

## 1 **TEMPO-Mediated Oxidation of Polysaccharides: An Ongoing Story**

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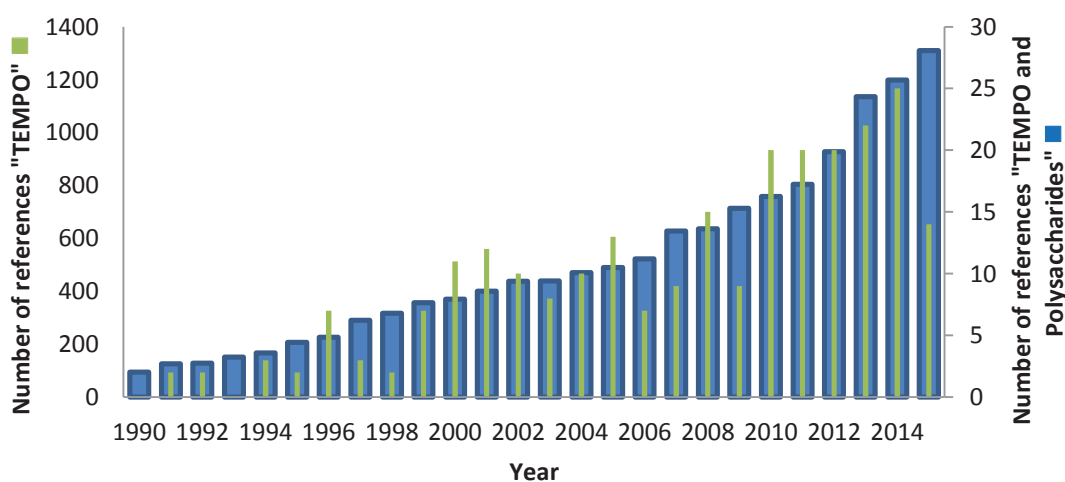
### 15 **Abstract:**

16 The oxidation of natural polysaccharides by TEMPO has become by now an “old chemical  
17 reaction” which led to numerous studies mainly conducted on cellulose. This regioselective  
18 oxidation of primary alcohol groups of neutral polysaccharides has generated a new class of  
19 polyuronides not identified before in nature, even if the discovery of enzymes promoting an  
20 analogous oxidation has been more recently reported. Around the same time, the scientific  
21 community discovered the surprising biological and techno-functional properties of these  
22 anionic macromolecules with a high potential of application in numerous industrial fields. The  
23 objective of this review is to establish the state of the art of TEMPO chemistry applied to  
24 polysaccharide oxidation, its history, the resulting products, their applications and the  
25 associated modifying enzymes.

26 **Keywords:** TEMPO; Selective C-6 oxidation; TEMPO-enzyme systems; Polysaccharides,  
27 Laccase; nanofibers.

28 **1. Introduction**

29 In 1984, Semmelhack et al. wrote «Recent studies have demonstrated the ability of 2,2,6,6-  
30 tetramethylpiperidiny1-1-oxy (TEMPO) to mediate alcohol and amine oxidation by  
31 electrolysis, apparently *via* the nitrosonium ion” (Semmelhack, Schmid, Cortes, & Chou,  
32 1984). Even if this article is not the first dealing with oxidation of alcohols by TEMPO,  
33 Semmelhack et al. (1984) showed that selective oxidation of primary alcohol, in the presence  
34 of secondary ones, was feasible. The oxidation of primary alcohol groups of partially  
35 protected glycosides carbohydrates was then firstly published by Davis et al. (1993). These  
36 authors used TEMPO/hypochlorite/bromide in a dichloromethane/water two-phase system.  
37 This publication is probably at the origin of polysaccharide oxidation by TEMPO, later  
38 reported by de Nooy, Besemer, & van Bekkum (1994; 1995a). De Nooy et al. (1994) showed  
39 that only the hydroxymethyl groups of starch were oxidized, whereas the secondary hydroxyls  
40 remained unconverted. Their studies opened the way to a large number of publications and a  
41 research on science finder scholar in 2016 using “TEMPO” and the combination “TEMPO  
42 and Polysaccharide” found, respectively, 16251 and 277 (including 42 patents) references.  
43 Their evolution between 1990 and 2015 is given in [Figure 1](#).



44 **Figure 1.** Number of references per year between 1990 and 2015 using the key words  
45 “TEMPO” and the combination “TEMPO and Polysaccharides”.  
46

47 TEMPO is a secondary amine nitrogen oxide (*i.e.*, a nitroxyl radical) in which an unpaired  
48 electron is delocalized between the *N* and *O* atoms. This cyclic nitroxyl radical is only one  
49 species in a redox series of compounds (hydroxylamine, nitrosonium ion, TEMPO) generated  
50 by electron transfer. Briefly, during the oxidation of polysaccharides the nitrosonium ion  
51 derived from TEMPO is reduced into hydroxylamine under weakly alkaline conditions. The  
52 nitrosonium ion reacts with the hydroxylamine to regenerate TEMPO and is itself  
53 continuously regenerated in the reaction mixture by a primary oxidant, which is generally  
54 sodium hypochlorite. According to this mechanism, primary alcohol oxidation occurs with a  
55 high degree of selectivity (Bragd, van Bekkum, & Besemer, 2004).

56 The interest of the scientific community and of some companies for new polyuronides is  
57 motivated by their valuable properties (which range from antiflocculation to adhesion,  
58 gelation, thickening, complexation, as well as a high number of biological activities).  
59 However, natural polyuronides are often complex heteropolymers frequently including neutral  
60 sugars and/or **non-carbohydrate** groups in their structures as is the case for alginates, pectic  
61 compounds, glycosaminoglycans, and some polyglucuronic acids (Elboutachfaiti, Delattre,  
62 Petit, & Michaud, 2011a; Lee & Mooney, 2012; Pridz, 2015; Sundar Raj, Rubila, Jayabalan,  
63 & Ranganathan, 2012). Before the development of TEMPO chemistry applied to  
64 polysaccharides, the oxidation of neutral polysaccharides, such as cellulose or starch, was  
65 performed by chemical processes with low efficiency and specificity, based on pioneering  
66 methods using nitrogen **dioxide** ( $\text{N}_2\text{O}_4$ ) or nitrite/nitrate in concentrated phosphoric acid  
67 (Maurer & Reiff, 1943; Painter, 1977; Painter, Cesaro, Delben, & Paoletti, 1985; Yackel &  
68 Kenyon, 1942). Nitrogen dioxide does not exist as a sole molecule but is in equilibrium with  
69 nitrite ( $\text{N}_2\text{O}_4 \leftrightarrow \text{NO}_2$ ). Oxidation of polysaccharides with nitrogen dioxide leads to the  
70 depolymerization of biopolymers as a side reaction. The use of polysaccharides dissolved in  
71 phosphoric acid and oxidized by nitrites/nitrates has limited this depolymerization (Painter,

1977; Painter et al., 1985). Moreover, recent developments of cellulose oxidation with nitrogen dioxide as oxidant in high-pressure CO<sub>2</sub> have also improved and simplified the post-oxidative salt-eliminating procedure after polysaccharide oxidation, even if the technique is not yet entirely satisfactory (Camy, Montanari, Rattaz, Vignon, & Condoret, 2009). It should be noticed that other methods for oxidation of monosaccharides using strong oxidants such as hypochlorite, periodate or nitric acid lead to full oxidation of all hydroxyls groups, including primary and secondary OH's (Bragd et al., 2004). Milder reaction conditions with Pt/C, successfully applied to monosaccharides, have been disappointing when applied to polysaccharides (low oxidation yields) (Aspinall & Nicolson, 1960). In this context, the first oxidations of polysaccharides with TEMPO were very attractive, considering their high selectivity, short times, milder and well controlled reaction conditions. This method was firstly applied to soluble or partially soluble polysaccharides like amyloextrin, alternan, pullulan, inulin, starch, xanthan or galactomannan (Chang & Robyt, 1996; Delattre et al., 2015; de Nooy et al., 1994; 1995a; de Nooy, Besemer, van Bekkum, van Dijk, & Smit, 1996; Pereira, Mahoney, & Edgar, 2014; Sierakowski, Milas, Desbrières, & Rinaudo, 2000; Tamura, Hirota, Saito, & Isogai, 2010) before being extended to water-insoluble biopolymers, such as chitin, chitosan, curdlan, amylose and cellulose in which the high crystallinity reduces the access of the oxidant to the hydroxyl functions (Delattre et al., 2009; Isogai & Kato, 1998; Meng, Fu, & Lucia, 2016; Muzzarelli et al., 2000; Pierre et al., 2013; Tamura, Wada, & Isogai, 2009). This reaction yielded soluble polysaccharides, like for substitution reactions of hydroxyl groups by carboxymethyl ether or sulfate ester groups. The new polyuronides obtained and notably oxidized cellulose have been successfully tested for their biological, rheological and physico-chemical properties (Delattre et al., 2009; Elboutachfai et al., 2011b; Stilwell, Marks, Saferstein, & Wiseman, 1997; Zhang et al., 2010) in academic laboratories, often within collaborations with a few companies (Delattre et al., 2009).

97 However, and to the best of our knowledge, no polyuronides derived from TEMPO oxidation  
98 had a real commercial development with large scale production, even if oxidized cellulose  
99 was claimed to be a raw material for medical devices, *e.g.* absorbable hemostats, adhesion  
100 barriers, sutures, and tissue engineering. Indeed, issues of polysaccharide depolymerization  
101 were claimed. A competition between polyelectrolyte swelling and chain scission often takes  
102 place during the first hours of the oxidation reaction (Coseri, Bercea, Harabagiu, & Budtova,  
103 2016). Alternative approaches, such as the use of laccases with TEMPO, instead of the  
104 traditional TEMPO and NaBr/NaOCl chemistry were successfully tested, but not really  
105 further developed (Mathew & Adlercreutz, 2009). Some of the polysaccharidic structures  
106 obtained are very original and not described in literature prior to the introduction of TEMPO  
107 chemistry. Among them,  $\beta$ -(1,4)-polyglucuronic acid (also called glucuronan) have been  
108 investigated for their biodegradability and a new family of polysaccharide lyases called  
109 glucuronan lyases (EC 4.2.2.14) has been identified (Delattre et al., 2006b; Konno, Igarashi,  
110 Habu, Samejima, & Isogai, 2009). This surprising result could suggest the existence of a  
111 putative source of this polyglucuronic acid in nature, which could explain the conservation of  
112 these enzymes in fungal genomes. The present review provides insights into TEMPO  
113 chemistry applied to oxidation of polysaccharides, their physico-chemical and biological  
114 properties, as well as their biodegradability.

