

Vulnerability and Retrofitting of Torsionally Deformable RC buildings: a case study

Maria Gabriella Mulas¹, Lorenzo Stroffolini¹, Paolo Martinelli^{1*}

¹Department of Civil and Environmental Engineering, Politecnico di Milano, Milan, Italy

*Corresponding author. E-mail: paolo.martinelli@polimi.it

ABSTRACT: The paper presents the seismic vulnerability assessment and the subsequent retrofitting strategies of a reinforced concrete (RC) strategic building in Italy. At time of design, the erection site was not classified as a seismic area. With a structural layout widely spread in non-seismic zones and for buildings designed with obsolete seismic codes, the framed system was designed for gravity loads only, with an eccentric lift core and moment-resisting frames aligned in one direction. The structural seismic capacity is impaired by torsional deformability and the possible appearance of both brittle collapse mechanisms and pounding phenomena with an adjacent building, while the seismic demand is governed by the classification of “strategic building”. Two retrofitting strategies are here analysed, under the constraints of eliminating the torsional deformability and minimizing the interruption of normal activities in the building. The results highlight the advantages and disadvantages of the two strategies and the most important features of the structural response, providing indications for further actions. The adoption of a design spectrum reduced by a 0.6 factor, roughly equivalent to that for an ordinary building, extends the relevance of the work well beyond the case study.

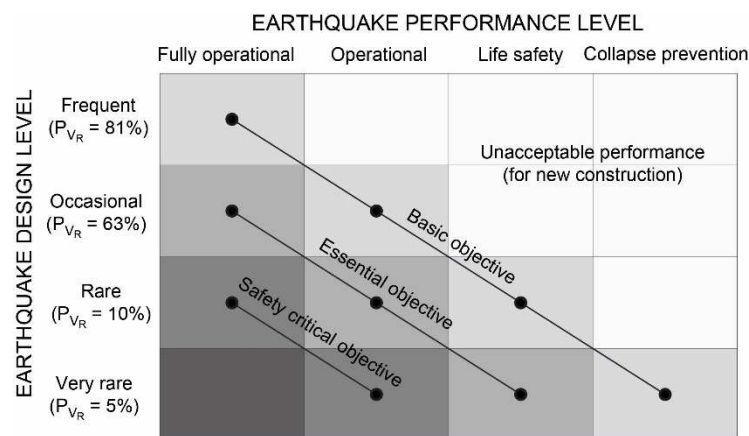
KEYWORDS: *RC non-ductile frame, torsional deformability, seismic vulnerability, obsolete codes, retrofitting strategies, strategic building.*

1 Introduction

The recent earthquakes in L’Aquila (2009) and on the Po Plain (2012) brought to light different aspects of the vulnerability of the Italian civil and industrial building stock. In the town of L’Aquila, the collapse of ten reinforced concrete (RC) buildings resulted in about half of the casualties, but the large majority of buildings of the same class and age (built in the ’50-60’), while suffering severe damage survived the earthquake, guaranteeing the primary function of saving life. The damage of the Po Plain event concerned mainly industrial precast buildings, erected without enforcing seismic prescriptions. In both cases, damage can be attributed to inadequacy of Technical Codes. Obsolete seismic codes, based on a limited knowledge, and delay both in encompassing recent knowledge and in seismic zonation, are the most common causes of the vulnerability of buildings.

For RC buildings having a rectangular plan, a widely spread structural scheme is composed of a parallel moment resisting frame aligned in the longitudinal direction and floor systems in the transverse direction. This resisting scheme, very effective in carrying gravity loads, lacks in bi-directionality and results in buildings characterized

32 by a strong and a weak direction, the former being that of the frames and the latter the perpendicular one (Mulas
 33 et al 2013; Mulas & Martinelli, 2017). Thus, the adequacy of their seismic behaviour is not guaranteed, if they are
 34 located in an area that today is classified to be of moderate or high seismicity. The extension to the entire Italy of
 35 the seismic zonation was only made in 2003 (OPCM 3274, 2003). In 2008, in the framework of performance-
 36 based design, a new technical code (NTC 2008, 2008) defined multiple performance objectives (Figure 1), in
 37 which a level of performance, expressed in terms of damage state, is associated to a hazard level, in terms of
 38 exceedance probability P_{VR} in the reference period V_R , that describes the expected seismic load at site (Kunnath,
 39 2005).



40
 41 *Figure 1 – Performance objectives (Kunnath 2005).*

42 Nowadays, diagnostics and subsequent planning of retrofitting interventions make it possible to reduce seismic
 43 vulnerability. The literature reports several examples of strengthening techniques for non-ductile RC structures
 44 (Thermou and Elnashai, 2006; Thermou et al., 2012; Thermou and Psaltakis, 2018; Zerbin and Aprile, 2015;
 45 Mazza, 2015). Moreover, over the past two decades, a large number of studies were conducted on Fibre Reinforced
 46 Polymer (FRP) wrapping (among the others Ilki et al., 2012; Del Zoppo et al. 2017) and steel jacketing techniques
 47 (e.g. Xiao and Wu, 2003; Choi et al., 2010) used to retrofit and to strengthen the existing RC columns. A detailed
 48 review of conventional repair schemes for RC frame buildings was reported by Thermou and Elnashai, (2006).
 49 Local (i.e., injection of cracks, shotcrete, steel plate adhesion, steel jacketing, externally bonded FRPs) as well as
 50 global (i.e., RC jacketing, addition of walls, external buttresses, steel bracing, base isolation) strengthening
 51 measures were discussed and their technological application details outlined. Although a multiplicity of factors
 52 influence the selection of the retrofit solution, Thermou and Elnashai (2006) proposed a table summary of the
 53 retrofit options, motivation for use, local and global effects, technological and design requirements, intended to
 54 provide a rapid guide to potential users. A review of the possible alternative choices for retrofitting and possible
 55 criteria for the mitigation of seismic risk is also presented by Calvi (2013). It is shown that it is possible to associate
 56 a loss analysis to different strengthening strategies, depending on the cost of intervention. A design methodology

57 for the seismic upgrading of torsionally sensitive substandard RC buildings was proposed by Thermou and
58 Psaltakis (2018). The methodology aims firstly to eliminate the effect of torsional coupling on modal properties
59 through the addition of peripheral RC walls and then to modify the lateral response shape of the building in each
60 direction, to achieve an optimum distribution of interstory drift along the building height. Zerbin and Aprile (2015)
61 presented four different design solutions for the retrofit of an existing RC frame with poor concrete quality and
62 inadequate reinforcement detailing. Strengthening solutions are based on FRP wrapping of the existing structural
63 elements or alternatively on the introduction of new RC shear walls. They concluded that low cost and non-
64 invasive FRP wrapping is in general the best solution to retrofit existing RC buildings.

65 The choice of retrofitting techniques should take into account geometric limitations, architectural constraints and
66 both economic and operational feasibility considerations, as well as the possible interruption of the normal
67 activities, if an invasive intervention is planned. Problems arise when retrofitting is applied to structures whose
68 load histories are not completely known, the availability of the original design documents and drawings is limited,
69 and it is difficult to accurately access the state of damage and degradation of concrete and steel.

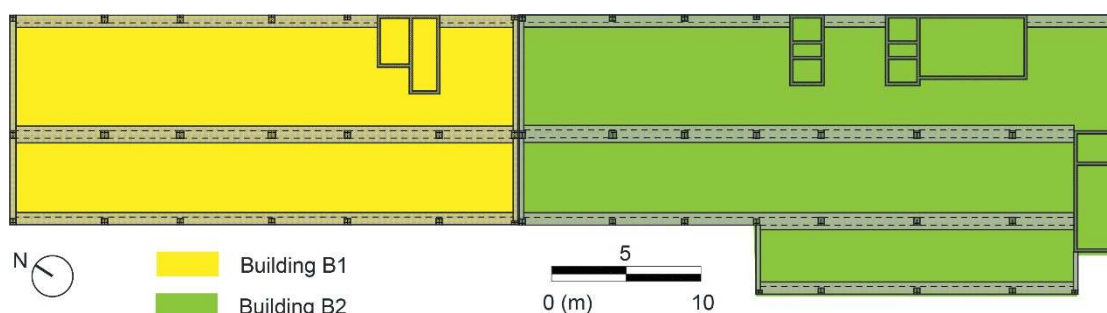
70 Within the building stock, the assessment of seismic vulnerability of strategic facilities, such as hospital and school
71 buildings, is of paramount importance to guarantee functionality even under extreme conditions. For the reasons
72 pointed out previously, a large number of strategic buildings was designed without adequate seismic criteria.
73 Recent studies showed the pronounced fragility and vulnerability of Italian strategic buildings under seismic
74 actions (Perrone et al. 2015; Ruggieri et al. 2020; Ruggieri et al. 2021). Perrone et al. (2015) proposed a Rapid
75 Visual Screening (RVS) method to determine a Safety Index for hospital buildings. The method includes the
76 influence of hazard and exposure and focused on the peculiarities of Italian hospital buildings including the
77 vulnerability of structural and non-structural elements. The procedure was applied to two Italian RC hospital
78 buildings built in the same period but in areas with different seismic risks. High and medium levels of risks were
79 identified for them: the absence of seismic detailing and the irregularity of the structure played an important role
80 in classifying one of the two in a high level of risk. In their study Ruggieri et al. (2020) proposed a RVS procedure
81 for the seismic risk assessment of RC school buildings. The methodology, which accounts for the characteristics
82 of Italian RC school buildings, includes both exposure and hazard in the evaluation of the Safety Index. The RVS
83 methodology was applied to ten RC school buildings and the results showed that most of the buildings had
84 structural deficiencies due to in-plan and in-elevation irregularities as well as to the absence of structural detailing.

85 The aim of this paper is to study the vulnerability and to discuss the retrofitting strategies for a rectangular building
86 with unidirectional frames and eccentric cores. The case study belongs to a RC strategic construction located in
87 Italy, designed for gravity and wind loads only. The erection site was not included in the areas of seismic risk in

88 the zonation of Italian seismic codes, and had not been struck by any strong earthquake since the building
89 construction. Nowadays, the status of “*strategic building*” is associated to a very high seismic risk (see Figure 1),
90 that must be tackled by a structure that was correctly designed for gravity loads but not to provide a satisfactory
91 seismic behaviour, whose design documents are not completely available. In addition, the building functionality
92 should be guaranteed during retrofitting operations and floor plans cannot be modified, limiting the insertion of
93 new elements to the building perimeter. All these issues are discussed in the paper. For confidentiality reasons,
94 extensive details about the overall geometry and location of the construction cannot be provided.
95 In the following, Section 2 describes the structure. Section 3 is devoted to structural modelling. The assessment
96 of seismic vulnerability is addressed, through both linear and nonlinear analyses, in Section 4. Section 5 presents
97 a few options for retrofitting strategies; whose results are discussed in Section 6. Conclusions are drawn in Section
98 7. The Italian Code prescriptions adopted in this work (NTC 2018, 2018; Circolare n. 7, 2019), are similar or
99 equal to those of Eurocode 8 (EN 1998-1, 2005; EN 1998-3, 2005). Notable differences will be highlighted within
100 the text.

