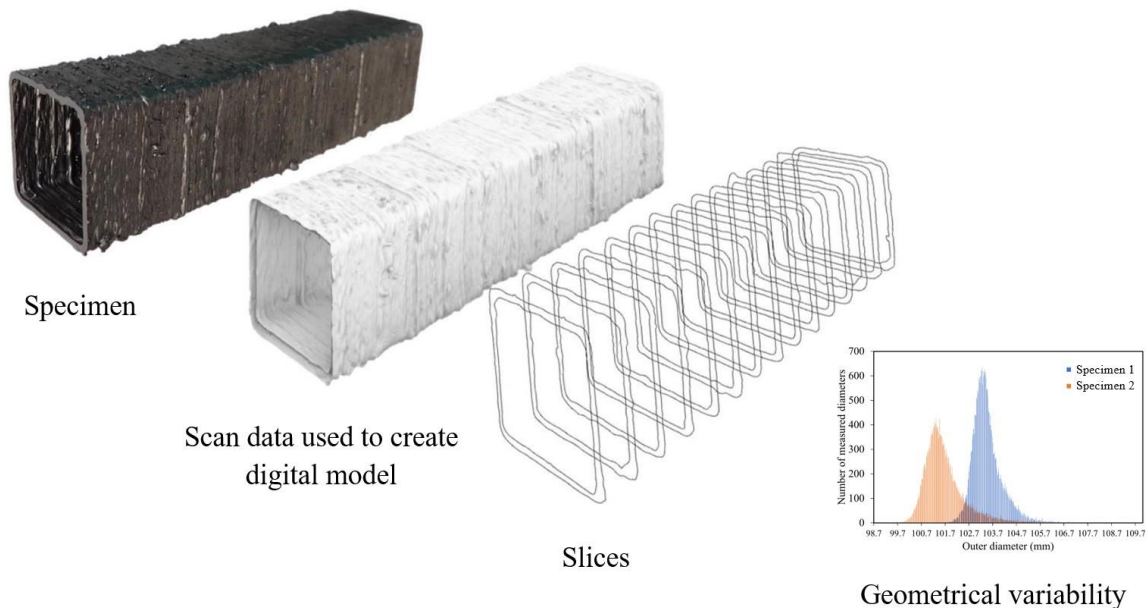


14  
15 Figure 9. Numerical simulations of shells demonstrating potential capacity gains achieved through geometrical  
16 refinement [103]. a) Circular shell; b) aster shell; c) wavy shell

17 For the wider application of metal 3D printing, the construction sector needs greater confidence,  
18 further precedents, more emphasis on physical testing and advanced numerical simulations, and the



1 establishment of authoritative design guidance. For the latter, greater knowledge is needed about the  
 2 fundamental materials and geometrical properties of 3D printed metallic components, and about the  
 3 variability and dependence on process parameters thereof. Research in this direction has already begun  
 4 [98,104–108], but substantially more is still needed. A relevant study on properties assessment of 3D  
 5 printed metallic components applied laser scanning to obtain statistical data on the geometric variability  
 6 of WAAM samples (Figure 10). Despite advances in robotics and materials, which are currently  
 7 outpacing structural design standards, another aspect that needs to be studied is the applicability of  
 8 existing structural design rules, and the required modifications for application to 3D printed metal  
 9 products. The research presented by Kyvelou et al. [109] concluded that, provided the weakening effect  
 10 of the surface undulations that are characteristic of as-built WAAM material, existing plate buckling  
 11 design rules are generally appropriate for application to WAAM elements. While some initial research  
 12 towards the development of structural design rules has commenced [109][110], the long-term behaviour  
 13 of 3D printed metallic components is largely unknown [111], and significantly more work is required.  
 14 One approach to optimally utilize the complexity offered by metal 3D printing is to use biomimetic  
 15 design principles as reviewed in [112], leading to organic and cellular designs minimizing material use  
 16 and optimizing functional performance.