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## 116 **2. TEMPO Chemistry: methodology and reaction mechanisms**

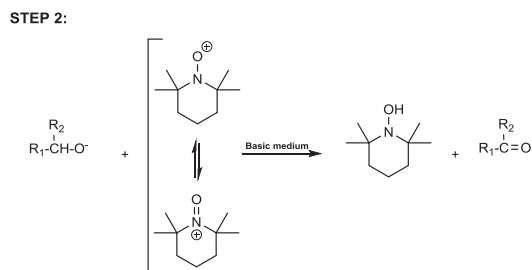
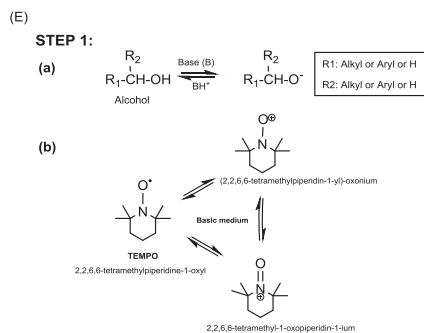
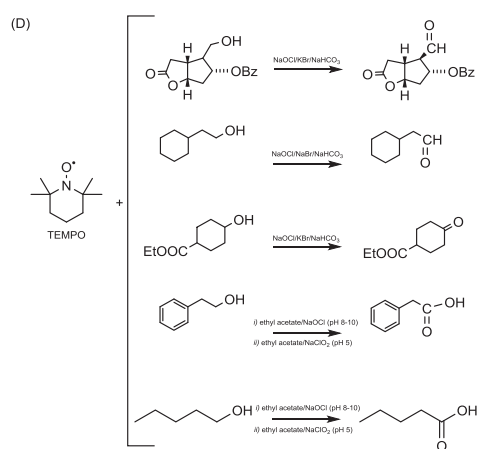
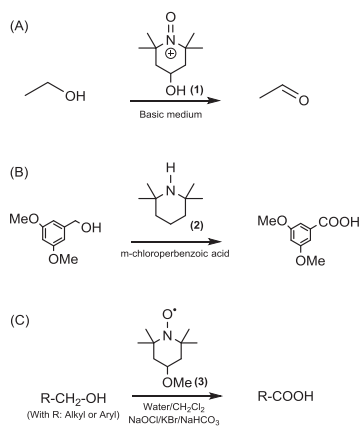
117 In chemical organic synthesis, the stable tetraalkylnitroxyl radical TEMPO was well  
118 described as an efficient oxidation catalyst of choice, mainly used for the industrial oxidation  
119 of organometallic, sulfide and, in particular, of alcohols to generate carbonyl compounds  
120 (Ciriminna & Pagliaro, 2010; Vogler & Studer, 2008). Historically, one of the first  
121 descriptions of alcoholic compound oxidation using TEMPO derivatives was reported by

122 Golubev, Rozantsev, & Neiman (1966). In their study, these authors have shown the  
123 possibility to produce **high yields of acetaldehyde by treatment of ethanol with oxoammonium**  
124 chloride salt ([Figure 2A](#)). Afterward, Cella, Kelley & Kenehan (1975) developed a chemical  
125 synthesis strategy to generate carboxylic acid compounds *via* oxidation of alcohols by a  
126 reaction with meta-chloroperbenzoic acid (mCPBA) in the presence of 2,2,6,6-  
127 tetramethylpiperidine **used** as catalyst ([Figure 2B](#)). As reported by the authors, mCPBA **first**  
128 oxidized the 2,2,6,6-tetramethylpiperidine to produce the stable radical TEMPO, which was  
129 directly oxidized to an oxoammonium cation derivative. The latter was considered as the  
130 primary oxidant for the conversion of alcohol to carboxylic acid. In later years, Anelli, Banfi,  
131 Montanari & Quici (1987) described the oxidation of primary alcohols in the presence of 4-  
132 methoxy-2,2,6,6-tetramethylpiperidine-1-oxyl (4-MeO-TEMPO) as catalyst to efficiently  
133 generate aldehydes or carboxylic acids by using a water/dichloromethane biphasic system  
134 under alkaline conditions in the presence of potassium bromide, sodium hypochlorite and  
135 sodium bicarbonate ([Figure 2C](#)). A few years later, Anelli, Banfi, Montanari & Quici (1989)  
136 have proposed another strategy for the oxidation of diols using oxammonium salts as reagent.  
137 Indeed, these authors were the first to report a specific oxidation of 1,5-pentanediol and 1,4-  
138 butanediol by using a system of TEMPO with sodium hypochlorite and sodium bromide in a  
139 water/dichloromethane biphasic system. These reactions were carried out in aqueous  
140 NaOCl/dichloromethane at 10-15 °C under basic conditions (pH 9.3), in the presence of  
141 TEMPO (0.01 mol.L<sup>-1</sup> equiv) and potassium bromide (0.10 mol.L<sup>-1</sup> equiv). Thus far, the  
142 method using TEMPO catalyst has become one of the better described chemical approaches to  
143 easily convert primary and secondary alcohol groups to ketones, aldehydes and carboxylic  
144 compounds ([Figure 2D](#)) (Adam, Saha-Moller & Ganeshpure, 2001; Bobbitt & Flores, 1988;  
145 Caron, Dugger, Ruggeri, Ragan, & Brown Ripin, 2006; Ciriminna & Pagliaro, 2010;  
146 Elboutachfai et al., 2011; Sheldon, 2007; Sheldon, 2013; Sheldon & Arenas, 2004; Vogler &

147 Studer, 2008). Initially described as a highly selective oxidation of primary alcohol groups, in  
148 particular for monosaccharides (de Nooy et al., 1994), De Nooy et al. (1996) pointed out some  
149 issues on pullulan with some oxidations of secondary alcohols to ketones. More recently, Su  
150 et al. (2013) suspected the oxidation of other hydroxyl groups than the one in C6 position in  
151 agarose units. In the same way, secondary reactions were also observed on carrageenan,  
152 caused by a specific overoxidation of 3,6-anhydrogalactose (Cosenza, Navarro, Pujol, &  
153 Damonte, & Stortz, 2015). As commonly proposed in the literature (Adam et al., 2001;  
154 Bobbitt & Flores, 1988; Cella et al., 1975; Elboutachfai et al., 2011; Sheldon, 2013), alcohol  
155 oxidation reaction by using oxoammonium salt is performed with a catalytic mechanism  
156 which allows the *in situ* generation of oxoammonium derivatives by one-electron oxidation of  
157 nitroxide compounds, such as TEMPO, either by using an electrochemical process or by  
158 adding an oxidant such as mCPBA or hypochlorite derivatives. Oxidation can be carried out  
159 in: (i) biphasic media systems, (ii) an organic solvent and, (iii) aqueous media (Ciriminna &  
160 Pagliaro, 2010; Sheldon, 2007; Vogler & Studer, 2008). It was clearly confirmed that the  
161 oxoammonium ion generated by oxidation of TEMPO with an oxidant such as sodium  
162 hypochlorite at low temperatures (0-4 °C) and under basic conditions (pH 9-12) could  
163 regioselectively oxidize several alcohols and polyalcohols (Bailey, Bobbitt & Wiberg, 2007;  
164 Ciriminna & Pagliaro, 2010). Some authors have investigated the effect of pH onto the  
165 chemoselective oxidation of alcohol using oxoammonium derivatives, such as TEMPO. As  
166 observed by Bailey et al. (2007), under alkaline condition, secondary alcohols are much more  
167 slowly oxidized than primary ones, while under acidic and/or neutral conditions, the opposite  
168 phenomenon occurs. Semmelkack, Schmid & Cortes (1986) and Bailey et al. (2007) proposed  
169 that under alkaline conditions the oxidation of alcoholic compounds using TEMPO is initiated  
170 by the specific formation of a reactive complex as presented in [Figure 2E](#). This reactive  
171 complex could be formed by nucleophilic attack of the alcoholate anion (RO<sup>-</sup>) on: (i) oxygen

172 atom or (ii) nitrogen atom from the newly generated 2,2,6,6-tetramethylpiperidine-1-  
173 oxoammonium cation. Finally, an intramolecular proton transfer gives an intermediate  
174 complex leading to the formation of carbonyl compound (from alcohol oxidation) and  
175 hydroxylamine derivative (from TEMPO).





177 **Figure 2.** Examples of **alcoholic** compounds oxidation strategies using TEMPO and derivatives. (A) Oxidation of ethanol onto acetaldehyde  
178 using 4-hydroxy-2,2,6,6-tetramethyl-1-oxopiperidin-1-ium (**1**) (adapted from Golubev et al., 1966); (B) oxidation of (3,5-dimethoxyphenyl)-  
179 methanol onto 3,5-dimethoxybenzoic acid using 2,2,6,6-tetramethylpiperidine (**2**) and *m*-chloroperbenzoic acid (adapted from Cella et al., 1975);  
180 (C) general oxidation of alcohol onto carboxylic acid using Water/CH<sub>2</sub>Cl<sub>2</sub> biphasic system and 4-methoxy-TEMPO/NaOCl/KBr/NaHCO<sub>3</sub> (**3**)  
181 (adapted from Anelli et al., 1987); (D) examples of syntheses of ketones, aldehydes and carboxylic acid compounds by using TEMPO (adapted  
182 from Caron et al., 2006; Ciriminna & Pagliaro, 2010) and, (E) Alcohol oxidation mechanism under alkaline media using TEMPO (adapted from  
183 Semmelkack, Schmid & Cortes, 1986; Bailey et al., 2007).

184

185

186 As well-established by Adam et al. (2001), the use of non-metal oxidation catalysts, such as  
187 TEMPO and its derivatives, have gained increasing interest for several reasons: (i) several of  
188 such catalysts derivatives are commercially available at low cost, (ii) these catalysts are user-  
189 friendly under aqueous system reaction **conditions**, (iii) these catalysts can react with all  
190 common oxidizing agents, such as peracids, sodium hypochlorite, mCPBA acid or  
191 monoperoxysulfate to produce oxoammonium salt and finally, (iv) these catalysts are very  
192 resistant to auto-oxidation. Consequently, TEMPO radical and all its derivatives are generally  
193 used as highly regio-selective oxidation reagents in industrial field for the specific synthesis  
194 of: chemical, cosmetics, pharmaceuticals, fragrances, flavors, etc. (Ciriminna & Pagliaro,  
195 2010; Elboutachfai et al., 2011). Ciriminna & Pagliaro published a very interesting review  
196 about why and how processes using TEMPO-mediated oxidation have become one of the  
197 main tools in industrial organic syntheses. As a consequence, it is important to mention that in  
198 TEMPO chemistry, the regioselective oxidation of polysaccharides was described since the  
199 nineties for the generation of new techno-functional and bioactive anionic polysaccharides.