101 2 The Building under Study

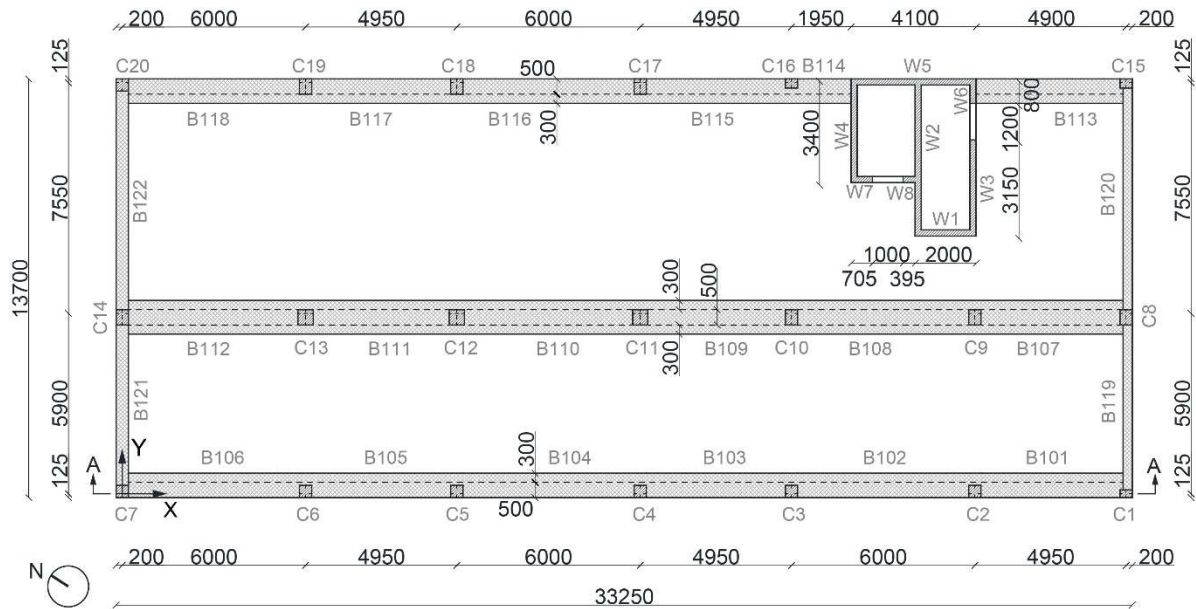
102 The strategic building under study is composed of many adjacent buildings, having independent RC framed
103 structures for vertical loads bearing capacity, separated by joints. This study considers only two of them, which
104 are precast buildings erected at the beginning of the 90’s and depicted in Figure 2. Building B1 is analysed in
105 detail, while only dynamic properties are determined for Building B2, to evaluate pounding phenomena between
106 them. In this respect, it must be taken into account that seismic interaction between adjacent buildings that are
107 partly and in a non-symmetric way in contact may introduce significant torsional oscillations (Karayannis and
108 Naoum, 2018). This type of structural interaction, referred to as asymmetric pounding, is unlikely in the buildings
109 under investigation, having both the same story heights and the same story levels. Hence, their potential interaction
110 can be classified as a diaphragm-to-diaphragm collision, typically less severe than a diaphragm-to-column
111 collision, taking place when the story levels of the two structures are different.



112
113 *Figure 2 - Plan of Buildings B1 (the case study) and B2. Stairs are located in B2 only.*

114 Available design documents include neither architectural drawings, here deduced from safety plans, nor vertical
 115 cross-sections. Technical drawings are available for slabs, but not for precast columns and beams. A design
 116 document named “Table of Columns” provides, at each story, cross-section dimensions and reinforcement
 117 geometry for all the columns. For beams, the outputs of a commercial code are the only source of relevant data.
 118 Due to the lack of on-site tests, in this work a numerical simulation of the initial design provided a partial
 119 validation of reinforcement geometry. Foundation plans do not include the details of the reinforcement geometry.
 120 Design loads and property of materials for precast elements can be deduced from technical reports. Tests
 121 certificates are available for cast-in-situ concrete only. A limited uncertainty also affects the steel properties.

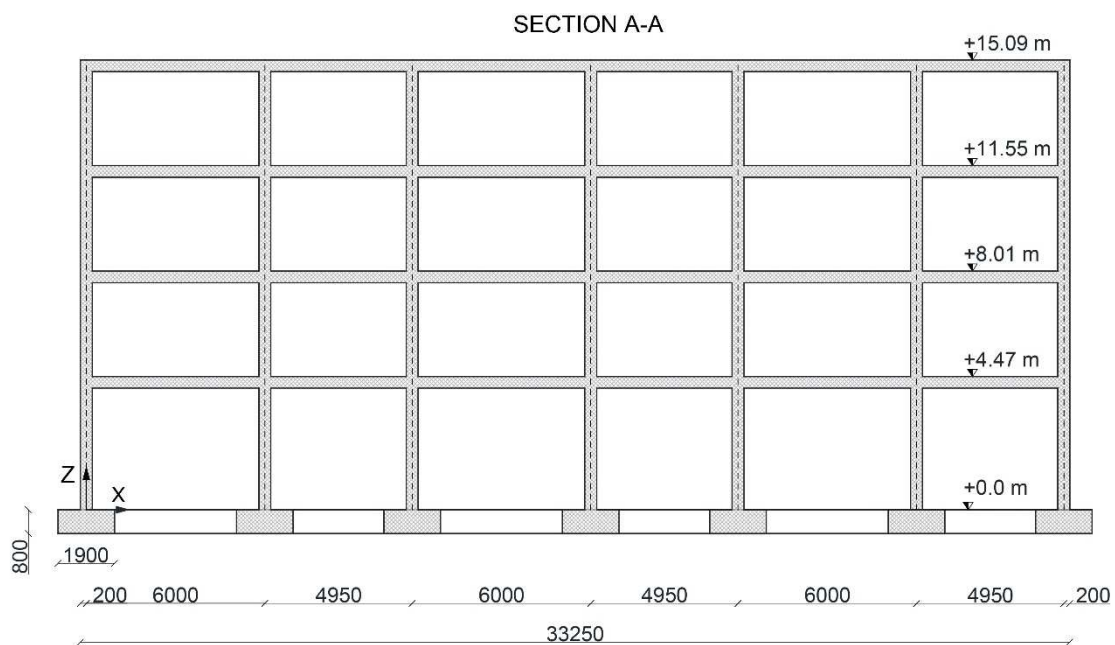
122 2.1 The structural system



123
 124 *Figure 3 – Building B1, ground floor plan, with the elevator core (left) and the technical core (right). Units:*
 125 *mm; columns, beams and wall numbers in grey.*

126 The 4-story Building B1 cannot be classified as regular in plan according to NTC 2018 (2018), due to the lack of
 127 symmetry about two perpendicular axes. At the building top, a horizontal roof supports a technical compartment
 128 for the elevator machinery. Floors have a rectangular configuration of 33.25×13.7 m. Figure 3 shows the plan
 129 view of the first/typical floor, while Figure 4 shows a longitudinal section. The short side (Y-direction) of the
 130 building is divided into two spans, 5.9 m and 7.55 m long, while 6 bays span along the X-direction, alternatively
 131 6 m or 4.95 m long. The resisting system of Building B1 is composed of three parallel frames acting along the
 132 longitudinal X-direction: secondary transverse beams are present only along the perimeter (see Figure 3). This
 133 spatial configuration of frames is widely spread in Italy also for buildings erected in seismic areas during the years
 134 50 and 60. Hence, the structure lacks bi-directionality and has a *strong* X-direction, reflecting a typical configura-
 135 tion for RC buildings deemed to support mainly vertical loads, as it was in the case study analysed by Mulas &

136 Martinelli (2017), where the structural behaviour was dominated by the presence of strong and weak directions.
 137 A few load-bearing walls, located in the two cores could potentially contribute to a bidirectional bracing resisting
 138 system even though they show an unfavourable eccentric position.
 139 The construction system of both buildings consists of precast elements (APE® precast system): single-storey
 140 reinforced vibrated concrete (RVC) column, self-supporting RVC beams and precast floors. Figure 5 shows a few
 141 details of the construction system. Additional cast in-situ concrete provides continuity at beam-to-column joints,
 142 even though such detail was not enforced, in non-seismic zones, by the Italian code on precast structures at time
 143 of design.

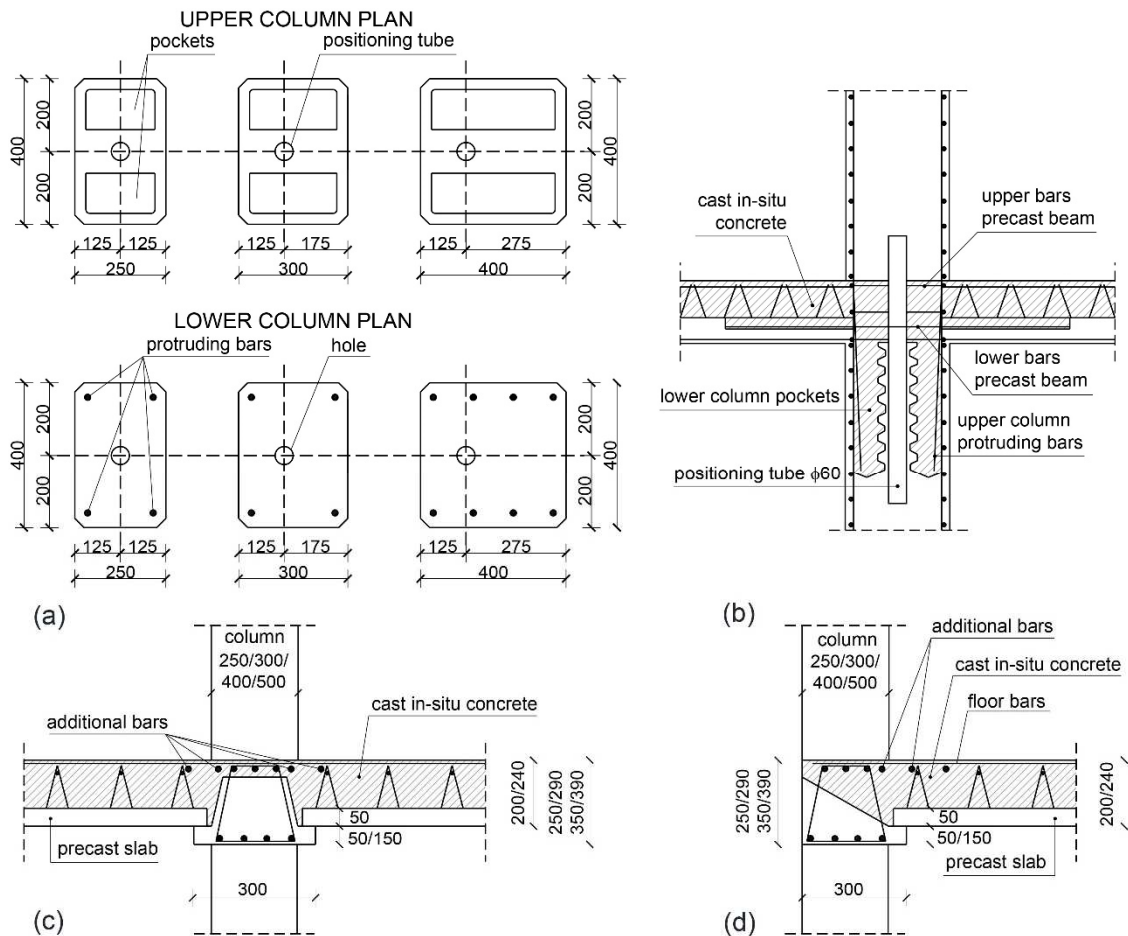


144
 145 *Figure 4 - Building B1: longitudinal section (units: mm).*

146 Floors are made of precast RC one-dimensional slabs with steel trusses and polystyrene foam blocks forming the
 147 joists. Slabs are 240 cm wide and their whole thickness is equal to $H = 40.5$ cm (4.5+32+4 cm). The lower layer,
 148 4.5 cm thick, ensures a REI 120 resistance against fire hazard, where the acronym REI identifies an element that
 149 must retain, for 120 minutes, the mechanical strength (R), the resistance to flames and hot gases (E), and the
 150 thermal insulation (I). A welded mesh with a diameter $\varnothing 6$ and with spacing of 200 mm is placed in the cast in-
 151 situ top layer of concrete. Roof floors are flat, carrying an insulating layer, sheaths and gravel.

