
Risk Stratification in Bicuspid Aortic Valve Aortopathy: Emerging Evidence and Future Perspectives

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Abstract: The current management of aortic dilatation associated with congenital bicuspid aortic valve (bicuspid aortic valve aortopathy) is based on dimensional parameters (diameter of the aneurysm, growth of the diameter over time) and few other criteria. The disease is however heterogeneous in terms of natural and clinical history and risk of acute complications, ie aortic dissection. Dimensional criteria are now admitted to have limited value as predictors of such complications. Thus, novel principles for risk stratification have been recently investigated, including phenotypic criteria, flow-related metrics, and circulating biomarkers. A systematization of the typical anatomoclinical forms that the aortopathy can assume has led to the identification of the more severe root phenotype, associated with higher risk of progression of the aneurysm and possible higher aortic dissection risk. Four-dimensional-flow magnetic resonance imaging studies are searching for potentially clinically significant metrics of flow derangement, based on the recognized association of local abnormal shear stress with wall pathology. Other research initiatives are addressing the question whether circulating molecules could predict the presence or, more importantly, the future development of aortopathy. The present review

summarizes the latest progresses in the knowledge on risk stratification of bicuspid aortic valve aortopathy, focusing on critical aspects and debated points. (Curr Probl Cardiol 2019;00:1–18.)

Bicuspid aortic valve (BAV), the most common cardiac congenital malformation, is associated with increased risk of developing aortic vascular complications, usually in adulthood, including aortic aneurysm and dissection.¹ While aortic dilatation and aneurysm occur at a high rate (nearly 1% patient-years), aortic dissection represents a catastrophic but rarer complication (0.03% patient-years), however occurring at an 8- to 9-fold higher rate than in the general population.² Patients are usually submitted to elective surgery on the basis of “dimensional” criteria, ie the size and progression rate of aortic diameter,³ to prevent the risk of acute aortic events, ie rupture and dissection. However, it is now understood that aortic diameter has a limited predictive value, as aortic events may occur when aortic diameters are <55 mm,⁴ and even <45 mm⁵ and thus, do not fall within the guidelines for elective thoracic aortic aneurysm surgery.⁶ Consequently, “nondimensional” criteria for a more precise risk assessment and for a patient-tailored strategy are required.

Studies currently focusing on the identification of new criteria for risk stratification in BAV aortopathy are based on 3 research lines: clinical significance of aorta “phenotypes” [1], novel imaging techniques capable to describe and quantify flow-related mechanical stimuli,⁷ and circulating molecules representing potential biomarkers of the presence or severity of the aortic wall disease.⁸ The present review addresses these 3 lines of research underscoring both interesting applicative perspectives and current limitations.

Phenotypic Stratification

The current knowledge of BAV-related aortopathy is recognized to be scarce, especially in its pathophysiological and prognostic aspects.⁹ In the recent past, research in this field has focused on the attempt to answer the pathogenetic question of whether BAV aortopathy is the result of a genetically-mediated disorder or a hemodynamically-driven phenomenon. Subsequently, evidence that aortic dilatation can assume different anatomical forms, each typically (though not exclusively) associated with peculiar clinical features, has appeared.¹⁰⁻¹² Consequently, due to the inconclusive results of the “genetically-mediated” vs “hemodynamically-driven” research approach, and the increasing awareness of the anatomoclinical

phenotypic heterogeneity of BAV aortopathy, that pathogenic dichotomic view has been recognized to be limitative. Moreover, the existence of 2 opposite views of the disease (ie as a genetically mediated “Marfan-like” disorder or as a more benign poststenotic dilatation) has caused confusing changes in subsequent surgical guideline recommendations¹³ and remarkable differences in the principles and policies of management among different centers and surgeons.¹⁴