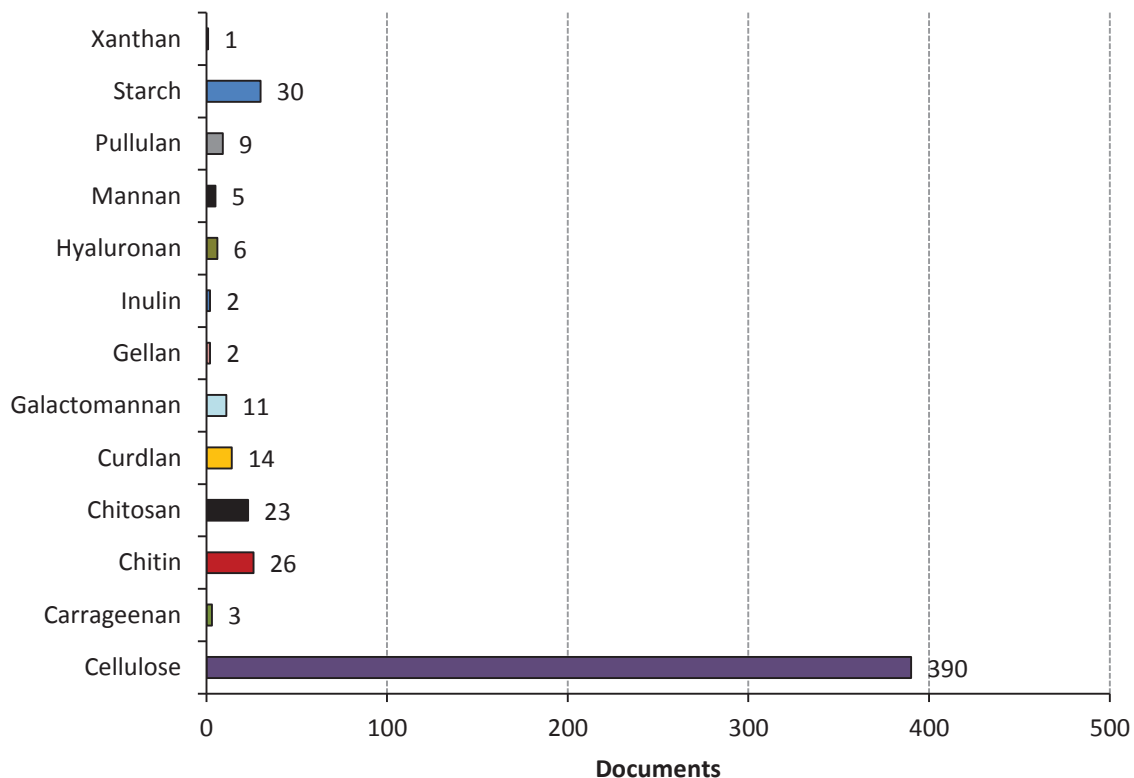
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### 201 **3. TEMPO oxidation of polysaccharides**

202 For the last two decades, TEMPO has been in use in sugar chemistry. Much attention has  
203 been given to the selective oxidation of hydroxyl groups of carbohydrate to generate carboxyl  
204 and/or aldehyde groups. Yet, few papers deal with fundamental and chemical understanding  
205 for using TEMPO on polysaccharides and even fewer address recent advances (oxidation  
206 performance, etc.) on its use. Current studies are aimed at creating, modulating or improving  
207 the physico-chemical and/or biological properties of various native polysaccharides ([Figure](#)  
208 [3](#)).

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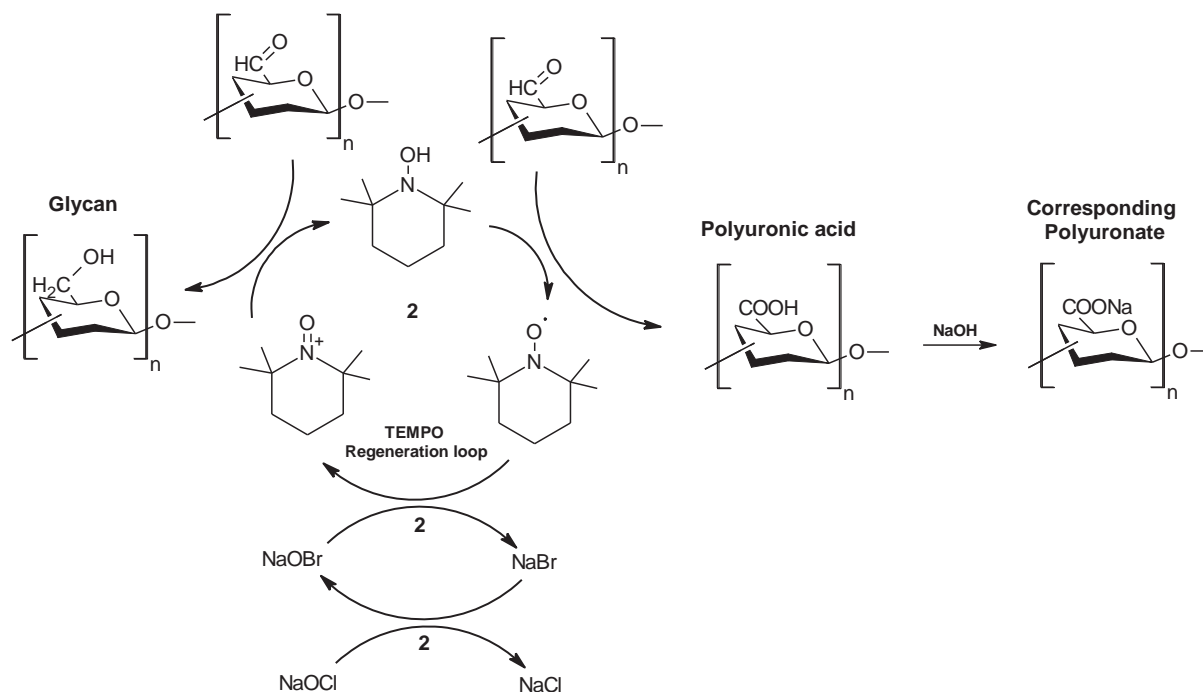


211  
 212 **Figure 3.** Document search results since 2000 on Scopus website using specific keywords  
 213 association “TEMPO” and “oxidation” and a variable one.

214  
 215 TEMPO oxidation process were demonstrated to offer clear advantages comparing to  
 216 enzymatic or metal-catalyzed oxidation (Bragd et al., 2004) such as (i) high reaction rate, (ii)  
 217 high conversion ratio, (iii) high selectivity, (iv) partial decrease of molecular weight of  
 218 polysaccharides during the process (if controlled), (v) low cost as co-oxidant.

219 A broad range of polyuronic analogues can be formed from their corresponding native  
 220 polysaccharides *via* a reactive aldehyde-intermediate which is present at low concentration  
 221 throughout the oxidation reaction (de Nooy, Besemer & van Bekkum, 1995b). As previously  
 222 explained ([see part 2.](#)), the nitrosonium salt, as the active oxidizing species, must be  
 223 regenerated *in situ*. Different systems of suitable primary oxidants have been described in the  
 224 literature and sodium hypochlorite showed very good results ([Figure 4](#)), especially in the  
 225 presence of catalytic amounts of sodium bromide (Bragd et al., 2002; 2004). Additives such

226 as KBr or NaBr are used to boost the rate of oxidation reaction (Tavernier, Delattre, Petit, &  
 227 Michaud, 2008).  
 228



229  
 230  
 231 **Figure 4.** TEMPO-mediated oxidation of glycans to generate their corresponding  
 232 polyuronates with NaOCl/NaBr system, adapted from Elboutachfai et al. (2011a).

233  
 234 Alternative oxidation systems have also been reported in the review of Bragd et al. (2004)  
 235 such as manganese dioxide, copper salt with bipyridine complex, silver catalysts with sodium  
 236 peroxodisulfate and peracetic acid (Bragd et al., 2002). Overall, TEMPO assisted oxidation in  
 237 aqueous systems of cold water-soluble polysaccharides (such as xanthan, pullulan,  
 238 galactomannan) compared to cold-water-insoluble systems (such as chitin, chitosan,  
 239 amylopectin) gave better results in terms of oxidation degree or final molecular weight (Bragd  
 240 et al., 2004). [Table 1](#) gives a large overview of recent TEMPO-mediated oxidation of  
 241 carbohydrates using different oxidant systems and their polyuronic analogues.

242 **Table 1.** Some TEMPO-mediated oxidations of various polysaccharides since the 2000s.

Substrate	TEMPO System	Yield (%)	pH	T(°C)	Oxidation ratio (%)	Molecular weight (kDa)		References
						Initial	Final	
Agarose	NaOCl/NaBr	-	10.5	rt <sup>(3)</sup>	30	-	4	Su et al. (2013)
Carrageenan	NaOCl/NaBr	80-90	9.4-10.5	0	-	215	93-65	Cosenza, Navarro, Pujol, Damonte, & Stortz (2015)
						460	167-16	
Cellulose	NaOCl/NaBr	78-91	10.5	rt	54-76	>80	<37	Saito, & Isogai (2004)
	NaOCl/NaBr	98	10.5	rt	>23	137	78.1	Saito, Yanagisawa, & Isogai (2005)
	NaOCl/NaBr	41-51	10.5	4	65	-	-	Delattre et al. (2006a)
	4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	41-71	3.5-6.8	40-60	73-84	122	>40	Hirota, Tamura, Saito, & Isogai et al. (2009)
	EM <sup>(1)</sup> 4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	91-98	6.8	rt	>60	54	>18	Isogai, Saito & Isogai (2010)
	NaOCl/NaBr	-	10	rt	-	11.7	11	Hiraoki, Fukuzumi, Ono, Saito, & Isogai (2014)
	4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	-	6.3	40	-	11.7	na <sup>(4)</sup>	Hiraoki, Fukuzumi, Ono, Saito, & Isogai (2014)
	LMS <sup>(2)</sup> /TEMPO or 4-amino TEMPO	-	7	30	-	-	46.8	Jaušovec, Vogrinčič & Kokol (2015)
	NaOCl/NaBr	-	10	25	>80	-	-	Meng, Fu & Lucia (2016)
Sono-assisted TEMPO NaOCl/NaBr	67-99	10	30	>60	-	-	Rohaizu, & Wanrosli (2017)	
Chitin/chitosan	NaOCl/NaBr	>90	10.8	rt	-	-	<10	Muzzarelli, Muzzarelli, Cosani, & Terbojevich (1999)
	NaOCl/NaBr	50-95	10.75	<5	-	-	3200-26	Kato, Kaminaga, Matsuo, & Isogai (2004)
	NaOCl/NaBr	-	10.8	30	25-100	-	-	Yoo et al. (2005)
	NaOCl/NaBr	2	10.8	5/rt	-	400-165	-	Bordenave, Grelier & Coma (2008)
	NaOCl/NaBr	34-74	10.8	rt	>40	-	-	Huang et al. (2013)
	NaOCl/NaBr	13.7	10.75	5	40	98	2.1-1.2	Pierre et al. (2013)
Crude material								
Cashew gum	NaOCl/NaBr	96	9.3	5	68	-	-	Cunha, Maciel, Sierakowski, de Paula, & Feitosa (2007)
Wood cellulose	NaOCl/NaBr/NaClO <sub>2</sub>	-	4.8	rt	-	502	374	Hiraoki, Fukuzumi, Ono, Saito, & Isogai (2014)

