

Probabilistic indicators for soil and groundwater contamination risk assessment

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Abstract

1 Deterministic assessments of whether, when, and where environmental safety thresholds are ex-
2 ceeded by pollutants are often unreliable due to uncertainty stemming from incomplete knowledge
3 of the properties of environmental systems and limited sampling. We present a global sensitivity
4 analysis to rank the contribution of uncertain parameters to the probability, P , of a target quantity to
5 exceed user-defined environmental safety thresholds. To this end, we propose a new index (*AMAP*)
6 which quantifies the impact of a parameter on P and can be readily employed in probabilistic risk
7 assessment. We apply *AMAP*, along with existing moment-based sensitivity indices, to quantify
8 the sensitivity of soil and aquifer contamination following herbicide glyphosate (GLP) dispersal to
9 soil hydraulic parameters. Target quantities are GLP and its toxic metabolite aminomethylphos-
10 phonic acid (AMPA) concentrations in the top soil as well as their leaching below the root zone.
11 The global sensitivity analysis encompasses six scenarios of managed water amendments and rain-
12 fall events. The biodegradation of GLP and AMPA varies slightly across scenarios, while leaching
13 below the root zone is greatly affected by the assumed hydrologic boundary conditions. *AMAP*
14 shows that, among the tested uncertain parameters, absolute permeability, air-entry suction, and
15 porosity have the greatest impact on GLP and AMPA probability to pollute the aquifer by exceed-
16 ing the aqueous concentration thresholds. Our results show that *AMAP* is effective to thoroughly
17 explore time histories arising from model-based predictions of environmental pollution hazards.

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18 The proposed methodology may support informed decision making in risk assessments and help
19 assessing ecological indicators through threshold-based analyses.

Keywords: global sensitivity analysis; uncertainty quantification; modeling; pollution; soil;
groundwater; glyphosate; AMPA; environmental risk assessment

20 **1. Introduction**

21 Surface waters and aquifers are the recipients of contaminants resulting from anthropogenic
22 activities such as agriculture, industry and waste treatment. According to the Lancet Commission
23 on Pollution and Health, more than 140,000 synthetic molecules have been developed since 1950;
24 of those, the 5,000 most produced molecules can be found in the environment worldwide (Landri-
25 gan *et al.*, 2018). These molecules and their metabolites can be persistent in the environment and,
26 therefore, their detrimental effects can dramatically extend over space and time, thus harming not
27 only humans and other living organisms but also their descendants (Kubsad *et al.*, 2019). In order
28 to minimize and control harmful impacts, the use of these molecules has to be properly planned,
29 managed, and regulated, thus requiring a good understanding of their dynamics in the environment.

30 Mathematical models are often used as decision support tools to evaluate contaminant degra-
31 dation and transport (e.g., EPA, 2008; Porta *et al.*, 2018; Manheim *et al.*, 2019). Predictive models
32 are also employed to carry out assessments on future scenarios such as climate change, land-use
33 change, and global environmental change (e.g., Hiscock *et al.*, 2007; Armitage *et al.*, 2011; Brack
34 *et al.*, 2015). Processes and environmental factors controlling contaminant dynamics (e.g., soil-
35 water dynamics, contaminant sorption to soil minerals and organic matter, biochemical degra-
36 dation, microbial-nutrient interactions, and soil-plant interactions) are described and coupled in
37 models through mathematical equations with parameters often sourced from literature or estimated
38 against laboratory or field experiments (Jackson *et al.*, 2000; Barrios *et al.*, 2019). Since envi-
39 ronmental systems are complex and open to energy and mass flows, it is difficult to constrain and

40 model all controlling processes (Oreskes *et al.*, 1994). Deterministic models neglect the inherent
41 uncertainty associated with model structure and parameters (Uusitalo *et al.*, 2015).

42 To overcome the shortcoming of deterministic models, uncertainty quantification and sensi-
43 tivity analysis methods are used within a stochastic framework to provide decision makers with
44 estimates of the potential outcomes of tested scenarios (e.g., Bates *et al.*, 2003; Walker *et al.*, 2003;
45 Uusitalo *et al.*, 2015). There exist many different approaches for sensitivity analysis (e.g., Razavi
46 & Gupta, 2015; Pianosi *et al.*, 2016; Ceriotti *et al.*, 2018). Among these, global sensitivity analysis
47 (GSA) is one of the most comprehensive approaches because it allows (a) quantifying sensitivity
48 across the entire parameter space and (b) accounting for the joint effects resulting from the uncer-
49 tainty in diverse parameters even in nonlinear models. Output sensitivity to model parameters is
50 commonly quantified using variance-based techniques such as the Sobol's indices (Sobol', 1993);
51 more recently, the *AMA* family of indices was introduced (Dell'Oca *et al.*, 2017) to quantify sen-
52 sitivity in terms of any statistical moment of the probability density function (pdf) of the model
53 outputs. Available sensitivity indices do not provide a straightforward assessment of how a pa-
54 rameter influences the probability for a model output (e.g., the concentration of a contaminant)
55 to exceed a user-defined threshold value. In environmental risk assessment and management, the
56 policies and protection strategies often rely on regulatory guidelines that specify a safety limit for
57 a certain contaminant. For example, the European Commission has set two severe safety limits to
58 protect water resources quality from pesticide contamination: (a) the concentration of single pesti-
59 cides and their relevant metabolites must not exceed $0.1 \mu\text{g l}^{-1}$; and (b) the sum of pesticides and
60 their metabolites concentration must not exceed $0.5 \mu\text{g l}^{-1}$ (2006/118/EC, 2006).

61 In this study we introduce a new global sensitivity index (*AMAP*) to rank parameters based
62 on their impact on the probability to exceed a defined safety limit for single contaminants and
63 mixtures. *AMAP* complements the available *AMA* moment-based indices by targeting sensitiv-
64 ity with respect to the exceedance probability rather than the statistical moments of the output

65 pdf. We demonstrate the use of *AMAP* to the case study of dispersal of the herbicide glyphosate
66 (GLP) throughout a soil profile in an irrigated winter wheat field, using the results of la Cecilia
67 *et al.* (2018) as a reference. The case study selection is motivated by the observation that GLP is
68 currently the most widely used herbicide worldwide (Maggi *et al.*, 2019). Modeling GLP biodegrada-
69 tion pathways requires a complex network of bioreactive processes coupled to water flow and
70 solute transport. Hence, quantitative indicators that can identify relevant parameters and processes
71 are important to reduce the uncertainty involved in risk assessment and help constraining the whole
72 decision-making process. Societal implications of the uncertainties underlying environmental risk
73 assessment of GLP have been widely discussed in the recent literature (e.g., Van Straalen & Legler,
74 2018). The relevance of hydraulic parameters on the fate of GLP and AMPA in soil has been doc-
75 umented in field experiments (e.g. Soracco *et al.*, 2018; Lupi *et al.*, 2019), as well as in numerical
76 studies (Heuvelink *et al.*, 2010). In this work we analyze the impact of uncertainty in soil hydraulic
77 parameters on risk assessment of GLP and AMPA accumulation and leaching. We apply a number
78 of different boundary conditions affecting transport and biodegradation processes to gain a wider
79 understanding of how (i) *AMAP* informs on the effects of parameter uncertainty within pollution
80 risk assessment and (ii) GLP and AMPA biodegradation predicted by the model is affected by
81 the assumed boundary water fluxes. We designed such specific scenarios to represent managed
82 (irrigated) and unmanaged (not irrigated) cropping. Analyses are also accompanied by specific
83 robustness tests of the proposed *AMAP* index to show limits and advantages of its generalized
84 application beyond the test case presented here. While we focus on soil and water contamination
85 risk assessment, we emphasize that the proposed sensitivity index is readily applicable in other
86 contexts, such as to assess the response of ecological and environmental systems, and particularly
87 within threshold-based analysis of ecological indicators reported in recent literature (e.g., Libralato
88 *et al.*, 2019; Fu *et al.*, 2019).

89 **2. Materials and Methods**

90 We introduce here the definition of the sensitivity indices (or metrics) as well as the approach
 91 we employ for their application within contamination risk assessments. In Section 2.1 we intro-
 92 duce the proposed sensitivity metrics and provide an operational framework for their application in
 93 a generic environmental problem. Next, we illustrate the application of our method to soil contam-
 94 ination as a result of glyphosate (GLP) dispersal. We start by presenting an overview of the kinetic
 95 model used to describe GLP contamination in a winter wheat field (Section 2.2). Target outputs for
 96 risk analysis and their corresponding safety thresholds are identified in Section 2.3. In Section 2.4,
 97 six scenarios with different ecohydrological boundary conditions are designed to be used in GSA.
 98 Finally, we select the uncertain parameters and describe the sampling methodology in Section 2.5.