Today, the clinical course of BAV aortopathy, in terms of rapidity of progression, incidence of acute aortic events, and need for surgery, is recognized to vary considerably among different subsets of patients¹⁵: in some of them it assumes the form of an indolent chronic disease, potentially harmless to the patient’s life expectancy, while in others it entails a considerable burden of acute complications, making case-by-case risk stratification a challenging clinical task. It is now believed that this prognostic heterogeneity results from a complex, multifactorial pathogenesis, in which different relative contributions are at play, including several possible genetic variants and varying flow-related mechanisms.^{9,16} Assuming that the phenotypic heterogeneity is caused by the same pathogenetic heterogeneity, it has been suggested that defining the phenotype can be a tool to identify individual patients that will incur more severe forms of the disease, in a way to guide personalized surgical decision making.¹⁷ Therefore, a number of studies have attempted to classify the phenotypic diversity of BAV aortopathy, and they can be summarized as: (1) studies based on echocardiographic assessment of the aortic shape, ie the relative dimensions among segments of the aorta: root, sinotubular junction, ascending tubular tract; either with¹⁰ or without¹¹ adjunct consideration of the absolute dimensions; and (2) studies based on CT scan and/or MRI evaluations; including more classes to include description of involvement of the arch segment.^{12,18} Some studies on the different phenotypes of aortic dilatation have searched for significant associations with the valve morphotypes (ie pattern of cusp fusion of the BAV, presence/absence of the raphe) and/or function (ie stenosis or regurgitation), others have looked also at the correlations with clinical features of the patient.¹⁹ However, the only phenotypic classification that has been tested for possible prognostic predictive value is the one that distinguishes aortic dilatation of the more common ascending phenotype (maximal dilatation diameter located at the tubular tract beyond the sinotubular junction, usually associated with aortic stenosis or echocardiographically normofunctional valve, accounting for ~80% of BAV aortopathy), from a rarer root phenotype (maximal diameter at the sinuses of Valsalva level, associated with aortic regurgitation, representing 15%-20% of

Ascending Phenotype**Root Phenotype**

FIG 1. Reconstructions of CT scan studies of the thoracic aorta illustrating the anatomy of the 2 phenotypic forms of BAV aortopathy identified to have different clinical significance: the more frequent ascending phenotype (maximal diameter at the tubular ascending tract) and the less frequent root phenotype (maximal diameter at the sinuses of Valsalva), recognized to be a more severe form, in terms of progression of the dilatation and risk of acute aortic dissection.

BAV-associated dilatations – Fig 1): in a single-center study, the root phenotype was found to be the significant predictor, after correcting for initial diameter and other clinical factors, of a faster growth of the ascending aorta over a mean follow-up time of 4 years.²⁰ Other evidence has been accumulated showing that the root phenotype might represent a more severe form of aortopathy, possibly the one in which the genetic contribution to the pathogenesis is most important, compared to the ascending phenotype, whose course might be more significantly influenced by flow derangements. Moreover, the root phenotype has been found associated with acute aortic events in the postoperative follow-up of BAV patients who had undergone simple aortic valve (AV) replacement²¹ as well as with potentially aortopathy-related genetic variants, both in the gene encoding fibrillin-1 in a small study²² and within a panel of 20 candidate aortopathy genes in targeted genetic analysis.²³ Therefore, the root phenotype has been recently included among the adjunctive risk factors to consider when indicating earlier elective surgery for BAV aortopathy.²⁴

Fluid-Dynamics-related Risk Markers

The search for quantitative scores suitable for stratifying the risk of BAV-related aortopathy has been focusing also on the BAV-associated deranged fluid dynamics, which are consistently characterized by asymmetrical accelerated systolic jets deflected toward localized portions of the aortic wall, which are at least partially dependent on BAV fusion

type.²⁵⁻³¹ The underlying hypothesis is that such flow derangements translate into altered localized mechanical shear stimuli on the aortic endothelium, and trigger or exacerbate chronic adverse remodeling of the wall through mechanotransduction. Therefore, current research is testing the quantification of these derangements through clinically available imaging modalities (Fig 2).