Curdlan	NaOCl/NaBr	80	11	4	25-100	560	500	Delattre et al. (2009)
	EM 4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	91-92	6.8	rt	>90	1100	268	Isogai, Saito & Isogai (2010)
	4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	90	4.7	35	95	1100	197	Tamura et al. (2010)
Galactomannan	NaOCl/NaBr	>92	9.3	3	38-66	1330	800	Sierakowski, Milas, Desbrières, & Rinaudo (2000)
	LMS	-	4-7.5	30-70	-	-	-	Lavazza et al. (2011)
	LMS and/or NaOCl/NaBr	-	7/9.3	35/0	-	2425-1016	-	Merlini et al. (2015)
	LMS	-	7	35	-	-	-	Rossi et al. (2016)
Gellan	NaOCl/NaBr	>89	10	rt	22.5-100	512	19.4	Elboutachfaiti et al. (2010)
	NaOCl/NaBr	89-95	10	4	22.5-100	-	-	Elboutachfaiti et al. (2011)
Glucomannan	NaOCl/NaBr	-	10	rt	15-80	-	-	Chen et al. (2014)
	NaOCl/NaBr	-	10	rt	30-80	2000-500	153-131	Chen et al. (2016)
Inulin	4-AcNH-TEMPO/oxone/NaBr	-	8.2	<5	60	na	na	Bragd, Besemer, & van Bekkum (2002)
	4-AcNH-TEMPO/peracetate/NaBr	-	8.2	<5	80	-	-	Bragd, Besemer, & van Bekkum (2002)
Hyaluronan	NaOCl/NaBr	-	10.2	0	31-71	1350	780-510	Jiang, Drouet, Milas, & Rinaudo (2000)
Mannan	NaOCl/NaBr	-	10	2	24-28	62.3-44.3	na	Đurana, Lacić, Paulovičová, & Bystrický (2006)
Polyuronan	NaOCl/NaBr	>60	10.8	rt	20-75	-	-	Muzzarelli et al. (2000)
Pullulan	4-AcNH-TEMPO/oxone/NaBr	-	8.2	<5	85	na	na	Bragd, Besemer, & van Bekkum (2002)
	4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	90	4.7	35	8	-	-	Tamura et al. (2010)
	NaOCl/NaBr	95	9.4	2	-	450	-	Pereira, Mahoney, & Edgar (2014)
	NaOCl/NaBr	-	10	-	10-100	220	182-28	Spatareanu et al. (2014)
Starch/Dextrin	4-AcNH-TEMPO/oxone/NaBr	-	7.5-9	5-15	60-90	na	na	Bragd, Besemer, & van Bekkum (2002)
	4-AcNH-TEMPO/peracetate/NaBr	-	8.2	<5	85	na	na	Bragd, Besemer, & van Bekkum (2002)
	EM 4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	91-92	6.8	rt	-	60	53.9	Isogai, Saito & Isogai (2010)
	4-acetamide-TEMPO/NaClO/NaClO <sub>2</sub>	83	4.7	35	>39.3	-	-	Tamura et al. (2010)
Xanthan	NaOCl/NaBr	>90	10	4	98	1910	585	Delattre et al. (2015)

<sup>(1)</sup> EM: ElectroMediated, <sup>(2)</sup> LMS: Laccase-Mediator System, <sup>(3)</sup> rt: room temperature, <sup>(4)</sup> na: not accurate.

243  
244

245 Cellulose and cellulose (nano)fibers are probably the most studied polysaccharides for  
246 TEMPO oxidation, especially by the well-known Isogai's team from Japan (Isogai, Saito, &  
247 Isogai, 2010). In many papers, unavoidable depolymerizations of CelloUronic Acids (CUA)  
248 by a  $\beta$ -elimination mechanism have been observed in a pH range from 9 to 12. Delattre,  
249 Michaud, Elboutachfaiti, Courtois, & Courtois (2006a) obtained oligo-CUA from TEMPO  
250 oxidation of cellulose and purified their products by size-exclusion chromatography. Some  
251 authors proposed alternative routes to reduce  $\beta$ -elimination by using 4-acetamido-  
252 TEMPO/NaClO/NaClO<sub>2</sub> system at pH 4-7 (Hiraoki, Fukuzumi, Ono, Saito, & Isogai, 2014;  
253 Hirota, Tamura, Saito, & Isogai, 2009) or TEMPO electromediated oxidation (Isogai et al.  
254 2010). In the latter paper, the authors were able to keep the original fibrous and morphology  
255 of CUA fibers. These same authors extended the same procedure to curdlan and  
256 amyloextrins, obtaining impressive degrees of oxidation, higher than 90%. Today, cracking  
257 wood is still a challenge especially for the valorization of byproducts/wastes from  
258 papermaking and wood industries. Preparing TEMPO-Oxidized Cellulose NanoFibers  
259 (TOCNFs) for the creation of new bio-based applications is one possible solution to address  
260 this challenge. Wood cellulose material can be easily converted to individual micro- and  
261 nanofibers of different lengths, sizes and diameters. These characteristics are involved in  
262 TEMPO chemistry and can lead to various TOCNFs (Isogai, Saito, & Fukuzumi, 2011).  
263 Recently, Meng et al. (2016) also highlighted the role of heteropolysaccharides in developing  
264 TOCNFs by using four fibers resources, *i.e.* bleached Kraft pulps of softwood, pine and  
265 eucalyptus hardwood and non-woods varieties such as bamboo and bagasse. Due to the  
266 presence of xylans which limit the chemical accessibility of cellulose, the formation of  
267 carboxylate groups was reduced. Galactoglucomannans were also involved in the  
268 consumption of NaClO, limiting the oxidation of TOCNFs.

