

# Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

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Azzellino, Riefolo and Lanfredi conceived the study, analysed the data and wrote the paper; Pasquale Contestabile and Diego Vicinanza, supervised the meteo climatic data assessment, revised the paper draft

### *Keywords*

Marine renewable energy, Wind, wave, Marine Spatial Planning (MSP), Vulnerability Assessment, Cumulative impact assessment

### *Abstract*

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The opportunity to co-locate wind and wave energy exploitation is analysed in the Italian seas grounding on the rationale that benefits are greater when un-correlated resources are combined. The study shows that, although waves and winds are generally strongly correlated, in some conditions their correlation is lower and the combined energy harvesting more interesting. As spatial conflicts of sea use and demand for maritime space are increasingly growing, the development of the marine renewable energy sector needs to be evaluated in the perspective of the complex framework of existing uses, pressures and foresees developments. The early prediction of the areas of potential conflicts creates in fact the ground for mitigation actions or early negotiations between stakeholders. In this study the opportunity of co-locating offshore wind turbines and wave energy converters is analysed through a spatial planning approach. Both the potential for combining different renewable technologies, and the impact associated to such development was considered in the context of the existing pressures (e.g. naval traffic; mariculture activities; submarine cables routes; dredg spoils dumping; offshore activities; windfarms and ocean energy projects) and vulnerabilities (Marine Protected Areas, Key habitat presence) through quantitative indicators. The western coast off Sardinia island, the southern areas of Pantelleria island and the Tunisian coastal waters appear to be the most suitable sites. The study shows how quantitative spatial planning methods may support the selection of the sites of potential interest for the marine renewable energy sector in the perspective of cost-effectiveness and environmental impact minimization.

### *Ethics statements*

(Authors are required to state the ethical considerations of their study in the manuscript, including for cases where the study was exempt from ethical approval procedures)

*Does the study presented in the manuscript involve human or animal subjects:* No

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10 **Keywords: marine spatial planning, wind energy, wave energy, Mediterranean sea,**  
11 **environmental impact, renewable energy.**

12 **Abstract**

13 The opportunity to co-locate wind and wave energy exploitation is analysed in the Italian seas  
14 grounding on the rationale that benefits are greater when un-correlated resources are combined. The  
15 study shows that, although waves and winds are generally strongly correlated, in some conditions  
16 their correlation is lower and the combined energy harvesting more interesting. As spatial conflicts of  
17 sea use and demand for maritime space are increasing, the development of the marine renewable  
18 energy sector needs to be evaluated in the perspective of the cumulative pressures deriving from  
19 present activities or expected from future developments. The evaluation of areas of potential conflicts  
20 among human activities, environmental vulnerabilities and marine renewable developments may  
21 facilitate the early development of mitigation actions and negotiations between stakeholders. In this  
22 study the opportunity of co-locating offshore wind turbines and wave energy converters is analysed  
23 through a spatial planning approach. Both the potential for combining different renewable  
24 technologies, and the impact associated to such development was considered in the context of the  
25 existing pressures (e.g. naval traffic; mariculture activities; submarine cables routes; dredge spoils  
26 dumping; offshore activities; windfarms and ocean energy projects) and vulnerabilities (Marine  
27 Protected Areas, Key habitat presence) through quantitative indicators. The portion of Tyrrhenian  
28 coast south of Elba island, the northern-western Sardinian coast, and the southern Adriatic and Ionian  
29 coastal waters appear to be the most suitable sites. Moreover, the study presents a spatial quantitative  
30 methodology to identify sites of potential interest for the development of the marine renewable  
31 energy sector in the perspective of cost-effectiveness and environmental impact minimization.

32

33 **1 Introduction**

34 The marine environment represents a vast source of renewable energy. Ocean renewable energy  
35 infrastructures could contribute significantly to the future energy power supply (Ocean Energy

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36 Systems, 2017). Among the different developed marine renewable technologies, marine wind energy  
37 is the most mature type as regards technological development, commercialization, policy  
38 frameworks, and installed capacity (Soukissian et al., 2017; Agora Energiewende and Sandbag,  
39 2018). Actually, most of the interest is focused on the development of new offshore solutions, such  
40 as wind turbines with larger rotors, deep water sites and floating platform (e.g. Hywind Scotland  
41 project [www.statoil.com](http://www.statoil.com)) (Onea et al., 2017). Floating technology can be considered in fact, as a  
42 commercially viable solution in order to harness available wind resource also at greater depth (> 50  
43 m) where the conventional fixed offshore wind turbines are no more economically feasible  
44 (McMillan and Ault, 2010). In addition, also Wave Energy Converters (WECs) have been identified  
45 as a technology with the potential to offer a significant contribution in the medium to long term (Liu  
46 et al., 2017). Globally, in 2017 wave energy deployments have doubled its capacity respect to the  
47 previous year, up to 8 MW (Ocean Energy Systems, 2017).

48 In Europe, most of the fully operating projects have been developed by the northern countries where  
49 there is a high source availability. However, also the Mediterranean sea is considered an attractive  
50 hot-spot for future developments of both technologies (Onea et al.2015; 2016a,b,c; Liberti et al.,  
51 2013; Vicinanza et al., 2011, 2013; Iuppa et al., 2015a,b). Up to now, no offshore wind installations  
52 are operating in the Mediterranean waters, however the first offshore wind farm in the Italian seas has  
53 been approved and is going to be built in the Ionian sea off Taranto. It consists of 10 fixed-turbines  
54 with a total installed capacity of 30 MW, to power approximately 9,000 households (EIA Report,  
55 2009). Regarding the wave energy, only two typologies of WECs have been considered suitable to be  
56 entirely embedded into traditional coastal defence structures: the Oscillating Water Column (OWC)  
57 (Torre-Enciso et al. 2009; Arena et al., 2013; Viviano et al., 2016) and the OverTopping Device  
58 (OTD). The latest example of the second group is denominated OBREC (Overtopping Breakwater  
59 for Energy Conversion) (Vicinanza et al., 2014; Contestabile et al., 2017).

60 The feasibility of combining a floating wind turbine and a wave energy converters has been already  
61 investigated by several authors (Karimirad and Koushan, 2016; Fusco et al., 2010; Veigas and  
62 Iglesias, 2013, 2015; Veigas et al., 2014a,b; Gao et al., 2016). wind-wave technology is a viable  
63 solution to reduce the intermittence of the wind and wave resources regardless of the time interval,  
64 increasing in this way the attractiveness of a site in terms of its overall marine energy potential  
65 (Azzellino et al., 2013a; Fusco et al., 2010; Onea et al., 2017; Perez-Collazo et al., 2013). Therefore,  
66 the diversification of the mixed renewable energy technologies, determines a reduction of the  
67 power's variability (Fusco et al. 2010; Stoutenburg et al. 2010) and the energy costs (Astariz and  
68 Iglesias, 2016, 2017; Astariz et al., 2016).

69 The alternatives to combine wind and wave energy technologies have been investigated for the  
70 Mediterranean region by Pérez-Collazo et al., 2015. In particular, according to the ORECCA project  
71 results, the Mediterranean suitable sites are mainly restricted to three possible areas: the Blue Coast  
72 (southern France coast), the strait of Sicily (between Sicily and Tunisia) and the Aegean Greek  
73 islands. In recent years, the potential marine environmental impacts of renewable energy devices  
74 have been reported in different studies (Margheritini et al. 2012; Bailey et al., 2014; Riefolo et al.,  
75 2016).

76 In the EEA assessment of the onshore and offshore wind energy potential of the European seas  
77 (EEA, 2009), it is shown that the offshore wind energy potential, between 10 and 30 kilometres from  
78 the coast, is concentrated in the Baltic, the North Sea (including the English Channel) and the  
79 Mediterranean, respectively accounting for 29 %, 25 % and 20 % of the 2030 projected total offshore  
80 wind potential (7,100 TWh). However, some offshore areas at this distance class have sea depths

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81 greater than 50 metres that are not so much suitable for wind energy development. The same report  
82 states that at 30 to 50 kilometres from the coast, the Baltic, the North Sea (including the English  
83 Channel) and the Mediterranean sea respectively account instead for 30 %, 30 % and 20 % of total  
84 wind potential, that is estimated as 3,300 TWh in 2030. As far as wave energy is concerned, the  
85 closed basins, such as the Mediterranean, the Black and the Baltic Sea, are characterised by low wave  
86 power density values ( $< 5 \text{ kW/m}$ ), due to the short fetching that does not let long period waves to be  
87 created (Kalogeri et al., 2017). In the Mediterranean sea, there are regions where the both wind and  
88 wave energy present low, but not negligible average values. Favourable areas for combined  
89 exploitation are in fact located in the Gulf of Lions, in the Sicily Straits (Central Mediterranean), off  
90 the coasts of Sardinia, off the NE coasts of the Balearic Islands (NW Mediterranean) and in specific  
91 sites in the Aegean Sea. The same authors indicated the Gulf of Lions (NW Mediterranean) and the  
92 Aegean Sea (NE Mediterranean) as ideal areas for wind power exploitation having wind power  
93 potential comparable to the most energetic northern sea areas, included the Baltic Sea (mean wind  
94 power potential  $\sim 500\text{-}800 \text{ W/m}^2$ ).

95 It is worthwhile to stress the fact that any ocean energy development is likely to result in further  
96 transformation of the selected sites, already affected by other pressures. The Mediterranean Sea is  
97 known to be one of the world's most impacted marine environments (Micheli et al., 2013; Stock and  
98 Micheli, 2016). In this perspective, both the possible combination of different renewable  
99 technologies, and their potential impact on the environment, should be considered in the context of  
100 the existing pressures through a Marine Spatial Planning (MSP) approach (Backer 2011; Azzellino et  
101 al. 2013b; Douvere and Ehler, 2008; Ehler and Douvere 2009; Jay 2010).