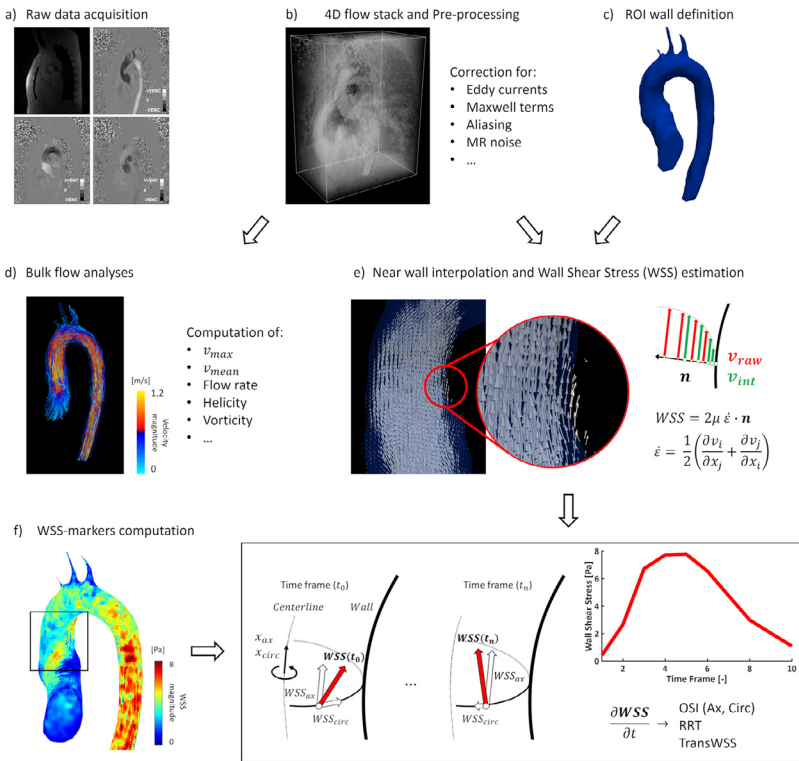


FIG 2. Fluid-dynamics-related risk markers. (a) 4D-flow acquisition consisting of magnitude and phase-contrast images encoding velocity along Head-Foot, Anterior-Posterior, and Right-Left directions throughout the cardiac cycle. (b) Volume rendering of the 3D stack of phase-contrast images to highlight their volumetric coverage. The 3D (or 3D + time) stack can be preprocessed to minimize MR-related artifacts and noise effects. (c) Definition of the aortic wall position from the 3D region of interest (ROI) extracted from the 4D-flow volume. (d) Visualization of 3D blood flow streamlines (color-coded by velocity magnitude) and examples of hemodynamic variables computed by postprocessing the aorta bulk-flow. (e) Visualization of near-ROI wall blood velocity and possible strategy of data filtering through interpolation (v_{int}) from raw velocity points (v_{raw}) to optimize the estimation of wall shear stresses (WSS) based on the computation of numerical velocity derivatives. (f) 3D WSS heat-map (color-coded by WSS magnitude) of the shear stimuli acting on the aorta wall (left panel); schematic description of WSS possible decomposition in a local frame of reference with respect to the aorta wall (axial, circumferential) and time-dependency along the cardiac cycle, allowing for the estimation of supplementary WSS-related hemodynamic markers.

In vivo studies based on 4-dimensional flow cardiac magnetic resonance (4D-flow) imaging have shown that in BAV patients, even with “normal” valve function, blood flow in the ascending aorta is characterized by increased *helicity*, and most frequently by a right-handed helical flow.^{32,33} In addition, this pattern may change over time as AV function deteriorates.³⁴ Despite being a hallmark of BAV, blood flow helicity does not seem a useful clinical score, inasmuch as a feature of the bulk flow and not of the near-wall flow (ie the components of flow that closely interact with the aortic wall), whose features are purported to contribute to the BAV-related aortopathy pathogenesis.