152 Columns are tapered, with sections reducing moving upwards, as shown in Table A1. All but three cross-sections
 153 are 40 cm deep; width is in the range 25-50 cm. Each column has lower protruding longitudinal bars and upper
 154 pockets: lower bars of each column fit into the pockets of the one below or of the foundation (Figure 5a). During
 155 construction, a column is plumbed and the pockets are sealed with a cast in-situ concrete. To ensure alignment
 156 and verticality of columns during erection (Figure 5b) a hole (either centred or eccentric) housing a tube is present

157 at the upper ends. Shear reinforcement is provided by $\varnothing 6$ stirrups spaced of 20 cm, a detailing no longer allowed
 158 by both Italian and European codes. Perimeter infill walls, 40 cm thick, are made of 25 cm masonry blocks, 5 cm
 159 polyurethane layer and 10 cm RC precast outer panels, anchored outside the supporting columns.



160
 161 *Figure 5 – APE® precast system, details for generic: a) columns cross-sections, b) beam-column joint, c)*
 162 *internal longitudinal beam and d) external longitudinal beam (units: mm).*

163 All beams are 39 cm high. Transversal beams are rectangular, 30 cm wide. Longitudinal beams are of two types:
 164 the central ones, T-shaped, are 110 cm wide; the lateral ones, L-shaped, are 80 cm wide. The shape of non-
 165 rectangular cross-sections is due to the in-situ cast of the slabs extending up to the beams (Figure 5c-d). These
 166 elements lack in seismic detailing: in particular, stirrups spacing and geometry are constant along their length.
 167 Dimensions in Figure 5 are not exhaustive of the actual dimensions used in construction. The geometry of the
 168 joint reinforcement is not known.

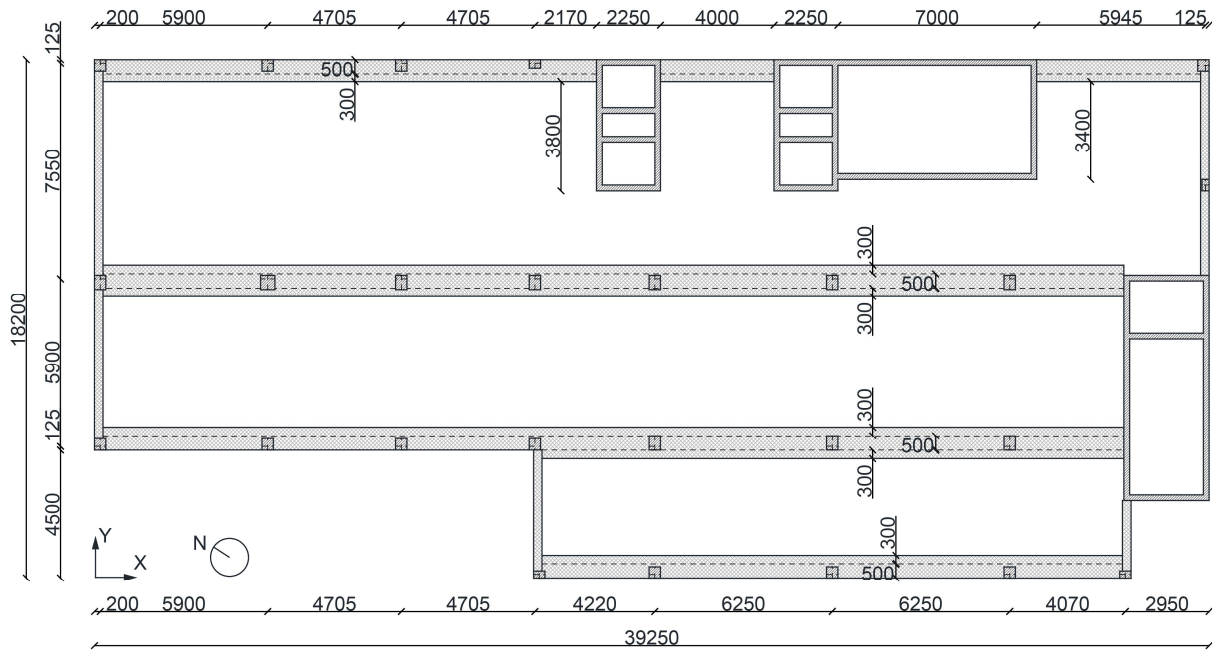
169 The RC walls composing the lift core and the technical core were cast on site. They develop along the entire height
 170 of the building with a constant thickness of 20 cm. The lift core has an opening of 2.2 m at each floor, while the
 171 technical core has an opening only at the ground floor (Fig. 3). Only one of the available documents contains the
 172 construction detail of the reinforcement of a generic wall of the lift/technical core. In vertical, $2\varnothing 12$ spaced of 20
 173 cm and $2\varnothing 10$ spaced of 20 cm are arranged for the first and last two floors, respectively. Horizontally, the

174 reinforcement is constant and equal to 2Ø8 spaced of 25 cm. Herein, this reinforcement is adopted for all the
175 walls.-The document does not contain data on the details of connection of walls to adjacent beams.
176 Foundations are composed of RC footings 80 cm high, supported by piles and connected by RC beams 80 cm
177 high and of different widths. There are seven types of footings, differing in size and in the number of piles on
178 which they rest. The foundation system is only partially adequate, due to lack of connections in the two
179 perpendicular directions between adjacent plinths.
180 FeB44k-class steel was used for all the structural elements, with a characteristic yielding strength $f_{yk} = 430$ MPa
181 and an ultimate tensile strength $f_k = 540$ MPa. Several types of concrete were used: a characteristic cubic strength
182 (R_{ck}) of 25 MPa was used for foundations and walls; $R_{ck} = 30$ MPa was used for columns, infills, slabs, and
183 $R_{ck} = 45$ MPa was used for beams. The lack of a complete knowledge of materials and geometry would require
184 experimental activities to adopt the highest level of knowledge LC3 allowed by Italian Code for existing structures
185 (equivalent to KL3 in EN 1998-3, 2005). Hence, in the following, the average knowledge level (LC2 or KL2) is
186 assumed and material strengths are reduced by a confidence factor of 1.2, even though a very limited uncertainty
187 affects the properties of the cast-in-factory concrete of beams and columns. The values of dead and live loads to
188 be adopted in the structural analysis according to NTC 2018 (2018) are listed in Table 1.

189 *Table 1 – Dead loads and live loads for each floor.*

Floor (floor part)	Self-weight + furniture (kN/m ²)	Surface weight of partitions (kN/m ²)	Live loads (kN/m ²)
Fourth floor	6.5	-	1.5
First-second-third floor	7.0	7.05	5.0
Ground floor	7.0	7.05	5.0
Stairwell	6.5	-	4.0
Landings	6.0	-	4.0
Technical compartment	3.0	-	5.0

190
191 The plan of Building B2 is depicted in Figure 6. With a different but more favourable plan configuration, since
192 cores have a reduced eccentricity, Building B2 adopts the same type of resisting system, structural elements and
193 materials and the same values of load described for Building B1.



194

195

Figure 6 - Typical floor plan of Building B2 (dimensions in mm).

196 3 Structural Modelling

197 Structural models of the structures under study are developed by means of the SAP2000 (2018) finite element
 198 software, based on the original design documents and on the knowledge of the current state of the building. A
 199 linear model is built for static, modal and response spectrum analyses, while a nonlinear model is set for pushover
 200 analysis. In the latter, nonlinear behaviour is described through Mander's stress-strain model (Mander et al., 1988)
 201 for concrete, while a hardening elastic-plastic constitutive law with kinematic hysteresis is assumed for steel.
 202 Lumped plasticity elements are adopted by introducing automatic plastic hinges (SAP2000 2018) at the end
 203 sections of elements. The parameters necessary for the moment-plastic rotation angle relationships are taken from
 204 ASCE 41-07 (2007), Tables 10.7 and 10.8, depending on the value of internal forces, reinforcement ratios,
 205 material properties and sizes of beams, columns and walls. Tables 2 and 3 show the material properties for
 206 concrete and steel, respectively. As anticipated, strengths are reduced by the factor 1.2 corresponding to the
 207 knowledge level LC2.

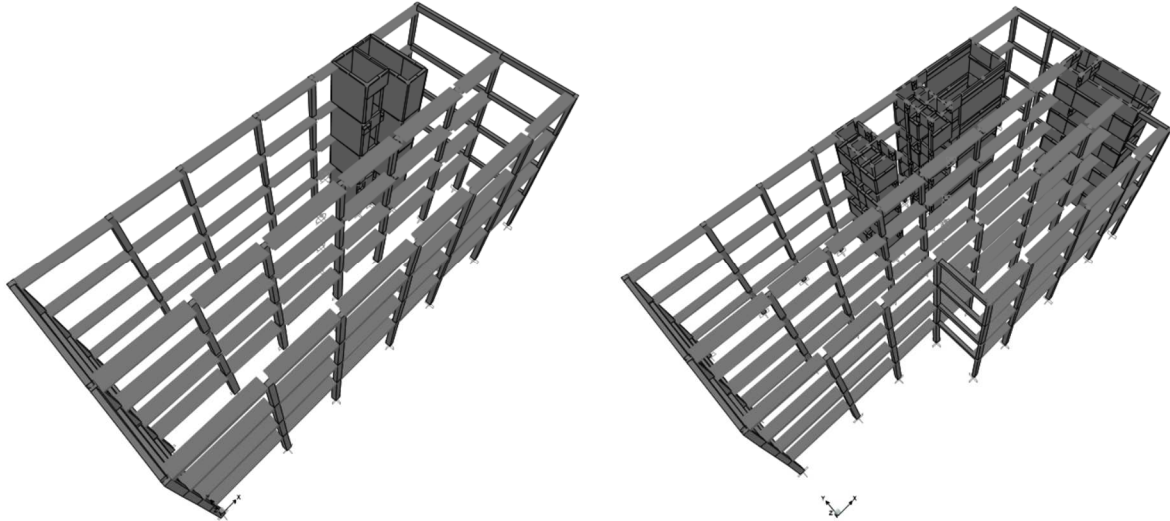
208 Table 2 - Concrete properties. Note: E_c = Young modulus; f_{cd} = compressive design peak strength; ϵ_c = strain at
 209 the compressive peak strength; ϵ_{cu} = ultimate strain in compression

Material	E_c [MPa]	f_{cd} [MPa]	$\epsilon_c \times 10^{-3}$	$\epsilon_{cu} \times 10^{-3}$
C20/25	30076	17.0	2.0	3.5
C25/30	31311	20.4	2.0	3.5
C35/45	34462	30.5	2.0	3.5

210 *Table 3 - Steel properties. Note: E_s = Young modulus; f_{yd} = yielding design strength; f_{td} = ultimate design strength;*
 211 *f_{yk} = yielding characteristic strength; f_{tk} = ultimate characteristic strength; ε_{sy} = yielding strain; ε_{su} = ultimate*
 212 *strain*

Material	E_s [MPa]	f_{yd} [MPa]	f_{td} [MPa]	f_{yk} [MPa]	f_{tk} [MPa]	$\varepsilon_{sy} \times 10^{-3}$	$\varepsilon_{su} \times 10^{-3}$
FeB44k	200000	358	450	394	495	1.79	67.5

213



214

215

Figure 7 - FE model of Buildings B1 (left) and B2 (right).

216 Figure 7 shows the FE model of Buildings B1 and B2. Floors are modelled as rigid horizontal diaphragms, because
 217 of their very high in-plane stiffness. Beams and columns are modelled using two-node beam elements (6 DOFs
 218 per node), having a 3-D formulation including the effects of biaxial bending, torsion, axial deformation and biaxial
 219 constant shear deformation. A rigid zone length, equal to half of the beam-column joint length, is considered for
 220 both beams and columns. Columns are clamped at their base, accounting for the effect of a foundation system
 221 with high flexural stiffness.

