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F. Ferrari, P. Tanga

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The role of fragment shapes in the simulations of asteroids as gravitational aggregates

F. Ferrari^a, P. Tanga^b

^a*Department of Aerospace Science and Technology, Politecnico di Milano, Italy*

^b*Université Côte d’Azur, Observatoire de la Côte d’Azur, CNRS, Laboratoire Lagrange, France*

Abstract

Recent remote measurements and in-situ observations confirm the idea that asteroids up to few hundreds of meters in size might be aggregates of loosely consolidated material, or ‘rubble piles’. The dynamics of these objects can be studied using N-body simulations of gravitational aggregation. We investigate the role of particle shape in N-body simulations of gravitational aggregation. We explore contact interaction mechanisms and study the effects of parameters such as surface friction, particle size distribution and number of particles in the aggregate. As a case study, we discuss the case of rubble pile reshaping under its own self-gravity, with no spin and no external force imposed. We implement the N-body gravitational aggregation problem with contact and collisions between particles of irregular, non-spherical shape. Contact interactions are modeled using a soft-contact method, considering the visco-elastic behavior of particles’ surface. We perform numerical simulations to compare the behavior of spherical bodies with that of irregular randomly-generated angular bodies. The simulations are performed starting from an initial aggregate in a non-equilibrium state. The dynamics are propagated forward allowing particles to settle through reshaping until they

reach an equilibrium state. Preliminary tests are studied to investigate the quantitative and qualitative behavior of the granular media. The shape of particles is found to play a relevant role in the settling process of the rubble pile aggregate, affecting both transient dynamics and global properties of the aggregate at equilibrium. In the long term, particle shape dominates over simulation parameters such as surface friction, particle size distribution and number of particles in the aggregate. Spherical particles are not suitable to model accurately the physics of contact interactions between particles of N-body aggregation simulations. Irregular particles are required for a more realistic and accurate representation of the contact interaction mechanisms.

Keywords: Asteroids, Asteroids, composition, Asteroids, dynamics, Asteroids, surfaces

1. Introduction

In the last few decades, remote measurements and in-situ observations have contributed to build evidence (Richardson et al., 2002; Hestroffer et al., 2019) upon the idea that asteroids up to few hundreds of meters in size might be aggregates of loosely consolidated material, or ‘rubble piles’ (Chapman, 1978). Due to their properties, the dynamical evolution of these objects can be studied using numerical N-body simulations. This technique has been used successfully in the past to simulate a wide range of phenomena, including planetary ring dynamics (Porco et al., 2008; Schmidt et al., 2009; Ballouz et al., 2017; Lu et al., 2018) and planetesimal dynamics (Richardson et al., 2000). In the context of rubble-pile dynamics, N-body simulations have been crucial to provide insights on phenomena involving natural reshaping

13 processes towards equilibrium shapes (Richardson et al., 2005; Tanga et al.,
 14 2009a,b), spin evolution and rotational breakup (Sánchez and Scheeres, 2012,
 15 2016; Cotto-Figueroa et al., 2015; Ballouz et al., 2015; Zhang et al., 2017,
 16 2018; Yu et al., 2018) towards the formation of binary systems (Walsh et al.,
 17 2008). These give insights on the internal structure of such bodies, which
 18 to date remains largely unknown (Campo Bagatin et al., 2018; Sánchez and
 19 Scheeres, 2018; Scheeres and Sánchez, 2018). The intrinsic granularity of
 20 N-body models makes them ideal candidates to investigate scenarios that
 21 involve the disruption of rubble-pile objects, and subsequent reaccumulation
 22 after catastrophic (Michel et al., 2001, 2002, 2004; Michel, P. and Richard-
 23 son, D. C., 2013) or non-catastrophic events (Geissler et al., 1996), to in-
 24 vestigate the formation of satellites (Durda et al., 2004), exposed internal
 25 structure (Benavidez et al., 2012, 2018) and interactions with external ob-
 26 jects, including tidal disruption events (Asphaug and Benz, 1994, 1996; Yu
 27 et al., 2014) and low-speed collisions (Leinhardt et al., 2000; Ballouz et al.,
 28 2014).

29 All these studies consider contact and collision interactions between par-
 30 ticles of spherical shape. The use of spheres in N-body simulations is very
 31 beneficial from the computational point of view, especially when dealing with
 32 a high number of bodies, since it reduces dramatically the complexity of the
 33 collision detection and contact solver algorithm. However, the use of spheres
 34 might affect significantly the realism of the contact interactions (Michel et al.,
 35 2004) which are over-simplified by disregarding the geometrical effects due to
 36 the irregularity and angularity of real bodies (Tanga et al., 1999; Richardson
 37 et al., 2009). This is confirmed by experiments in terrestrial applications of

38 granular dynamics ([Pazouki et al., 2017](#); [Dubois et al., 2018](#)).

39 Few studies have been performed in the past involving non-spherical par-
40 ticles. [Korycansky and Asphaug \(2006\)](#) studied low-speed collisions between
41 gravitational aggregates made of polyhedral particles and showed a substan-
42 tial difference between the contact interaction mechanism between polyhe-
43 dra, as compared to spheres. In particular, they highlighted how off-center
44 collisions, which are only possible between non-spherical objects, produce sig-
45 nificantly lower restitution at contact and consequently a higher aggregation
46 probability after collision. In a follow-up study, [Korycansky and Asphaug](#)
47 [\(2009\)](#) managed to obtain a substantial speed-up of their code, by employing
48 the ODE (Open Dynamics Engine) physics engine. [Movshovitz et al. \(2012\)](#)
49 use similar aggregates to investigate tidal disruption events, showing how the
50 use of non-spherical particles produces a better estimate of the bulk density
51 of the aggregate object compared to using spheres. Other studies make use
52 of correction parameters applied to spherical objects to model rolling fric-
53 tion ([Schwartz et al., 2012](#); [Yu et al., 2014](#)) of spheres. Compared to perfect
54 spheres, these provide a more realistic representation of the rolling motion,
55 and may be used to model dissipation phenomena occurring between parti-
56 cles. However, using a coefficient of rolling friction to model non-spherical
57 shape is not realistic and cover only a limited range of phenomena due to the
58 angularity of particle shape. In particular, rolling friction is dissipative only
59 and always acts against rolling motion, whereas shape could also enhance
60 rolling ([Wensrich and Katterfeld, 2012](#)). For the same reason, it cannot be
61 used to reproduce accurately interlocking between particles. Also, it does
62 not provide any means to model the dissipation of energy due to off-center

63 collisions ([Korycansky and Asphaug, 2006](#)), which are always towards-center
64 when using spheres. As discussed, this would result in a substantially lower
65 coefficient of restitution for the case of angular bodies.

66 In this paper, we investigate the role of particle shape in the numerical
67 N-body problem with gravity and contact/collision dynamics. We model par-
68 ticles as rigid bodies with six degrees of freedom, including translational and
69 rotational motion. We compare and discuss the behavior of spheres against
70 particles with randomly-generated irregular shapes. Additionally, we study
71 the effects of physical parameters of granular media, such as particle size
72 distribution (mono- vs poly-disperse) ([Tanga et al., 1999, 2009a](#); [Barnouin](#)
73 [et al., 2019](#)) and resolution in terms of number of bodies involved in the
74 simulation. The goal is to provide insights, identify general rules and key
75 parameters that play a relevant role in the setup of a numerical simulation
76 of gravitational aggregation.

77 We first introduce the case study and useful definitions in Section 2. The
78 setup of numerical simulations performed is described in Section 3, includ-
79 ing a brief introduction on the code used (Section 3.1) and preliminary tests
80 run (Section 3.2). Section 3.3 introduces the parameter space investigated,
81 while Sections 3.4 and 3.5 discuss the numerical tools and routines used, re-
82 spectively, to setup the simulations and retrieve the final results. Section 4
83 discusses the outcome of simulations and presents aggregated results. Con-
84 clusions are finally presented in Section 5.

2. Statement of the problem

The primary objective is to identify the role of particle shape in numerical simulations of gravitational aggregation. To this goal, we perform several numerical simulations, to explore a wide range of parameter values and dynamical scenarios. After running some preliminary tests, we perform a simulation campaign to reproduce the self-resaping dynamics of a rubble pile object within a range of parameter values, as detailed in Section 3. At the beginning of each simulation, the particles are arranged to form an elongated ellipsoidal aggregate, which is initially in a non-equilibrium state. No external force or angular momentum are applied to the particles, which are subject to their mutual gravity only. The dynamics are propagated forward until the aggregate reach a stable equilibrium condition. More detail on the initial rubble pile model and its creation can be found in Section 3.

2.1. Definitions

For the sake of clarity and to have a unique reference, we provide here definitions of quantities and symbols in use throughout the paper.

- Inertial elongation λ : it is used as a measure of the elongation of the full aggregate. It is defined as the ratio between minimum and maximum moments of inertia of the aggregate ($\lambda \leq 1$). The inertia tensor of the aggregate is computed taking into account for the actual inertia tensors of all particles, whether they be spheres or angular bodies.
- Porosity ϕ : it is used as a measure of the void fraction inside the aggregate. It can be written in terms of the material density ρ and bulk density ρ_b of the aggregate as $\phi = 1 - \rho_b/\rho$. Its value depends on the

definition of the surface to envelope the aggregate. We compute ranges of porosity, based on a minimum and a maximum volume surface, as discussed in Section 3.5.

- Packing index ψ : it is defined for each particle as the mean distance with its closest twelve neighbors (twelve is the number of bodies in contact in a dense spherical packing). Its value is nondimensional and normalized to the characteristic radius of the body. Distances are evaluated from center of mass to center of mass.
- Contact force F_c : it is the resulting contact force acting on a single particle.

3. Numerical simulations

This section highlights the main features of the N-body code used and introduces the rationale of the study, providing its assumptions and limiting boundaries.

3.1. N-body code

The numerical model is based on the GRAINS N-body code (Ferrari et al., 2017, 2020), a rework of the multi-physics engine *Project Chrono* (Tasora et al., 2016). GRAINS handles the dynamics of particles with six degrees of freedom each (translation and rotation), as they interact through mutual gravity and contacts/collisions. Gravitational interactions are computed by means of either direct N^2 integration, or using a GPU-parallel implementation of the Barnes-Hut octree method (Barnes and Hut, 1986; Bertscher and Pingali, 2011; Ferrari et al., 2020). Contact and collision interactions

are computed based on the actual shape model of the body, whether it be a sphere or an angular body. The shape of angular bodies is created numerically as the convex envelope of a cloud of randomly-generated points. All clouds of points share the same statistical properties of size and shape of their bounding domain: bodies have different shape but similar characteristic size and axis ratios. For an angular body, these are defined as the size and axis ratios of its bounding domain: in this case, the maximum size of the angular body is always smaller then (or equal to) its characteristic size.

Collision detection is performed into two hierarchical steps: a *broad* phase, where close pairs are identified, and a *narrow* phase, where contact points between irregular shapes are found precisely using a GJK algorithm (Tasora and Anitescu, 2010; Ferrari et al., 2017). Collision detection takes advantage of a thread-parallel implementation based on the subdivision of the domain to speed up computations.