Nonetheless, 4D-flow imaging has shown that the accelerated systolic jet locally impinges on the wall of the ascending aorta, thereby generating a wall shear stress (WSS) overload. In a pivotal study, Guzzardi and colleagues³⁵ recently suggested a potential tight link between WSS *anomalies* and aortic wall remodeling: they acquired preoperative 4D-flow imaging in candidates for elective aortic resection and mapped the aortic WSS distribution across peak systole. Postsurgery histology on ascending aorta samples retrieved from high and low WSS regions showed that the formers were systematically characterized by more pronounced dysregulation of the extracellular matrix and elastic fiber degeneration in comparison to the latter ones,³⁵ providing direct evidence of what had been previously suggested based on similar results.^{36,37} More recently the same group³⁸ validated the association between locally increased WSS and decreased elastic fiber thickness, notably highlighting that this association was stronger in patients with AV stenosis.

This evidence was further confirmed by a combination of computational and in vitro modeling. In 2 studies, Atkins et al,^{39,40} used fluid-structure interaction modeling to obtain the highly time- and space-resolved WSS patterns at the convexity and at concavity of the ascending aortic wall in BAV patients and in healthy controls. Initially physiologic porcine tissue from the corresponding aorta regions were then exposed in vitro to those WSS patterns through a bioreactor: by applying convexity-like WSS patterns to convexity specimens, a significant effect on expression of matrix metalloproteinases 2 and 9 was observed.

A number of studies investigated the BAV-related WSS alterations in vivo by postprocessing 4D-flow imaging data, typically through custom software. Despite the heterogeneity of study populations (nondilated young aortas⁴¹ or frank aneurysms³⁸), and of postprocessing software tools, all these studies detected significant BAV-related alterations in WSS patterns and peak/average values during systole with respect to healthy controls. Yet, depending on the specific algorithm implemented

in the processing software, WSS values computed for comparable subjects can range over 2 orders of magnitude,⁴²⁻⁴⁵ suggesting that reproducibility and clinical applicability, strictly dependent on reliable reference values, still remain unresolved.

Also, with few exceptions (eg, Hope et al⁴²), a direct correlation was not found between WSS magnitude increase and aortic growth, which is conventionally assumed as a marker of disease severity, given the lack of large and adequately long-term follow-up studies. Probably driven by that lack of correlation between WSS magnitude and clinical outcomes, the focus of 4D-flow studies has shifted progressively toward the quantification of finer features of WSS: peak magnitude, peak circumferential, and axial components, as well as indexes quantifying WSS time-dependency. A first insight was provided by Bissell et al,³² reporting altered WSS values in the *circumferential component*, which accounted for up to 50% of the total amount of WSS overload, predominantly as a result of right-/left-handed abnormal flow rotations. Such features were later also observed by other authors^{26,46} at different portions of the ascending aorta, ie, proximal, mid, and distal.

Recent studies have begun to focus on the *time-dependency* of WSS vectors, both through high-end in silico approaches⁴⁴ and processing of 4D-flow data.⁴⁵ In particular, Piatti and colleagues⁴⁵ quantified pronounced differences between WSS oscillations in young BAV patients with nondilated aortas as compared to age-matched healthy controls: these were particularly evident when analyzing time-dependent changes of both the magnitude of the WSS component transversal to the main flow direction and the orientation of the 3D WSS vector. Interestingly, non-negligible intersubject differences were found among BAV patients, suggesting a possible role of these features as improved markers of aortopathy progression.⁴⁵

Circulating Biomarkers

The ideal prognostic circulating biomarker of BAV aortopathy should be of pathogenetic significance, reliably measurable in serum/plasma, with a significant concentration difference vs basal levels in healthy subjects, of proven relevance to the course of the disease (ie, capable of predicting progressive dilatation and/or acute aortic events), and ideally it should have no or loose correlation with aortic diameter, to provide additional information for prognostic stratification alongside this parameter.