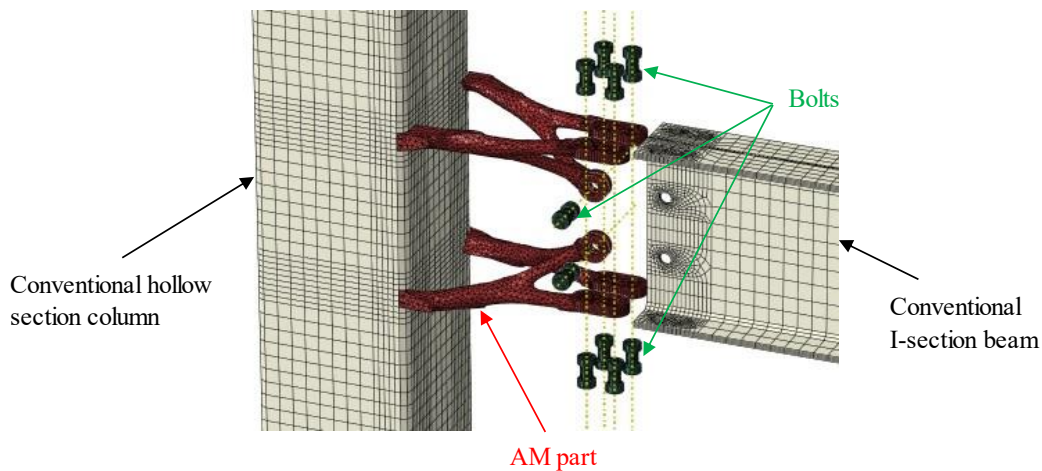
17  
 18 Figure 10. Laser scanning to obtain geometrical data on WAAM samples [109]

19  
 20 Metal 3D printing is likely to complement, rather than replace, existing production methods (e.g., hot-  
 21 rolling and cold-forming) in construction. It is therefore foreseen that, while further prestigious structures



1 will continue to emerge, the largest volume of 3D printed metallic elements will be in hybrid applications,  
2 such as hot-rolled steel members with printed joints and details, and in strengthening and repair.  
3 Designers will have to be increasingly accustomed with Design for Manufacturing and Assembly  
4 (DfMA), i.e. designing and optimising a component with the manufacturing process in mind, giving due  
5 consideration to a range of constraints.

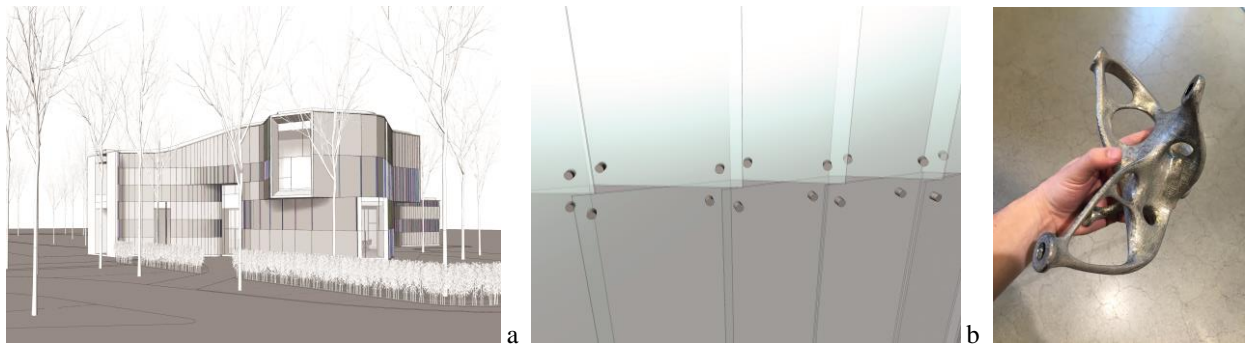
6 An example of an optimised joint between an I-section beam and a square hollow section column is  
7 shown in Figure 11. In this example, topology optimisation was first undertaken using the finite element  
8 software ABAQUS, initially assuming geometric and material linearity and with the objective of  
9 maximising the rotation stiffness of the joint. The resulting geometry was then refined, meshed and  
10 simulated, again in ABAQUS, using nonlinear (geometric and material) analysis. The simulated joints  
11 exhibited improved capacity and stiffness relative to a traditionally fabricated joint utilising the same  
12 volume of material. The next step is for the steel joints to be 3D printed and subjected to repeat physical  
13 testing. This will enable the structural performance predicted by the numerical simulations to be assessed,  
14 the practicality and economics of the solutions to be explored, and the consistency of the results to be  
15 investigated.