The contact dynamics are resolved by means of a force-based soft-body DEM method (Fleischmann et al., 2015). This approach is coherent with the features of the dynamical problem we study. In the past, studies of gravitational aggregation have been performed using hard-sphere methods (Michel et al., 2001; Tanga et al., 2009b; Richardson et al., 2011, and others) or non-smooth contact dynamics (Ferrari et al., 2017). Both methods consider impulsive collisions between rigid bodies (Alder and Wainwright, 1959; Jean and Moreau, 1987; Dubois et al., 2018) and are suitable to reproduce non-smooth dynamics. However, they are not accurate to reproduce smooth dynamical processes (Gilardi and Sharf, 2002). For these problems, soft-contact methods (Cundall and Strack, 1979) are best suited (Sánchez and Scheeres,

157 [2011](#); [Schwartz et al., 2012](#); [Tancredi et al., 2012](#)).

158 Our problem studies the motion of particles within an aggregate, as it
159 slowly settles under its own gravitational force, before reaching equilibrium.
160 Due to the long-lasting contact interactions between particles, addressing
161 this problem would require a soft-contact method to consider non-impulsive
162 smooth collisions between bodies. Accordingly, we use a force-based DEM
163 method, which takes into account for the visco-elastic behavior of the surface
164 material at contact.

165 Normal and tangential visco-elastic actions are exchanged between bodies
166 at each contact point: the dynamics are modeled based on a two-way normal-
167 tangent spring-dashpot Hertzian system. Friction is also modeled at each
168 contact point, based on a Coulomb model.

169 Overall, the contact interaction is set by selecting the normal/tangential
170 stiffness and damping coefficients of the spring-dashpot systems (K_n, K_t, G_n, G_t) ,
171 and the coefficients of static/dynamic friction (η_s, η_d) . A more detailed de-
172 scription of the contact/collision methods used can be found in [Fleischmann](#)
173 [et al. \(2015\)](#) and in the documentation of Project Chrono ([Tasora et al.,](#)
174 [2019](#)). The code has been extensively validated in the past, and proved
175 its capability to address accurately problems of gravitational ([Ferrari et al.,](#)
176 [2017, 2020](#)) and granular dynamics ([Mazhar et al., 2013, 2015](#)), including
177 successful comparative studies with laboratory experiments ([Pazouki et al.,](#)
178 [2017](#)). GRAINS provides double precision accuracy and ensure satisfactorily
179 the conservation of energy and angular momentum through collisions ([Ferrari](#)
180 [et al., 2020](#)).

181 In the context of N-body simulations of non-spherical objects interacting

182 through mutual gravity and contacts/collisions, attempts have been made
 183 in the past using customized integration schemes (Korycansky and Asphaug,
 184 2006) or physics/video game engines (Korycansky and Asphaug, 2009; Movshovitz
 185 et al., 2012) to simulate hard-contact interactions between polyhedral bod-
 186 ies. However, in these papers approximations were made to the dynamics,
 187 so that angular momentum was not sufficiently well conserved to adequately
 188 reproduce gravitational dynamics. In this context, our work is the first to
 189 use a N-body code to study the dynamics of non-spherical bodies interacting
 190 through mutual gravity and contacts/collisions, providing a sufficient level
 191 of accuracy to reproduce accurately problems of gravitational dynamics.

192 3.2. Preliminary tests

193 Before studying the full gravity/contact problem, we focus here on gran-
 194 ular dynamics only, without considering mutual gravity between particles.
 195 As mentioned, the code is fully validated against typical granular dynamics
 196 benchmarks (Mazhar et al., 2013, 2015) and laboratory experiments (Pazouki
 197 et al., 2017). We perform preliminary tests to complement such validation
 198 studies, with the goal to investigating the behavior of the granular media in
 199 term of angle of repose and angle of slide. In particular, we simulate the dy-
 200 namics of granular terrain, as it settles dynamically (angle of repose test) and
 201 quasi-statically (angle of slide test) under a uniform gravity field. These will
 202 contribute to the discussion of the results of numerical simulations reported
 203 in Section 4.

204 First, we simulate the dynamics of granular material as it piles up under
 205 uniform vertical gravity, as shown in Figure 1(a). This test gives information
 206 on the angle of repose of the granular media, i.e. the slope at which a landslide

207 stops and the pile comes to rest. In our test, 3,000 particles fall from a height
208 of 4 m on a planar surface. We use a polydisperse size distribution of particles,
209 with average characteristic size (or diameter for the case of spheres) of 30 cm
210 (see Section 3.3 for details on the distribution function used). The coefficient
211 of friction is set to $\eta = 0.6$.

212 Figure 1(b) shows that angular particles reach a non-zero angle of repose,
213 at a value of about 30 deg. This result is consistent with the coefficient of
214 friction selected, since $\arctan(\eta) \simeq 30$ deg. On the other hand, Figure 1(c)
215 shows that spheres are not able to pile up dynamically, showing a zero angle
216 of repose. As shown in Walsh et al. (2008), spheres exhibit a non-zero angle
217 of repose when initially arranged in a compact crystallized configuration, but
218 are not able to attain such configuration dynamically. Finally, Figure 1(d)
219 shows the comparison between angle of repose attained by angular particles
220 with no friction ($\eta = 0$) and the same case with $\eta = 0.6$. This shows that,
221 even with no surface friction, angular particles are able to attain a non-zero
222 angle of repose, thanks to purely geometrical effects related to their shape
223 (e.g. interlocking). In this simple example, the effects of particle shape are
224 quantified to an angle of repose of about 20 deg, not far from the 30 deg
225 obtained with $\eta = 0.6$.

226 The second test we performed is used to estimate the maximum angle
227 of slide attainable by our granular media with angular particles. Unlike the
228 angle of repose, which is attained dynamically, the angle of slide is attained
229 statically, as the maximum slope that a granular material can withstand
230 before landsliding occurs. To estimate this quantity, we simulate the slow
231 dynamical modification of the morphology of a granular terrain. As shown

232 in Figure 2, we simulate a granular terrain made of angular bodies, which
 233 lies initially on a planar floor. The terrain is settled under uniform vertical
 234 gravity until reaching equilibrium. The floor is made of several cubic blocks,
 235 which can be moved independently and whose interstitial distance is much
 236 lower than the characteristic size l_p of the terrain particle. The side length
 237 l_c of the cubic blocks is chosen such that $l_c > 10 l_p$. After settling, each
 238 block (subscript j) moves downwards with a velocity $v_j = h_j/t_{\text{sim}}$, where
 239 t_{sim} is the simulation time (equal for all blocks) and h_j is the final vertical
 240 displacement of block j . The value for h_j is chosen randomly, with a uniform
 241 distribution between 0 and l_c , which is the maximum vertical displacement
 242 allowed. The downwards velocity of the blocks is very slow, to guarantee a
 243 quasi-static settling of the terrain during the whole simulation. In particular,
 244 the parameters of the simulation are chosen such that the value of v_j is much
 245 lower than the free fall velocity of particles. In our simulations, we use
 246 25,000 to 100,000 equally sized particles, and floors made of either 4-by-4 or
 247 5-by-5 blocks. Inter-particle coefficient of friction is set to $\eta = 0.6$ for all
 248 simulations. Maximum computation times (for the case of 100,000 particle
 249 simulation, with a 24 thread-parallel architecture), are in the order of one
 250 week.

251 Once settled to its final configuration (Figure 3(a) shows the envelope of
 252 all particles at the end of the simulation), the morphology of the terrain is
 253 acquired by means of the following procedure. The upper surface is sampled,
 254 in order to acquire surface points within a user-defined grid (Figure 3(b)).
 255 The sampling grid is consistent with the size of the particle and typically each
 256 grid patch contains about 4 to 16 particles (2-by-2 up to 4-by-4). The highest

257 particle point within each patch is the sampling point. The three-dimensional
258 slopes on the surface are computed as the gradient between sampling points
259 (Figure 3(c)). The procedure of sampling patches instead of taking directly
260 vertices or positions of fragments, is implemented to filter out slopes related
261 to the shape of the single particle, and to acquire the granular morphology
262 of the terrain only.

263 Figure 4 shows examples of slope distributions obtained. The graph shows
264 that higher slopes are attained in higher resolution models (more sampling
265 points/particles in the simulation). For a lower number of particles and
266 higher slopes, the measure points in the distribution histogram become too
267 few and the uncertainty increases. The curves are mostly accurate in the
268 range 0-30 degrees, where they match nicely the qualitative and quantita-
269 tive behavior of measured slope distributions of small bodies (e.g. Fig.1 of
270 [Ermakov et al., 2019](#), for the case of Vesta and Ceres).

271 In a future investigation, we plan to increase the number of bodies in
272 the simulation, to investigate the slope distribution curve beyond 30 deg,
273 which is currently out of the scope of this work. Although not providing
274 accurate information on the higher slope distribution, our simulations do
275 provide meaningful information on the maximum slopes attainable by the
276 granular medium, which are observed in a wide range between 35 and 65
277 deg. As expected, these are higher than the angle of repose (e.g. [Harris
278 et al., 2009](#), report a typical increment of about 5-10 deg over the angle of
279 repose), which is obtained dynamically.

280 3.3. *Parameter space investigated*

281 The goal is to study the dynamical behavior of a rubble pile aggregate
282 under its own gravity, as it evolves from a non-equilibrium initial state to a
283 self-achieved equilibrium condition. To investigate the role of particle shape
284 in the dynamical process of adjustment towards equilibrium, we performed
285 an extensive simulation campaign, including several sets of simulations. In
286 order to compare results of such simulation sets and to have comparable
287 dynamics in terms of characteristic times, we use initial aggregates with the
288 same bulk properties. For all cases, we use the same total mass, bulk density
289 and overall shape. In particular, the initial aggregate is shaped as a prolate
290 ellipsoid ($a > b = c$), with $b/a = c/a = 0.4$. More details on the initial aggregates
291 used are provided in Section 3.4.

292 To perform comparative analyses on simulation parameters, we investi-
293 gate the effects of shape, size distribution, number of particles and coefficient
294 of static/dynamic friction. More details are provided here.

- 295 • Shape: spheres vs angular particles. Angular particles are created as
296 the convex envelope of 15-20 points created randomly using a uniform
297 distribution within a cubic box. Their aspect ratio is therefore close to
298 one. Such angular bodies have on average 10 vertices.
- 299 • Particle size distribution: mono vs polydisperse. When mentioned,
300 monodisperse distribution refer to particles with same radius (spheres)
301 or characteristic size (angular particles). In all polydisperse cases, the
302 particle size distribution is set using the Zhang probability distribution

303 function (Zhang et al., 1999):

$$P(s) = e^{-\frac{s-s_m}{\bar{s}-s_m}} \quad \text{with } s \geq s_m \quad (1)$$

304 In this case, the distribution function can be set by selecting the ratio
 305 between the average size \bar{s} and the minimum size s_m . In our simula-
 306 tions, we use a value of $\bar{s}/s_m = 2.70$, which corresponds to the so-called
 307 modified Zhang distribution (Elek and Jaramaz, 2008). The Zhang dis-
 308 tribution comes with the important assumption that a minimum par-
 309 ticle size exists in the fragment population. This is very convenient
 310 when performing numerical simulations. Also, this assumption has
 311 proven consistent with the mechanics of fragmentation (Zhang et al.,
 312 1999), and show good agreement with laboratory experiments (Wesen-
 313 berg and Sagartz, 1977; Grady and Benson, 1983). These have proven
 314 the accuracy of the Zhang distribution to match size distribution after
 315 fragmentation processes (Zhang et al., 1999; Elek and Jaramaz, 2008).