102 Focal point of this planning process is the analysis of the spatial data of the different vulnerabilities,  
103 the assessment of levels of vulnerability occurring in the area of interest and the quantification of the  
104 cumulative impacts affecting the area (Douvere and Ehler, 2008; Ehler and Douvere 2009). The  
105 combination of vulnerability and cumulative impact can be used as a decision support tool to identify  
106 areas where ecosystem vulnerability and cumulative impact levels meet the objective of maintaining  
107 healthy ecosystems or where they are mismatched. The early prediction of the areas of potential  
108 conflicts creates the ground for mitigation actions or early negotiations between stakeholders. The  
109 exchange between decision makers, stakeholders, experts allow an integrated management of sea  
110 uses in the perspective of an optimized spatial decision support systems.

111 In this study the opportunity of co-locating offshore wind turbines and wave energy converters in the  
112 central Mediterranean area is analysed and their environmental sustainability is evaluated through a  
113 quantitative Marine Spatial Planning approach.

114

## **115 2 Materials and methods**

### **116 2.1 Study area**

117 The area considered in this study encompasses the waters around Italy in particular the Adriatic Sea,  
118 Ligurian Sea, Tyrrhenian Sea, and partially the Ionian, Sardinia Sea, as well as the northern part of  
119 the Strait of Sicily, from 36 to 46 degrees of Latitude and 6 to 20 degrees of Longitude (see Figure  
120 1).

121

FIGURE 1

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## 122 2.2 Data gathering and preparation

123 An analysis grid of 425 cells of 60 x 50 kilometres size was created (Figure 2) and data about wind  
124 and wave meteo climatic conditions, bathymetry and a set of vulnerability indicators and human  
125 pressures were gridded and used for the purpose of the spatial analysis. Bathymetry data were  
126 obtained through the GEBCO (General Bathymetric Chart of the Oceans) One minute Digital Atlas.

127 Wind and wave data have been extracted from the database ECMWF ERA-Interim Data Set  
128 (<http://www.ecmwf.int/en/research/climate-reanalysis/era-interim>). Data, available for 164 stations  
129 (Figure 2) covering a 10-year time series from 2005 to 2014 were considered. Wind data were  
130 available every 3 hours while wave data every 6 hours, so the latter was assumed as reference unit for  
131 the study. Data used for this study were: horizontal and vertical components of wind speed at 10 m,  
132 mean wave direction, mean wave period, significant wave height.

133 **FIGURE 2**

134 The following set of vulnerabilities were used for the analysis:

- 135 - Marine Protected Areas presence;
- 136 - Posidonia beds;
- 137 - Cymodocea beds;
- 138 - Mediterranean coralligenous communities.

139 Marine Protected Areas (MPA) presence was considered based on the dataset available from the  
140 World Database on Protected Areas (WDPA, <https://protectedplanet.net/>) (UNEP, 2016).

141 Posidonia and Cymodocea beds as well as Mediterranean coralligenous communities have been  
142 considered among the vulnerable seabed habitats. These data, updated in September 2016, were  
143 extracted from the European Marine Observation Data Network (EMODnet) Seabed Habitats project  
144 (<http://www.emodnet-seabedhabitats.eu/access-data/download-data/>). Seabed habitats have been  
145 derived from EUSeaMap which provides polygons based on individual survey habitat classified  
146 according to the European Nature Information System (EUNIS).

147 As far as human pressure indicators were concerned, data on human activities at sea were extracted  
148 from the EMODnet data portal (<http://www.emodnet.eu/> updated to 2017) which includes a  
149 substantial amount of regionally compiled and freely downloadable geo-referenced data related to  
150 different aspects of human impacts (<http://www.emodnet.eu/human-activities>). Data in the following  
151 set of human activities was obtained from the EMODnet geoportal:

- 152 - Main ports;
- 153 - Mariculture activities (finfish and shellfish farms at sea);
- 154 - Submarine cables routes;
- 155 - Dredge spoils dumping;
- 156 - Dredging;
- 157 - Hydrocarbon extraction (Active Licences);
- 158 - Boreholes Crude oil and Natural gas (Active);
- 159 - Oil and gas offshore installation (Operational and Closed down);
- 160 - Ocean Energy projects (wave, tidal, salinity gradient, wave/wind);
- 161 - Windfarms projects (Planned and Authorized).

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162 In addition, data on naval traffic was derived from the results of PASTA-MARE project  
163 (<https://webgate.ec.europa.eu/maritimeforum/en/node/1603>) which processed AIS (Automatic  
164 Identification of Ships) data and provide estimates of maritime traffic density.

165 Figure 3 and 4 show the maps of vulnerabilities (i.e. MPA and habitats) and pressures (i.e. human  
166 activities) used in this study.

167 FIGURE 3

168

169 FIGURE 4

170

### 171 2.3 Statistical methods

172 The correlation between wind and wave parameters at the different locations was investigated by  
173 means of the Pearson's correlation coefficient:

$$174 \quad r = \frac{1}{N} \sum_{k=1}^N \frac{[x(k) - \mu_x][y(k) - \mu_y]}{\sigma_x \sigma_y}$$

175

176 where  $\mu_x$ ,  $\mu_y$ ,  $\sigma_x$ ,  $\sigma_y$  are the mean and the standard deviation of the variables  $x$  and  $y$ , of  $k$   
177 observations and  $N$  is the total sample size.

178 In order to reduce the dimensionality of the meteo-climatic dataset, Principal Component (PCA) and  
179 Factor (FA) and Cluster Analyses (CA) (Afifi and Clark, 1996) have been used. Particularly, PCA  
180 and FA were chosen to reduce the dimensionality of the wind and wave statistics. PCA extracted the  
181 eigenvalues and eigenvectors from the covariance matrix of the original variances. A Varimax  
182 rotation criterion allowing to reduce the contribution of the less significant parameters within each  
183 principal component, and rotating the axes defined by the preliminary PCA extraction. The Varimax  
184 rotation maintains the axes orthogonality condition. The number of factors to retain was chosen on  
185 the basis of the "eigenvalue higher than 1" criterion (i.e. all the factors that explained less than the  
186 variance of one of the original variables were discarded).

187 Cluster Analysis (CA), both hierarchical (HCA) and the not hierarchical K-means (Afifi and Clark,  
188 1996), were used to analyse the similarities of meteo-climatic data groups. The Euclidean Distance  
189 was chosen as distance measure:

$$190 \quad d_2(x_i, x_j) = \sqrt{\sum_{k=1}^q (x_{ik} - x_{jk})^2}$$

191 K-means was used when the data set was constituted by several thousands of records (i.e. time  
192 resolution year-month across the decade) whereas HCA was preferred when the data set accounted  
193 only some hundreds of records (i.e. time resolution: decade). When the hierarchical procedure was  
194 run, the Ward linkage method was selected as agglomeration criterion. K-means CA, on the other  
195 hand, was run three times: the final cluster centroids of the solution obtained after the second run

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196 were in fact used as initial centres in the third run. Only the third run results are showed in the  
197 present study.

198

## 199 3 Results and Discussion

### 200 3.1 Wind and Wave conditions

201 The main descriptive statistics of wind speed  $v_w$ , mean wave direction, mean wave period  $T_z$  and  
202 significant wave height  $H_s$  have been calculated (see Table 1) and their temporal variability has been  
203 also investigated. It can be observed in Figure 4 that data in the study area are characterized by a  
204 certain degree of inter-annual (Figure 5a) and seasonal (Figure 5b) variability in terms of wind and  
205 wave patterns.

206

207

FIGURE 5

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**Table 1.** Main Statistics of the wind and wave parameters

		$v_w$ (m/s)	Wave Direction (°)	$T_z$ (s)	$H_s$ (m)
N	Valid	28800	17496	17496	17496
Mean		4.0329	214.3284	4.8587	0.8696
Median		3.7351	221.8300	4.9004	0.8019
Std. Deviation		1.73520	50.92970	0.88049	0.41124
Minimum		0.74	2.65	2.30	0.15
Maximum		9.73	357.31	7.88	2.36
Percentiles	25	2.5534	181.8035	4.2962	0.5619
	50	3.7351	221.8300	4.9004	0.8019
	75	5.2447	251.3968	5.4599	1.1227

212

213 The correlations among parameters and their correlation with time (month and year) were  
214 investigated. As expected, mean wave period and significant wave height were found correlated to  
215 each other and both correlated with the wind speed (Table 2).

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221 **Table 2.** Correlations Matrix of wind speed (m/s), mean wave direction (°), wave period  $T_z$  (s) and significant  
222 wave height,  $H_s$  (m), month and year. Higher correlations are highlighted in bold.

		Wind speed	Wave Direction	$T_z$	$H_s$	month	year
Wind speed, $v_w$	Pearson Corr.	1					
	Sig. (2-tailed)						
	N	28800					
Wave Direction (°)	Pearson Corr.	0.138**	1				
	Sig. (2-tailed)	0.000					
	N	15552	17496				
Wave Period, $T_z$ (s)	Pearson Corr.	<b>0.661**</b>	0.243**	1			
	Sig. (2-tailed)	<b>0.000</b>	.000				
	N	15552	17496	17496			
$H_s$ (m)	Pearson Corr.	<b>0.862**</b>	0.218**	<b>0.889**</b>	1		
	Sig. (2-tailed)	<b>0.000</b>	0.000	<b>0.000</b>			
	N	15552	17496	17496	17496		
Month	Pearson Corr.	-0.092**	-0.036**	-0.103**	-0.101**	1	
	Sig. (2-tailed)	0.000	0.000	0.000	0.000		
	N	28200	17172	17172	17172	31800	
Year	Pearson Corr.	0.001	0.068**	-0.102**	-0.038**	-0.051**	1
	Sig. (2-tailed)	0.813	0.000	0.000	0.000	0.000	
	N	28800	17496	17496	17496	31800	32400

\*\* . Correlation is significant at the 0.01 level (2-tailed).