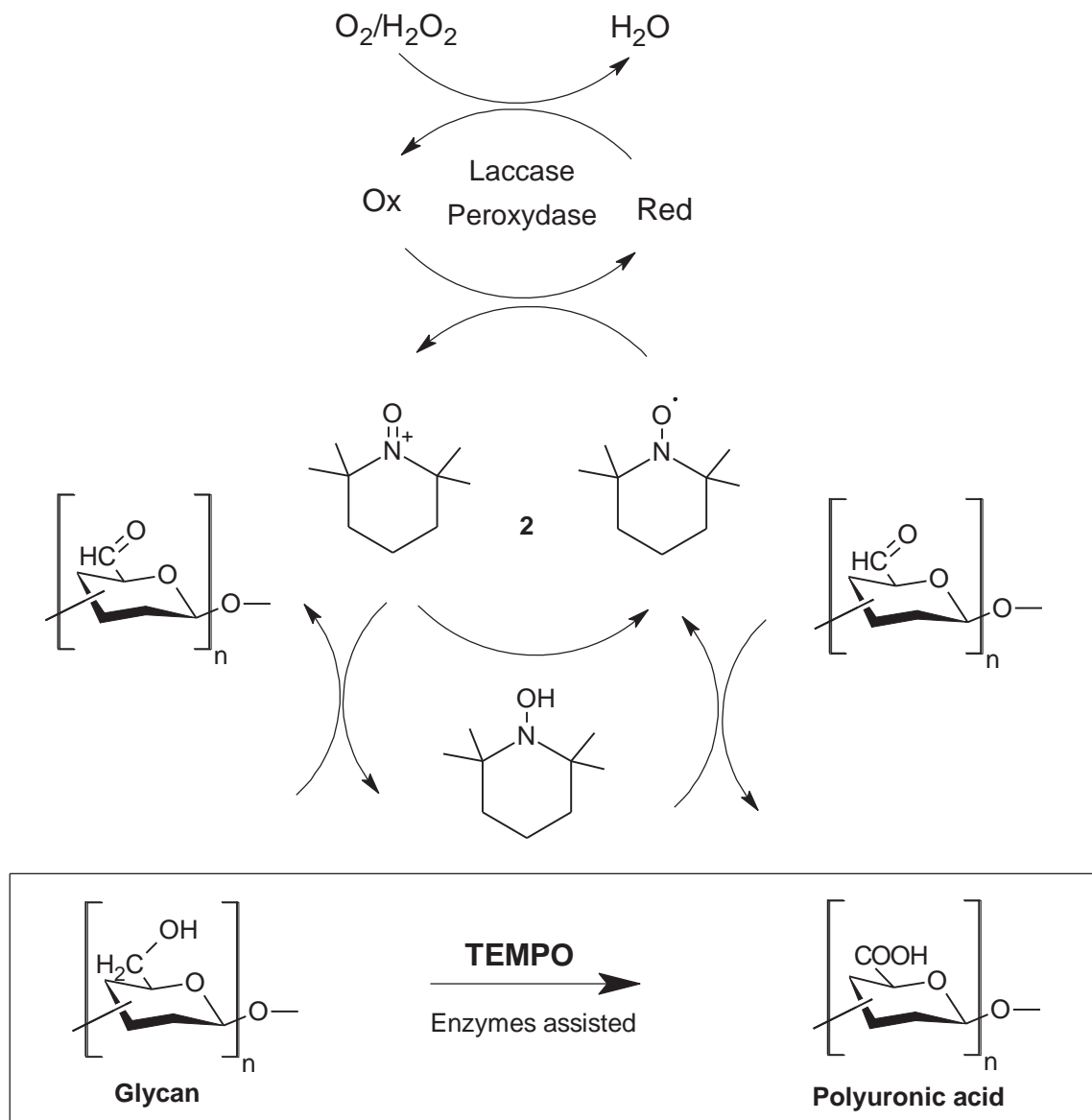


269 Regiospecifically carboxylated chitins have been of primary interest as they mimic  
270 glycosaminoglycan (GAG) structures and can present interesting properties such as  
271 neuroprotection, wound healing or for cosmetic applications (DeAngelis, 2012). 6-oxychitins  
272 and 6-oxychitosans have thus been investigated in many papers, in terms of TEMPO  
273 oxidation reactions as well as for their biological properties. Muzzarelli, Muzzarelli, Cosani,  
274 & Terbojevich (1999) produced anionic derivatives fully soluble in a wide pH range from  
275 lobster, crab and fungal chitins with very good yields. In the same way, Huang et al. (2013)  
276 prepared 6-carboxy- $\beta$ -chitin derivatives from squid pens with oxidation degrees up to 75%.  
277 Increasing NaOCl amounts (mmol/g chitin) allowed them to enhance the conversion on C6  
278 position into carboxylates. Pierre et al. (2013) performed one-pot oxidation of chitosan with  
279 TEMPO-NaOCl-NaBr system and obtained yields close to 14% (w/w), consistent with  
280 previous reports in the literature for chitosan and  $\beta$ -chitin. The carboxylate content of their  
281 derivatives was 40%. These authors also highlighted a strong depolymerization phenomenon  
282 of the molecular weight of chitosan, from DP (Degree of Polymerization) 593 to 12 and 7 for  
283 their derivatives. Bordenave, Grelier, & Coma (2008) also described low products yields and  
284 drastic decreases of the polymer molecular weight. Yoo et al. (2005) sequentially oxidized  
285 chitosan samples from 25 to 100% under specific TEMPO conditions. In this paper, a drop in  
286 solubility of 6-oxychitosans was observed for the highest degrees of oxidation, due to  
287 aggregation among the derivatives by charge-charge interactions. Hyaluronan, scleroglucan,  
288 mannan and galactomannan have also been used for TEMPO-oxidation to provide novel GAG  
289 polymers (Elboutachfai et al., 2011). Ďurana, Lacík, Paulovičová, & Bystrický (2006) have  
290 thus functionalized mannans from four pathogenic yeasts, *i.e.* *Candida albican*, *Candida*  
291 *tropicalis*, *Candida glabrata* and *Candida parapsilosis*, using various oxidation systems  
292 including TEMPO-NaOCl-NaBr and studied their immunological properties. In 2000,  
293 Sierakowski et al. successfully described TEMPO oxidation of galactomannans extracted

294 from the seeds of *Leucaema leucocephala*. Sakakibara, Sierakowski, Lucyszyn, & de Freitas  
295 (2016) highlighted the role of chain flexibility during the TEMPO-mediated oxidation of guar  
296 and locust bean galactomannans. Mannose (Man) units were preferentially oxidized because  
297 of the reduced availability of HO-6 groups on Galactose (Gal) side chains. Indeed, the authors  
298 observed hydrogen bonding involving the Man HO-3 group and the HO-6 and HO-2 groups  
299 of the vicinal Gal unit, but also the increase in the galactosyl side chain induced a lowering in  
300 the chain extension, as already described by Petkowicz, Reicher, & Mazeau (1998).  $\beta$ -  
301 Elimination process was also better onto locust bean galactomannan which is less ramified  
302 than guar galactomannan. In the last fifteen years, others authors have investigated oxidation  
303 of galactomannans, mostly to create new bio-based materials. The interesting part here is  
304 probably the use of TEMPO system assisted by laccase to generate oxidized derivatives  
305 (Lavazza et al., 2011; Merlini, Boccia, Mendichi, & Galante, 2015; Rossi et al., 2016). Most  
306 of the classical primary oxidants used for TEMPO oxidation produce large amounts of salts.  
307 Greener chemical reactions should be looked for improving life cycle assessment (LCA) of  
308 the generated oxidized derivatives. Indeed, the use of strong secondary oxidants limits the  
309 application of TEMPO on carbohydrates. Many studies are aimed at finding environmentally  
310 friendly methods, especially for regenerating the oxidant. For example, Lemoine et al. (2000)  
311 studied sono-catalysed (500 kHz) TEMPO-mediated oxidation of sucrose without the addition  
312 of sodium bromide. Isogai et al. (2010) also developed a TEMPO electro-mediated oxidation  
313 of curdlan, amylopectin and regenerated cellulose. Overall, attention should be paid to  
314 electrochemical, but also to immobilized-TEMPO oxidations as reviewed by Bragd et al.  
315 (2004). Enzyme-based TEMPO systems exploiting oxidative enzymes are another suitable  
316 alternative to salt-based TEMPO-oxidative systems. **Enzymes-assisted TEMPO oxidation**  
317 allows the regeneration *in situ* of nitrosonium salt where only oxygen (in the case of laccase)

318 or hydrogen peroxide (with peroxydase) is the final electron acceptor in the course of the  
 319 reaction (Figure 5).

320



321  
 322 **Figure 5.** Mechanisms of glycan oxidation by TEMPO/laccase/ $O_2$  or  
 323 TEMPO/peroxydase/ $H_2O_2$  systems, adapted from Bragd, van Bekkum & Besemer (2004).

324  
 325 Laccase (EC 1.10.3.2.), which belongs to the oxyreductase family, could be a good candidate  
 326 to optimize green chemical synthesis of oxidized compounds with only water as by-product  
 327 (Marzorati, Danieli, Haltrich & Riva, 2005). The efficiency of the system TEMPO/laccase

328 from *Trametes pubescens*/O<sub>2</sub> was tested with mono- and disaccharides but also cellulose  
329 derivatives. Mathew & Adlercreutz (2009) performed similar experiments by using TEMPO  
330 combined with laccase to oxidize granular potato starch under mild and environmentally  
331 friendly conditions. Other enzymes-assisted oxidations have been reported on polysaccharides  
332 such as (i) starch and cellulose suspensions (Viikari, Buchert, & Kruus, 1999a; Viikari et al.,  
333 1999b), (ii) cellulose, starch and pullulan (Jaschiski, Gunnars, Besemer, & Bragd, 2001;  
334 Jetten, van den Dool, van Hartingsveldt, & van Wandelen, 2000), (iii) cellulose nanofibers  
335 (Jaušovec, Vogrinčič, & Kokol, 2015) or galactomannan (Campia et al., 2017; Lavazza et al.,  
336 2011; Merlini et al., 2015; Rossi et al., 2016). According to the latter authors, the use of  
337 laccase from *T. versicolor* allowed a ten-fold increase in viscosity of the oxidized solution,  
338 changing the rheological profile from a viscous behavior to an elastic gel (Lavazza et al.,  
339 2011). The formation of new inter-chain hemiacetalic bonds between carbonyl and hydroxyl  
340 groups should be involved in this modification. Merlini et al. (2015) obtained the same kind  
341 of results on galactomannans extracted from the seeds of various leguminous plants, *e. g.*  
342 *Ceratonia siliqua*, *Cyamopsis tetragonolobus* or *Trigonella foenum-graecum*. The freeze-  
343 drying of the hydrogels obtained following this procedure led to highly water-insoluble and  
344 mechanically reinforced polysaccharide aerogels (Rossi et al., 2016). These materials are  
345 capable to uptake aqueous or organic solvents over 20 times their own weight, and to absorb  
346 and release active biomolecules, suggesting their possible use as safe delivery systems.

347 Coseri and co-authors reported that *N*-hydroxyphthalimide (NHPI) and other nonpersistent  
348 nitroxyl radical precursors, were suitable catalysts for the selective oxidation of cellulose  
349 fibers promoted by the NaClO/NaBr system (Biliuta, Frasc, Strnad, Harabagiu, Coseri, 2010;  
350 Coseri, Nistor, Frasc, Strnad, Harabagiu, & Simionescu, 2009).

351 The proposed mechanism implies the formation of the corresponding phthalimide-*N*-oxyl  
352 (PINO) radical (Recupero & Punta, 2007; Melone & Punta, 2013). The latter is oxidized to

353 the corresponding *N*-oxammonium cation, which in turn is responsible for the oxidation of the  
354 C6 alcoholic function. By a comparison on the effect of TEMPO and PINO radicals on  
355 cellulose oxidation, the NHPI oxidation mediator resulted to afford the highest conversion in  
356 carboxylic groups and to better preserve the morphology and the molecular weight of the  
357 starting material (Biluita et al. 2013).

358

#### 359 **4. TEMPO-mediated oxidized polysaccharides: For what purpose?**

##### 360 **4.1. Uses and applications of TOCNFs**

361 Due to their specific mechanical, chemical, and physical properties, TOCNFs have found, in  
362 the last decade, more sophisticated applications compared to other polysaccharides, in fields  
363 ranging from biomedicine to energy, to sensing, as well as to environmental remediation  
364 (Isogai et al., 2011).

365 Nanofibrils obtained by this oxidative procedure can be either used as additives for specific  
366 formulations, or nanostructured in films, hydrogels, and aerogels for advanced applications,  
367 with or without the addition of cross-linkers.

368 The reasons for this significant versatility is mainly **laid on** a direct consequence of the  
369 oxidative process, which implies a selective introduction of carboxylic functionalities in the  
370 backbone of the polysaccharide. Carboxylic groups play at least three different roles. They  
371 favor the defibrillation of cellulose at basic pH, by electrostatic repulsion of the negatively  
372 charged cellulose chains. Moreover, carboxylic groups can be involved in the cross-linking  
373 process of the fibrils, either by promoting the formation of intermolecular hydrogen bonding  
374 with other polysaccharide chains, or by favoring the formation of composites *via* ionic-  
375 electrostatic interactions or the formation of covalent bonds.

376 Finally, carboxylic moieties can also represent ideal hooks for further grafting of the  
377 carbohydrate with active molecules, widening the chemical and physical properties of the

378 material. In this context, Orelma et al. (2016) have recently reported the preparation of  
379 photoreactive nanocellulosic films *via* a four step protocol, *i.e.* i) TEMPO-mediated  
380 oxidation; ii) grafting with amino-benzophenone, by promoting the formation of amide bonds  
381 between the carboxylic functions of the fibrils and the amino groups of the aromatic  
382 compound; iii) defibrillation using high pressure fluidization; iv) cross-linking by activating  
383 free-radical reactions with UV radiation. The final materials show enhanced mechanical  
384 properties. In this section we present an admittedly partial selection of recently reported  
385 original applications of TOCNFs.

#### 386 **4.1.1. Direct use of TOCNFs**

387 The use of TOCNFs as green additives is mainly associated to the possibility of modulating  
388 the final mechanical properties of the material.

389 The addition of TOCNFs in adhesives guarantees, for example, a reinforcement for  
390 waterborne polyurethane coatings on wood, also improving the pencil hardness of the coating  
391 (Cheng, Wen, An, Zhu, & Ni, 2016). However, this is at the expense of the surface roughness  
392 and adhesion strength of the coating to the wood surface, which are both negatively affected.