- 316 • Number of particles: ranging between 1,000 and 2,000.
- 317 • Coefficient of friction: ranging from 0 to 1. In our simulations, to reduce
 318 the amount of free parameters, the dynamic coefficient of friction η_d is
 319 always set equal to the static coefficient of friction η_s : for this reason,
 320 from now on, we use the term η to indicate both.

321 Additional parameters include coefficients related to the surface/contact in-
 322 teractions. In our simulations, both normal and tangential stiffness coeffi-
 323 cients are set to $K_n = K_t = 2 \times 10^5$ N/m, while the damping coefficients are
 324 set to $G_n = 20$ Ns/m and $G_t = 40$ Ns/m. Values chosen are commensurate

to the case study, and are within the range of values used in previous works: as reported by [Sánchez and Scheeres \(2011\)](#), the K_t/K_n ratio is typically between 2/3 and 1 for most materials ([Mindlin, 1949](#)). Moreover, [Silbert et al. \(2001\)](#) reports that “the contact dynamics are not very sensitive to the precise value of this ratio” and thus the choice of these values is not critical for our simulations.

From the numerical point of view, the dynamics of the system are propagated forward in time using a symplectic semi-implicit Euler integrator ([Anitescu and Tasora, 2010](#); [Mangoni et al., 2018](#)). The time step is selected consistently with characteristic times of the dynamics involved: gravitational dynamics, collision detection and contact dynamics. Gravitational dynamics is typically very slow and in our case its characteristic time can be estimated to be no lower than:

$$T_g \simeq \frac{1}{\sqrt{G\rho}} > 10^3 \text{ s} \quad (2)$$

where ρ is the material density of particles and G is the universal gravitational constant. Contact/collision dynamics is typically much faster.

The time stepping must be fast enough to avoid missing any collision and to properly reproduce the visco-elastic behavior of the material at contact. Equation (3) provides an estimate of the characteristic time between two consecutive collisions T_d considering the limiting case of grazing collisions between spherical particles of radius R ([Sánchez and Scheeres, 2011](#)), with relative velocity v and maximum overlapping allowed δ . In our simulations the settling dynamics is very slow and the relative velocity v between particles is always below 1 m/s. We choose this value ($v = 1 \text{ m/s}$) as a limiting value to estimate the shortest characteristic time T_d in the system. The

349 maximum overlapping allowed is chosen as $\delta = R/100$, which proved to be
 350 consistent with parameters in our simulation, as demonstrated by previous
 351 similar tests (Ferrari et al., 2020).

Given the range of parameters in use, we can estimate characteristic times for collision detection and contact dynamics and derive a lower-bound constraint, respectively as (Ferrari et al., 2020):

$$T_d \simeq \frac{2\sqrt{4R\delta - \delta^2}}{v} > 10 \text{ s} \quad (3)$$

$$T_k \simeq \frac{2\pi}{\omega_k} = 2\pi\sqrt{\frac{m_r}{k}} > 10^2 \text{ s} \quad (4)$$

352 where m_r is the reduced mass between the two least massive particles of
 353 the system (in our case the tightest constraint applies on simulations with
 354 polydisperse size distribution) and k is the highest stiffness in the system (in
 355 our case is $K_n = K_t$). With the parameter values chosen for our case study,
 356 the tightest constraint on the time step is derived from collision detection
 357 characteristic time T_d . Accordingly, time steps are chosen in the order of few
 358 seconds.

359 The maximum simulation time is set to 250 h (real world time) for all sim-
 360 ulations, in order to leave enough time for all bodies to settle after reaching
 361 an equilibrium state.

362 3.4. Creation of initial aggregate

363 The initial aggregate is obtained as the result of a process of gravitational
 364 aggregation. The purpose of this is to have realistic rubble pile models with
 365 internal voids randomly distributed between irregular fragments. The gravi-
 366 tational aggregation process is simulated for a high number of bodies, created

367 at random positions in a cubic physical domain, with zero velocity and spin
 368 rate, and therefore with no orbital angular momentum. After settling under
 369 self-gravity, the bodies reach a stable and nearly-spherical aggregate. Fig-
 370 ure 5 shows snapshots from the gravitational aggregation simulation (in this
 371 example to form a 5,000-body *parent* aggregate). The rubble pile used in our
 372 simulations is extracted from the parent aggregate using a ray-tracing algo-
 373 rithm, which helps identifying particles that are inside a given 3d mesh. We
 374 validated this *shape extracting* algorithm by testing it on complex and non-
 375 convex three-dimensional shapes. Figure 6 shows a test performed using the
 376 shape of comet 67P/Churyumov-Gerasimenko (also referenced as 67P/C-G
 377 in the followings): in this case a 10,000-body rubble pile model of the comet
 378 is extracted from a 64,000-body parent aggregate of monodisperse angular
 379 bodies. Figure 7 shows two ellipsoidal aggregates used in our simulations as
 380 initial rubble pile models, and their parent aggregates. In this example, a
 381 1,000-body monodisperse rubble pile model (Figure 7(b)) is extracted from
 382 a 5,000-body parent aggregate (Figure 7(a)), and a 2,000-body polydisperse
 383 model (Figure 7(d)) is extracted from a 10,000-body parent aggregate (Fig-
 384 ure 7(c)). The process of creating the initial aggregate is carried both for the
 385 case of spheres and angular particles. As already mentioned, the extracted
 386 aggregate is a prolate ellipsoid ($a > b = c$), with $b/a = c/a = 0.4$, for all cases.

387 3.5. Identification of final aggregate

388 The identification of the final aggregate in terms of number of bodies and
 389 mass is straightforward. Conversely, the identification of its overall shape is
 390 arbitrary and relies on the definition of its external surface. This definition
 391 is non-unique, given the information available (shape and position of each

392 particle in the aggregate). This arbitrary process affects the computation of
 393 global properties such as volume and porosity. To provide a proper estimate
 394 of global properties, we provide ranges of values for these quantities, referring
 395 to two limiting surfaces: a maximum and a minimum volume surface. The
 396 maximum volume surface is the convex envelope of the aggregate, which is
 397 unique, when considering all vertices of angular bodies or the external surface
 398 of spheres. The minimum volume surface is computed using an alpha-shape
 399 algorithm [Edelsbrunner and Mücke \(1994\)](#): intuitively, the alpha-shape en-
 400 velope is the surface created by a sphere of radius similar to the characteristic
 401 radius of particles, as it rolls over the cloud of points, whether they be the
 402 vertices of angular bodies or the external surface of spheres. To test the abil-
 403 ity of the alpha-shape algorithm to identifying concave enveloping surfaces,
 404 we use it to find the minimum volume surface of comet 67P/C-G, starting
 405 from its rubble-pile model shown in [Figure 6\(b\)](#). Using this method, the
 406 surface of the comet is obtained very accurately, within the resolution given
 407 by the characteristic size of particles. [Figure 8\(a\)](#) shows the minimum vol-
 408 ume surface (black surface), together with the convex envelope of the comet
 409 (light gray surface). In this case, since 67P/C-G is non-convex, its convex
 410 envelope does not reproduce accurately its shape. However, in our simula-
 411 tions, all aggregates are ellipsoid (convex) and none of them ever reach a
 412 non-convex shape during (or at the end) of the simulation. Therefore, in our
 413 case, it makes sense to use the convex envelope to set an upper limit, as a
 414 reliable measure of the maximum volume surface the aggregate. [Figures 8\(b\)](#)
 415 and [8\(c\)](#) show examples of, respectively, the minimum and maximum volume
 416 surfaces of an ellipsoidal aggregate. In this case the differences are limited to

the roughness of the envelope’s surface and appear to be minimal. Still, the change in volume (and therefore in bulk density or porosity, since the total mass is constant) can be significant.

4. Simulations of self-gravitational settling

The results of the simulation campaign are discussed here. In particular, we highlight how the shape of the particles affects the properties of the aggregate during its dynamic evolution and after the transient phase, when reaching its final equilibrium state.

As described in Section 3.4, the aggregate used in these simulations is extracted from a larger parent, which is the result of a gravitational aggregation process. This child aggregate (also referenced as initial aggregate in the followings) is elongated and thus not in equilibrium: its self-gravitational settling is studied here.

4.1. Time evolution of the aggregate

First, we analyze the evolution of the aggregate as it reshapes towards an equilibrium condition under its own gravity. The overall shape of the aggregate can be monitored using its inertial elongation λ , as defined in Section 2. Figure 9 shows the short- (2 hours) and long-term (250 hours, on a semi-logarithmic scale) time evolution of λ , for different levels of friction and using both angular bodies (Fig. 9(a) and 9(b)) and spheres (Fig. 9(c) and 9(d)). Results shown in Figure 9 refer to aggregates with 1,000 monodisperse bodies only. Some considerations can be made based on long-term evolution graphs in Figure 9(b) and 9(d):

- 440 (i) angular bodies contribute to reaching lower values of λ compared to
441 spheres at any friction level;
- 442 (ii) angular bodies contribute to reaching a steady equilibrium state earlier
443 compared to spheres at any friction level;
- 444 (iii) both simulation sets show a substantially different dynamical behavior
445 between cases with friction versus case with no friction;
- 446 (iv) both simulation sets show that, when friction is present, the value of η
447 does not play a relevant role to assessing the final shape of the aggregate.
448 Counter-intuitively, in the long-term there is no monotonic trend
449 between η and λ of the aggregate at equilibrium.

450 Most importantly, results in Figure 9 indicate clearly that substantial dif-
451 ferences exist in the evolution of the aggregate due to the angular/spherical
452 shape of particles. The reason for this is purely geometrical and mainly
453 due to two effects: the number of points of contact between bodies and the
454 interlocking mechanism. In particular, each pair of spheres has only one
455 point of contact, while two angular bodies can have multiple points of con-
456 tacts, including edges and surfaces. Dissipation forces related to friction are
457 exchanged at points of contact and having more points means more forces
458 acting on the bodies: friction is acting on a different scale. The second effect
459 is due to the geometrical interlocking between angular bodies, which hinder
460 their motion and does not occur between spherical bodies. These are indeed
461 the reason behind (i) and (ii): an overall larger dissipation effect acts on
462 angular bodies, which as a result, reach equilibrium before spheres and form
463 more elongated aggregates.