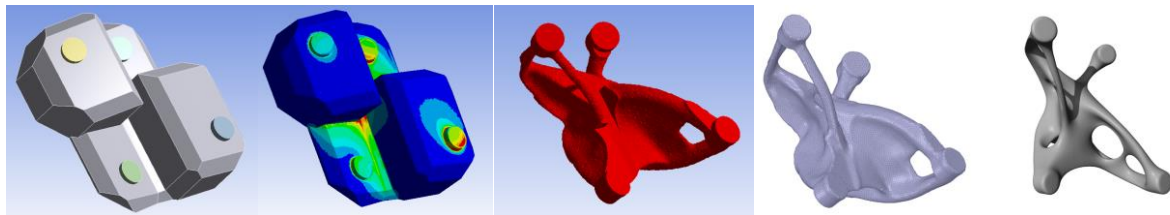
16  
17 Figure 11. Example of an optimised joint between an I-section beam and a square hollow section column

18 For a new 2-floor entrance pavilion building, initial connectors design focused on customized welded  
19 plate designs which were labour-intensive (and therefore expensive) and visually undesirable, thus metal  
20 3D printing became increasingly viable as a design and fabrication solution (Figure 12c). The building  
21 is an existing office headquarters in Northern Massachusetts, which façade consists of articulated glass  
22 panels that relate both to the internal structure as well as an architecturally-defined skin. The combination  
23 of façade articulation and varying plan shape results in each glass panel being at a different distance from

1 the slab edge. Therefore, each connector for the point-supported glass must be unique (Figure 12a,b). As  
2 this was an ongoing and iterative design project, two workflows were developed. The first was the rapid  
3 generation of structural topologies, based on the geometry and wind loads, using custom C# scripts within  
4 Grasshopper/Millipede. This allowed for the exchange of visual 3d models within the architect-engineer  
5 design team. The final selected designs were then analysed in detail using ANSYS for multi-objective  
6 topology optimization and accurate stress analysis, followed by mesh-smoothing using ZBrush (Figure  
7 13). Addaero Inc. of New Britain, Connecticut, USA produced a hollow stainless steel print (only 1.5mm  
8 thick) along with test coupons in the same print process for tensile testing on an Instron. The latter testing  
9 was used to provide proof of material behaviour to accompany the analytical results. Further detail is  
10 provided in Kassabian et al. [110].



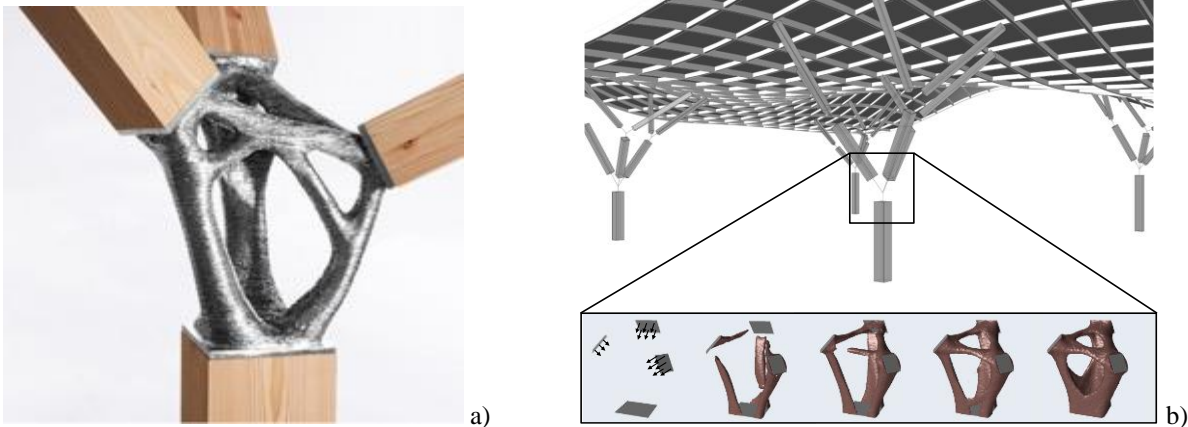
11 Figure 12. a) Overall rendering of building with articulated façade. b) Close-up of varying façade at the intermediate  
12 floor level showing geometric variation. c) Final 3D stainless steel print connector.  
13