223

224 For the purpose of the combined exploitation of offshore wind and wave energy, the most favourable  
225 conditions occur when wind and wave temporal patterns are less correlated. Therefore, in order to  
226 identify cases where the variability of the produced wind and wave power would be reduced, the  
227 different meteo-climatic conditions were analysed by using a PCA/FA and then classified by means  
228 of K-means CA and HCA.

229

### 230 3.1.1 Classification of the meteo-climatic conditions

231 PCA/FA was applied to the horizontal (U) and vertical (V) wind components,  $v_w$ , wave direction,  $T_z$   
232 and  $H_s$ . The resulting three components explains 89.9% of the original variance. The first component  
233 explain the 44.3% of the whole variance, while 28.6% and 17% of the variance is explained  
234 respectively by the second and the third component. The factor loadings of the PCA/FA solution are  
235 shown in Table 3. The factor selection was evaluated on the basis of the scree plot (see Figure 6).

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241 **Table 3.** Factor loadings of the PCA solutions. Higher correlations are highlighted in bold.

	Component		
	1	2	3
Wind Horizontal component at 10m (U, m/s)	0.355	<b>0.855</b>	-0.038
Wind Vertical component at 10m (V, m/s)	-0.018	-0.123	<b>0.989</b>
Wind speed ( $v_w$ , m/s)	<b>0.905</b>	0.084	-0.098
Mean Wave Direction ( $^\circ$ )	0.044	<b>0.940</b>	-0.131
Mean Wave Period ( $T_z$ , s)	<b>0.881</b>	0.213	0.105
Mean Significant Wave Height ( $H_s$ , m)	<b>0.966</b>	0.184	-0.042

Extraction Method: Principal Component Analysis. Varimax rotation.

242

243 It can be observed that the first component accounts for the  $v_w$ ,  $H_s$  and  $T_z$  and, consequently, it is the  
 244 component that should be minimized to find wind and wave uncorrelated pattern. The second  
 245 component accounts for wave direction and wind horizontal component and the third component  
 246 accounts only for the wind vertical component.

247 A K-means CA was then applied to the factor scores obtained by the PCA/FA extraction at the time  
 248 scale of year-month (e.g. 2008-1, 2009-4 etc.).

249 A five K-means clusters solution was chosen, where K-means cluster 1 and 2 show the most  
 250 favourable meteo-climatic conditions for both wind and wave energy (see Figure 7):

- 251 • *K-means cluster 1*: shows  $v_w$ ,  $T_z$ ,  $H_s$ , wave direction and U wind component above the  
 252 average and V wind component below the average;
- 253 • *K-means cluster 2*: shows all wind and wave characteristics highly above the average;
- 254 • *K-means cluster 3*: shows wave direction, U and V components below the average and  $v_w$ ,  $T_z$   
 255 and  $H_s$  slightly above the average;
- 256 • *K-means cluster 4*: shows  $v_w$ ,  $T_z$ ,  $H_s$  and V wind component well below the average while  
 257 wave direction and U wind component are above the average;
- 258 • *K-means cluster 5*: shows  $v_w$ ,  $T_z$ ,  $H_s$ , wave direction and U wind component below the  
 259 average but V wind component above the average.

260

261

FIGURE 7

262 In Table 4 the different meteo-climatic characteristics of the five k-means clusters solution are  
 263 summarized.

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**Table 4.** Summary of the descriptive statistics of meteo-climatic parameters in the five selected clusters.

Cluster Number		$v_w$ (m/s)	Wave Direction (°)	$T_z$ (s)	$H_s$ (m)
1	Mean	7.0189	244.1954	5.8513	1.4657
	Median	7.0236	245.9893	5.8261	1.4463
	Std. Deviation	0.97742	28.05989	0.53714	0.32199
	Minimum	3.53	151.28	4.13	0.48
	Maximum	9.72	327.53	7.82	2.36
	N	1791	1791	1791	1791
2	Mean	6.3096	236.0212	5.6843	1.2705
	Median	6.3126	236.5948	5.6279	1.2378
	Std. Deviation	1.14373	27.27497	0.47947	0.27122
	Minimum	2.35	113.86	4.39	0.49
	Maximum	9.19	323.53	7.88	2.13
	N	3143	3143	3143	3143
3	Mean	4.9634	151.2357	4.5885	0.7762
	Median	4.9505	152.7164	4.7436	0.7866
	Std. Deviation	1.14066	38.48107	0.80547	0.29004
	Minimum	1.20	2.65	2.30	0.16
	Maximum	9.73	245.43	7.11	2.03
	N	2807	2807	2807	2807
4	Mean	4.0967	256.2834	4.3092	0.5956
	Median	4.1109	255.7535	4.3721	0.5944
	Std. Deviation	0.86030	30.17478	0.62188	0.20302
	Minimum	1.23	147.67	2.59	0.15
	Maximum	6.71	357.31	6.38	1.35
	N	4083	4083	4083	4083
5	Mean	4.1508	188.4616	4.5601	0.6397
	Median	4.1976	191.6520	4.6464	0.6435
	Std. Deviation	1.13312	35.29018	0.69925	0.22378
	Minimum	1.21	23.50	2.46	0.15
	Maximum	8.09	311.69	7.08	1.88
	N	3728	3728	3728	3728
Total	Mean	5.0498	215.5785	4.8753	0.8754
	Median	4.9388	223.1816	4.9112	0.8060
	Std. Deviation	1.52051	50.82291	0.88329	0.41488
	Minimum	1.20	2.65	2.30	0.15
	Maximum	9.73	357.31	7.88	2.36
	N	15552	15552	15552	15552

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273

274 It is interesting to compare the correlations between the wind and wave parameters obtained pooling  
 275 all the data set (reported in Table 2) with the ones (shown in Table 5) obtained after splitting the  
 276 dataset into the described meteo-climatic clusters. The clusters showing the lowest correlation  
 277 between wind speed, wave period and significant wave heights are the K-means cluster 4 and 5 that  
 278 refer the meteo-climatic conditions that should be dominant to maximize the advantage to combine  
 279 wind and wave.

280

281 **Table 5.** Correlation analysis between wind and wave. Data splitted into the five meteo-climatic clusters.

Cluster Number of Case	$v_w$ (m/s)	Wave Direction (°)	$T_z$ (s)	$H_s$ (m)
1	Wind speed $v_w$ (m/s)	1	0.137**	0.560**
	Wave Direction (°)	0.137**	1	0.307**
	Wave Period $T_z$ (s)	0.560**	0.307**	1
	Significant Wave Height $H_s$ (m)	0.793**	0.274**	0.890**
2	Wind speed $v_w$ (m/s)	1	0.229**	0.718**
	Wave Direction (°)	-0.144**	1	0.355**
	Wave Period $T_z$ (s)	0.229**	0.355**	1
	Significant Wave Height $H_s$ (m)	0.718**	0.132**	0.747**
3	Wind speed $v_w$ (m/s)	1	0.498**	0.704**
	Wave Direction (°)	0.196**	1	0.425**
	Wave Period $T_z$ (s)	0.498**	0.425**	1
	Significant Wave Height $H_s$ (m)	0.704**	0.313**	0.877**
4	Wind speed $v_w$ (m/s)	1	0.133**	0.376**
	Wave Direction (°)	0.133**	1	0.063**
	Wave Period $T_z$ (s)	0.376**	0.063**	1
	Significant Wave Height $H_s$ (m)	0.694**	0.130**	0.842**
5	Wind speed $v_w$ (m/s)	1	0.269**	0.644**
	Wave Direction (°)	0.037*	1	0.215**
	Wave Period $T_z$ (s)	0.269**	0.215**	1
	Significant Wave Height $H_s$ (m)	0.644**	0.106**	0.747**

\*\* . Correlation is significant at the 0.01 level (2-tailed).

\* . Correlation is significant at the 0.05 level (2-tailed).

282 To highlight the areas where the most favourable meteo-climatic conditions are dominant, a new  
 283 cluster analysis was performed aggregating the derived K-means clusters values by station over the  
 284 whole 10-year series. The aggregation allowed to reduce the dataset from several thousands of  
 285 records to a hundred and to run a second cluster analysis by using a hierarchical approach (HCA)  
 286 with the Ward method to classify the station meteo-climatic dominant conditions.

287 Figure 8 shows the characteristics of this new six clusters solution: for the purpose of this study, the  
 288 most interesting clusters are 1 and 4 and 5 which include the stations where the K-means cluster 4

## **Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach**

289 and K-means cluster 5 (i.e. the ones showing the most favourable meteo-climatic conditions  
290 according to the K-means CA results over the time scale of the year-month) are dominant.

291

292

FIGURE 8

293

294 Finally, HCA clusters 1, 4 and 5 were mapped in order to identify stations showing the most  
295 favourable meteo-climatic conditions in terms of wind and wave energy availability (Figure 9).

296

297

FIGURE 9

298

### **299 3.2 Spatial analysis of vulnerabilities and human pressure**

300 Due to the high complexity and the regional scale involved, the environmental background of the  
301 central Mediterranean Sea area, was considered through a set of multiple indicators, both of  
302 environmental vulnerability, and anthropic pressures. A matrix of 12 indicators of anthropogenic  
303 pressures and 4 indicators of environmental vulnerability was created for each of the 425 grid units.