393 TOCNFs derived from bacterial cellulose are also valuable, safe, and biodegradable  
394 alternatives to standard surfactants for the stabilization of oil/water interface in emulsions.  
395 Their enhanced efficiency, compared to the corresponding non-oxidized fibrils, is probably  
396 due both to the lower size of TOCNFs and to their increased hydrophilicity, with a consequent  
397 lower contact angle (Jia, 2016). This study highlights how the long-term stability of the  
398 emulsions derives from an optimal compromise among different factors, namely the fibril  
399 dosage, size and wettability.

400 The chemical-physical properties of TOCNFs have also suggested their use for the design of  
401 high-performance batteries. They are candidates to be ideal binders for flexible Li-ion  
402 batteries in future flexible electronic devices, playing an important role in the fabrication of

403 electrodes by holding together active and conductive materials together (Lu, Behm,  
404 Leijonmarck, Lindbergh, & Cornell, 2016). While there are several examples reporting the  
405 use of non-oxidized cellulose nanofibrils for this purpose, TOCNFs show the advantage of  
406 preventing common aggregation of fibrils, usually due to formation of hydrogen bonds  
407 between hydroxyl groups.

408 Moreover, TOCNFs have also been used as starting materials for the production, by thermal  
409 carbonization, of hard carbon anodes in Na-ion batteries (Shen et al., 2015). The experiments  
410 emphasized how the pretreatment with the oxidation protocol could affect the porosity of the  
411 final carbon, significantly decreasing the specific surface area of the resulting material, if  
412 compared to that obtained starting from pristine wood fibrils ( $126 \text{ m}^2 \text{ g}^{-1}$  versus  $586 \text{ m}^2 \text{ g}^{-1}$ ,  
413 respectively). The low surface area carbon resulted in a higher initial Coulombic efficiency,  
414 when used as an anode for Na-ion batteries.

415 Finally, TOCNFs can also behave as efficient nanocarriers for bioactive molecules reversibly  
416 immobilized on fibrils by electrostatic interaction (Weishaupt et al., 2015)

#### 417 **4.1.2. Self-assembled TOCNFs**

418 Self-assembled nanostructured materials derived from milky suspensions of TOCNFs can be  
419 obtained in different forms, such as films, powders, and aerogels, by simply varying the  
420 methods applied to achieve the final purpose (air-, spray-, freeze-, or supercritically-drying)  
421 (Jiang & Hsieh, 2013a; Jiang & Hsieh, 2013b; Peng, Gardner, & Han, 2012). Self-assembling  
422 is also highly affected by the protonation degree of the carboxylic groups, with a consequent  
423 different behavior in the interaction with solvents as a function of their polarity (Jiang &  
424 Hsieh, 2016).

425 Air-drying of fully protonated TOCNFs leads to formation of films due to the interfibrillar  
426 hydrogen bonding. These films show high oxygen and hydrogen permeability and low water  
427 adsorption (Fukuzumi, Fujisawa, Saito, & Isogai, 2013; Fujisawa, Okita, Fukuzumi, Saito, &

428 Isogai, 2011). Moreover, the preliminary immobilization of proteins *via* classical coupling  
429 chemistry (N-hydroxysuccinimide/1-ethyl-3-[3-(dimethylamino)propyl]carbodiimide) (EDA)  
430 provides films with enhanced and specific bioactivity (Arola, Tammelin, Setälä, Tullila, &  
431 Linder, 2012; Orelma, Johansson, Filpponen, Rojas, & Laine, 2012).

432 The alternative approach of freeze-drying, for the treatment of TOCNFs aqueous suspensions,  
433 leads to the formation of highly porous aerogels. Among the several possible applications,  
434 these scaffolds can be considered ideal templates for further coating, in order to confer to the  
435 material new specific properties. In this context, we have reported a simple protocol to obtain  
436 hybrid organic-ceramic aerogels by simply mixing TOCNFs aqueous hydrogels with  
437 TiO<sub>2</sub>/SiO<sub>2</sub> sols, followed by freeze-drying of the resulting mixture (Melone et al., 2013).  
438 Calcination of the obtained material, and further heating up to 800 °C, led to formation of  
439 ceramic aerogels with a high specific surface area, capable of combining a high adsorption  
440 efficiency for organic molecules with photocatalytic activity under UV radiation ([Figure 6a](#)).  
441 Thanks to this property, the system was successfully tested in the photo-degradation of  
442 Methylene blue and Rhodamine B dyes, as representative examples of organic pollutants.  
443 More recently, Panzella et al. (2016) have verified the possibility to conduct a surface  
444 functionalization of TOCNF aerogels by ammonia induced solid state eumelanin coating, *via*  
445 polymerization of 5,6-dihydroxyindole (DHI), previously deposited from an organic solution.  
446 The new all-natural aerogel biomaterial, whose porosity was not affected by the coating  
447 treatment, showed a potent antioxidant activity, an enhanced adsorption capacity towards  
448 organic dyes, and an interesting hydrophobic behavior ([Figure 6b](#)).

#### 449 **4.1.3. TOCNF composites**

450 The formulation of TOCNF in composites probably represents the favorite route, followed by  
451 research groups operating in this field, to provide advanced high-performing materials.



452 The presence of negatively charged carboxylates on the backbone of cellulose nano- and  
453 micro-fibrils suggested the possibility of preparing microgels and nanogels by ionic-ionic  
454 interactions with cations (Masruchin, Park, Causin, & Um, 2015). The trivalent  $Al^{3+}$  provided  
455 the strongest ionic cross-linking, promoting the formation of hydrogels which, if compared  
456 with those obtained in the presence of cations with lower valency, were characterized by  
457 higher stiffness, compressive strength, surface area, and porosity, and a tighter network  
458 structure. Nevertheless, the highly porous structure in these nanogels negatively affected the  
459 drug-delivery profile from the matrix.

460 Within the same field of inorganic/organic interactions, TOCNF/molybdenum sulfide  
461 composites, prepared by a hydrothermal method, were proposed as non-enzymatic sensors for  
462 the electrocatalytic determination of nitrides via their oxidation in water (Wang et al., 2016).

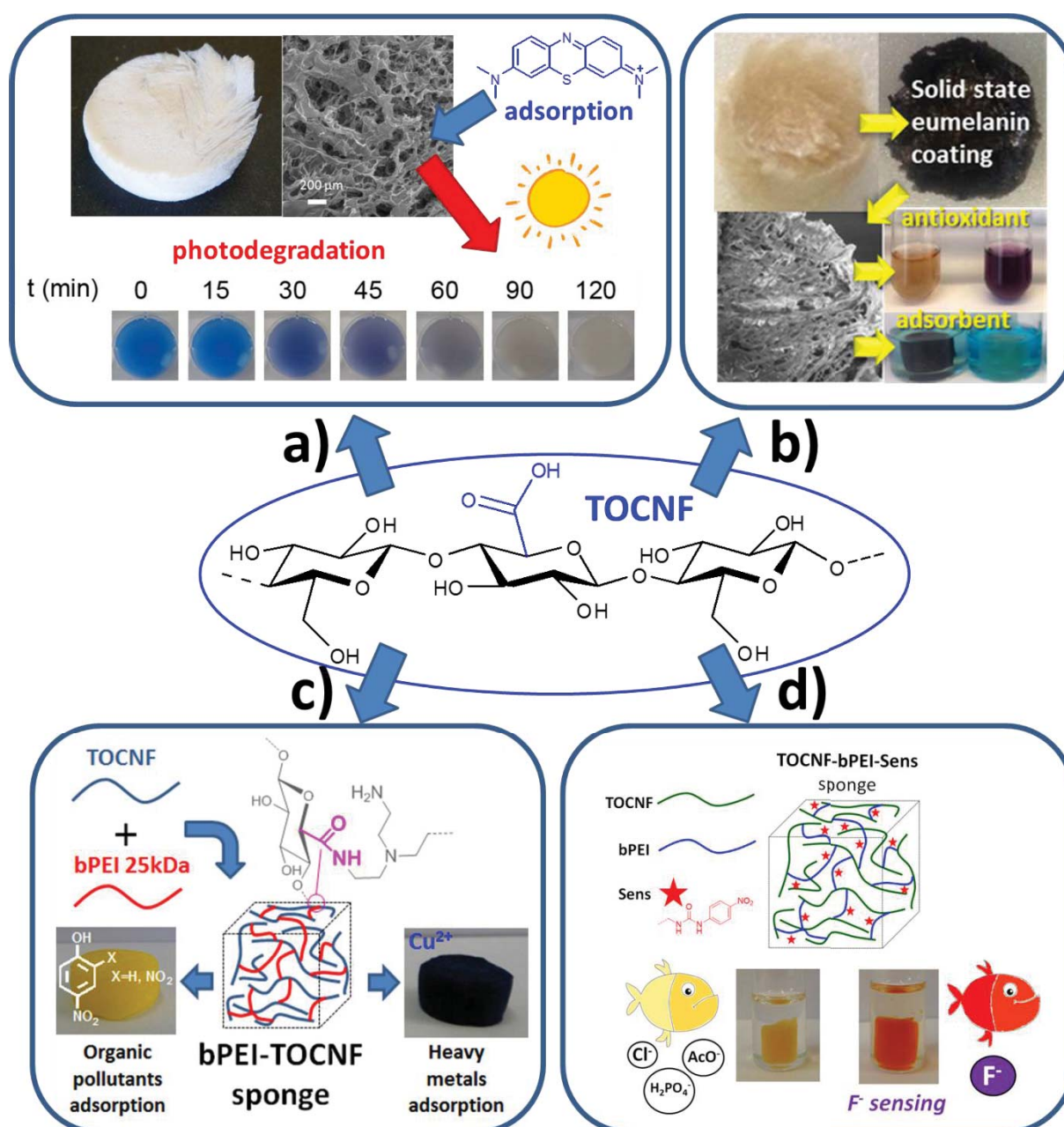
463 Above all others, hybrid organic composites provide the most versatility in the design of new  
464 materials with enhanced properties. Transparent and printable films can be obtained by  
465 mixing the negatively charged TOCNFs with single-walled carbon nanotubes (Koga et al.,  
466 2013) or with carbon dots, directly obtained by TOCNFs *via* heating in microwave oven in  
467 the presence of 4,7,10-trioxa-1,13-tridecanediamine (Jiang, Zhao, Feng, Fang, & Shi L.,  
468 2016). In the first case, the resulting flexible material exhibits highly conductive properties,  
469 suggesting the possibility to substitute classical polymers with TOCNFs for the design of  
470 electrical devices, while the latter hybrid film has a strong blue luminescence under ultraviolet  
471 excitation.