464 Considerations (iii) and (iv) are closely related to the role of friction in

465 the simulation. To better investigate it, we focus on the initial phases of the
 466 reshaping process. Fig. 9(a) and Fig. 9(c) show the first few hours of simu-
 467 lation. These graphs show that, at the very beginning of the simulation, the
 468 trend between η and λ is monotonic both for spheres and for angular shapes,
 469 as intuition would suggest. However, on a longer time scale, the chaotic na-
 470 ture of contact interactions between bodies smooths these differences until
 471 the monotonic trend is lost. According to our results, and in agreement with
 472 results by [Sánchez and Scheeres \(2016\)](#), friction shall never be omitted when
 473 simulating a real-world scenario, since even a small dissipation produces a
 474 relevant difference in the dynamical outcome. On the other hand, the value of
 475 η does not appear to be relevant on a long-time scale, especially for the case
 476 of angular bodies. Our results show that the relevance of η depends on the
 477 time scale considered in the simulation. For a 2-hour simulation (short-term
 478 plots) there is a difference between having $\eta = 0$, $\eta = 0.2$ and $\eta \geq 0.4$ (above
 479 this value we have very similar behaviors), while for a 250-hour simulation
 480 significant differences occur only between $\eta = 0$ and $\eta \neq 0$. In principle, given
 481 sufficient simulation time, this simplifies greatly the setup of the numerical
 482 problem, since it allows to avoid expensive parametric studies to select the
 483 value of η .

484 We performed additional simulations to investigate the effect of a non-
 485 uniform size distribution and number of particles. We use a modified Zhang
 486 distribution (see Section 3.3) and we simulated scenarios with 1,000, 1,500
 487 and 2,000 particles. As discussed, all simulations are performed under the
 488 same values of total mass M and bulk density ρ_b of the aggregate. For
 489 the case of polydisperse and higher number of particle simulations, material

490 density and particle size are adjusted to match M and ρ_b . All additional
 491 simulations are performed using angular bodies and a coefficient of friction
 492 $\eta = 0.6$. Figure 10 shows the time evolution of the inertial elongation λ of
 493 the aggregate. Two effects are worth discussing.

494 Our first considerations concern the settling time and time scales involved
 495 in the simulations. A meaningful metric we can use is the “knee” between the
 496 steep and mild slope of the curve. This is better visible in the short-term plots
 497 (Figures 10(a) and 10(c)) and identifies the transition point from an initial
 498 phase of fast settling to a phase of slower settling. In the case of monodisperse
 499 distribution (Fig. 10(a)) the knee occurs earlier for higher number of bodies,
 500 suggesting that a higher number of bodies contribute to shorten the fast
 501 settling phase. This is due to the increased surface interactions, which are the
 502 main source of motion dissipation. As expected, a higher number of contact
 503 interaction reduces the settling time. On the other hand, the same does not
 504 apply (or applies to a much lower extent) in the polydisperse case (Fig. 10(c)),
 505 where the knee occurs nearly at the same time for all cases. However, in
 506 these simulations the knee occurs earlier compared to monodisperse cases,
 507 for any N . This is consistent with the increased number of contact points in
 508 polydisperse simulations compared to monodisperse ones: smaller particles
 509 fill within the interstices of larger bodies, thus increasing the overall particle
 510 surface area at contact within the aggregate.

511 The second aspect to be discussed concern the elongation λ of the aggre-
 512 gate. In this case, the curves appear to be translated vertically. Also, they
 513 appear to converge to a single solution for higher N . At a careful analysis of
 514 the numbers, this appear to be motivated by the resolution of the aggregate.

515 The term “resolution” here refers to the relative size between a single particle
 516 and the whole aggregate: the higher N , the better the resolution. In fact,
 517 when computing the shape of the aggregate, the effect of resolution is not
 518 negligible: for a 1000-body aggregate, the error due to resolution could be as
 519 high as 10-20% (size of a single particle vs size of the aggregate). These errors
 520 are consistent with the vertical shift in the curves. Consistently, polydisperse
 521 fragments converges to a solution for higher N compared to monodisperse.
 522 In fact, the polydisperse mixture contains particles of bigger size (and thus
 523 have lower resolution) compared to the monodisperse ones (whose particle
 524 size is the mean of polydisperse distribution).

525 As observed for the case of inter-particle friction, these effects are clearly
 526 visible, but limited to the first few hours of the numerical simulation. After
 527 that, the problem becomes highly chaotic and the effects of single parameters
 528 are not identifiable unequivocally any longer. In fact, at equilibrium, none
 529 of the aforementioned relations exist, except for the case of polydisperse
 530 simulations, where an increase in the number of bodies can be still correlated
 531 monotonically to a higher motion dissipation, and thus a more elongated final
 532 shape. Monodisperse simulations show a behavior similar to what already
 533 observed when comparing spheres with angular particles: aggregates that
 534 settle faster at the beginning of the simulation, reach equilibrium earlier
 535 compared to slower settling aggregates, which in the long term form more
 536 rounded shapes.

537 *4.2. Final aggregate at equilibrium*

538 After the transient phase, the aggregate reaches an equilibrium condi-
 539 tion. We discuss here the properties and internal rubble-pile structure of the

540 final aggregate. To provide quantitative means of comparison between sim-
 541 ulations, we compute quantities defined in Section 2 and discuss the results
 542 both from a global perspective, i.e. by considering the aggregate as a whole,
 543 and from a local perspective, i.e. by tracking each body in the aggregate.
 544 From a *global* point of view, the results of simulations are used to constraint
 545 relevant quantities into ranges of values. This approach is motivated by the
 546 arbitrariness of the aggregate’s surface definition, as discussed in Section 3.5.
 547 For each simulation and for each final aggregate, we compute the minimum
 548 and maximum volume aggregates. A global information of interest is the
 549 porosity ϕ or bulk density of the aggregate. Since the mass of the aggre-
 550 gate does not change between minimum and maximum volume aggregates,
 551 ϕ is minimum for minimum volume aggregates and maximum for maximum
 552 volume aggregates. Figure 11 shows the estimate of porosity range for final
 553 aggregates made of spheres or angular bodies, for different levels of friction.
 554 In agreement with results on time evolution of the aggregate, friction plays a
 555 very minor role, while the shape of the particle affects significantly the result.
 556 As expected, the packing of angular bodies is more compact and efficient with
 557 respect to spheres and they occupy a higher fraction of total volume, thus
 558 leading to lower values of porosity ($\phi_{\text{ang}} \simeq 0.29 \pm 0.02$ vs $\phi_{\text{sph}} \simeq 0.33 \pm 0.02$).

559 As *local* metrics, we use the packing index ψ associated to each particle
 560 and the resulting contact force F_c acting on each particle. For both quan-
 561 tities, we look into their values and distribution within the aggregate. As
 562 defined in Section 2, ψ is computed for each body in the aggregate as the
 563 mean distance (from center to center of the bodies) between the body and its
 564 12 closest neighbors. All distances are normalized to $R = D/2$, where D is

565 the characteristic size of the angular body or the diameter of the sphere. Fig-
 566 ure 12(a) and 12(c) show the packing distribution of the particles as function
 567 of their distance from the center of the aggregate for the case of, respec-
 568 tively, angular bodies and spheres. In both cases the distribution cloud has a
 569 plateau at lower distance from center and a steep ascent at higher distance.
 570 The plateau indicates a diffusely regular packing in the inner layers of the
 571 aggregates up to its external layers and surface, identified by the ascending
 572 part of the graph. Although sharing a similar behavior with spheres, angular
 573 bodies confirm to be more densely packed. Also, spheres appear to have a
 574 more regular packing in the inner layers, where all bodies are nearly at the
 575 condition of optimal packing (~ 2), while a more chaotic packing is observed
 576 on angular bodies due to their uneven and unequal shape. The same is con-
 577 firmed in the histograms in Fig. 12(b) and 12(d), where spheres show a larger
 578 discontinuity between the cluster around optimal packing conditions and the
 579 external layers. As in previous discussions, all graphs in Figure 12 confirm
 580 that the value of friction coefficient η is not relevant to the local packing
 581 distribution of the aggregate.

582 Similarly to the packing index, the contact force distribution among the
 583 particles can give useful insights on the internal structure of the aggregate.
 584 Contact forces are produced between bodies due to gravitational pulls acting
 585 on them. Ideally, for an aggregate at equilibrium, the net contact force on
 586 each internal particle equals the gravity force at the field point where the
 587 particle is. The aggregate is a distributed source of gravity and its internal
 588 gravity field can be approximated by the gravity field inside a solid shape.

589 The gravity field inside a solid sphere follows the well-known relation

$$a_s(r) = \frac{GM_s}{R_s^3}r \quad (5)$$

590 where G is the universal gravitational constant, M_s is the total mass of the
 591 solid sphere, R_s is its radius and r is the distance of between the field point
 592 and the center of the sphere. The gravitational force acting on a body of
 593 mass m located inside the solid sphere at distance r is linearly dependent on
 594 its distance from the center of the sphere

$$F_s(r) = qr \quad \text{with} \quad q = \frac{GM_sm}{R_s^3} \quad (6)$$

595 In our case, the final aggregates are not perfectly spherical but their elon-
 596 gation is small and Eq. (6) can be used as a comparison mean against force
 597 distribution inside the aggregate. In particular, the linear coefficient q is com-
 598 puted for two sample spheres, representative of aggregates with angular and
 599 spherical particles. These consider the total mass of the aggregate $M = M_s$,
 600 the mass of each body m (we consider here cases with 1,000 monodisperse
 601 particles, where both M and m are equal for all simulations) and the mean
 602 radius of the aggregate $R_{\text{agg}} = R_s$. The mean radius of the aggregate R_{agg}
 603 is computed from its mean volume (between maximum and minimum vol-
 604 ume aggregate). Since aggregates with angular particles are smaller in size,
 605 $q_{\text{ang}} > q_{\text{sph}}$ and forces inside them are expected to be higher. This is con-
 606 firmed by results of simulations in Figure 13. Figure 13(a) and 13(c) show
 607 the distribution of forces inside the aggregate, as function of the distance
 608 between the body and the center of the aggregate. As expected, the distri-
 609 butions behave linearly with r and are coherent with the gravity field inside

610 the sample solid sphere (black line). Based on Fig. 13, the choice of parti-
 611 cle shape appears to be very relevant to assessing the distribution of contact
 612 forces within the aggregate. In the case of spheres, the distribution of forces is
 613 extremely regular, suggesting regular internal packing, while angular bodies
 614 show a more chaotic distribution. The chaotic nature of interactions between
 615 angular bodies, due to a higher number of contact points and to the inter-
 616 locking mechanism, causes very high forces on a number of particles in the
 617 inner layers of the aggregate. This does not happen in the regularly packed
 618 spheres, where highest forces are found on the external surface of the aggre-
 619 gate. High forces appear to be caused by the angularity of contacts involving
 620 vertices and edges and are not observed on the smoother spherical surfaces.
 621 A more detailed analysis would be beneficial to fully investigate this behav-
 622 ior: we highlight it here as an interesting point for a follow-up analysis, which
 623 is however out of the scope of the current work. The regularity/irregularity
 624 of force distributions are in agreement with results on packing index shown
 625 in Figure 12. Again, the value of η does not play a relevant role.