304 For each indicator and every grid cell, two new variables have been calculated: the cell's  
305 presence/absence (1/0) and the frequency of occurrence (i.e. as the number of vulnerability elements  
306 or human activities per cell unit). Then, Vulnerability and Pressure Indexes were created of the kind  
307 presented by Azzellino and colleagues (2013b).

308 A Vulnerability Index (hereinafter VI) was defined for each grid cell by summing the presence of  
309 Marine Protected Areas, Posidonia and Cymodocea beds and the Mediterranean coralligenous  
310 communities. In this way, five classes of Vulnerability (from 1 to 5) were obtained and mapped  
311 (Figure 10).

312

FIGURE 10

313 Only 42% of the grid analysis cells had values higher than 1. The extension of the study area size and  
314 the existing data availability gaps both contribute to determine such condition. Grid cells falling in  
315 the lowest vulnerability classes (i.e. class 1 and 2) represent the 22 % of the total, reflecting the  
316 presence of MPA in offshore waters. The rest 19% of the grid cells are mostly concentrated in coastal  
317 areas and present the higher vulnerability classes (i.e. class > 2) due to the concurrent presence of  
318 protected areas, seagrass beds and coralligenous habitats (Figure 11).

319

320

FIGURE 11

321 So, in order to create a Cumulative Pressure Index (hereinafter CPI) avoiding any bias due to the  
322 variability in the unit of measurements, the frequency of the 12 different human pressures was  
323 normalized to 1 and the sum of the different anthropogenic activities within each cell unit was  
324 calculated and obtain a quantitative CPI (see Figure 12).

325

326

FIGURE 12

327

## **Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach**

328 Finally, a cumulative impact index was drawn by multiplying the CPI by the VI. The obtained values  
329 of the Impact Index, specified on a logarithmic scale, were ranked into 4 classes of impact ( $\leq 0.04$   
330 low impact; 0.05-0.33 moderate impact; 0.34-0.61 high impact;  $> 0.62$  very high impact) based on  
331 the distribution of the data. As expected, areas showing the higher score (high and very high impact  
332 classes) are in general coastal areas and mostly concerns the northern Tyrrhenian Sea, the waters  
333 surrounding Sicily and the northern Adriatic Sea. On the other hand, the analysis allowed to identify  
334 sites characterised by a low and moderate potential impact, where future wind-wave energy  
335 installation could be developed such as the central and southern Tyrrhenian sea, the southern Adriatic  
336 sea and the Ionian sea (see Figure 13).

337

338

339

FIGURE 13

### **3.3 Optimal siting of wind-wave energy technology**

341 The optimal locations for future wind-wave energy infrastructures can be identified by overlaying the  
342 areas showing the most favourable meteo-climatic conditions (i.e. stations classified as HCA Clusters  
343 1, 4 and 5) with areas presenting medium and lower values of Impact Index (Figure 13). Based on  
344 this analysis (Figure 14) the optimal sites for future wind-wave energy installations can be identified  
345 for waters ranging between 50 - 350 m of depth (i.e. depth range suitable for floating offshore wind  
346 installations) and they appear mostly located along the Tyrrhenian coast south of Elba island, the  
347 northern-western Sardinian coast offshore Alghero, the southern Tyrrhenian off the Aeolian islands  
348 and along the southern Adriatic and Ionian coastal waters. Although the analysis been conducted at a  
349 coarse spatial scale, and is certainly affected by larger errors in those locations near the coast where  
350 hindcast models reveal their limits, still we believe it will be very useful as support for planning  
351 future wind-wave installations for the early minimization of potential impacts. Finer scale studies  
352 allowing a more accurate characterization of the local meteo-climatic conditions will be needed for  
353 the selection of the optimal wind turbine and wave energy converter combination that will lead to a  
354 less variable power output.

355

356

357

FIGURE 14

358

## **4 Conclusions**

360 The present study highlights areas where a combined technology of wind and wave energy can be  
361 potentially developed in the perspective of energy availability and environmental impact  
362 minimization.

363 It is known that the diversification of wind and wave energies generates benefits in terms of produced  
364 power. The results of this study showed that despite the general strong correlation between wind and  
365 waves, local and temporary conditions of wind –wave weak correlation exist and may be exploited ..  
366 for effective combined production of marine renewable energy. The wind-wave meteo climatic  
367 analysis here presented showed that these conditions occur in the western and southern part of the  
368 study area, in both coastal and offshore deep waters. These results are in partial agreement with the  
369 ORECCA project outcomes that suggest only the Strait of Sicily and the French Blue Coast as

## Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach

370 potential development sites in the Mediterranean Sea area which corresponds to our study. However,  
371 their conclusions are mostly based on QuikSCAT<sup>1</sup> satellite offshore measurements of wind speed and  
372 direction (Furevik et al., 2010) which are known to have limitations. QuikSCAT data in fact make it  
373 possible to draw up homogeneous wind maps of large areas with 0.25° resolution, however  
374 measurements taken from satellites by means of scatterometers do have rather high uncertainties (up  
375 to 2 m/s) especially in closed basins such as the Mediterranean Sea and, even more, the Adriatic Sea  
376 or the Black Sea. So, the fact that our analysis, based on ECMWF data, outlines additional sites of  
377 potential developments, such as the Tyrrhenian coast south of Elba island, the southern Tyrrhenian  
378 off the Aeolian islands and the southern Adriatic and Ionian coastal waters complements and does  
379 not contradict ORECCA results.

380 Optimal water depth for the development of wind turbines ranges from 50- to 350 meters, so, even  
381 though the favourable meteo-climatic conditions appear to be widely available, in some areas (e.g.  
382 waters off Corsica, and Ligurian Sea) these resources cannot be easily exploited due to the  
383 unfavourable conditions, the low feasibility, and the costs outweighing the benefits.

384 The study also demonstrates how quantitative elements of impact and vulnerability could be used to  
385 better coordinate the different uses of marine space, and to address the need for protecting the  
386 common interests from the unsustainable exploitation of finite spatial resources. Vulnerable coastal  
387 habitats (i.e. protected species presence as *Posidonia oceanica*, Delile, 1813) should be considered to  
388 estimate the ecosystem vulnerability within the suitable depth range for offshore wind farms  
389 installations. The used methodological approach allowed to restrict the optimal siting for combined  
390 wind wave energy offshore installations to some areas of potential development: along the  
391 Tyrrhenian coast south of Elba island, the northern-western Sardinian coast off the town of Alghero,  
392 the southern Tyrrhenian Sea off the Aeolian islands and along the southern Adriatic and Ionian  
393 coastal waters, all characterized by a good energy potential and a low Cumulative Impact Index.

394 The cumulative impact indexes developed in this study, although based on a smaller set of human  
395 pressures, appear to be coherent with the cumulative human impact assessment presented by Stock  
396 and Micheli (2016) and Micheli (2013).

397 Environmental impact studies of this kind may feed quantitative spatial planning and support the  
398 selection of the sites of potential interest for co-locating wind and wave energy installations,  
399 providing support for the sustainable development of future wind-wave offshore parks.

400

## 401 **5 Conflict of Interest**

402 *The authors declare that the research was conducted in the absence of any commercial or financial*  
403 *relationships that could be construed as a potential conflict of interest.*

404

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<sup>1</sup> <http://manati.orbit.nesdis.noaa.gov/datasets/QuikSCATData.php/>

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## 406 6 Author Contributions

407 Azzellino and Lanfredi conceived the study, analysed the data and wrote the paper; Riefolo and De  
408 Santis contributed to data analysis and to the revised paper writing; Pasquale Contestabile and Diego  
409 Vicinanza supervised the meteo climatic data assessment, revised the paper draft.

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414 the original manuscript.