99 *2.1. Sensitivity indices and application to environmental problems*

100 The *AMA* sensitivity indices (Dell’Oca *et al.*, 2017) quantify the impact of each uncertain
 101 parameter on the statistical moments of the pdf of the target model outputs. Let $g(\mathbf{p})$ be an output
 102 of interest, and $\mathbf{p} = (p_1, \dots, p_i, \dots, p_N)$ a vector gathering N uncertain parameters. The $AMAM_i$
 103 indices quantify the impact of variability in parameter p_i on the statistical moment M_i of $g(\mathbf{p})$ (e.g.,
 104 $AMAE$ for the expected value E and $AMAV$ for the variance V). $AMAM_i$ is defined as

$$AMAM_i = \begin{cases} \frac{\int_{\Gamma_i} |M[g(\mathbf{p}|p_i)] - M[g(\mathbf{p})]| \rho(p_i) dp_i}{|M[g(\mathbf{p})|]} & \text{if } M[g(\mathbf{p})] \neq 0 \\ \int_{\Gamma_i} |M[g(\mathbf{p}|p_i)]| \rho(p_i) dp_i & \text{if } M[g(\mathbf{p})] = 0 \end{cases} \quad (1)$$

105 where $\rho(p_i)$ is the pdf of p_i defined in the parameter space Γ_i . Along with Eq. (1), and to cast
 106 our work within a risk assessment framework, we introduce the new index $AMAP$, which allows
 107 quantifying the expected variation of the probability of exceedance of a threshold value thr as

$$AMAP_i = \int_{\Gamma_i} |P_{thr} - P[g(\mathbf{p}|p_i) > thr]| \rho(p_i) dp_i, \quad (2)$$

108 where $P_{thr} = P[g(\mathbf{p}) > thr]$ is the unconditional probability that the quantity $g(\mathbf{p})$ exceeds the
109 threshold thr and $P[g(\mathbf{p})|p_i]$ indicates the same probability conditional to parameter p_i . Note that
110 *AMAP* provides the probability-weighted average distance between conditional and unconditional
111 exceedance probability within Γ_i and is limited between 0 and 1. The output $g(\mathbf{p})$ and its related
112 threshold thr can be any quantity of interest, including a contaminant concentration, and can be
113 used in a generalized way for the purpose of, but not limited to, risk analysis as shown later in this
114 work.

115 A flowchart is presented in Figure 1 to illustrate the workflow for the *AMAP* application within
116 model-based environmental pollution assessment. First, prior information needs to be collected
117 to define (i) a model structure and reference values of model parameters, and (ii) target outputs
118 of interest and the related safety thresholds, where the latter can be user-defined or taken from
119 guidelines. Additionally, various scenarios can be selected to explore the system response in di-
120 verse conditions (e.g., diverse hydrologic or climatic regimes, socio-economic and/or legislative
121 constraints). As environmental models typically embed a large number of parameters, a subset of
122 these is selected to conduct sensitivity analysis. The latter may be then used to (a) rank parameter
123 importance (b) design and prioritize experimental campaigns aimed at constraining the uncertainty
124 of the selected output. A pdf $\rho(p_i)$ must be defined for each uncertain input to compute $AMAP_i$
125 through Eq. (2). This can be determined from available prior information through empirically
126 defined frequency distributions or according to general pdf models (e.g., Gaussian or uniform dis-
127 tributions), thus defining a probability space for the selected parameters set. Different choices for
128 the input pdfs $\rho(p_i)$ can be performed and the results of the analyses may depend on the chosen
129 input distribution. Therefore, the chosen $\rho(p_i)$ should reflect available information as closely as
130 possible. Stochastic sampling of the parameters within this set is then performed N times, ren-
131 dering N values of the output $g(\mathbf{p})$. These latter are employed to evaluate the conditional and
132 unconditional probability to exceed a given threshold needed in (2) to compute *AMAP*. The work-

133 flow is replicated for each of the selected scenarios, thus providing a scenario-dependent sensitivity
134 ranking that can guide in implementing strategies to reduce uncertainty.

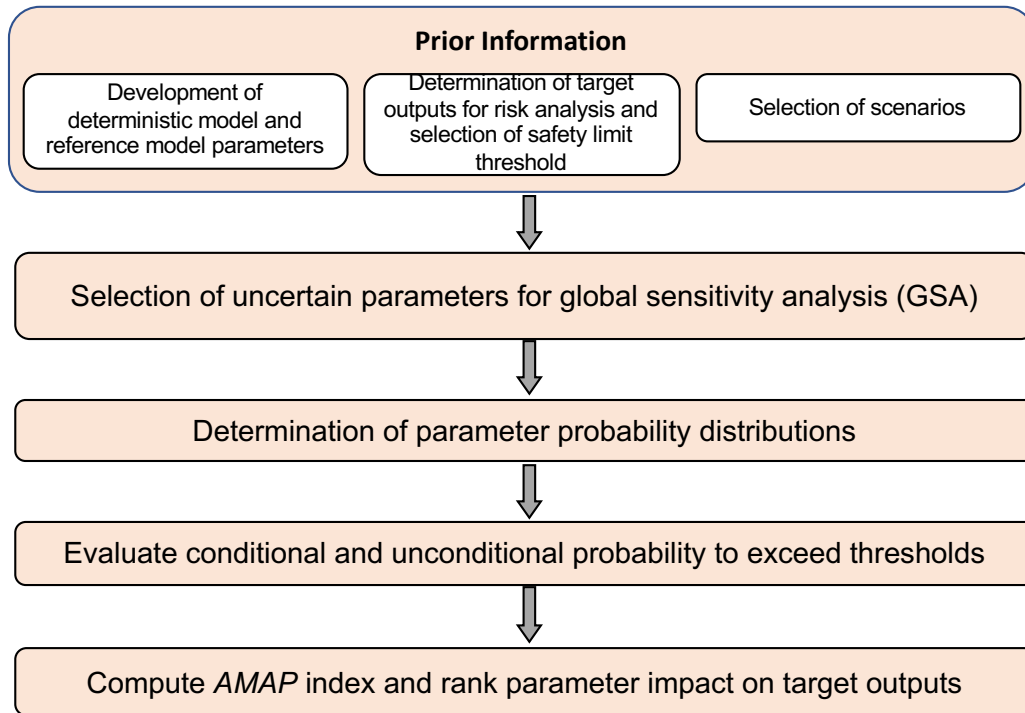


Figure 1: Flowchart of the steps used in this study for the application of AMAP index.

135 2.2. Reference site and modeling description

136 We apply the framework introduced in Sec. 2.1 to the case of GLP biodegradation considered
137 in la Cecilia *et al.* (2018). The reference winter wheat field is located in the Modena Municipality,
138 Italy (44°40'57"N; 10°57'48"E). The soil is a typical alluvial deposit of the Po Valley region
139 characterized by a silt loam and loam layers (SGSS, 2016). Two regions of interest were identified
140 along the soil profile: the root zone (RZ) with thickness $h_{RZ} = 1$ m and the soil below RZ (BRZ)
141 with thickness $h_{BRZ} = 4$ m.

142 Rainfall data in the period 2006-2016 were collected (Arpae-Simc, 2016) and post-processed
143 to compute the water infiltration after assuming a 20% rainfall interception by the crop. The actual

144 crop evapotranspiration was calculated from data in Arpae-Simc (2016) with the time-varying crop
145 coefficient K_C in Allen *et al.* (1998). Irrigation was estimated to match groundwater table depth ob-
146 servations in Chiari *et al.* (2016) as described in la Cecilia *et al.* (2018). The 11 year precipitation,
147 actual evapotranspiration, and irrigation time series were repeated periodically to build 50 years of
148 daily boundary conditions. GLP was applied annually at rate $1.2 \times 10^{-3} \text{ mol m}^{-2}$ (i.e., 2 kg ha^{-1}
149 year^{-1}) in a single application event. An interception fraction of 0.2 and a drift fraction of 0.2 for
150 post-emergence pesticide application on winter wheat (Trevisan *et al.*, 2009) were accounted for
151 as losses of the applied GLP rate, thus resulting in a net application rate $A = 7.2 \times 10^{-4} \text{ mol m}^{-2}$
152 (i.e., $1.2 \text{ kg ha}^{-1} \text{ year}^{-1}$).

153 The GLP reaction network includes GLP and AMPA biodegradation and neglects chemical
154 degradation because it has been shown to only occur in soils rich in Mn oxides (e.g., birnessite
155 mineral, la Cecilia & Maggi, 2018). Inhibition of the reaction by heavy metals (e.g. Cu^{2+})(Barrett
156 & McBride, 2005; la Cecilia & Maggi, 2018; la Cecilia *et al.*, 2018; Li *et al.*, 2015) is also not
157 considered. GLP and AMPA degradation is tightly coupled with the nitrogen (N) cycle and a pool
158 of soil organic matter, which releases ammonium (NH_4^+), orthophosphate (PO_4^{-3}), and monomeric
159 organic carbon (represented by CH_2O) for microbial metabolic purposes (Maggi *et al.*, 2008). Six
160 microbial functional groups describe the soil microbial ecology and include: GLP and AMPA
161 hydrolyzing and oxidizing bacteria (B_{HYO}), and aerobic and anaerobic bacteria (B_{AER} and B_{ANAER})
162 that consume organic carbon (la Cecilia & Maggi, 2018; la Cecilia *et al.*, 2018), and NH_4^+ and
163 NO_2^- oxidizing bacteria (B_{AOB} and B_{NOB}) that mediate a two-steps nitrification, and denitrifying
164 bacteria (B_{DEN}) that perform a three-step NO_3^- denitrification reduction to N_2 (Maggi *et al.*, 2008).
165 Dynamic stability of soil microbial ecology resorts to group-specific biomass background recovery
166 rates after Porta *et al.* (2018) showed that some functional groups can be outcompeted for some
167 parameter combinations. Inhibition on various reactions include O_2 effects to anaerobic reactions
168 and pH below 6 and above 8 for microbial activity (Boon & Laudelout, 1962). Protection of

169 aqueous species, including GLP and AMPA, to the mineral phase is modeled as a linear equilibrium
 170 process. Biodegradation neglects the protected phase because it is assumed to not be accessible to
 171 exoenzymes as suggested in Riley *et al.* (2014). Benchmarking of the reaction network has been
 172 performed in Maggi *et al.* (2020) against field measurements of GLP and AMPA concentrations
 173 reported in the literature. All the details of the biodegradation reaction network used in this work
 174 are available in la Cecilia *et al.* (2018).

175 Deterministic simulations of GLP biodegradation were conducted using the BRTSim-v3.1a
 176 general-purpose solver for reaction-advection-diffusion processes in variably saturated soils (Maggi,
 177 2019). BRTSim numerically resolves the mass, momentum and energy conservation laws, bio-
 178 chemical kinetics, and equilibrium reactions using hybrid explicit-implicit finite volumes solvers,
 179 which are described in detail in the User Guide and Technical Manual (Maggi, 2018). Under the
 180 assumption that the gas phase undergoes negligible pressure gradients, advection was neglected
 181 here for gaseous species while diffusion of gaseous species was explicitly included. A steady tem-
 182 perature profile was assigned linearly changing from 20 °C at the top soil to 14 °C at 5 m depth.