14  
15 Figure 13. Refined topological optimization and finite element analysis.  
16

17 Takenaka Corporation developed an advantageous metal 3D printing node based on aesthetic,  
18 customisation, amortisation costs, and digital readiness. The concept of free-form nodes using metal 3D  
19 printing technology was presented by Takenaka Corporation, in collaboration with the Amsterdam-based  
20 company MX3D (Figure 14a)[93]. The joint is composed of multiple branches attached from arbitrary  
21 angles to a lower column (Figure 14b). The companies generated the structural node by topology  
22 optimization considering the assumed loads, and the 3D printing using WAAM technology with duplex  
23 stainless steel wires (Figure 14a).

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Figure 14. a) Topology optimized and 3D printed free form steel structural node [93]. b) Topology optimization process of nodes integrated with overall structural planning.

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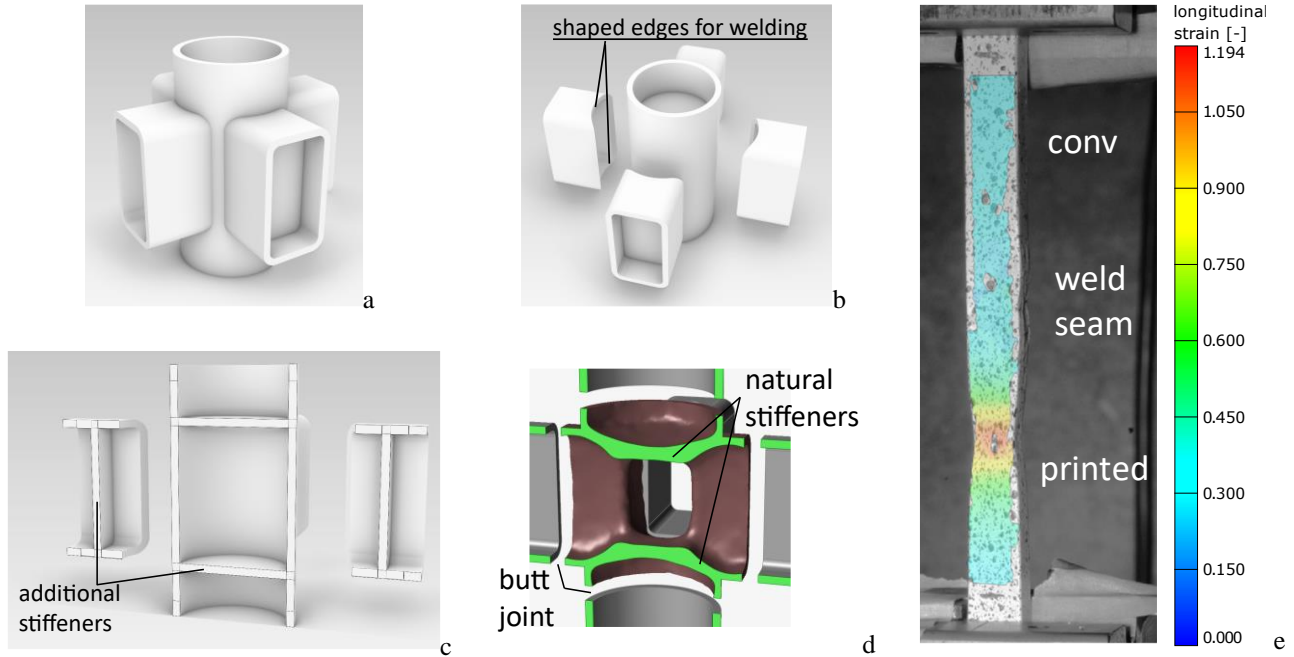
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Another promising use of metal 3D printing is in producing as single bodies complex structural tubular nodes, which would be made by assembling multiple components if produced by traditional manufacturing (e.g., joints connecting several structural parts). We have been studying a symmetric structural joint composed of six tubular components (Figure 15a) [101][113]. The design and production of this joint with traditional techniques required cuts to shape the beams' edges to be welded to the vertical component (Figure 15b). In addition, the stability of the hollow sections required stiffeners to be added in the profiles, resulting in high labour content and an increase of geometrical discontinuities (Figure 15c). On the other hand, by designing the node for metal 3D printing, we obtained an optimised geometry with internal “natural stiffeners” which reduced the geometrical discontinuities, and removed the need for welding internal stiffeners (Figure 15d). In addition, butt connections were designed to join the node to the conventional profiles to simplify the assembling procedures and to remove the need for shaping the profiles' edges, reducing the material scraps up to 80% (Figure 15d). The research focuses on the reliability of joining conventional and 3D printed components through welding and bolted connections [114]. The investigations include experimental campaigns and numerical analyses for both static and cyclic loads to meet the requirements from the construction sector (Figure 15e). The ongoing tests provide the data to characterise 3D printed components having medium dimensions (up to 10 mm thick profiles), and joining techniques compatible with metal 3D printing and the construction sector. Based on the experimental tests, we are calibrating numerical models able to assess the structural integrity of complex joints to reduce prototype testing, and to outline modifications for the current structural design procedures to include 3D printed components.