415

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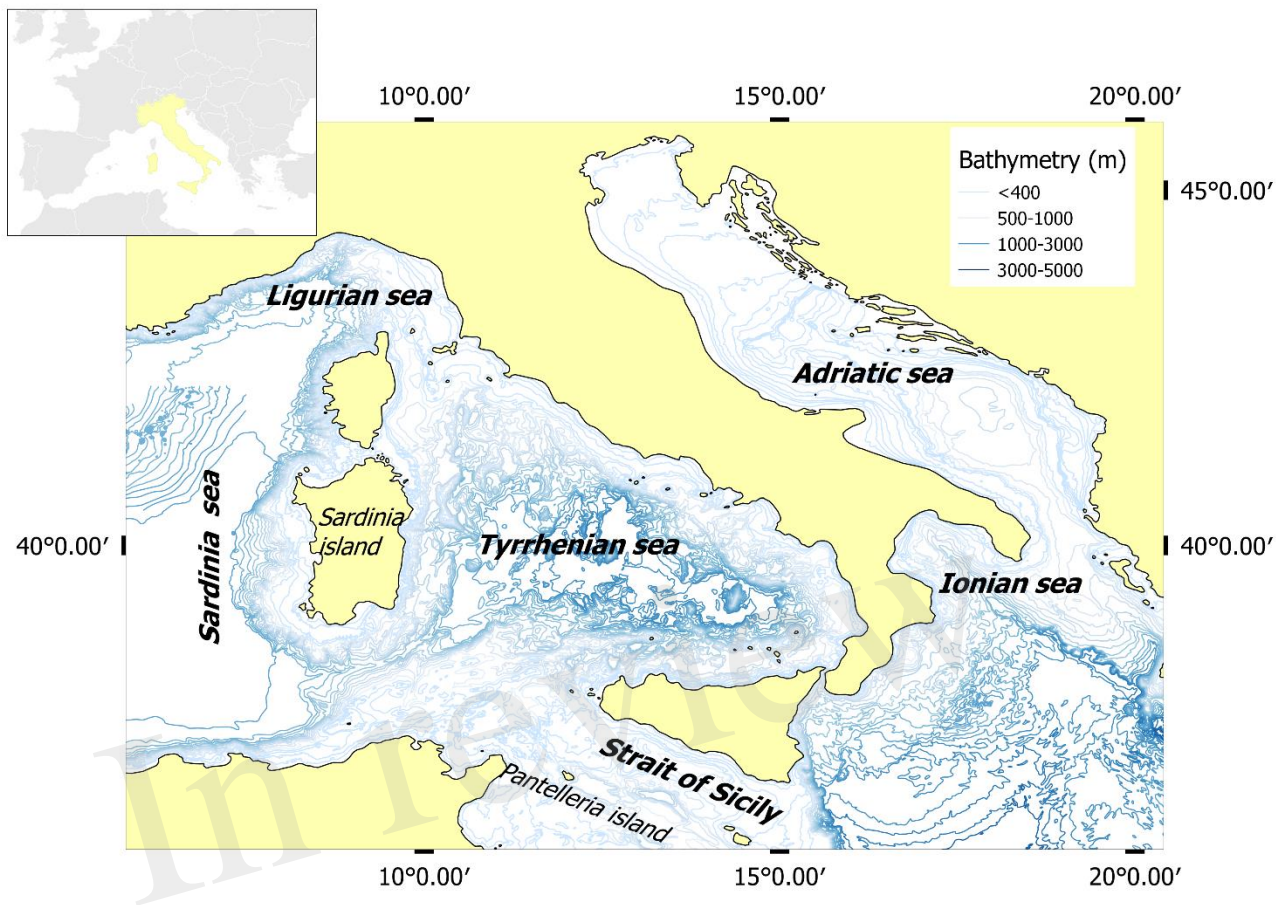
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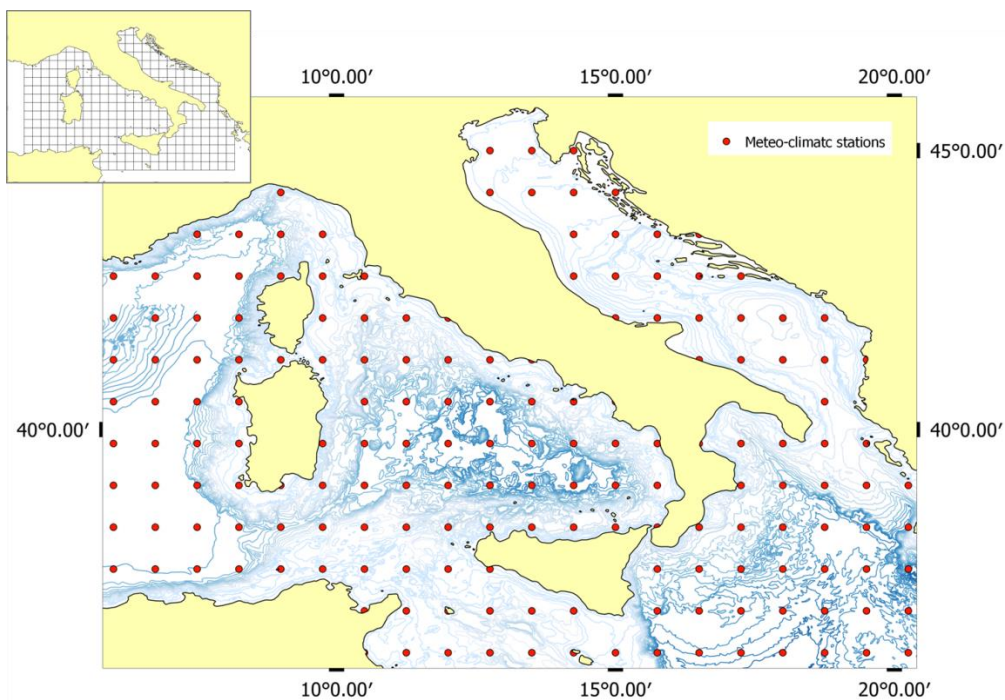
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Figure 1. Map of the study area.

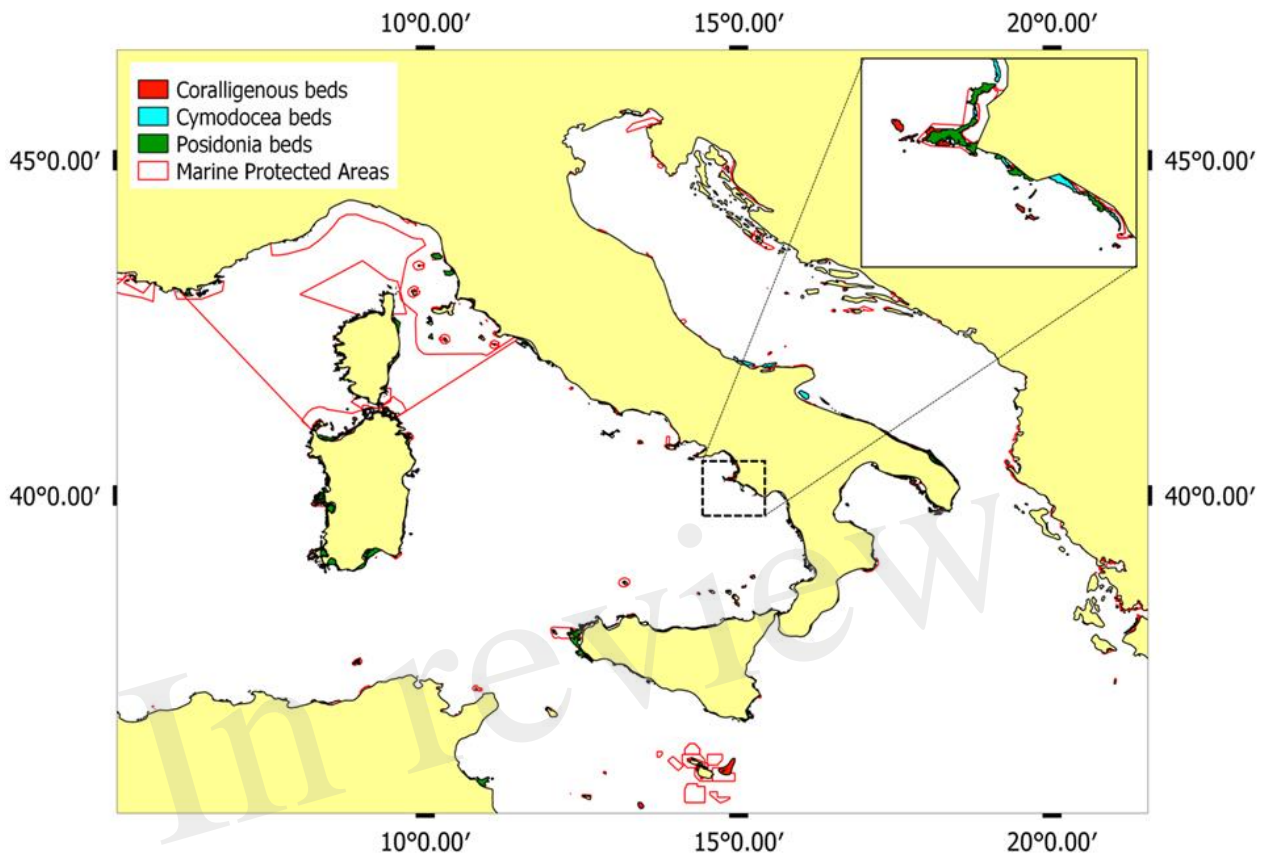


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**Figure 2.** Meteo-climatic stations (n=164). The analysis grid is also shown.



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**Figure 3.** Maps of MPA and Habitats

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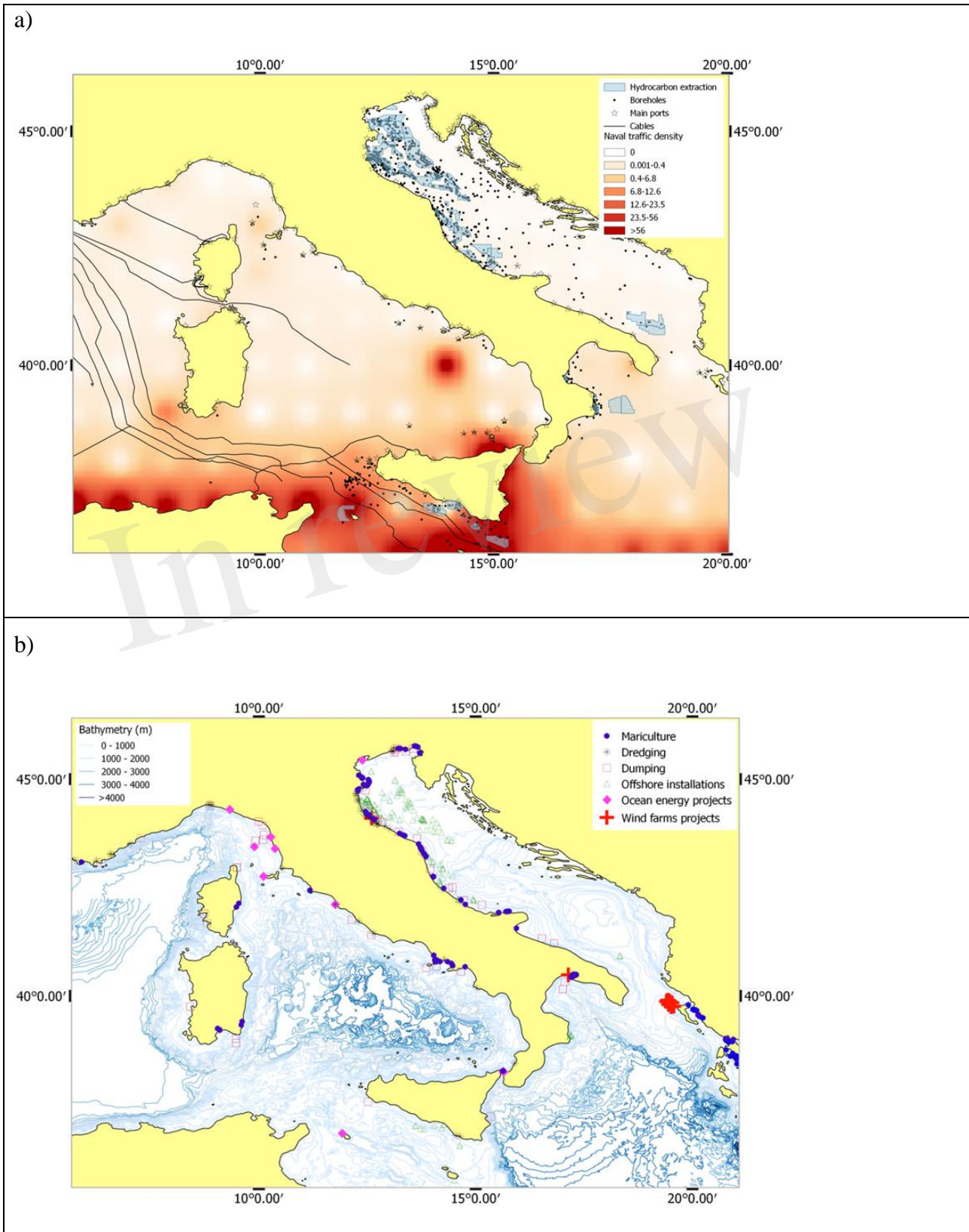
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**Figure 4.** Maps of the pressures: a) hydrocarbon extraction and naval traffic; b) mariculture, dredging and dumping and other activities.