183 In the following we describe the key equations used to describe flow and transport processes,
 184 i.e. where the investigated uncertain parameters are directly involved (see Section 2.5). We in-
 185 dicate variables dimensions using the notation [M,L,T,Θ] for mass, length, time and temperature,
 186 respectively. The mass and momentum conservation laws for water in a one dimensional variably
 187 saturated soil with constant porosity ϕ can be written as (Richards, 1931)

$$\phi \frac{\partial S_l}{\partial t} = -\frac{\partial q}{\partial z} - ET(z, t) - \Delta B(z, t), \quad \text{with} \quad q = -\frac{\rho_l g k}{\mu} k_r(S_l) \left(\frac{\partial \psi(S_l)}{\partial z} - 1 \right), \quad (3)$$

188 where t [T] is time, S_l [-] is water saturation, z [L] is the vertical coordinate, k [L²] and k_r are
 189 the absolute and relative permeabilities, g [LT⁻²] is the gravitational acceleration, q [LT⁻¹] is the
 190 Darcy's velocity, ψ [L] is the water potential, and ρ_l [ML⁻³] and μ [ML⁻¹T⁻¹] are the water density

191 and viscosity, respectively. Terms $ET(z, t)$ and $\Delta B(z, t)$ [T^{-1}] describe contributions to the soil
 192 water saturation due to actual evapotranspiration and immobilization in the microbial biomass,
 193 respectively. Precipitations $P(t)$ and irrigation $I(t)$ [LT^{-1}] per unit planar surface are assigned at
 194 the soil surface. Eq. (3) was solved assuming the following empirical formulations for k_r and ψ
 195 proposed by Brooks & Corey (1964)

$$k_r = S_{le}^{2b+3}, \quad (4a)$$

$$\psi = \psi_s S_{le}^{-b}, \quad (4b)$$

196 where b [-] is the pore volume distribution index, ψ_s [L] is the air-entry suction at water saturation,
 197 and S_{le} is the effective water saturation defined as

$$S_{le} = \frac{S_l - S_{lr}}{1 - S_{lr} - S_{gr}}, \quad (5)$$

198 S_{lr} and S_{gr} indicate the water and gas residual saturations, respectively.

199 Mass balance of chemical species is expressed by

$$\phi \frac{\partial X^i}{\partial t} = \frac{\partial J_{X^i}}{\partial z} + \hat{r}^i \quad J_{X^i} = -qX^i + \phi D_m \frac{\partial X^i}{\partial z} \quad (6)$$

200 where X^i [ML^{-3}] is aqueous concentration, D_m [L^2T^{-1}] is molecular diffusion, J_{X^i} [$ML^{-2}T^{-1}$]
 201 is the advective diffusive solute flux, \hat{r}^i [$ML^{-3}T^{-1}$] lumps the contribution from all chemical and
 202 biochemical reactions.

203 Chemical and biochemical kinetic reactions are assumed to occur only in the aqueous phase.
 204 The modelling approach employed to represent the feedbacks between water stress, temperature
 205 and bioreactive processes is detailed in Appendix A.

206 The microbial biomass was initialized with a simulation of 100 years to allow the water flow

207 and microbial processes related to GLP and the N cycle reaching a stationary state. The initialized
208 system was then used repeatedly for the purpose of GSA to simulate a period of $T_s = 50$ years,
209 setting GLP and AMPA initial concentrations to zero in each realization. As a consequence, this ini-
210 tialization presumed the ecological capability to degrade GLP, i.e., the microbial functional groups
211 have already adapted to degrade GLP when they receive the first application. Recent experiments
212 show that adaptation may imply a time lag in the order of months before soil microorganisms can
213 effectively degrade GLP (Tang *et al.*, 2019a), or in the order of hours due to catabolite repression
214 mechanisms triggered by substrate preference and memory of previous growth conditions (la Ce-
215 cilia *et al.*, 2019). As these observed adaptation times are significantly smaller than the considered
216 time window of 50 years we neglect these effects in our analysis. This assumption may generally
217 be reasonable because agricultural soils have typically been exposed to a wide suite of xenobiotics
218 before the application of GLP.

219 2.3. Target quantities and thresholds for pollution assessment

220 The target quantities selected for risk analysis are (see also Figure 2):

- 221 • the depth-averaged aqueous concentration of GLP and the mixture $MXT = GLP + AMPA$ in
222 BRZ (1 to 5 m depth), labeled as $C_{GLP|BRZ}$ and $C_{MXT|BRZ}$. The threshold values used are 0.1
223 $\mu\text{g l}^{-1}$ for GLP and $0.5 \mu\text{g l}^{-1}$ for MXT, as prescribed by the Directive 2006/118/EC (2006)
224 for the tolerable contamination of groundwater.
- 225 • GLP and AMPA mass in the top 30 cm of soil. These variables are indicated as $M_{GLP|TOP}$
226 and $M_{AMPA|TOP}$. We used the ecotoxicological concentration (i.e., LC_{50}) of GLP and AMPA
227 mass fractions to earthworms as thresholds, which are set to $M_{thr,GLP} = 5,600 \text{ mg kg-soil}^{-1}$
228 and $M_{thr,AMPA} = 1,000 \text{ mg kg-soil}^{-1}$ for GLP and AMPA, respectively (Lewis *et al.*, 2006).
- 229 • the yearly cumulative leaching rate of GLP and MXT between RZ and BRZ soil, F_{GLP} and
230 F_{MXT} , corresponding to advective-diffusive fluxes between the two soil regions, defined pos-

231 itive for downward fluxes (i.e., from RZ to BRZ). As threshold fluxes we set 0.02 mg m^{-2}
 232 y^{-1} and $0.1 \text{ mg m}^{-2} \text{ y}^{-1}$ for GLP and MIXT, respectively. These values correspond to the
 233 0.01% and 0.05% of the gross GLP application rate $A_{\text{GLP}} = 2 \text{ kg ha}^{-1} \text{ y}^{-1}$, following the
 234 rationale employed in 2006/118/EC (2006). We explore here the impact of using a threshold
 235 mass rate to reflect the risk of aquifer contamination and pollution. This definition is moti-
 236 vated by the possibility of direct comparison with application and biodegradation rates, and
 237 detachment from water saturation-dependent assessment indicators.

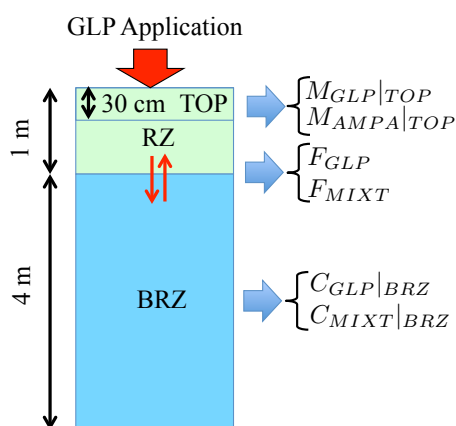


Figure 2: Graphical representation of the outputs considered for pollution and contamination risk analysis

238 In the following, we employ the term 'contamination' for a non-negligible concentration of
 239 a molecule in an environmental compartment where it should not exist, and 'pollution' when a
 240 given quantity exceeds a prescribed safety threshold following Rodriguez Eugenio *et al.* (2018).
 241 Therefore, contamination refers here to any positive level of concentration or flux detected below
 242 the root zone.

243 $AMAP_i$ indices were calculated considering all the selected outputs within the simulation time
 244 window $T_s = 50 \text{ y}$. Results obtained at year 15 are emphasized in the discussion because 15 y is
 245 the maximum approval period for pesticides in the EU. Note that while concentrations and top soil
 246 masses of contaminants are considered as continuous functions of time, fluxes are annual averages

247 used to detect persistent contaminant leaching.

248 Finally, we calculated the annual biodegradation efficiency in RZ of GLP

$$E_{\text{GLP}}(n) = \frac{1}{n} \sum_{j=1}^n \frac{1}{A\Delta t} \int_{h_{\text{RZ}}} \int_{(n-1)\Delta t}^{n\Delta t} [R_{\text{GLP}}^{\text{Oxi}}(z, t) + R_{\text{GLP}}^{\text{Hyd}}(z, t)] dz dt \quad (7)$$

249 as the ratio of biodegraded GLP through oxidation $R_{\text{GLP}}^{\text{Oxi}}$ and hydrolysis $R_{\text{GLP}}^{\text{Hyd}}$ calculated as in Eq.

250 A.1 relative to the GLP application rate A in year n . Similarly, the biodegradation efficiency of

251 AMPA is defined as

$$E_{\text{AMPA}}(n) = \frac{1}{n} \sum_{j=1}^n \int_{h_{\text{RZ}}} \int_{(n-1)\Delta t}^{n\Delta t} \frac{R_{\text{AMPA}}(z, t)}{R_{\text{GLP}}^{\text{Oxi}}(z, t)} dz dt \quad (8)$$

252 where R_{AMPA} is biodegraded AMPA. In both (7)-(8) we set $\Delta t = 1$ year. Note that Eq. (8) mea-

253 sures the ratio between biodegraded and produced AMPA, where the latter is a metabolite of GLP

254 biodegradation through oxidation, see la Cecilia & Maggi (2018) for details. The biodegradation

255 efficiencies in Eqs. (7)-(8) are not used for *AMAM* or *AMAP* analyses because there is no legis-

256 lation that recommends threshold biodegradation efficiencies in field applications. However, Eq.

257 (7)-(8) were used to interpret how parameter uncertainty affected GLP and AMPA biodegradation

258 across different scenarios.