222 Walls are modelled by using the "wide beam" formulation, i.e. using shear-deformable beam elements and
 223 introducing rigid links into the end nodes in order to consider the actual size of the section. Discretized connection
 224 between orthogonal walls is enforced by assuming vertical shear transmission at the floor levels. Connection to
 225 adjacent beams follows the geometric detail in Figures 3 and 6. At each floor, lintels centreline has the same
 226 vertical coordinate of the slab to comply with the rigid diaphragm hypothesis. A rigid zone length is adopted also
 227 for beam-wall connections, with a length equal to half of the actual length of the connection. Infills walls, lacking
 228 the proper details of the connection to the columns, are not considered in the FE model. Mass of resisting elements
 229 (beams, columns, walls) is automatically accounted for, while masses of slabs, infills, landings and stairs are
 230 assigned, for each floor, to a master node, located at the centre of mass of the floor. The moment of inertia about
 231 the vertical axis is also accounted for. Since during a seismic event RC structural elements undergo cracking, 30%
 232 and 20% stiffness reduction in terms of area and moment of inertia are considered for Life Safety Limit State

233 (LSLS; no-collapse requirement in EC8) analysis and Damage Limit State (DLS) analysis, respectively. The
 234 stiffness reduction applied to columns is justified in the light of the results, shown in Section 4.1, in terms of both
 235 capacity and ductility. Tables 4 and 5 show the results of the modal analysis performed for B1 and B2,
 236 respectively, assuming the 30% stiffness reduction. Coupled torsional/transversal modes appear in both cases.

237 Table 4 - *Modal properties of B1 assuming a 30% stiffness reduction due to cracking.*

Mode	Period [s]	Modal Participating Mass [%]			Cumulative Modal Participating Mass [%]			Mode type
		M _X	M _Y	M _{RZ}	M _X	M _Y	M _{RZ}	
1	1.290	7.9	36.3	42.1	7.9	36.3	42.1	Torsional/Transversal
2	0.556	74.9	9.1	0.4	82.8	45.4	42.5	Longitudinal
3	0.420	0.1	2.5	9.5	82.8	47.9	52.0	Torsional
4	0.310	4.1	38.5	38.2	86.9	86.4	90.2	Transversal/Torsional
5	0.233	0.4	1.0	1.3	87.3	87.4	91.5	Torsional/Transversal
6	0.173	10.9	2.5	0.0	98.2	89.9	91.5	Longitudinal/Transversal

238 Table 5 - *Modal properties of B2 assuming a 30% stiffness reduction due to cracking.*

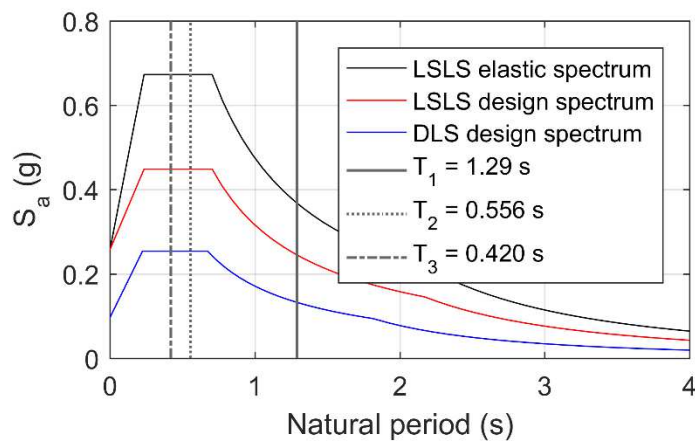
Mode	Period [s]	Modal Participating Mass [%]			Cumulative Modal Participating Mass [%]			Mode type
		M _X	M _Y	M _{RZ}	M _X	M _Y	M _{RZ}	
1	0.525	7.2	47.5	33.2	7.2	47.5	33.2	Transversal/Torsional
2	0.252	59.3	21.0	3.1	66.5	68.5	36.3	Longitudinal/Transversal
3	0.186	13.6	14.3	53.7	80.2	82.8	90.0	Torsional/Transversal
4	0.169	3.2	10.0	0.6	83.4	92.9	90.7	Transversal
5	0.095	0.1	0.7	0.6	83.5	93.6	91.2	Transversal
6	0.079	10.8	3.1	0.5	94.3	96.6	91.7	Longitudinal/Transversal

239
 240 As already stated, Building B1 is not regular in plan, due to lack of symmetry caused by the eccentricity of the
 241 two cores. In addition, it belongs to the class of torsionally deformable buildings (as defined by both Italian and
 242 European Codes), also due to the unidirectional texture of the beams. The fundamental period is associated with
 243 a torsional modal shape. Building B2 is also torsionally deformable, but, owing to the reduced eccentricity of lift
 244 cores, is characterized by shorter periods and the torsional mode appears as 3rd mode only. For both buildings,
 245 small columns' cross-sections contribute to the 1st mode period elongation.

246 4 Assessment of Seismic Vulnerability

247 The seismic action on Building B1 is represented by a response spectrum depending on the site seismic hazard
 248 and on the soil type, in accordance with the Italian Building Code (NTC 2018, 2018) and coherent with the general

249 concepts of EC8. The status of strategic importance of the building is associated with a nominal life $V_N = 100$
 250 years associated to a coefficient of usage $C_u = 2$, resulting in a reference period $V_R = C_u \times V_N = 200$ years. Hence,
 251 large return periods are associated with the LSLS and DLS, equal to 1898 years ($P_{VR} = 10\%$ in Figure 1) and
 252 120 years ($P_{VR} = 81\%$), respectively. The soil type is of class D, the topographic amplification factor is 1.0. The
 253 resulting horizontal peak ground acceleration (PGA) is $0.258g$ for the LSLS and $0.097g$ for the DLS. The
 254 corresponding elastic and design response spectra are depicted in Figure 8, where vertical lines indicate the periods
 255 of the first three modes of Building B1 (Table 4). The very long first mode period falls in the spectrum descending
 256 part, i.e. the constant velocity branch. The assessment adopts the full spectrum, as for a new structure. However,
 257 the LSLS design spectrum is obtained from the elastic one by adopting a behaviour factor $q = 1.5$, smaller than
 258 the value one would adopt in design. In fact, due to the torsional deformability of the structure, the q factor cannot
 259 be larger than 2; a further reduction is adopted due to the lack of adequate detailing to guarantee a sufficient
 260 ductility level. These assumptions will be revised in the retrofiting phase.
 261 Following prescriptions of NTC 2018 (2018), the analyses do not account for vertical excitation and for spatial
 262 variability of the motion. The former can be neglected because there are no prestressed, suspended, cantilever or
 263 longer than 20 m elements, even though the ground acceleration $a_g > 0.15g$. The latter was not considered due to
 264 the limited plan dimension of the building and to the presence in the longitudinal direction of beams connecting
 265 footings.



266
 267 *Figure 8 – Elastic and design spectra, 5% damping. T_1 , T_2 and T_3 = first three periods of Building B1.*

268 4.1 Response spectrum analysis

269 The response spectrum analysis (RSA) is only performed for the horizontal components of seismic motion.
 270 Accidental eccentricities of centres of mass are introduced to account for the uncertainties about the position of
 271 these points and the spatial variability of seismic motion. A total of 32 load combinations arises when the four

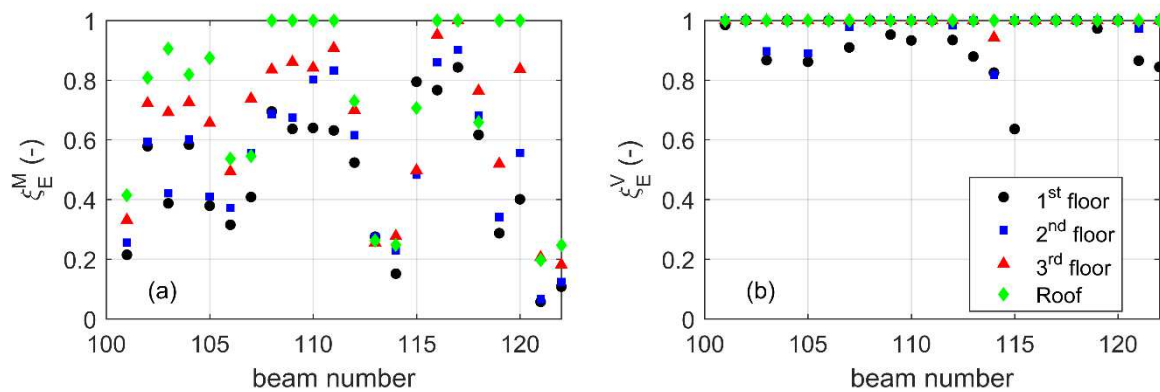
272 possible positions of the centre of mass are associated to the 8 possible combinations of the seismic action
 273 components in both horizontal directions. Seismic effects are determined by applying the 30% combination rule.
 274 Dead and live loads are considered according to the seismic combination defined in both NTC 2018 (2018) and
 275 EN 1990 (2005). Inertial masses acting during the earthquake are defined according to the Italian Code.
 276 All the elements are checked with regard to the LSLS design spectrum: beams are verified in bending (M) and
 277 shear (V), columns in combined moment and axial force (N) and in shear. Walls are verified in shear, axial force
 278 and bending. The rate of action ξ_E carried by the i -th element is defined in bending, in bending coupled with axial
 279 load and in shear, as the ratio between capacity ($M_{Rd}, M_{Rd}(N), V_{Rd}$ respectively) and demand ($M_{Ed}, M_{Ed}(N), V_{Ed}$
 280 respectively) as it follows:

$$\xi_E^M = \frac{M_{Rd}}{M_{Ed}} \quad (1)$$

$$\xi_E^{M(N)} = \frac{M_{Rd}(N)}{M_{Ed}(N)} \quad (2)$$

$$\xi_E^V = \frac{V_{Rd}}{V_{Ed}} \quad (3)$$

281 The non dimensional parameters $\xi_E^M, \xi_E^{M(N)}, \xi_E^V$ are computed for all the structural elements. Values smaller than
 282 unity denote an insufficient capacity. Figures 9, 10 and 11 show the results for beams, columns and walls,
 283 respectively. For the sake of compactness, in these figures values larger than one were set equal to unity. A black circle,
 284 a blue square, a red triangle and a green rhombus indicate results at 1st, 2nd, 3rd and 4th floor, respectively.



285
 286 *Figure 9 - Beams assessment: a) bending, b) shear.*

287 For beams, numbered from 101 to 122 (see Figure 3), all critical cross-sections are analysed (both ends and mid-
 288 span) and the worst result for each beam is represented in Figure 9. For columns, biaxial bending and axial force
 289 are considered, taking into account both positive and negative sign of the spectral value of the latter. Figure 10a
 290 shows the most critical situation for each column.

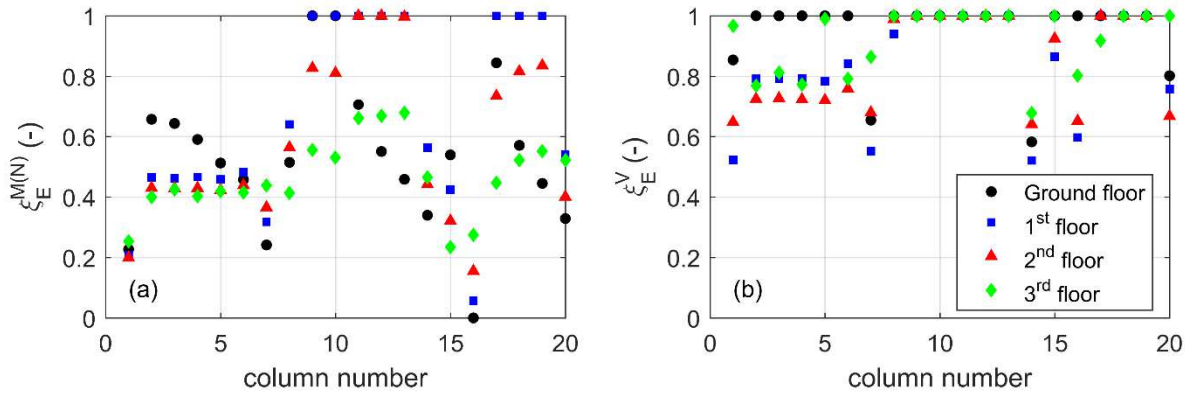


Figure 10 - Columns assessment: a) bending and axial force, b) shear.