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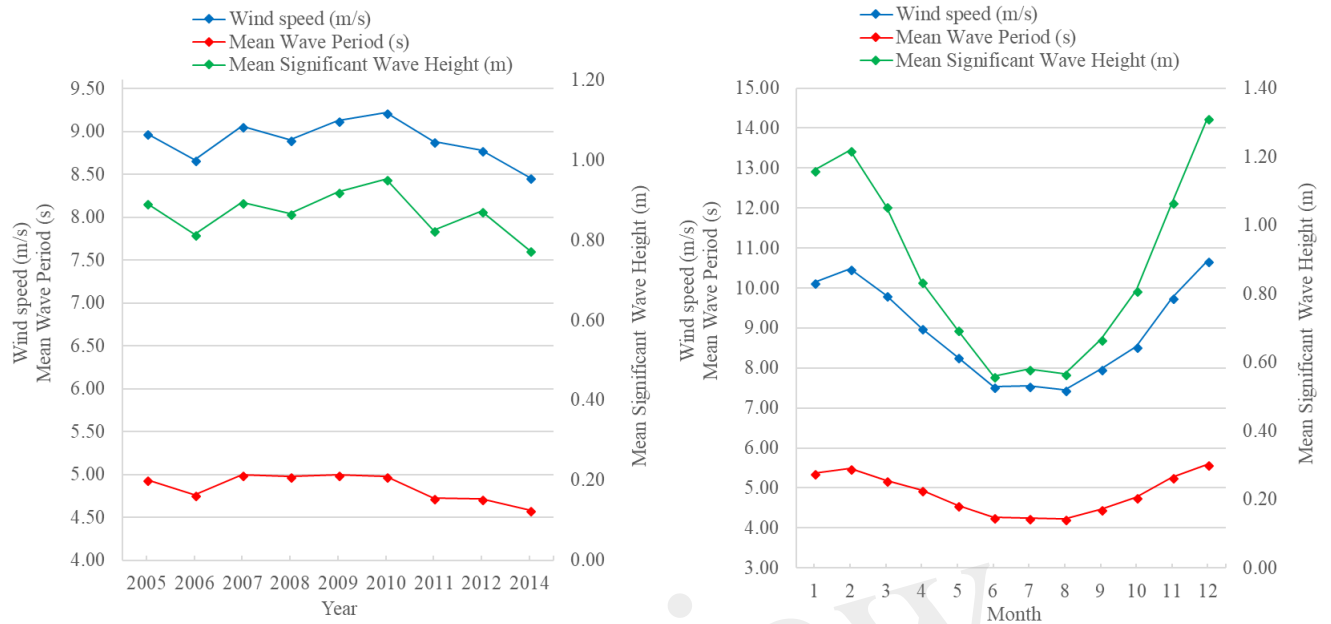


Figure 5. a) Inter-annual and b) monthly variability in wind and wave patterns

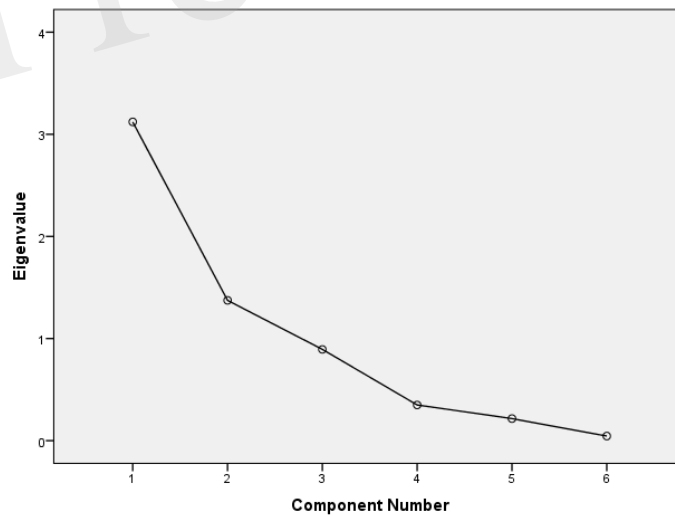
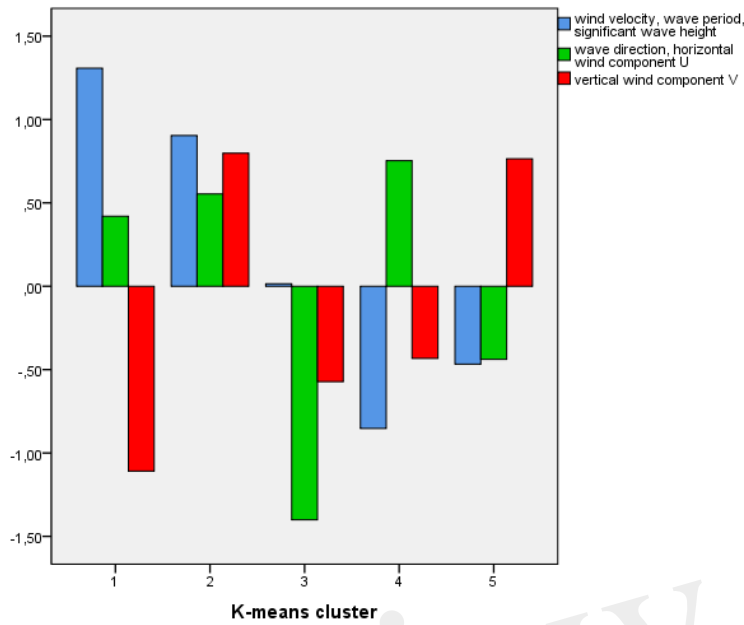


Figure 6. Scree plot showing the extracted components and their corresponding eigenvalues. Three components present eigenvalue higher than 1. Only these were considered in the analysis.

# Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach

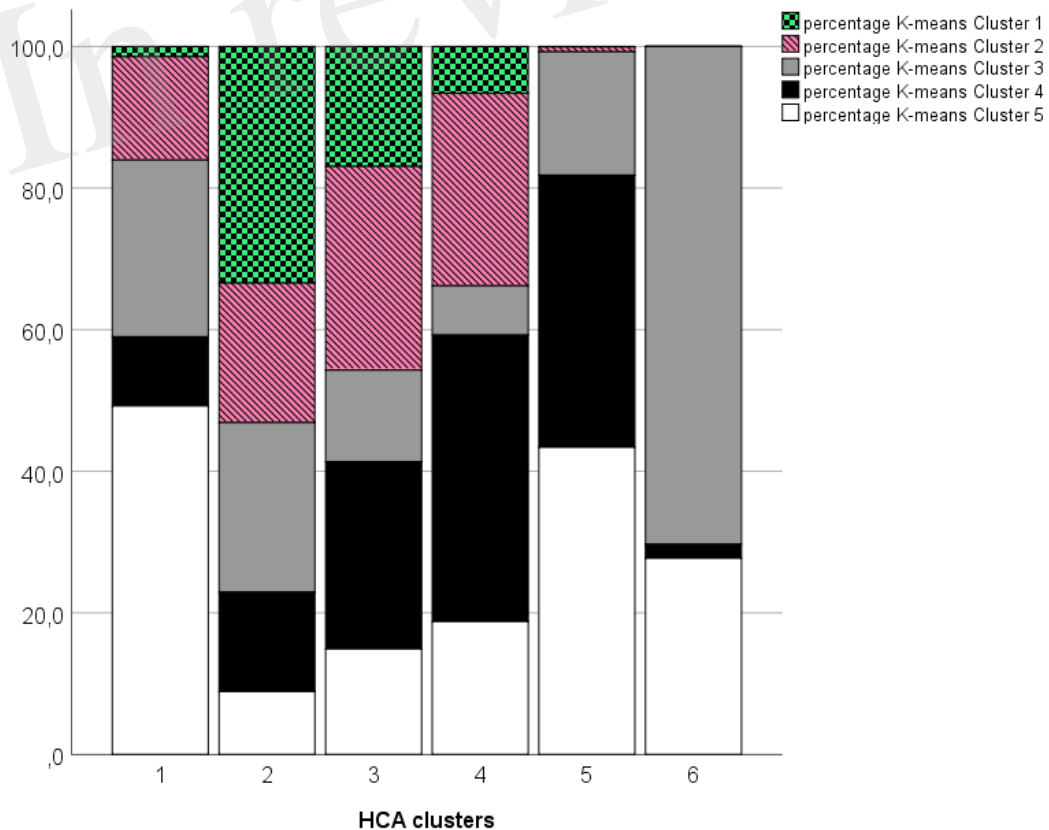


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**Figure 7.** Standardised characteristics of the five K-means clusters. K-means cluster 4 and 5 shows the most favourable meteo-climatic conditions for combined offshore wind and wave energy technologies.