259 2.4. Selection of scenarios

260 We consider six hydrometeorological scenarios (Table 1). The first scenario corresponds to the

261 reference (REF) case study described in la Cecilia *et al.* (2018), where the precipitation $P = P_{\text{REF}}$

262 and actual evapotranspiration $ET = ET_{\text{C, REF}}$ are used to estimate irrigation rates $I = I_{\text{REF}}$ neces-

263 sary to match locally-measured water table depths. This case study is representative of managed

264 agricultural crops. In the second and third scenarios, P is decreased and increased by 20% of P_{REF}

265 to simulate drier (DRY) and wetter (WET) conditions, respectively, while irrigation is maintained

266 as in the REF scenario. In the fourth scenario, steady state (SS) boundary conditions are set equal

267 to time-averaged fluxes $P = \overline{P_{\text{REF}}}$, $ET = \overline{ET_{C, \text{REF}}}$, $I = \overline{I_{\text{REF}}}$ calculated over the whole simulation
 268 time and applied as constant boundary flows. In this case, the GLP application rate is also time-
 269 averaged and applied throughout the simulated time period as $A = \overline{A_{\text{REF}}}$. DRY and SS unmanaged
 270 scenarios without irrigation are also considered.

271 The managed and unmanaged SS scenarios are tested to investigate the extent to which ac-
 272 counting of time-resolved as compared to constant hydrological fluxes can influence the prediction
 273 of GLP and AMPA biodegradation.

Boundary conditions	Managed				Unmanaged	
	(REF)	(DRY)	(WET)	(SS)	(DRY)	(SS)
P	P_{REF}	$P_{\text{REF}} \times 0.8$	$P_{\text{REF}} \times 1.2$	$\overline{P_{\text{REF}}}$	P_{REF}	$\overline{P_{\text{REF}}}$
ET	$ET_{C, \text{REF}}$	$ET_{C, \text{REF}}$	$ET_{C, \text{REF}}$	$\overline{ET_{C, \text{REF}}}$	$ET_{C, \text{REF}}$	$\overline{ET_{C, \text{REF}}}$
I	I_{REF}	I_{REF}	I_{REF}	$\overline{I_{\text{REF}}}$	0	0
A	A_{REF}	A_{REF}	A_{REF}	$\overline{A_{\text{REF}}}$	A_{REF}	$\overline{A_{\text{REF}}}$

Table 1: Boundary conditions applied in the tested scenarios. REF, DRY, WET and SS indicate the reference scenario, dry and wet scenarios, and steady state scenarios, respectively.

274 2.5. Selection of uncertain parameters

275 To illustrate the use of the sensitivity metrics defined in Section 2.1, we analyze here the im-
 276 pact of uncertainty related to soil hydraulic properties on the target quantities defined in Section
 277 2.3. We consider a total of six uncertain parameters indicated in Eqs (3)-(4), i.e. k , b , ψ_s , ϕ , S_{lr} and
 278 S_{gr} . In principle, permeability k can be expressed as a function of the other parameters by means
 279 of empirical or semi-empirical correlations (e.g. Brutsaert, 2000). However, we have included k
 280 within the set of uncertain parameters because of the reported non-exact dependence between ϕ
 281 and k (e.g., Maggi & Porporato, 2007). These parameters are assumed to be mutually independent
 282 and uniformly distributed within given ranges $\delta(\mathbf{p})$. Our choice of using a uniform pdf to charac-
 283 terize the uncertain model inputs rests on the idea of assigning equal weight to each value of the
 284 distribution (i.e., an equal prior probability). The range $\delta(p_i)$ associated with k , b , ψ_s and ϕ (Table
 285 2) is retrieved from naturally occurring soils, i.e., from hydrothermal properties database by Dai

286 *et al.* (2013) and the SoilGrids database in Hengl *et al.* (2017). Measured residual liquid satura-
 287 tion S_{lr} range from 0.046 to 0.31 (Ghanbarian-Alavijeh *et al.*, 2010), while S_{gr} observations vary
 288 between 0.092 and 0.22 (Smith & Browning, 1943; Peck, 1969). Based on these observations, S_{lr}
 289 and S_{gr} are here considered as uniformly distributed parameters with values comprised between
 290 0.05 and 0.2 (Table 2). Our analysis neglects vertical heterogeneity of soil properties. We solve
 291 one-dimensional flow and transport along a vertical soil column. This choice is consistent with
 292 models used for assessing pesticide leaching in regulatory frameworks (Jene, 1998; Carsel *et al.*,
 293 1985; Van den Berg *et al.*, 2012; Carsel *et al.*, 1985; 2006/118/EC, 2006). The assumed uncertainty
 294 in the soil hydraulic parameters can be used to assess the impact of their spatial variability, such as
 295 rendered by geo-referenced databases discussed in Heuvelink *et al.* (2010).

296 Sampling of the parameters space was conducted using a quasi Monte Carlo (QMC) technique
 297 (Sobol', 1998). In total, 5,000 parameter realizations were generated and applied to each scenario.
 298 Upon performing a forward modeling run for each of the selected sampling points, we obtain a
 299 QMC ensemble of our target outputs of interest that is next used to conduct the sensitivity analysis.
 300 We verified the convergence of the QMC samples in terms of the outputs sample pdfs, see Appendix
 301 B. The CPU time for each QMC flow and transport simulation is 600 s (Intel Xeon Platinum 8160
 302 @ 2.10 GHz).

k $\times 10^{-13}$ [m ²]	b [-]	ψ_s [m] water	ϕ [-]	S_{lr} [-]	S_{gr} [-]
(0.50, 10)	(3, 7)	(-0.6, -0.1)	(0.4, 0.5)	(0.05, 0.2)	(0.05, 0.2)

Table 2: Parameter value ranges.

303 3. Results and discussions

304 In the following we apply the global sensitivity indices *AMAM* and *AMAP* introduced in Sec-
 305 tion 2 to the reference scenario and we then analyze the impact of the considered hydrological and
 306 management regime on the system response. Finally we consider the impact of the selected scenar-

ios on the biodegradation efficiency, to assess the relevance of the hydrologic boundary conditions on the GLP biodegradation reaction network.

3.1. Global sensitivity indices in the reference (REF) scenario

Figure 3 reports $AMAE_i$, $AMAV_i$ and $AMAP_i$ computed for all uncertain parameters p_i and for all target quantities evaluated at the time corresponding to the maximum approval period for pesticides in the EU, i.e. at $t = 15$ y.

$AMAE_i$ (Figure 3a-d) suggests that the soil permeability k has the greatest influence on the sample average of all target quantities analyzed followed by (a) ψ_s and ϕ for the concentration targets and (b) by the gas residual saturation S_{gr} and ψ_s for the flux targets. Other parameters display moderate to minor effects. Similar results have been obtained for $AMAV_i$ for the two concentrations (Figure 3e-f), while the fluxes variances are also greatly influenced by the pore volume distribution index b (Figure 3g-h).

$AMAP_i$ (Figure 3i to l) shows that the probability of C_{GLP} and (to a lesser extent) F_{MXT} to exceed their thresholds are impacted by the variability of the uncertain parameters at $t = 15$ y. Otherwise, $AMAP_i$ obtained for $C_{MXT|BRZ}$ and F_{GLP} are negligible. This result is explained observing that the threshold MXT concentration/GLP flux is never or always exceeded in the investigated sample regardless of the parameters' values (see also Sections 3.4 and 3.3). The investigated parameters have then a negligible influence on the probability of exceeding the threshold, while they still influence the outputs mean and variance as shown by the corresponding $AMAE_i$ and $AMAV_i$ values.

Overall Figure 3 suggests that an accurate characterization of k , ψ_s , ϕ should be prioritized to predict agrochemicals' concentrations in the aquifer. In addition, estimating agrochemical fluxes from the root zone to the aquifer would benefit from an accurate knowledge of S_{gr} and b .