626 No relevant differences are observed in the internal packing distribution
 627 when dealing with a higher number of particles. Instead, as expected, aggre-
 628 gates with polydisperse material have smaller porosity (5-15% smaller with
 629 respect to monodisperse aggregates). In this case, smaller particles fill the
 630 voids between bigger particles and help avoiding the formation of crystallized
 631 packing.

632 4.3. *Considerations on the angle of friction*

633 When dealing with self-gravitating objects, the classical approach is to
 634 compare their shape to hydrostatic sequences of equilibrium. The theory of

635 continuum shows how self-gravitating fluids follow minimum energy configu-
 636 rations (as Jacobi and MacLaurin sequences), which correlate their angular
 637 momentum or spin with their shape ([Chandrasekhar, 1969](#)). Our simulations
 638 show equilibrium configurations of non-rotating aggregates. In the context
 639 of the continuum model, the equilibrium figure of a non-rotating fluid is a
 640 perfect sphere. However, in our simulations, the kinetic energy of the system
 641 is such that the granular material does not behave as a fluid, but rather as a
 642 granular solid. In fact, shapes of rubble piles can be very different from hydro-
 643 static equilibrium ones ([Minton, 2008](#); [Harris et al., 2009](#); [Scheeres, 2015](#)) and
 644 no direct comparison is possible. In general, self-gravitating granular aggre-
 645 gates relax towards a more spherical shape but, even those formed by friction-
 646 less particles, never reach precisely the hydrostatic spherical shape ([Tanga](#)
 647 [et al., 2009b](#); [Sánchez and Scheeres, 2012](#)). This is due to mechanisms that
 648 hinder the motion of particles within the granular solid. To provide a better
 649 theoretical model, capable of dealing with this phenomenon, Holsapple has
 650 applied the continuum theory to non-fluid (solid) bodies. In this effort, he
 651 extended the range of configurations attainable by a self-gravitating object
 652 by introducing the effects of a non-zero angle of friction (e.g. [Holsapple, 2001](#),
 653 [2004, 2007, 2010](#)).

654 The angle of friction is a quantity not directly related to surface friction,
 655 but it is rather a measure of the motion-hindering effects occurring within
 656 the interior of the object. In a direct comparison with a granular system, it
 657 would include all such mechanisms related to contact interactions. As shown
 658 by ([Holsapple, 2010](#)), the range of admissible shapes of a self-gravitating
 659 ellipsoid is greatly enhanced by a non-zero angle of friction. For example,

660 for a friction angle of 30 deg (which is rather common in soil-like material),
 661 a non-rotating ellipsoid can attain almost any shape, except for extremely
 662 elongated ones, when c/a is very close to zero (see e.g. Fig.1d in [Holsapple,](#)
 663 [2010](#)). However, although this model is certainly more accurate than using
 664 simpler fluid equilibrium sequences, it still relies on major simplifications of
 665 the granular problem. In particular, the model implies that the equilibrium
 666 shape attained by an object depends only on its angular momentum/spin
 667 and friction angle. In principle, this is true for granular systems as well.
 668 However, the friction angle of granular media is strongly dependent on the
 669 kinetic energy of the particles: according to its energy level, the granular
 670 media can behave as a gas, fluid or solid, each having a very different friction
 671 angle. To demonstrate it, we provide a simple example, considering two
 672 different dynamical scenarios.

673 The first scenario considers an initially dispersed cloud of particles, col-
 674 lapsing under self-gravity. In this case, spherical (or nearly spherical) shapes
 675 can be attained for the aggregate at equilibrium (see e.g. [Tanga et al., 2009a](#)).
 676 The greater contribution to the aggregate's final shape is provided by the ini-
 677 tial phase, where particles follow free gravitational dynamics, while contact
 678 interactions contribute marginally to it. In this first phase, the dispersed
 679 particles act as a granular gas and then transition to a granular fluid as soon
 680 as the contacts becomes more numerous. In these initial phases, the angle of
 681 friction is extremely low, and this allows to minimize the motion-hindering
 682 mechanisms that act against reaching hydrostatic equilibrium. This is what
 683 we obtain in our simulations of parent aggregate formation (Figure 5), as
 684 shown in Section 3.4. Consistently, we form nearly spherical aggregates (with

685 $\lambda \simeq 1$), as shown in Figures 7(a) and 7(c).

686 The second scenario considers the same particles, but arranged in a differ-
687 ent initial configuration, such that simulations starts from an already formed
688 aggregate. After settling, the aggregate reaches the form of a granular solid,
689 with a much higher angle of friction compared to the gaseous and fluid phases.
690 This makes a substantial difference, since particles are experiencing contact
691 interactions and interlocking from the beginning. They are not free to move
692 in the entire space but only to roll/slide over each other. In this case, the
693 initial shape of the aggregate strongly biases the final shape achieved after
694 the reshaping process, which is no longer close to spherical. So, unlike the
695 fluid case and the Holsapple case, the granular problem does not admit a
696 unique equilibrium solution. The shape at equilibrium still depends on its
697 angular momentum and angle of friction of the granular media, but also on
698 the complex contact history of the aggregate.

699 In our case study, this refers mainly to the way the initial aggregate is cre-
700 ated and how the numerical simulation is initiated. Since we are not studying
701 the failure conditions of our aggregates, the approach of Holsapple cannot
702 be used directly to infer the theoretical angle of friction of our ellipsoidal
703 aggregates based on their axes ratio. However, this approach can provide
704 useful information to constraint the angle of friction of our aggregate. Hol-
705 sapple investigates the limiting failure conditions of objects, using either the
706 Drucker-Prager or the Mohr-Coulomb failure criterion. These are based on
707 the idea that failure is due to an exceeding shear stress. This limiting con-
708 dition provides a lower limiting value for the angle of friction: regardless of
709 the contact history of the aggregate, we can state that a certain shape (value

710 of semiaxes of the ellipsoid) implies that the angle of friction can never be
 711 less than the value found with the continuum model by Holsapple. Should it
 712 be lower than that, the aggregate would have been further relaxed towards
 713 hydrostatic equilibrium. In particular, we refer here to the Drucker-Prager
 714 (DP) criterion, applied to ellipsoidal shapes, and we look for its limiting
 715 condition (we do not consider any cohesive term):

$$\sqrt{J_2} + 3sp = 0 \quad (7)$$

where J_2 is the second invariant of the deviator stress tensor, p is the hydrostatic pressure (or mean normal stress) and s is a constant that can be related to the angle of friction θ_f of the aggregate. Respectively, they can be expressed as:

$$J_2 = \frac{1}{6} [(\sigma_1 - \sigma_2)^2 + (\sigma_1 - \sigma_3)^2 + (\sigma_2 - \sigma_3)^2] \quad (8)$$

$$p = \frac{1}{3}(\sigma_1 + \sigma_2 + \sigma_3) \quad (9)$$

$$s = \frac{2 \sin(\theta_f)}{\sqrt{3}(3 - \sin(\theta_f))} \quad (10)$$

716 where $(\sigma_1, \sigma_2, \sigma_3)$ are the principal stresses. We remark that the expression
 717 for s is not unique ([Chen and Han, 1988](#)): we use Eq. (10) to be consistent
 718 with results obtained in ([Holsapple, 2004, 2010](#)). To have a meaningful
 719 means of comparison with the continuum model, we apply the DP criterion
 720 to an equivalent ellipsoid, built upon our final rubble-pile aggregate. The
 721 equivalent ellipsoid is defined as the one having the same principal moments
 722 of inertia of the rubble-pile aggregate. In the literature this is often referred
 723 to as the Dynamically Equivalent Equal Volume Ellipsoid (DEEVE). The
 724 use of an equivalent ellipsoid for our case study is justified by the fact that

725 we use ellipsoidal aggregates: all of their equilibrium shapes can be well ap-
726 proximated using ellipsoids. To find the semi-axes of the equivalent ellipsoid,
727 we first compute the inertia tensor and principal moments of inertia of the
728 rubble pile aggregate. When performing this operation, we consider the full
729 inertia tensor of each single particle. The semi-axes of the equivalent ellip-
730 soid are then computed from principal inertia moments, as if they belonged
731 to a homogeneous ellipsoid. The principal stresses of the ellipsoid can be
732 expressed in terms of its gravity potential terms. The gravity potential U of
733 an ellipsoid can be written in a co-rotating frame (rigidly rotating with the
734 body) as ([Chandrasekhar, 1969](#)):

$$U = \pi\rho G(-A_0 + A_x x^2 + A_y y^2 + A_z z^2) \quad (11)$$

735 where ρ is the homogeneous density of the ellipsoid, G is the universal grav-
736 itational constant and terms (A_0, A_x, A_y, A_z) depend only on the semi-axes
737 of the ellipsoid. We evaluate the stress tensor at the center of a non-rotating
738 ellipsoid, which can be written as ([Holsapple, 2010](#)):

$$\sigma_c = -\pi\rho^2 G \begin{bmatrix} A_x & 0 & 0 \\ 0 & A_y & 0 \\ 0 & 0 & A_z \end{bmatrix} \begin{bmatrix} a^2 & 0 & 0 \\ 0 & b^2 & 0 \\ 0 & 0 & c^2 \end{bmatrix} \quad (12)$$

739 All terms in Eq. (7) can be expressed in terms of the semi-axes of the ellipsoid,
740 which we denote with $a > b > c$. Finally, we can find the angle of friction θ_f
741 that satisfies Eq. (7), as a function of semi-axes only.

742 Figure 14 shows the shape of final aggregates at equilibrium. All of them
743 result close to the $b/a=c/a$ line, i.e. nearly prolate ellipsoids. This is clearly
744 biased by the choice of the initial shape, which is a prolate ellipsoid (with

745 $b/a=c/a=0.4$) for all simulations. As discussed this prevents us from drawing
 746 general conclusion based on their final shape only, but it allows to derive a
 747 lower limiting value for their angle of friction. If excluding cases with no
 748 friction (marked with $\eta = 0$ in the figure), the semi-axes are in the range
 749 $[0.83-0.92]$ for aggregates with spherical particles and $[0.77-0.84]$ for angular
 750 bodies. These correspond to minimum angles of friction of about 4 deg for
 751 the spheres. As expected, this value is consistent with the findings of [Tanga](#)
 752 [et al. \(2009a\)](#), when one considers in their article the evolution of the ellipsoid
 753 having similar flattening and the lowest angular momentum. The interesting
 754 difference that we find is the friction angle for angular bodies, around 6
 755 deg, which denote a modest but relevant (+50%) increase provided by the
 756 angularity of particles.

757 An accurate evaluation of the angle of friction of the aggregates is out of
 758 the scope of this paper, which is rather focused on highlighting the role of
 759 surface parameters and particle shape in the dynamical reshaping process.
 760 Also, we recall here the results of preliminary tests discussed in [Section 3.2](#),
 761 which are performed using the same surface parameters used in ellipsoid
 762 simulations. In particular, the angle of slope test provides an upper limit in
 763 terms of slope attainable by the granular medium, which are observed up to
 764 values of about 65 deg.