1 Figure 15. Hybrid tubular joints between conventional and metal 3D printed steel: ongoing research in Politecnico di  
 2 Milano by M. Chierici, A. Kanyilmaz, A.G. Demir, F. Berto, C.A. Castiglioni, B. Previtali a) Scheme of the studied  
 3 symmetric joint. b) Profiles cutting details for assembling the traditionally designed node. c) Details of the node stiffening  
 4 for traditional design. d) Topology optimisation of the node designed for 3D printing. e) Arc-welded conventional to printed  
 5 SS 316L samples during the tensile test with digital image correlation [114].

## 1 **7 Regulation and certification forecast for metal 3D printing in the construction industry**

2 Environmental regulations, technology evolution and population growth provide new opportunities  
3 for disruptive innovation in the construction sector. To successfully introduce new technologies, the  
4 construction industry must choose technologies that meet stringent safety and sustainability requirements  
5 and are flexible enough to respond to evolving needs. Adopting any new technology without proper risk  
6 assessment could lead to risks of using substandard ('non-standardized') products or materials or using  
7 them incorrectly ('non-compliance'). Robust qualification and certification methodology could mitigate  
8 these risks.

9 Metal 3D printing is a digital manufacturing technology with a large potential. Still, it is not yet been  
10 widely adopted as an alternative manufacturing processing route to produce certified components for the  
11 construction industry. One of the main concerns about the adoption of 3D printing in the construction  
12 industry is the lack of reliable data related to the safety and stability of metal 3D printed buildings, which  
13 is vital to gain confidence from regulators and the construction industry stakeholders. It is important that  
14 the materials used to print the building blocks are qualified to withstand sustained loading and  
15 environmental effects. Hence the materials, process and printed products would require demonstrating  
16 compliance with applicable construction products regulations. The construction products within the  
17 European Economic Area (EEA) must conform with the EU Construction Products Regulation (CPR)  
18 (also known as the Construction Products Regulation). This law declares that all products traded or sold  
19 in Europe must have a CE mark, when a harmonized standard exists for this product. It does not state  
20 that a product will be suitable for all end uses, but it implies that the product is consistent with its  
21 Declaration of Performance (DoP), as made by the specific manufacturer [115].

22 The manufacturer of the metal 3D printed products that require CE-marking or equivalent is ultimately  
23 responsible for the product to meet all requirements. In general, manufacturers need to work with a  
24 Notified Body (NoBo) or an equivalent certification service provider for guidance, testing and  
25 conformity assessment services to achieve compliance. Currently, there is no unified or standardised  
26 qualification of certification pathway for products made by metal 3D printing for the construction  
27 industry. However, many regulatory certification bodies have already developed specific certification  
28 pathways for those industries which already adopted metal 3D printing (e.g., aerospace, defence,  
29 maritime and oil & gas), and the construction industry can benefit from them.