The search for circulating biomarkers in the setting of BAV aortopathy currently represents a particularly lively research field. Among BAV

aortopathy biomarkers, a number of investigations have focused mainly on circulating *proteins* belonging to different pathways or on noncoding circulating *ribonucleic acid* (RNA) molecules, including microRNAs and long noncoding RNAs (lncRNAs). The background hypothesis is that dysregulated expression of those key molecules in the aorta could be associated with altered circulating levels of the same molecules: interestingly, the secretome produced during 24-hour *in vitro* incubation of aortic samples harvested from aortic graft replacement patients revealed marked differences between BAV and TAV patients.⁴⁷

A synopsis of the above investigations is reported in [Table 1](#). A negative correlation between alpha 1-antitrypsin, an abundant serine protease inhibitor able to protect tissues from enzymes of inflammatory cells, and aortic diameter has been identified in BAV patients by Kilickesmez et al,⁴⁸ with alpha 1-antitrypsin levels and age emerging as independent predictors of aortic dilatation.

Others focused on advanced glycation end products, a heterogeneous group of molecules playing an important role for the development and progression of cardiovascular disease mainly through the induction of oxidative stress and inflammation and triggering the release of a soluble receptor (sRAGE).⁴⁹ Interestingly, a study revealed that high levels of circulating sRAGE are associated with the presence of BAV and aortopathy and directly correlated with altered ascending aortic microstructure independently of aortic diameter.⁵⁰

Drapisz et al⁵¹ revealed that asymmetric dimethylarginine (ADMA), an endogenous inhibitor of endothelial nitric oxide synthase responsible for nitric oxide production, could be found at increased plasmatic levels in nonstenotic BAV patients with dilated aorta, and that ADMA correlated positively with impairment of aortic elastic properties. Although that study concluded that plasma ADMA levels might prove of prognostic value, it failed to perform a follow-up study to validate this hypothesis.

Among the proteins investigated so far as potential biomarkers of BAV aortopathy, the Transforming Growth Factor- β (TGF- β) signaling pathway is attracting increasing attention, by virtue of its role in fibrosis, inflammation, cell proliferation and migration, and extracellular matrix remodeling, and in light of its involvement in aortopathy syndromes, such as Loeys-Dietz and Marfan syndromes.⁵²⁻⁵⁴ A higher ratio between plasmatic TGF- β 1 and the soluble form of its coreceptor endoglin (sENG) revealed to be indicative of a detrimental gene expression signature in the aorta and a propensity to aortopathy progression in BAV patients with aortic stenosis and nondilated ascending aorta over a 3-year follow-up, thus supporting its potential prognostic value.⁵⁵ This is so far

TABLE 1. Summary of the main studies focusing on the identification of potential early biomarkers of BAV aortopathy

Author(s)	Year of publication	Number of patients, study design	Aortic valve dysfunction	Biomarker(s) investigated	Correlations found
Forte et al	2017	101 BAV patients (2 subgroups: nondilated aorta vs <i>ascending phenotype</i> dilatation), 66 TAV patients, 32 heart transplant donors (aortic tissue), 32 control subjects	Stenosis	Circulating TGF- β 1, sENG, SOD3, MMP-2, MMP-14, CTGF, TGF- β 1/sENG ratio	TGF- β 1/sENG ratio higher in nondilated BAV vs TAV and control groups, higher in BAV ascending phenotype dilatation. Correlation with <i>aortic diameter growth rate</i> in BAV patients with nondilated aorta
Hillebrand et al	2014	317 patients with BAV (30/317), Marfan syndrome, Loeys–Dietz syndrome, thoracic aortic aneurysm and dissection; 119 control subjects without genetic aortic syndrome	Not available	Circulating TGF- β 1	TGF- β 1 higher in BAV patients vs control subjects without genetic aortic syndrome
Harrison et al	2018	15 BAV patients categorized into 2 groups according to aortic dimensions	Stenosis/ regurgitation	Plasma proteome	Correlation with aortic diameter (among others, DNA-dependent protein kinase catalytic subunit, lumican, tetranectin, gelsolin, and cartilage acidic protein 1 showed significantly lower variability in the aneurysmal group)
Kilickesmez et al	2012	82 BAV patients categorized into 2 groups according to aortic dimensions	No or mild dysfunction	Circulating alpha 1-antitrypsin	Correlation with aortic diameter
Branchetti et al	2014	61 TAV patients, 74 BAV patients	Stenosis/ regurgitation	Circulating sRAGE	sRAGE higher in BAV patients. Correlation with tissue RAGE expression, with BAV and with