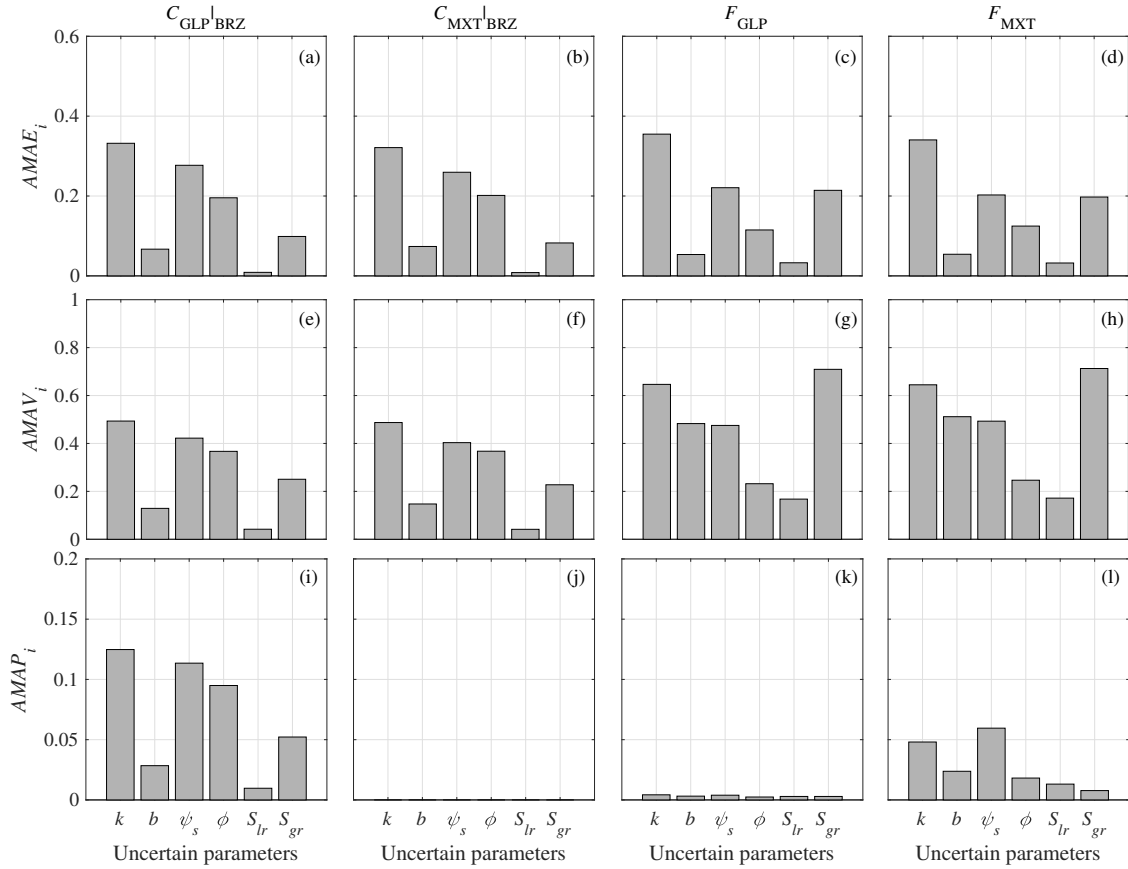


Figure 3: Global sensitivity indices (a)-(d) $AMAE_i$; (e)-(h) $AMAV_i$; and (i)-(l) $AMAP_i$ evaluated for GLP and MXT aqueous concentrations and fluxes from RZ to BRZ. Analyses are relative to REF scenario at time $t = 15$ years.

3.2. Range Impact Analysis - RIA

In this section, we quantify the variability of $AMAP_i$ with respect to the level of uncertainty assumed for each parameter p_i upon considering GLP concentration $C_{GLP|BRZ}(t = 15y)$. We keep the average value for each parameter probability distribution constant and we increase/decrease the ranges of variability in $\delta(p_i)$ by a prescribed factor comprised between 0.7 and 1.1. This allows testing the robustness of parameter ranking upon maintaining uniformly distributed parameters and without violating physical constraints (i.e., positive permeability and porosity comprised between zero and one).

338 Figure 4 shows that the $AMAP_i$ indices smoothly vary. The ranking of parameters importance
 339 is also consistent for all the investigated ranges with k , ψ_s and ϕ chiefly influencing the system
 340 response, while the effect of b and S_{lr} and S_{gr} appears negligible. Increasing values of $AMAP_i$ are
 341 obtained for increasing parameters ranges, which reflects the increase of assumed uncertainty in
 342 the parameter values.

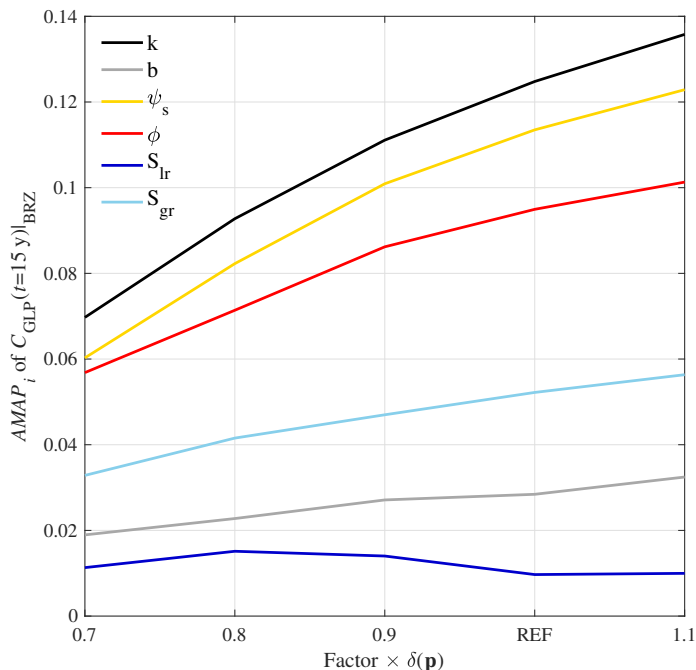


Figure 4: $AMAP$ - Range Impact Analysis for $C_{GLP|BRZ}(t = 15y)$.

343 3.3. Analysis of contamination and pollution

344 Figure 5a-b displays the temporal evolution of the expected values (or sample-averages) $E[M_{GLP|TOP}]$
 345 and $E[M_{AMPA|TOP}]$, respectively. Both quantities are significantly smaller (by three orders of mag-
 346 nitude) than their ecotoxicological threshold (i.e., LC_{50} for earthworms) and the probability to
 347 exceed the thresholds is negligible across the whole sample. Managed and unmanaged steady state
 348 scenarios result in the largest $E[M_{GLP|TOP}]$ and $E[M_{AMPA|TOP}]$ values, which are likely caused by re-

349 duced flushing as compared to scenarios where intense precipitations caused fast GLP and AMPA
350 transport to BRZ.

351 The sample-averaged aqueous concentrations $E[C_{GLP|BRZ}]$ and $E[C_{MXT|BRZ}]$ (Figure 5c and
352 d) increase over time in the aquifer. Wet scenarios (REF and WET) lead to faster increase in
353 $E[C_{GLP|BRZ}]$ and $E[C_{MXT|BRZ}]$ as compared to dry scenarios (DRY). Our results also suggest that
354 pollution may occur at substantially longer time scales in steady state conditions, i.e., SS scenarios
355 do not cause any significant contamination within the investigated 50-year time period.

356 Figure 5e and f show the relative frequency (or sample probability) of the exceedance time \hat{t} ,
357 i.e., the time at which $C_{GLP|BRZ}$ and $C_{MXT|BRZ}$ exceed the corresponding threshold concentrations.
358 All transient scenarios showed more than 95% probability for $C_{GLP|BRZ}$ and $C_{MXT|BRZ}$ to exceed
359 the threshold concentrations within a time frame of 50 years. On the other hand, considering the
360 EU maximum approval period for pesticides of 15 years, the probability to exceed the threshold
361 concentrations is smaller than 20% for $C_{GLP|BRZ}$ and negligible for $C_{MXT|BRZ}$. This result is con-
362 sistent with Figure 3j, showing negligible $AMAP_i$ values (for all parameters) for $C_{MXT|BRZ}$. We
363 further note that the probability distributions of \hat{t} display heavier right tails for DRY than for WET
364 scenarios, i.e., GLP and MXT arrival times to BRZ are characterized by larger uncertainty in DRY
365 than in WET scenarios. This result quantifies a delay in the occurrence of water pollution BRZ in
366 DRY conditions.

367 The mean GLP and MXT leaching rates from RZ to BRZ (Figure 6a-b) vary significantly across
368 all scenarios. As expected, WET scenarios lead to higher leaching rates than DRY ones. Soil BRZ
369 can undergo pollution after 4 years since the first GLP application in both WET and DRY scenarios.
370 Figure 6a and b also show that upward (negative) fluxes occur from BRZ to RZ in dry scenarios.
371 These instances are driven by particularly dry periods and elevated ET_C , which result in high water
372 suction in TOP and RZ from BRZ. Upward fluxes are consistent with previous observations of
373 herbicide transport during capillary driven groundwater rise (Arjoon *et al.*, 1998). This result may

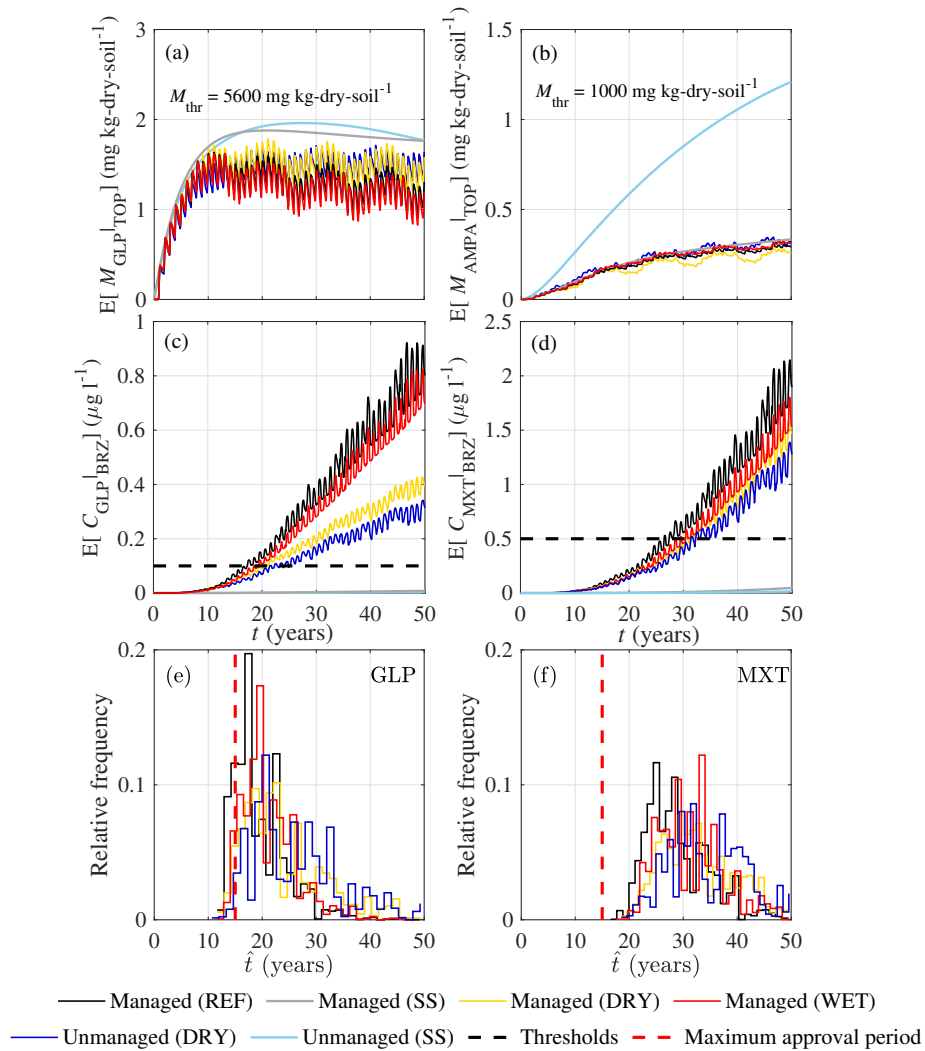


Figure 5: Average GLP and AMPA mass in RZ (a-b) and aqueous concentrations in BRZ (c-d); (e)-f) represent the corresponding probability distribution of exceedance time \hat{t} , i.e., the time at which contaminant concentrations exceeded threshold concentrations.