291
 292
 293
 294
 295
 296
 297
 298
 299
 300
 301
 302
 303

Frame elements show deficiencies in bending and shear. Only 9 out of 88 beams comply with flexure requirements and, in some cases, strength covers only 10% of demand. The situation is better for shear requirements, satisfied by 68 elements, with strength covering at least 60% of demand. For columns, only 12 out of 80 meet compression and bending requirements, but 42 verify shear resistance. The result worsens moving upwards, due to the loss of the favourable contribution of axial force. An opposite trend is observed for beams. Column C16, at the left of the elevator core, is subjected to a tension larger than the strength offered by longitudinal reinforcement. Walls are the most critical elements. Due to their stiffness, they attract a significant rate of seismic action but their reinforcement ratio is not sufficient to provide the corresponding strength. Due to the low value of axial force due to gravity loads, only 3 out of 29 wall elements are verified in tension and bending and 22 are subjected to a tension exceeding the strength of longitudinal reinforcement (results are not shown here for the sake of brevity). Figure 11 shows that no wall is verified in shear, showing in some cases percentages around 5%.

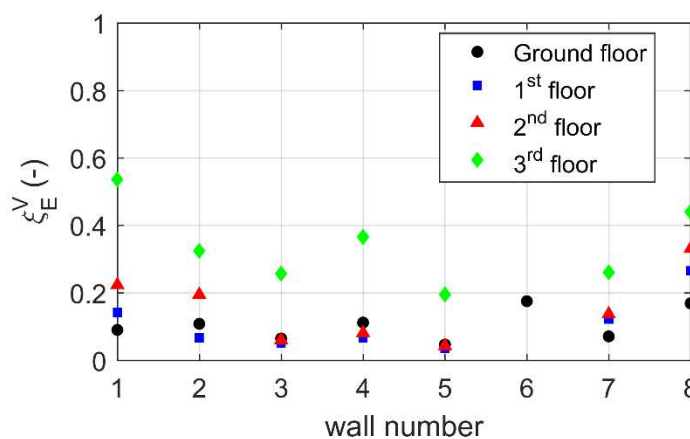


Figure 11 - Walls assessment: shear (see Figure 3 for wall numbering).

304
 305
 306
 307
 308

The Italian seismic code also poses a few requirements for deformability and ductility. For the first aspect, a minimum distance between two adjacent buildings is required to prevent pounding phenomena. For the case under study, a realizable value of gap equal to 7.8 cm should be present at top floor. The sum at top floor of LSLS

309 horizontal spectral displacements for Buildings B2 and B1 is equal to 11.6 cm, exceeding the minimum value
310 required by the Italian code. The larger contribution, 9.3 cm, is due to B1.

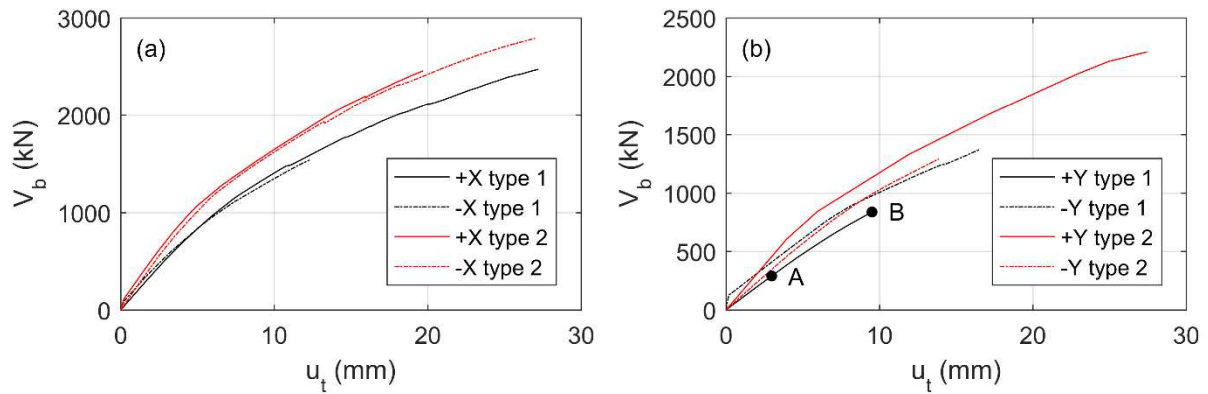
311 Inter-story drifts under DLS seismic action are computed for Building B1, to avoid non-structural damage that
312 would make the construction temporarily unfit for use. The code requirement is satisfied at each floor along the
313 longitudinal X-direction (Figure 3), but only at the top floor along the transversal direction, that confirms it to be
314 the weak direction for Building B1.

315 For the second aspect, the available ductility in curvature is computed for all structural elements. All beams respect
316 ductility prescriptions, while columns and walls are very far from fulfilling ductility requirements. Only 5 out of
317 60 nodes respect the "*strong column - weak beam*" criterion. The results worsen moving upwards since beams
318 dimensions are constant while columns are tapered, with a reduction of reinforcement area. Hence, brittle collapse
319 mechanisms where beams do not develop their full capacity, as soft/weak story, could occur. Since no strategy
320 about the ductile properties of elements was taken into account in design, these results confirm the soundness of
321 the choice of a q-factor equal to 1.5 for the original structure.

322 **4.2 Pushover analysis**

323 Response spectrum analysis highlights the deficiencies of the individual structural elements (columns, beams,
324 walls). The nonlinear static analysis, or pushover analysis, is adopted to investigate how these deficiencies
325 influence the overall behaviour of the structure and to analyse the collapse mechanisms. In this analysis, gravity
326 loads acting in the seismic combination are applied first. Subsequently, an incremental pattern of horizontal forces
327 is applied, monotonically increasing up to the collapse limit state. Neglecting the accidental eccentricity accounted
328 for in RSA, at each floor forces are applied at the centre of mass (the master node), that is in eccentric position
329 with respect to the centroid of the rectangular plan area. Forces are distributed along the height according to an
330 estimate of the pattern of floor inertia forces, considering two force distributions as prescribed by both Italian and
331 European Code, one from Group 1 of the main distributions, the other from Group 2 of secondary distributions.

332 The former, denoted as "type 1", is proportional to the inertia force at each floor calculated through a multimodal
333 linear dynamic analysis. The latter, denoted as "type 2", corresponds to a uniform force distribution along the
334 height of the construction.



335

336

Figure 12 – Capacity curves for seismic forces applied: (a) in X-direction; (b) in Y-direction.

337

338

339

340

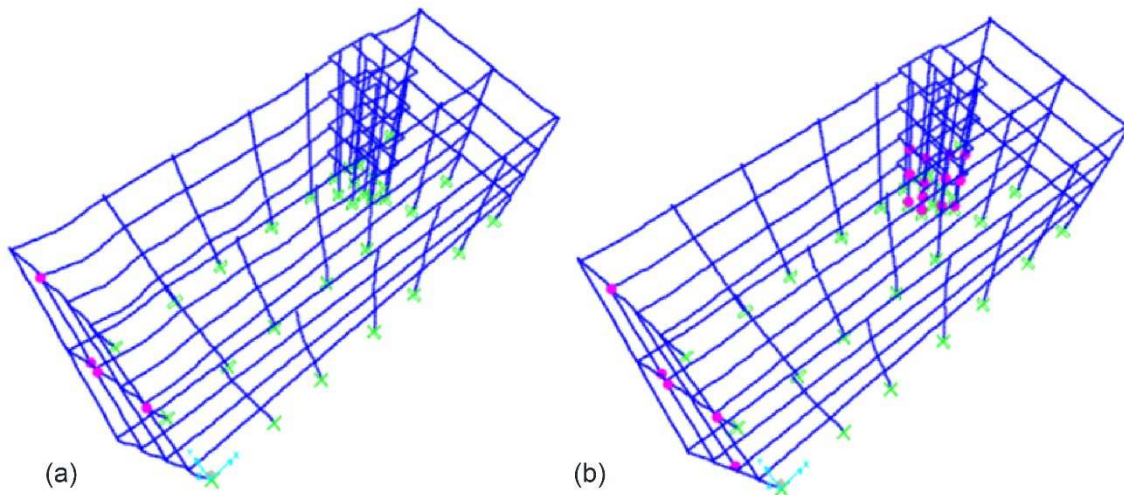
341

342

343

344

As allowed by the Italian Code NTC 2018 (2018) for nonlinear static analysis, each of the two horizontal components (X- and Y-direction, see Figure 3) is applied separately. The model is subjected to a nonlinear, monotonic, pushover analysis, adopting the centre of mass of the last floor as control node. The analyses are in displacement control, with a displacement step of 0.25 mm, a maximum number of iterations per step equal to 40, and a tolerance of 0.001 mm on the displacement. Equilibrium at each load step is imposed with the Newton–Raphson method. The resulting capacity curves in terms of top displacement (u_t) versus base shear (V_b) are shown in Figure 12a and 12b, for both type 1 and type 2 distributions and forces acting in $\pm X$ and $\pm Y$ directions, respectively.



345

346

347

Figure 13 – Plastic hinges evolution for seismic load forces of type 1 applied along +Y direction: (a) load step 12 (point A in Fig. 12b) and (b) load step 37 (point B in Fig. 12b).

348

349

350

351

352

In Figure 12, the most critical load condition is given by seismic load in the positive Y-direction with a force distribution of type 1. In this case the structure can sustain only a very small top displacement equal to 9.5 mm. In the X-direction, the structure has a larger, although limited, displacement capacity equal to 12.3 mm. In the Y-direction a lack of both ductility and strength is detected, confirming that the weak direction of the building is the transverse direction (see the value of the base shear in Figure 12b). For the most critical load condition, a brittle

353 failure was observed in the walls due to the level of tensile forces, exceeding the capacity provided by the limited
354 amount of steel reinforcement and preventing the full development of frames capacity.
355 Figure 13 shows the evolution (i.e., the formation and development) of plastic hinges, for the most demanding
356 seismic load combination (+Y direction, type 1 distribution) at two different load steps. In particular, Figure 13a
357 refers to the load step 12 (point A in Fig. 12b), while Figure 13b shows the plastic hinges development at the end
358 of the analysis (load step 37, point B in Fig. 12b). The evolution of plastic hinges confirms that walls are the
359 weakest structural elements under seismic actions. The wall base cross-sections plasticize prematurely at low
360 values of the horizontal seismic forces, due to the combined effect of axial force and bending moment. Plastic
361 hinges develop first on the columns and then on the beams, confirming the non-fulfilment of the hierarchy strength
362 principle of "*weak beam-strong column*".

363 **5 Retrofitting Strategies**

364 The seismic analyses pointed out the drawbacks to be limited. At a global/structural level, a desirable retrofitting
365 intervention should modify the building response, eliminating the torsional deformability associated with a long,
366 first mode period, while limiting the risk of pounding on the adjacent building connected with the excessive
367 deformability. At the element level, it is necessary to provide strength and ductility. Retrofitting strategies aiming
368 to reduce the structural role of either the walls or the frames, transforming them in secondary elements (NTC
369 2018, 2018; EN 1998-1, 2005), are not applicable to this case. On one side, the good quality of the framed precast
370 structure, in terms of construction system (continuity at nodes) and material properties, suggests maintaining their
371 role as a lateral force resisting system. On the other side, the weakness of the walls indicate that they are not strong
372 enough to be the primary elements. Base isolation appears practically unrealizable due to the lack of a basement.
373 Moreover, it could be impossible to accommodate the large relative displacements between adjacent buildings
374 involved in this technique.