30 Qualification is a process to demonstrate the ability to fulfil specified requirements that may involve  
31 specification review, design verification, feedstock material, manufacturing process, elaborate product  
32 testing and inspection, document preparation, and documenting the compliance in the form of a

1 qualification certificate. It is often a one-time exercise that helps in ensuring the manufacturer's  
2 familiarity with specified requirements and its compliance. Qualification may be carried out to qualify  
3 personnel, equipment, products, processes, or systems.

4 Certification is an act or process to assure a component complies with agreed/qualified parameters  
5 and standard, or specific requirements, documenting the compliance in the form of a certificate. Possible  
6 requirements for specific components may involve an unscheduled survey of the manufacturing process,  
7 inspection of products, verification of traceability, witnessing of test specimens, verification of  
8 compliance to requirements, etc. It is a repetitive exercise to certify the conformity of a single product, a  
9 product batch or series of product batches. The type of certification often depends on the criticality of  
10 the component, which in turn defines the involvement of certification requirements and activities.

11 DNV has developed and published a Class Guideline, DNVGL-CG-0197 [116], for metal 3D printing  
12 qualification and certification process for materials and components to facilitate its adoption in Oil &  
13 Gas and maritime industries. DNVGL-CG-0197 proposed different types of generic qualification and  
14 certification requirements for metal 3D printing that include the following important elements 1)  
15 Equipment Qualification / Calibration Certification; 2) Procedure and Facility Qualification; 3)  
16 Personnel Qualification / Endorsement; 4) Design Process Qualification; 5) Specifications and Design  
17 Review; 6) Powders / Materials Qualification; 7) Inspection and Certification Services; 8) Witness  
18 Audits; 9) Laboratory Testing. Table 3 provides the outline of the compliance framework for qualifying  
19 and certifying 3D printed metallic products.

20 Based on the experience from Oil & Gas and maritime industries, the authors propose the following  
21 qualification and certification framework for 3D printed products in the construction industry. It would  
22 help build trust and confidence in printing products and guide the manufacturers to comply with  
23 construction industry regulations. The certification pathway for the construction industry can be related  
24 to three phases of the development of new technology, as suggested below. Table 3 summarizes examples  
25 of potential approaches and typical procedures to demonstrate the path towards the technology  
26 development gateway:

- 27 • Phase 1: Procedure qualification phase, where manufacturers demonstrate proof of concept to prove  
28 feasible technology or products.
- 29 • Phase 2: Factory Production Control (FPC) Certification phase. The manufacturers or end-users  
30 design or manufacturing capabilities and process controls are assessed to determine if the  
31 manufacturer can produce specific grades or types of materials that conform to the relevant  
32 regulations.

1 • Phase 3: Certification phase, where manufacturers or end-users require certificates for materials or  
 2 products from regular production, either as individual parts or in batches, depending on the  
 3 certification requirement of those parts.

4 The current certification documents for metal 3D printing used in other industries [117] can be adopted  
 5 in the construction industry as the technology will bring more aid than harm. Adoption can be encouraged  
 6 by further developing specific standards for the construction industry. Table 5 provides an overview of  
 7 suggested activities to support qualification and quality control activities of 3D printed metal parts  
 8 production.

9

Stage	Compliance requirement	Example work scope
<b>Design</b>	Design Assessment/Verification	Check constructors design, drawings, calculations and specifications with applicable codes, standards, legal requirements (legislation) and purchase specification to assure safety, functionality and comfort for the users
<b>Material selection</b>	Material specifications	The conformity of the various products in accordance with construction requirements and relevant standards
<b>Qualification including type testing</b>	Validation of design, material, process, part and personnel	The qualification process ensures that the specified method, by which the parts are processed, is able to meet the qualifying criteria in a repeated manner in order to be identified as qualified.
<b>Factory Production Control (FPC) Certification</b>	Vendor Surveillance	A successful audit to check production and quality control procedures and inspection methods of products
<b>Product inspection and certification</b>		Witnessing destructive and Non-destructive Testing and Examinations (NDT/NDE) - Technical inspection in the workshop or on-site