374 raise awareness for pollutants accumulation at soil depths that may be reached during water table
 375 fluctuations, where there may be a lack of active biodegraders. The recontamination does not occur
 376 in the SS scenarios because the saturation profile is constant in time. This result confirms our
 377 interpretation that unsteady water inputs due to precipitations can cause rapid contaminant flushes
 378 (i.e., positive fluxes) to BRZ as compared to SS scenarios.

379 Figures 6c and d show that the probability to exceed F_{thr} is very high ($P_{thr} \approx 1$) within 15 years
 380 for both GLP and MXT in all scenarios except SS. Comparing Figures 6c and d with Figure 5e and
 381 f, one can conclude that the time scale associated to pollution observed in leaching rate (from RZ to
 382 BRZ) is significantly smaller than the time scale linked to resident agrochemical concentrations in
 383 BRZ. Therefore, measurements and modeling predictions of leaching rates would provide a more
 384 conservative indicator than concentration data within a contaminant risk assessment framework.

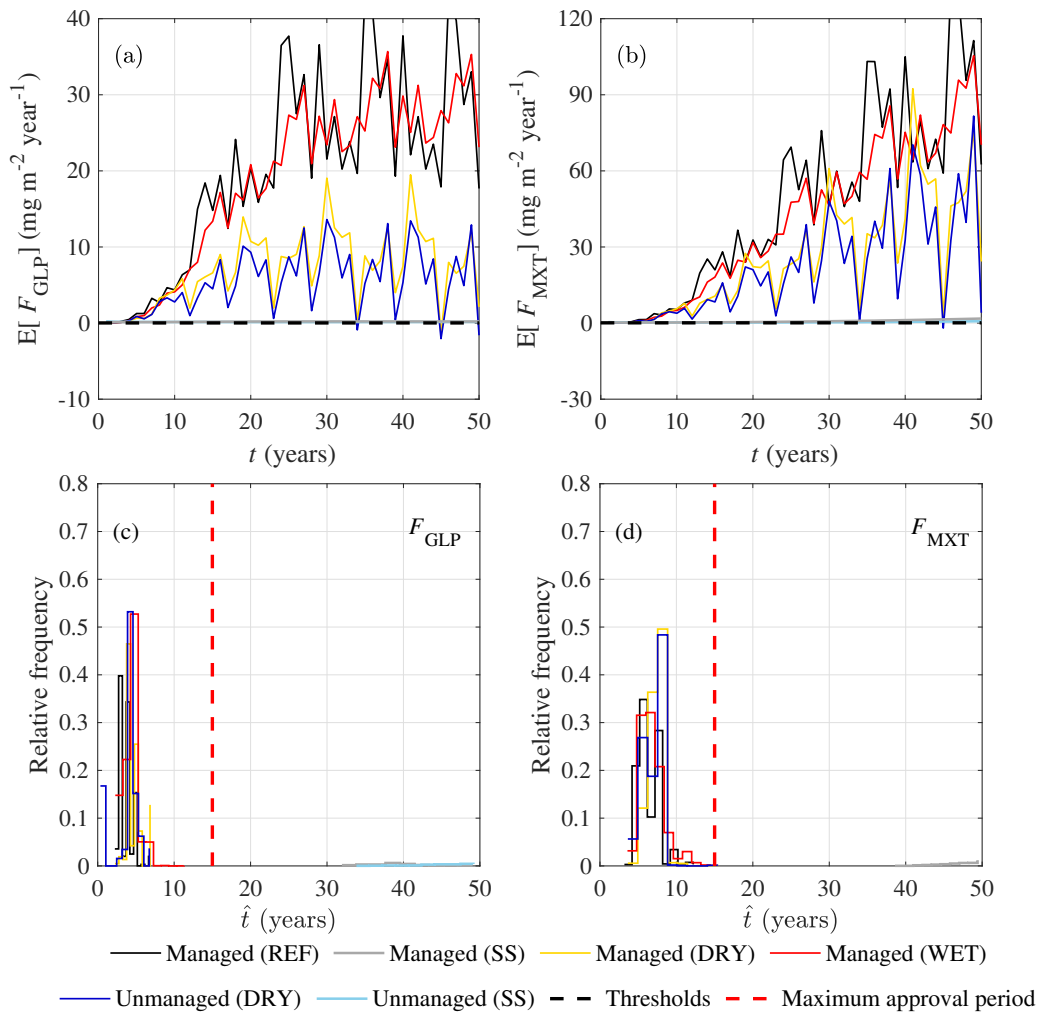


Figure 6: Sample-averaged GLP (F_{GLP}) (a) and MXT (F_{MXT})(b) leaching rate from RZ to BRZ, respectively; (c) and (d) represent the relative frequency of the exceedance time \hat{t} , i.e., the first time at which F_{GLP} and F_{AMPA} exceeded the threshold values.

385 *3.4. AMAP and scenario analyses*

386 $AMAP_i$ can be used as a time dependent sensitivity measure as illustrated in Figure 7. We
387 observe that parameter ranking is consistent across different outputs for the same scenario because
388 the selected outputs are inherently linked between each other. Significant differences in ranking
389 are conversely observed across scenarios. For example, parameter b has an important influence on
390 the probability that both $C_{GLP|BRZ}$ and $C_{MXT|BRZ}$ exceed the related thresholds in DRY scenarios
391 (Figure 7b,f,d,h). On the other hand, the parameter ψ_s is predominant over b in assessing $C_{GLP|BRZ}$
392 and $C_{MXT|BRZ}$ in wet scenarios (WET and REF). This result is consistent with Eq. (4), showing
393 that the impact of b decreases as the water saturation increases.

394 Figure 8 displays $AMAP_i$ values evaluated for the transient scenarios and considering $C_{GLP|BRZ}$
395 and F_{MXT} after 15 years from the first GLP application. Analogous results for C_{MXT} and F_{GLP} in
396 the transient conditions as well as for all target quantities in the SS scenarios are not reported since
397 negligible values of $AMAP_i$ have been obtained for all parameters.

398 Parameters k and ψ_s are the most influential parameters on $C_{GLP|BRZ}$ and F_{GLP} . These results
399 are consistent with Eq. (3); that is, (i) increasing k promotes faster water flows, and thereby so-
400 lute transport; (ii) decreasing ψ_s promotes higher water capillary rise from BRZ to RZ contrasting
401 leaching. Figures 7-8 allow identifying which parameters should be further constrained, such as
402 through measurement campaigns, to reduce the uncertainty associated with probabilistic ground-
403 water or soil contamination risk assessment.

404 *3.5. Biodegradation and flow regime*

405 Mean biodegradation efficiencies $E[E_{GLP}]$ and $E[E_{AMPA}]$ indicate that biodegradation starts as
406 soon as GLP is applied and increases over time (see Figure 9). Sample-averaged GLP biodegra-
407 dation efficiency does not change significantly among all investigated scenarios, because $E[E_{GLP}]$
408 varies only between 0.85 and 0.9. The variability slightly increases when AMPA is considered,
409 values of $E[E_{AMPA}]$ ranging between 0.24 and 0.32. Therefore, the sensitivity of microbial activity

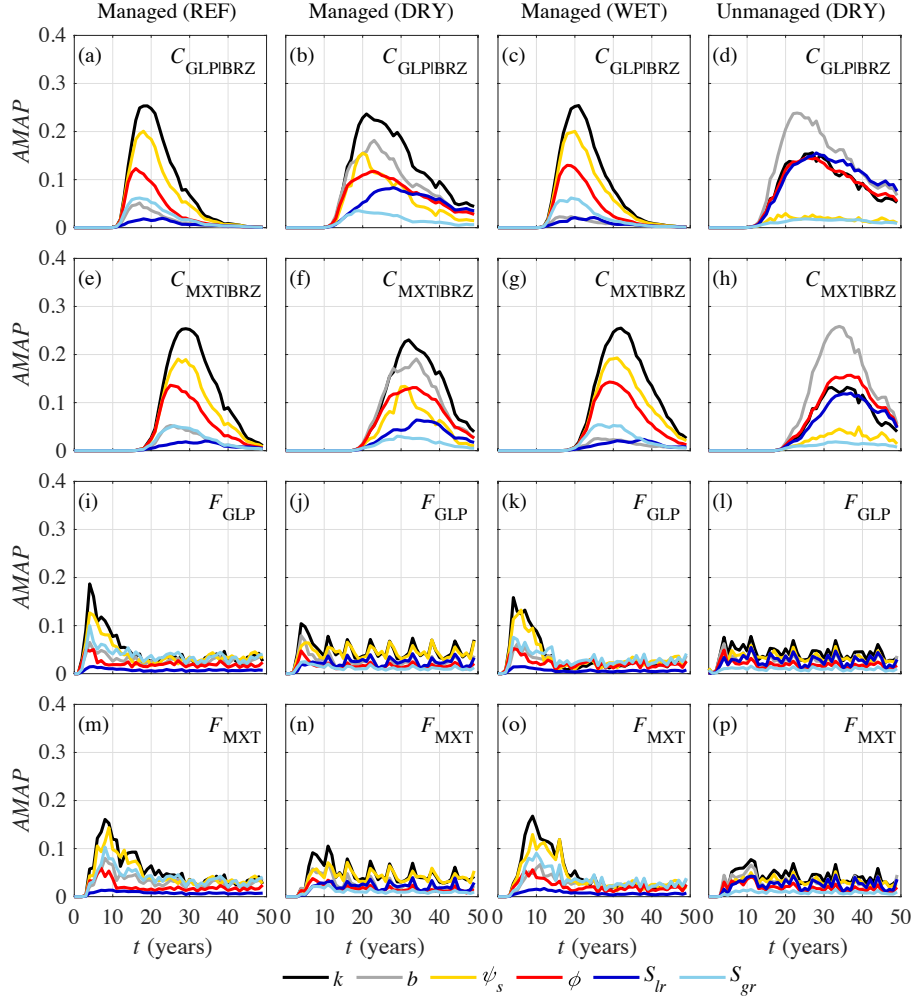


Figure 7: Time evolution of $AMAP$ values: each row of plots is associated with a single output, columns distinguish the different scenarios.