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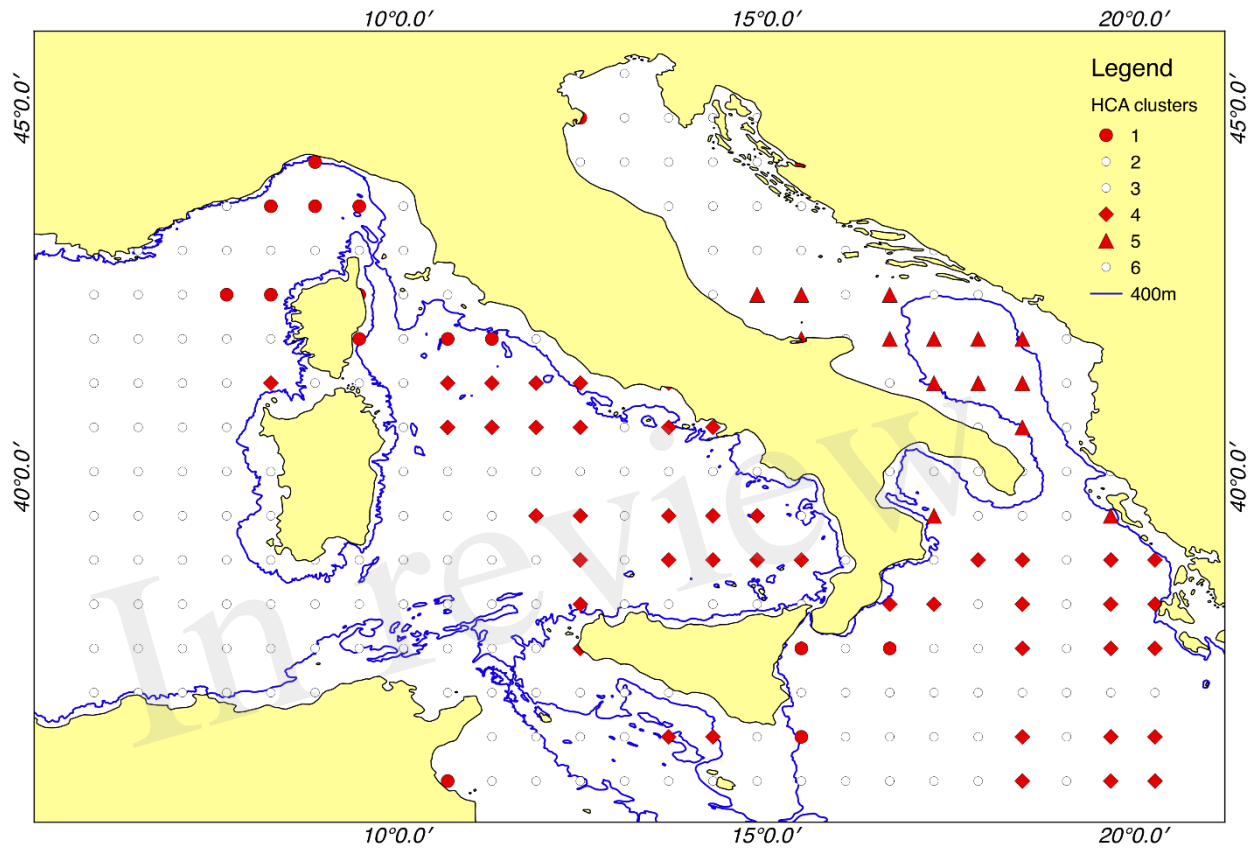
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**Figure 8.** HCA analysis aggregated by stations over the 10-year time series: each bar represents a cluster identified through HCA and colours represent the percentage of K-means Clusters present on each cluster. The HCA clusters of highest interest are 1, 4 and 5 which include the stations where the most favourable meteo-climatic conditions (i.e. K-means cluster 4 and 5) are dominant.

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**Figure 9.** Map of the HCA clusters. The 400m bathymetry is also shown.

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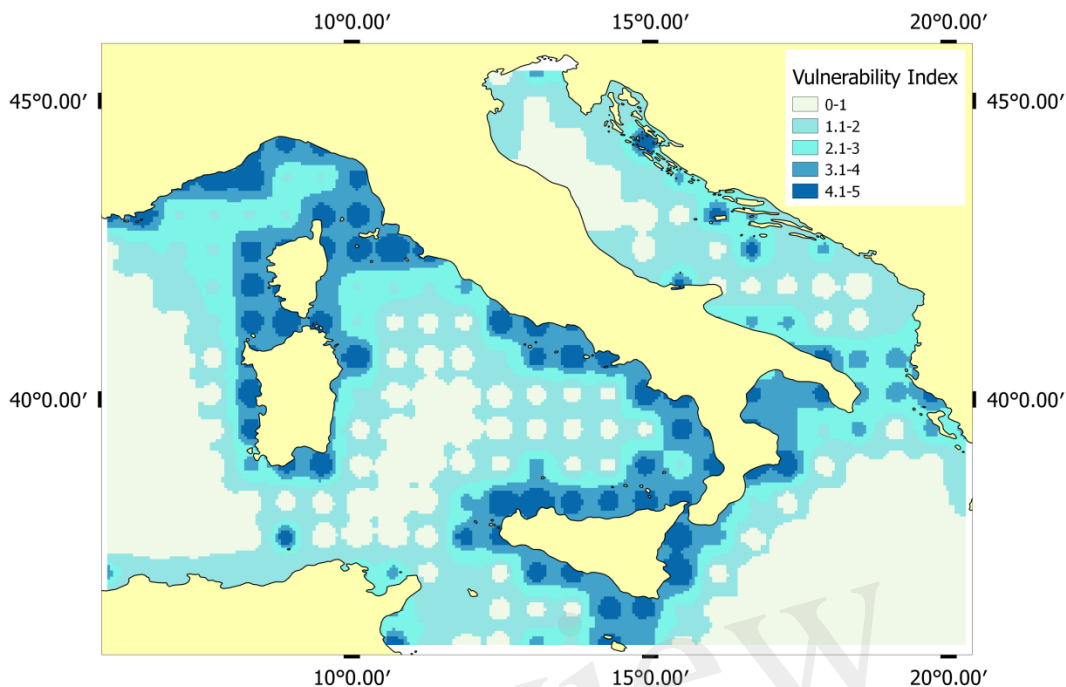
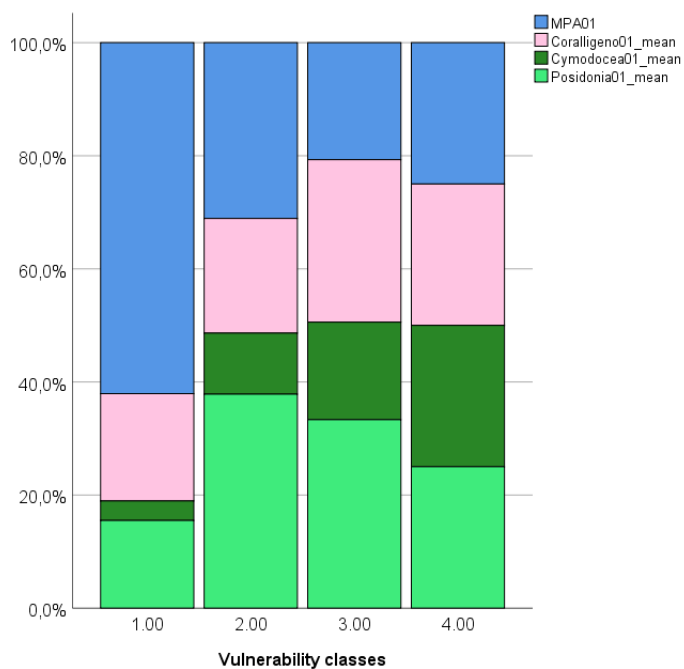


Figure 10. Map of the Vulnerability Index (VI).