Table 3. Suggested compliance framework for qualifying and certifying 3D products [116]

10

11

Phase	Potential solution / Example approach	Typical procedure to follow for demonstration
Phase 1 : Procedure qualification phase	Technical feasibility study	<ul style="list-style-type: none"> <li>Manufacturers produce a prototype material/product and test it to determine whether that prototype meet the specified requirements and technology is matured enough to go to production phase</li> <li>Typical deliverables of this phase are feasibility reports, requirement specifications, design specifications, software code, test cases, procedure qualification records, optimized process parameters, etc.</li> </ul>
Phase 2: Factory Production Control (FPC) Certification phase	Establishment of FPC quality system and factory audit	<ul style="list-style-type: none"> <li>Manufacturers establish a factory production control (FPC) quality system to document and demonstrate they follow good workmanship practices and their products are manufactured according to specified quality assurance requirements.</li> <li>FPC certification is obtained from a recognised third-party certification body that conducts factory facility audit and confirms that the products are manufactured according to specified quality assurance requirements</li> <li>Typical deliverables of this phase are FPC quality system manual, FPC certificate from third party.</li> </ul>
Phase 3: Certification phase	Part or batch certification	<ul style="list-style-type: none"> <li>Through material testing manufacturers shall generate material test certificates for every part or batch (where applicable) as a form of regular quality assurance on manufactured materials. This is to ensure that manufacturers assure their quality and reliability demonstrated during FPC certification is followed during regular production</li> <li>Typical deliverables of this phase are material test certificates</li> </ul>

Table 4. Examples of potential approaches and typical procedures to be followed at various phases to demonstrate the path towards the technology development gateway [115,116]

1  
2  
3  
4



<b>Metal 3D printing activity</b>	<b>Typical qualification and/or quality control activity</b>
<b>Design</b>	Design Assessment Requirements Specification FE calculations Regulations & codes Design Approval
<b>Materials</b>	Material handling procedures Facility audits Approved process Approved equipment Approved consumables
<b>Pre-processing</b>	Design file and cyber security Build layout with orientation, support structures & test specimens Software/firmware version Computational simulation of manufacturing process
<b>3D Printing / Manufacturing</b>	Build parameters Equipment Machine calibrations Consumables Operating procedures In-situ process monitoring and data- acquisition Approved cleaning and handling procedures
<b>Post-processing</b>	Removal from metal 3D printing system & support structures Handling & recycle unfused powder (if applicable) Cleaning routines Heat treatment procedures etc. Final machining (if applicable) Maintenance & calibration records
<b>Testing and Inspection</b>	Instruments with required accuracy Calibrated equipment and instruments Approved testing and Inspection procedures
<b>Verification /Certification</b>	Periodical and unscheduled audits and compliance check for process control and equipment's, essential accessories and facilities

1 Table 5. Detailed activities to support qualification and/or quality control activity of metal 3D printing [118]

## 8 Upscaling metal 3D printing for the construction industry: volume, material, cost perspectives

Overall the construction industry is one of large scale, low efficiency, and adverse to risk. To upscale any new technology faces significant entrenched headwinds and requires a comprehensive approach. This path will not be forged by a single entity but, more typically, occurs with multiple entities and projects coalescing over time. The following factors, which are both human and technology based, of desirability/necessity, unfamiliarity, and scalability (viability and cost) will determine the speed of adoption.

The primary driver of technology adoption is problem-solving. The construction industry is currently awash with “solutioneering” i.e. technology solutions that do not have a practical problem. Likewise, given the long history of construction, solutions already exist for all typical problems. So the critical issue to understand is what problem does metal 3D printing solve and therefore would cause wider adoption. Architectural desirability can be a driver given the unique look of optimized forms, but this can also be of limited scale as metal 3D printing can also be seen by architects as relinquishing authorship of the visual design. Whereas the necessity of performance, with many examples provided in Section 2 above, will have drivers from an industry increasingly looking for data related to performance whether driven to optimize performance or from an insurance and/or maintenance aspect.