765 In this context, we highlight that a more comprehensive treatment of the
 766 problem would consider many other degrees of freedom when modeling the
 767 rubble-pile aggregate. A very relevant one concerns the internal structure of
 768 these bodies. Elongated shapes might be sustained by inhomogeneous inter-
 769 nal structures (or density distributions). To provide few examples, [Lowry](#)

770 [et al. \(2014\)](#) speculated about the interior of the highly elongated asteroid
771 Itokawa based on its global shape and spinning dynamics: according to them
772 a strong inhomogeneity within Itokawa (two separate bodies with different
773 density) could possibly explain their measures of spin dynamics. [Kanamaru](#)
774 [et al. \(2019\)](#) also proposed an inhomogeneous model for Itokawa, to cope
775 with its gravitational potential and surface properties. In this respect, our
776 approach is clearly simplified and do not address the problem in its whole
777 complexity (we make the bulk hypothesis that such bodies have a full rubble-
778 pile structure with homogeneous density). However, our ultimate goal is not
779 to reproduce the high fidelity internal structures, but rather to exploit the
780 rubble-pile structure to reproduce the self-gravitational dynamics of these
781 objects.

782 5. Conclusions

783 In this paper we study the role of particle shape and surface/contact pa-
784 rameters in N-body simulations, considering the full gravity-contact problem.
785 We discuss the results of test scenarios of granular dynamics to quantify and
786 qualitatively characterize the angle of slide and angle of repose of a realistic
787 granular media, made of angular particles. As a case study, we reproduce
788 the natural reshaping process of an elongated ellipsoidal rubble-pile object
789 by numerical simulations. We investigate the effects of simulation parame-
790 ters, including particle shape, surface friction, particle size distribution and
791 number of particles, and discuss their contribution to the overall angle of
792 friction within the granular medium. We summarize here the main outcomes
793 of the paper:

- 794 • *Friction.* The presence/absence of friction is very relevant, but not its
795 value. This is supported by results in all simulations and test scenarios.
796 Friction is observed at a different scale for the case of angular bodies
797 compared to spheres. This is due to the increased number of contact
798 points (many, compared to only two per sphere couple) and enhanced
799 by the chaotic nature of interactions between angular bodies. The
800 overall effect is that angular bodies contribute to reaching equilibrium
801 earlier than spheres and, in the long time, the value of friction does not
802 affect the final shape of the aggregate.

- 803 • *Porosity.* As expected, aggregates with spheres have higher porosity,
804 while packing of angular bodies is more efficient in terms of volume oc-
805 cupied. This appears to be a purely geometrical feature of the granular
806 medium and has direct consequences on the dynamics and evolution
807 of rubble pile objects. However, based on this consideration only, it
808 is hard to speculate about global properties of small celestial bodies
809 and compare with their high porosity values, due to the lack of data
810 about their internal structure. This is the missing ingredient we would
811 need to formulate hypotheses of correlation between local (internal)
812 geometrical structure and global properties.

- 813 • *Final shape of aggregate.* Aggregates with spheres are more rounded
814 and closer to hydrostatic equilibrium shape. Also, they reach equilib-
815 rium later in time. This is because they move freely on the surface, due
816 to a lower friction (less contact points) and to the absence of mecha-
817 nisms to hinder their motion such as geometrical interlocking, which

818 instead play a relevant role for the case of angular bodies.

819 • *Aggregate internal structure.* Spheres have a more regular packing as
820 they form ordered crystal structures. Angular bodies have a more
821 chaotic packing structure. This has consequences on the internal prop-
822 erties of the aggregate: a clear example is shown on its internal force
823 distribution, which is very different in the two cases.

824 While assessing the role of particles in N-body simulations, we remark
825 that these results are valid for the specific scenario studied, where all parti-
826 cles have equal density within a homogeneous aggregate that slowly settles
827 under its own gravity, and with no other external actions. As mentioned
828 for the case of porosity, any direct generalization of these results to the case
829 of small celestial bodies would be possible provided that we have a better
830 understanding and data available on the internal structure of such bodies.
831 This work is part of a basic research effort, aimed at investigating the dy-
832 namical behavior of gravitational aggregates. In this context, general results
833 and considerations clearly emerge.

834 Angular bodies are certainly more realistic than spheres since they are
835 capable to simulate to a more complex extent the contact interaction mech-
836 anism. The results give a clear indication towards the use of angular bodies
837 for a better understanding of the dynamics involving the internal structure
838 and global properties of rubble piles asteroids.

839 A further argument of support is provided by the analysis of short- ver-
840 sus long- term dynamics. We observe that, although clear trends may exists
841 within the first few hours of simulation, most of them disappear in the long
842 term. For instance, considering reshaping process, we observe that after two

843 hours of simulation clear trends exist between the shape of the aggregate
844 and simulation parameters: in this case a more elongated aggregate is pro-
845 duced by (i) higher surface friction between particles, (ii) higher number of
846 fragments (higher resolution of the aggregate) or (iii) non-uniform size dis-
847 tribution of particles. As expected, these three phenomena contribute to
848 hindering the motion of particles within the aggregate, either by a direct
849 increase of surface friction (i), or by its indirect increase after enlarging the
850 surface area at contact (ii,iii). However, in all cases, when looking at results
851 at equilibrium (after 250 hours of simulations), these trends are no more ob-
852 served. This is interpreted as an effect of the extremely chaotic dynamical
853 environment, which on the long-term dominates over the effects of simulation
854 parameters. The only effect that survives in the long term is that of particle
855 shape, which is observed in all simulations.

856 Our simulations indicate clearly that relevant differences exist when us-
857 ing angular bodies compared to using spheres. Despite the importance of
858 spherical particles in disclosing the physics of granular materials, both in
859 laboratory and numerical simulations, our results highlight that relevant dif-
860 ferences exist when irregular fragments are adopted. The additional level of
861 complexity that non-spherical shapes bring in numerical simulations, appears
862 to be an unavoidable step to better reproduce real-world scenarios.

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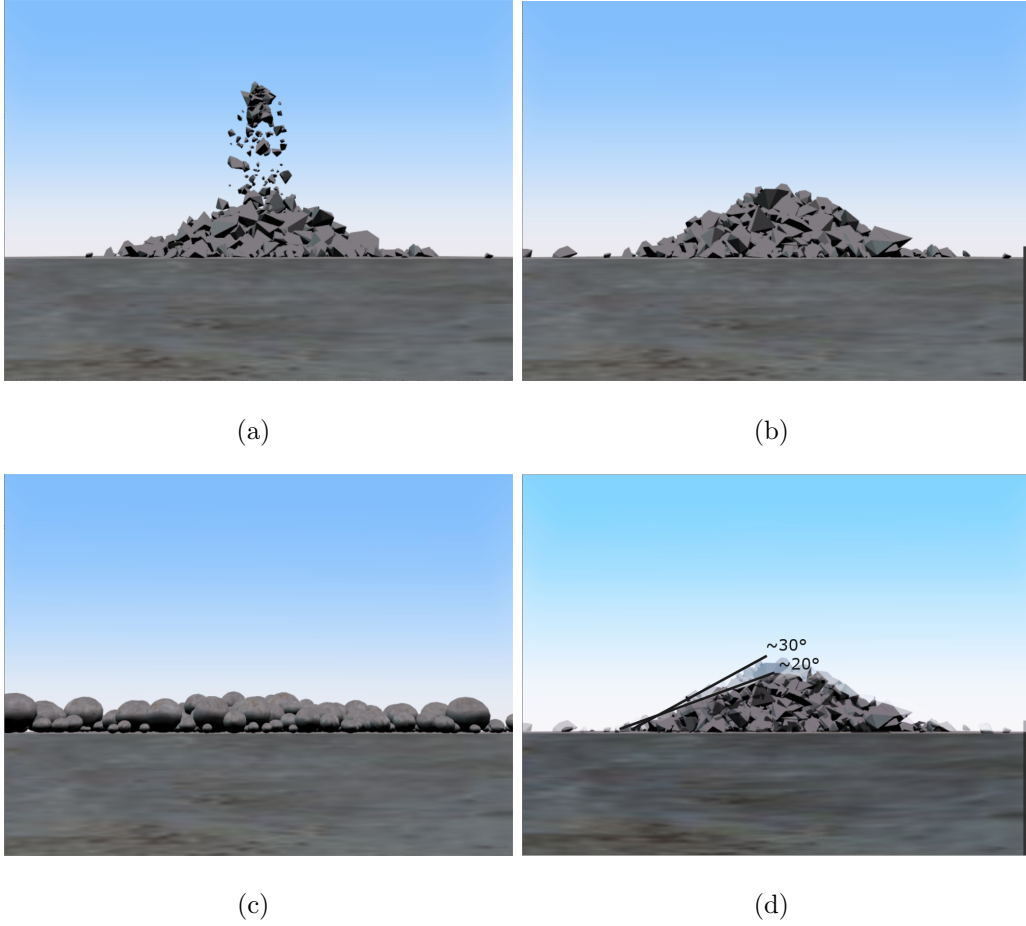


Figure 1: Angle of repose test: (a) angular particles in the process of piling up on a flat surface; (b) pile of angular fragments at rest (coefficient of friction $\eta = 0.6$); (c) spheres after the test (not piled up, $\eta = 0.6$); (d) piles of angular fragments at rest: comparison between case with $\eta = 0$ (slope $\simeq 20$ deg, in the foreground) and $\eta = 0.6$ (slope $\simeq 30$ deg, in the background).

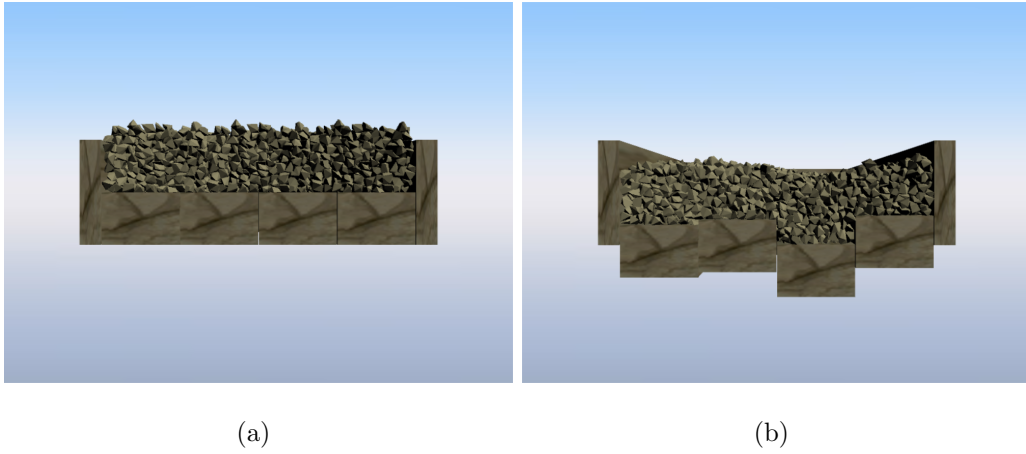
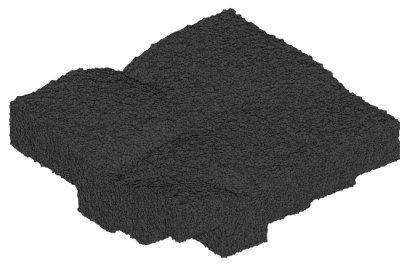
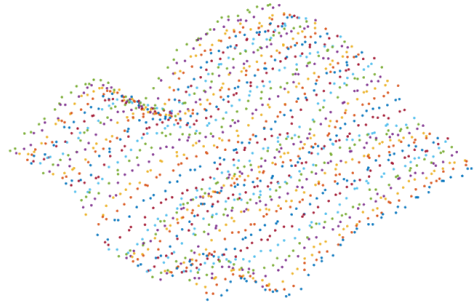


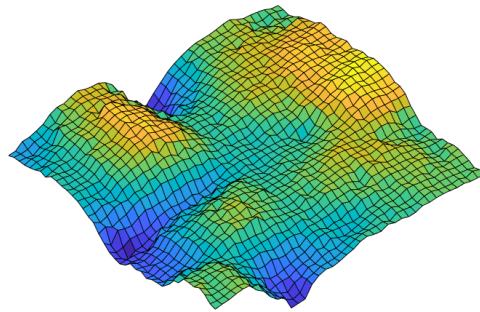
Figure 2: Angle of slide test: creating the 3D surface. (a) The granular terrain is initially created and settled on a planar block-made floor; (b) the morphology of the granular terrain modifies after the slow downwards motion of floor blocks up to their final configuration.