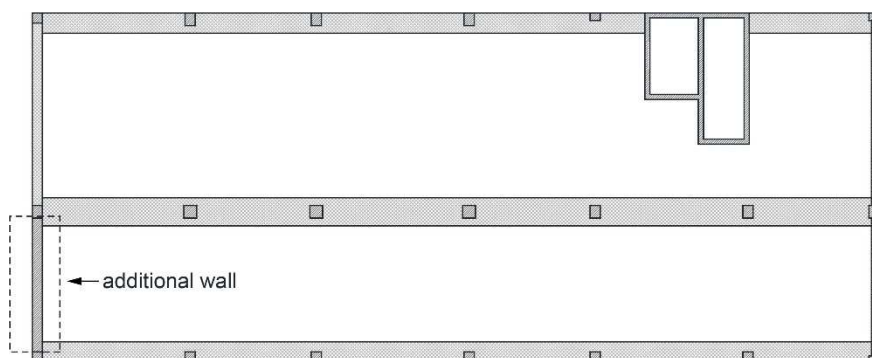
375 In this work, two retrofitting strategies are devised that respect the constraint, dictated by the building usage, of
376 inserting new elements in the perimeter only. They act in the same way at the element level, where the
377 strengthening of the existing frames is pursued through the addition of an external steel reinforcement with the
378 CAM[®] (Active Confinement of Masonry) system (Marnetto et al., 2018; Monti et al., 2016). At a global level, the
379 aim of regularizing the torsional mode and relieving the overstress of existing walls is reached by acting in two
380 opposite ways on the building stiffness, either increasing or decreasing it.

381 The design philosophy of the proposed interventions follows the prescriptions of the NTC 2018 (2018) for existing
382 buildings that lists two main categories of retrofitting interventions, depending on the value of the ratio ζ_E between

383 the maximum seismic action that the structure can withstand safely and the seismic action that would be used for
384 a new building. A value of $\zeta_E = 1$ characterizes the *conformation* intervent, when the existing building is made
385 adequate to sustain the whole seismic input. For $\zeta_E < 1$ an *improvement* intervention takes place. In both cases,
386 the value of the other actions acting simultaneously is the same as for new buildings (NTC 2018, §8.3). For the
387 case study, given the lack of capacity of the original structural system and the status of strategic building, a value
388 of $\zeta_E = 0.6$ is assumed as a realistic limit to be pursued. This represents the minimum value that must be reached
389 in any retrofitting intervention on a strategic existing building (§8.4.2).

390 5.1 Stiffening strategy

391 The stiffening strategy, schematically shown in Figure 14, aims to increase the structural seismic capacity. In the
392 stiffening intervention, a new transverse RC shear wall is inserted in the structural system, in a position where a
393 foundation beam is present. The new vertical element, extending along the whole building height, is introduced
394 along the plan perimeter between the columns C7 and C14, replacing the external infill between the two. This
395 choice allows use of internal spaces during refurbishment works. The new wall is intended to reduce the demand
396 on existing walls. Its position is capable of regularizing the torsional mode shapes and stiffening the structural
397 system, inserting a resisting element along the building's weak direction. The wall is designed with a concrete
398 class C35/45 and reinforcement bars of type B450C. The wall thickness is 40 cm and its width is constant in
399 elevation. Its connections to the existing elements are carefully detailed.



400
401 *Figure 14 – Stiffening strategy for Building B1: addition of a new RC wall.*

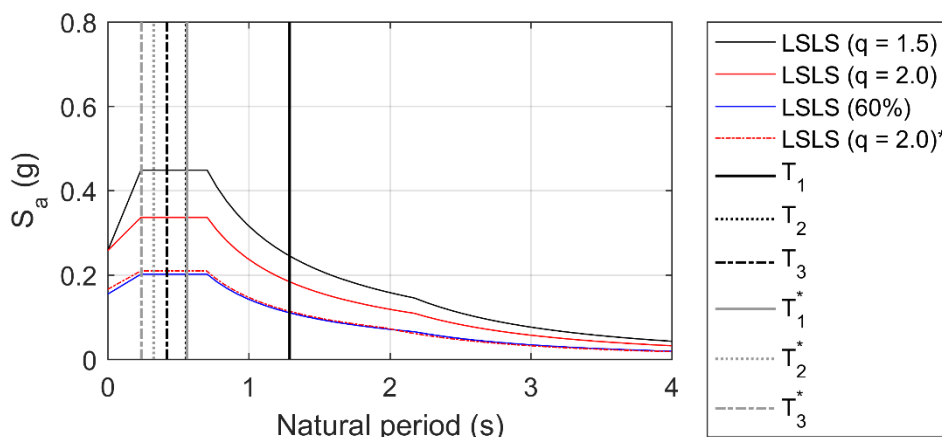
402 To assess the effect of this strategy on the global behaviour, a modal analysis is performed in the post-intervention
403 state, assuming again a 30% reduction of stiffness to account for cracking. The results in Table 6 show that the
404 natural periods undergo a reduction with respect to the initial state (Table 4) up to 56%, 42% and 43% for the first
405 three modes respectively, as it can be appreciated in Figure 15. Coupling of modes vanishes, the torsional mode
406 shifts to the third position, the value of the first mode period is very close to that of Building B2 in Table 5. These
407 findings highlight the effect of the reduction of the eccentricity between the centre of stiffness and that of mass,

408 confirming the regularization of the torsional mode. Moreover, with the wall insertion in the transverse direction,
 409 the longitudinal one becomes the weakest direction.

410 Table 6 - Modal analysis of retrofitted Building B1, stiffening strategy.

Mode	Period [s]	Modal Participating Mass [%]			Cumulative Modal Participating Mass [%]			Mode type
		M _X	M _Y	M _{RZ}	M _X	M _Y	M _{RZ}	
1	0.563	82.9	0.6	1.2	82.9	0.6	1.2	Longitudinal
2	0.325	1.6	70.6	8.8	84.5	71.2	10.0	Transversal
3	0.238	1.4	7.9	67.0	85.9	79.1	77.0	Torsional
4	0.178	12.0	0.1	1.9	97.9	79.2	78.8	Longitudinal
5	0.097	1.8	0.4	0.1	99.7	79.5	78.9	Longitudinal
6	0.092	0.0	13.7	2.4	99.7	93.2	81.3	Transversal
7	0.069	0.3	0.0	0.0	99.9	93.2	81.3	Longitudinal
8	0.060	0.0	4.2	16.2	100.0	97.5	97.6	Torsional

411



412

413 Figure 15 - Design spectra at LSLS before and after retrofitting. T_1, T_2, T_3 and T_1^*, T_2^*, T_3^* = first three periods of
 414 Building B1 before and after stiffening retrofitting.

415 An accurate design of the additional wall leads to an increase in the available ductility and overall strength which,
 416 together with the change in structural type due to the regularization of the torsional mode, allows for the adoption
 417 of a q -factor = 2, corresponding to a mixed system equivalent to uncoupled walls, as defined by NTC 2018 (2018)
 418 and EN 1998-1 (2005). Moreover, the factor $\zeta_E = 0.6$ is applied to the initial seismic input, scaling in the same
 419 way the whole response spectrum. Figure 15 shows the comparison between the LSLS spectra scaled for the two
 420 q -factors considered, and the LSLS spectrum with $q = 2$ further scaled to 60%. To appreciate the effect of this
 421 reduction, the same figure shows that the design spectrum corresponding to the reference period $V_R=50$ years of
 422 the ordinary buildings (labelled as $LSLS (q = 2.0)^*$) practically coincides with the reduced spectrum. In this way,
 423 even though not explicitly stated in the Italian Code, the earthquake performance of the building is shifted from

424 the Safety Critical Objective to the Basic Objective (Figure 1). Finally, the shift of the first mode period, leading
 425 to an increase of demand, is well visible.

426 Table 7 – Total base shear and frames percentage, original configuration vs. stiffening strategy.

Direction	Base shear before retrofitting [kN] $q=1.5$	Frames %	Base shear after retrofitting [kN] $q=2$	Frames %	Base shear after retrofitting [kN] $q=2, LSLS 60\%$
X	10474	22	7048	17	4229
Y	9717	21	7921	2	4753

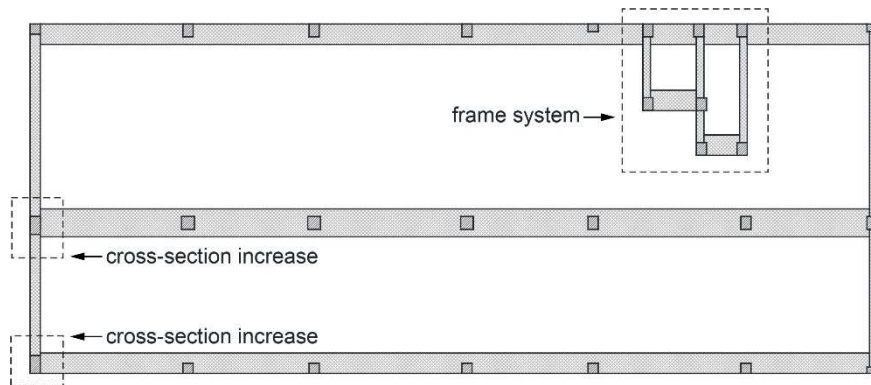
427
 428 A response spectrum analysis is carried out on the post-intervention building. Table 7 shows the results in terms
 429 of base shear force in the X- and Y-direction. The 2nd and 3rd columns list, for the original configuration, the base
 430 shear and the percentage carried by the frames, respectively. The 4th and 5th list the same values, for the post-
 431 intervention configuration, while the 6th column accounts for the reduction in the design spectrum. The demand
 432 in terms of seismic actions on the retrofitted structure is reduced by 60% along the X-direction and by 52% along
 433 the Y-direction, respectively. The percentage of demand on frames reduces in X-direction and goes almost to zero
 434 in the transverse Y-direction, where the new wall is added. In this configuration, beams and columns have the
 435 capacity corresponding to the demand posed by the reduced design spectra, hence reaching what is defined
 436 “*seismic improvement*” by the Italian Code (NTC 2018, 2018), with the exception of few frame elements, having
 437 the required shear capacity but not the bending capacity. For these elements, a strengthening through additional
 438 longitudinal bars welded at the end sections to angular profiles allows to balance the demand of the LSLS spectrum
 439 scaled to 60%. The horizontal displacement at LSLS, at the interface with the adjacent building, is now equal to
 440 6.5 cm, a value compatible with the current construction practices and sufficient to avoid the pounding
 441 phenomenon. The DLS deformability checks are now satisfied in both directions due to the addition of a very stiff
 442 element along the original critical direction (see Section 4). However, a few critical elements within the core still
 443 reveal deficiencies, under both eccentric axial tension and shear. At ground floor, the transverse wall W3, and the
 444 longitudinal W1 and W5 walls (Fig. 3) have a ξ_E index in the range 0.4-0.55. The wall W5, that provides continuity
 445 between the two beams B113 and B114 in the North frame (see Figure 3), is in the same range also at first and
 446 second floor. A strengthening through the CAM® system does not suffice to raise the ξ_E index to the unity for
 447 these walls.

448

449

450 **5.2 Softening strategy**

451 The second strategy aims to weaken the building, reducing its stiffness and thus the seismic demand. In the
 452 softening intervention, the existing RC walls are replaced by a frame system of columns 40×50 cm and beams in
 453 transverse (30×39 cm) and longitudinal directions (80×39 cm), as shown in Figure 16. To eliminate the torsional
 454 deformability, the cross-sections of columns C7 and C14 are increased to 40×70 cm. The new elements are
 455 designed with a concrete of class C35/45 and reinforcement bars B450C. A modal analysis is performed to assess
 456 the effect of the intervention on the global structural behaviour.