The leading edge in construction is also often known as the bleeding edge where new trials cause new risks and unexpected costs. We expect the unfamiliarity of metal 3D printing to be addressed over time via specific solutions that move “up” the curve of project scale, repeat use, and visibility. We often see this with expansion from academic-led temporary pavilions to canopies, to high-end homes, to feature office, and finally to wider use. Note that what often slows this upscaling process is that the entities involved for each project are not the same (academic researchers are unlikely to be commissioned for a private house design). Therefore increased academic/industry collaboration will be required to speed up this process. The development of the associated technologies of analysis methods will occur in parallel and likely outpace the speed of physical adoption.

Finally, scalability typically is associated with the concept of large enterprises being able to offer product at lower cost. However, there is the chance metal 3D printing will develop an alternate path of distributed production which will lend itself to small production at high value [119]. The cost of setting up a metal 3D printing process, while not insignificant, is less than competing large-scale metal producers and fabricators with, notably, lower labour costs and increased production time and accuracy. We note metal 3D printing is not developing in isolation from other developing industry technology. For example, the increased commoditization of accurate point cloud scans on projects means significant 3D geometry

1 data is being captured from the built environment. There is a likely large market for the repair and  
2 rehabilitation of existing structures where 3D printed metal parts can be printed to match and connect to  
3 the specific and varying geometry of existing structures. Thus rapid development of printer, material,  
4 and production technology with associated quality control can develop on a localized basis to  
5 competitively meet specific needs and upend the traditional and burdened supply chain.

## 1 **9 Conclusions**

2 This paper states the opinion of a research group composed of academics and practitioners from  
3 Europe, US, Japan, and South Africa on how metal 3D printing can be a complementary tool/technology  
4 to conventional manufacturing to reduce CO<sub>2</sub> emissions, increase the resource-efficiency and workspace  
5 safety of the construction industry. We presented the current experimental use of metal 3D printing for  
6 small and complex components that allow to meet the dimension limits of metal printers and how these  
7 parts can be advantageous in the construction industry. We discussed how the use of printed metal  
8 components with structural roles poses the dependency of the mechanical properties and imperfections  
9 on the printing parameters, requiring specific structural integrity assessment for both static and cyclic  
10 loads. The construction sector researchers are studying various metal 3D printing processes (e.g., wire  
11 arc additive manufacturing, laser metal deposition, laser and electron beam powder bed fusion) to outline  
12 the applicability limits for each of them. The current research also focuses on the change of scale effects  
13 from the components used in the high-tech fields (magnification factor: 10), the need for certification  
14 processes, and design rules to guarantee a safer and easier design. The construction sector also needs  
15 reliable joining techniques to assemble printed components with conventional ones, and the research is  
16 currently focusing on arc welding and bolted connections. The digital nature of metal 3D printing can  
17 expand the architectural design, by increasing the number and breadth of design attempts. Despite the  
18 challenges and the recent first attempts at the use of metal 3D printing in the construction sector, this  
19 topic is attracting both academic and industrial research. Indeed, intense studies of metal 3D printing in  
20 the construction sector would enhance the overall knowledge about metal 3D printing and open new  
21 opportunities in all fields.

## 22 **Acknowledgements**

23 Department of Architecture, Built Environment and Construction Engineering is acknowledged for  
24 the support provided to organize 2-way webinar “Metal 3D printing: When will it be ready for  
25 Architecture, Engineering and Construction industry?” that gathered worldwide experts in 15-16 July  
26 2020 to discuss the topic of this paper. The Italian Ministry of Education, University and Research is  
27 acknowledged for the support provided through the Project "Department of Excellence LIS4.0 -  
28 Lightweight and Smart Structures for Industry 4.0". Special thanks to Professor Carlo Andrea Castiglioni  
29 and Professor Barbara Previtali for their support.

## 1 **Conflicts of interests**

2 One of the authors of this article is part of the editorial board of the journal. To avoid potential conflicts  
3 of interest, the responsibility for the editorial and peer-review process of this article lies with the other  
4 editors of the journal. Furthermore, the authors of this article were removed from the peer review process  
5 and have no access to confidential information related to the editorial process of this article.

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