(a)



(b)



(c)

Figure 3: Angle of slide test: finding slope distribution of the surface. (a) Enveloping surface that encloses all terrain particles; (b) grid of surface points; (c) slopes computed between points in the grid.

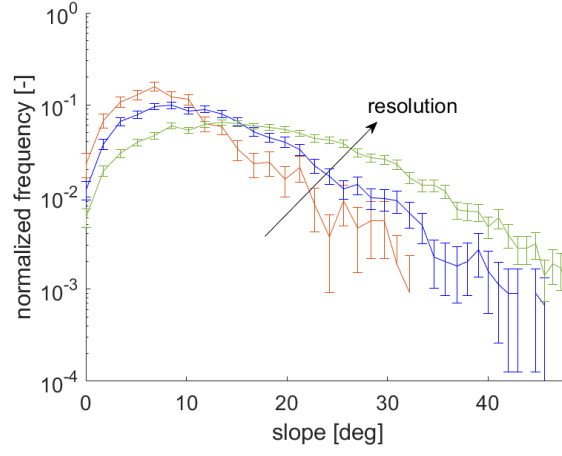


Figure 4: Angle of slide test: slope distribution examples. The higher the resolution of the model (more points in the histograms), the higher slopes can be attained.

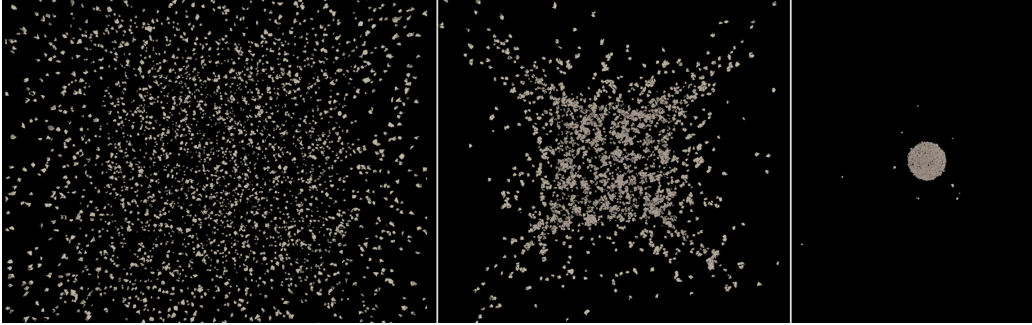


Figure 5: Snapshots from the simulation of gravitational aggregation to form a 5,000-body parent aggregate (monodisperse particle size distribution).

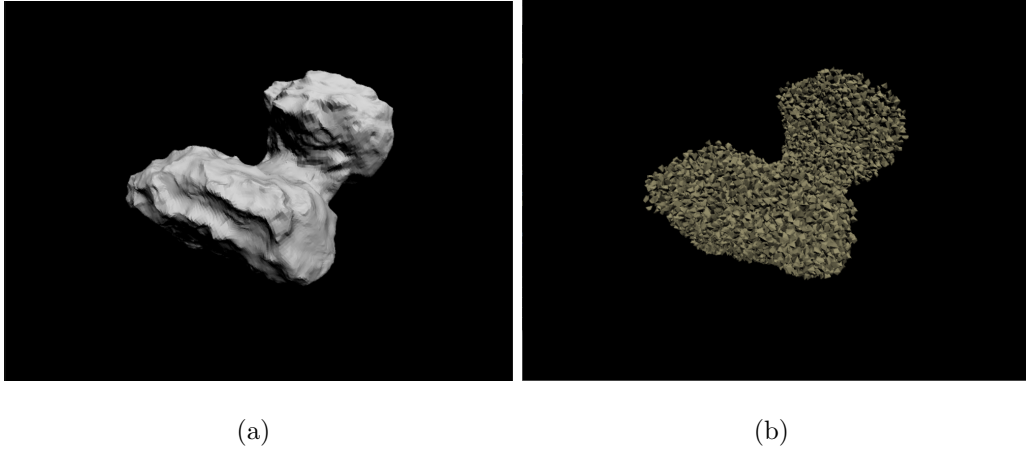


Figure 6: Testing the shape creation algorithm using the shape of comet 67P/Churyumov-Gerasimenko: (a) 3D shape model vs (b) rubble-pile model (10,000-body model extracted from a 64,000-body parent aggregate).

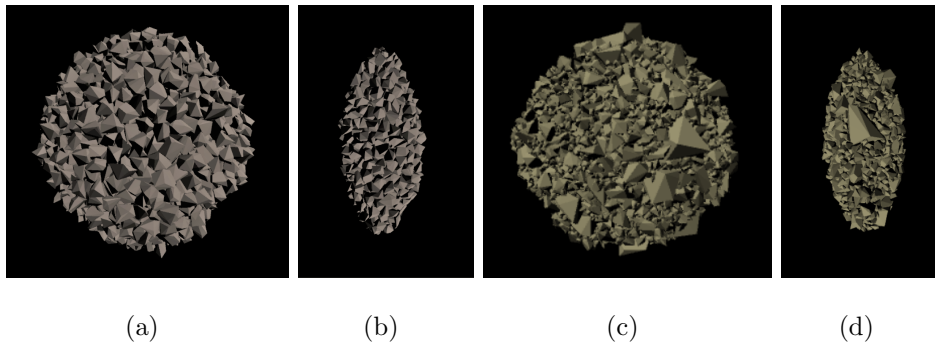


Figure 7: Examples of initial aggregate creation. (a) 5,000-body parent vs (b) 1,000-body extracted aggregate (monodisperse particle size distribution). (c) 10,000-body parent vs (d) 2,000-body extracted aggregate (polydisperse particle size distribution).

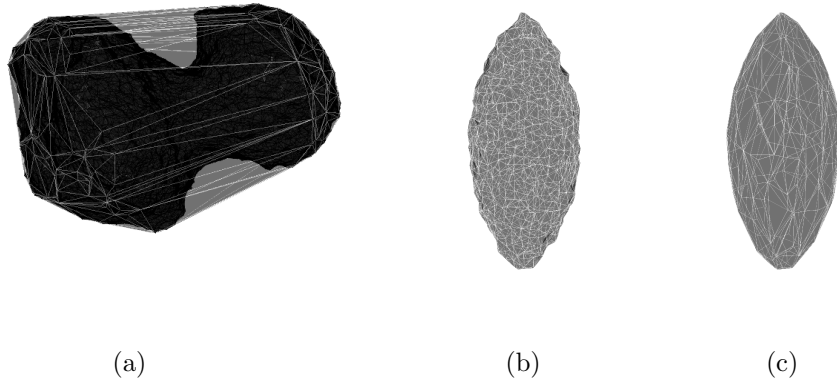


Figure 8: (a) Testing the alpha-shape algorithm using the shape of comet 67P/Churyumov-Gerasimenko: minimum volume shape vs convex envelope. Examples of (b) minimum volume shape and (c) convex envelope for the case of ellipsoidal aggregate.

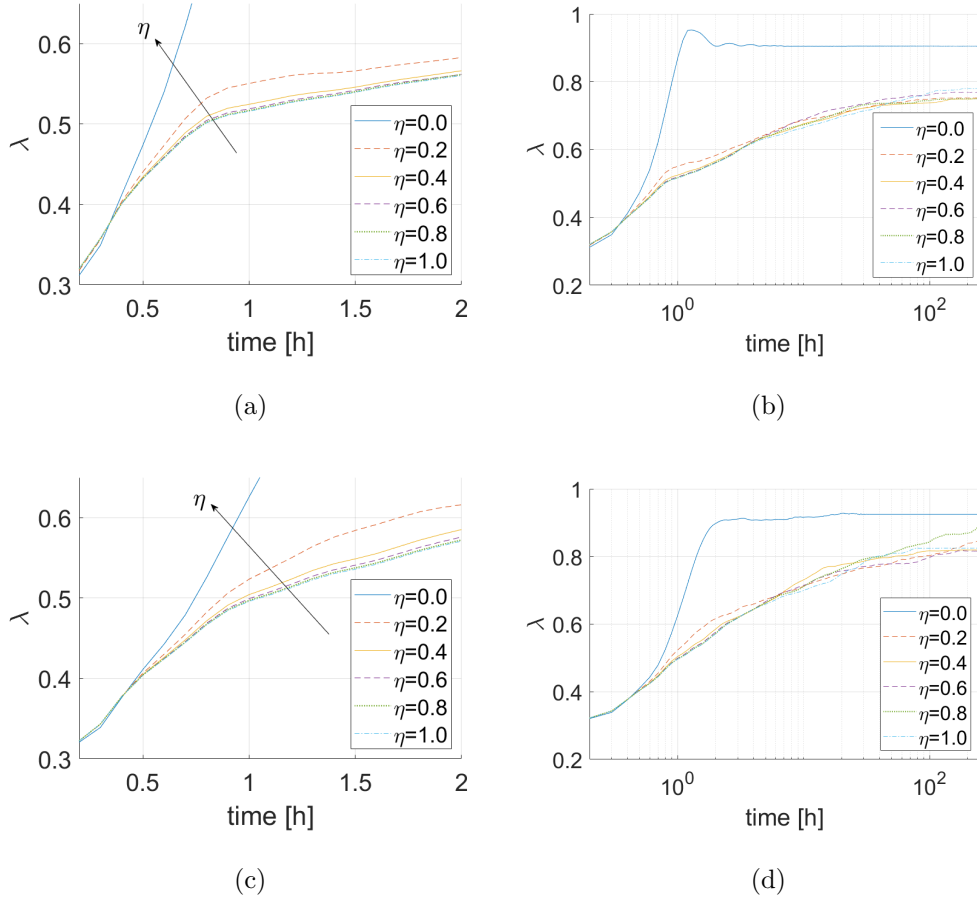
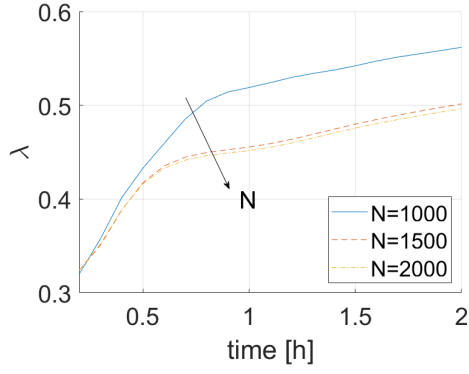
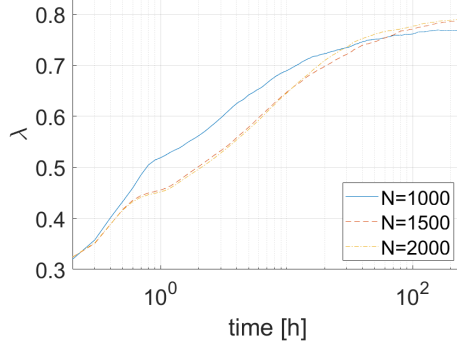