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TABLE 1. (continued)

Author(s)	Year of publication	Number of patients, study design	Aortic valve dysfunction	Biomarker(s) investigated	Correlations found
Drapisz et al	2013	20 TAV patients, 20 BAV patients	Nonstenotic	Circulating ADMA, MMP-2	dysfunctional aortic microstructures in BAV patients ADMA and MMP-2 higher in BAV vs TAV patients; ADMA correlation in BAV patients with aortic annulus, peak aortic velocity, aortic distensibility, aortic stiffness index, and aortic strain, as well as with MMP-2 and plasma total homocysteine
Martinez-Micaelo et al	2017	18 BAV patients categorized into 2 groups according to aortic dimensions; 6 TAV healthy subjects; data validation in independent cohorts of BAV/TAV patients and healthy subjects	Stenosis/ regurgitation	Circulating miRNome→miR-718 identified as potential biomarker	Correlation with aortic diameter, independently of aortic valve morphology
Ikonomids et al	2013	21 TAV patients, 21 BAV patients with aortic aneurysm; 10 heart transplant donors (aortic tissue)	Not available	Circulating miRNAs, MMPs, TIMPs	Correlation of a combination of multiple analytes with aortic valve morphology and aneurysm
Girdauskas et al	2018	63 BAV patients, <i>root phenotype</i> categorized into 2 groups according to aortic dimensions (blood samples taken at postsurgery follow-up visits)	Regurgitation	A subset of 11 circulating miRNAs→miR-17 and miR-106a identified as potential biomarkers	Correlation with severity of aortopathy (diameter) and previous adverse aortic events

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TABLE 1. (continued)

Author(s)	Year of publication	Number of patients, study design	Aortic valve dysfunction	Biomarker(s) investigated	Correlations found
Balistreri et al	2018	70 TAV patients, 70 BAV patients, categorized into different groups according to aortic dimensions	Stenosis/ regurgitation	Circulating EPCs and Notch1	Quantitative reduction of Notch1 level, EPC number/EPC impaired function in BAV patients
Balistreri et al	2018	35 TAV patients, 25 BAV patients, categorized into different groups according to aortic dimensions	Stenosis/ regurgitation	Circulating T and B lymphocyte subsets	Quantitative reduction of T and B lymphocyte cell subsets in BAV patients

Abbreviations: ADMA, asymmetric dimethylarginine; CTGF, connective tissue growth factor; EPCs, endothelial progenitor cells; MMP, matrix metalloproteinase; sENG, soluble endoglin; SOD3, superoxide dismutase 3; sRAGE, soluble receptor for advanced glycation end product; TGF- β 1, transforming growth factor β 1.

the only study that has documented relevance of the candidate biomarker to the course of aortic disease (namely the growth rate of the aorta over time). Differences in serum TGF- β 1 levels between BAV patients and patients with no defined genetic aortic syndrome have been also found by others.⁵⁶