410 and biodegradation to soil water availability is not particularly relevant in the selected scenarios
 411 even though water availability is explicitly considered in the model via Eq. (A.3).

412 This result suggests that simplified SS models as compared to time-resolved hydrologic bound-
 413 ary conditions may be used to predict overall contaminant mass budgets and is in line with previous
 414 numerical results by Tang *et al.* (2019b). However, SS scenarios do not yield accurate predictions
 415 of contaminants concentrations and leaching rates, which are instead driven by hydrological fluc-

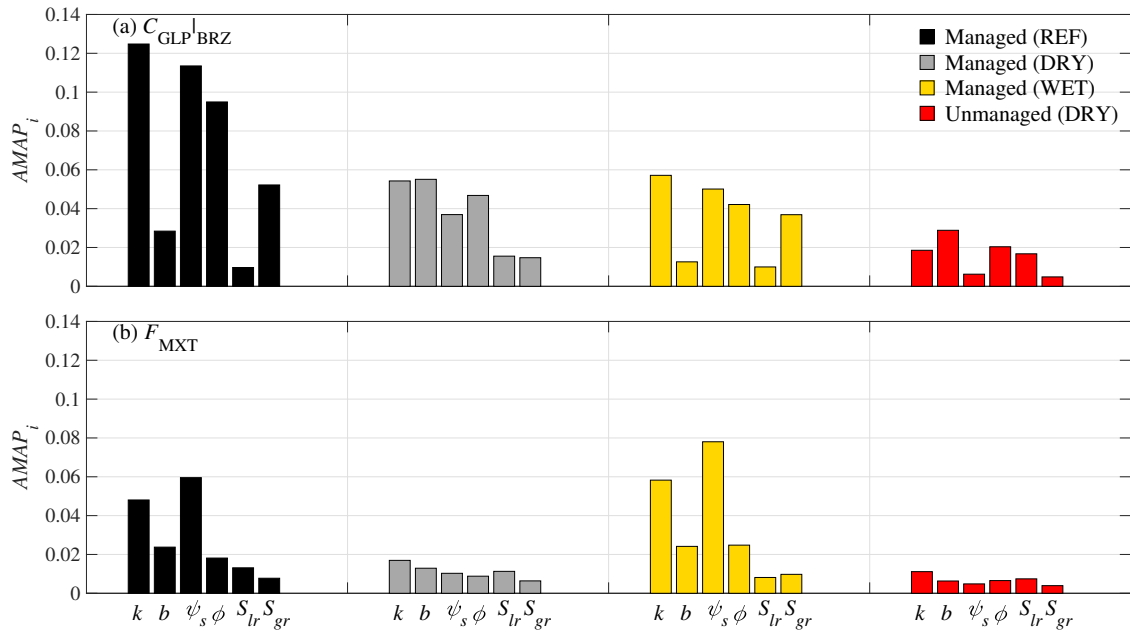


Figure 8: $AMAP_i$ values of the uncertain soil hydraulic parameters relative to (a) GLP aqueous concentration BRZ and (b) MXT fluxes from RZ to BRZ. Analyses were carried out at time $t = 15$ years and results are grouped and colored according to each one of the six scenarios.

416 tuations, as discussed in Section 3.3.

417 3.6. Final remarks

418 Our results demonstrate that probabilistic indicators allow identifying the impact of soil hy-
 419 draulic properties on pesticide contamination and leaching. In particular, we show that leaching is
 420 highly variable depending on soil properties, hydrological boundary conditions, and land manage-
 421 ment practices. In previous studies, Stenemo & Jarvis (2007) showed that the ranking of parameters
 422 may change according to soil texture, while Jury & Gruber (1989) showed that the persistence of
 423 pesticides with residence times longer than one year is more affected by soil rather than climatic
 424 variability. A number of studies have investigated uncertainty quantification and sensitivity anal-
 425 ysis of agrochemical biodegradation and leaching (Dubus *et al.*, 2003; Stenemo & Jarvis, 2007;
 426 Heuvelink *et al.*, 2010). These modeling works assumed first order decay of pesticides in soil in

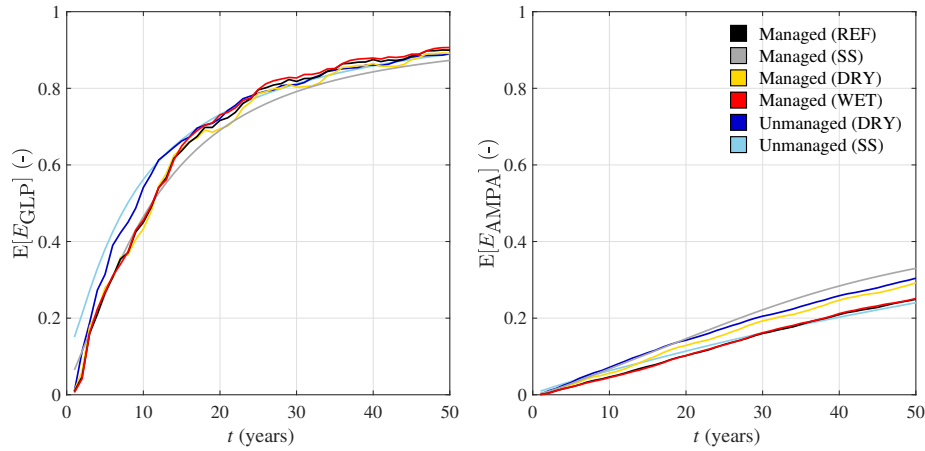


Figure 9: Mean biodegradation efficiency of (a) GLP and (b) AMPA in RZ and in each scenario.

427 contrast with our approach that uses Michaelis-Menten-Monod kinetics. Some of these studies
 428 suggest that soil hydraulics has a smaller impact on pesticide fate as compared to half life and
 429 sorption properties, yet our results demonstrate that soil hydraulic properties have a relevant im-
 430 pact on threshold exceedance probability. In particular, the *AMAP* time series demonstrate the
 431 impact of soil hydraulic parameters appears to be more persistent in dry than wet scenarios. We
 432 emphasize that the above mentioned studies typically rely on a single output statistics for parameter
 433 ranking in pesticide leaching (Heuvelink *et al.*, 2010) or quantify sensitivity by changing param-
 434 eters one at a time (Dubus *et al.*, 2003). Conversely, our results advocate for the use of a suite
 435 of global sensitivity indicators to thoroughly test the system response, and are in line with recent
 436 studies (Borgonovo *et al.*, 2017; Ceriotti *et al.*, 2018). Hence *AMAP* can complement moment-
 437 based sensitivity indicators that do not account for safety threshold considered in risk assessment
 438 protocols. Likewise moment-based indicators, *AMAP* can be used with any input parameter dis-
 439 tribution, model formulation and dimensionality. The present work considers only scenario and
 440 parametric uncertainty. Model structure and dimensionality has been identified as another possible
 441 relevant source of uncertainty. Different results may be obtained upon considering diverse flow and
 442 transport formulations as well as alternative biogeochemical reaction networks. Future work is en-

443 visaged to provide a formal derivation of model structure sensitivity indices within a probabilistic
444 risk assessment framework, as recently discussed in Dell’Oca *et al.* (2020).

445 **4. Conclusions**

446 Our work leads to the following major conclusions:

- 447 • We test the use of a suite of sensitivity indicators for soil and groundwater environmental
448 risk assessment. To this end, we introduce a new sensitivity index (*AMAP*) and we show its
449 application to contamination and pollution following glyphosate (GLP) herbicide dispersion
450 in an agricultural soil column. *AMAP* provides a time dependent indication of the relevance
451 of each parameter on the probability for a given output to exceed a user defined threshold and
452 complements available moment-based sensitivity metrics. The index developed here can be
453 readily applied to rank uncertain parameters with respect to any arbitrary threshold related
454 to the quality of any environmental sphere (e.g., water, air, soil, and their combinations) and
455 to inform appropriate management and restoration strategies.
- 456 • We quantify the impact of uncertainty in soil hydraulic parameters on the time required for
457 the concentration of GLP and its toxic metabolite AMPA to exceed pollution thresholds in
458 the top soil, below the root zone and for the fluxes measured at 1 m depth. We repeated
459 these analyses in different scenarios of managed and unmanaged water budgets. When con-
460 centration thresholds are considered, dry scenarios result in larger uncertainty in terms of
461 exceedance time as compared to wet ones. Measurements of contaminant fluxes reduce such
462 uncertainty.
- 463 • Parameter ranking varies with ecohydrological scenarios of precipitations and irrigation
464 practice. Permeability (k) and air-entry suction (ψ_s) have the greatest effect on exceedance
465 of water quality safety limits in the reference scenario as well as in the wet scenario. The

466 influence of the pore volume distribution index b emerges in dry conditions, probably due
467 to its relation with the relative permeability. Gas residual saturation may play an important
468 role in contaminant transport in some scenarios, however it has shown a relatively minor
469 role on pollution assessment. Porosity appears to have a minor effect on pollution risk as
470 compared to permeability, except in the driest investigated conditions. These results suggest
471 that *AMAP* can be used to refine uncertainty quantification in hazard assessment through
472 measurements campaigns or to design risk management strategies, which can be specialized
473 to local ecohydrological boundary conditions.