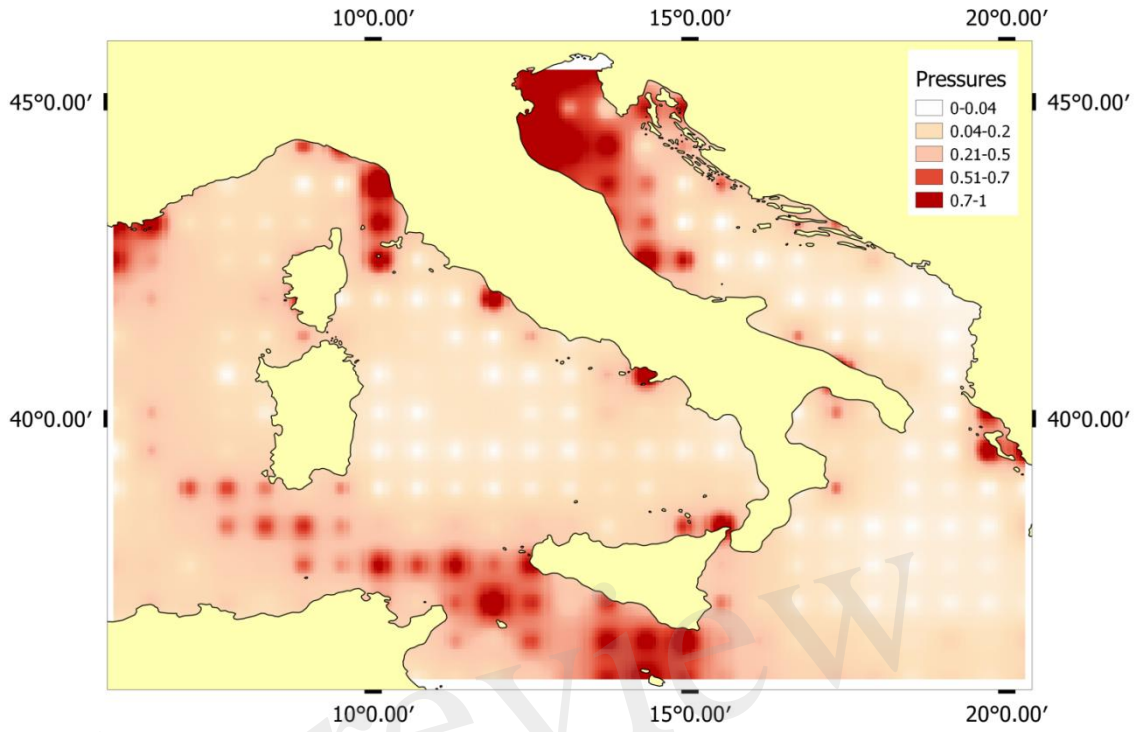
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Figure 11. Vulnerability classes description. Only the 4 classes with vulnerability higher than zero are shown.

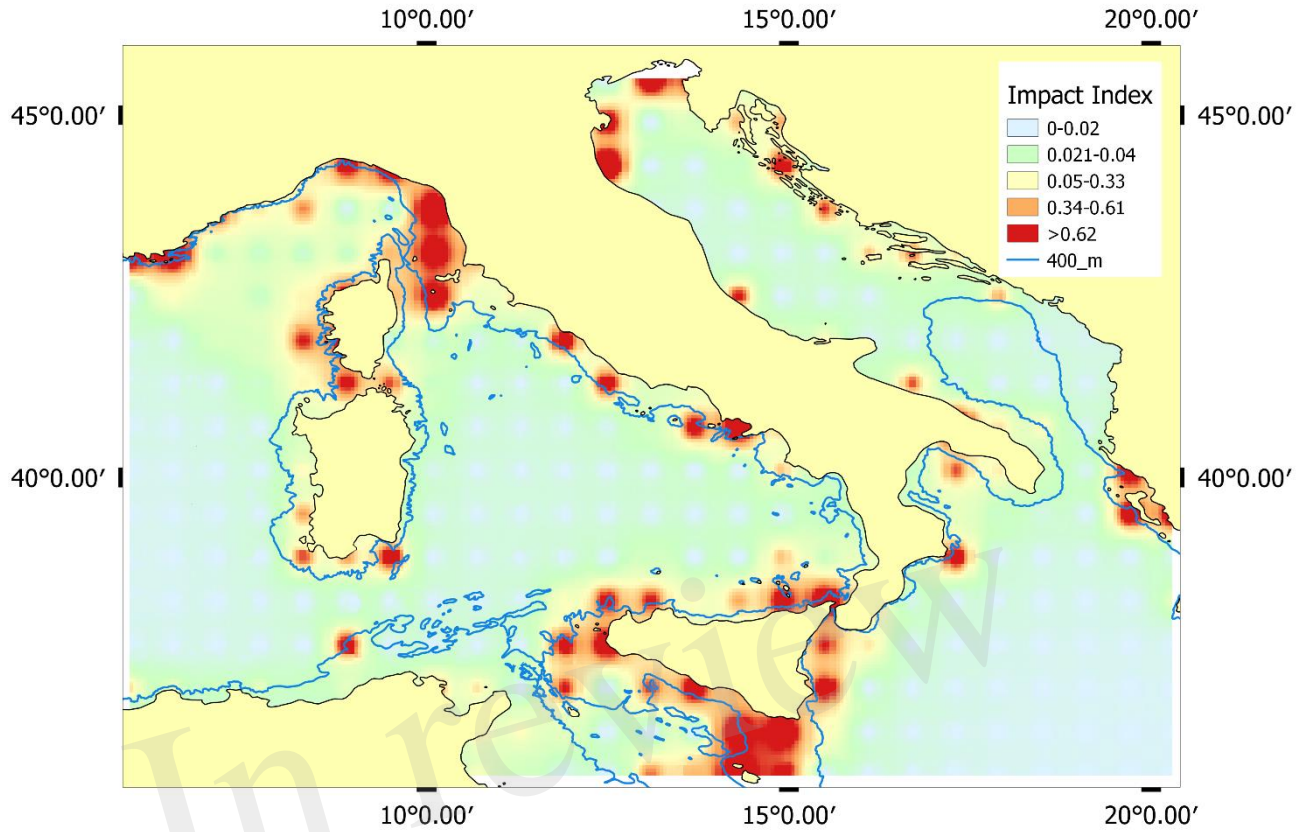
# Combined exploitation of offshore wind and wave energy in the Italian seas: a spatial planning approach



**Figure 12.** Map of the Cumulative Pressure Index (CPI).

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620 **Figure 13.** Map showing the Impact Index, ranked into 4 classes of impact ( $\leq 0.04$  low impact; 0.05-0.33  
621 moderate impact; 0.34-0.61 high impact;  $> 0.62$  very high impact) based on the distribution of the data.

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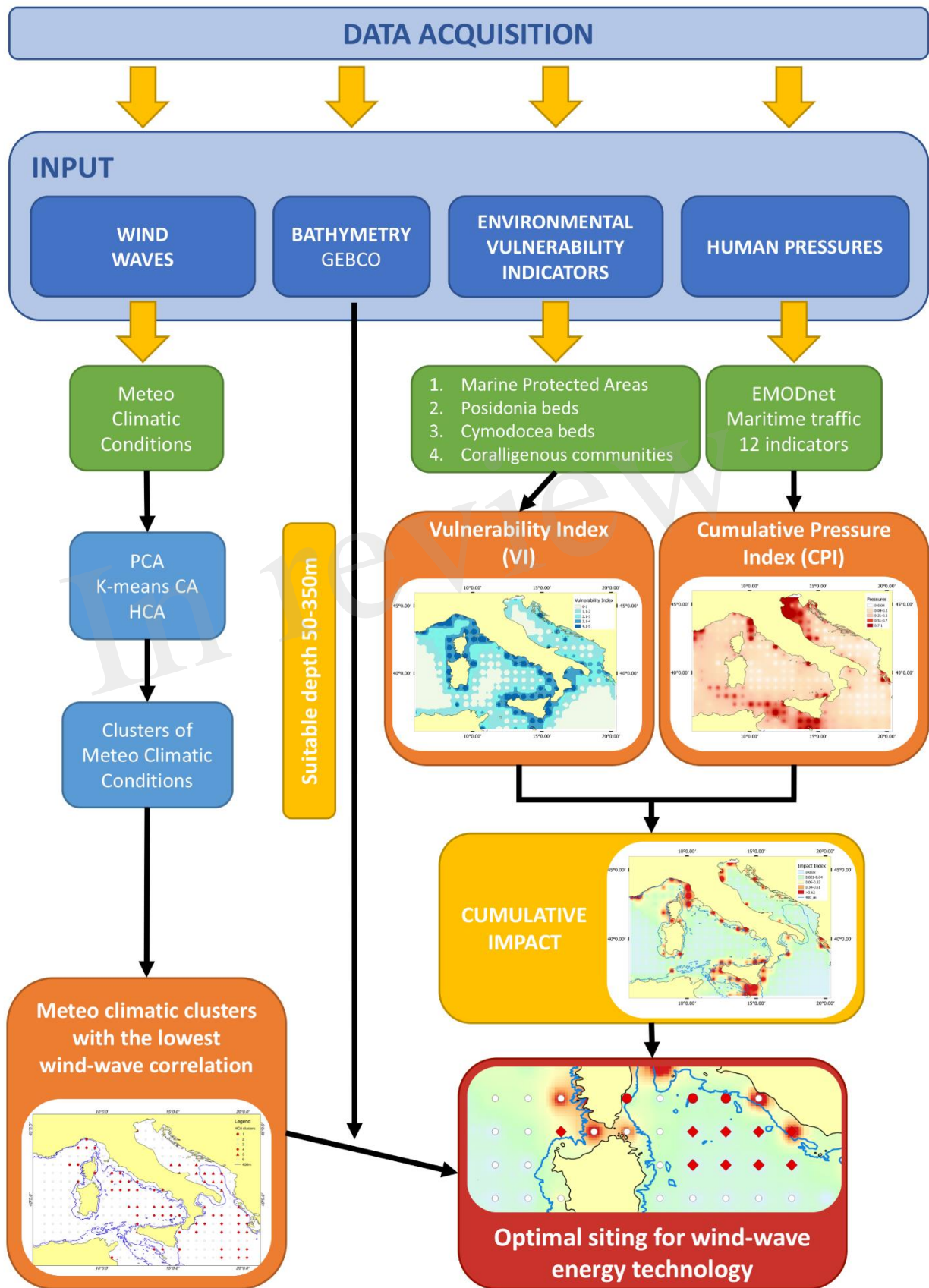
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**Figure 14.** Flow chart showing the optimal siting proposed methodology