472 Thermally responsive hydrogels (Wei et al., 2016) and aerogels (Zhang et al., 2016) have  
473 been obtained by incorporating TOCNFs in poly(*N*-isopropylacrylamide) matrices. The  
474 addition of the oxidized nanofibrils allows to improve their mechanical properties, giving the  
475 materials exceptionally high compressive strength.

476 The presence of carboxylic groups on the backbone structure also suggested the possibility of  
477 an efficient ionic/ionic interaction and/or cross-linking with poly-amine polymers. For  
478 example, the incorporation of TOCNF's into a chitosan matrix has encouraged the  
479 development of completely biobased, flexible, and transparent films for potential applications  
480 in food packaging (Soni, Hassan, Shilling, & Mahmoud, 2016).

481 In this context, Melone et al. (2015) have recently reported a thermal route for the production  
482 of TOCNFs/branched-polyethyleneimine (bPEI) aerogels, following a freeze-drying protocol.  
483 Further heating of the resulting nanostructured materials in oven at 102 °C, favored the high  
484 reticulation (cross-linking) into sponge-like, water stable aerogels, by formation of amide  
485 bonds between the carboxylic and the amine moieties. The new materials resulted to be highly  
486 efficient adsorbent units for water remediation of heavy metals and phenolic derivatives  
487 ([Figure 6c](#)). The properties of the aerogels could be also modified by selective  
488 functionalization on the amino groups of the cross-linker. As an example, the cross-linking of  
489 TOCNFs with bPEI previously functionalized with *p*NO<sub>2</sub>-phenyl urea units led to the  
490 formation of aerogels which behaved as heterogeneous sensor for fluoride anions in DMSO  
491 solution (Melone, Bonafede, Tushi, Punta, & Cametti, 2015) ([Figure 6d](#)). More recently,  
492 cross-linking of TOCNFs with bPEI for Cu(II) removal was also obtained following a  
493 chemical route, by reacting the two polymers in the presence of glutaraldehyde (Zhang, Zang,  
494 Shi, Yu, & Sheng, 2016).

495



496

497

498 **Figure 6.** Significant examples of TOCNF-based aerogels. a) Ceramic aerogels for pollutant

499 photodegradation; b) Eumelanin coated sorbent aerogels; c) bPEI-TOCNF sorbent aerogels

500 for environmental remediation; d) Functionalized bPEI-TOCNF sorbent aerogels for sensing.

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505 **4.2. Other applications of oxidized oligo- and polysaccharides**

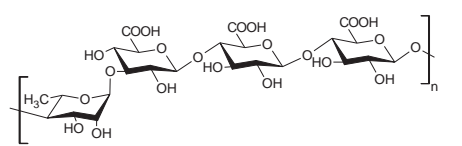

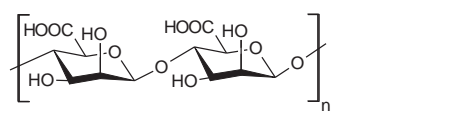
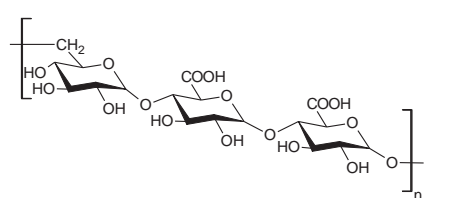
506 Even if TOCNFs are still probably the most exciting derivatives from TEMPO oxidation of  
507 cellulose, this chemistry obviously gave birth to other oligo- and polysaccharides with high  
508 potential in pharmaceutic, cosmetic, (etc.) applications. [Table 2](#) gives a non-exhaustive  
509 overview of other physico-chemical and biological properties of generated polyelectrolytes  
510 from TEMPO chemistry. Obviously, such parameters as the toxicity and biocompatibility are  
511 of first interest especially in pharmaceuticals.

512

513 **Table 2.** Other generated polyelectrolytes from TEMPO chemistry of polysaccharides and their physico-chemical and biological properties.

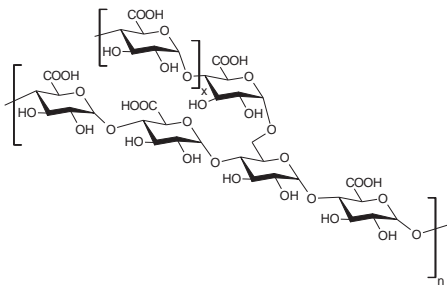
Native polysaccharide	TEMPO-mediated oxidized derivative	Properties	References
Agarose	Oxidized agarose (and grafting dopamine).	<ul style="list-style-type: none"> <li>▪ Cytocompatibility</li> <li>▪ Promotion of cell-adhesiveness</li> </ul>	Su et al. (2013)
κ, ι - carrageenan	Oxidized carrageenan.	<ul style="list-style-type: none"> <li>▪ Antiviral activity (HSV<sup>(1)</sup>-1, HSV-2).</li> </ul>	Cosenza et al. (2015)
Cellulose Cellulose nanofiber	Celluronic acid (CUA), TOCNFs.	<ul style="list-style-type: none"> <li>▪ <a href="#">See part 4.1.</a></li> <li>▪ Biodegradability,</li> <li>▪ Filmogenic properties.</li> </ul>	Delattre et al. (2006a) Zhao, Zhang, Lindström, & Jiebing (2015)

Chitin Chitosan	<p><b>Chituronic acid</b>, 6-carboxy <math>\beta</math>-chitin, C-6 oxidized chitosan.</p>	<ul style="list-style-type: none"> <li>▪ Absorption capacity,</li> <li>▪ Aggregation,</li> <li>▪ Antimicrobial activity,</li> <li>▪ Antioxidant,</li> <li>▪ Antiparasite activity,</li> <li>▪ Apoptosis inhibitory activity,</li> <li>▪ Bile acid-binding capacity,</li> <li>▪ Biodegradability by soil microorganisms,</li> <li>▪ Chelating and sorption properties,</li> <li>▪ Drug delivery system,</li> <li>▪ Filmogenic properties,</li> <li>▪ Moisture retention,</li> <li>▪ Modulation of cell functioning,</li> <li>▪ Tissue engineering.</li> </ul>	<p>Muzzarelli et al. (1999)  <b>Kato et al. (2004)</b>            Yoo et al. (2005)            Mouryza et al. (2010)            Muzzarelli, Greco, Busilacchi, Sollazzo, &amp; Gigante (2012)            Huang et al. (2013)            Pierre et al. (2013)</p>
Curdlan	<p><math>\beta</math>-1,3-polyglucuronic acid sodium salt, Functionalized <math>\beta</math>-1,3-polyglucuronic acid (sulphation/acetylation steps).</p>	<ul style="list-style-type: none"> <li>▪ Adipocyte differentiation,</li> <li>▪ Healing process (predicted by TA<sup>(2)</sup>),</li> <li>▪ Lipid storage,</li> <li>▪ Metabolism of lipids (predicted by TA<sup>(2)</sup>),</li> <li>▪ Viscosities and viscoelastic properties.</li> </ul>	<p><b>Tamura et al. (2010)</b>            Delattre et al. (2012a)            Delattre et al. (2012b)</p>
Galactomannan	Oxidized galactomannan.	<ul style="list-style-type: none"> <li>▪ Absorption behavior</li> <li>▪ Aerogel,</li> <li>▪ Biodegradability,</li> <li>▪ Emulsion stabilizer,</li> <li>▪ Thickener,</li> <li>▪ Versatile delivery system,</li> <li>▪ Viscosifier.</li> </ul>	<p>Sierakowski, Freitas, Fujimoto &amp; Petri (2002)            Lavazza et al. (2011)            Merlini et al. (2015)            Rossi et al. (2016)            Campia et al. (2017)</p>

Gellan	Rhamnoglucuronic acid (Ulvan-like polymer).		<ul style="list-style-type: none"> <li>▪ Antioxidant.</li> </ul>	Elboutachfai et al. (2011b)
Glucan Maltodextrin	Polyglucuronan.		<ul style="list-style-type: none"> <li>▪ Enhanced strength of paper sheet,</li> <li>▪ Sequestering capacity.</li> </ul>	Thaburet, Merbouh, Ibert, Marsais, & Queguiner (2001) Song, & Hubbe (2014)
Glucomannan (Konjac) Mannan	Mannuronan.		<ul style="list-style-type: none"> <li>▪ <b>Controlled delivery system,</b></li> <li>▪ Material for capsules/spheres preparation,</li> <li>▪ <b>Microspheres,</b></li> <li>▪ Immunological properties.</li> </ul>	Ďurana, Lacič, Paulovičová, & Bystrický (2006) Chen et al. (2014) Lu et al. (2015) Chen et al. (2016) Shi et al. (2017)
Pullulan	Oxidized pullulan, oxypullulan Functionalized oxidized pullulan		<ul style="list-style-type: none"> <li>▪ Injectable hydrogel to prevent tissue adhesion,</li> <li>▪ Reducing and capping agents,</li> <li>▪ Rheological behavior,</li> <li>▪ Surfactant properties.</li> </ul>	Pereira et al. (2014) Spatareanu et al. (2014) Coseri et al. (2015) Bang, Lee, Ko, Kim, & Kwon (2016)

Starch

Oxidized starch  
Functionalized oxidized starch

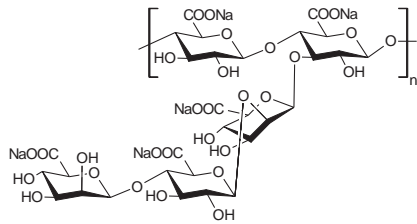


- Controlled delivery system,
- Hydrogels and microgels,
- Microspheres.

Li et al. (2009)  
Li et al. (2010)  
Li, Zhang, van Leeuwen, Cohen Stuart, & Kleijn (2011)  
Wang et al. (2015)

Xanthan

Xanthuronan



- Antioxidant,
- Highly resistant derivative to enzymes degradation.

Delattre et al. (2015)

514 <sup>(1)</sup> HSV: Herpes Simplex Virus, <sup>(2)</sup> TA: Transcriptomic Analysis.  
515  
516



## 517        **5. Biodegradation and enzymes involved**

518        Since the early 2000s, the biodegradability of TEMPO-mediated oxidized polysaccharides has  
519        been investigated by different approaches based on (i) the use of various enzymatic  
520        treatments, (ii) the screening of microorganism strains able to grow on oxidized  
521        polysaccharides as sole carbon source and (iii) the identification from these strains of  
522        enzymes involved in the oxidized substrate degradation. Although several polysaccharides  
523        have been successfully obtained by TEMPO-mediated oxidation (For review see Bragd et al.,  
524        2004), the actual knowledge of their biodegradability and the involved enzymatic mechanisms  
525        remain as of today restricted to few polyglucuronates among which **celluronate** (Kato et al.,  
526        2002), 6-oxichitin or **chituronic** acid (Kato, Kaminaga, Matsuo & Isogai, 2004, 2005),  
527        **amyluronate** (Kato et al., 2005), C6-oxidized chitosan (Pierre et al., 2013) and oxidized  
528        curdlan (Watanabe, Habu & Isogai, 2013).