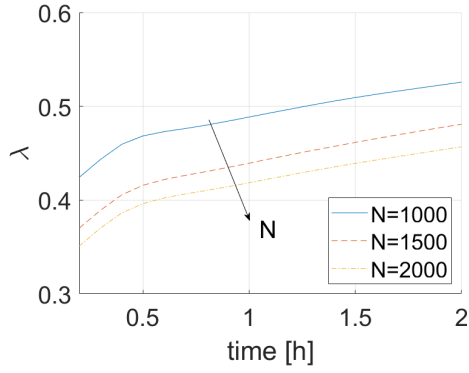
Figure 9: Short- and long-term evolution of inertial elongation λ of the aggregate, as function of friction coefficient η , for (a,b) angular bodies and (c,d) spheres. Long-term evolution plots (b,d) are shown using a semi-logarithmic (x) scale. The curves for $\eta = 0$ are out of scale in short-term plots: after 2 hours $\lambda \simeq 0.9$ in both (a) and (c) plots. Most of the simulations reach a static shape within the simulation interval.



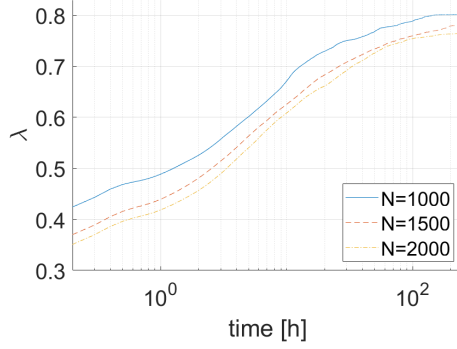
(a)



(b)



(c)



(d)

Figure 10: Short and long-term evolution of inertial elongation λ of the aggregate, as function of the number of bodies N in the aggregate, for (a,b) monodisperse and (c,d) polydisperse particle size distribution. Long-term evolution plots (b,d) are shown using a semi-logarithmic (x) scale.

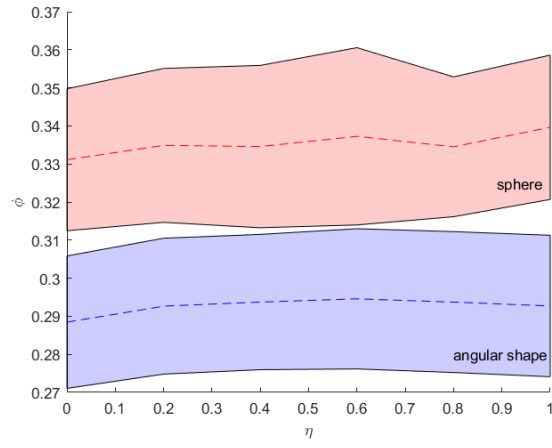


Figure 11: Porosity ϕ vs friction coefficient η for final aggregates with spheres or angular bodies. The whole range is shown between minimum and maximum volume aggregate. Data refer to simulations with 1,000 monodisperse particles.

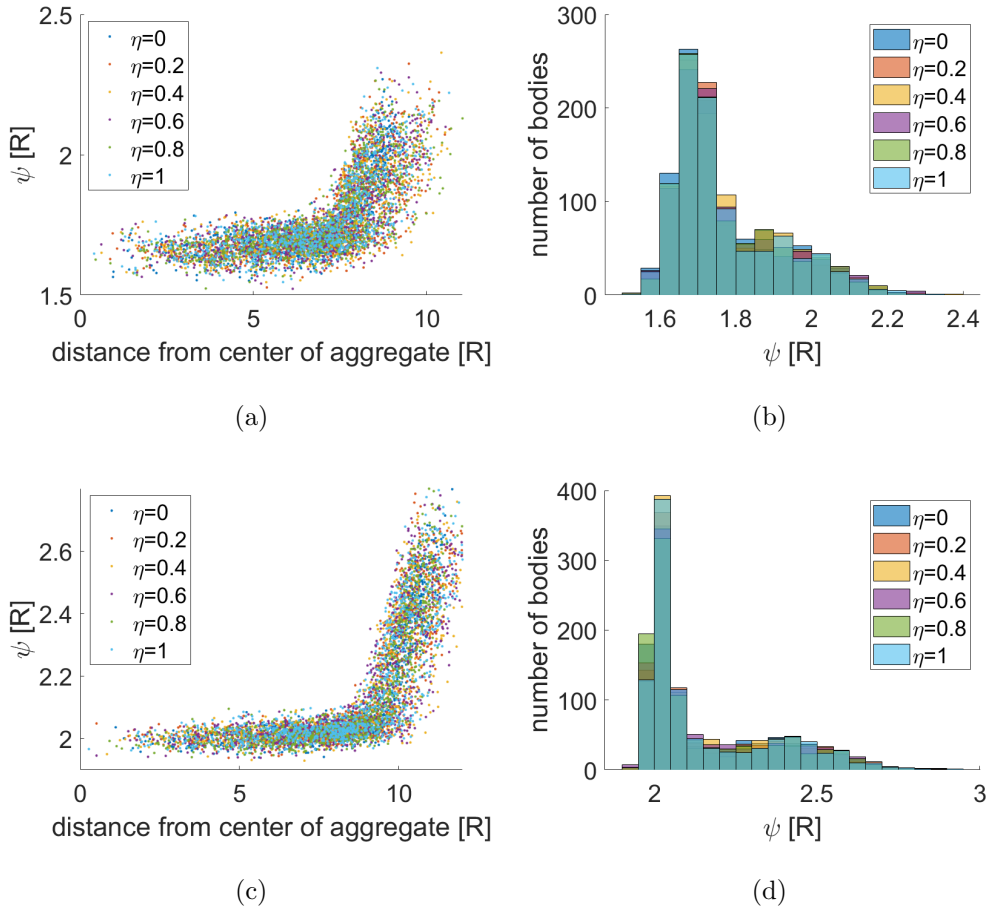


Figure 12: Packing index ψ for each body within the final aggregate, for different levels of friction coefficient η . Distribution as function of the distance of body from the center of the aggregate and histogram for (a,b) angular bodies and (c,d) spheres. Data refer to simulations with 1,000 monodisperse particles.

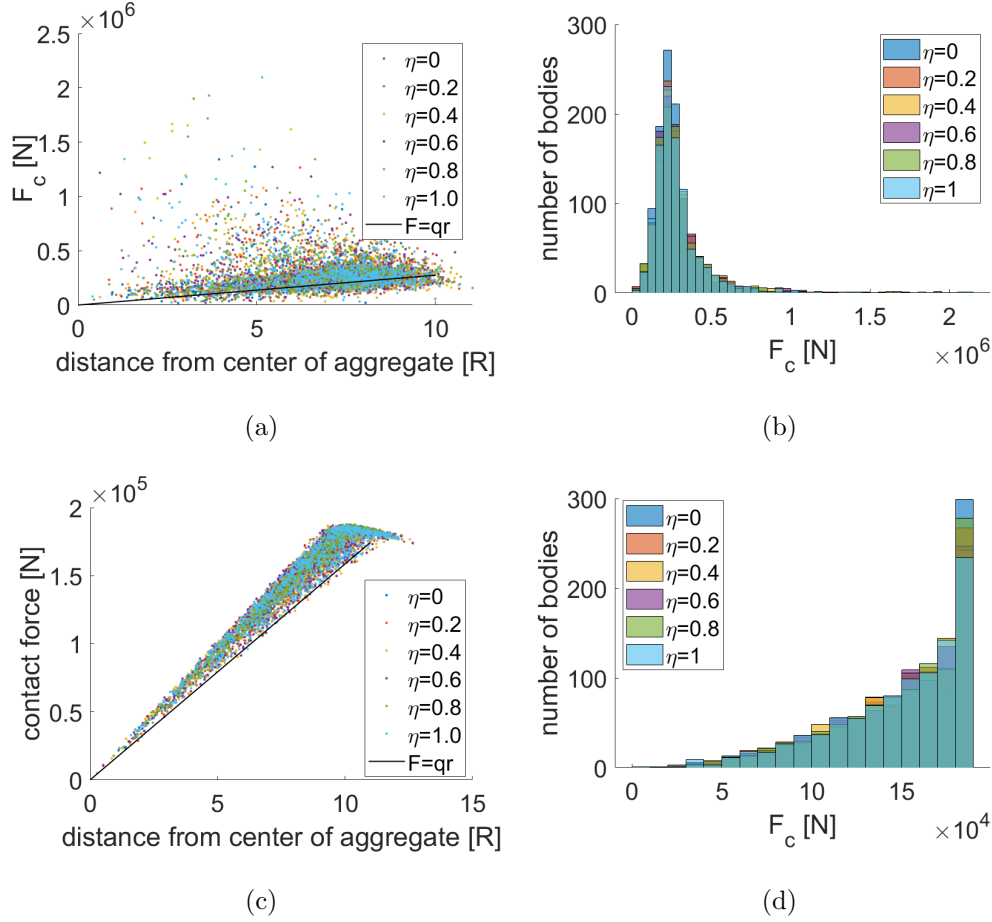


Figure 13: Resulting contact force F_c acting on each body within the final aggregate, for different levels of friction coefficient η . Distribution as function of distance of body from the center of the aggregate and histogram for (a,b) angular bodies and (c,d) spheres. Data refer to simulations with 1,000 monodisperse particles.

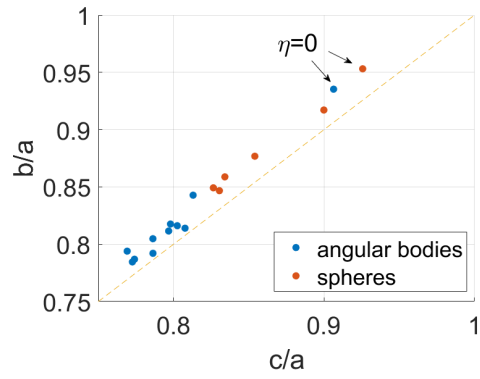


Figure 14: Shape of equilibrium aggregates in terms of semi-axes of equivalent ellipsoid, with $a > b > c$. Particle shape and cases with no surface friction $\eta = 0$ are highlighted.