457
 458 Figure 16 – *Softening strategy for Building B1.*

459 Table 8 - *Modal analysis of retrofitted Building B1, softening strategy.*

Mode	Period [s]	Modal Participating Mass [%]			Cumulative Modal Participating Mass [%]			Mode type
		M _X	M _Y	M _{RZ}	M _X	M _Y	M _{RZ}	
1	1.648	0.0	75.3	5.7	0.0	75.3	5.7	Transversal
2	1.195	6.4	6.4	73.1	6.4	81.6	78.8	Torsional
3	1.026	84.0	0.5	5.9	90.4	82.1	84.7	Longitudinal
4	0.466	0.0	12.9	0.7	90.4	95.1	85.4	Transversal
5	0.372	1.4	0.2	9.4	91.8	95.2	94.8	Torsional
6	0.339	6.7	0.0	1.4	98.5	95.3	96.2	Longitudinal

460
 461 The results of the modal analysis in Table 8, for modes having a participating mass larger than 5%, show a
 462 significant period elongation, due to the change in structural type from a mixed system to a frame system, with T₁
 463 going from 1.290 to 1.648 s, with a 27% increase. The first mode is a translation in Y-direction. The torsional
 464 mode shifts to the 2nd position, highlighting the reduction of the eccentricity between centres of stiffness and mass
 465 and the regularization of the torsional mode. This fact and the change of structural typology allow to consider a
 466 behaviour factor higher than that adopted in the analyses on the original building. However, due to the lack of

ductility of the original frame, a q -factor equal to 2 is chosen also in this case. A LSLS analysis considering only 60% of the seismic input (see Figure 15) is carried out on the post-intervention situation. Base shear on the retrofitted structure is significantly lower than in the original structure, undergoing a reduction by 69% along X direction and by 75% along Y direction, respectively (Table 9). However, in this case about 70% of the base shear in both directions must be balanced by the original frames and it turns out that beams and columns have the required capacity in shear but not in bending. For beams, an additional reinforcement, designed using the CAM[®] system, suffices to provide the required bending capacity to all the elements, but the beam included between C7 and C14 at first, second and third floor. Hence, the stiffening of these columns implies an increase of internal forces also for the beams connecting them. For columns, only 55 out of 80 elements reach the required capacity. Strengthening is not possible in cases where the amount of necessary reinforcement would not satisfy the NTC 2018 limit (§7.4.6.2.1) on the maximum ratio between reinforcement and concrete areas. The required amount would result in a brittle beam behavior that, in turn, would not correspond to the adopted q -factor. In the softened configuration, the structure is more deformable. The horizontal displacement at LSLS at the B1-B2 interface is equal to 15 cm, even larger than in the original configuration and the DLS deformability checks are not satisfied along either of the two directions.

Table 9 – Total base shear and frames percentage, original configuration vs. softening strategy.

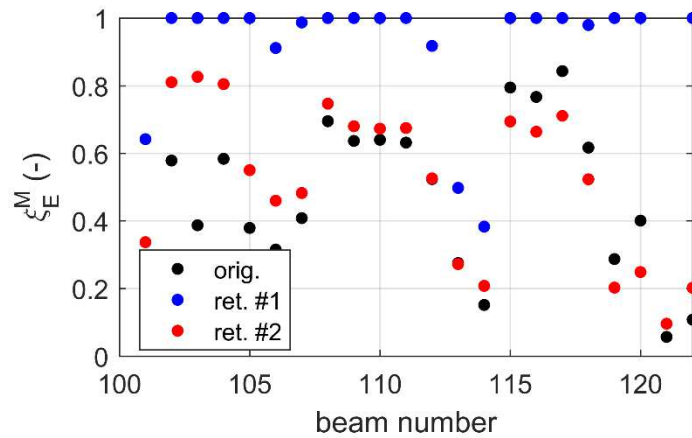
Direction	Base shear before retrofitting [kN] $q=1.5$	Frames %	Base shear after retrofitting [kN] $q=2$	Frames %	Base shear after retrofitting [kN] $q=2, LSLS 60\%$
X	10474	22	5643	72	3278
Y	9717	21	4001	68	2401

5.3 Increase of seismic capacity due to the retrofitting strategies

The benefits/limitations of the two retrofitting methodologies investigated in this study are compared with the performance of the original un-retrofitted building in terms of strength of each structural members (i.e., beams, columns and walls), accounting for the reduction in the design spectrum ($q = 2, LSLS, \zeta_E = 0.6$).

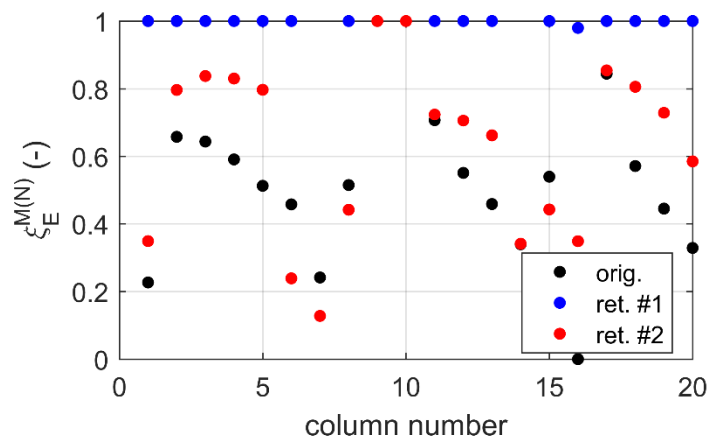
The rate of action ξ_E defined in Eqs. (1-3), for bending (ξ_E^M), for bending coupled with axial load ($\xi_E^{M(N)}$) and for shear (ξ_E^V), respectively, is herein evaluated for the results of RSA on the original building and after the application of the two retrofitting interventions. For the sake of brevity, only the ground floor columns, ground floor walls and first floor beams are examined in the following. Figure 17 compares the rate of action ξ_E^M in beams at the first floor. The benefits of the strengthening intervention (labelled as “ret. #1” in Figure 17) over the original and

492 softening solution are clearly visible, while only limited improvements on the original building are achieved with
 493 the softening intervention (labelled as “ret. #2” in Figure 17).



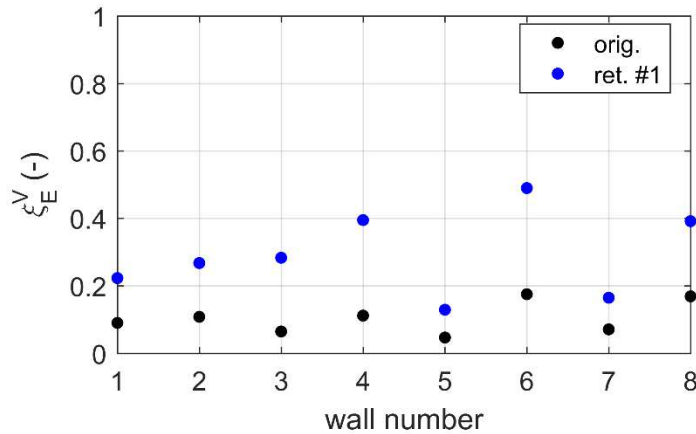
494
 495 *Figure 17 – Rate of action factors in beams at the first floor under bending moment action: comparison of*
 496 *original building (“orig.”) with the two retrofitting strategies (“ret. #1” and “ret. #2”)*

497 Figure 18 presents the rate of action $\xi_E^{M(N)}$ in columns at the ground floor. The stiffening intervention guarantees
 498 a safe conditions for almost all columns. Beneficial effects can be appreciated also with the application of the
 499 softening intervention although many columns still need a local intervention.



500
 501 *Figure 18 – Rate of action factors in columns at the ground floor under bending and axial force: comparison of*
 502 *original building (“orig.”) with the two retrofitting strategies (“ret. #1” and “ret. #2”)*

503 Finally, Figure 19 compares the rate of action ξ_E^V in walls at the ground floor. Higher values are obtained after the
 504 application of the stiffening intervention compared to the original un-retrofitted building, although ξ_E^V in walls
 505 remains well below the unit.



506

507

508

Figure 19 – Rate of action factors in walls at the ground floor under shear force: comparison of original building (“orig.”) with the stiffening strategy (“ret. #1”).

509

510

511

512

513

Numerical results obtained from RSA show that the regularization of the torsional mode, accomplished by both strategies, does not suffice to eliminate the structural deficiencies of the building. However, the lack of capacity in the retrofitted configuration of the stiffening strategy is such that local interventions with the CAM® System suffice to fill the gap with the demand corresponding to the reduced seismic input. The only notable exceptions remain, as already stated, in some wall of the cores.

514

6 Discussion of results

515

516

517

518

519

520

521

522

523

524

525

526

527

528

529

The seismic behaviour of the building at study is well characterized by the analyses performed in the vulnerability assessment and in the post intervention state for two different retrofitting strategies. In the original state, frames provide approximately the same fraction of base shear in the two directions. This fact can be explained with the cross-section geometry, since in the central frame at ground floor several sections have a width greater than the depth. Core walls attract about 80% of the base shear, since, differently from columns, they have a constant stiffness along the height. The building geometry, with unidirectional frames and eccentric cores, is the origin of the occurrence of coupled torsional/transversal modes. In this case study, the main effect of the lack of bi-directionality of frames is not the significant presence of a strong and weak direction (as it was in Mulas & Martinelli 2017) but the amplification of the role of the torsional mode, arising from the eccentricity of the cores. The vulnerability assessment is based on response spectrum and pushover analyses. The former adopts the full spectrum of a strategic building and a q-factor equal to 1.5, whose choice appears correct when ductility checks are performed. The latter shows that the low reinforcement ratio of walls can limit the full development of the frames capacity. Retrofitting is pursued at both global and local level. At global level, the regularization of torsional modes is reached with two different strategies, either stiffening or softening the building. In the first case, an additional

530 wall is added in the transverse direction; in the second, core walls are substituted by a new frame system. Even
531 though both strategies are successful in eliminating torsional deformability and coupling between transverse and
532 torsional modes, the first one is to be preferred to the second on the ground of retrofitting at local level, where the
533 same technique, based on the adoption of the CAM system, is used. In fact, in the stiffening strategy, where the
534 frames' contribution is exploited to its best in the plane of the frames, a successful retrofitting is reached for them.
535 This is not accomplished in the second strategy that eliminates the cores contribution and loads the frames beyond
536 their capacity. Moreover, in the softening strategy, the frame deformability increases to an unacceptable level,
537 that alone would be sufficient to discard it.

538 Two points need to be underlined. First, the adoption of a different q-factor in the assessment and retrofitting
539 phase, where the lower q-factor corresponds to the building situation with respect to current code, while the higher
540 is coherent with the advantages brought by the retrofitting intervention. Secondly, the reduced spectrum adopted
541 in the retrofitting phase is practically coincident with the full spectrum for an ordinary building, allowing the
542 interest of this case study to be extended to the wide building stock erected with obsolete seismic codes or in
543 absence of a correct seismic zonation.

544 **7 Conclusions**

545 This paper presents the results of the vulnerability assessment and the discussion of two retrofitting strategies for
546 a RC strategic building erected around 1990. The case study shows the typical features of a wide class of buildings
547 whose design, fully complying with technical codes, is governed by gravity loads, due to the lack of a correct
548 seismic zonation in the Italian Technical Codes. The resisting system is composed of RC plane frames in the
549 longitudinal direction and erected with a precast construction system in which continuity among beams and
550 columns is achieved through integrative on site casting, and of two eccentric cores. As a first conclusion, the
551 vulnerability assessment permitted to understand the seismic response of the building in its original state,
552 highlighting the building torsional deformability, the role played by frames and core walls and the lack of seismic
553 capacity at element level. Two retrofitting strategies were then discussed, both respecting the constraint of
554 minimizing the interruption of the normal activities in the building and not modifying the original plans, two vital
555 needs for both strategic and ordinary buildings. The stiffening strategy, in which all the resisting elements are
556 classified as primary and can fully exploit their capacity, showed itself to be the only capable of eliminating the
557 torsional deformability, reducing the building deformability and, associated to a local strengthening intervention
558 through the CAM[®] system, to provide the required strength to all elements but three walls within the cores.

559 The results of the analyses provide clear indications for further studies on the stiffening strategy. For the case
560 study, an on-site survey, taking advantage of the standardization of the precast system, could eliminate the strength
561 reduction deriving from incomplete knowledge, and provide the details of beam-to-column joints and especially
562 of the critical connection of the wall W5 to the adjacent beams. A possible difference, while not modifying the
563 global behaviour, could influence significantly the state of stress of the core. As a general conclusion, it appears
564 that investing resources in the level of knowledge can favour the reduction of the retrofitting intervention. As in
565 this case, on site survey can be driven by the preliminary results of response spectrum and pushover analysis. The
566 available ductility in the retrofitted configuration can be assessed through a pushover and can be increased with
567 ad-hoc interventions: as the q -factor increases with increasing ductility, the seismic demand decreases. The case
568 study here presented provides an excellent benchmark to investigate on retrofitting strategies, not analyzed here,
569 aiming to provide ductility and energy dissipation to the structure.