529        Susceptibility of **celluronic** acid sodium salt (**celluronate**) produced from cellulose oxidation  
530        to biodegradation was first investigated using different enzymatic cocktails (Kato et al., 2002)  
531        among which the cellulase complex Onozuka R-10 (EC 3.2.1.4), a commercial crude  
532        cellulase, has been shown to efficiently decrease the DP (40 times lower after incubation for  
533        40 days) of **celluronic** acid, involving  $\beta$ -(1,4)-polyglucuronase enzymatic activity and  
534        excluding action of CelloBioHydrolase I (CBH I) (EC 3.2.1.91) and EndoGlucanase II (EGII)  
535        (EC 3.2.1.4). The same authors highlighted the higher biodegradability of **celluronate** using  
536        microorganisms in soil samples collected from natural environment, compared to  
537        CarboxylMethyl Cellulose (CMC) and **amyluronic acid** (Kato et al., 2005). Thorough  
538        investigations carried out on the bacterial soil *Brevundimonas* sp. SH203, led to the  
539        purification and characterization of two **CellUronate Lyase** (CUL) (EC 4.2.2.14), CUL-I and  
540        CUL-II involved in the  $\beta$ -1,4-linked polyglucuronate degradation (Konno, Habu, Iihashi, &  
541        Isogai, 2008; Konno, Habu, Maeda, Azuma, & Isogai, 2006). CUL-I and CUL-II were

542 identified as monomeric proteins with a molecular mass of 37 kDa and 56 kDa, respectively,  
543 showing high substrate-specificity for **celluronate**. The authors also observed a relatively  
544 weak activity for **amyluronate** and alginate for CUL-I. While CUL-I was demonstrated to  
545 **depolymerize celluronate** endolytically by  $\beta$ -elimination to dimeric and monomeric uronates  
546 *via oligo-celluronate* intermediates production, CUL-II was shown to act like an exo-type  
547 lyase exhibiting a higher activity on saturated and unsaturated **celluronate** dimeric substrates than  
548 on **celluronate** polymers. These observations suggest a synergistic action of CUL-I and CUL-  
549 II in complete degradation of **celluronate** to monomer residues (Konno et al., 2008). Besides,  
550 a Glucuronan Lyase (GL) (29 kDa) (EC 4.2.2.14), isolated from *Trichoderma* strain GL2, was  
551 also described for its ability to depolymerize oxidized cellulose in an endolytic manner to  
552 generate dimeric and trimeric oligosaccharides (Delattre et al., 2006a; Konno et al., 2008).

553 Although **amyluronate** constitutes an artificial homopolymer ( $\alpha$ -1,4-linked polyglucuronate)  
554 obtained from starch C6-oxidation, it was found to be biodegradable with a degradation rate  
555 lower than **celluronate** (Kato et al., 2005). Two **AmylUronate** Hydrolase (AUH) (EC  
556 3.2.1.139) designated as AUH-I and AUH-II have been isolated from *Paenibacillus* sp.  
557 (Iihashi, Nagayama, Habu, Konno, & Isogai, 2009). AUH-I, a 115 kDa protein, was shown to  
558 be highly specific for **amyluronate** and inert on starch and CMC substrates. The degradation  
559 of **amyluronate** by AUH-I led to glucuronate as main product, indicating an exolytic activity  
560 and leading to classify AUH-I as  $\alpha$ -glucuronidase. AUH-II protein is still for its part under  
561 investigation, but preliminary studies suggested an endolytic activity of AUH-II.

562 Recent works by Watanabe et al. (2013) allowed selecting *Paenibacillus* sp. Strain EH621  
563 growing on TEMPO-mediated oxidized curdlan as sole carbon source. A total carbon  
564 reduction (~60%) in culture supernatant was obtained within 3 days, indicating the production  
565 of enzyme degrading  $\beta$ -(1,3)-polyglucuronates. Analyses of degradation products led the  
566 authors to conclude that endolytic and probably exolytic enzymes were involved in oxidized

567 curdlan depolymerization, with a substrate-specificity restricted to  $\beta$ -(1,3)-polyglucuronates  
568 (Watanabe et al., 2013).

569 The knowledge of **chituronic** acid biodegradability is restricted to the studies carried out by  
570 Kato et al. (2004, 2005), in which the degree of biodegradation of **chituronic** acid was shown  
571 to be close to that of celluluronate and chitin with degree of **N-acetylation** of 91%. More  
572 recently, the biodegradation of C6-oxidized chitosan was shown to be partially effective using  
573 various enzymes, already known for their hydrolytic activities on chitosan (Pierre et al.,  
574 2013). Notably, Glucanex®, composed of cellulose (EC 3.2.1.4),  $\beta$ -glucanase (EC 3.2.1.6)  
575 and chitinase (EC 3.2.1.14), and enzymatic mix from *T. reesei* (EMTR), including chitinase,  
576 cellulase and probably a C6-oxichitosanase, led to higher depolymerization level with a final  
577 hydrolysis yield close to 20.3% and 36.4%, while pectinase activity (EC 3.2.1.15) present in  
578 Macerozyme R-10® showed lower but significant activity. Surprisingly, Glucanex® and  
579 EMTR activities on degradation might not involve cellulase as shown by the relatively low  
580 level of depolymerization obtained with endo and exo-cellulase mixture (Celluclast®) (EC  
581 3.2.1.4).

582 Galactomannans are high molecular weight polysaccharides found mostly in the seeds of  
583 leguminous plants. Among the different approaches to galactomannans oxidation reported in  
584 the literature (Delagrave et al., 2001, 2002; Hall & Yalpani, 1980; Mikkonen et al., 2014;  
585 Parikka et al., 2010, 2012), the use of TEMPO mediated oxidation or Laccase-Mediator  
586 System (LMS)-TEMPO system was shown to selectively oxidize primary hydroxyl groups of  
587 Guar Gum (GG) as reported in [part 3](#) (Lavazza et al., 2011; Sakakibara et al., 2016;  
588 Sierakowski, Freitas, Fujimoto, & Petri, 2002; Sierakowski et al., 2000; Souza, Lucyszyn,  
589 Ferraz, & Sierakowski, 2011). The biodegradability of oxidized galactomannan by LMS was  
590 investigated for two galactomannans, GG and FenuGreek (FG) for which it has been observed  
591 a significant sensitivity to  $\beta$ -mannanase (130 mU/g<sub>GM</sub>) (EC 3.2.1.78) as demonstrated for both

592 oxidized GG and FG by a gradual loss of gel viscosity (Merlini et al., 2015; Rossi et al.,  
593 2016). Although depolymerization kinetics estimated by the measure of viscosity decrease  
594 during  $\beta$ -mannanase treatment, appeared different between native and oxidized GG and FG,  
595 the viscosity reached a similar value after 24h (~200 mPa) indicating the capacity of oxidized  
596 **galactomannan** to be biodegraded with various kinetics depending of **their source**.  
597 Others TEMPO-mediated oxidized polysaccharides, such as xanthan and xyloglucan, were  
598 also analysed for their biodegradability (Delattre et al., 2015; Takeda et al., 2008), but in both  
599 cases, **xanthuronate** and oxidized-xyloglycan were demonstrated to be highly resistant to  
600 enzymatic hydrolysis, in particular to classical commercial cellulases (Macerozyme R-10®,  
601 Celluclast®), hyaluronidase (EC 3.2.1.35) and alginate lyase (EC 4.2.2.3) for **xanthuronate**,  
602 and to endo-(1,4)- $\beta$ -glucanase (EC 3.2.1.4) concerning oxidized-xyloglycan.  
603 Overall, the need to understand enzymatic mechanisms involved in oxidized polysaccharides  
604 degradation is stimulated by the high potential for valorization and applications of by-  
605 products (*i.e.* **oliguronates**) in pharmaceutical, cosmetic and non food industries. The  
606 biodegradability of TEMPO-mediated oxidized polysaccharides was clearly demonstrated for  
607 few polysaccharides (**celluronate**, **amyluronates**, C6-oxidized chitosan and **chituronic acid**)  
608 and for some of them involved enzymes belonging to glucuronate lyases and hydrolases. A  
609 better knowledge of enzymes involved in C6-oxidized polysaccharides degradation remain  
610 essential and could contribute to the development of performing molecular tools, notably by  
611 engineering genetics, able to produce valorizing **oliguronates**.

## 612 **6. Conclusion**

613 The oxidation of polysaccharides using TEMPO chemistry have been abundantly published  
614 since the nineties and results have clearly led to a significant increase of knowledge on the  
615 biological and physico-chemical properties of polyuronides, mostly on oxidized celluloses.  
616 However, several publications offer very optimistic and sometimes utopian conclusions.

617 Starting with the first work of de Nooy (1994), no real industrial developments on a large  
618 scale have materialized on TEMPO oxidized polysaccharides, in spite of numerous filed  
619 patents. The main reason for this relatively meager industrial success is probably the same as  
620 for other natural polysaccharides from various sources (microorganisms, terrestrial plants and  
621 macroalgae). The costs and technologies required for their production can hardly compete  
622 with some natural or modified polysaccharides with low production costs and already well  
623 positioned in their market. The main issue for oxidized polysaccharides is to find a free  
624 technological and high value niche. In this context, it will be very difficult for TEMPO  
625 oxidized celluloses to compete with some cellulosic derivatives such as carboxymethyl  
626 cellulose, hydroxyethyl cellulose and others. The example of low commercial success of the  
627 bacterial glucuronan from a *Sinorhizobium meliloti* strain (Elboutachfai et al., 2011)  
628 perfectly supports this proposition. Firstly published in 1993, the bacterial oxidized cellulose  
629 called glucuronan only found applications in the cosmetic field for its biological property  
630 despite its interesting rheological behavior. This pessimistic interpretation could easily change  
631 for the better in the future considering the current developments of oxidized cellulose in the  
632 material field, the potential of TEMPO oxidized polygalactomannan as delivery system of  
633 actives, but also the identification of the biodegradability of TEMPO oxidized  
634 polysaccharides. The biodegradability leads to a fundamental question about the role of these  
635 enzymes in nature, indicating the presence of natural polyuronides, maybe not yet discovered,  
636 and/or the existence of substrates having structural analogies with TEMPO oxidized  
637 polysaccharides.

638

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646

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