Regarding RNA molecules, current data support the expression and the role of miRNAs in endothelial and smooth muscle cell homeostasis and phenotype changes associated with aortopathy progression,⁵⁷ both at local and plasmatic level. Conversely, studies focusing on lncRNA in aortic disease are still in their infancy⁵⁸ and, to the best of our knowledge, no data are currently available about their potentiality as biomarkers of BAV aortopathy.^{59,60} MiRNAs and lncRNAs are generally considered as ideal disease biomarkers since their levels in plasma are reproducible, stable, and consistent among subjects, as they are protected from endogenous ribonuclease-induced degradation.⁶¹ Among miRNAs, the expression profile of plasmatic *miR-718* is strongly influenced by dilation of the ascending aorta, inversely correlates with the aortic diameter and independently predicts aortic dilation, both in BAV and TAV patients.⁶² In a study by Ikonomidis et al,⁶³ unique combinations of plasmatic matrix metalloproteinases, tissue inhibitors of matrix metalloproteinases, and miRNA species were associated with aortopathy in BAV vs TAV patients. A study by Girდაuskas et al⁶⁴ included a selected cohort of patients with BAV insufficiency and root dilation phenotype. They identified a correlation among the levels of circulating *miR-17* and *miR-106a*, the severity of aortopathy and the occurrence of adverse aortic events, although this was analyzed in retrospect, since circulating miRNAs were tested during follow-up visits, not perioperatively. Finally, a recent analysis revealed several differences between BAV patients with less-dilated and severely dilated aorta, with particular reference to *miR-34a*, defined as a potential independent predictor of aortic dilation in BAV patients when confounding factors like age and hypertension are controlled for.⁶⁵

Interestingly, in addition to the biomolecules described above, growing attention is also focusing on circulating cells as potential early biomarkers of BAV aortopathy. Recently, among BAV patients with valve dysfunction, a lower number of circulating endothelial progenitor cells was found in those with nondilated compared to those with dilated aortas.⁶⁶ Similar profiles have been described by the same authors for specific T lymphocyte cell subsets (namely NKT and MAIT T cells) in BAV patients with nondilated vs dilated aortas.⁶⁷ Since no longitudinal follow-up has been performed in these studies, they need to be extended in future investigations to assess the potential role of endothelial progenitor cells

or other circulating cells as early biomarkers of aortopathy, as suggested by the authors.

Conclusions: Moving Toward Individualized Risk Stratification

The search for robust risk markers of BAV aortopathy is particularly lively and of critical importance given the limitations of aortic diameter. On the basis of the evidence summarized above, we suggest that beyond aortic diameter, an immediate subsequent level of risk stratification in BAV patients should be performed on the basis of the aortic phenotype: closer clinical follow-up and a more aggressive elective surgical timing appears necessary for the root phenotype form, as compared to the larger (and still prognostically heterogeneous) subpopulation of BAV patients with ascending phenotype aortic dilatation. Other important clinical risk factors to take into account in all BAV patients with aortopathy have been clearly outlined in current guidelines.²⁴ Thus, especially in the setting of the ascending phenotype, a combination of imaging-derived metrics of flow-related wall stress and circulating biomolecule-based risk markers have the potential of taking BAV aortopathy risk definition to a highly individualized level.

The current body of literature suggests the possibility of developing software tools to stratify the risk of aortopathy based on the noninvasive measurement of blood flow through 4D-flow sequences. Yet, some issues still represent a bottleneck in this process: a consensus on the WSS-related indices to be quantified and on the algorithms to be utilized is missing. Overcoming this limitation will be necessary to eventually provide robust criteria for risk stratification based on flow features.

Current research efforts into circulating biomarkers of BAV aortopathy is opening a multitude of opportunities for individualized risk factor discovery in BAV aortopathy. However, the large majority of studies were so far only exploratory, with limited-size patient cohorts, proposed biomarker molecules not having a pathogenetic justification, and lacked clinical validation in adequately long-term follow-up periods. Some studies suggest that a multimarker strategy could represent a valuable approach.

Well designed (eg in well-defined phenotypes) studies, including adequate control groups, with large patient numbers and long follow-up, of likely multicenter nature, are required to confirm the suitability of a precision medicine approach to stratification of the aortopathy-related risks in BAV patients.

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