570 **Data Availability Statement**

571 Finite Element Models that support the findings of this study are available from the corresponding Author upon
572 reasonable request.

573 **Acknowledgments**

574 This work is based on the MS thesis of L. Stroffolini under the guidance of M.G. Mulas. The support of EDIL
575 CAM® SISTEMI SrL to the second Author during the thesis work is gratefully acknowledged.

576 **References**

- 577 ASCE-41 (2017) Seismic Evaluation and Retrofit of Existing Buildings (41-17).
578 Calvi, G.M. (2013) Choices and criteria for seismic strengthening. *Journal of Earthquake Engineering*, 17 (6),
579 769-802. <https://doi.org/10.1080/13632469.2013.781556>
580 Circ. 2019 (2019) Istruzioni per l'applicazione dell'aggiornamento delle norme tecniche per le costruzioni di cui
581 al decreto ministeriale 17 Gennaio 2018. Circolare n. 7 del 21-01-2019. *Gazzetta Ufficiale Serie Generale*
582 n.35 del 11-02-2019 - Suppl. Ordinario n. 5 (in Italian).
583 Choi, E., Chung, Y.-S., Park, J., Cho, B.-S. (2010) Behavior of reinforced concrete columns confined by new
584 steel-jacketing method. *ACI Structural Journal*, 107 (6), 654-662.
585 Del Zoppo, M., Di Ludovico, M., Balsamo, A., Prota, A., Manfredi, G. (2017) FRP for seismic strengthening of
586 shear controlled RC columns: Experience from earthquakes and experimental analysis. *Composites Part B:*
587 *Engineering*, 129, 47-57. <https://doi.org/10.1016/j.compositesb.2017.07.028>
588 EN 1990:2002+A1:2005 (2005) Basis of structural design. European Committee for Standardization (CEN),
589 Brussels

590 EN 1998-1:2004 (2004) Eurocode 8: Design of structures for earthquake resistance — Part 1: General rules,
591 seismic actions and rules for buildings. European Committee for Standardization (CEN), Brussels

592 EN 1998-3:2005 (2005) Eurocode 8: Design of structures for earthquake resistance - Part 3: Assessment and
593 retrofitting of buildings. European Committee for Standardization (CEN), Brussels

594 Karayannis, C.G., Naoum, M.C. (2018) Torsional behavior of multistory RC frame structures due to asymmetric
595 seismic interaction. *Engineering Structures*, 163, 93-111. <https://doi.org/10.1016/j.engstruct.2018.02.038>

596 Kunnath, S.K. (2005) Performance-Based Seismic Design and Evaluation of Building Structures Ch. 5 of
597 Earthquake Engineering for Structural Design, Chen, W. (Ed.), Lui, E. (Ed.). Boca Raton: CRC Press,
598 <https://doi.org/10.1201/9781420037142>

599 Ilki, A., Peker, O., Karamuk, E., Demir, C., Kumbasar, N. (2008) FRP retrofit of low and medium strength circular
600 and rectangular reinforced concrete columns. *Journal of Materials in Civil Engineering*, 20 (2), 169-188.
601 [https://doi.org/10.1061/\(ASCE\)0899-1561\(2008\)20:2\(169\)](https://doi.org/10.1061/(ASCE)0899-1561(2008)20:2(169))

602 Mander, J. B., Priestley M. J. N., Park R. (1988) Theoretical stress-strain model for confined concrete. *Journal of*
603 *Structural Engineering*, 114(8), 1804–1826. [https://doi.org/10.1061/\(ASCE\)0733-9445\(1988\)114:8\(1804\)](https://doi.org/10.1061/(ASCE)0733-9445(1988)114:8(1804))

604 Marnetto, R., Leonori, M., Vari, A., (2018) Conservare l’edilizia in muratura - Il Sistema CAM®: Consolidamento
605 strutturale con cuciture INOX. 21^{mo} SECOLO, Guidonia (in Italian).

606 Mazza, F. (2015) Comparative study of the seismic response of RC framed buildings retrofitted using modern
607 techniques. *Earthquake and Structures*, 9 (1), 29-48. <https://doi.org/10.12989/eas.2015.9.1.029>

608 Monti G., Vailati M., Marnetto R. (2016) Base Isolation and Translation of a Strategic Building Under a
609 Preservation Order. In: D’Amico S. (eds) *Earthquakes and Their Impact on Society*. Springer Natural Hazards.
610 Springer, Cham. https://doi.org/10.1007/978-3-319-21753-6_16

611 Mulas, M.G., Perotti, F., Coronelli, D., Martinelli, L., Paolucci, R. (2013) The partial collapse of “Casa dello
612 Studente” during L’Aquila 2009 earthquake. *Engineering Failure Analysis*, Vol. 34, 566-584.

613 Mulas, M.G., Martinelli, P. (2017) Numerical Simulation of the Partial Seismic Collapse of a 1960s RC Building.
614 *Journal of Performance of Constructed Facilities*, 31(6), 04017111. [https://doi.org/10.1061/\(ASCE\)CF.1943-](https://doi.org/10.1061/(ASCE)CF.1943-)
615 [5509.0001102](https://doi.org/10.1061/(ASCE)CF.1943-5509.0001102)

616 NTC 2008 (2008) Nuove norme tecniche per le costruzioni. Decreto del Ministro delle Infrastrutture del 14
617 gennaio 2008. *Gazzetta Ufficiale Serie Generale n.29 del 04-02-2008* (in Italian).

618 NTC 2018 (2018) Aggiornamento delle norme tecniche per le costruzioni. Decreto del Ministro delle
619 Infrastrutture del 17 gennaio 2018. *Gazzetta Ufficiale Serie Generale n. 42 del 20-02-2018* (in Italian).

620 Nuti, C., Bergami, A. (2010) Adeguamento di strutture esistenti in zona sismica: Adeguamento di edifici esistenti.
621 Associazione AICAP.

622 OPCM 3274 (2003) Ordinanza del Presidente del Consiglio dei Ministri n. 3274. “Primi elementi in materia di
623 criteri generali per la classificazione sismica del territorio nazionale e di normative tecniche per le costruzioni
624 in zona sismica”. 20 marzo 2003, *Gazzetta Ufficiale n. 105, 8 maggio 2003* (in Italian).

625 Perrone, D., Aiello, M.A., Pecce, M., Rossi, F. (2015) Rapid visual screening for seismic evaluation of RC hospital
626 buildings. *Structures*, 3, 57-70. <https://doi.org/10.1016/j.istruc.2015.03.002>

627 Ruggieri, S., Perrone, D., Leone, M., Uva, G., Aiello, M.A. (2020) A prioritization RVS methodology for the
628 seismic risk assessment of RC school buildings. *International Journal of Disaster Risk Reduction*, 51, art. no.
629 101807. <https://doi.org/10.1016/j.ijdr.2020.101807>

630 Ruggieri, S., Porco, F., Uva, G., Vamvatsikos, D. (2021) Two frugal options to assess class fragility and seismic
631 safety for low-rise reinforced concrete school buildings in Southern Italy. *Bulletin of Earthquake Engineering*,
632 19 (3), 1415-1439. <https://doi.org/10.1007/s10518-020-01033-5>
633 SAP2000 (2018) Computers and Structures, Version 20.2.0.
634 Thermou, G.E., Elnashai, A.S. (2006) Seismic retrofit schemes for RC structures and local-global consequences.
635 *Progress in Structural Engineering and Materials*, 8 (1), 1-15. <https://doi.org/10.1002/pse.208>
636 Thermou, G.E., Psaltakis, M. (2018) Retrofit Design Methodology for Substandard R.C. Buildings with Torsional
637 Sensitivity. *Journal of Earthquake Engineering*, 22 (7), 1233-1258.
638 <https://doi.org/10.1080/13632469.2016.1277569>
639 Xiao, Y., Wu, H. (2003) Retrofit of reinforced concrete columns using partially stiffened steel jackets. *Journal of*
640 *Structural Engineering*, 129 (6), 725-732. [https://doi.org/10.1061/\(ASCE\)0733-9445\(2003\)129:6\(725\)](https://doi.org/10.1061/(ASCE)0733-9445(2003)129:6(725))
641 Zerbin, M., Aprile, A. (2015) Sustainable retrofit design of RC frames evaluated for different seismic demand.
642 *Earthquake and Structures*, 9 (6), 1337-1353. <https://doi.org/10.12989/eas.2015.9.6.1337>

643 Appendix

644 *Table A1 – Dimensions and reinforcement ratios of columns cross-section, building B1. Section numbers in bold*
645 *denotes cross-section having a higher stiffness in the transverse (out-of-plane) direction.*

Ground floor	Section [cm]		ρ_s [%]
	b_x	b_y	
C.1.1	40	25	0.80
C.1.2	40	40	0.95
C.1.3	40	40	0.95
C.1.4	40	40	0.95
C.1.5	40	40	0.95
C.1.6	40	40	0.95
C.1.7	40	40	0.79
C.1.8	40	50	0.76
C.1.9	40	50	1.41
C.1.10	40	50	1.41
C.1.11	50	50	0.88
C.1.12	50	50	0.88
C.1.13	50	50	0.88
C.1.14	40	50	1.41
C.1.15	40	30	0.85
C.1.16	40	30	1.05
C.1.17	40	50	1.06
C.1.18	40	50	1.06
C.1.19	40	50	1.06
C.1.20	40	40	0.95

First floor	Section [cm]		ρ_s [%]
	b_x	b_y	
C.2.1	40	25	0.80
C.2.2	40	30	1.05
C.2.3	40	30	1.05
C.2.4	40	30	1.05
C.2.5	40	30	1.05
C.2.6	40	30	1.05
C.2.7	40	40	0.79
C.2.8	40	40	0.79
C.2.9	40	40	0.95
C.2.10	40	40	0.95
C.2.11	40	50	0.90
C.2.12	40	50	0.90
C.2.13	40	50	0.90
C.2.14	40	50	1.41
C.2.15	40	25	1.02
C.2.16	40	30	1.05
C.2.17	40	40	0.79
C.2.18	40	40	0.79
C.2.19	40	40	0.79
C.2.20	40	40	0.95

Second floor	Section [cm]		ρ_s [%]
	b_x	b_y	
C.3.1	40	25	0.62
C.3.2	40	30	0.85
C.3.3	40	30	0.85
C.3.4	40	30	0.85
C.3.5	40	30	0.85
C.3.6	40	30	0.85
C.3.7	40	30	1.05
C.3.8	40	30	0.85
C.3.9	40	30	0.85
C.3.10	40	30	0.85
C.3.11	40	40	0.79
C.3.12	40	40	0.79
C.3.13	40	40	0.79
C.3.14	40	40	0.95
C.3.15	40	25	0.80
C.3.16	40	30	1.05
C.3.17	40	30	0.85
C.3.18	40	30	0.85
C.3.19	40	30	0.85
C.3.20	40	40	0.79

Third floor	Section [cm]		ρ_s [%]
	b_x	b_y	
C.4.1	40	25	0.62
C.4.2	40	30	0.67
C.4.3	40	30	0.67
C.4.4	40	30	0.67
C.4.5	40	30	0.67
C.4.6	40	30	0.67
C.4.7	40	30	1.05
C.4.8	40	25	0.80
C.4.9	40	25	0.62
C.4.10	40	25	0.62
C.4.11	40	30	0.67
C.4.12	40	30	0.67
C.4.13	40	30	0.67
C.4.14	40	40	0.95
C.4.15	40	25	0.62
C.4.16	40	30	1.05
C.4.17	40	30	0.67
C.4.18	40	30	0.67
C.4.19	40	30	0.67
C.4.20	40	30	1.05

646