474 • Steady state scenarios do not allow assessing contamination and pollution and overpredict
475 pollution time scales if compared with time-resolved simulations. Remarkably the sample-
476 averaged GLP and AMPA biodegradation efficiencies show only minor differences across
477 the tested scenarios. This result shows that steady state simulation may be able to match
478 biodegradation efficiency yielded by time-resolved boundary conditions, but are not effective
479 in rendering contamination hazards. Average flow conditions neglect the impact of short
480 range fluctuations that play a predominant role in triggering contamination and pollution.

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491 **Appendix A. Modelling of feedbacks between water saturation and biodegradation rates**

492 The rate of change of an aqueous species for a given reaction in (6) is $\hat{r}^i = dX^i/dt = x_i R^i$,
 493 where x_i is the stoichiometric number for species i and R^i [$\text{ML}^{-3}\text{T}^{-1}$] is the reaction velocity. For
 494 a generic reaction with n_O n -order kinetic products, n_{MM} Michaelis-Menten-Monod terms with
 495 concentration $X_{n_{MM}}$, n_{COM} competitive reactants ($X_{n_{COM}}$), and n_{INB} inhibition terms ($X_{n_{INB}}$), the
 496 reaction velocity R is written as

$$R^i = r f_B \prod_{n_O} X_{n_O}^{x_{n_O}} \cdot \prod_{n_{MM}} \frac{X_{n_{MM}}}{X_{n_{MM}} + K_{n_{MM}}} \left(1 + \sum_{n_{COM}} \frac{X_{n_{COM}}}{K_{n_{COM}}} \right) \prod_{n_{INB}} \frac{X_{n_{INB}}}{X_{n_{INB}} + K_{n_{INB}}}, \quad (\text{A.1})$$

497 where r [T^{-1}] is the reaction rate constant, $K_{n_{MM}}$, $K_{n_{INB}}$, $K_{n_{COM}}$ are the Michaelis-Menten half
 498 saturation, competition and inhibition constants, respectively, $f_B = 1$ if the reaction is chemical or
 499 if the reaction is biochemical.

$$f_B = \min \{f(S_B), f(\theta), f(S_l) / \max\{f(S_l)\}\}, \quad (\text{A.2})$$

500 where $f(S_B)$ [-], $f(\theta)$ [-] and $f(S_l)$ [-] are the specific microbial response functions to space avail-
 501 ability, temperature and water saturation, respectively. These terms are evaluated as

$$f(S_B) = \min \left\{ 1 - \frac{S_B - S_{lr}}{1 - S_{gr} - S_{lr}}, 1 - \frac{f_l S_B}{S_l}, 1 - \frac{(1 - f_l) S_B}{S_g} \right\}, \quad (\text{A.3a})$$

$$f(\Theta) = \left(\frac{e^\theta}{e^{\theta_{LB}} + e^\theta} \right)^n \cdot \left(\frac{e^{\theta_{UB}}}{e^{\theta_{UB}} + e^\theta} \right)^m, \quad (\text{A.3b})$$

$$f(S_l) = \frac{S_l}{S_{l,LB} + S_l} \cdot \frac{S_{l,UB}}{S_{l,UB} + S_l}, \quad (\text{A.3c})$$

502 where f_l [-] is the biomass water fraction, S_B [-] is the biomass saturation, θ_{LB} and θ_{UB} [Θ] and
 503 $S_{l,LB}$ [-] and $S_{l,UB}$ [-] are the lower and upper temperature (in Kelvin) and liquid saturation response

504 parameters. The three functions in (A.3) introduce a limitation to microbially driven reactions as a
 505 function of environmental factors that may limit the bacterial growth and/or activity.

506 The function $f(S_B)$ implies that microbial functional groups can grow as long as there is enough
 507 free water to immobilize, or gas space available for the cell solid fraction $(1 - f_i)$ to occupy, or there
 508 is enough pore volume to host the total microbial biomass volume. Following the scheme in Maggi
 509 & Porporato (2007), the function $f(S_B)$ also implies that the total water saturation includes the free
 510 (mobile) water saturation S_l and the immobilized water saturation $S_{IB} = f_i S_B$. As a consequence,
 511 the term ΔB in Eq. (3) accounts for the rate of change in mobile water saturation S_l when the
 512 total microbial biomass increases (i.e., $\Delta B > 0$ expresses water immobilization) or decreases (i.e.,
 513 $\Delta B < 0$ expresses water remobilization). Hence, Eq. (3) is subject to the constraint $S_l + S_g + S_B = 1$.
 514 Function $f(T)$ limits R when temperature is below θ_{LB} and above θ_{UB} . Finally, function $f(S_l)$ limits
 515 R when S_l is below $S_{l,LB}$ or above $S_{l,UB}$.

516 The response function $f(\Theta)$ appearing in (A.1)-(A.2) was calculated with $m = 0.1$, $n = 0.5$,
 517 $\theta_{LB} = 6$ °C and $\theta_{UB} = 45$ °C to return the microbial activity curve typical of mesophiles docu-
 518 mented in (Rittmann & McCarty, 2001) (Figure A.1a), while $f(S_l)$ was implemented with $S_{l,LB} =$
 519 $S_{l,UB} = 0.46$ to represent typical water stresses (e.g., Moyano *et al.*, 2012; Yan *et al.*, 2018) (Figure
 520 A.1b). The response function $f(S_B)$ was implemented with $f_i = 0.85$ after Rockhold *et al.* (2005)
 521 and varies with S_B and therefore with t and over z .

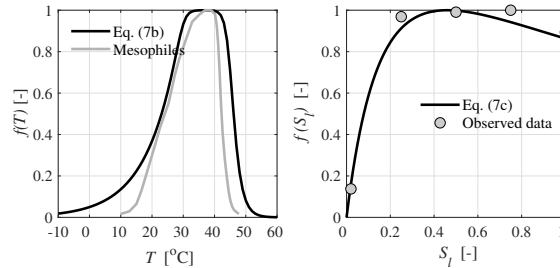


Figure A.1: Microbial response functions for (a) temperature stress $f(\Theta)$, and (b) water stress $f(S_l)$ as a function of temperature and mobile water saturation, respectively. Functions apply to all microbial functional groups accounted for in the GLP biogeochemical reaction network. The curve for mesophiles (left panel) is from (Rittmann & McCarty, 2001); experiments in the right panel are from Wickland & Neff (2008).

522 **Appendix B. Stability of QMC sampling**

523 Results in Figure B.2 shows that 5,000 stochastic realizations resulted in stable relative fre-
 524 quency in the target outputs. The results also allow appreciating that different scenarios resulted in
 525 different frequencies.

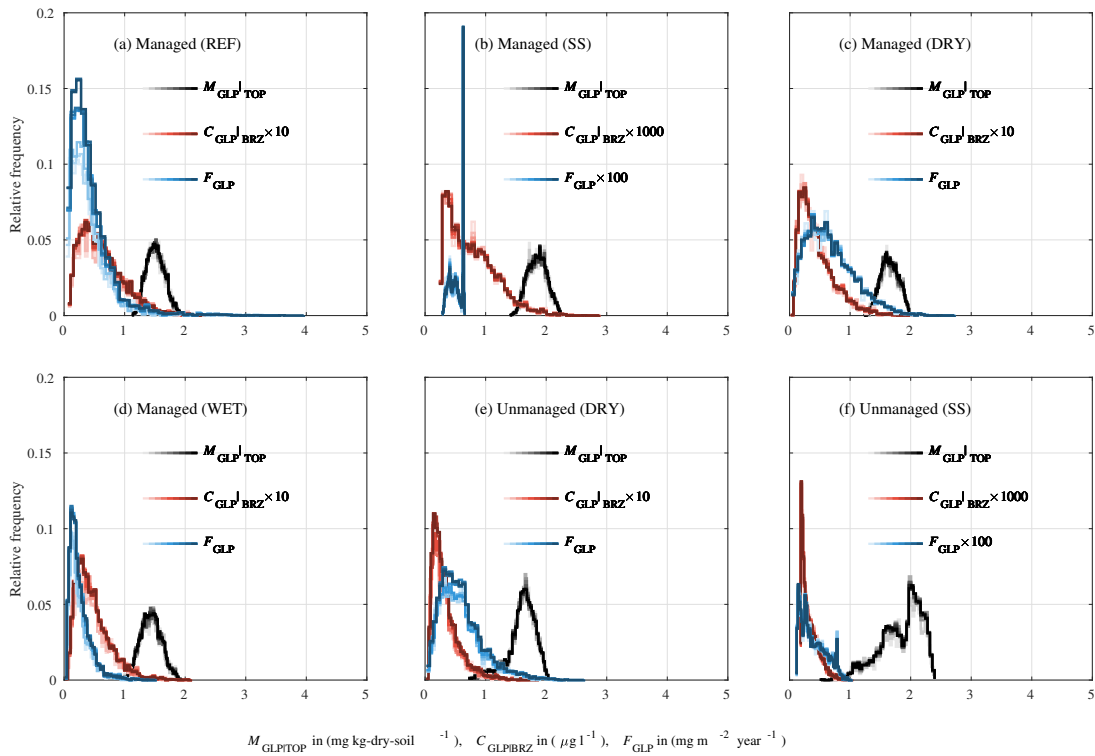


Figure B.2: Relative frequency of M_{GLP} , C_{GLP} , F_{GLP} at increasing number of QMC realizations (from 1,000 in light to 5,000 in dark, grey, red and blue, respectively).

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